1 We thank both reviewers and Henrike Wilms for their many helpful comments, most of which 2 we have incorporated in the new version of our manuscript (found below with changes in blue). 3 In the time since the first submission of this manuscript the OSIRIS data was updated. We have 4 also implemented the suggestion of Henrike Wilms of starting nucleation also at later times, 5 and in order for all simulations to be of the same length, we have restricted the simulation time 6 to 24 hours. This gave us a more varied data set and has resulted in some smaller changes in 7 the results, as can be seen in some of the figures, but the main messages remain. For instance, 8 the occurrence rate in figure 8 has changed somewhat. The reason that, since it takes some time 9 for the clouds to develop, the occurrence rate is somewhat sensitive to the arbitrary chosen start 10 and end time of the simulation, and thus changed as we use 24 h instead of 48 h simulation time. Nevertheless, the occurrence frequency for the Wave Clouds remain in better agreement 11 12 with OSIRIS than the No Wave clouds. We have updated the text to comply with these changes. 13 We have also changed the y-scale in figure 7 from logarithmic to linear in order to make it more 14 clear how insensitive the particle retrieval is to the smaller sizes. 15 16 17 <u>Reply to reviewer 1 (The comments of the reviewer are in *italic*):</u> 18 19

20 General Comments

21 1) The paper investigates the inherent errors in retrieved PMC particle size,

22 concentration, and mass density, when using remote observations. This addressed by

23 using modeled PMC properties to simulate the OSIRIS signals, and then conducting

24 retrievals of size, concentration, and mass density from these signals. Comparisons of the

25 known and retrieved PMC properties give a solid indication of the errors / biases inherent to

26 the observations and the chosen methodology. The conclusions of this paper are important for

27 remote sensing of PMCs. The model based studies indicate that OSIRIS retrievals have greater

28 errors for smaller particle sizes.

29 2) The second aspect of the paper is to determine if inclusion of atmospheric waves in

- PMC microphysical models gives a better reproduction observed PMC properties, 1
- compared to using a static atmosphere. The Author's find that simulations with waves 2
- 3 indeed give the best explanation of observed PMC properties, as shown in Figures 6 and 7. The
- conclusions here are important for PMC modeling efforts, however, the 4
- 5 representation of waviness in the model (section 4.2) is somewhat brief. Is it possible to describe
- 6 the wave parameters used in more detail, perhaps in such a way that other
- 7 modelers could implement a scheme like yours? Also, the agreement is Fig 7 between the
- 8 OSIRIS and wavy model is not spot on. Is it possible that some wave tuning would give better
- 9 agreement (and thus indicate a refined picture of the relevant waves)?
- 10
- 11
- In response to this comment and the one from Henrike Wilms we have added a figure with the 12 temperature and wind fields used so that other modellers can compare to their work, or use 13 14 similar fields if they wish.
- 15
- The idea of tuning the model to give a better representation of the waves is indeed interesting. 16
- 17 The current data set is too small to allow for this but it may be possible with the upcoming
- 18 MATS satellite which will use tomographic retrieval to get 3D information of temperature and
- PMC and where a much larger data set will be available. We have added a discussion of this 19 idea to the manuscript.
- 20
- 21
- 22
- 23 Specific Comments
- 24 Throughout: "modelled" should be "modeled".
- According to the windows spelling checker "modelled" is UK English and "modeled" US 25
- English. Since ACP is a European journal we assume UK spelling should be used and thus 26
- 27 leave this as it is.
- Throughout: In PMC / NLC literature "IWC" usually refers to the vertically integrated water 28 content (g / km2). You assign IWC units of ng/m3, which would be ice mass density (mi). You 29

- 1 need to change IWC for mi (or Mi) throughout. (I know IWC is a clumsy and probably misplaced
- 2 acronym, but it is widely recognized as g / km2 in the PMC field).
- 3 This has been changed according to the suggestion.
- 4 p 1 line 12: I don't think we capitalize Noctilucent, or Polar Mesospheric Cloud.
- 5 This has been changed according to the suggestion.
- 6 *p 1 line 13: add "ground based remote sensing" to the list*
- 7 This has been changed according to the suggestion.
- 8 p 1 line 19: "...on signals based on modeled..."
- 9 This has been added according to the suggestion.
- 10 *p 2 line 10: This statement is missing something, you state that PMCs are a means to*
- 11 monitor the atmosphere, but do not state which aspects of the atmosphere.
- 12 This has been changed to "...a way to monitor changes in this remote region of the 13 atmosphere..."
- 14 p 2 line 25: "number density" typically refers to the number of gas molecules per cc. If you are
- 15 referring to ice particles, then typical nomenclature would be "ice concentration (N)".
- 16 This has been changed according to the suggestion.
- 17 *p* 3 lines 4-7: The comment in parenthesis can just be a sentence.
- 18 This has been changed according to the suggestion.
- 19 p 3 line 12: Again, to be precise, you retrieve PMC properties from signals simulated
- 20 using modeled size distributions.
- 21 This has been changed according to the suggestion.
- 22 p 4 line 18: "...spectral resolution of..."
- 23 This has been changed according to the suggestion.
- 24 p 4 line 25: I think this should be "...fixed at 16 nm for radii larger than 40 nm...". You should
- 25 also state that many other remote sensing PMC experiments have adopted this assumption, e.g.
- 26 CIPS, SOFIE, SCHIAMACHY, SBUV, & probably others.
- 27 This has been changed according to the suggestion.

- 1 p 4 line 27: Is there a reference that supports the choice of AR = 2?
- 2 Reference added.
- 3 *p* 4 lines 27-30: You are describing the two-valued solutions for certain conditions. This could
- 4 *be stated more clearly.*
- 5 This has been changed according to the suggestion.
- 6 *p 5 line 15: It would be useful to state the SMR vertical and horizontal resolution.*
- 7 This has been added.
- 8 *p* 6 line 13: Here you should cite the recent study by Killani et al (ACP 2015) that deals with
- 9 non-spherical ice in microphysical PMC models. The main point is that there are microphysical
- 10 effects due to non-spherical shapes that change the modeled PMC properties, in addition to the
- 11 well known optical effects of non-spherical ice.
- 12 This has been added according to the suggestion.
- 13 p 6 line 29: You should also mention that ice sublimation enhances vapor at the ice layer
- 14 bottom. Does SMR detect the dry and wet regions associated with ice?
- 15 This has been mentioned. Yes, the SMR data show several regions of enhanced water vapour
- 16 concentration at the altitudes corresponding to the lower layer of NLCs. However, as the water
- 17 vapour enhancement created by a cloud can linger far after a cloud has sublimated, a direct
- 18 correlation between cloud presence and enhancement is not seen in the dataset.
- 19 p 7 line 25: "(fraction of 1 nm)" should be stated as "(radii < ~1 nm)"
- 20 This has been changed according to the suggestion.
- 21 Figure 1: You should add the frost point temperature vs. height, this would make your
- 22 arguments on p 7 flow very easily. Also, it would be instructive to add error bars as the standard
- 23 deviations to give an idea of the natural variability. "OSISIS" "OSIRIS"
- 24 The standard deviation of the OSIRIS temperature is shown in figure 5. Here we choose to only

- 25 present input to the stationary model.
- 26 *p* 8, lines 17-18 & 32 (and elsewhere): You often mix units and nomenclature for ice
- 27 mass. For example "ice water density" is stated as being in ng/m3, where I would
- 28 consider these units to be associated with "ice mass density". Later you refer to "ice

- 1 mass" which I assume is "ice mass density". Perhaps introduce a variable "m sub i" if
- 2 that would make the discussion more convenient, in any case make the language
- 3 consistent.
- 4 We now use "ice mass density" or " m_i " troughout the manuscript.
- 5 p 9 line 1: What specifically is the OSIRIS IWC observation mentioned here? Is it the
- 6 average associated with the SMR data in Figure 1, or something else?
- 7 This has been clarified.
- 8 p 9 line 25: By "constant" do you mean "constant in height"?
- 9 We do not find the wording "constant" at the indicated place but we have clarified what we10 mean by "constant" in 4.2.
- 11 *p* 10 line 11: "less than" should be "broader than"
- 12 There appears to be something wrong with the page and line indications. We assume the 13 reviewer means the vertical resolution of OSIRIS and has changed that according to the 14 suggestion.
- 15 p 10 line 13: Do you really pass the model size distributions through the OSIRIS retrieval
- 16 algorithm? I would think that you use the model distributions to simulate OSIRIS signals, and
- 17 then pass these signals through the retrieval code. Please clarify.
- 18 This has been reformulated.
- 19 p 10 line 19: I think you mean that the microphysical treatment of ice particles in
- 20 CARMA assumes spheres. But when you do the OSIRIS signal simulations, do you
- 21 assume spheres or AR=2? This aspect of the signal simulation should be stated. Again, Killani
- 22 et al. [2015] discuss the microphysical implications AND the optical retrieval implications for
- 23 non-spherical NLC particles, and that work is relevant to your study and thus should be
- 24 mentioned.
- 25 Yes, that is what we meant, this had been clarified and the work of Kiliani et al. is mentioned.

- 26 p 10 line 28: Please clarify what "mean radius" refers to (e.g., numeric mean, mass
- 27 weighted mean, the Gaussian median, ...).

- 1 This has been added to the figure caption.
- 2 p 10 line 28: Panel b of which figure?3
- 3 Yes, this has been corrected.
- 4

5 p 11 line 7-9: Part of the challenge is that the error in concentration (N) is proportional to the

- 6 cube of the radii error. The propagation of radii errors into the other values exists because you
- 7 determine radii first, and then mass density and N (presumably based on the modeled signal
- 8 based on retrieved radii). In any case, you should discuss further the reasons for N having the
- 9 greatest errors.
- 10 This has been added.
- 11 p 11 line 20: The retrieval cannot be based on Mie scattering since you accommodate
- non-spherical particles. Indeed, you state above that the optical calculations are from the T-matrix algorithm.
- 14 This has been reformulated.

15 p 11 lines 21-22: There may be a better explanation for why the retrieval indicates larger 16 particles than the numeric mean. I suspect the reason is that the smallest particles do not 17 contribute to the OSIRIS signal. I think this would be evident if you plot the fraction of total 18 radiance in each size bin of the size distribution. If this explains the discrepancy (I think it will), 19 then showing the additional figure would be very useful (I don't think anyone has published this 20 and it could settle some old debates).

Yes, this is exactly what we mean with that OSIRIS is more sensitive to larger particles - so 21 22 that smallest particles will not contribute to the signal. This is the reason we do not see the many small particles. But if we have a multimodal distribution with one mode at a very small 23 particle size then the effect is that we miss that mode completely and thus make a large error in 24 25 the estimate of total ice particle concentration. We have changed the text so that this becomes 26 clearer and we have changed the y-scale of figure 7 so that it becomes even more obvious how much of the particles we are insensitive too. We liked the idea of such a plot and made it (see 27 28 below, note that the y-axis differs slightly from the one in the paper) but we found that it is 29 confusing for the reader. Therefore, we simply shaded the region where 90% of the signal comes from in the paper instead. 30





- 2 p 12 lines 18-28, and Figure 6: You switch between "rate" and "frequency", the later
- 3 would be convention.
- 4 This has been changed.
- 5 Figure 7: This might be clearer if you showed standard deviations instead of all the
- 6 *individual profiles (thin lines).*
- 7 We have changed this plot in line with the suggestion apart from that we use percentiles since

- 8 the distributions are non-normal.
- 9 *p* 13 line 20: The statement "...exist when the temperature is below the average,..." is
- 10 unclear. The average is of what group of data?
- 11 This has been reformulated.

- 1 p 13 lines 21-25: The no wave case (thick black) is zero below 82 km, so the statement
- 2 does not make sense. Perhaps you meant the wave case. You should remind us to look at Figure
 3 7c.

4 Yes, we meant the wave case. Thank you.

- 5 p 13 lines 28-32: Some of this is hard to see because of the many thin lines in the plots. I do,
- 6 however, see your basic points here, and you should not that both the ALOMAR lidar and
- 7 SOFIE have shown this behavior as well, where N peaks at an altitude above the peak in ice
- 8 mass density, and radii are largest below the peak in mass density.

9 This has been removed. It still seems to be the case if you look at individual profiles but if you

10 look at the mean, median or percentiles it is not the case. (These properties becomes different 11 from individual profiles because at altitudes that clouds are the most frequent they are the

- 12 calculated from many profiles but as we go to altitudes where the clouds rarer there are less
- 13 profiles left from which these properties are calculated and those that are left are those of the
- 14 brighter clouds.) So we have chosen to remove this part.
- 15 *p* 16 line 7: I believe the correct name is "PMC microphysics and happy hour working group".
- Indeed. We thank the reviewer, who clearly is an expert in the field, but keep the currentwording in order to not confuse more the general public (smile).
- 18
- 19

20 <u>Reply to reviewer 2:</u>

21

- 22 We agree that we should point out more clearly that we can reproduce the results of Rapp and
- 23 Thomas and have done so in the updated manuscript. We have also added a figure with the
- 24 temperature and wind fields used as input for the model.
- 25
- 26 Reply to Henrike Wilms:
- 27 We have added a figure showing the temperature and wind fields used as input for our model.
- 28 We have also now started the nucleation process at not only 0 h, 10 h, and 20h do get more
- 29 variations in the clouds.

- 1 2

2	Comparison	of retrieved	noctilucent cloue	d particle
2	Comparison	or retrieved	<u>noculucent</u> cloi	u

3 properties from Odin tomography scans and model

4 simulations

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7	ictor I. Fomichev ²	

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11 Correspondence to: L. Megner (linda@misu.su.se)

12

13 Abstract

14 Mesospheric ice particles, known as noctilucent clouds or polar mesospheric clouds, have long been observed by rocket instruments, satellites and ground based remote sensing, while models 15 16 have been used to simulate ice particle growth and cloud properties. However, the fact that 17 different measurement techniques are sensitive to different parts of the ice particle distribution makes it difficult to compare retrieved parameters such as ice particle radius or ice 18 19 concentration from different experiments. In this work we investigate the accuracy of satellite 20 retrieval based on scattered light and how this affects derived cloud properties. We apply the 21 retrieval algorithm on spectral signals calculated from modelled cloud distributions and 22 compare the results to the properties of the original distributions. We find that ice mass density 23 is accurately retrieved whereas mean radius often is overestimated and high jce concentrations 24 generally are underestimated. The reason is a combination of that measurements based on 25 scattered light are insensitive to the smaller particles and that the retrieval algorithm assumes a Gaussian size distribution, whereas the modelled size distributions often are multimodal. Once 26 27 we know the limits of the satellite retrieval we proceed to compare the properties retrieved from 28 the modelled cloud distributions to those observed by the Optical Spectroscopic and Infrared 29 Remote Imaging System (OSIRIS) instrument on the Odin satellite. We find that a model with

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1 a stationary atmosphere, as given by average atmospheric conditions, does not yield cloud 2 properties that are in agreement with the observations, whereas a model with realistic 3 temperature and vertical wind variations does. This indicates that average atmospheric 4 conditions are insufficient to understand the process of <u>noctilucent</u> cloud growth and that a 5 realistic atmospheric variability is crucial for cloud formation and growth. Further, the 6 agreement between results from the model - when set up with a realistically variable atmosphere 7 - and the observations suggests that our understanding of the growth process itself is reasonable.

8

9 1 Introduction

10 At the summer polar mesopause, the coldest region on Earth, the temperature drops low enough

11 so that ice particles can form despite the low water content of a few parts per million. These ice

12 clouds, known as poctilucent clouds (NLCs) or polar mesospheric clouds (PMCs), provide a

13 way to monitor <u>changes in this</u> remote region of the atmosphere, where in situ measurements

14 can only be carried out using rockets. NLCs have been observed by the naked eye since the late

- 15 19th century (Leslie, 1885) and since the second half of the 20th century, rocket instruments,
- 16 satellites, lidars and models have been used to develop our understanding of the clouds (e.g.
- 17 Witt, 1960;Turco et al., 1982;Barth et al., 1983;Hansen et al., 1989).

18 The different measurement techniques used in remote sensing and for in situ measurements -19 and even by particular types of instruments within these categories - make it difficult to compare

20 retrieved parameters such as ice particle radius or <u>ice concentration</u> from different experiments.

- 21 For example, many in situ rocket measurements are not sensitive to the size of the particles, as
- long as they are above a certain aero-dynamical threshold that is determined by the shape of the
 instrument and the speed of the rocket (Hedin et al., 2007). Remote sensing instruments like
- 24 satellites and lidars on the other hand, are more sensitive to the particles that more efficiently
- 25 scatter or absorb light, i.e. the particles at the larger end of the size distribution. They, in
- 26 particular the instruments that observe scattered light, are thus rather insensitive to the smaller

27 end of the size distribution. A direct comparison of for example the <u>ice concentrations</u> measured

28 by in situ and remote sensing techniques is therefore not straight forward.

29 Even comparisons between individual satellite observations have proven very difficult (Bailey

30 et al., 2015). These difficulties are also due to the fact that different measurement techniques

- 31 inevitably favour different parts of the size distribution. For instance, an instrument that
- 32 measures the absorption of light will be sensitive to the total volume of the ice while an

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- 1 instrument that observes scattered light will be sensitive to different regions of the size
- 2 distributions depending on what scattering angles it observes. If, as earlier studies have
 3 indicated, the size distribution were truly Gaussian with a certain width (see e.g. Rapp and
- 4 Thomas, 2006), then this problem would be easier to overcome, but as will be shown in this
- 5 study, our model simulations suggest that this is not generally the case.
 - The size distribution of ice particles in the cloud layer varies with altitude, <u>Models</u> predict that they range from hundreds or thousands freshly nucleated small particles per cubic centimetre at the mesopause to ten or less more mature particles per cubic centimetre at approximately 81-83 km (Megner, 2011). This means that the question of which part of the size distribution an **Del**
- 10 instrument is sensitive to is intricately connected to which altitude region the instrument is 11 sensitive to.
- In this paper we therefore first investigate the accuracy of the Odin satellite's retrieval of 12 13 properties such as ice mass density (m_i) , mean radius, and total ice concentration. We do this 14 by applying the retrieval algorithm on spectral signals calculated from modelled cloud 15 distributions (which obviously are fully known) and comparing the retrieved results to the 16 properties of the original distributions. After this we proceed to compare the properties retrieved 17 from the modelled cloud distributions to those observed by satellite. We use satellite 18 observations from the Odin tomography modes (Hultgren et al., 2013) for which the satellite's 19 scanning sequence is specifically designed to provide multiple measurements through the same 20 cloud volume, which enables, via tomography, high resolution altitude and horizontal 21 observations of the NLCs. We use information from both instruments on-board the Odin satellite: the Optical Spectrograph and InfraRed Imager System (OSIRIS) instrument 22 23 (Llewellyn et al., 2004) gives us high resolution data of the NLCs and the Sub-Millimeter Radiometer (SMR) instrument (Nordh et al., 2003) provides information of the background 24 temperature and water vapour, which in this experiment are used as input to our model. 25
- 26 The specific aims of this study are to:

7

8

9

- 27 1) Identify what part of the size distribution we capture with an OSIRIS-type measurement and
- 28 to evaluate to what extent retrieved properties such as mean radius, *m_i* and *ice* particle
- 29 <u>concentration</u> of the sampled volume represent corresponding actual properties.
- 30 2) Investigate if our current knowledge of the microphysics (as represented by the CARMA-
- 31 model) is accurate enough to simulate clouds that match our observations, and to pinpoint what
- 32 model input is crucial for simulating representative clouds.

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1 The paper is structured as follows: In section 2 the Odin tomography scans and the retrieval

2 algorithms of OSIRIS and SMR are described. In section 3 the microphysical model is

3 described. Section 4 gives the results of the comparisons and finally section 5 summarizes the

- 4 conclusions.
- 5

6 2 Odin tomography scans

Both OSIRIS and SMR observe the atmosphere in the limb geometry: the co-aligned optical 7 8 axes of both instruments sweep over a selected altitude range in the forward direction as the 9 entire satellite is nodded up and down. During the stratosphere/mesospheric mode, both 10 instruments scan from 7 to 107 km. However, during the tomography mode, only the NLC 11 region of interest, 78 to 90 km, is scanned. This decreases the horizontal distance between 12 subsequent scans and increases the number of lines of sight through a given atmospheric 13 volume, thus enabling the tomographic retrieval of cloud and background atmosphere 14 properties. During the NH10 and NH11 seasons, a total of 180 orbits were performed using the 15 tomographic mode. The orbits were chosen to provide coincident observations with the Aeronomy of Ice in the Mesosphere (AIM) satellite and cover three three-day periods during 16 each NLC season (Table 1, Hultgren et al., 2013). A tomographic retrieval algorithm is then 17 used to convert the limb-integrated atmospheric line-of-sight properties into local information 18 19 about cloud properties or the background atmosphere (Christensen et al., 2015;Hultgren and 20 Gumbel, 2014;Hultgren et al., 2013). Using the tomographic algorithm these local properties 21 can be retrieved between 78 and 87 km with a horizontal and vertical resolution of ~330 km 22 and 1 km, respectively. For this analysis we use four days of tomographic data (76 scans) between 70° N and 77° N of July 2010 and 2011, where SMR and OSIRIS data both are 23 24 available. During these days, clouds and background atmosphere were sampled at Solar 25 Scattering Angles of 70° to 100°.

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27 2.1 OSIRIS retrieval

26

28 The tomographic algorithm transforms the observed OSIRIS limb radiances into the retrieved

29 volume scatter coefficient, a measure of cloud brightness. In contrast to the input limb radiance,

30 which is dependent on tangent altitude and thus contains signals from fore- and background,

31 the retrieved volume scatter coefficient is a local signal dependent on the vertical dimension

- 1 altitude and the horizontal dimension Angle Along Orbit (AAO). The algorithm used is the
- 2 Multiplicative Algebraic Reconstruction Technique (MART) based on maximum probability
- 3 techniques (Hultgren et al., 2013; 2014).
- 4 OSIRIS observes scattered sunlight at wavelengths between 277 and 810 nm, with a spectral
- 5 resolution of approximately 1 nm. For this study, the volume scatter coefficient at specific
- 6 wavelengths in the UV-range (277.3 nm, 283.5 nm, 287.8 nm, 291.2 nm, 294.4 nm, 300.2 nm,
- 7 and 304.3 nm; see e.g. Karlsson and Gumbel, 2005, for details) is used to retrieve particle sizes
- 8 from the OSIRIS radiance measurements by fitting the observed spectral signal to tabulated
- 9 scattering spectra from numerical T-matrix simulations (Baumgarten and Fiedler, 2008;
- 10 Mishchenko and Travis, 1998). Once a particle mode radius is retrieved, jce concentration, and
- 11 ice mass density can be estimated. In accordance with many other satellite retrieval algorithms
- 12 (e.g. CIPS, SOFIE, SCHIAMACHY, SBUV), a Gaussian particle size distribution with a width
- that varies as 0.39 times the retrieved mean radius but stays fixed at <u>16 nm for radii larger than</u>
- 40 nm (Baumgarten et al., 2010), is assumed. Further, the particles are assumed to be oblate
 spheroids with and axial ratio of 2 (Eremenko et al., 2005).
- 16 The retrieval size for mode radius is constrained to < 100 nm. This is because there is more than \leftarrow
- 17 one solution when fitting the observed signal to the simulated T-matrix spectra for large
- 18 particles and scattering angles >90 degrees (see von Savigny et al., 2005, their figure 3, for an
- 19 equivalent issue). The lack of a unique solution makes it impossible to distinguish between
- 20 particles > 100 and smaller particles (around 50 nm) in the approach we are using. A
- 21 consequence of this constraint is that the algorithm will select a small mode radius that fits the
- signal even in the presence of really large particles. Whether this is an acceptable shortcoming
- 23 in the retrieval algorithm or not is out of the scope of this study; our conclusions are not affected
- 24 by this constraint.
- 25
- 26 The PMC microphysical retrieval and resulting uncertainties in cloud brightness and
- 27 microphysical products are described in detail by Hultgren et al. (2013) and Hultgren and
- 28 Gumbel (2014). Based on uncertainty in the input radiances, they estimate a typical statistical
- 29 error in cloud brightness of 10^{-11} m⁻¹ str⁻¹, which is less than 1% of the typical NLC peak
- 30 brightness. Propagating the error of the individual radiances through the tomographic retrieval
- 31 algorithm, statistical uncertainties in mode radius ($\sim \pm 6$ nm throughout all altitudes), jce
- 32 <u>concentration</u> (from ± 1 cm⁻³ at 81 km to ± 35 cm⁻³ at 86 km), and ice mass density (negligible
- at lower PMC altitudes, up to ± 5 ng m⁻³ at 86 km) are estimated.

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1 2.2 SMR retrieval

- 2 SMR measures thermal emission from the 557 GHz water vapour line. From this, the
- 3 concentration of water vapour and temperature can be retrieved in the aforementioned altitude
- 4 region. This can be achieved as the line is very strong and becomes optically thick even in the
- 5 MLT region. The retrieval is done using the non-linear optimal estimation method with a
- 6 Levenberg-Marquardt iteration scheme. The resulting precision is 0.2 ppmv for water vapour
- 7 mixing ratio and 2 K for temperature, with a vertical resolution of 2.5 km and a horizontal
- 8 resolution of 200 km. The data used in this study are all collected when SMR was operating in
- 9 frequency mode 13, as this mode shows the best agreement with other satellite instruments

10 (within 5 K for temperature and 20% for water vapour). For further details see Christensen et11 al. (2015).

12 3 CARMA model

13 Community Aerosol and Radiation Model for Atmospheres (CARMA) is a microphysical cloud 14 model that originated from а stratospheric aerosol code 15 (Toon et al., 1979; Turco et al., 1979) that was developed to simulate clouds in a variety of 16 environments ranging from the Earth's atmosphere to other planetary atmospheres. It has been used to simulate NLCs in numerous publications (e.g. Asmus et al., 2015;Kiliani et al., 17 18 2015; Chandran et al., 2012; Megner, 2011; Megner et al., 2006; Rapp and Thomas, 2006; Merkel 19 et al., 2009;Stevens, 2005;Vergados and Shepherd, 2009;Lübken et al., 2007). As in the majority of these studies, we use the 1-dimensional setup of the model to simulate 20 21 microphysical processes such as ice nucleation and growth, sedimentation and vertical 22 transport. Three interactive constituents are simulated: Condensation Nuclei (CN), ice particles and water vapour. The CN are assumed to be meteoric smoke particles with a density of 2 23 24 g/cm³. The number density and size distribution of the CN are representative of the middle of 25 the NLC season (July 10th) at 68°N (see Figure 1 in Megner et al. (2008a). The nucleation is treated in the framework of droplet theory (Fletcher, 1958) where the probability of nucleation 26 27 depends on the size of the CN and the contact angle. The contact angle, also known as the 28 wettability, in turn depends on the surface energies between nucleus, ice and air (Fletcher, 1958;Keesee, 1989;Gumbel and Megner, 2009;Megner and Gumbel, 2009). While this quantity 29 30 remains uncertain, it has been argued that meteoric smoke acts very efficiently as ice nuclei (Roddy, 1984;Rapp and Thomas, 2006) and the contact angle is therefore set to 0.95 in 31 32 agreement with previous studies (Megner, 2011; Megner et al., 2008a; Rapp and Thomas, 2006).

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Apart from the details mention above our model setup is similar to that of Rapp and Thomas 1 2 (2006): The model domain spans from 72 to 102 km in altitude with a resolution of 0.25 km. The ice particles are considered spherical and the size distributions are evaluated on radius grids 3 4 consisting of 40 non-equally spaced size bins between 2 to 900 nm. The piecewise parabolic 5 method algorithm (Colella and Woodward, 1984) is used for both vertical advection and deposition growth (advection in particle radius space) with a time step of 100 s. Following Rapp 6 7 and Thomas (2006) we further use an eddy diffusion profile adapted from the collection of 8 turbulence measurements at 69° N under polar summer conditions (Lübken, 1997). In 9 all model runs we allow for 5 hours for initialisation after which the next 24 hours are used in 10 the analysis.

11

12 4 Results

As explained in Section 2, the Odin tomography scans give us simultaneous high resolution 13 14 observations of ice particles from OSIRIS and water vapour and temperature from SMR. We 15 use these SMR observations as input to the CARMA model and then compare the modelled 16 clouds to those observed by OSIRIS. However, we cannot use the water vapour and temperature 17 profiles from an SMR observation that is made simultaneously to the OSIRIS observation of ice particle properties as initial state for the model. The reason is that ice growth is not an 18 19 instantaneous process, i.e. the environment that the clouds grow in is not necessarily the same as the environment they are observed in. For instance the ice growth process itself uses up much 20 of the available water, Jeading to depletion of water close to the mesopause where the ice grows 21 22 and enhancement of water where it sublimates. Since we do not have any observations of the 23 history of the atmospheric environment in which the cloud developed we cannot compare a 24 single observed cloud directly to its modelled equivalent. We therefore have to settle for a more 25 statistical approach, by comparing general clouds that are observed by OSIRIS to modelled clouds that have developed in the typical atmospheric environment that SMR observes. In 26 27 Sections 4.1 and 4.2 we investigate different ways of creating such a typical environment from 28 the SMR observations and report about the clouds they produce. As presented in the 29 introduction, one main goal of this study is to identify what part of the size distribution we capture with the OSIRIS-type instrument retrieval and how this is reflected in the retrieved 30 properties such as mean radius, ice mass density and ice concentration. In Section 4.3 we 31 32 investigate this by retrieving sizes from the modelled cloud distributions by applying the same

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1 method that we use for the OSIRIS retrievals and comparing the retrieved results to the original

2 distribution. Finally, in Section 4.4 we compare the modelled clouds to those observed by3 OSIRIS.

4 4.1 The Stationary Atmosphere

5 In order to generate a typical cloud growth environment from the SMR measurements we select 6 observations that are co-located with the OSIRIS tomography scans where no clouds were 7 present. By selecting only the measurements where no clouds are present we avoid the problem of not accounting for water that is already in the ice phase. We then calculate the average water 8 9 vapour and temperature profiles and use these fields to drive the model. Since SMR data is only 10 trustworthy up to an altitude of 87 km we extended the water vapour profile linearly above this altitude, while for the temperature profile we used the SABER profile from Sheese et al. (2011) 11 as shown in Figure 1. Since SMR does not measure vertical wind we follow Rapp and Thomas 12 13 (2006) and use a vertical wind profile representative of 69N as given by Berger (2002). The 14 temperature, water vapour and wind profiles in this run are thus stationary. In this model setup, 15 only a very minor m_i of maximum 0.03 ng/m³ developed. This is far below the detection 16 threshold of OSIRIS of 5 ng/m³. Hence, if the model is driven by mean atmospheric conditions 17 as measured by the SMR instrument it will not produce visible clouds. The main reason is simply that the small (<u>radii < ~</u>1 nm) meteoric smoke particles are not efficient condensation 18 19 nuclei at a temperature of approximately 131 K (the mesopause temperature shown in Figure 20 1), see Gumbel and Megner (2009). We note that the model setup used in Rapp and Thomas 21 (2006) does in fact result in observable clouds, (and our model reproduces their result given the 22 same input). This is because they use the meteoric smoke distribution of Hunten et al. (1980), 23 which is based on a one-dimensional model of ablation and recombination of meteoric material 24 and as such lacks meridional atmospheric transport. More recently multi-dimensional models 25 have shown that this transport efficiently depletes the summer mesopause of meteoric material resulting in much smaller meteoric smoke particles in this region than what was earlier assumed 26 27 (Megner et al. 2008b, Bardeen et al. 2008). The SMR average temperature is declining with altitude up to 87 km, where the measurement 28 29 quality is diminishing. Thus, it gives no information on where exactly the mesopause is. To 30 examine if a higher (and thus colder) mesopause would trigger the model to produce clouds,

31 the temperature profile above the SMR observations was extended to lower temperatures and a

32 higher mesopause using the OSIRIS temperatures (Sheese et al., 2011) as shown by the dash-

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dotted line in Figure 1. Although this resulted in a larger m_i of maximum 2 ng/m³, it is still 1 2 below the detection threshold of OSIRIS. 3 In order to investigate how much colder the atmosphere needs to be for the model to produce 4 clouds, the average temperature profile was reduced in steps of 1K, and used as input to the 5 model. In order to produce clouds in CARMA of similar *m*_i as the average clouds observed by OSIRIS, the temperature profile had to be reduced by 6 K. However the particles produced by 6 7 this model realization were too large (150 nm) and their ice concentrations far too small (<10 8 particles/cm³ throughout the cloud region) compared to the OSIRIS tomography scan 9 observations. Apparently, clouds from this model run were not a realistic representation of the 10 clouds we observe with Odin. We can conclude that a simple shift of the temperature profile 11 towards lower values is not enough to produce realistic NLCs. Another possibility to facilitate cloud formation is to assume that the CNs are larger, or more 12 13 efficient, so that they can nucleate ice particles at a higher temperature. To test this we first 14 enhanced the contact angle to unity, i.e. perfect wettability (see Section 3). This did not have a 15 major effect on the cloud properties and resulted in a maximum ice water density of 0.4 ng/m³, 16 which is still far below the OSIRIS detection limit. However, the CN distribution is dependent 17 on many uncertain parameters (Megner et al., 2006). For instance, if there is more meteoric influx into the atmosphere, if the CNs are electrically charged (Gumbel and Megner, 18 19 2009;Megner and Gumbel, 2009), or if there is more coagulation within the meteor trail than 20 what is generally assumed in models of meteoric coagulation and transport (Megner et al., 2008b;Bardeen et al., 2008), then this could result in a CN distribution that is more efficient for 21 22 nucleation. Thus we pose the question: What is the number density of efficient CNs required to 23 generate clouds with an m_i that agree with the OSIRIS observations? To answer this question 24 we assumed simple mono-sized distributions of particles with radii of 2 nm, i.e. large enough to be efficient CN at 131 K (Gumbel and Megner, 2009) but small enough not to rapidly 25 26 sediment out of the mesopause region. Note, that for simplicity we here enhance the 27 condensation nuclei efficiency by making the particle larger, but the nucleation efficiency can be enhanced by other means, such as charging of the particles, with equivalent results. By 28 29 feeding the model mono-sized particle distributions of 10, 100, 1000 and 10000 particles/cm³ 30 we determined that approximately 100 efficient CNs /cm³ was needed to produce an ice mass 31 equivalent to the OSIRIS observations. It should be noted that increasing the number of CNs 32 even more has little effect on the ice mass, as pointed out by Megner (2011); the case with

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1 10000 particles/cm³ gave approximately twice the ice mass compared to the case with 100

particles/cm³. Despite that a CN distribution consisting of 100 particles/cm³ of 2 nm radii is not
 considered likely - the original CN distribution from the model by Megner (2011) falls sharply

4 with radius and has on the order of 10 particles larger than 1 nm and 10^{-4} particles/cm³ larger

5 than 2 nm - we nevertheless show the cloud generated in this way in Figure 2_{r} (top panel) as an

6 example of a cloud generated in stationary conditions with a highly efficient CN distribution.

7 This cloud will be referred to as the "No Wave" cloud.

8 It is however clear that the most straight forward solution to the lack of cloud development in

9 an averaged steady state atmosphere is not that a more efficient size distribution is needed, but

10 simply that the ice particles observed in the real atmosphere are nucleated during the times

11 when the temperature is below the average. This we will investigate in the next section.

12

13 4.2 Variable atmosphere

The mesopause region is characterized by high wave activity (e.g. McLandress et al., 2006). 14 15 This means that the constant temperature profile achieved by averaging the SMR measurements as describe above is not representative. In order to represent the fast temperature variations and 16 17 vertical winds that give rise to them, we use July temperature and vertical wind fields from July 69°N from the extended Canadian Middle Atmosphere model (CMAM) (Beagley et al., 18 19 2010;Fomichev et al., 2002;McLandress et al., 2006) with a high temporal resolution output 20 (30 minutes). In this second setup of the CARMA model we still use the SMR retrieved mean 21 temperature profile to determine the average conditions, but impose the time resolved CMAM 22 temperature field to represent the temperature variations. In practice this is achieved by adding 23 a temperature shift (constant in time and altitude) to the CMAM data so that the average CMAM 24 temperature profile matches up with the average measured SMR profile. The resulting 25 temperature fields are shown in Figure 3 and the average temperature profile with the associated 26 temperature variation is shown in Figure 5a and b. As can be seen the variations from the 27 CMAM model are fairly similar to those of the SMR data set, especially given that the CMAM 28 variations include diurnal variations which are not well sampled by SMR since SMR measures 29 predominantly at two local times. The variations of the CMAM model also agree well with 30 observations of daily variations in the summer polar mesopause region (Höffner and Lübken, 31 2007). Since the vertical wind is intimately connected to the temperature via adiabatic

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- 1 heating/cooling, we use the accompanying CMAM vertical wind field to drive our model
- 2 simulations (Figure 4 and Figure 5 c, d). The output from the CMAM model was fed into

3 CARMA at time steps of 30 minutes.

- 4 This second model setup, which includes variations in temperature and winds, resulted in clouds
- 5 of m_i above the OSIRIS detection threshold and, as we shall see, of similar m_i as that measured
- 6 by OSIRIS. An example of a cloud produced in this way can be seen in the lower panel of
- 7 Figure 2. We will refer to these clouds as "Wave" clouds. As the cloud development if
- 8 somewhat sensitive to the temperature field at the initialisation of the model we perform three
- 9 simulations, the original which is initialised at 0 h in (see Figure 3 and Figure 4), one which is
- 10 initialised at 10 h and one that is initialised at 20 h. In all simulations we allow 5 hours for
- 11 initialisation after which the following 24 hours are included in the analysis.

12 4.3 Modelled cloud retrieval

- An important step when comparing the model results to observations is to run the modelled clouds through a similar retrieval process. Since the OSIRIS vertical resolution is <u>broader</u> than that of the model (1 km as opposed to 0.25 km), the first step is to linearly average the modelled size distributions over four altitude levels. After that the <u>signal from the</u> modelled size distributions are <u>treated in the same manner as the OSIRIS observations</u>, as described in Section 2.1.
- 19 In order to investigate how well the retrieval algorithm works, which part of the ice particle si 20 distribution it is sensitive to, and how this is reflected in the retrieved properties, we compa 21 the retrieved modelled clouds to the originally modelled clouds (Figure 6). As the OSIR 22 clouds have been retrieved with an assumption of an axial ratio of 2, whereas the microphysic 23 treatment of ice particles in the model assumes spheres, i.e an axial ratio of 1, we show t 24 retrieved properties for both of these assumptions; axial ratio of 2 in black and axial ratio of 25 in grey. For the "No Wave" clouds (marked with squares) the retrieval is almost entire 26 independent of axial ratio (indeed the majority of grey squares are hidden by the black square whereas the retrieval of the "Wave" clouds (marked with stars) is somewhat sensitive to t 27 28 assumption. The reason that the "No Wave" clouds show almost no sensitivity to the axial rat 29 may connected to that their size distributions are more well-behaved, as we will discuss later. 30 It is also worth mentioning that the axis ratio not only changes the optical properties of the
- 31 particles but may also impacts their microphysical growth in a way that our CARMA simulation

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I	with spherical particles would not capture (Killani et al., 2015). Panel a shows that the m_i is
2	retrieved rather accurately, for both the "No Wave" and the "Wave" clouds even if the retrieved
3	m_i frequently slightly underestimates the volume, especially at higher m_i . This is encouraging
4	since it indicates that <u>ice mass density</u> is a property we can trust to within approximately <u>30</u> %.
5	Figure 6b shows that the retrieved mean radius generally is larger than the original mean radius
6	by a factor <u>2 to 7</u> for smaller radii. The retrieval of smaller radii (< 20 nm) is worse when an
7	axial ratio of 2 is assumed which is to be expected given that our model assumes spherical
8	particles, but for larger particles there is no clear difference. Large radii (≥80 nm) are,
9	underestimated by the retrieval algorithm. The reason is simply that the retrieval algorithm is
10	constrained to select the smaller radii out of two possible solutions, as described in Section 2.1.
11	In practice this prevents the retrieval from retrieving particle sizes above approximately 100
12	nm. Figure 6c shows that high ice concentrations, which generally are associated with small
13	radii at the upper range of the clouds, are greatly underestimated. The underestimation is worse
14	when an incorrect axial ratio (in this case 2) is assumed but can still be as larger than a factor
15	10 for the retrieval with the correct axis ratio of 1. For instance, ice concentrations of 1000
16	particles/cm ³ are generally retrieved as around 30 particles/cm ³ when a ratio of 2 is assumed
17	and as 70 when a ratio of 1 is assumed. It is clear that these large errors in number density arise
18	from fact that the number density depends on the radius cubed – thus a small error in mean
19	radius will yield a large error in number density.
20	In order to understand the underestimation of high ice concentrations and the overestimation of
21	small mean radii we study the size distribution. Figure 7 shows a typical example of "Wave"
22	modelled size distributions at 81 and 84 km respectively (red line), and the retrieved size
23	distribution using an axial ratio of 2 (black line) and 1 (grey line). Since the retrieval algorithm
24	assumes a Gaussian distribution it obviously cannot retrieve the bimodal distributions that often
25	appear in the model. These multi-peaked distributions arise from the fact that the cold spots
26	produced by atmospheric waves create bursts of newly nucleated particles. These particles then
27	grow and sediment to a region where older and larger cloud particles already exist, resulting in
28	a bimodal size distribution. This effect is more prominent closer to the nucleation region (i.e.
29	the mesopause), and thus the size distribution is often Jess Gaussian at 84 km than at 81 km.

- 30 Due to the nature of light scattering the retrieval is sensitive mostly to the large end of the
- 31 particle distribution. This can be seen by the shaded area in Figure 7, which indicates the
- 32 particles that contribute the most to the total radiance, and thus are most important for the

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1	Deleted: We note that these large radii are mostly produced in the "No Wave" clouds, which, as we shall see in Section 4.4, do not appear to be an adequate representation of the real clouds. Figure 4
()	Deleted: small number densities
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1	retrieval (the shaded area contributes with 90% of the total radiance). It is clear that the radiance	
2	is dominated by the contribution from the large particles and thus the retrieval will attempt to	
3	fit a Gaussian to, the larger side of the size distribution. This means that the retrieved mean	 Deleted: the larger mode or
4	radius will be larger than the mean radius of the original size distribution, which explains what	
5	we saw in the middle and bottom panel of Figure 6: For smaller radii (generally higher in the	
6	cloud) the retrieval often overestimates the mean radius, whereas for larger radii around 50 to	
7	70 nm, the agreement is better. Furthermore, the total ice concentrations are generally in good	 Deleted: number densities
8	agreement when ice concentrations are low (typically lower in the cloud where the size	 Deleted: number densities
9	distribution is less bimodal) whereas they are greatly underestimated when jce concentrations	 Deleted: number densities
10	are high (typically higher in the cloud, where the particles in the smaller mode are missed by	
11	the retrieval).	
12	The "No Wave" clouds, which are simulated in a stationary environment lacking the cold spots	
13	that create the bursts of fresh ice particles, generally do not show this behaviour and thus their	
14	size distributions tend to be more Gaussian (see for instance Rapp and Thomas, 2006). In other	
15	words, a stationary atmosphere typically tends to generate Gaussian size distributions whereas	
16	temperature variations in the atmosphere generate multi-peaked, or less Gaussian particle size	
17	distributions. This is the reason why the properties of the stationary clouds (squares in Figure	
18	6) in general are better retrieved and their radii/jce concentrations are not	 Deleted: number densities
19	overestimated/underestimated in the same way as for clouds generated in a non-stationary	
20	atmosphere	 Deleted: It is worth noting that the discussed retrieval
21		axial ratio.
22	4.4 Comparison to OSIRIS	
23	We now move on to comparing the raw and retrieved modelled clouds to the OSIRIS	
24	observations. In this section we only show results where an axial ratio of 2 has been assumed	
25	in the retrieval, but the figures look similar and the conclusions remain the same if an axis ratio	
26	of unity is used.	

As mentioned earlier the OSIRIS detection threshold as expressed in $\underline{m_i}$ is approximately 5 ng/m³. In the following we will therefore select only the modelled cloud pixels where the retrieved ice mass density is higher than this. However, first we investigate how often this is

31 the case, i.e. the occurrence frequency of clouds above the detection limit. If the model has an

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1	accurate description of the atmospheric state then the occurrence frequency in the model should
2	be similar to that of the OSIRIS observations. <u>However, we stress that the occurrence frequency</u>
3	of the model is somewhat dependent on the length of the simulation and the time allowed for
4	initialisation of the model, so that an exact agreement cannot be expected. Figure 8 shows the
5	altitude dependent occurrence frequency for the OSIRIS observations (in green), the retrieved
6	"Wave" clouds (in green) and the retrieved "No Wave" clouds (in <u>blue</u>). While the occurrence
7	frequency of "Wave" clouds is about twice that of the OSIRIS observations (maximising at 40%)
8	and 20% respectively), the altitudional distributions of the clouds are similar. The "No Wave"
9	clouds on the other hand show even higher occurrence frequency maximing at 80% and the
10	altitude extent of the clouds is sharply cut off at 81-82 km.
11	
12	Figure 9 compares the retrieved properties of the clouds for the "Wave" clouds (in red) the "No
12	Wave? algode (in blue) and the OSIDIC algode (in group). The thigh lines represent the modion
13	wave clouds (in <u>plue</u>) and the OSIKIS clouds (in green). The <u>plue</u> hies represent the <u>pleen</u>
14	of all profiles and the shaded fields represent the area between the 10 and 90 percentiles (we
15	choose to plot these instead of the standard deviation since the properties are non-normally
16	distributed). We also plot the median of the raw cloud properties (dashed lines) of the model
17	clouds to show how they differ from the retrieved properties. Panel a shows the retrieved radius,
18	panel b the <u>ice concentration</u> and panel c <u>m</u> . When comparing these properties of the clouds,
19	it is important to remember that the "No Wave" clouds were tuned to produce the correct <i>m_i</i> by
20	selecting an appropriate CN distribution, i.e. the black lines of panel c have been tuned so that
21	their maximum magnitude corresponds to that of the green lines. One should recall that without
22	this tuning the maximum <u>mi</u> that developed was only 0.03 ng/m ³ , i.e. it would not be visible in
23	the figure. The "Wave" clouds on the other hand have not been tuned to match the OSIRIS
24	results. Despite the lack of tuning, there is a general agreement between the "Wave" clouds and
25	the OSIRIS observations, for all the three properties; radius, jce concentration, and m _i , even if
26	the latter is overestimated by the model at lower altitudes. This may be explained by a difference
27	in temperature variability (Figure 5b), which results in that the occurrence of cold temperatures
28	(<150 K) diminishes faster with altitude for OSIRIS than for CMAM (it goes below 50% at
29	83.7 km for OSIRIS and at 81.8 km for CMAM).

30 <u>However, at high altitudes, the raw clouds had much higher ice concentrations and much</u> 31 <u>smaller radii than what was retrieved. For example, at 86 km, the median ice concentration of</u> 32 the new clouds are 272 perticipe/ (m^3) and the median second large product the retrievel

32 the raw clouds was 273 particles/cm³ and the median raw radius was 12 nm, but the retrieval

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- 1 shows 28 particles/cm³ of 63 nm radius. The retrieval becomes marginally better for an assumed
- 2 <u>axis ratio of 2 (58 particles/cm³ of 40 nm radius, not shown), but the basic problem remains.</u>
- 3 The reasons for these under-/overestimations were discussed in the previous section. Here we
- 4 mainly stress that the retrieved "Wave" clouds may agree with OSIRIS observations, but the
- 5 measured radius and number densities cloud be far from those of the real clouds.

6 Clearly the "No Wave" clouds are restricted to a <u>narrower</u> altitude range than the OSIRIS

- 7 observations and the "Wave" clouds (the altitudinal range of the "No Wave" clouds is
- 8 insensitive to the choice of CN distribution and thus not affected by the aforementioned tuning).
- 9 This is easily explained by the static temperature profile, which simply causes conditions that
- 10 are too warm for clouds to grow below approximately 82.5 km (see Figure 1). In the variable
- 11 atmosphere on the other hand the clouds can still exist even when the average temperature (i.e.
- 12 the temperature used in the static case) is above the frost point, which explains the broader
- 13 altitudinal extent of the "Wave" clouds and the OSIRIS observations. Again we see that the
- 14 retrieval algorithm works better for the "No Wave" clouds, which as earlier explained, is due
- 15 to their more Gaussian size distributions.
- 16 , To summarize the "Wave" clouds agree reasonably well with the observations, whereas the
- 17 clouds from a stationary models are far too weak, and even if they are tuned to the correct m_{i_s}
- 18 they appear in a narrower altitude region than the OSIRIS observations show. The differences
- 19 between the "Wave" clouds and the observations may be due to the fact that, despite our efforts
- 20 to create a representative background environment, the corrected CMAM temperature and wind
- 21 fields are not exact representations of the real background atmosphere in which the clouds have
- 22 <u>been growing. Unfortunately, due to measurement errors of SMR temperature and water vapour</u>
- 23 and the lack of vertical wind measurements at the mesopause, the true atmosphere is not exactly
- 24 known. It may be possible to tune these fields, within the uncertainty of the measurements, to
- 25 get an even better agreement between the modelled clouds and the observations. However, since
- 26 these fields are functions of altitude and time (and obviously in the real atmosphere also of
- 27 horizontal position), there are many free parameters and the limited knowledge of the
- 28 <u>condensation nuclei adds even more. Therefore, such a tuning would need a significantly larger</u>
- 29 <u>data set.</u>

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Deleted: Another aspect where there is better agreement between the OSIRIS observations and the "Wave" clouds as compared to the "No Wave" clouds is where in the cloud layer the different quantities peak. For the OSIRIS observations and the "Wave" clouds the number density generally increases with altitude peaking above the IWC, whereas the mean radii increases with decreasing altitude and peaks at the bottom of the clouds, i.e. lower than the maximum IWC. For the "No Wave" clouds the individual profiles for mean radii, number density and IWC tend to peak at the same altitude (in Figure 7a we can see that some of the individual profiles show smaller mean radii at 83 km than at 82 km but these are the data points that were subject to retrieval issues as discussed in Section 4.3 and showed by the squares below the line in Figure 4b). . [1]

1 5 Conclusions

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In this paper we have used modelled NLC size distributions to investigate the accuracy of the 2 3 OSIRIS satellite retrieval algorithm by applying it on our modelled distributions and comparing the retrieved properties to those of the original distributions. We show that ice mass density is 4 5 well retrieved (within 30 %) whereas mean radius and jce concentrations are much less accurate. The retrieved mean radius is often larger than the actual mean radius especially for 6 7 small radii where there can be up to a factor of \mathcal{J} difference. The reason for the inaccuracy is 8 that the retrieval algorithm assumes a Gaussian size distribution, and when faced with the 9 multimodal distributions that often occur in the modelled clouds (and thus likely in the real 10 atmosphere), it will attempt to fit a Gaussian to the larger side of the distribution and miss the 11 lower modes, giving an overestimate of the mean radius. Since the size distributions tend to be 12 more multi-peaked the closer to the nucleation region one gets, this happens more often higher in the cloud where the particles are smaller. At the mesopause we can therefore expect large 13 14 differences in radius and ice concentration between the retrieved and true properties of the clouds. The jce concentration on the other hand, is retrieved fairly well for small jce 15 16 concentrations (which generally occur lower in the cloud where the size distributions are more 17 Gaussian), but is underestimated by typically a factor of 10 or more for the high jce 18 concentrations (which generally occur higher in the clouds where the size distributions are more 19 multi-peaked). 20 We proceed to compare the retrieved modelled clouds to those of the OSIRIS tomography retrieval runs. The temperature and water vapour fields used to drive the model were inferred 21 from the SMR measurements, which are collocated with the OSIRIS observations of ice 22 particles. We find that driving the model with stationary temperature and wind fields, as given 23 by the average of the SMR measurements, does not yield any observable clouds. In fact, for the 24 model to produce clouds of similar magnitude in ice content as what OSIRIS observes the 25

average temperature field needs to be reduced by 6 K, and even then the clouds that develop are not representative for the OSIRIS observations in that they consist of very small <u>ice</u> <u>concentrations</u> of too large particles. The reason why no clouds develop in the stationary atmosphere is that the sub-nanometer meteoric smoke particles are too small to be efficient condensation nuclei at the mesopause temperature of 131 K. We show that by increasing the size of the CN, and thus making them nucleate more efficiently, it was possible to generate

32 observable clouds. However, in order to generate clouds of <u>lice mass density</u> comparable to the

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1	OSIRIS observations, the CN need to be much larger than what we expect from models of	
2	transport and coagulation of meteoric material, or their nucleation properties need to differ	 Deleted:
3	significantly from the droplet theory that these models generally assume. Moreover, the	
4	altitudinal extent of the clouds produced by the stationary model did not match observations. It	 Deleted: characteristics, e.g. the
5	is worth pointing out that the stationary model setup used in Rapp and Thomas (2006) resulted	Deleted: ,
6	in observable clouds because they used the meteoric smoke distribution of Hunten et al. (1980)	Deleted: in this way
7	which later have been shown to greatly overestimate the number of larger (> 1 nm radius)	
8	meteoric smoke particles at the summer mesopause as compared to more advanced models	
9	(Megner et al. 2008b; Bardeen et al. 2008). Our stationary model reproduces the results of Rapp	 Deleted: ,
10	and Thomas (2006) if given the same CN distribution as input.	
11		
12	The region of the atmosphere where NLCs develop is far from stationary, as it is heavily	
13	influenced by wave activity, which infers large fluctuations in the temperature and wind field,	 Deleted: infer
14	making the actual temperature and winds very different from the average conditions. As a	
15	second step we thus imposed more realistic temperature and wind variations on the average	
16	SMR fields and used these varying fields as input for the model. <u>Considering the uncertainties</u>	 Deleted: The
17	of the temperature and wind fields at these altitudes the clouds produced in this way agree	
18	reasonably well with OSIRIS observations. Hence, our study suggests that the temperature and	
19	wind variations in the summer mesopause region are what drive the formation of the NLC, and	
20	that the average fields are not enough to quantitatively describe the process of NLC	
21	development. For future model studies we thus recommend to ensure that not only the averages	 Deleted: At the same time it is encouraging that a
22	of the atmospheric fields used to drive the model, but also the variations of these fields, are in	microphysical model, given realistic varying temperature and wind fields, is capable of producing clouds that, in all by
23	agreement with observations.	satellite observable aspects, agree well with the real clouds.
24	It should be pointed out that there is a clear difference in the size distribution between the clouds	
25	modelled using stationary atmospheric conditions and the more realistic clouds where varying	
26	temperature and wind field have been used. The former often have more Gaussian size	
27	distributions whereas the latter most of the time have multimodal size distributions. Since the	 Deleted: Since the latter clouds, in contrast to the former,
28	atmosphere is non-static the assumption of a Gaussian (or any single mode) distribution should	are in good agreement with observation of the real clouds, this means that
29	be treated with care. While it may still be justified to use a single mode distribution. simply	
30	from the fact that there is a limited number of free parameters one can retrieve using remote	
31	sensing techniques, the user of the data should be cautious of that the ice concentrations and	 Deleted: number densities
· ·		

- 1 mean radii retrieved in this way are likely not in agreement with what an in-situ particle counter
- 2 would detect.
- 3 Finally, we point out that while this study has concentrated on the OSIRIS satellite retrieval
- 4 algorithm, the main conclusions should be similar for other satellite retrievals that are based on
- 5 scattering techniques and using the same assumptions for retrieving microphysical parameters.
- 6

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11 supported by the Canadian Space Agency.



6 line) extended with SABER data (dashed line) and OSIRIS data (dash-dotted line). The stars

7 indicate the average frost point temperature.

8 9

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Figure 2. Jce mass density of a cloud generated by the "No Wave" model setup (top) and by the

"Wave" setup (bottom).





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6 SMR measurements. c) Average CMAM vertical winds and d) vertical wind variations.



- 1 Figure 6 Comparison between properties of the originally modelled clouds and what the
- 2 OSIRIS retrieval algorithm calculates. Stars indicate "Wave" clouds and boxes indicate "No
- 3 Wave" clouds. Black colour indicates that oblong particles with an axis ratio of 2 were assumed
- 4 in the retrieval, and grey colour indicates that spherical particles were assumed.
- 5





Figure 7. Typical examples of size distributions of the originally modelled clouds (red) and
what is retrieved by OSIRIS using an axial ratio of 2 (black) and of 1 (gray) for an altitude of
81 km (top) and 84 km (bottom). The grey area indicates the size interval where the top 90%
of the total radiance comes from, to give an indication of how the large side of the particle
distribution dominates the retrieval.







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Another aspect where there is better agreement between the OSIRIS observations and the "Wave" clouds as compared to the "No Wave" clouds is where in the cloud layer the different quantities peak. For the OSIRIS observations and the "Wave" clouds the number density generally increases with altitude peaking above the IWC, whereas the mean radii increases with decreasing altitude and peaks at the bottom of the clouds, i.e. lower than the maximum IWC. For the "No Wave" clouds the individual profiles for mean radii, number density and IWC tend to peak at the same altitude (in Figure 7a we can see that some of the individual profiles show smaller mean radii at 83 km than at 82 km but these are the data points that were subject to retrieval issues as discussed in Section 4.3 and showed by the squares below the line in Figure 4b).

To summarize it is clear that the "Wave" clouds agree well with the observations, whereas the "No Wave" clouds, despite having been tuned to the correct IWC, show different characteristics.

