### Response to Anonymous Referee #1

# We thank Referee # 1 for the comments and address each below. Our responses are denoted in blue texts.

In this study the authors report the formation of organosulfates from the photooxidation of C10-C12 alkanes. The effect of acidity, humidity, and solvent extraction on the formation and quantification of organosulfates were investigated. The authors evaluated possible mechanisms for the formation of the assigned organosulfates. The manuscript went through the ACPD open discussion process and got mixed reviews, with a number of good suggestions from the more critical reviewers. Based on my examination of the authors' responses to the reviewers' comments, I believe the concerns of the reviewers have been adequately addressed. This revised manuscript is well written and provides evidence for the importance of anthropogenic precursors in the formation of organosulfates that have also been identified in field studies. The manuscript could be published as is, but I have a few minor comments that follow.

# We thank referee #1 for its careful consideration of our article.

1. The effect of filter solvent extraction on the quantification of organosulfates was investigated by using either methanol or an acetonitrile/toluene mixture. The extracts were dried and then reconstituted in solvents, but not in the same solvents as the ones used in the filter extraction step. Tao et al. 2014 saw a difference in quantified organosulfates between an acetonitrile/toluene mixture and an acetonitrile/water mixture that were used in extraction and direct MS analysis, which suggest very different solubility of organosulfates in these solvents. What was the rationale for using a different solvent for the extraction and re-dissolution? Is there a concern that the initially extracted compounds remained undissolved during the re-dissolution step (i.e., the less polar aliphatic species more soluble in the acetonitrile/toluene solvent that were initially extracted from the filters were not re-dissolved in the acetonitrile/water mixture)?

Filter extractions were done using either methanol or an acetonitrile/toluene (70:30) solvent mixture in order to assess how well the extraction solvents remove the alkane-derived organosulfates from the Teflon filter media. Since we used a reverse-phase chromatography technique that employed methanol as the organic mobile phase, we reconstituted both sets of dried extracts in a 50:50 methanol/water solvent mixture in order to prevent any separation/chromatographic issues. This is consistent with what is usually performed in the literature (Surratt et al., 2008; Kristensen and Glasius, 2011).

However, it is possible that the concentrations of the aliphatic OSs could be underestimated due to the issue pointed out by the reviewer. For example, more work is clearly needed to better investigate/quantify this effect by using internal standards. In order to highlight this

point, we have added few sentences to the revised version as follows:

Lines 485-486: "It is important to note that the concentrations of the aliphatic OSs could be underestimated due to their potential partial re-dissolution in the reconstitution solutions."

Lines 497-499: "However, more work is needed to better characterize and elucidate the impact of solvent mixture on the quantitation of biogenic- and anthropogenic-derived OSs, especially compounds  $> C_{10}$ , by using internal standards."

2. The authors did not appear to directly respond to previous comments from Referee #3 posted during the ACPD open discussion stage. I think more attention needs to be paid to these comments:

"Further, it is unclear if the conclusion that enhancement of OS yields are due to increased acidity of the seed aerosol is really due to acidity, rather than an effect of seeding the experiments with an atomized solution containing more sulfate."

"Line 400: The authors claim that "the presence of acidic aerosols significantly increase OS formation in most cases". However, is this just an effect of using an atomized solution with more sulfate (0.06M ammonium sulfate + 0.06M sulfuric acid) in the acidic case versus only 0.06M ammonium sulfate in the non-acidified case? It may be a concerted effect of more available sulfate in the "acidic" case as well as acidity."

"Lines 484-486: Enhancement of OS due to acidified ammonium sulfate seed needs to be addressed with regard to the effect of just having introduced more sulfate into the experiments compared to the non-acidified case. See earlier Major Comment, 33."

In response to these comments, authors cited additional references, but the main concern is that by adding sulfuric acid to acidify SOA, the effect of varying the acidity is not cleanly separated from the effect in the increase in sulfate concentration. Cited references also use sulfuric acid to change SOA acidity. It is important to note that no control was conducted to show that under the same initial conditions, but with increased sulfate concentration there is not a change in organosulfate formation. There is not a disagreement that acidity can increase organosulfate formation, but a question of whether an increased concentration of sulfate can affect organosulfate formation.

As requested by reviewer #3, we have discussed the impact of the acidity on OS formation. We agreed with reviewer that most of the previous studies have investigated the impact of acidity by varying the concentration of sulfuric acid. However, in the cited reference (i.e. Chan et al., 2011), the authors have changed the acidity of the seed particles by adjusting the ratio of the aqueous  $(NH_4)_2SO_4/H_2SO_4$  solutions to produce a constant aerosol sulfate concentration of 30 µg m<sup>-3</sup> across the range of studied acidities. Hence the authors have previously reported that the OS formation is directly correlated with the increase of the

aerosol acidity and not due to the larger presence of sulfate. We agreed with reviewer that more work is needed to better understand the impact of sulfate concentration and aerosol acidity on the OS formation for different systems.

A few sentences have been added to discuss this point:

Lines 453-459: "It is important to point out that the effect of varying the aerosol acidity was not cleanly separated from the potential impact of larger concentrations of aerosol sulfate. However, Chan et al. (2011) have demonstrated that the formation of OSs from the oxidation of  $\beta$ -caryophyllene is directly correlated with aerosol acidity ([H<sup>+</sup>]). Indeed, the authors have changed the acidity of the seed aerosols by adjusting the ratio of the aqueous (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> solutions to produce a constant aerosol sulfate concentration of 30 µg m<sup>-3</sup> across the range acidities."

Tao, S., Lu, X., Levac, N., Bateman, A.P., Nguyen, T.B., Bones, D.L., Nizkorodov, S.A., Laskin, J., 870 Laskin, A., and Yang, X.: Molecular characterization of organosulfates in organic aerosols from Shanghai and Los Angeles urban areas by nanospray-desorption electrospray ionization high-resolution mass spectrometry, Environ. Sci. Technol., 48 (18), 10993-11001, 2014.

Response to Anonymous Referee #3

We thank Referee # 3 for the comments and address each below. Our responses are denoted in green texts.

#### Reviewer's Report on Revised Manuscript

The manuscript is much improved from the first version, as the experimental conditions are made clearer in this version (in particular the expected fate of RO2 radicals) and the discussion of the chemical mechanisms more complete. The analysis is better communicated and now further substantiates the conclusions reached. In addition, citation of the literature is now more precise. I would recommend it for publication after the remaining revisions requested are addressed. Reviewer's comments and requested revisions are in red text. Perhaps it was the submitted version of the manuscript, but some of the figures are not updated as authors say in response to Major Comments 7, 17, and 20. We thank referee #3 for its careful consideration of our article.

Response to Anonymous Referee #3

We thank Referee # 3 for the comments and address each below. Our responses are denoted in blue texts.

Review of "Characterization of Organosulfates in Secondary Organic Aerosol Derived from the Photooxidation of Long-Chain Alkanes"

Reviewer's Summary:

The authors characterize organosulfates (OSs) from the laboratory oxidation of dodecane, decalin, and cyclodecane under varying conditions of humidity and two different seed types (non-acidified, acidified). They observe overlapping organosulfates in the laboratory experiments and on filters from Pasadena, USA and Lahore, Pakistan, concluding that OSs from the oxidation of anthropogenic precursors may contribute to urban SOA. The results are novel and would be of interest to the readers of ACP; however, I would not recommend this manuscript for publication because it is not well-written and the conclusions are highly speculative. In particular, the proposed chemical mechanisms from the laboratory experiments

are not substantiated by a fundamental knowledge of the chemistry occurring in the reaction chambers used. The authors inconsistently address the fate of the RO2 radical within their laboratory experiments throughout the text and within the proposed mechanisms. There seems to be a mix of RO2 reacting with RO2, HO2, and NO, though they claim different regimes depending on what mechanism they are proposing to explain the OSs formed. For example, they state that reaction with NO is insignificant, yet they report a nitrate containing OS in the decalin system.

First, with regard to RO<sub>2</sub> chemistry: as demonstrated by Ehn et al. (2014), at ppb levels of NO (1-5 ppb; NO concentration in our study, < 1 ppb based on NO measurement) competition exists between RO<sub>2</sub> + NO, RO<sub>2</sub> + HO<sub>2</sub> and RO<sub>2</sub> autoxidation reactions. Nevertheless, ELVOC, though reduced, still formed, indicating that auto-oxidation does not occur solely under pristine conditions. It is important to point out that the high concentrations of VOCs used in this work favor involvement of RO<sub>2</sub> + RO<sub>2</sub> chemistry. In addition, previous work (Crounse et al., 2013), has also reported different RO<sub>2</sub> regimes, such as autooxidation or RO<sub>2</sub> + HO<sub>2</sub> reactions, in experiments using methyl nitrite as an OH radical source, similar to isopropyl nitrite used in our study. As discussed below in response to reviewer's comment # 10, RO<sub>2</sub> + NO reactions are minimal; however, the nitrated OSs at *m/z* 326 are also measured in low concentrations (ng/m<sup>3</sup>, Table S3).

Thank you for clarifying the conditions of the experiment. It is still interesting that while the OSs do not make up the majority of the OA produced, the OS-326 can make up anywhere from 3% to 14% of the total OS mass though.

Second: we do not claim that the proposed mechanisms represent the major reaction pathways of the photooxidation of the studied alkanes, but are tentatively proposed to explain the formation of the OSs identified in this study. Mechanisms have been clearly indicated as *proposed* branching of pathways of the alkane photooxidations presented to explain formation of specific OSs products consistent with MS<sup>2</sup> data. This approach to rationalizing OH oxidation products is universally applied in oxidation studies (Yee et al 2013; Bugler et al., 2015). Furthermore, the concentrations reported in Tables S1-S3 emphasize the fact that identified compounds are in low abundance regardless of the mass of SOA measured in all experiments.

It is certainly not expected that a fully exhaustive list of mechanistic pathways are presented for each studied alkane, but the proposed pathways to OS formation should be self-consistent with the conditions of the experiment and between precursors. Stated more broadly, the presence of analog OSs between two HC systems should not be proposed to form under different mechanistic pathways since the authors state similar chemical conditions for the systems studied. Further, the absence of an analog OS in one system and presence in another system should also be considered when proposing a mechanism that would likely happen in both systems. With the fate of the RO2 radical now clearly communicated and consideration of more analogous pathways between the precursor systems, the proposed pathways have more credence.

We agreed with reviewer #3 and we have tried to better discuss the different pathway leading to the identified OSs.

Finally: we stress to Reviewer #3 that neither the analytical work nor the interpretation of the MS<sup>2</sup> data were questioned. The tandem MS data are consistent with the structures proposed for products observed in both the lab-generated and ambient aerosol samples and we repeat that we clearly indicated in the text that the mechanisms presented are suggested as pathways leading to ions consistent with those observed and until authentic standards become available both the product structures and mechanisms of formation remain tentative. An additional and crucial comment we make is that the major objective of our study is to demonstrate that aliphatic organosulfates form via alkane oxidation, and offer one explanation for reports of aliphatic OSs in urban areas (Ma et al., 2014; Tao et al., 2014).

This is an interesting chemical feature that is different between the proposed mechanism for OS formation in dodecane compared to the C10 systems, not included in textual description on OS-279 proposed pathway. This point also is lost in general textual descriptions of epoxide route to OS from these HCs. The authors might consider highlighting this finding as a nuance between the systems and possibility of enhanced epoxide formation from C10 cyclic systems and subsequent OS formation. This fits better in the context of atmospheric relevance and motivation (confusing in the first version) as the authors cite the potential for SOA formation from C10 cyclic alkanes to be greater than linear or branched C12 alkanes.

We thank the reviewer for its comment and we have added a sentence to highlight this finding as suggested.

Lines 536-538: "It is interesting to note that OS formation through reactive uptake of epoxides have been only observed for cyclic alkanes, which is consistent with the larger concentration of OSs identified from the oxidation of cyclodecane and decalin."

They propose the formation of hydroperoxides in the case of dodecane experiments with high initial precursor concentrations and do not propose RO2 + RO2 chemistry, but for the C10 systems RO2 + RO2 reactions are proposed with some RO2 + HO2 reactions. They propose epoxide precursors in the C10 systems to OS formation, but not in the C12 system. In general, the proposed mechanisms are arbitrary and do not demonstrate careful control in the design of the experiments or understanding of the chemistry. This lack of understanding becomes clear because there are several areas where citations are used to support the current work, but the citations are used imprecisely and out of context. The manuscript would benefit from more clearly stated organizational structure (e.g. why some mechanisms are proposed in the main text versus the supplemental information).

First we would like to point out that pathways have been proposed that lead to structures consistent with the mass spectrometric data acquired for the observed OSs. We have not attempted to hypothesize general mechanism that would be predicted to give the entire array of precursors contributing to the total mass of SOA. Regarding the possibility of an epoxide precursor to OS-279, we considered the possibility of formation of OS-279 from the reactive uptake of the corresponding epoxide ( $C_{12}H_{24}O$ ); however, the composition of OS-279 (1 DBE) is inconsistent with reactive uptake of an epoxide. Therefore, we have used the few studies available in the literature (Yee et al., 2012; 2013) to propose the formation of OS-279 from the heterogeneous chemistry of hydroperoxides. Finally, we have considered the potential heterogeneous chemistry of hydroperoxides formed from the photooxidation of decalin and cyclodecane as discussed above.

The authors should also clarify motivation in the experimental selection of two C10 cyclic alkane structures and one C12 straight chain structure.

These compounds have been selected due to their potential contribution to SOA formation in the atmosphere. Recent studies have investigated the SOA formation from decalin and dodecane oxidations and reported large SOA yields (Yee et al., 2013; Hunter et al., 2014). Moreover, Pye and Pauliot (2012) have shown that, even though less emitted into the atmosphere, the cyclic  $C_{10}$  alkanes have a greater potential for SOA formation than linear or branched alkanes <  $C_{12}$ .

Check all misspellings on reference to Pauliot. Should be Pouliot. The change has been made as suggested.

122:

A few sentences have been added to better explain our selection of parent VOCs on lines 118-

"These alkanes were selected based on their potential contribution to atmospheric SOA formation (Hunter et al., 2014). Studies have demonstrated that cyclic compounds (<  $C_{12}$ ) are expected to be more efficient SOA precursors than linear or branched alkanes with the same number of carbons (Lim and Ziemann, 2005; Pye and Pauliot, 2012). Alkanes  $\geq C_{10}$  are considered as effective SOA precursors, especially when placed in the context of their emission rates (Pye and Pauliot, 2012)."

The brevity of the discussion of results on the OSs from dodecane photooxidation are quite brief relative to the other sections interpreting the results from decalin and cylodecane, and the effects of chemical structure are glossed over in brevity.

As mentioned in lines 229-231, low abundances of OS-209 and OS-237 precluded acquisition of high-resolution  $MS^2$  data, and thus, structures have not been proposed for the parent ions. Without structural information, discussion of formation pathways is not possible. The criticism of our conclusions as "highly speculative," is not consistent with request for more detail on the chemical structure of products formed in abundances too low to obtain high-resolution  $MS^2$  data.

Thank you for adding this clarification in the revised version.

Further, it is unclear if the conclusion that enhancement of OS yields are due to increased acidity of the seed aerosol is really due to acidity, rather than an effect of seeding the experiments with an atomized solution containing more sulfate. These concerns are outlined in detail below.

This point has been previously discussed and published work demonstrates that acidity, rather than concentration of sulfate, is the key parameter (reference cited in the article) in the formation of OSs. Chan et al. (2011) have reported that the formation of OSs from the oxidation of  $\beta$ -caryophyllene is directly correlated with the aerosol acidity ([H<sup>+</sup>]).

Thank you for clarifying this; the authors should consider adding such discussion to the manuscript to clarify the experimental methods and design as in Major Comment 33.

We have now discussed this point in the manuscript as suggested by the reviewer:

Lines 453-459: "It is important to point out that the effect of varying the aerosol acidity was not cleanly separated from the potential impact of larger concentrations of aerosol sulfate. However, Chan et al. (2011) have demonstrated that the formation of OSs from the oxidation of  $\beta$ -caryophyllene is directly correlated with aerosol acidity ([H<sup>+</sup>]). Indeed, the authors have changed the acidity of the seed aerosols by adjusting the ratio of the aqueous (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> solutions to produce a constant aerosol sulfate concentration of 30 µg m<sup>-3</sup> across the range acidities."

### Major Comments:

1. Lines 58-61: These lines are specious in the use of citations and misleading. First, as written, these lines assert that the underestimate of global SOA is equivalent to an underestimate in urban SOA. Second, the references cited (Pye and Pouliot, 2012; Tkacik et al., 2012) do not specifically argue that the underestimate in predicted SOA is due to the omission of IVOCs. A better reference here based on the lines as written would be (Robinson et al., 2007). Pye and Pouliot, 2012 can be cited for exploring additional mechanisms (oligomer formation) from alkane and PAH in SOA formation, and Tkacik et al., 2012 can be cited for providing additional evidence that IVOCs may be a missing source in modeling urban SOA, but the authors need to reword these lines carefully and be more precise.

Sentence has been modified on lines 59-62 as follows:

"The omission of intermediate volatility organic compounds (IVOC) as SOA precursors, such as alkanes or polycyclic aromatic hydrocarbons (PAHs), could contribute in part to the underestimation of SOA mass observed in urban areas (Robinson et al., 2007; Tkacik et al., 2012)."

Line 65: References here should include Yee et al., 2013 which more specifically addresses analogous to Lim and Ziemann, 2005 the products and mechanisms of C12 alkanes of varying structures.

References have been added.

3. Line 66: Tkacik et al., 2012 should be included here for presenting yields from several alkane systems.

Reference has been added.

- Line 71: For this discussion on structure and fragmentation, additional reference should be cited (Lambe et al., 2012).
   Reference has been added.
- 5. Line 107: The authors assert that acid-catalyzed reactive uptake has not been reported for the oxidation of alkanes. This is not true. Atkinson, Lim, and Ziemann have shown that alkane oxidation leading to 1,4-hydroxy carbonyls convert to cyclic hemiacetals in an acid-catalyzed multi-phase process (Dibble, 2007; Atkinson et al., 2008; Lim and Ziemann, 2009a, 2009b). Schilling Fahnestock et al., 2015 also report the effect of acidity on SOA formation from C12 alkanes.

This statement has been removed from the revised version of the manuscript.

references, which have already characterized both sites, are cited.

6. Lines 123-124: Can the authors give more background on these two sites to orient the reader also with the motivation/purpose of this study? What types of sites are these—urban with what type of emissions profiles and surrounded by vegetation, etc.? As it is highlighted in the abstract, the motivation for our study was to demonstrate the formation of OSs from the oxidation of alkanes, which has been inferred from previous field studies. Description of both sites is presented in the experimental section and

The following sentences have been added:

Lines 185-188: "As stipulated previously at both urban sites, anthropogenic activities (e.g., vehicular exhaust, industrial sources, cooking, etc.) likely dominated the organic aerosol mass fraction of  $PM_{2.5}$  (Stone et al., 2010; Hayes et al., 2013). In addition, Gentner et al. (2012) have reported significant emission of long-chain alkanes during the CalNex field study."

7. Line 222-223: It would be helpful to label the 1,3-dodecanone sulfate in Figure 1 to aid the reader. The authors should be careful with their naming convention here (i.e. 1,3-), as this particular isomer certainly is not the only potential isomer and is not the only isomer specified in Yee et al., 2012 and Yee et al, 2013. The OS has been labeled in Figure 1. We agree with referee #3 that other isomers are present since we identified at least 3 isomers as mentioned on line 236 and reported in Table S1.

The OS has not been labeled accordingly in Figure 1. Please make the change so it is easier for reader to follow in-text and figure.

The OS is now labeled (as 6-dodecanone-8-sulfate) in the new version of the manuscript.

The sentence has been modified on lines 239-242 to:

"Kwok and Atkinson (1995) have reported that OH oxidation of long-chain alkanes preferentially occurred at an internal carbon and thus multiple isomers may be proposed. Based on Yee et al. (2012; 2013) one isomer may be, however, assigned as 6-dodecanone-4-sulfate."

As currently drawn in Figure 1 for OS-279, the naming should be changed from 6dodecanone-4-sulfate to 6-dodecanone-8-sulfate, no? Also, the particular isomer chosen here should just be an example isomer for the purpose of drawing the mechanism. It is unnecessary and would be incorrect to cite Yee et al., (2012; 2013) for this isomer as that work also does not "assign" a specific isomer or isolate a specific isomer from the measurements.

The sentence should be modified to:

"Kwok and Atkinson (1995) have reported that OH oxidation of long-chain alkanes preferentially occurred at an internal carbon and thus multiple isomers may be proposed. One such isomer, 6-dodecanone-8-sulfate, is drawn in Figure 1 to represent a proposed structure for OS-279."

We agreed and we have revised the sentence as suggested (Lines 239-242).

8. Lines 226-230: The reference cited, Ruehl et al., 2013, is improperly used here. Ruehl et al., 2013 describes the heterogenous oxidation of octacosane and finds a strong preference for OH attack at the terminal carbons. The current work, however, is gas-phase oxidation, so the specificity of the isomers as listed in lines 228-229 should be rethought. Further, the naming convention for these isomers are inconsistent with the naming convention in line 222-223. It seems as though the 1, 3-dodecanone denotes the 1 position as the ketone,

whereas here the reference to 2, 4-, 3,5-, and 4,6- and other isomers suggests the 1 position is likely the carbon at the end of the dodecane chain.

Sentence has been removed and naming of the molecule is now consistent with line 242. As discussed by Kwok and Atkinson (1995), reaction occurs preferentially on internal carbons and the sentence has been changed as proposed in the previous point.

9. Lines 234-237: This is a poorly worded sentence. It is unclear in relation to the context of the current work, and there is imprecise use of citations. Hydroperoxides can undergo further oxidation by reaction with OH, but to generate alkoxy radicals from hydroperoxides, that would likely include photolysis. The authors need to address the extent of photolysis in the experiments then. Or are the authors referring to reactions of RO2 + NO to generate alkoxy radicals? If so, then the authors need to address the extent of RO2 + NO occurring in the experiments. If the former, rewrite as, "First-generation hydroperoxides can undergo further oxidation by reaction with OH to form low-volatility, more highly oxidized products, or can be photolyzed to alkoxy radicals (RO) to form more highly volatile products." The use of Carasquillo et al., 2014 here is inappropriate to discuss the oxidation of hydroperoxides as written. Carasquillo et al., 2014 describe the fate of differing alkoxy radical structures and how it relates to the mechanism proposed in Figure 1.

We thank the reviewer for its comments, sentences have been changed to simplify and clarify this paragraph.

Insertion should be made to the sentences below as indicated in red. The insertion has been made as suggested (Line 253).

Lines 249-255: "First-generation hydroperoxides  $(C_{12}H_{26}O_2)$  can undergo further oxidation by reaction with OH to form either more highly oxidized products, such as dihydroperoxides  $(C_{12}H_{26}O_4)$ , or semi-volatile products  $(C_{12}H_{24}O)$  (Yee et al., 2012). In addition, hydroperoxides can be photolyzed to alkoxy radicals (RO) undergoing additional transformation to form more highly oxidized products. Low-volatility products could then condense onto sulfate aerosols and undergo further heterogeneous reactions (Schilling Fahnestock et al., 2015) leading to OSs as discussed below." 10. Lines 237-243: The authors can cite Raff and Finlayson-Pitts, 2010 for IPN as an OH radical source, but it cannot be cited to fully account for the chemical conditions (i.e. the fate of RO<sub>2</sub>) in the current experiments without considering the differences between their experiment and that of Raff and Finlayson-Pitts, 2010. The authors should report NOx levels in these experiments to verify the claim that RO2 + NO reactions are minimal. Also, how is O3 formed in these experiments? The authors need to calculate (considering the relatively high levels of initial hydrocarbon), the relative fate of RO2 between reaction with RO<sub>2</sub>, HO<sub>2</sub>, and NO. The proposal that OS-279 stems from hydroperoxide species in Figure 1 seems least inappropriate if RO<sub>2</sub> fate is really dominated by reaction with RO2 and/or NO.

In order to provide additional support for the proposed mechanisms, total organic peroxide measurements have been performed. These results which are now reported in Table 1 reveal that organic peroxides (including hydroperoxides) could contribute up to  $\sim$  28 % (on average) of the SOA mass formed from the photooxidation of the precursors used in this work. These measurements highlight the significant presence of organic peroxides and/or hydroperoxides in aerosol and thus support the proposed mechanisms. In addition to the organic peroxide measurements, concentrations of O<sub>3</sub> and NO were also added in Table 1 to confirm the low-NO conditions cited in this work.

The description of the organic peroxide measurements has been added, lines 211-219, revised manuscript:

**"Total Organic Peroxide Analysis.** The total organic peroxides in the SOA were quantified using an iodometric-spectrophotometric method adapted from Docherty et al. (2005). As described in Surratt et al. (2006), the method employed in this work differs in the choice of extraction solvent: we used a 50:50 (v/v) mixture of methanol and ethyl acetate, rather than pure ethyl acetate. Calibrations and measurements were performed at 470 nm using a Hitachi U-3300 dual beam spectrophotometer. Benzoyl peroxide was used as the standard for quantification of organic peroxides formed from alkane oxidations. The molar absorptivity measured from the calibration curve was ~ 825, which is in excellent agreement with reported values (Docherty et al., 2005; Surratt et al., 2006)."

A discussion of the results of the organic peroxide measurements has been added, lines 261-265, revised version:

"In addition, total organic peroxide aerosol concentrations, presented in Table 1, reveal that organic peroxides account (on average) for 28 % of the SOA mass measured in the different experiments in support of a significant contribution of  $RO_2 + RO_2/HO_2$  and/or  $RO_2$  autoxidation to SOA formation from alkane oxidations."

In our experiment we did not add NO (prior to IPN injection) and background NO levels were measured near the detection limit of the NO<sub>x</sub> monitor (i.e., 1 ppb). After IPN injection a significant increase of O<sub>3</sub> was observed in all experiments (as described lines 258-260) and NO concentration dropped below 1 ppb. We agree that NO<sub>2</sub> is photolyzed in these systems and NO is recycled. However, under the conditions described here (and in the article on lines 258-260 and in Table 1) most of the NO is expected to react with O<sub>3</sub>. Rate constants for the RO<sub>2</sub> + NO and NO + O<sub>3</sub> reactions were determined to be  $4.7 \times 10^{-12}$ cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> ( $k_l$ ) (suggested by MCM; Ehn et al., 2014) and 1.8 × 10<sup>-14</sup> cm<sup>3</sup> molecule<sup>-1</sup> s<sup>-1</sup> (Atkinson et al., 2000; IUPAC) ( $k_2$ ), respectively. Gratien et al. (2010, ES&T, 44, 8150-8155) have calculated the OH radical concentration to be  $5 \times 10^6$ molecule cm<sup>-3</sup> from the photolysis of 5 ppm of isopropyl nitrite (IPN). In our experiments, 0.1-0.25 ppm of IPN was injected into the chambers. Using similar OH radical concentration to Gratien et al. (2010, ES&T) as an upper limit, RO<sub>2</sub> concentration could be estimated to be at ppt levels. Therefore, under the conditions of our study and assuming an O<sub>3</sub> concentration of 0.5 ppm, NO would react predominantly with O<sub>3</sub>:  $(k_2[O_3])$  $[NO])/(k_1[RO_2][NO]) > 350.$ 

### Lines 258-260 have been modified:

"Although  $RO_2$  radicals could also react with NO formed by either IPN or  $NO_2$  photolysis, formation of ozone under chamber conditions (0.3-0.6 ppm, depending on the concentration of IPN injected, Table 1) would rapidly quench NO (Atkinson et al., 2000). Therefore,  $RO_2$  + NO reactions are not expected to be significant."

Finally, the photooxidation of dodecane has been also investigated using an additional injection of NO (200 ppb) prior IPN injection. NO concentration dropped below the ppb level in less than 1 hour and OS concentrations were significantly reduced (factor of 3-4) compared to other experiments, confirming that NO concentration does have an impact on OS formation.

One sentence has been added to describe this experiment, lines 274-277:

"It should be mentioned that photooxidation of dodecane has also been investigated using an additional injection of NO (200 ppb) prior IPN injection. In this experiment SOA formation was significantly reduced as well as the OS concentrations (factor of 3-4), confirming that NO strongly impacts the formation of OS, such as OS-279."

These clarifications on the chemical regimes taking place during the experiments greatly enhance the quality of the manuscript and better substantiate the mechanisms proposed and conclusions reached. Thank you.

11. Table 2: The authors never describe the origin of the C7 (OS-209) and C9 (OS-237) organosulfates observed in the dodecane system and also observed in the ambient samples. This is another indication that fragmentation pathways are at play, potentially through RO2 + NO reactions in the system. The authors need to be careful in explaining the fate of the RO2 radical in their experiments and whether the ambient observation of these OSs can really be attributed to dodecane chemistry in the atmosphere when they may clearly originate from other precursors. The authors need to also describe the potential influence of monoterpenes at the sites they have taken samples from to preclude OS origin from biogenic precursors, as they say themselves that C10 monoterpene OSs are isomeric to some proposed in the C10 alkane systems. How good is RT matching/SICs for confirming that the laboratory generated OSs are really the same as those in ambient data? What measurements in these locations suggest that decalin, cylodecane, and dodecane are prevalent here?

As mentioned in lines 229-231, low abundances of OS-209 and OS-237 precluded acquisition of high-resolution  $MS^2$  data, and thus structures have not been proposed for these parent ions. Without any compositional information, reaction pathways cannot be discussed. As shown by Yee et al. (2012), hydroperoxides can be photolyzed to RO radicals, which fragment to smaller carbonyls. The potential formation of RO radicals from photolysis of hydroperoxides has been added in the revised version of the manuscript.

Isobaric compounds could likely be formed in the atmosphere, however, structures would be significantly different and isomers could be distinguished in most of the cases. Although we cannot completely rule out co-elution of some isobars, Figure 7 illustrates the most likely typical situation, in which isobars from the photooxidation of cyclodecane and decalin have different retention times (R.T.), allowing differentiation. OSs are known to form from the oxidation of monoterpenes and several isobaric OSs have been identified: OS-249, -251, -267, -279 and -326. Structures proposed in previous work are significantly different from structures proposed in this work and thus should be separated by liquid chromatography. We have analyzed ambient filters collected during SOAS campaign in rural areas (Centerville, Alabama, US) and find that the R.T.s of monoterpene-derived OSs are different from those of the OSs identified from the oxidation of the alkanes studied in this work.

For example:

OS-249: from monoterpenes: 10.3 min; cylodecane: 8.5/9.3 OS-279: from monoterpenes: 6.2 min; cylodecane: 5.8/6.8

Thank you for this clarification.

We do not have access to potential collocated measurements during both field measurements, however, results proposed by Gentner et al (2012) tend to support significant emissions of long-chain alkanes in California and especially during CalNex.

The following sentences have been added:

Lines 185-188:

"As stipulated previously at both urban sites, anthropogenic activities (e.g., vehicular exhaust, industrial sources, cooking, etc.) likely dominated the organic aerosol mass fraction of  $PM_{2.5}$  (Stone et al., 2010; Hayes et al., 2013). In addition, Gentner et al. (2012) have reported significant emission of long-chain alkanes during the CalNex field study."

11. Lines 246-247: The citation of Claeys et al., 2004 is inappropriate here. The authors propose that "heterogenous chemistry of gas-phase organic peroxide" is a mechanism for OS and tetrol formation, citing Claeys et al., 2004. Yet, Claeys et al., 2004 state, "The mechanism we suggest, reaction with hydrogen peroxide under acidic conditions in the aerosol liquid phase...," which is not consistent with the heterogeneous mechanism proposed in the current work and in Riva et al., 2015b. The difference in humidity should also affect the distribution of hydroperoxide compounds in the gas/particle phase. The

authors should address this in the context of mechanistic explanations for their observations.

We agree with reviewer that Claeys et al. is an inappropriate reference to be used here and we have removed it. We have shown in previous work and in another manuscript currently under review that organic peroxides could lead to OSs and polyols from aerosol-phase acid-catalyzed reactions. It is not clear how the RH could directly impact the distribution of the hydroperoxides as suggested by the reviewer. However, we have reported that the liquid water content of the aerosol plays an important role, but the acidity has a stronger impact on OS formations.

Thank you for clarifying.

- Lines 256: Several citations should be added here. Include reference to works by Lim and Ziemann, Lambe et al., Yee et al., Loza et al., Tkacik et al.
   References have been added.
- 13. Lines 284-286: The mechanism described in text corresponds with the pathway in Figure S8, pathway c, not pathway a. Authors should rewrite these lines to describe pathway a. It also becomes clear here that the authors are not consistent with description of the chemistry proceeding in the chamber. In Figure S8, the fate of RO2 is initially reaction with RO2, but then in pathway a, it shifts to RO2+HO2. The selected pathways seem arbitrary to explain the proposed structure in Figure 2a.

Figure S8 describes sequential reactions via  $RO_2$  leading to a ring opened ketoperoxy transient. Three branching reactions are available to transient: reaction with HO<sub>2</sub> leading to the structure proposed for OS-265, pathway **a**; further reaction with an RO<sub>2</sub> species leading to the structure proposed for OS-265 and OS-281, pathway **b**; or isomerization and reaction with O<sub>2</sub> eventually leading to OS-281 and OS-297, pathway **c**. Figure S8 does not therefore represent a "shift" in chemistry, but branching reactions leading to three observed product ions. We note that the RO radical precursor to pathways a, b and c may also result from an  $RO_2 + HO_2$  reaction (Kautzman et al., 2010; Birdsall et al., 2011). Since it is not possible to distinguish whether RO originates from  $RO_2 + RO_2$  or  $RO_2 + HO_2$  reaction has been added to all mechanisms.

This paragraph has been changed to be consistent with proposed mechanism.

Lines 310-314: "A scheme leading to the structure proposed in Figure 2a is based on the cleavage of the  $C_1-C_2$  decalin bond, followed by reaction with a second  $O_2$  molecule and  $HO_2$  leads to a terminal carbonyl hydroperoxide ( $C_{10}H_{18}O_3$ ) (Yee et al., 2013).  $C_{10}H_{18}O_3$  could then further react with OH radicals and lead to an epoxide and sulfate ester by reactive uptake/heterogeneous chemistry (Paulot et al., 2009)."

14. Line 290: It is unclear whether the analytical technique is sufficient for seeing hydroperoxide moieties on molecules as they are included in the proposed structures. Were hydroperoxide standards such as t-buytylhydroperoxide or cumene hydroperoxide run using this method to verify that the hydroperoxide moiety can be retained on the column? Or is there something about the organosulfates that allow for this? The authors should address this in the experimental methods section as well.

Such compounds can be retained on the column used in this project and we have demonstrated this for another project with a synthetic isoprene hydroxyhydroperoxide standard (ISOPOOH). As an example, please see the chromatogram below:



Moreover, Witkowski and Gierczak (2012) have recently developed a method to quantify hydroperoxide compounds formed from the ozonolysis of cyclohexene. The authors used a column similar ( $C_{18}$ ) to that used in the present work. Electrospray ionization mass spectrometry operated in negative mode (Cech and Enke, 2001; Witkowski and Gierczak, 2012) is not highly sensitive to detection of non-acidic compounds, such as pure hydroperoxides or alcohols. However, LC/ESI(-)-MS provides excellent sensitivity for multifunctional compounds (like hydroperoxides and alcohols) containing the OS functional group, since the OS functional group yields an intense [M - H]<sup>-</sup> ion, as reported in many studies (Surratt et al., 2008; Kristensen et al., 2011; Kundu et al., 2013; Hansen et al., 2014).

As mentioned line 340-342, we expect to detect the presence of hydroxyl or hydroperoxide functional groups when the OS group is present in the multifunctional compounds analyzed by LC/ESI(-)-MS.

The following sentences has been added:

Lines 340-346: "As a result, the presence of hydroperoxide and/or hydroxyl substituents is expected in order to satisfy the molecular formulas obtained by the accurate mass measurement. Although ESI-MS in the negative ion mode is not sensitive to multifunctional hydroperoxides and alcohols (Cech and Enke, 2001; Witkowski and Gierczak, 2012), this technique is highly sensitive to hydroperoxides and alcohols which also contain OS groups and give  $[M - H]^-$  ions (Surratt et al., 2008; Kristensen et al., 2011; Kundu et al., 2013; Hansen et al., 2014)."

Thank you for this excellent clarification.

15. Lines 295-296: The work of Yee et al., 2013 and Schilling Fahnestock et al., 2015 do not test decalin, so they should not be cited here to support proposed formation of a 1-hydroperoxy radical in the decalin system used in the current work. While the mechanisms laid out in Atkinson, 2000 can apply here, as worded it seems as if the authors are proposing the particular alkoxyl reference.

In Yee et al. 2013 they studied the oxidation of hexylcyclohexane and cyclododecane and they proposed (Figure 1, sidebar, Yee et al. 2013) a ring scission and formation of a terminal carbonyl hydroperoxide as proposed in Figure 3. We do not claim that both studies have investigated the oxidation of decalin but have used the analogous ring scission sequence to explain our products. To avoid confusion regarding the content of the Yee citation, we have moved the citation in the text to follow the description of ring scission. Sentence has been modified in the revised manuscript.

Lines 348-352: "Under low-NO<sub>x</sub> conditions, abstraction of a proton  $\alpha$  to the ring scission of decalin followed by reaction with O<sub>2</sub> leads to the 1-hydroperoxy radical, which in turn can react with another RO<sub>2</sub> radical to yield the corresponding alkoxyl radical (C<sub>10</sub>H<sub>17</sub>O') (Atkinson, 2000). Cleavage of the C<sub>1</sub>-C<sub>2</sub> decalin bond, followed by reaction with a second O<sub>2</sub> molecule and HO<sub>2</sub> leads to a terminal carbonyl hydroperoxide (C<sub>10</sub>H<sub>18</sub>O<sub>3</sub>) (Yee et al., 2013)." Please revise Lines 348-352 to be more precise as below. The reference placement is still confusing, implying that specific C10 compounds were observed in the citations provided, though they are just being used for analogous mechanistic pathways.

Lines 348-352: "Following analogous mechanisms for low-NO<sub>x</sub> conditions (Atkinson, 2000; Yee et al., 2013), abstraction of a proton  $\alpha$  to the ring scission of decalin followed by reaction with O<sub>2</sub> leads to the 1-hydroperoxy radical, which in turn can react with another RO<sub>2</sub> radical to yield the corresponding alkoxyl radical (C<sub>10</sub>H<sub>17</sub>O). Cleavage of the C<sub>1</sub>-C<sub>2</sub> decalin bond, followed by reaction with a second O<sub>2</sub> molecule and HO<sub>2</sub> leads to a terminal carbonyl hydroperoxide (C<sub>10</sub>H<sub>18</sub>O<sub>3</sub>)."

The sentences have been revised as suggested (Lines 350-355).

17. Line 298/Figure 3: To guide the reader it would be beneficial to update the mechanism in Figure 3 with the same label of tCARBROOH next to intermediate the authors are referring to

We have now added the formula of the different primary products. Please add the labels to Figure 3 for clarity.

As presented in the revised version we have changed the naming of the different compounds and choose to use the formula ( $C_{10}H_{18}O_3$ ) instead of shorthand chemical formula (c.f. tCARBROOH) for clarity. tCARBROOH is not longer used in the revised manuscript and formula of the different primary products have been already added to the Figures in the revised version.

18. Lines 298/Figure 3: Do the authors see evidence of an analogous product in the case of decalin, as the OS-279 that was observed in the dodecane case? The proposed mechanism of carbonyl hydroperoxide heterogenous reactive uptake followed by OS formation should also be considered for the decalin tCARBROOH as well and supported by the measurements/compared on the basis of volatility differences due to carbon number/ring structure and the impact of reactive uptake versus partitioning to the particle phase.

We thank the reviewer for its comment. We have revised the pathways proposed for decalin oxidation products OS-265; -267; -269 and -285 and cyclodecane oxidation

products OS-249; -251; -265 and -267 to include reactive uptake of the hydroperoxide on wet acidic aerosols.

The appropriate mechanisms have been updated as well as the manuscript:

Lines 314-316: "OS-265 ( $C_{10}H_{17}O_6S^-$ ) could also arise from the acid-catalyzed perhydrolysis of the hydroperoxide ( $C_{10}H_{18}O_4$ ) generated from the reaction of  $C_{10}H_{17}O_4^+$  +  $HO_2$  (Figure S8, pathway b)."

Lines 319-325: "The pathway proposed in Figure S8 pathway **b** is based on gas-phase oxidation of a 4-(cyclohexan-2-one)but-1-yl radical followed by reaction with  $O_2$  and a 1,5-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) and lead to a  $C_{10}$ -carbonyl-hydroxyhydroperoxide ( $C_{10}H_{18}O_4$ ).  $C_{10}H_{18}O_4$  could then further react with OH radical and by elimination of OH lead to an epoxide (Figure S8, pathway **b**). In addition, OS-281 could arise from acid-catalyzed perhydrolysis of  $C_{10}$ -carbonyl dihydroperoxides ( $C_{10}H_{18}O_5$ ) as proposed in Figure S8, pathway **c**."

Lines 357-374: "C<sub>9</sub>H<sub>17</sub>O<sub>4</sub>' can react via pathway **a** (Figure 3) through a 1,6-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) followed by elimination of OH resulting in a formation of an epoxide analogous to the formation of isoprene epoxydiol (IEPOX) (Paulot et al., 2009; Mael et al., 2015). The epoxide can then undergo acid-catalyzed ring opening to give OS-269 ( $C_9H_{17}O_7S^-$ ). The MS<sup>2</sup> spectrum of OS-285 ( $C_9H_{17}O_8S^-$ ; Figure S5) shows product ions corresponding to HSO<sub>3</sub><sup>-</sup>, HSO<sub>4</sub><sup>-</sup> and loss of neutral SO<sub>3</sub>, in accord with a sulfate ester  $\beta$  to a labile proton, but yields no further structural information. The structure proposed for OS-285 is based on the formation of reaction of the hydroperoxyperoxyl radical intermediate in pathway **b** with  $RO_2$  followed by a 1,4-H shift (Rissanen et al., 2015) and addition of  $O_2$  to give a hydroxyhydroperoxyperoxyl radical ( $C_9H_{17}O_5$ ).  $C_9H_{17}O_5$  could then lead to an epoxide by isomerization (Iinuma et al., 2009; Surratt et al., 2010; Jacobs et al., 2013; Mael et al., 2015) and form OS-285.  $C_9H_{17}O_5$  could also react with HO<sub>2</sub> and form the corresponding  $C_9$ -hydroxydihydroperoxide ( $C_9H_{18}O_5$ ), which could then undergo heterogeneous reaction and lead to OS-269 (Figure 3, pathway b). Finally, a C<sub>9</sub>-carbonyl hydroperoxide ( $C_9H_{16}O_3$ ) could also be formed from the RO +  $O_2$  reaction (Figure 3, pathway c), which could then further react with OH radicals and lead to a C<sub>9</sub>-carbonyl

dihydroperoxide ( $C_9H_{16}O_5$ ). Hence,  $C_9H_{16}O_5$  could form OS-267 ( $C_9H_{15}O_7S^-$ ) from heterogeneous reaction on acidic aerosols."

This analysis strengthens the findings of the work by making more clear in the text selfconsistent mechanisms.

19. Lines 299-301: (e.g. remote areas). Please also refer to and reference (Peeters et al., 2009; Crounse et al., 2011; Orlando and Tyndall, 2012). The authors need to justify why the basis of their proposed mechanisms for OS formation rely on this pathway when (e.g. Figures 3, 4, and S8). Is it RO2 + RO2, RO2 + HO2, RO2 + NO, RO2 isomerization? The suggested references have been added to the revised manuscript.

As discussed previously, different regime of RO<sub>2</sub> radicals could exist, either terminal (RO<sub>2</sub> + HO<sub>2</sub>; RO<sub>2</sub> + RO<sub>2</sub>; RO<sub>2</sub> + NO) or autooxidation reactions. In this study, we do not claim to propose all chemical pathways from the oxidation of the alkanes are examined. In most of the mechanisms we have considered the different potential RO<sub>2</sub> reactions (RO<sub>2</sub> + RO<sub>2</sub>; RO<sub>2</sub> + HO<sub>2</sub>; RO<sub>2</sub> + RO<sub>2</sub>, and RO<sub>2</sub> autooxidation), which could lead to the identified OSs through multiphase chemistry of the products shown in the tentatively proposed mechanisms. RO radicals might have formed for other minor chemical channels, such as ROOH +  $h\nu$  or RO<sub>2</sub> + NO, which were not initially included in the manuscript. It is important to note that these potential reactions, which are now included in the manuscript, do not change the different mechanisms tentatively proposed in this study. In addition we have proposed reaction sequences based on known/reported reactions that will lead to products consistent with the mass spectrometric data. This is the same approach used by other investigators, such as Yee et al. (2013).

20. Lines 301-305: The authors should address the extent of photolysis reactions affecting the fate of the proposed hydroperoxides and aldehydes in the system.

Potential photolysis reactions are now discussed in the revised manuscript. We have incorporated the potential photolysis of hydroperoxides leading to RO radicals and also the photolysis of the aldehyde proposed in Figure 3, which could lead to the  $RO_2$  radical  $(C_{10}H_{17}O_5)$ .

This version of the manuscript does not show the changes made to Figure 3 including photolysis.

We have revised Figure 3 as requested.

Lines 353-357: "The aldehydic intermediate in the sequence following  $C_1$ - $C_2$  ring scission may be oxidized to the corresponding acyl radical either by photolysis (Wang et al., 2006) or by H-abstraction (Kwok and Atkinson 1995) followed by addition of  $O_2$ , reaction with  $RO_2$  or  $HO_2$  and decarboxylation of the resulting acyl-oxy radical (R(O)O) (Chacon-Madrid et al., 2013) to a hydroperoxyperoxy radical ( $C_9H_{17}O_4^*$ )."

21. Line 306: "previously unreported" is unclear. Do the authors mean previously unreported in ambient data or previously unreported from similar experiments? OS-267 has been identified in previous smog chamber experiments. Sentence has been modified in the revised manuscript.

Lines 370-374: "Finally, a C<sub>9</sub>-carbonyl hydroperoxide ( $C_9H_{16}O_3$ ) could also be formed from the RO + O<sub>2</sub> reaction (Figure 3, pathway c), which could then further react with OH radicals and lead to a C<sub>9</sub>-carbonyl dihydroperoxide ( $C_9H_{16}O_5$ ). Hence,  $C_9H_{16}O_5$  could form OS-267 ( $C_9H_{15}O_7S^-$ ) from heterogeneous reaction on acidic aerosols."

- 22. Line 311: As worded, OS-267, is proposed to originate from further oxidation of OS-269, but the arrows drawn in Figure 3 are inconsistent suggesting origin from the epoxide.We have corrected the revised manuscript.
- 23. Line 327/Figure 4: The description of Ring cleavage of the C10 alkoxy radical is not consistent with the "ISO"/isomerization descriptor in Figure 4. Please clarify that pathway.

ISO descriptor in Figure 4 (*pathway a*) indicates the isomerization of the  $RO_2$  formed from the ring cleavage and lead to hydroperoxide functional group.

Sentences have been added to better discuss this pathway:

Lines 382-388: "The salient features of pathway a include oxidation of the  $RO_2$  to 2decalinone, formation of a  $C_{10}$  alkoxy radical followed by ring cleavage of the  $C_9-C_{10}$ decalin bond and further  $RO_2$  isomerization (1,8-H shift) leading to a 4-(carboxy cyclohexyl)-1-hydroperoxybut-2-yl radical via  $RO_2$  chemistry. Although considered as a minor reaction pathway (Crounse et al., 2013), the acyloxy radical could lead to the epoxide from the isomerization of the  $O_2$  adduct (Paulot et al., 2009; Yao et al., 2014; Zhang et al., 2015). Further acid-catalyzed ring opening of the epoxide leads to OS-295  $(C_{10}H_{15}O_8S^-)$ ."

- 24. Line 340: Figure 1d does not exist. Clarify the reference.The reference was Figure 2d and not 1d, the text has been appropriately modified.
- 25. Lines 343-345: Sentence is awkward beginning with "Pathway c", and from what figure? Clarify that it is Figure 4. Again, citation of Atkinson, 2000 seems inappropriate as the sentence is written.

Sentence has been changed, pathway **c** referred to Figure 4. Citation of Atkinson (2000) was used to support formation of an organonitrate from  $RO_2$  + NO reaction, since it is a common reaction as discussed in the Atkinson's review.

Lines 402-408: "Although  $RO_2 + NO$  reactions are expected to be minor under the conditions used in this work (i.e. NO < 1 ppb, formation of RO radicals or organonitrates cannot be ruled out. Indeed, Ehn et al. (2014) have demonstrated that NO reactions could be competitive at ppb levels. Under our experimental conditions  $RO_2 + NO$ ,  $RO_2 + HO_2$  and  $RO_2$  autoxidation are possible. Therefore, the parent ion at m/z 326 could arise from the reaction of the decalin-2-peroxy radical with NO to form decalin-2-nitrate  $(C_{10}H_{17}NO_3)$  with subsequent reactions shown in Figure 4, pathway c."

26. Lines 343-345/Figure 4, pathway c: Here the authors propose that RO2 + NO chemistry is occurring to form a nitrate containing OS. This contradicts the authors' earlier statement in lines 237-243 stating that the RO2 + NO reactions are not significant in their experimental setup. The authors need to handle in more detail the fate of RO2 under the unclear experimental conditions.

As demonstrated and discussed by Ehn et al. (2014) at ppb levels of NO (which is even higher than the conditions of our study) a competition exists between  $RO_2 + NO$ ;  $RO_2 + HO_2$  and  $RO_2$  autoxidation reactions. The Ehn et al. (2014) study demonstrates that ELVOC, even though reduced, are still formed at NO concentrations greater than few ppb. It is important to point out that the concentrations of VOCs used in this work could also lead to  $RO_2 + RO_2$  chemistry. Therefore, not only one  $RO_2$  reaction could occur and the different  $RO_2$  reactions have to be considered, which has been done in this study.

 $O_3$  and NO concentrations are provided in the revised manuscript (Table 1). In addition a paragraph has been added describing the fate of  $RO_2$ .

Lines 404-406: "Indeed, Ehn et al. (2014) have demonstrated that NO reactions could be competitive at ppb levels. Under our experimental conditions  $RO_2 + NO$ ,  $RO_2 + HO_2/RO_2$  and  $RO_2$  autoxidation are possible."

27. Lines 349-364: Why is discussion of OS-281 and OS-297 featured here, when discussion of OS- 265 is discussed near the beginning of Section 3.2? Since they are all referenced in Figure S8, their chemistry should be discussed together from the same mechanistic precursors.

We chose to describe the formation and tentative structural assignments of ions observed on ambient filters at the beginning of the discussion, as explained in the manuscript on lines 293 to 295 ("Figures 2 and S2 present MS<sup>2</sup> spectra and fragmentation schemes of selected parent ions at m/z 265.0749 (OS-265), 269.0696 (OS-269), 295.0494 (OS-295) and 326.0554 (OS-326). MS<sup>2</sup> spectra and fragmentation schemes of other OSs are reported in Figure S3-S7. The selected OSs were, as described in the next section, quantified and characterized in the fine urban aerosol samples.").

However, section 3.2 has been reorganized as requested by the reviewer #3 and formation pathways of OS-265, -281 and -297 are included in the same paragraph. Thank you. This is more clear.

28. Section 3.2: Authors should clarify the main mechanistic differences and relative importance between Figure S8, Figure 3, and Figure 4, and the flow of products to be discussed at the beginning of Section 3.2. Currently as written, the flow of Section 3.2 is very arbitrary when choosing different OS products to discuss. We decided to separate the different reaction pathways for clarity since it would not have

been clear and quite difficult/confusing to propose in one figure the formation pathways of all OSs. The different reaction pathways are separated based on OSs that are generated

from branching reactions of a common transient. This section has been modified as discussed in the previous point. The importance of the proposed pathways cannot be evaluated based on this study and this was not the study objective.

We have added a sentence to clarify this point.

Lines 297-299: "The different reaction pathways presented below, are separated based on OSs that are generated from branching reactions of a common transient." Great clarification. Thank you.

29. Lines 377-380: Incorrect use of citations here. Yee et al., 2012 do not propose RO2 + RO2 chemistry and therefore a "precedent" has not been established. The authors should not be citing Atkinson, 2000; Yee et al., 2012 and Raff and Finlayson-Pitts, 2010 to speak for the experimental conditions in the current work. The mechanism of RO2 + RO2→RO can be supported by work on general atmospheric chemistry mechanisms including Atkinson, 2000 and many other works, and so if this mechanistic pathway is to be cited, than many other works should be cited as well.

We agree with reviewer that Yee et al. is an inappropriate reference and have removed it. General references on atmospheric chemistry have been added: Atkinson and Arey (2003, Chem Rev, 103, 4605-4638) and Ziemann and Atkinson (2012, Chem Soc. Rev., 41, 6582-6605).

30. Line 379: Inconsistent citation here compared to line 300 for similar mechanistic argument.We have cited Ehn et al., 2014 and Jokinen et al., 2014 and Mentel et al., 2015 for both

transformations.

31. Figure S14: Why do the authors propose in the case of cyclodecane formation of the hydroperoxide from RO2 + HO2 pathways and subsequent chemistry thereof, but not in the case of decalin in any of Figures 3, 4, and S8? Further, Figure S14 outlines a mechanism from further reaction of the hydroperoxide to get to an epoxide that then enters the particle phase to produce OS-251 and OS-249. This seems like a plausible analogous mechanism to propose for the case of dodecane rather than reactive uptake of a carbonyl hydroperoxide. Why do the authors propose different mechanisms between dodecane and cyclodecane to generate the similar analogs (OS-279, OS-249)?

As mentioned in the point #18 above, cyclodecane-derived OSs might be formed from the heterogeneous chemistry of hydroperoxides. We have added these different pathways in the different Figures as well as in the manuscript. Please note that we have now combined Figures S14 and S15 to present the OS formation from cyclodecane in one Figure.

These changes make the mechanisms proposed for all systems more consistent, though please make it also clear in the discussion on dodecane why analogous pathways (epoxide formation and subsequent uptake, isomerization, etc.) as proposed in the C10 system are also likely/unlikely for the conditions of the experiment. It would be good to discuss the DBE determination in a non-epoxide route to OS-279. It is not mentioned in text of section 3.1 or in Figure 1

We have added a short discussion as suggested by the reviewer.

Lines 272-274: "OS-279 generated from the reactive uptake of the corresponding epoxide  $(C_{12}H_{24}O)$  has been considered but the composition of OS-279 (1 DBE) is inconsistent with reactive uptake of an epoxide."

Lines 428-435: "The formation of compounds such as cyclodecanone  $(C_{10}H_{18}O)$ , cyclodecane hydroperoxide  $(C_{10}H_{20}O_2)$  or cyclodecane hydroxyhydroperoxide  $(C_{10}H_{20}O_3)$  are proposed as intermediate products leading to epoxy-compounds after additional oxidation/isomerization processes, as presented in Figure S14. In addition  $C_{10}H_{20}O_3$ , cyclodecane hydroperoxide ketone  $(C_{10}H_{18}O_3)$  and cyclodecane hydroxyoxohydroperoxide  $(C_{10}H_{18}O_4)$ , proposed as intermediate products, could condense onto wet acidic aerosols and lead to the corresponding OSs through acid-catalyzed perhydrolysis reactions (Figure S14)."

As described above we proposed different fates for the  $RO_2$  radicals:  $RO_2 + RO_2$ ,  $RO_2 + HO_2$  and  $RO_2$  autoxidation reactions in the different schemes proposed for the photooxidation of decalin and cyclodecane.  $RO_2 + HO_2$  reactions are also proposed in the case of the photooxidation of decalin, which likely explain the formations of hydroperoxides as discussed above.

32. Section 3.4: This section is weak and little effort is made to really describe the chemical differences between the systems to interpret the findings. There should be comparisons of

vapor pressures of the precursors and carbon numbers and discussion of previously published yields from these compounds to support the discussion. How does quantification using the available OS surrogate standards potentially affect the OS quantification across these systems/factoring in different sensitivities?

The objective of this study is to establish that OSs may be products of the photooxidation of anthropogenic precursors, such as the alkanes examined here, and thus to demonstrate the relevance of this chemistry observations of aliphatic OSs in urban areas (Mao et al., 2014; Tao et al., 2014). Since the reaction pathways leading to the products observed in this study and in ambient samples are tentative, we feel that discussion at the level of thermodynamics is not justified and have deleted Section 3.4.

33. Line 400: The authors claim that "the presence of acidic aerosols significantly increase OS formation in most cases". However, is this just an effect of using an atomized solution with more sulfate (0.06M ammonium sulfate + 0.06M sulfuric acid) in the acidic case versus only 0.06M ammonium sulfate in the non-acidified case? It may be a concerted effect of more available sulfate in the "acidic" case as well as acidity.

It has been demonstrated in previous studies (cited references) that acidity rather than the concentration of sulfate is a key parameter in the formation of OS. Chan et al. (2011) demonstrate that the formation of OSs from the oxidation of  $\beta$ -caryophyllene is directly correlated with the aerosol acidity ([H<sup>+</sup>]).

Understood, though please address this point directly in the text in anticipation of the casual reader in the field who may not have as much knowledge of the literature specifically addressing the role and dynamics of sulfate concentration vs aerosol acidity (which in stated reference is still an indirect measurement of the acidity at which the OS actually formed, but an accepted proxy.) As authors state in lines 455-463 and cited literature, there are many ways to form OS, so the concentration of sulfate as a precursor to sulfate anion radical should be a factor in the chemistry.

We have added few sentences (Lines 453-459) as mentioned previously in this review (last paragraph of the introduction part).

34. Line 484: The authors return to claim that the experiments are conducted under dominant "RO2/HO2" chemistry—this is contradictory to the formation of OS-326 containing a nitrate group. This issue has been discussed previously in response to reviewer comments # 10, 13, 19, 26, and 31 above.

35. Lines 484-486: Enhancement of OS due to acidified ammonium sulfate seed needs to be addressed with regard to the effect of just having introduced more sulfate into the experiments compared to the non-acidified case. See earlier Major Comment, 33. As discussed in response to Reviewer comment # 33, enhancement of OSs has been

As discussed in response to Reviewer comment # 55, enhancement of OSs has been demonstrated to result from an increase of the aerosol acidity (cited references) and our work is consistent with these studies.

36. Lines 491-496: The "novel pathway" involving reactive uptake of hydroperoxides is not well- substantiated in the current work and is mostly speculation. The vapor pressure alone of the carbonyl hydroperoxide makes it a potential candidate to partition to the particle phase, not via reactive uptake. There are no direct measurements of hydroperoxides in the gas phase, and insufficient discussion on if hydroperoxides are detected in the particle phase using the UPLC technique. Further, if reactive uptake is at play, why have the authors not seen the corresponding decalin analog of carbonyl hydroperoxide?

We agree with reviewer #3 that "reactive uptake" of hydroperoxides is currently not well substantiated, and have clearly indicated that this pathway is tentative. With regard to reactive uptake and perhydrolysis of carbonyl hydroperoxides generally as an alternative pathway the revised manuscript cites this route as a possibility in the formation of 8 OSs, as indicated in response to earlier comments. Also as discussed above in response to Reviewer comment #14, LC-EI/MS in the negative ion mode, used to identify the OS products, will not detect analytes (such as hydroperoxides) not containing substituents readily yielding negative ions.

### **Minor Comments:**

 Line 30: "Both studies strongly support formation of OSs" is awkward. Reword, for example, "Both studies strongly support that OSs can form from the gas-phase oxidation of anthropogenic precursors..."

The wording has been revised on lines 30-33 as follows:

"Both studies strongly support the formation of OSs from the gas-phase oxidation of anthropogenic precursors, as hypothesized on the basis of recent field studies in which aromatic and aliphatic OSs were detected in fine aerosol collected from several major urban locations."

Line 48: Change "aerosol" to "particles", as aerosol is technically defined as both gas + particle.

The wording has been revised as suggested.

- Line 76: Insert comma after "2015)".
   A comma has been inserted.
- Line 83: Change comma to semi-colon after "2007,".
   A semi-colon has been inserted.
- Line 90: Delete "of".
   Use of "of" is appropriate and we have not made this change.
- Line 103: Change "reduce" to "reduces".
   The revision has been made as suggested.
- Line 136: Check misprint on the high humidity range listed as "(4-60%)". The correction has been made (i.e. 40-60%).
- Line 220: Insert after ")", ", hereafter referred to as OS-279, ". The change has been made as suggested.
- 9. Line 268: "ion at m/z 265.0749" should be "ion at m/z 265.0752" according to Figure
  2.
  "at" has been inserted.
- 10. Line 315: Add in "Figure 3, pathway a" to be clear."Figure 3, pathway a" has been inserted.

- 11. Line 345: Change "identical" to "analogous" as the sequence of reactions are certainly not identical as shown in Figure 4."Analogous" has been substituted.
- Line 350: Change chemical formula to include S for OS-281.
   The formula has been corrected.
- 13. Line 352: Rewrite the sentence. The radical reacts with O2, followed by 1,6 H shift, etc.

Sentence has been modified on lines 319-325 to:

"The pathway proposed in Figure S8 pathway **b** is based on gas-phase oxidation of a 4-(cyclohexan-2-one)but-1-yl radical followed by reaction with  $O_2$  and a 1,5-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) and lead to a  $C_{10}$ -carbonyl-hydroxyhydroperoxide ( $C_{10}H_{18}O_4$ ).  $C_{10}H_{18}O_4$  could then further react with OH radical and by elimination of OH lead to an epoxide (Figure S8, pathway **b**). In addition, OS-281 could arise from acid-catalyzed perhydrolysis of  $C_{10}$ -carbonyl dihydroperoxides ( $C_{10}H_{18}O_5$ ) as proposed in Figure S8, pathway **c**."

14. Lines 362-363: Rewrite the awkward phrasing, "which be reactively taken up to give a sulfate ester".

Sentence has been changed.

Lines 331-334: "However, in contrast to pathway **b**,  $RO_2$  formed by the addition of  $O_2$ undergoes a 1,6-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) followed by addition of a second  $O_2$  molecule, a 1,5-H shift and elimination of OH to yield an epoxide, which leads to a sulfate ester by reactive uptake onto acidified aerosols."

15. Lines 371-374: Poor grammar. Rewrite sentence.

The sentence has been changed on lines 419-422 to:

"None of the fragment ions observed in the MS<sup>2</sup> spectrum suggests the presence of a terminal carbonyl or a carboxyl functional group in the cyclodecane-OSs, which is consistent with conservation of the cyclodecane ring."

16. Line 379: "hydroperoxydes" is spelled wrong. The spelling has been corrected.

31

- 17. Line 459: Add "of" after "oxidation"."of" has been added.
- Line 482: Add "," after "cyclododecane".
   The comma has been added.
- 19. Check Table 1 entry for Decalin Acidified Seed RH Range of 51-49% Initial HC 180 ppb Is RH range correct?Yes the RH range is correct.

## **Additional References:**

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1	Chemical Characterization of Organosulfates in
2	Secondary Organic Aerosol Derived from the
3	Photooxidation of Alkanes
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24	The authors declare no conflict of interest.

#### 26 Abstract

27 We report the formation of aliphatic organosulfates (OSs) in secondary organic aerosol (SOA) from the photooxidation of  $C_{10}$  –  $C_{12}$  alkanes. The results complement those from our 28 29 laboratories reporting the formation of OSs and sulfonates from gas-phase oxidation of 30 polycyclic aromatic hydrocarbons (PAHs). Both studies strongly support the formation of 31 OSs from the gas-phase oxidation of anthropogenic precursors, as hypothesized on the basis 32 of recent field studies in which aromatic and aliphatic OSs were detected in fine aerosol 33 collected from several major urban locations. In this study, dodecane, cyclodecane and 34 decalin, considered to be important SOA precursors in urban areas, were photochemically 35 oxidized in an outdoor smog chamber in the presence of either non-acidified or acidified 36 ammonium sulfate seed aerosol. Effects of acidity and relative humidity on OS formation were examined. Aerosols collected from all experiments were characterized by ultra 37 38 performance liquid chromatography coupled to electrospray ionization high-resolution 39 quadrupole time-of-flight mass spectrometry (UPLC/ESI-HR-QTOFMS). Most of the OSs identified could be explained by formation of gaseous epoxide precursors with subsequent 40 41 acid-catalyzed reactive uptake onto sulfate aerosol and/or heterogeneous reactions of 42 hydroperoxides. The OSs identified here were also observed and quantified in fine urban 43 aerosol samples collected in Lahore, Pakistan, and Pasadena, CA, USA. Several OSs 44 identified from the photooxidation of decalin and cyclodecane are isobars of known 45 monoterpene organosulfates, and thus care must be taken in the analysis of alkane-derived 46 organosulfates in urban aerosol.

47
## 48 **1. Introduction**

Atmospheric fine aerosol ( $PM_{2.5}$ , aerosol with aerodynamic diameter  $\leq 2.5 \ \mu$ m) plays a major role in scattering and absorption of solar radiation, which impacts global climate (Kroll and Seinfeld, 2008; Stevens and Boucher, 2012).  $PM_{2.5}$  also participates in heterogeneous chemical reactions, affecting the abundance and distribution of atmospheric trace gases (Hallquist et al., 2009). Human exposure to  $PM_{2.5}$  is associated with respiratory and cardiovascular diseases (Elder and Oberdorster, 2006).

55 Typically, the largest mass fraction of PM<sub>2.5</sub> is organic, dominated by secondary 56 organic aerosol (SOA) formed by the oxidation of volatile organic compounds (VOCs). 57 Although SOA contributes a large fraction (20–90%, depending on location) of total PM<sub>2.5</sub> mass, current models predict less SOA than is generally observed during field measurements 58 (Kroll and Seinfeld, 2008; Hallquist et al., 2009). The omission of intermediate volatility 59 60 organic compounds (IVOC) as SOA precursors, such as alkanes or polycyclic aromatic hydrocarbons (PAHs), could contribute in part to the underestimation of SOA mass observed 61 62 in urban areas (Robinson et al., 2007; Tkacik et al., 2012). Long-chain alkanes are important anthropogenic pollutants emitted by combustion and vehicular sources representing up to 63 90% of the anthropogenic emissions in certain urban areas (Fraser et al., 1997, Gentner et al., 64 65 2012). In the atmosphere, they are rapidly depleted by reaction with OH and NO<sub>3</sub> radicals 66 (Atkinson, 2000) yielding a large variety of oxygenated compounds (Lim and Ziemann, 2005; 67 2009; Yee et al., 2012; 2013), which could lead to SOA formation (Lim and Ziemman, 2009; 68 Loza et al., 2014). SOA yields have been measured for C<sub>7</sub>-C<sub>25</sub> alkanes having linear, branched 69 and cyclic structures (Lim and Ziemman, 2009; Presto et al., 2010; Tkacik et al., 2012; Loza et al., 2014; Hunter et al., 2014). Structure plays a key role in SOA yield, which increases 70 71 with carbon number or the presence of cyclic features and tends to decrease with branching as gas-phase fragmentation predominates (Lambe et al., 2012; Carrasquillo et al., 2014; Loza et
al., 2014; Hunter et al., 2014).

The presence of organosulfates (OSs) has been demonstrated in several atmospheric compartments, including atmospheric aerosol (Iinuma et al., 2007; Gómez-González et al., 2008; Hawkins et al., 2010; Hatch et al., 2011; Kristensen et al., 2011; Stone et al., 2012; Shalamzari et al., 2013; Hansen et al., 2014; Liao et al., 2015), rain (Altieri et al., 2009), clouds and fog (Pratt et al., 2013; Boone et al., 2015), and several studies indicate that OSs could contribute to a substantial fraction (up to 30%) of the organic mass measured in ambient PM<sub>2.5</sub> (Surratt et al., 2008; Tolocka and Turpin, 2012).

81 Although the variety of OSs identified from field measurements is quite large (Surratt 82 et al., 2008; Tao et al., 2014; Wang et al., 2015; Kuang et al., 2016), only a few OS precursors 83 have been unequivocally identified through laboratory studies. OSs have been generated in 84 SOA in smog chambers from OH, NO<sub>3</sub> or O<sub>3</sub> oxidation of BVOCs, including isoprene (Surratt et al., 2007; Ng et al., 2008), 2-methyl-3-buten-2-ol (MBO) (Zhang et al., 2012; Mael et al., 85 86 2015), unsaturated aldehydes (Schindelka et al., 2013; Shalamzari et al., 2014; Shalamzari et 87 al., 2015), monoterpenes (Iinuma et al., 2007; Iinuma et al., 2009; Surratt et al., 2008), and 88 sesquiterpenes (Liggio et al., 2006; Surratt et al., 2008; Iinuma et al., 2009; Noziere et al., 89 2010; Chan et al., 2011) in the presence of acidified sulfate aerosol. However, the large 90 number of unidentified OSs having C<sub>2</sub> to C<sub>25</sub> skeletons observed in recent field studies are 91 clearly not derived from BVOC precursors, and suggest alkanes and aromatics as a major 92 source of hitherto unrecognized of OS precursors (Tao et al., 2014; Wang et al., 2015; Kuang 93 et al., 2016). Ma et al. (2014) have recently shown that the contribution of aromatic OSs could 94 represent up to two-thirds of the OSs identified in Shanghai. Aliphatic OSs were identified in 95 the ambient samples from urban locations (Tao et al., 2014; Wang et al., 2015; Kuang et al., 2016), suggesting that gas-phase oxidation of long-chain or cyclic alkanes could be an 96

97 important source of OSs (Tao et al., 2014). At present, lack of authentic standards prevents 98 quantitation of the OSs contribution to  $PM_{2.5}$  mass, underscoring the need to better identify 99 the OS precursors.

100 Studies on the impact of NO<sub>x</sub> and O<sub>3</sub> on SOA formation from oxidation of long-chain 101 alkanes (Loza et al., 2014; Zhang et al., 2014) have shown that the presence of NO<sub>x</sub> tends to 102 reduce SOA formation by reaction of peroxy radicals (RO<sub>2</sub>) with NO, to yield alkoxy radicals 103 (RO). For alkanes containing fewer than 10 carbons, the fragmentation/decomposition of RO 104 radicals will produce higher volatility species (e.g., small carbonyls), which suppresses or 105 reduces SOA formation (Lim and Ziemann, 2005, 2009). Recent studies have shown that 106 increased aerosol acidity is a key variable in enhancing SOA formation through acid-107 catalyzed reactive uptake and multiphase chemistry of oxidation products derived from 108 biogenic VOCs (BVOCs) such as isoprene (Surratt et al., 2010) and  $\alpha$  -pinene (Iinuma et al., 109 2009). Formation of highly oxidized products, including OSs, demonstrates the importance of 110 heterogeneous processes, such as reactive uptake of epoxides onto acidic sulfate aerosol, in 111 SOA formation (Iinuma et al., 2009; Surratt et al., 2010; Chan et al., 2011; Lin et al., 2014; 112 Shalamzari et al., 2015). OSs may also be formed by either nucleophilic substitution of 113 organic nitrates by sulfate (Darer et al., 2011; Hu et al., 2011) or by heterogeneous oxidation 114 of unsaturated compounds involving sulfate anion radicals (Noziere et al., 2010; Schindelka et 115 al., 2013; Schone et al., 2014).

Formation of OSs from the gas-phase oxidation of the  $C_{10}$  alkanes, cyclodecane ( $C_{10}H_{20}$ ) and decalin (bicyclo[4.4.0]decane;  $C_{10}H_{18}$ ), and  $C_{12}$  alkane, dodecane ( $C_{12}H_{26}$ ), in the presence of sulfate aerosol under varying acidities is reported in this work. These alkanes were selected based on their potential contribution to atmospheric SOA formation (Hunter et al., 2014). Studies have demonstrated that cyclic compounds (<  $C_{12}$ ) are expected to be more efficient SOA precursors than linear or branched alkanes with the same number of carbons

(Lim and Ziemann, 2005; Pye and Pouliot, 2012). Alkanes  $\geq C_{10}$  are considered as effective 122 123 SOA precursors, especially when placed in the context of their emission rates (Pye and 124 Pouliot, 2012). Effects of RH and aerosol acidity on OS formation were investigated. SOA 125 collected from outdoor smog chamber experiments was chemically characterized by ultra 126 performance liquid chromatography interfaced to high-resolution quadrupole time-of-flight 127 mass spectrometry equipped with electrospray ionization (UPLC/ESI-HR-QTOFMS). In 128 addition, effect of solvent mixture (methanol vs acetonitrile/toluene) on OS quantification was 129 investigated. Finally, PM25 samples collected from Lahore, Pakistan and Pasadena, CA, USA 130 were analyzed to detect and quantify OSs identified from the smog chamber experiments.

131

## 132 **2. Experimental**

133 2.1 Chamber Experiments. Eighteen experiments were performed at the University of 134 North Carolina (UNC) outdoor smog chamber facility located at Pittsboro, NC. Details of this 135 facility have been previously described (Lee et al., 2004; Kamens et al., 2011). Briefly, it is a 136 274-m<sup>3</sup> dual chamber divided into two sides by a Teflon film curtain. One side referred as 137 "North Chamber" has an actual volume of 136 m<sup>3</sup>, and the other side referred as "South Chamber" has an actual volume of 138 m<sup>3</sup>. Prior to each experiment, both sides of the 138 139 chamber were flushed using rural background air using an exhaust blower for at least 12 140 hours. Clean air was then injected into both sides of the chamber using a clean air generator to reduce concentrations of background aerosol and VOCs. Experiments were performed under 141 142 two humidity conditions: at low RH (10-20%) and high RH (40-60%). For experiments 143 conducted at low RH (i.e., dry), the clean air generator was used after the preliminary venting 144 using rural air for approximately 48-72 hours. A scanning mobility particle sizer (SMPS, TSI 145 3080) was used to measure aerosol size distributions, including number and volume 146 concentrations inside both chambers. Before each experiment, the typical aerosol mass

concentration (assuming an aerosol density of 1 g cm<sup>-3</sup>) background was less than ~ 3  $\mu$  g m<sup>-3</sup> 147 in humid conditions and less than ~ 0.2  $\mu$  g m<sup>-3</sup> for dry experiments. Either non-acidified or 148 149 acidified ammonium sulfate seed aerosols were introduced into the chambers by atomizing aqueous solutions of 0.06 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 0.06 M (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> + 0.06 M H<sub>2</sub>SO<sub>4</sub>, respectively. 150 After 15 min of atomization, ~ 40  $\mu$  g m<sup>-3</sup> of seed aerosol was injected into the chambers. 151 152 After stabilization of aerosol volume concentrations, Teflon blank filters were collected (47 mm diameter, 1.0  $\mu$  m pore size, Tisch Environmental, EPA PM<sub>2.5</sub> membrane) over 45 min at 153 a sampling rate of  $\sim 25$  L min<sup>-1</sup> in order to measure baseline aerosol composition prior to 154 155 injection of the SOA precursors. None of the aliphatic OSs produced from the oxidation of 156 studied alkanes were detected in the chamber background. Dodecane (Sigma-Aldrich, 99%), 157 cyclodecane (TCI, 94%) or decalin (Sigma-Aldrich, 99%, mixture of cis + trans) were introduced into both sides of the chamber by passing a N<sub>2</sub> flow through a heated manifold 158 159 containing a known amount of liquid compound. Concentrations of alkanes were measured 160 online in each side every 10 minutes by a gas chromatograph with a flame ionization detector (GC-FID, Model CP-3800, Varian), calibrated before each experiment with a standard 161 162 mixture of hydrocarbons. Isopropyl nitrite (IPN) (Pfaltz & Bauer, 97%) was used as an OH 163 radical source (Raff and Finlayson-Pitts, 2010) and was injected into both sides when VOC 164 signals were stable as measured by the GC-FID. O<sub>3</sub> and NO<sub>x</sub> concentrations were monitored using UV photometric and chemiluminescent analyzers, respectively (O<sub>3</sub>: Model 49P, 165 166 Thermo-Environmental; NO<sub>x</sub>: Model 8101B, Bendix). Both instruments were calibrated as 167 described in previous work (Kamens et al., 2011). Dilution rate for each chamber was 168 monitored by sulfur hexafluoride  $(SF_6)$  measured using gas chromatography with electron capture detection (GC-ECD). RH, temperature, irradiance and concentration of O<sub>3</sub> and NO<sub>x</sub> 169 170 were recorded every minute. SOA formation from alkane photooxidation was monitored for 171 all experiments. 2-3 hours following IPN injection, which corresponds to the end of SOA

172 growth as measured by the SMPS, filter sampling was initiated. For each experiment, two 173 filters from each side of the chamber were collected for 45 min – 2 hours (sampling rate ~ 25 174 L min<sup>-1</sup>) to characterize particle-phase reaction products. Based on SOA volume 175 concentrations measured by the SMPS, sampling time was adjusted to obtain an SOA mass of 176 about ~ 100  $\mu$  g/filter. Experimental conditions are summarized in Table 1.

177 2.2 Ambient Aerosol Collection. Five filters collected in Lahore (Pakistan) between January 178 2007 and January 2008 (Stone et al., 2010) and eight filters collected in Pasadena CA, (USA) 179 during the 2010 California Research at the Nexus of Air Quality and Climate Change 180 (CalNex) field study from 15 May – 15 June 2010 (Hayes et al., 2013), were analyzed for the 181 OSs identified in smog chamber experiments. PM2.5 was collected on prebaked quartz fiber 182 filters (QFF, Pall Life Sciences, Tissuguartz, 47 mm for Lahore, 20.3 cm × 25.4 cm for 183 Pasadena) using a medium-volume sampling apparatus at Lahore (URG-3000, Chapel Hill, 184 NC, USA) and a high-volume sampler (Tisch Environmental, Cleves, OH, USA) at Pasadena. 185 As stipulated previously at both urban sites, anthropogenic activities (e.g., vehicular exhaust, 186 industrial sources, cooking, etc.) likely dominated the organic aerosol mass fraction of PM<sub>2.5</sub> 187 (Stone et al., 2010; Hayes et al., 2013). In addition, Gentner et al. (2012) have reported 188 significant emission of long-chain alkanes during the CalNex field study.

189 2.3 Filter Extraction. The impact of the solvent mixture on OS quantification was also 190 explored in this work. Filters collected from smog chamber experiments were extracted using 191 two different solvent mixtures. One filter was extracted using 22 mL of high-purity methanol (LC-MS CHROMASOLV-grade, Sigma-Aldrich,  $\geq$  99.9 %) under 45 min (25 min + 20 min) 192 193 of sonication at room temperature while the second filter was extracted using 22 mL of a 194 70/30 (v/v) solvent mixture containing acetonitrile/toluene (CHROMASOLV-grade, for 195 HPLC, Sigma-Aldrich,  $\geq$  99.9 %). Extracts were then blown dry under a gentle nitrogen 196 stream at ambient temperature (Surratt et al., 2008; Zhang et al., 2011; Lin et al., 2012). Dry

197 extracts were then reconstituted with 150  $\mu$  L of either a 50:50 (v/v) solvent mixture of 198 methanol and water (MilliQ water) or a 50:50 (v/v) solvent mixture of acetonitrile and water. 199 Filters collected from field studies were extracted using methanol as solvent and following the 200 protocol described above; however, prior to drying, extracts were filtered through 0.2- $\mu$  m 201 PTFE syringe filters (Pall Life Science, Acrodisc) to remove insoluble particles or quartz 202 filter fibers.

203 2.4 Chemical Analysis. Characterization of OSs in chamber experiments was performed 204 using ultra performance liquid chromatography interfaced to a high-resolution quadrupole 205 time-of-light mass spectrometer equipped with an electrospray ionization source (UPLC/ESI-206 HR-Q-TOFMS, 6500 Series, Agilent) operated in the negative ion mode. Exact operating 207 conditions have been previously described (Lin et al., 2012). 5  $\mu$ L sample aliquots were 208 injected onto a UPLC column (Waters ACQUITY UPLC HSS T3 column). Octyl sulfate 209 (C<sub>8</sub>H<sub>17</sub>O<sub>4</sub>S<sup>-</sup>; Sigma-Aldrich) and 3-pinanol-2-hydrogen sulfate (C<sub>9</sub>H<sub>13</sub>O<sub>6</sub>S<sup>-</sup>) were used as 210 surrogate standards to quantify the identified aliphatic OSs.

2.5 Total Organic Peroxide Analysis. The total organic peroxides in the SOA were 211 212 quantified using an iodometric-spectrophotometric method adapted from Docherty et al. 213 (2005). As described in Surratt et al. (2006), the method employed in this work differs in the 214 choice of extraction solvent: we used a 50:50 (v/v) mixture of methanol and ethyl acetate, 215 rather than pure ethyl acetate. Calibrations and measurements were performed at 470 nm 216 using a Hitachi U-3300 dual beam spectrophotometer. Benzoyl peroxide was used as the 217 standard for quantification of organic peroxides formed from alkane oxidations. The molar 218 absorptivity measured from the calibration curve was  $\sim 825$ , which is in excellent agreement 219 with previously reported values (Docherty et al., 2005; Surratt et al., 2006).

220

#### 222 **3. Results and Discussion**

223 In the subsequent sections, detailed chemical characterization of OSs identified from the gas-224 phase oxidation of dodecane, decalin and cyclodecane in the presence of ammonium sulfate 225 aerosol is presented. The presence of OSs was revealed by the appearance of characteristic fragment ions at m/z 79.95 (SO<sub>3</sub><sup>\*/-</sup>), 80.96 (HSO<sub>3</sub><sup>-</sup>) and/or 96.96 (HSO<sub>4</sub><sup>-</sup>) in tandem mass 226 spectra (MS<sup>2</sup>) (Iinuma et al., 2007; Gómez-González et al., 2008; Surratt et al., 2008; 227 228 Shalamzari et al., 2013; 2014). Tentative structures, retention times and exact mass measurements of OSs detected in this work are reported in Table S1. The low abundance of 229 some OSs precluded acquisition of high-resolution MS<sup>2</sup> data and thus structures have not 230 231 been proposed for the low-abundance parent ions.

3.1 Characterization of OSs from Dodecane Photooxidation. Seven OSs, including 232 233 isobaric compounds, were identified in SOA produced from the gas-phase oxidation of 234 dodecane in the presence of sulfate seed aerosol. None have previously been reported in 235 chamber experiments, although they have recently been observed in ambient fine aerosol 236 samples (Tao et al., 2014; Kuang et al., 2016). Concentrations of the products are reported in 237 Table S2. Three isobaric parent ions with m/z 279 (C<sub>12</sub>H<sub>23</sub>O<sub>5</sub>S<sup>-</sup>, 279.1254), hereafter referred 238 to as OS-279, were identified in SOA generated from dodecane oxidation in the presence of 239 acidified ammonium sulfate aerosol. Kwok and Atkinson (1995) have reported that OH 240 oxidation of long-chain alkanes preferentially occurred at an internal carbon and thus multiple isomers may be proposed. One such isomer, 6-dodecanone-8-sulfate, is drawn in Figure 1 to 241 represent a proposed structure for OS-279. The MS<sup>2</sup> spectra of the products were identical, 242 243 having product ions diagnostic for a sulfate ester  $\beta$  to an abstractable proton (Surratt et al., 244 2008; Gómez-González et al., 2008) at m/z 199 (C<sub>12</sub>H<sub>23</sub>O<sub>2</sub>, loss of neutral SO<sub>3</sub>) and 97 (HSO<sub>4</sub><sup>-</sup>), precluding assignment of positional isomerism. Figures 1 and S1 present the MS<sup>2</sup> 245 246 spectrum of OS-279 and proposed fragmentation pathway, respectively. By chemical

247 ionization mass spectrometry (CIMS) operating in the negative mode, Yee et al. (2012) 248 identified the formation of hydroperoxides from the oxidation of dodecane under low-NO<sub>x</sub> 249 conditions, confirming the predicted  $RO_2 - HO_2$  reaction pathway in the low- $NO_x$  regime. 250 First-generation hydroperoxides  $(C_{12}H_{26}O_2)$  can undergo further oxidation by reaction with 251 OH to form either more highly oxidized products, such as dihydroperoxides ( $C_{12}H_{26}O_4$ ), or 252 semi-volatile products (C<sub>12</sub>H<sub>24</sub>O) (Yee et al., 2012). In addition, hydroperoxides can be 253 photolyzed to alkoxy radicals (RO) undergoing additional transformation to form more highly 254 oxidized products. Low-volatility products could then condense onto sulfate aerosols and 255 undergo further heterogeneous reactions (Schilling Fahnestock et al., 2015) leading to OSs as 256 discussed below. In our study, OH radicals were formed from IPN photolysis without 257 additional injection of NO. Under these conditions, RO<sub>2</sub> chemistry is dominated by RO<sub>2</sub> + 258 HO<sub>2</sub> and/or RO<sub>2</sub> + RO<sub>2</sub> reactions as discussed by Raff and Finlayson-Pitts (2010). Although 259 RO<sub>2</sub> radicals could also react with NO formed by either IPN or NO<sub>2</sub> photolysis, formation of 260 ozone under chamber conditions (0.3-0.6 ppm, depending on the concentration of IPN 261 injected, Table 1) would rapidly quench NO (Atkinson et al., 2000). Therefore, RO<sub>2</sub> + NO 262 reactions are not expected to be significant. In addition, total organic peroxide aerosol 263 concentrations, presented in Table 1, reveal that organic peroxides account (on average) for 264 28 % of the SOA mass measured in the different experiments in support of a significant 265 contribution of RO<sub>2</sub> + RO<sub>2</sub>/HO<sub>2</sub> and/or RO<sub>2</sub> autoxidation to SOA formation from alkane 266 oxidations.

Carbonyl hydroperoxide ( $C_{12}H_{24}O_3$ ), which has been identified in the gas phase by Yee et al. (2012), is likely involved in acid-catalyzed heterogeneous reactions onto sulfate aerosol. Heterogeneous chemistry of gas-phase organic peroxides has been previously suggested to explain the formation of certain OSs and tetrols (Riva et al., 2016). Acidcatalyzed perhydrolysis of hydroperoxides followed by reaction with sulfate anion radicals

could also be possible route to the formation of OS-279 (Figure 1). OS-279 generated from 272 273 the reactive uptake of the corresponding epoxide  $(C_{12}H_{24}O)$  has been considered but the 274 composition of OS-279 (1 DBE) is inconsistent with reactive uptake of an epoxide. However, further investigation is required to better understand how acidified sulfate seed aerosol takes 275 276 up organic peroxides from the gas phase and how particle-phase reactions might degrade 277 organic peroxides into OSs. It should be mentioned that photooxidation of dodecane has also 278 been investigated using an additional injection of NO (200 ppb) prior IPN injection. In this 279 experiment SOA formation was significantly reduced as well as the OS concentrations (factor 280 of 3-4), confirming that NO strongly impacts the formation of OSs, such as OS-279.

281 3.2 Characterization of OSs from Decalin Photooxidation. Gas-phase oxidation of cyclic 282 alkanes at room temperature and atmospheric pressure has received less attention than linear 283 or branched alkanes. However, recent studies have demonstrated that oxidations of cyclic 284 alkanes by OH radicals produce less-volatile oxygenated compounds and have larger SOA 285 yields (Lim and Ziemann, 2005; Lambe et al., 2012; Tkacik et al., 2012; Yee et al., 2013; Hunter et al., 2014; Loza et al., 2014). Significant formation of OSs (up to 1  $\mu$  g m<sup>-3</sup>) and 286 287 SOA were observed in all experiments of decalin photooxidation (Tables 1 and S3), revealing 288 the high potential for bicyclic alkanes to form OSs. All OSs (25 OSs including 289 isomeric/isobaric structures) identified from the oxidation of decalin in the presence of 290 ammonium sulfate aerosol have been observed in ambient aerosol, underscoring the potential 291 importance of alkanes to OS formation in urban areas (Tao et al., 2014; Wang et al., 2015; Kuang et al., 2016).  $MS^2$  spectra were obtained for all OSs identified from decalin oxidation, 292 293 except for parent ions at m/z 195.0697 (OS-195) and 299.0805 (OS-299). All of the parent 294 ions show an intense product ion at m/z 96.96, indicative of an aliphatic sulfate ester. 295 Retention times and tentative structural assignments are given in Table S1.

Figures 2 and S2 present MS<sup>2</sup> spectra and fragmentation schemes of selected parent 296 297 ions at m/z 265.0752 (OS-265), 269.0696 (OS-269), 295.0494 (OS-295) and 326.0554 (OS-326). MS<sup>2</sup> spectra and fragmentation schemes of other OSs are reported in Figure S3-S7. 298 299 These selected OSs exhibit specific fragmentation patterns and were, as described in the next 300 section, quantified and characterized in the fine urban aerosol samples. The different reaction 301 pathways presented below, are separated based on OSs that are generated from branching 302 reactions of a common transient. Four isomers of OS-265 with composition  $C_{10}H_{17}O_6S^-$  were 303 identified in decalin-derived SOA collected from all experiments. With regard to components 304 of ambient SOA, it is important to mention that the formation of isobaric OSs with the same elemental composition of C<sub>10</sub>H<sub>17</sub>O<sub>6</sub>S<sup>-</sup> isobars have also been previously identified in SOA 305 306 produced from the gas-phase oxidation of monoterpenes (Liggio et al., 2006; Surratt et al., 307 2008) and are not unique to decalin oxidation. The product ion at nominal m/z 97 (HSO<sub>4</sub>) and loss of neutral SO<sub>3</sub> in the  $MS^2$  spectrum (Figure 2a) is consistent with an aliphatic OS 308 having a labile proton in a  $\beta$  position (Attygalle et al., 2001). Absence of product ions 309 310 corresponding to a loss of a terminal carbonyl (-CO) or a carboxyl group (-CO<sub>2</sub>), 311 respectively (Romero and Oehme, 2005; Shalamzari et al., 2014), and a composition 312 corresponding to 2 double bond equivalencies (DBEs) has thus been attributed to an internal 313 carbonyl group and a six-membered ring. A scheme leading to the structure proposed in 314 Figure 2a is based on the cleavage of the  $C_1$ - $C_2$  decalin bond, followed by reaction with a 315 second  $O_2$  molecule and  $HO_2$  leads to a terminal carbonyl hydroperoxide ( $C_{10}H_{18}O_3$ ) (Yee et 316 al., 2013). C<sub>10</sub>H<sub>18</sub>O<sub>3</sub> could then further react with OH radicals and lead to an epoxide and 317 sulfate ester by reactive uptake/heterogeneous chemistry (Paulot et al., 2009). OS-265 318  $(C_{10}H_{17}O_6S^{-})$  could also arise from the acid-catalyzed perhydrolysis of the hydroperoxide  $(C_{10}H_{18}O_4)$  generated from the reaction of  $C_{10}H_{17}O_4$  + HO<sub>2</sub> (Figure S8, pathway b). The MS<sup>2</sup> 319 320 spectrum for the single parent ion at m/z 281 corresponding to the composition  $C_{10}H_{17}O_7S^-$ 

321 (OS-281) gave product ions expected for a sulfate ester  $\beta$  to a labile proton with 2 DBE, but 322 no additional structural information (Figure S4). The pathway proposed in Figure S8 pathway 323 **b** is based on gas-phase oxidation of a 4-(cyclohexan-2-one)but-1-yl radical followed by 324 reaction with O<sub>2</sub> and a 1,5-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) and lead 325 to a  $C_{10}$ -carbonyl-hydroxyhydroperoxide ( $C_{10}H_{18}O_4$ ).  $C_{10}H_{18}O_4$  could then further react with 326 OH radical and by elimination of OH lead to an epoxide (Figure S8, pathway b). In addition, 327 OS-281 could arise from acid-catalyzed perhydrolysis of C<sub>10</sub>-carbonyl dihydroperoxides 328  $(C_{10}H_{18}O_5)$  as proposed in Figure S8, pathway c. The direction of ring opening of the internal 329 epoxide by reactive uptake to give the final product is arbitrary. Three isobaric parent ions at 330 m/z 297 corresponding to the composition  $C_{10}H_{17}O_8S^-$  with 2 DBEs were identified. Loss of water, HSO<sub>4</sub><sup>-</sup> and SO<sub>3</sub> as a neutral fragment in the MS<sup>2</sup> spectrum of the major isobar (OS-331 332 297) is consistent with a hydroxyl-substituted sulfate ester  $\beta$  to a labile proton (Figure S6). 333 The scheme proposed in Figure S8 pathway c is based on the oxidation to a 4-(cyclohexan-2-334 one)but-1-yl radical as in pathway **b**. However, in contrast to pathway **b**, RO<sub>2</sub> formed by the 335 addition of O<sub>2</sub> undergoes a 1,6-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) 336 followed by addition of a second O<sub>2</sub> molecule, a 1,5-H shift and elimination of OH to yield an 337 epoxide, which leads to a sulfate ester by reactive uptake onto acidified aerosols. The 338 direction of ring opening of the internal alkyl epoxide is arbitrary.

The composition of the parent ion at m/z 269.0696 (C<sub>9</sub>H<sub>17</sub>O<sub>7</sub>S<sup>-</sup>) corresponds to one DBE. MS<sup>2</sup> spectrum yields products consistent with a sulfate ester  $\beta$  to an abstractable proton and similar to OS-265, neither a terminal carbonyl nor a carboxyl functional group was detected in the OS-269. As a result, the presence of hydroperoxide and/or hydroxyl substituents is expected in order to satisfy the molecular formulas obtained by the accurate mass measurement. Although ESI-MS in the negative ion mode is not sensitive to multifunctional hydroperoxides and alcohols (Cech and Enke, 2001; Witkowski and Gierczak, 346 2012), this technique is highly sensitive to hydroperoxides and alcohols, which also contain 347 OS groups and give  $[M - H]^-$  ions (Surratt et al., 2008; Kristensen et al., 2011; Kundu et al., 348 2013; Hansen et al., 2014).

In Figure 3, tentative pathways leading to the formation of OS-267, OS-269 and OS-349 350 285 are proposed. Following analogous mechanisms for low-NO<sub>x</sub> conditions (Atkinson, 2000; 351 Yee et al., 2013), abstraction of a proton  $\alpha$  to the ring scission of decalin followed by reaction 352 with O<sub>2</sub> leads to the 1-hydroperoxy radical, which in turn can react with another RO<sub>2</sub> radical 353 to yield the corresponding alkoxyl radical ( $C_{10}H_{17}O$ ). Cleavage of the  $C_1-C_2$  decalin bond, 354 followed by reaction with a second O<sub>2</sub> molecule and HO<sub>2</sub> leads to a terminal carbonyl hydroperoxide ( $C_{10}H_{18}O_3$ ). The aldehydic intermediate in the sequence following  $C_1$ - $C_2$  ring 355 356 scission may be oxidized to the corresponding acyl radical either by photolysis (Wang et al., 2006) or by H-abstraction (Kwok and Atkinson 1995) followed by addition of O<sub>2</sub>, reaction 357 358 with RO<sub>2</sub> or HO<sub>2</sub> and decarboxylation of the resulting acyl-oxy radical (R(O)O) (Chacon-359 Madrid et al., 2013) to a hydroperoxyperoxy radical (C<sub>9</sub>H<sub>17</sub>O<sub>4</sub><sup>•</sup>). C<sub>9</sub>H<sub>17</sub>O<sub>4</sub><sup>•</sup> can react via 360 pathway **a** (Figure 3) through a 1,6-H shift (Crounse et al., 2011; Orlando and Tyndall, 2012) 361 followed by elimination of OH resulting in a formation of an epoxide analogous to the 362 formation of isoprene epoxydiol (IEPOX) (Paulot et al., 2009; Mael et al., 2015). The epoxide can then undergo acid-catalyzed ring opening to give OS-269 ( $C_9H_{17}O_7S^-$ ). The MS<sup>2</sup> 363 364 spectrum of OS-285 (C<sub>9</sub>H<sub>17</sub>O<sub>8</sub>S<sup>-</sup>; Figure S5) shows product ions corresponding to HSO<sub>3</sub><sup>-</sup>, 365  $HSO_4^-$  and loss of neutral SO<sub>3</sub>, in accord with a sulfate ester  $\beta$  to a labile proton, but yields no 366 further structural information. The structure proposed for OS-285 is based on the formation of 367 reaction of the hydroperoxyperoxyl radical intermediate in pathway **b** with RO<sub>2</sub> followed by a 368 1,4-H shift (Rissanen et al., 2015) and addition of O<sub>2</sub> to give a hydroxyhydroperoxyperoxyl 369 radical (C<sub>9</sub>H<sub>17</sub>O<sub>5</sub><sup>•</sup>). C<sub>9</sub>H<sub>17</sub>O<sub>5</sub><sup>•</sup> could then lead to an epoxide by isomerization (Iinuma et al., 370 2009; Surratt et al., 2010; Jacobs et al., 2013; Mael et al., 2015) and form OS-285. C<sub>9</sub>H<sub>17</sub>O<sub>5</sub>•

371 could also react with HO<sub>2</sub> and form the corresponding C<sub>9</sub>-hydroxydihydroperoxide 372 (C<sub>9</sub>H<sub>18</sub>O<sub>5</sub>), which could then undergo heterogeneous reaction and lead to OS-269 (Figure 3, 373 pathway **b**). Finally, a C<sub>9</sub>-carbonyl hydroperoxide (C<sub>9</sub>H<sub>16</sub>O<sub>3</sub>) could also be formed from the 374 RO + O<sub>2</sub> reaction (Figure 3, pathway **c**), which could then further react with OH radicals and 375 lead to a C<sub>9</sub>-carbonyl dihydroperoxide (C<sub>9</sub>H<sub>16</sub>O<sub>5</sub>). Hence, C<sub>9</sub>H<sub>16</sub>O<sub>5</sub> could form OS-267 376 (C<sub>9</sub>H<sub>15</sub>O<sub>7</sub>S<sup>-</sup>) from heterogeneous reaction on acidic aerosols.

377 In Figure 4, pathways from an initial 1-peroxy transient are proposed to products 378 designated OS-295, OS-311 and OS-326. Three isobaric ions corresponding to OS-295 379  $(C_{10}H_{15}O_8S^{-})$  were identified in decalin-derived SOA under all experimental conditions. Figure 2c shows the MS<sup>2</sup> spectrum of the parent ion at m/z 295. A product ion at m/z 251 380 381 corresponding to loss of CO<sub>2</sub> (Romero and Oehme, 2005; Shalamzari et al., 2014) is present 382 in addition to product ions consistent with a sulfate ester  $\beta$  to a labile H (Riva et al., 2015). Pathway a leads to the structure consistent with the  $MS^2$  spectrum and 3 DBEs required by 383 384 the composition of the parent ion. The salient features of pathway a include oxidation of the 385  $RO_2$  to 2-decalinone, formation of a  $C_{10}$  alkoxy radical followed by ring cleavage of the 386  $C_9-C_{10}$  decalin bond and further  $RO_2$  isomerization (1,8-H shift) leading to a 4-(carboxy 387 cyclohexyl)-1-hydroperoxybut-2-yl radical via RO<sub>2</sub> chemistry. Although considered as a 388 minor reaction pathway (Crounse et al., 2013), the acyloxy radical could lead to the epoxide 389 from the isomerization of the O<sub>2</sub> adduct (Paulot et al., 2009; Yao et al., 2014; Zhang et al., 390 2015). Further acid-catalyzed ring opening of the epoxide leads to OS-295 ( $C_{10}H_{15}O_8S^{-}$ ).

Two isobaric parent ions with identical  $MS^2$  spectra were observed at m/z 311 ( $C_{10}H_{15}O_9S^-$ ; Figure S7). The only observed product ion at m/z 97 is consistent with a sulfate ester, but not informative with regard to a more refined assignment of molecular structure. Pathway **b** to a hydroperoxide for the parent ion with 3 DBEs is proposed by analogy to the putative hydroperoxide structures of OS-267, OS-269 and OS-285. Pathway **b** is 396 characterized by a H-abstraction from a carbon at the ring fusion of 2-decalinone leading to 397 formation of an 2-decalinone-6-oxy radical followed by a sequence of ring cleavage,  $O_2$ 398 additions and H-shifts to form a 4-(2,6-cyclohexyl)-2-hydroperoxybutan-1-oxide that can 399 form the sulfate ester on reactive uptake. Abstraction of H1 rather than H6 would lead to an 400 isobaric structure.

Four isobaric ions corresponding to  $C_{10}H_{16}NO_9S^-$  with analogous MS<sup>2</sup> spectra (Figure 401 402 2d) were detected at nominal mass m/z 326. The loss of 63 mass units as neutral HNO<sub>3</sub> 403 (Figure S2d) is in accord with a nitrate ester (Surratt et al., 2008), supported by the absence of product ions from loss of NO or NO<sub>2</sub> (Kitanovski et al., 2012). Although RO<sub>2</sub> + NO reactions 404 405 are expected to be minor under the conditions used in this work (i.e. NO < 1 ppb, formation of 406 RO radicals or organonitrates cannot be ruled out. Indeed, Ehn et al. (2014) have 407 demonstrated that NO reactions could be competitive at ppb levels. Under our experimental conditions RO<sub>2</sub> + NO, RO<sub>2</sub> + HO<sub>2</sub>/RO<sub>2</sub> and RO<sub>2</sub> autoxidation are possible. Therefore, the 408 409 parent ion at m/z 326 could arise from the reaction of the decalin-2-peroxy radical with NO to 410 form decalin-2-nitrate ( $C_{10}H_{17}NO_3$ ) with subsequent reactions shown in Figure 4, pathway c. From this point, a sequence of reactions identical to pathway b yields the parent OS-326. It is 411 412 important to mention that the formation of isobaric OSs with the same elemental composition of C<sub>10</sub>H<sub>16</sub>NO<sub>9</sub>S<sup>-</sup> isobars have also been identified in SOA produced from the gas-phase 413 414 oxidation of monoterpenes (Surratt et al., 2008).

**3.3 Characterization of OSs from Cyclodecane Photooxidation.** The concentrations of OSs identified from gas-phase oxidation of cyclodecane are reported in Table S4. High levels of OSs were observed in experiments performed under dry conditions with acidified ammonium sulfate seed aerosol. The impact of acidity on OS formation will be discussed in more detail in the following section. The MS<sup>2</sup> spectra of all cyclodecane products show only a single product ion at nominal m/z 97 corresponding to bisulfate (Figures S9 – S13), indicating 421 that the oxidation products are sulfate esters  $\beta$  to a labile proton. None of the fragment ions observed in the MS<sup>2</sup> spectrum suggests the presence of a terminal carbonyl or a carboxyl 422 423 functional group in the cyclodecane-OSs, which is consistent with conservation of the 424 cyclodecane ring. Tentative structures proposed in Table S1 are based on DBE calculations and retention of the cyclodecane ring supported by MS<sup>2</sup> data. Pathways proposed in Figure 425 426 S14 are initiated by H-abstraction and based on reaction sequences for which precedent has been established: addition of O<sub>2</sub> to cycloalkyl radicals to give RO<sub>2</sub> which either reacts with 427 428 RO<sub>2</sub> to yield alkoxy radicals (Atkinson and Arey, 2003; Ziemann and Atkinson, 2012) or 429 undergoes intramolecular H-shifts leading to generation of hydroperoxides (Ehn et al., 2014; 430 Jokinen et al., 2014; Mentel et al., 2015). The formation of compounds such as cyclodecanone 431  $(C_{10}H_{18}O)$ , cyclodecane hydroperoxide  $(C_{10}H_{20}O_2)$  or cyclodecane hydroxyhydroperoxide 432  $(C_{10}H_{20}O_3)$  are proposed as intermediate products leading to epoxy-compounds after 433 additional oxidation/isomerization processes, as presented in Figure S14. In addition 434  $C_{10}H_{20}O_3$ , cyclodecane hydroperoxide ketone  $(C_{10}H_{18}O_3)$ and cyclodecane 435 hydroxyoxohydroperoxide ( $C_{10}H_{18}O_4$ ), proposed as intermediate products, could condense 436 onto wet acidic aerosols and lead to the corresponding OSs through acid-catalyzed perhydrolysis reactions (Figure S14). Since authentic standards are unavailable and the MS<sup>2</sup> 437 438 data do not allow specific structural features to be assigned, the end products in pathways in 439 Figure S14 are arbitrary. Isobars may be explained by *cis/trans* epoxide ring opening or the 440 span of an H-shift (1,5-,/1,8-H shifts are possible) (Orlando and Tyndall, 2012). In the case of 441 OS-249, where *cis/trans* isomers are not possible; the two isobaric structures may result from 442 different H-shifts. OS-265 and OS-281 are reported here for the first time in chamber studies.

3.4 Impact of Relative Humidity and Acidity on OS Formation. Experiments were
performed under conditions reported in Table 1. As shown in Figure 5 and Tables S2-S4, the
presence of acidic aerosols significantly increases OS formation in most cases, as previously

446 observed for OSs in SOA generated from biogenic sources (Iinuma et al., 2007; Surratt et al., 447 2007; Chan et al., 2011). Since differences in meteorology could impact experimental results 448 from the outdoor chamber, caution must be exercised in comparing experiments performed on 449 different days. However, same-day, side-by-side experiments allow for clear resolution of the effects of aerosol acidity and seed composition on OS formation. When comparing 450 451 experiments performed under dry versus wet conditions with acidified ammonium sulfate 452 aerosol, higher RH conditions significantly reduce OS formation, likely attributable to an 453 increase in pH because of dilution by additional particle water. It is important to point out that 454 the effect of varying the aerosol acidity was not cleanly separated from the potential impact of 455 larger concentrations of aerosol sulfate. However, Chan et al. (2011) have demonstrated that 456 the formation of OSs from the oxidation of  $\beta$ -caryophyllene is directly correlated with aerosol 457 acidity ([H<sup>+</sup>]). Indeed, the authors have changed the acidity of the seed aerosols by adjusting 458 the ratio of the aqueous (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>SO<sub>4</sub> solutions to produce a constant aerosol sulfate concentration of 30  $\mu$ g m<sup>-3</sup> across the range acidities. 459

460 To better investigate the effect of acidity on OS formation, products were divided in 461 two groups (Figure 5), those whose concentrations were increased by a factor  $\geq 2$  (Group-1) 462 and  $\leq 2$  (Group-2). Figure 5 and Tables S2-S4 show that OSs identified from dodecane 463 photooxidation belong to Group-2, with the exception of OS-279. OSs from decalin 464 photooxidation, including OS-195, OS-269 and OS-297 belong to Group-2 as well. OSs can 465 be formed via different pathways, including acid-catalyzed ring-opening reactions of epoxy-466 containing SOA constituents, reactive uptake of unsaturated compounds into the particle 467 phase, or by reaction with the sulfate anion radical (Rudzinski et al., 2009; Nozière et al., 468 2010; Schindelka et al., 2013; Schöne et al., 2014). OSs may also result from nucleophilic 469 substitution of nitrate by sulfate (Darer et al., 2011; Hu et al., 2011). The impact of acidity on 470 OS formation arising from the different pathways has been investigated principally for

reactive uptake of epoxy-compounds (Jacobs et al., 2013; Lin et al., 2012; Gaston et al., 2014;
Riedel et al., 2015) for which OS formation is strongly enhanced under acidic conditions (Lin
et al. (2012). However, a similar enhancement was not observed in our study on PAH-OSs,
which were not expected to result from epoxide chemistry (Riva et al., 2015). Based on these
observations, the formation of Group-1 OSs are hypothesized to be products of reactive
uptake of gas-phase epoxides.

477 3.5 Impact of Solvent Mixture on OS Quantification. Additional filters were collected from 478 each side of the outdoor chamber and for each experiment to investigate the impact of solvent 479 mixture on OS quantification. Tao et al. (2014) have recently reported that less polar solvents 480 such as an acetonitrile (ACN)/toluene mixture are a better choice for extraction of long alkyl-481 chain OSs from filters using a nanospray-desorption electrospray ionization mass 482 spectrometry where the extraction occurs *in situ* and the analyses are qualitative. Figure 6 demonstrates that, overall, concentrations of OSs (ng m<sup>-3</sup>) from the photooxidation of 483 484 dodecane, decalin and cyclodecane seem to be more efficiently extracted by the ACN/toluene 485 mixture. It is important to note that the concentrations of the aliphatic OSs could be 486 underestimated due to their potential partial re-dissolution in the reconstitution solutions. 487 Tables S2-S4, showing the ratios of the concentrations individual OSs extracted by the 488 ACN/toluene mixture divided by the concentration of OSs extracted by methanol, indicates 489 that all  $C_{10}$  and  $C_{12}$  OS products, including highly oxidized OS, appear more efficiently 490 extracted by the ACN/toluene mixture. For OSs smaller than C10, extraction efficiencies are 491 about the same. As noted above, isobars of OSs identified from the oxidation of alkanes have 492 been observed in SOA generated from the oxidation of monoterpenes that are currently used 493 as tracers for monoterpene SOA chemistry (Hansen et al., 2014; Ma et al., 2014). Hence, in 494 addition to the caution that quantitation of alkane and monoterpene OSs is uncertain in the 495 absence of authentic standards, some monoterpene OSs may be underestimated if not fully

496 extracted because most studies use methanol as an extraction solvent (Surratt et al., 2008; 497 Iinuma et al., 2009). However, more work is needed to better characterize and elucidate the 498 impact of solvent mixture on the quantitation of biogenic- and anthropogenic-derived OSs, 499 especially compounds >  $C_{10}$ , by using internal standards.

500 3.6 OSs Derived from Alkanes in Ambient Fine Urban Aerosol. Archived fine urban 501 aerosol samples collected at Lahore, Pakistan, and Pasadena, CA, USA were used to evaluate 502 and quantify OSs identified in SOA produced from the photooxidation of alkanes. Filters 503 were initially extracted using methanol and comparison to OSs quantified using another 504 solvent mixture was not possible. As previously mentioned, seven parent ions have been 505 observed in laboratory studies. Therefore, extracted ion chromatograms (EICs) obtained from 506 smog chamber experiments were compared to those obtained from both urban locations to 507 confirm that observed OSs correspond to OSs identified in our lab study. Figures 7 and S15 508 present the EICs of OSs observed in both ambient and our smog chamber-generated SOA. 509 Table 2 identifies 12 OSs, along with concentrations, present in PM<sub>2.5</sub> collected from Lahore, 510 Pakistan and Pasadena, CA, USA and also observed in our smog-chamber-generated SOA.

511 The high concentrations, especially at Lahore (Pakistan) of the OSs measured in the 512 ambient aerosol samples support their use as tracers for SOA produced from the oxidation of 513 alkanes in urban areas. This is consistent with recent proposals (Tao et al., 2014). OS-195 514 (C<sub>7</sub>H<sub>15</sub>O<sub>4</sub>S<sup>-</sup>), OS-249 (C<sub>10</sub>H<sub>17</sub>O<sub>5</sub>S<sup>-</sup>), OS-255 (C<sub>9</sub>H<sub>19</sub>O<sub>6</sub>S<sup>-</sup>), OS-267 (C<sub>10</sub>H<sub>19</sub>O<sub>6</sub>S<sup>-</sup>), OS-281  $(C_{10}H_{17}O_7S^{-})$ , OS-299  $(C_{10}H_{19}O_8S^{-})$ , OS-307  $(C_{12}H_{19}O_7S^{-})$  and OS-311  $(C_{10}H_{15}O_9S^{-})$  have 515 516 been recently identified in ambient aerosol collected from the major urban locations Shanghai 517 and Hong Kong (Tao et al., 2014; Wang et al., 2015; Kuang et al., 2016). In the absence of 518 retention times and chromatographic conditions, OS isobars such as OS-249 or OS-279, 519 which are currently assigned to biogenic-derived OSs (Ma et al., 2014), could also arise from 520 anthropogenic sources such as photooxidation of cyclodecane, especially in urban areas.

# 521 **4.** Conclusions

522 The present study demonstrates the formation of OSs from the photooxidation of alkanes and 523 complements the smog chamber study on formation of OSs and sulfonates from 524 photooxidation of PAHs (Riva et al., 2015). Together, the results strongly support the 525 importance of the contribution of anthropogenic precursors to OS in ambient urban PM<sub>25</sub> 526 proposed on the basis of aromatic and aliphatic OSs in fine aerosol collected from several 527 major urban locations (Kundu et al., 2013, Tao et al., 2014). Chemical characterization of OSs 528 that were identified in SOA arising from the photooxidation of alkanes were performed and 529 tentative structures have been proposed for OSs identified from the photooxidation of decalin, 530 cyclodecane, and dodecane based on composition from exact mass measurement, DBE 531 calculations and the transformations expected from hydroxyl radical oxidation dominated by 532 RO<sub>2</sub>/HO<sub>2</sub> chemistry. Enhancement of OS yields in the presence of acidified ammonium 533 sulfate seed is consistent with reactive uptake of gas-phase epoxides as the pathway for OS 534 formation. As previously proposed for IEPOX formation (Paulot et al. 2009), isomerization of 535  $RO_2$  species to  $\beta$  hydroperoxy alkyl radicals followed by elimination of OH, is a plausible 536 pathway to gas-phase epoxides. It is interesting to note that OS formation through reactive 537 uptake of epoxides have been only observed for cyclic alkanes, which is consistent with the 538 larger concentration of OSs identified from the oxidation of cyclodecane and decalin. 539 However, more work is required to validate pathway(s) leading to the formation of gaseous 540 epoxy-products, since OS formation from other chemical pathways such as nuclophilic 541 substitution of the –ONO<sub>2</sub> group by a –OSO<sub>3</sub> group cannot be ruled out (Darer et al., 2011; 542 Hu et al., 2011). Of critical importance would be investigations starting from authentic 543 primary or secondary oxidation products suggested in this study as putative intermediates to 544 validate the proposed mechanisms. A novel pathway involving heterogeneous reactions of 545 hydroperoxides followed by hydrolysis/sulfation reactions is proposed to explain the

546 formation of 8 OSs identified in this study; however, more work is also required to examine 547 how acidified sulfate seed aerosols take up organic peroxides from the gas phase and how 548 particle-phase reactions might degrade organic peroxides into low-volatility products such as 549 the OSs.

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**Table 1.** Summary of outdoor smog chamber conditions used for the photooxidation of long-chain alkanes using isopropyl nitrite (IPN) as an OH
radical precursor.

Hydrocarbons (HCs)	Initial [HC] (ppb)	Chamber Side	Seed aerosol	Initial [IPN] (ppb)	[NO] (ppb)	[O <sub>3</sub> ] (ppb)	T (K)	RH (%)	Final OA mass (µg m <sup>-3</sup> )	Total Peroxides (μg m <sup>-3</sup> )
Dodecane	412	Ν	Non-Acidified	215	< 1	512	304-311	49-59	58	N.d.
	420	S	Acidified	212	< 1	528	305-311	51-63	65	<i>N.d.</i>
Dodecane	422	Ν	Non-Acidified	215	< 1	507	302-308	15-20	49	N.d.
	427	S	Acidified	212	< 1	538	303-308	14-17	53	<i>N.d.</i>
Dodecane	397	Ν	Acidified	215	< 1	506	304-309	45-52	52	15.4
	409	S	Acidified	212	< 1	585	305-310	15-19	59	15.2
Decalin	175	Ν	Non-Acidified	138	< 1	327	302-309	48-45	204	N.d.
	180	S	Acidified	136	< 1	335	302-308	51-49	224	<i>N.d.</i>
Decalin	199	Ν	Non-Acidified	138	< 1	317	305-306	13-13	200	59.7
	204	S	Acidified	136	< 1	328	306-306	13-14	211	75.5
Decalin	N.I.	Ν	Acidified	138	< 1	319	302-306	43-54	245	43.9
	N.I.	S	Acidified	136	< 1	324	301-306	9-12	270	57.8
Cyclodecane	257	Ν	Non-Acidified	172	< 1	374	298-301	53-61	218	76.6
	263	S	Acidified	170	< 1	364	299-301	52-60	238	72.2
Cyclodecane	256	Ν	Non-Acidified	172	< 1	350	300-303	13-15	177	57.8
	261	S	Acidified	170	< 1	332	300-302	13-14	210	68.3
Cyclodecane	245	Ν	Acidified	172	< 1	345	298-300	10-11	259	78.8
	250	S	Acidified	170	< 1	355	299-300	51-49	270	69.2

N and S design "North chamber" and "South Chamber", respectively; N.I.: No Information, N.d. Not determined

Table 2. Concentrations (ng m <sup>-3</sup> ) of OSs identified in laboratory-generated dodecane, decalin and cyclodecane SOA and in fine aerosol coll	lected
from two urban locations.	

	Lahore, Pakistan								Pasadena, USA						
$[M - H]^{-}$	Precursors	04-30- 2007	05-06- 2007	05-12- 2007	11-02- 2007	11-08- 2007	05-17- 2010	05-18- 2010	05-19- 2010	05-23- 2010	05-24- 2010	05-25- 2010	05-28- 2010	06-11- 2010	
$C_7H_{13}O_5S^-$ (209.0472) <sup>a,b</sup>	Dodecane	7.53	6.53	4.24	6.35	9.66	N.d.	N.d.	0.27	0.07	0.10	N.d.	0.09	0.21	
$C_9H_{17}O_5S^-$ (237.0786) <sup>a,b</sup>	Dodecane	9.35	6.81	4.27	7.27	12.40	0.13	0.15	0.30	0.10	0.16	0.16	0.13	0.25	
$C_{10}H_{19}O_5S^-$ (251.0946) <sup>a,c</sup>	Cyclodecane	10.40	7.51	4.08	13.17	20.96	N.d.								
$\frac{C_{10}H_{17}O_6S^-}{(265.079)^{a,c}}$	Cyclodecane	2.83	2.45	2.15	2.86	7.63	0.18	0.21	0.35	0.14	0.15	0.16	0.15	0.36	
$C_9H_{15}O_7S^-$ (267.0554) <sup>a,c</sup>	Decalin	0.98	1.87	1.93	2.19	6.53	0.21	0.21	0.58	0.11	0.21	0.20	0.16	0.40	
$\frac{C_9H_{17}O_7S^-}{(269.0700)^{a,b}}$	Decalin	2.04	3.02	2.22	2.62	7.56	0.42	0.38	0.58	0.26	0.40	0.38	0.35	0.56	
$\frac{C_{10}H_{15}O_7S^{-}}{(279.0556)^{a,c}}$	Cyclodecane	6.38	20.25	21.97	15.06	35.93	0.14	0.21	0.54	0.10	0.19	0.21	0.20	0.29	
$\frac{C_{12}H_{23}O_5S^{-}}{(279.1272)^{c,d}}$	Dodecane	14.57	12.18	3.41	9.50	19.56	N.d.								
$C_9H_{17}O_8S^-$ (285.0651) <sup>a,c</sup>	Decalin	N.d.	0.61	N.d.	N.d.	1.44	0.20	0.09	0.21	0.05	0.08	0.09	0.03	0.17	
$C_{10}H_{15}O_8S$ - (295.0500) <sup>a,c</sup>	Decalin	N.d.	0.53	0.48	0.54	3.78	0.17	0.22	0.65	0.08	0.17	0.24	0.19	0.52	
$\frac{C_{10}H_{17}O_8S^{-}}{(297.0650)^{a,c}}$	Decalin	N.d.	0.78	0.92	0.69	N.d.	0.13	0.08	0.43	0.07	0.10	0.09	0.10	0.24	
$\begin{array}{c} C_{10}\overline{H_{16}NO_{9}S^{-}}\\ (326.0550)^{a,c} \end{array}$	Decalin	0.25	0.32	0.21	<i>N.d.</i>	N.d.	N.d.	0.13	0.22	0.06	0.09	0.11	0.12	0.11	

<sup>*a*</sup> Quantified using authentic OS (3-pinanol-2-hydrogen sulfate,  $C_9H_{13}O_6S^-$ ), <sup>*b*</sup> OSs belonging to group 2, <sup>*c*</sup> OSs belonging to group 1, <sup>*d*</sup> quantified using octyl sulfate OS ( $C_8H_{17}O_4S^-$ ). Different isomers for one ion have been summed; N.d.: not detected.



**Figure 1.** Proposed formation pathway of OS-279 (m/z 279.1274) and its corresponding fragmentation routes. The suggested mechanism is based on identified products from previous study (Yee et al., 2012).


**Figure 2.**  $MS^2$  spectra obtained for selected decalin-derived OSs: (*a*) m/z 265.0752 ( $C_{10}H_{17}O_6S^-$ ), (*b*) m/z 269.0696 ( $C_9H_{17}O_7S^-$ ), (*c*) m/z 295.0494 ( $C_{10}H_{15}O_8S^-$ ) and (*d*) m/z 326.0554 ( $C_{10}H_{16}NO_9S^-$ ). Fragmentation schemes are proposed in Figure S2.



**Figure 3.** Tentatively proposed formation pathways of OS-267 (m/z 267.9552), OS-269 (m/z 269.0696) and OS-285 (285.0654) from the oxidation of decalin in presence of sulfate aerosol.



**Figure 4.** Tentatively proposed formation pathways of OS-295 (295.0494), OS-311 (m/z 311.0447) and OS-326 (326.0554) from the oxidation of decalin in the presence of sulfate aerosol.



**Figure 5.** Impact of acidity on OS formation from gas-phase oxidation of (a) dodecane, (b) decalin, and (c) cyclodecane. OSs from Group-1 corresponds to compounds strongly impacted by aerosol acidity, while OSs from Group-2 appeared to have less dependency on aerosol acidity.



**Figure 6.** Impact of extraction solvent composition on quantification of identified OSs from gas-phase oxidation of alkanes.



**Figure 7.** Extracted ion chromatograms (EICs) for selected alkane OSs identified in both smog chamber experiments (in red) and ambient samples (in green).