



1 Contributions of meteorology and emission to the 2015 winter severe haze
2 pollution episodes in Northern China

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14 Abstract

15 Northern China in the 2015 winter months of November and December has witnessed the most
16 severe air pollution phenomena since the 2013 winter haze events occurred, which triggered
17 the first ever Red Alert in the air pollution control history of Beijing, with an instantaneous
18 PM_{2.5} concentration over 1 mg m⁻³. Analysis and modeling results show that the worsening
19 meteorology conditions are the main reason behind this unusual increase of air pollutant
20 concentrations and the emission control measures taken during this period of time have
21 contributed to mitigate the air pollution in the region. This work provides a scientific insight of
22 the emission control measures vs. meteorology impacts for the period.

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1 Introduction

Severe air pollution has been observed in China for the last 15-20 years, with an elevated fine particulate matter (PM_{2.5}) concentrations of annual mean ranging from 80 to 120 $\mu\text{g m}^{-3}$ and over 1000 $\mu\text{g m}^{-3}$ during some heavy haze episode. Haze phenomenon has become a major pollution problem in Northern China cities [Han *et al.*, 2013; L Wang *et al.*, 2015], which causes wide public concern and has a negative impact on human health and environment [Gurjar *et al.*, 2010; Kan *et al.*, 2012]. Therefore, it is necessary to comprehensively investigate the emission sources, meteorological factors, and other characteristics of the PM_{2.5} pollution in China and provide more effective control measures [L Wang *et al.*, 2008; S Zhang *et al.*, 2014].

Since the strict control measures of air pollutants over the country were enforced in 2013, a steady decrease of air pollutant concentrations has been observed with an annual mean PM_{2.5} concentration drop from about 85 in 2014 to 80 $\mu\text{g m}^{-3}$ in 2015 for Beijing, from 86 to 70 for Tianjin, from 99 to 85 for Langfang, from 120 to 105 for Baoding, and from 118 to 88 for Shijianzhuang (<http://www.mep.gov.cn/gkml/>). These decreases partially can attribute to the difference in the meteorology conditions but largely should attribute to the control measures taken.

However, the year of 2015 was an unusual year in terms of air pollution situation in Northern China. In the first half of the year, a steady decrease in major air pollutants was observed compared to 2014. However, in the last two months, a dramatic increase was found. The PM_{2.5} concentration reached as high 1000 $\mu\text{g m}^{-3}$ in Beijing and triggered the first ever Red Alert of severer air pollution in the city.



1 It is well known that different seasons and geographic locations will influence haze
2 pollution, which is closely related to local emission characteristics and meteorological
3 conditions [Huang *et al.*, 2013; W Li *et al.*, 2011; L Wang *et al.*, 2012]. In order to effectively
4 reduce the emissions, a detailed and accurate understanding of PM_{2.5} sources, their temporal
5 and spatial variations as well as their relationship with meteorology are needed to determine
6 the exact composition of PM_{2.5} in Northern China [W Li *et al.*, 2011; X Li *et al.*, 2015; J Tao *et al.*,
7 2014a] where the scientific control measures can be formulated. Source apportionment of
8 PM_{2.5} is usually based on analysis of its detailed chemical compositions, with source-related
9 information indicated by different chemical compositions to analyze the contributions from
10 different sources [Du *et al.*, 2011; M Tao *et al.*, 2014b]. However, the source contributions will
11 be changed by the time-varying emissions and affected by a changing meteorology [H Wang *et*
12 *al.*, 2014; S Zhang *et al.*, 2014], which makes it very difficult to separate the relative
13 contributions of them. The year 2015 is in the middle of an El Niño event around the globe
14 [Varotsos *et al.*, 2016]. Unusual climate and extreme weather happened everywhere. It has
15 been reported that more (less) haze events occurred during El Niño (La Niña) winter with
16 warmer (colder) Niño3.4-SST in association with ENSO [Zhao *et al.*, 2016, personal
17 communication].

18 This paper presents an analysis and modeling study of the last two months of 2015 air
19 pollution conditions in Northern China and explores the major reasons behind these unusual
20 increases from both the meteorological and emission points of views. To evaluate the
21 contribution of meteorology factors toward the severe pollution in the last two months of
22 2015, wind speed convergence lines, static wind frequency data and other parameters of



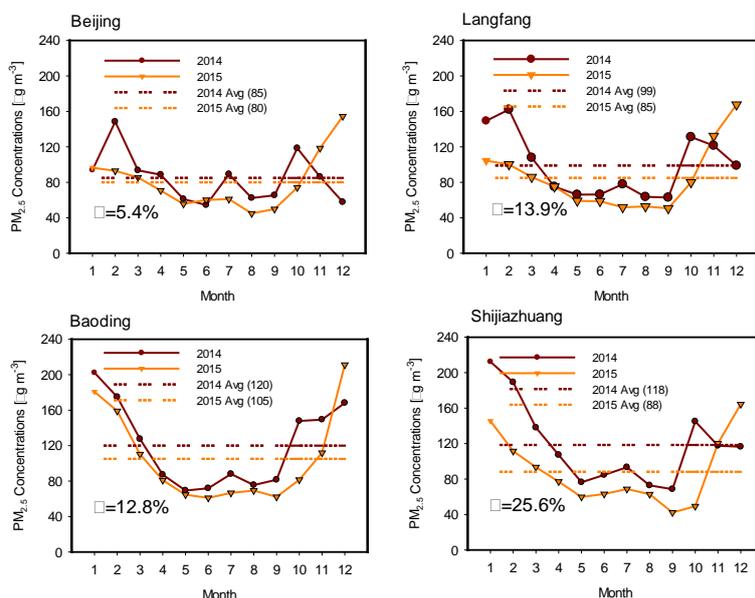
1 November and December in 2015 were specifically investigated and compared with data for
2 the same period of 2014. An analysis of this heavy haze pollution episode is also simulated with
3 the Chinese Unified Atmospheric Chemistry Environment (CUACE) model [Gong and Zhang,
4 2008] coupled with GRAPES_Meso, which is a numerical weather prediction model and has
5 been developed in accordance with strict software engineering requirements [Chen *et al.*, 2016;
6 R Zhang and Shen, 2008]. The integrated GRAPE-CUACE model [H Wang *et al.*, 2010; L Wang *et*
7 *al.*, 2015] provides haze simulation and forecasts in China and East Asia [L Wang *et al.*, 2012; R
8 Zhang and Shen, 2008]. The aim of this study was to provide information on the impact degree
9 and mechanism of meteorology variations on the PM_{2.5} haze pollution in this region.

10 2. Observations

11 The observational pollution data used in this study were from the near real time (NRT)
12 monitoring stations of the Ministry of Environmental Protection across the Northern China
13 (<http://www.cnemc.cn/>), with hourly concentrations of six major pollutants: PM_{2.5}, PM₁₀, SO₂,
14 NO₂, CO and O₃. Looking at the entire year data for 2014 and 2015, the annual mean
15 concentrations of PM_{2.5} are overall in a decreasing trend (Fig. 1). For four typical Northern cities
16 of Beijing, Langfang, Baoding and Shijiazhuang, the annual mean PM_{2.5} concentrations in 2015
17 are 5.4%, 13.9%, 12.8% and 25.6% lower than those in 2014, respectively. The two year
18 monthly mean PM_{2.5} concentrations (Fig. 1) indicate that from January to October, the
19 concentrations in 2015 are much lower than those at the same month in 2014. If the data for
20 November and December of 2015 were removed from the analysis, the drops in PM_{2.5}
21 concentrations in 2015 compared to 2014 would be 21%, 25.3%, 16.8% and 34.9% for Beijing,



- 1 Langfang, Baoding and Shijiazhuang, respectively, indicating the impact of the unusual increases
- 2 in December on the annual means for these cities.



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4 Figure 1: Comparison of monthly mean PM_{2.5} concentrations of 2015 and 2014 in Northern
5 China in four cities

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7 Regionally, the monthly mean PM_{2.5} concentrations in December 2015 saw a large increase

8 compared to the same month in 2014, ranging from 163% to 17% (Table 1) in Northern China.

9 Beijing had the largest increase of 163%, jumping from approximately 58 μg m⁻³ in 2014 to 151

10 μg m⁻³ in 2015 (Fig. 2). For the city of Langfang neighboring South Beijing, the December

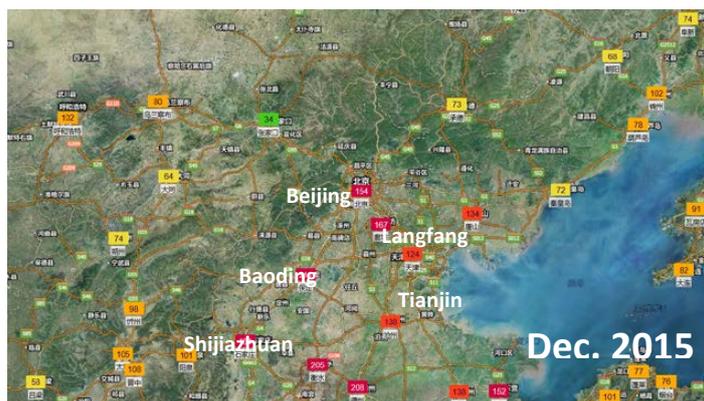
11 increase in PM_{2.5} concentration was 70%, changing from approximately 97 μg m⁻³ in 2014 to 165

12 μg m⁻³ in 2015. Other Pollutants were seen the similar increases as well (Table 1), except for

13 SO₂.



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4 Figure 2: Comparison of monthly mean PM_{2.5} concentrations of December 2015 and 2014 in
 5 Northern China

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7 Table 1: Change of major pollutants in December 2015 compared to December 2014

City	PM _{2.5} (μg m ⁻³)			SO ₂ (μg m ⁻³)			CO (mg m ⁻³)			NO ₂ (μg m ⁻³)		
	2014	2015	Diff(%)	2014	2015	Diff(%)	2014	2015	Diff(%)	2014	2015	Diff(%)
BJ	58	151	162.5	27	19	-30.4	1.54	2.86	85.5	53	76	44.5
LF	97	165	70.4	52	40	-22.4	1.92	3.06	59.2	53	76	42.8
SJZ	116	162	39.8	112	75	-33.3	2.23	3.27	46.4	64	76	19.6
BD	168	214	27.3	144	92	-36.3	4.14	4.64	12.2	75	99	31.8
TJ	107	125	17.7	75	45	-40.0	2.05	2.06	0.4	65	66	1.50

8 BJ: Beijing, LF: Langfang, SJZ: Shijiazhuang, BD: Baoding, TJ: Tianjin; Diff: 2015-2014



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2 Certain factors must have had a dramatic change to cause this to happen. In view of the
3 steady decreases of air pollutants across the Northern China in the first 10 months of 2015, it
4 can be inferred that the emission reduction measures implemented in the region was effective
5 in bringing the averaged concentrations of major pollutants down from 2014 to 2015, except
6 for the last two months. In next session, the meteorological conditions for the last two months
7 of 2015 will be analyzed in details to elucidate the reasons for this dramatic increase in
8 Northern China.

9 3. Meteorology Factor Analysis

10 Using the surface meteorological data from the CMA (China Meteorological Administration,
11 <http://www.cma.gov.cn/en2014/>) for 2014 and 2015, the wind speed convergence lines (WSCL)
12 averaged for November and December of 2014 and 2015 are constructed (Fig. 3). It is clearly
13 shown that the line has shifted northerly from southern Hebei Province in 2014 to the central
14 to North Hebei in 2015, crossing the middle of the Beijing City.

15 (a) 2014



(b) 2015



16
17 Figure 3: The wind speed convergence lines (WSCL) for November and December. (a) 2014 and
18 (b) 2015

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2 There are two consequences of the WSCL shifting. First of all, accompanied with the
3 northerly shift of the WSCL is the shifting of the stable atmosphere zone to the central Hebei
4 and Beijing areas in 2015, allowing the pollutants to easily accumulate along the lines. The
5 observed static wind frequency (SWF, wind speed less than 1 m s^{-1}) distribution clearly supports
6 this observation. Figure 4a is the regional distribution of SWF for November and December in
7 2015, showing a high frequency along the convergence line, with the SWF changes from 2014
8 (Fig. 4b). It is also clear that the increase is high along or on the north side of the line (Fig. 4b)
9 and the decreasing trend is on the south side of the line. Table 2 lists the SWF for four cities in
10 Northern China. Except for Shijiazhuang which had an unusual high SWF in 2014 and a
11 decreasing SWF in 2015, other cities were all experienced an increasing trend for stable
12 weather. Impacted heavily by the shifting, Beijing and Langfang had a 6-7% increase of SWF
13 compared to 2014. Even with a decreasing trend for SWF, Shijiazhuang had a similar SWF with
14 other cities with more than half of the days (>50%) under static stable environment. As a
15 coastal city, Tianjin was better than other cities (~38%).

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17 Table 2: Comparison of SWF (%) and RH (%) for November and December 2015 and 2014

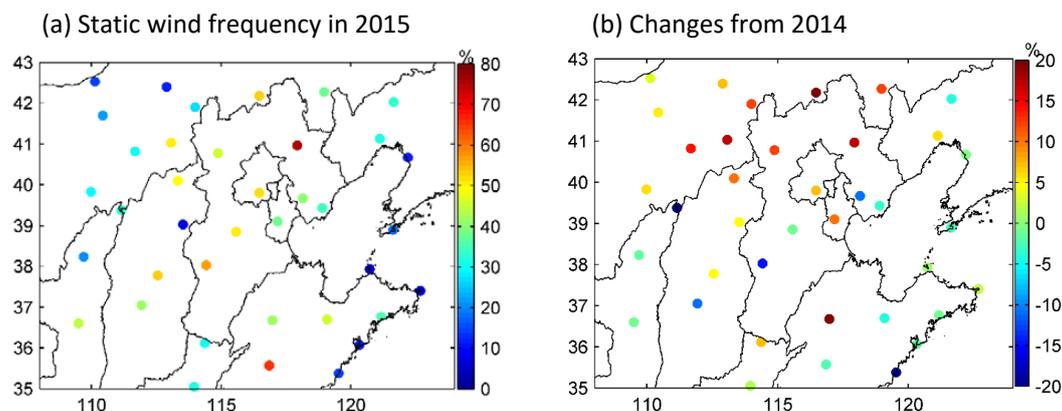
City	Beijing		Tianjin		Shijiazhuang		Langfang	
	SWF	RH	SWF	RH	SWF	RH	SWF	RH
2014	44	43	27	48	71	42	47	43
2015	51	70	38	71	56	72	53	71

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Figure 4: (a) The observed static wind frequency (SWF) distributions averaged for November and December in 2015; (b) Changes from 2014.

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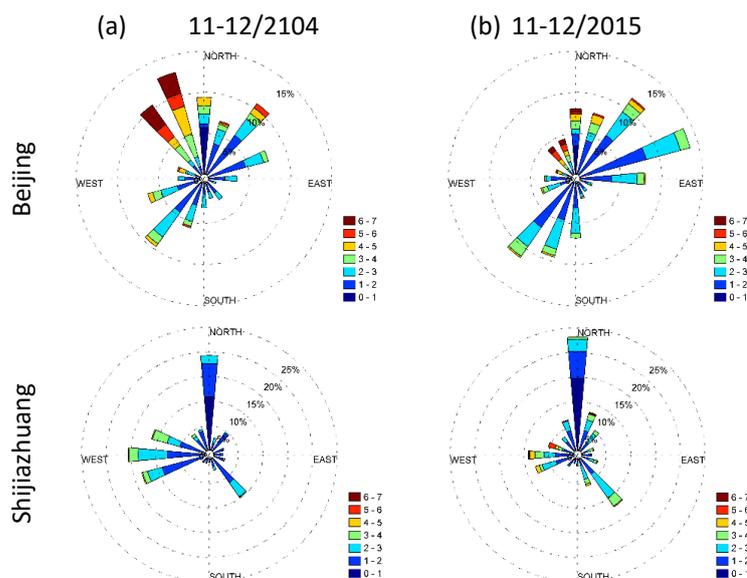
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In Beijing, the WSCL shifting in 2105 not only increased the SWF but also changed the wind directions. It is shown from Figure 5 that the north-west winds that usually diffuse the air pollution away from Beijing were reduced by about 16% in November and December of 2015 compared to the same period in 2014, while the south-west and north-east wind frequencies were increased by 11% that brought air pollution to Beijing. Compared to Beijing, the city of Shijiazhuang was not seen such a large change (Fig. 5). The SWF in Shijiazhuang was reduced, indicating a slightly better diffusing conditions compared to 2014, and the northerly wind frequency was even increased from about 20% in 2014 to 23% in 2015.



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3 Figure 5: (a) The observed wind frequency and directions (SWF) averaged for November and
4 December in 2014; (b) for 2015, respectively for Beijing and Shijiazhuang

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6 The second consequence of the WSCL shifting is the northerly movement of moisture

7 from the South. Figure 6 shows the averaged relative humidity (RH) for November and

8 December of 2015 (Fig. 6a) and changes from 2014 (Fig. 6b). It is obvious that as the shift of the

9 WSCL to North, the RH increases are primarily on the north side of the WSCL with an increase of

10 more than 27% in Beijing (other cities in Table 2). The impact of increasing RH has an adverse

11 influence on the visibility under the same loading of particulate matters and also promotes the

12 formation of secondary formation of particulate matters from gaseous species. Because of the

13 WSCL shifting, the increase of RH in Shijiazhuang was even larger than that in Beijing, at about

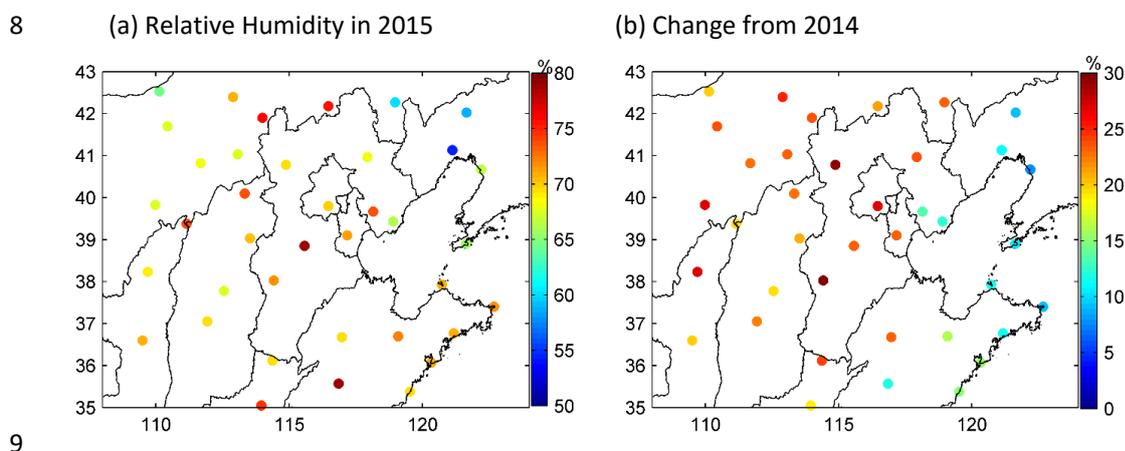
14 30%. Researches [Chang *et al.*, 2009] have shown that the extent of SO₂ oxidation to sulfate

15 and NO₂ oxidation to nitrate increased with the increase of relative humidity during both of the

16 episode daytime and nighttime pollution in Taiwan. Gund *et al.* [1991] found that the oxidation



1 rate of SO₂ to sulfate could increase by about 10 times if the RH increased from 40 to 80% in
2 sea-salt aerosols. If NO₂ (SO₂:NO₂ = 1:1) was added to the gas phase, the rate - for example at a
3 RH of 40% - could be increased by 24 times, indicating the enhanced conversion tendency of
4 SO₂ to PM_{2.5} by both RH and NO₂ [Gund *et al.*, 1991]. Though the detailed mechanism of this
5 enhanced oxidation in Northern China needs further study, the increased RH may partially be
6 attributed to the decreases of SO₂ during the heavy pollution months in 2015 winter as
7 compared to the same period of 2014 (Table 1).



10 Figure 6: (a) The observed relative humidity distributions averaged for November and
11 December in 2015; (b) Changes from 2014.

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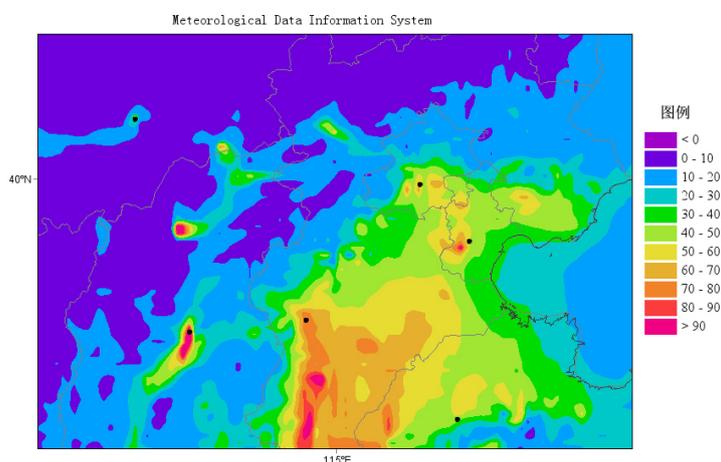
14 4. Modeling Results

15 In order to further explore the meteorological impact on the changes of the air pollution
16 situation between 2014 and 2015, a comparison of two years simulations with the same
17 emission data was performed for November and December. The differences of the results in



1 any air pollutants can be attributed to the difference in the meteorological conditions. The
2 CUACE model is run with a resolution of 9 km.

3 Figure 7 shows the December $PM_{2.5}$ concentration difference between 2015 and 2014. It is
4 clear that the metrological conditions alone have contributed to the worsening air quality
5 ($PM_{2.5}$) in Northern China, with a high degradation of about $50-90 \mu g m^{-3}$ in the southern Beijing
6 and southern Hebei regions in December 2015, corresponding well with WSCL from the surface
7 meteorological data analysis (Fig. 3), which indicates the more stable zone moving to closer to
8 southern Beijing.



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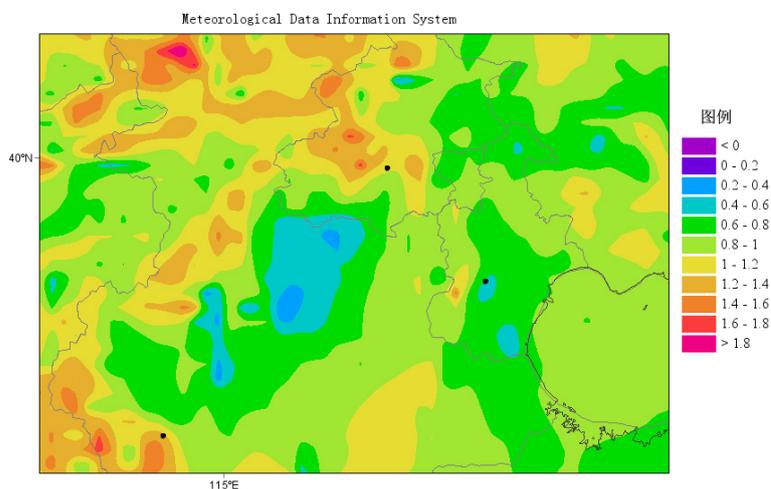
10 Figure 7: Simulated $PM_{2.5}$ difference between December of 2015 and 2014.
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12 From the modeling results, it can also be found out that the $PM_{2.5}$ difference percentage
13 due to meteorological difference between December 2014 and 2015 for the major cities in
14 Northern China is in the range of 40-180% (Fig. 8), a system-wide negative impacts on air
15 quality in the region. This simulated difference is a comprehensive consequence of the



1 meteorological impacts, including the circulation, dispersing ability, deposition, transports and
2 chemical reactions.

3 Table 3 is a summary of the difference for major cities in Northern China. The observed
4 percentage changes are all smaller than those by simulations, indicating that if no emission
5 controls measures were taken during this period, the observed difference would be much
6 larger than the reality. Therefore, it can be deduced that despite of the un-favorite weather
7 conditions that worsened the air quality in December 2015, the control measures have made a
8 great contribution to reduce the ambient concentrations in the region.



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10 Figure 8: Simulated PM_{2.5} percentage difference between December of 2015 and 2014.

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1 Table 3: Comparison of observed and simulated PM_{2.5} in December 2015 and 2014

City	Observed PM _{2.5} (μg m ⁻³)			Simulated PM _{2.5} (μg m ⁻³)		
	2014	2015	Diff (%)	2014	2015	Diff (%)
BJ	58	151	162.5	35	94	168.6
LF	97	165	70.4	44	93	111.4
SJZ	116	162	39.8	51	123	141.2
BD	168	214	27.3	78	139	78.2
TJ	107	125	17.7	75	94	25.3

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3 5. Conclusions

4 The meteorological data analysis and modeling study of 2015 winter heavy haze pollution
5 episodes were carried out to explore the causes of the unusual increase of haze (PM_{2.5}) in
6 November and December. It is found out that the monthly mean PM_{2.5} concentrations in
7 December 2015 saw a large increase compared to the same month in 2014, ranging from 163%
8 to 17%. As unusual atmospheric circulation in winter 2015 (El Niño event), the warm and wet
9 flow has been enhanced in North China and the WSCL has shifted northerly compared to 2014.
10 The SWH and RH increase 7 and 27% in Beijing, respectively. These changes of meteorology
11 brought more static stable weather, which was the primary responsibility for degradation of air
12 pollution in winter 2015. Modeling analysis further confirmed that the meteorological
13 conditions contributed to the worsening air quality in North China in winter 2015. The PM_{2.5}
14 concentration for the major cities in North China in December 2015 increased 40-180%
15 compared to the same period of 2014. With the same emission data in modeling for 2014 and



1 2015, the relative changes of pollution level between two years from modeling were larger than
2 observation, indicating the great contribution of the control measures.

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