Point-by-point response to Review#1

We thank Referee #1 for helpful comments and suggestions. The "Referee's Comments" are noted first and then we give our reply to the comment. Changes/actions to the manuscript are noted afterwards.

Main issues: 1. I suggest adding a small section describing very practical aspects of the model applications. In particular I did not find clear description of how to apply ES treatment for free running CCM. It will make easier model use by the interested groups.

The purpose of this paper is to describe and provide a semi-empirical model for EPP-NOy that can be used as odd nitrogen upper boundary condition (UBC) in chemistry climate models (CCMs). A detailed discussion of practical aspects related to its implementation into CCMs is beyond the scope of this paper, in particular, because there might be model-specific differences at the detail level. Some more general aspects, however, are addressed in Mattes et al. (submitted to GMD).

Action: Regarding the application of the ES treatment in free running CCMs, we have added a paragraph discussing possible strategies (see response to minor issue 11).

Minor issues: 1. Page 1, Line 3: I would rephrase "used in a previous study"

Action: Has been changed to "used previously".

2. Page 2, Lines 12-16: The authors mentioned that correlation with Ap is high for SH and unperturbed NH winters. After they said that EPP source dominates. I feel some not perfect logic here. If the geomagnetic activity dominates why to work on ES cases later on?

Action: We have clarified that the EPP source strength is the driving factor of the interannual variability only for such winters (i.e., SH and quiescent NH winters).

3. Page 2, Line 27: And probably the availability of the solar light plays a role.

We fully agree. Indeed, our ES parameterization takes into account the seasonal dependence of the EPP-NOy in the source region, ruled by solar illumination. In the paragraph around line 27 of page 3, however, we summarize the findings of Holt et al., 2013.

Action: In order to make this clearer, we now state:" This behaviour was attributed by these authors to the pronounced seasonal dependence of the strength of the vertical winds following an event."

4. Page 2, Line 30: "To be submitted" is not appropriate and confusing for the reader.

The paper (Matthes et al.) is now published in GMD Discussions (http://www.geosci-model-dev-discuss.net/gmd-2016-91/).

Action: We have added the corresponding reference.

5. Page 2, Line 35: I suggest adding something more recent publications by Malliniemi et al. (2013 or 2014).

Action: A reference has been added.

6. Page 3, Line 1: "A large number" is too optimistic, I think.

Action: This has been changed to "an increasing number".

7. Page 3, Line 9: It sounds like the model provides all components of NOy balance. Please, clarify.

The model provides only NOy (the sum of all reactive nitrogen species).

Action: We have omitted the word "budget" in the revised version.

8. Page 4, Line 1: Rephrase, in the present form it does not sound good.

Action: Has been changed to "Here, we use the daily zonal mean climatology of EPP-NOy densities, available on latitudinal bins of 10 deg with global coverage".

9. Page 4, lines 18-19: For some cases the contribution from radiation belt electrons can be important (Andersson et al., 2014; Arsenovic et al., 2016)

Action: A sentence in this sense has been added.

10. Page 27, Line 20: Please, specify pressure level where the not EPP related effects are not negligible. Does it also depend on the season?

We refer to background NOy as the NOy contribution produced by N2O oxidation and not by EPP. Volume mixing ratios of the background NOy can still be up to a few ppbv in the lower and middle mesosphere (see, e.g., Fig. 1-2 of Funke et al., 2014, doi: 10.1002/ 2013JD021404). Therefore, we think that it would be misleading to specify a particular pressure level above which the background NOy is negligible. The background NOy shows a pronounced seasonal dependence. Particularly for this reason we regress harmonic terms (Eq. 24) to its seasonal composite.

Action: The statement "not negligible in the lower mesosphere" has been changed to "not negligible in the lower/middle mesosphere".

11. Page 30, lines 21-30: I do not clearly understand the paragraph describing the treatment of the ES events. How they can be used this in free running models?

While the consideration of ES-related enhanced odd nitrogen descent with the NOy UBC is relatively straight forward in nudged model simulations (since the ES onset dates, needed to drive the UBC model, are known beforehand), its consideration in free-running simulations would require to diagnose ES events "online" in a quasi-instantaneous manner, e.g., by analysing the modelled temperature fields averaged over a narrow time window covering the past model day. Then, in case of the detection of an event onset, it would be required to update the NOy UBC (by running the UBC model again, with the "new" ES event accounted for, from the ES onset date to at least the end of the actual NH winter season).

The main task is hence the implementation of the online ES detection scheme into the model system. We have proposed a detection criterion, $\Delta T_{30-70} > 53$ K, based on the difference of 0–30°N and 70–90°N temperature averages at 1hPa, which allowed for quasi-instantaneous detection of ES event onsets (associated with enhanced odd nitrogen descent) in EMAC simulations. Its application in other model systems, however, might require an adjustment of the detection threshold (which could be achieved by calculating ΔT_{30-70} from a nudged simulation covering 1980-2014 and tuning the threshold such that it is exceeded only for ES events listed in Table 4).

Action: We have expanded the discussion of the ES detection and application to free-running models along these lines.

12. Page 31, line 13: Does model takes into account changing N2O mixing ratio?

A N2O emission-related trend is not taken into account in the UBC model. Such a trend would only affect the background NOy contribution (related to N2O oxidation in the stratosphere). The latter has been constructed from MIPAS observations covering a time period of 10 years, being too short for extraction of longterm trends. The main purpose for including a NOy background in the UBC model is to avoid boundary artefacts in CCMs that employ the UBC. Such artefacts could potentially occur close to the upper model lid in polar summer or in the tropics by prescribing zero concentrations, however, would hardly affect the modelled NOy at lower vertical levels. We would like to stress that a N2O emission-related trend has no impact on the NOy transported into the model domain during polar winter (NOy is dominated by the EPP contribution, there). Therefore, we think that the consideration of such a trend is of little importance. Nevertheless, if wished by a user of the UBC model code (in order to improve the NOy representation at the model top) such a trend could easily be incorporated by a slight modification of the IDL program (i.e., by multiplying a emission-driven trend to the background NOy contribution term).

Action: none

Page 31, line 25: I think using "to be submitted" is not appropriate.

The paper (Matthes et al.) is now published in GMD Discussions (see above).

Action: We have added the corresponding reference.

14. Page 31, line 26: IDL code is not there.

The code is now available at http://solarisheppa.geomar.de/solarisheppa/cmip6 (as IDL and MATLAB routines).

Point-by-point response to Review#2

We thank Referee #2 for helpful comments and suggestions. The "Referee's Comments" are noted first and then we give our reply to the comment. Changes/actions to the manuscript are noted afterwards.

1) The fit for ES states is based on only two winters. Even if both winters cover a significant amount of variance, they still may not be enough. Have the authors tried to apply the fitting procedure to model data from CCMs that resolve EPP NOy fluxes and have more instances of major sudden stratospheric warming events? This should give some idea of how the fitting performs for observational data.

We agree it is not optimal to constrain the EPP-NOy during ES events by MIPAS observations from only two winters. However, we'd like to point out that NO observations of two additional winters (2011/12 from SOFIE and 2005/06 from ACE) are used to validate the model (see Sec. 6). These four ES winters (2003/04, 2005/06, 2008/09, and 2011/12) are currently the only ES winters with available odd nitrogen observations in the NH polar stratosphere and mesosphere.

We have not applied the fitting procedure to CCM results because EPP-resolving high top CCMs generally tend to underestimate mesospheric and upper stratospheric NOy after ES events (e.g., Holt et al., 2014; Siskind et al., 2015; Randall et al., 2015, Meraner et al., submitted, 2016). This systematic model bias makes it difficult to merge CCM results with observations in order to improve the ES statistics. However, we have qualitatively compared the fitted ES parameters to the WACCM analysis in Holt et al., 2014 (including 17 simulated ES events) and found good agreement with respect to the dependence on the event timing (see 1st paragraph on page 17).

Action: none

2) Figure 8 indicates that the empirical model overestimates EPP NOy at higher altitudes before the major sudden warming event. This overestimation appears in 2010 and 2011 in the NH and in 2008 and 2009 in the SH as well ((Fig. 3a,b). This seems to be related to the transport time as shown in Figure 1 where the red and blue solid lines (corresponding to the expression used in the model) deviate from the best fit as shown by the open diamonds. What motivated the choice of the expression giving the solid lines shown instead of lines with a tighter fit above 0.3 hPa? Longer transport times are associated with higher concentrations of NOy due to slower flushing given a fixed rate of formation.

*In the semi-empirical model, the EPP-NOy (as shown in Figures 3 and 8) is constrained by MIPAS observations (by adjusting Eq. 5). As a consequence, the model always reproduces the observations **on average** (2002-2012). Model deviations in individual winters (as identified by this Reviewer) are caused by interannual*

dynamical variability not considered in the semi-empirical model (except for ES events). We'd like to stress that the transport times Γ as shown in Fig. 1 are only used in Eq. 8 to determine the modulation of the geomagnetic variability signal by vertical transport (i.e. the "Ap propagation function"). In principle, Γ should be identical to the transport times derived from vertical velocities (shown as solid lines in Fig. 1). The values of Γ derived from the best fit of the observed Ap modulation (shown by open diamonds) deviate slightly for two reasons:

(1) The use of a quasi-global minimization strategy ("scanning" of the Γ space) implies discrete "jumps" between the best-fit values of Γ, resulting in a step-type altitude dependence.

(2) The Ap modulation depends also on Δ, the latter being empirically constrained by Eq. 10. Since Γ and Δ are not independent, differences between the fitted values of Γ and the transport times derived from vertical velocities, particularly in the 0.1 hPa region, could be related to the simplified representation of the Γ- Δ relationship as given by Eq. 10.

Action: none

Technical comments: p 26, l.3: that -> than

Action: Has been corrected.

p 29, l.8-9: Ap is correlated with the solar wind which maximizes during the declining phase of the solar cycle.

Action: This has been added as explanation for the phase shift.

A semi-empirical model for mesospheric and stratospheric NO_y produced by energetic particle precipitation

Bernd Funke[1], Manuel López-Puertas[1], Gabriele P. Stiller[2], Stefan Versick[2], and Thomas von Clarmann[2]

[1]Instituto de Astrofísica de Andalucía, CSIC, Apdo. 3004, 18008 Granada, Spain.
[2]Karlsruhe Institute of Technology (KIT), Institute for Meteorology and Climate Research (IMK-ASF), P.O. Box 3640, 76021 Karlsruhe, Germany.

Correspondence to: B. Funke (bernd@iaa.es)

Abstract. The MIPAS Fourier transform spectrometer on board Envisat has measured global distributions of the six principal reactive nitrogen (NO_y) compounds (HNO_3, NO_2, NO, N_2O_5, $ClONO_2$, and HNO_4) during 2002–2012. These observations were used ~~in a previous study~~ previously to detect regular polar winter descent of reactive nitrogen produced by energetic electron precipitation (EPP) down to the lower stratosphere, often called EPP indirect effect. It has further been shown that the observed fraction of NO_y produced by EPP (EPP-NO_y) has a nearly linear relationship with the geomagnetic A_p index when taking into account the time lag introduced by transport. Here we exploit these results in a semi-empirical model for computation of EPP-modulated NO_y densities and wintertime downward fluxes through stratospheric and mesospheric pressure levels. Since the A_p dependence of EPP-NO_y is distorted during episodes of strong descent in Artic winters associated with elevated stratopause events, a specific parameterization has been developed for these episodes. This model accurately reproduces the observations from MIPAS and is also consistent with estimates from other satellite instruments. Since stratospheric EPP-NO_y depositions lead to changes in stratospheric ozone with possible implications for climate, the model presented here can be utilized in climate simulations without the need to incorporate many thermospheric and upper mesospheric processes. By employing historical geomagnetic indices, the model also allows for reconstruction of the EPP indirect effect since 1850. We found secular variations of solar cycle-averaged stratospheric EPP-NO_y depositions in the order of 1 Gigamole. In particular, we model a reduction of the EPP-NO_y deposition rate during the last three decades, related to the coincident decline of geomagnetic activity, that corresponds to 1.8% of the NO_y production rate by N_2O oxidation. As the decline of the geomagnetic activity level is expected to continue in the coming decades, this is likely to affect the longterm NO_y trend by counteracting the expected increase caused by growing N_2O emissions.

1 Introduction

Both solar protons and energetic magnetospheric electrons affect the chemistry in the stratosphere and mesosphere. These energetic particles can alter atmospheric composition either via *in situ* production of reactive nitrogen and hydrogen species, or by subsidence of air rich in odd nitrogen from its source region, the upper mesosphere and lower thermosphere. In the stratosphere these reactive species gain importance by participating in the catalytic ozone destruction cycles. The *in situ* production is also called the 'direct' effect of energetic particle precipitation (EPP). It is particularly important in the context of

solar protons, because only these have been shown to penetrate deep enough into the stratosphere (Jackman et al., 2008, and references therein).

Subsidence of air rich in reactive nitrogen from above is called the EPP indirect effect (EPP IE) (Randall et al., 2007); it is particularly important in the context of auroral electrons. The indirect effect is limited to polar night regions, first, because this strong subsidence can only take place in the downwelling branch of the overturning circulation, i.e., in the polar winter mesosphere, and second, because odd nitrogen can survive its transport through the mesosphere only in the absence of sunlight.

Based on measurements with the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS), Funke et al. (2005a) estimated the total amount of NO_x ($[NO_x] = [NO]+[NO_2]$) descending from the EPP source region into the stratosphere at 2.4 Gigamoles (GM) for the Southern polar winter 2003. However, since below 50 km NO_x is partly converted into its reservoirs (Stiller et al., 2005), the assessment of the indirect EPP effect requires consideration of the entire NO_y family ($[NO_y] = [NO] + [NO_2] + [HNO_3] + 2[N_2O_5] + [ClONO_2]$).

In a recent paper, Funke et al. (2014a) provide quantitative estimates of the total amount of EPP-NO_y for the years 2002–2012, also inferred from MIPAS measurements. In a subsequent paper, Funke et al. (2014b) showed that the EPP IE, i.e., the descended EPP-NO_y, is highly correlated with geomagnetic activity, as indicated by the A_p index, in Southern Hemisphere (SH) winters and dynamically unperturbed Northern Hemisphere (NH) winters. This suggests that the indirect effect during those winters is driven by the EPP source strength rather than by variations of subsidence. Similar tight correlations with the A_p index have been found in seasonally averaged upper stratospheric polar winter NO_2 column density in both hemispheres observed by the Global Ozone Monitoring By Stars (GOMOS) instrument taken during 2002–2006 (Seppälä et al., 2007), and in estimates of SH EPP-NO_x depositions from Halogen Occultation Experiment (HALOE) observations during 1992—2005 (Randall et al., 2007).

However, in NH winters with perturbed dynamics, characterized by episodes of sudden stratospheric warmings (SSW) and associated elevated stratopause (ES) events, accelerated descent in the reformed polar vortex leads to much stronger odd nitrogen descent than in quiescent winters with a similar geomagnetic activity level. Holt et al. (2013) investigated the influence of SSW/ES events on the transport of odd nitrogen produced by EPP from the mesosphere – lower thermosphere to the stratosphere using the Whole Atmosphere Community Climate Model (WACCM). They found that the NO_x amount that descends to the stratosphere is strongly affected by the timing of the event, resulting in higher amounts for mid-winter SSW/ES events compared to those occurring in late winter. This behavior ~~could be linked~~ was attributed by these authors to the pronounced seasonal dependence of the strength of the vertical winds following an event.

In recent years, the potential impact of particle precipitation on regional climate is gaining attention of the climate modeling community. Solar forcing recommendations for the recently launched Climate Model Intercomparison Project Phase 6 (CMIP6) ~~(?)~~ (Eyring et al., 2016) include, for the first time, the consideration of energetic particle effects ~~(K. Matthes et al., to be submitted to *Geoscientific Model Development*).~~ (Matthes et al., 2016) . EPP is strongly linked to solar activity and hence to the solar cycle, either directly by coronal mass ejections producing solar energetic particles or indirectly by the impact of the solar wind on the Earth's magnetosphere. EPP-induced ozone changes are thought to modify the thermal structure and winds in the stratosphere which, in turn, modulate the strength of the polar vortex. The in-

troduced signal could then propagate down to the surface, introducing significant solar-like variations of regional climate ~~(Baumgaertner et al., 2009; Rozanov et al., 2012; Seppälä et al., 2014)~~

(Baumgaertner et al., 2009; Rozanov et al., 2012; Seppälä et al., 2014; Maliniemi et al., 2014) . Today, there is ~~a large~~ an increasing number of chemistry climate models capable to deal with EPP effects, however, not all of them extend up into the upper mesosphere/lower thermosphere where a large fraction of EPP-induced odd nitrogen production occurs. Those models with their upper lid in the mesosphere, i.e., which do not represent the entire EPP source region, require an odd nitrogen upper boundary condition, accounting for EPP productions higher up, in order to allow for simulating the introduced EPP IE in the model domain (Baumgaertner et al., 2009; Rozanov et al., 2012).

In this paper, we provide a detailed semi-empirical model for retrodiction/prediction of the indirect EPP-NO_y as function of the geomagnetic A_p index that has been adjusted to the decadal MIPAS EPP-NO_y record. In order to account for the pronounced EPP-NO_y increases during ES events, a specific parameterization has been included for these episodes. The aim of this model is to provide the stratospheric and lower mesospheric NO_y ~~budget~~ to chemistry climate models that do not explicitly model upper mesospheric and thermospheric EPP effects. A further application of this model is the reconstruction of the EPP indirect effect on secular time scales by employing historical geomagnetic indices.

This paper is organized as follows: Section 2 describes the MIPAS EPP-NO_y data used for adjusting the semi-empirical model. Section 3 provides a detailed description of the semi-empirical model for hemispheric EPP-NO_y amounts and fluxes during polar winters, excluding ES episodes in the NH. The extension of the latter model with respect to the consideration of ES events is provided in Section 4, and the detection of such events is discussed in Section 5. The modeled EPP indirect effect is compared to available observational estimates in Section 6. The application of the model as an odd nitrogen upper boundary condition for chemistry climate models with their upper lid in the mesosphere is discussed in Section 7, and Section 8 deals with the reconstruction of the EPP indirect effect during 1850–2015.

2 Observations

The MIPAS instrument (Fischer et al., 2008) on the polar orbiting Envisat satellite provided global stratospheric and mesospheric measurements of temperature (von Clarmann et al., 2003), NO_x (Funke et al., 2005b), NO_y (Mengistu Tsidu et al., 2004) and numerous other trace species (e.g., von Clarmann et al., 2009) during 2002–2012. From these data, the contribution of NO_y produced by EPP has been distinguished from that produced by N_2O oxidation using a tracer correlation method which is based on coincident CH_4 and CO observations (Funke et al., 2014a). The latter tracer is used to restrict the EPP-NO_y detection to observations containing mesospheric air. The EPP-NO_y uncertainty is dominated by the multiplicative component of the NO_y systematic retrieval error which is about 10%. The scatter in the tracer correlation results in a precision of inferred EEP-NO_y of 0.5 ppbv, which can be considered as the 1-σ detection limit, particularly at lower altitudes. Other uncertainties act systematically upon the estimated EEP-NO_y and lead to a possible underestimation. This is in particular true for the end of the winter and during stratospheric warming episodes. For further details of the error analysis of this method, see Funke et al. (2014a). The altitude resolution is given by that of the MIPAS NO_y data used to derive the EPP-NO_y record and ranges from

4—6 km in the stratosphere to 6—9 km in the mesosphere. EPP-NO$_y$ VMR profiles have been converted to number density profiles using temperature and pressure information inferred from the same MIPAS spectra from which also the NO$_y$ data were retrieved.

Here, we use the daily ~~EPP-density~~ zonal mean climatology ~~available for 18 latitude~~ of EPP-NO$_y$ densities, available on latitudinal bins of 10° ~~from 90°S to 90°N~~ with global coverage. From this EPP-NO$_y$ record, we determine the hemispheric EPP-NO$_y$ total amounts $N_t(z,t)$ by first vertically integrating the NO$_y$ densities from $z_0 = 40$ hPa to pressure level z. The amount in GM within each latitude bin ϕ is then calculated as the product of the respective zonal mean column density and the area $A(\phi)$ covered by the bin. In a second step these individual contributions are summed up for each hemisphere, i.e.,

$$N_t(z,t) = \sum_\phi \int_{z_0}^z [\text{EPP-NO}_y](\phi,z,t)\, A(\phi)\, dz\,, \tag{1}$$

where $[\text{EPP-NO}_y]$ is the density of the EPP-related NO$_y$ contribution. In a similar way, the differential EPP-NO$_y$ amount $N_d(z,t)$ in units of GM/km, i.e., the vertical differential of $N_t(z,t)$, is calculated by

$$N_d(z,t) = \sum_\phi [\text{EPP-NO}_y](\phi,z,t)\, A(\phi)\,. \tag{2}$$

This quantity is proportional to the hemispherically averaged mean density of EPP-NO$_y$. Finally, we derive the hemispherically integrated EPP-NO$_y$ flux $F(z,t)$ through z from

$$F(z,t) = \frac{d}{dt} N_t^{obs}(z,t) + L(z,t)\,, \tag{3}$$

where $L(z,t)$ is the hemispheric photochemical loss rate of EPP-NO$_y$ below z (in units of GM/day). The latter was obtained from box model calculation which have been constrained by observed fields of temperature, O$_3$, and NO$_x$ (see Funke et al., 2014b, for more details). Equation 3 is only valid if there are no local EPP-NO$_y$ productions below z. For this reason, we exclude episodes of solar proton forcing from the calculated EPP-NO$_y$ flux data. In principle, precipitating electrons from the radiation belts, depositing their energy primarily in the middle and upper mesosphere, could also induce local productions in the altitude range of interest although recent studies (e.g., Sinnhuber et al., 2014) have indicated that their contributions are on average negligibly small in the polar winter upper stratosphere and lower mesosphere when comparing to the EPP indirect effect. It should be noted, however, that for isolated cases (e.g., large geomagnetic storms) the productions from radiation belt electrons can be important even in the lower mesosphere (Andersson et al., 2014; Arsenovic et al., 2016) .

3 Semi-empirical model for EPP-NO$_y$ in SH and NH winters (excluding ES episodes)

In this section, we develop an empirical model of hemispheric EPP-NO$_y$ differential amounts and fluxes in SH and NH winters (excluding ES episodes) as function of the geomagnetic A$_p$ index, altitude, and time, based on the EPP-NO$_y$ distributions inferred from MIPAS during 2002–2012. Note that the model is developed for hemispherically integrated quantities, where the total EPP-NO$_y$ is conserved. These quantities, however, can be converted into zonal mean densities and fluxes by imposing the observed latitudinal distribution of EPP-NO$_y$ (see Sec. 7).

Our model is based on the linear dependence of the observed stratospheric and mesospheric EPP-NO$_y$ on the A$_p$ index as demonstrated in Funke et al. (2014b). They performed a multi-linear regression of monthly averaged EPP-NO$_y$ amounts to the average A$_p$ indices of the actual and the three preceding months in order to empirically account for time lags introduced by transport and its dispersion. In a more theoretical approach, the EPP-NO$_y$ differential amount $N_d(z,t)$ at pressure level z and time t can be described by

$$N_d(z,t) = \int_{-\infty}^{t} A_p(t')\, G(t',\Gamma(z),\Delta(z),l(z))\, dt' \tag{4}$$

with the Green function G depending on the mean transport time Γ, its dispersion Δ, and the photochemical loss rates l experienced during the descent from the source region, the latter depending on altitude and time. We further assume that the temporal variation of the photochemical modulation during this descent is slow compared to the dispersion of transport times such that

$$N_d(z,t) = \tilde{N}_d(z,t)\, \overline{A_p}(z,t), \tag{5}$$

where $\tilde{N}_d(z,t)$ is the spatio-temporal distribution of the EPP-NO$_y$ amounts for a constant A$_p$ index of unity, and $\overline{A_p}(z,t)$ is the A$_p$ propagation function which can be described by

$$\overline{A_p}(z,t) = \int_{-\infty}^{t} A_p(t')\, \widetilde{G}(t',\Gamma(z),\Delta(z))\, dt'. \tag{6}$$

Here, $\widetilde{G}(t',\Gamma(z),\Delta(z))$ is the normalized Green function, i.e.,

$$\int_{-\infty}^{t} \widetilde{G}(t',\Gamma(z),\Delta(z))\, dt' = 1. \tag{7}$$

It describes the propagation of the A$_p$ modulation from the source region down to the stratosphere. This Green function has the same mathematical structure as that describing the transport of a passive tracer (Andrews et al., 1999) and can be approximated by an inverse Gaussian function, i.e.,

$$\widetilde{G}(t',\Gamma,\Delta) = \sqrt{\frac{\Gamma^3/t'^3}{4\pi\Delta^2}}\, \exp\left(-\frac{\Gamma(t-\Gamma)^2}{4\Delta^2\, t'}\right), \tag{8}$$

with the mean transport time $\Gamma(z)$ from the source region to the pressure level z and the width of the distribution $\Delta(z)$.

For $\tilde{N}_d(z,t)$ we use the following empirical function

$$\tilde{N}_d(z,t) = \frac{4 N_m(z)\exp\left[-w^N(z)\,(t-t_m^N(z))\right]}{\left[1+\exp\left[-w^N(z)\,(t-t_m^N(z))\right]\right]^2}, \tag{9}$$

where t is the number of days passed since 1 July for the NH and since 1 January for the SH. $N_m(z)$ is the maximum EPP-NO$_y$ differential amount encountered at the pressure level z during the course of the winter, $t_m^N(z)$ the occurrence time of this

maximum, and $w^N(z)$ a parameter determining the temporal width of the distribution. We have chosen this function among several candidate analytical functions because it describes the observed distribution very closely and it allows to express the temporal evolution by a few, physically meaningful parameters.

5   The parameters Γ, Δ, N_m, t_m^N, and w_m^N have been adjusted performing a non-linear least squares fit of Eq. 5 to the observed daily vertical distributions of EPP-NO$_y$ amounts for each pressure level z, excluding periods of SPE events (orange-shaded areas in Figs. 3a and 3b)). Also episodes of SSW/ES events in NH winters (grey-shaded areas in Fig. 3a) are excluded. These events require a specific parameterization which is discussed in Sec. 4.

Since, due to the complex temporal structure of the A$_p$ evolution, multiple maxima of the objective function of this opti-
10   mization problem are expected for the fit parameters Γ and Δ, we use a quasi-global rather than a local minimization strategy. This is, we scan, within reasonable bounds, the Γ-Δ space and adjust for each pair of Γ and Δ the corresponding quantities N_m, t_m^N, and w_m^N. An additional constraint for Δ has been introduced by assuming $\Delta^2 \sim \Gamma$ which would be the case for a linear relationship between vertical advection and diffusion. Waugh and Hall (2002) have reported a dependence of $\Delta^2 = 0.7\Gamma$ for stratospheric transport. We obtain smallest χ^2 values for

15   $$\Delta(z) = \sqrt{0.35\,\Gamma(z)} + 4.24\,. \tag{10}$$

A smaller scaling factor of 0.35, compared to the value of 0.7, derived by Waugh and Hall (2002) for tracer transport from the tropical transition layer into the stratosphere, is reasonable since less eddy diffusion is expected for vertical transport within the polar vortex. The empirically determined "offset" of 4.24 days indicates that dispersion is more pronounced for short transport times (i.e., in the mesosphere) compared to longer transport times (i.e., in the stratosphere). As a consequence, the maximum
20   of the distribution function $\widetilde{G}(t', \Gamma, \Delta)$ is shifted to shorter transport times compared to its mean value in the mesosphere. Physically, this could be caused by the predominantly diffusive entry of EPP-NO$_y$ from the auroral source region in the upper mesosphere and lower thermosphere where the mean circulation is upward (Smith et al., 2011). On the other hand, local odd nitrogen productions by radiation belt electron precipitation in the mesosphere would cause a similarly dispersed spectrum of transport times.

25   Table 1 lists the derived parameters $N_m(z)$, $t_m^N(z)$, and $w_m^N(z)$ for pressure levels between 30 and 0.01 hPa for both hemispheres. The best fitting values for $\Gamma(z)$ are shown in Fig. 1 (diamonds). Although transport times may vary over the winter season in dependence of the strength of the vertical winds, our adjusted values of Γ are time-independent and represent thus seasonal averages, implicitly weighted with the actual EPP-NO$_y$ amount by the fitting algorithm. Therefore, the obtained values are most representative for the period of the EPP-NO$_y$ maximum occurrence. Note that the fit of $\Gamma(z)$ becomes unstable
30   below 0.5 hPa for NH winters due to small signal-to-noise ratios, caused by the low EPP-NO$_y$ amounts together with the large dynamical variability (not shown). In the SH, Γ increases steadily towards lower pressure levels (higher pressures) as expected. The fitted mean transport times are in very good agreement with those derived from the SH mid-winter descent velocities estimated in Funke et al. (2014b) (indicated by dashed lines).

The increase of Γ towards lower pressure levels (higher pressures) is even more pronounced in the NH, above 0.3 hPa, where the fitted values exceed the transport times derived from the mid-winter descent velocities. Longer mesospheric transport times

Table 1. Parameters N_m, t_m^N, and w^N of the emirical model for the vertical and temporal distribution of EPP-NO$_y$ differential amounts (see Eq. 5).

Pressure level hPa	N_m (NH) 10^{-3} GM/km	N_m (SH) 10^{-3} GM/km	t_m^N (NH) days since 1 July	t_m^N (SH) days since 1 Jan	w^N (NH) days^{-1}	w^N (SH) days^{-1}
30.	0.51	1.20	245.1	303.4	0.0991	0.1174
20.	0.62	4.74	241.7	280.0	0.0699	0.1062
15.	0.67	6.50	236.8	267.4	0.0603	0.0948
10.	0.80	7.46	226.2	252.8	0.0571	0.0808
7.	0.97	7.40	214.5	241.7	0.0601	0.0726
5.	1.15	7.04	203.1	232.1	0.0644	0.0685
3.	1.37	6.26	188.5	218.5	0.0686	0.0666
2.	1.44	5.51	181.4	208.9	0.0674	0.0662
1.5	1.42	4.95	179.2	202.7	0.0639	0.0654
1.0	1.28	4.13	179.8	195.3	0.0558	0.0631
0.7	1.10	3.46	183.1	190.0	0.0469	0.0598
0.5	0.91	2.88	187.4	186.1	0.0383	0.0562
0.3	0.67	2.16	193.6	182.1	0.0280	0.0508
0.2	0.58	1.74	196.1	180.2	0.0242	0.0480
0.15	0.57	1.50	195.9	179.4	0.0244	0.0470
0.10	0.66	1.28	192.7	178.5	0.0284	0.0469
0.07	0.79	1.15	187.5	177.8	0.0345	0.0477
0.05	0.92	1.11	182.1	176.9	0.0409	0.0483
0.03	1.02	1.14	175.3	175.0	0.0479	0.0479
0.02	1.06	1.22	174.2	173.0	0.0475	0.0473
0.01	1.15	1.35	173.8	172.8	0.0474	0.0472

at the time of the EPP-NO$_y$ maximum occurrence are expected in the NH due to the deceleration of mesospheric descent in the second half of the winter (Funke et al., 2014b). Below, the fitted NH mean transport times becomes shorter again (and closer to those derived from the mid-winter descent velocities) since the EPP-NO$_y$ reaching those pressure levels has been transported through the mesosphere primarily during the first half of the winter.

Mean transport times Γ and occurrence times of the observed EPP-NO$_y$ maximum $t_m^N(z)$ are closely linked in the SH, however, the latter being shorter than the former. Such a time lag is likely related to the seasonal dependence of mesospheric downward velocities (being larger around solstice) , introducing a distortion of the temporal evolution of the differential EPP-NO$_y$ amounts. $\Gamma(z)$ can be reasonably well expressed by

$$\Gamma^{SH}(z) = 1.33 \left[t_m^{N,SH}(z) - 165 \right], \tag{11}$$
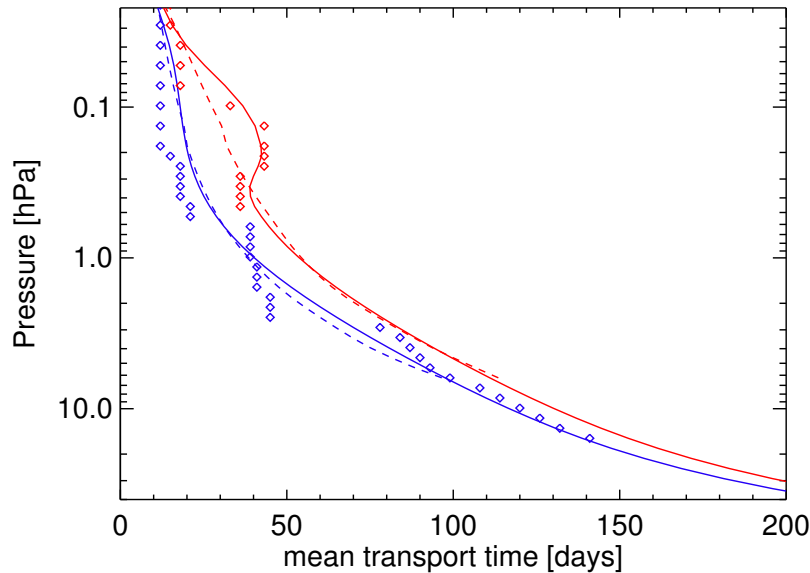
Figure 1. Mean transport times from the EPP source region to the indicated pressure level in the SH (blue diamonds) and NH (red diamonds, only above 0.5 hPa) as derived from the best-fit mean value $\Gamma(z)$ of the inverse Gaussian used as A_p weighting function. Transport times derived from the mid-winter vertical velocities of Funke et al. (2014b) are shown by dashed lines. Solid lines correspond to the transport times expressed as function of $t_m^N(z)$, used in the semi-empirical model.

as indicated by the blue solid line in Fig. 1.

In the NH, the corresponding parameterization in terms of $t_m^{N,NH}(z)$ reproduces $\Gamma(z)$ above 0.3 hPa. Below, however, the resulting $\Gamma(z)$ would be significantly underestimated. This is expected because only EPP-NO$_y$ descending during the first part of the winter reaches the stratosphere due to the deceleration of mesospheric descent around mid-winter. As a consequence, the stratospheric NH EPP-NO$_y$ maximum occurs much earlier than in the SH despite of the longer transport times as derived from the NH mid-winter descent velocities, the latter providing an estimate of Γ in the vertical range where no fitted values are available. On the other hand, NH mid-winter descent velocities below 0.3 hPa can be expressed reasonably well as function of $t_m^{N,SH}(z)$ and we obtain

$$\Gamma^{NH}(z) = 1.33 \left[t_m^{N,NH}(z) - 165 \right] \quad \text{above 0.3 hPa, and}$$

$$\Gamma^{NH}(z) = 1.33 \left[t_m^{N,SH}(z) - 155 \right] \quad \text{below 0.3 hPa,} \tag{12}$$

as indicated by the red solid line in Fig. 1.

Figure 2 shows the seasonal evolution of EPP-NO$_y$ differential amounts for a constant A_p index of 10 corresponding to the average A_p during the ENVISAT mission lifetime in both hemispheres, i.e., $10 \times \tilde{N}_d(z,t)$. As expected, stratospheric NH differential amounts are considerably smaller than those in the SH, the latter exceeding the former by a factor of 8 around 10 hPa. Both distributions reflect the decrease of descent rates from the mesosphere to the stratosphere, leading to a change of
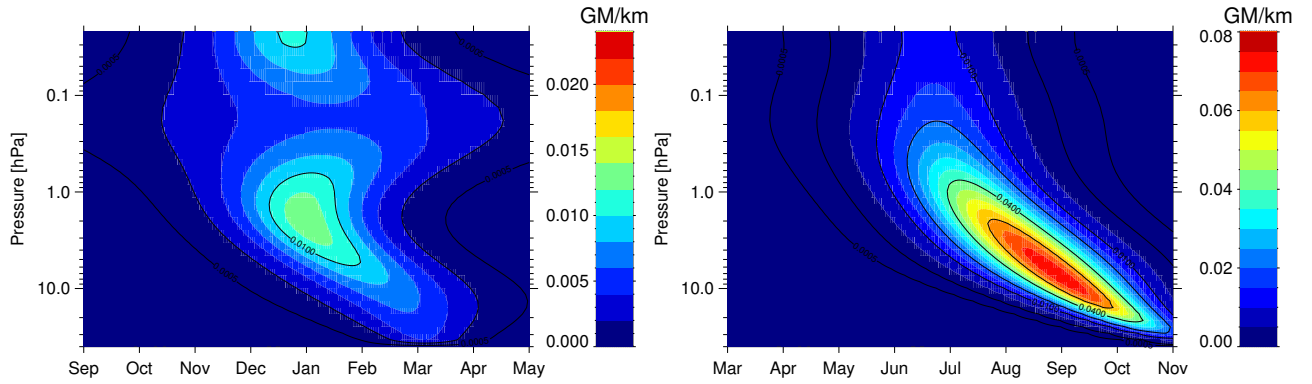
Figure 2. Temporal evolution of the vertical distribution of EPP-NO$_y$ differential amounts $N_d(z)$ during September–May in the NH (left) and March–November in the SH (right) as result of the empirical model for a constant A$_p$ index of 10 (2002–2012 average). Note the different color scale for NH and SH.

the vertical gradient of the EPP-NO$_y$ tongue with a "knee" at around 1 hPa. The deceleration of vertical transport below the stratopause is also responsible for the increased amounts, there, due to compression of EPP-NO$_y$. The latter occurs because the temporal increase of NO$_y$ is proportional to the vertical gradient of the descent rate, which follows from mass conservation.

5   In contrast to the SH, minimum differential amounts are found around 0.2 hPa in Arctic winters. The minimum is caused by the deceleration with time of the vertical velocity occurring in the mid-winter at pressure levels above 0.2 hPa and the acceleration below that pressure level, causing a local depletion of EPP-NO$_y$. This sudden deceleration of mesospheric descent is also responsible for the "splitting" of the EPP-NO$_y$ tongue into a slowly descending mesospheric branch, reaching the 0.2 hPa level around April, and a rapidly descending stratospheric branch, comparable to the typical SH pattern.

10   Figures 3a and 3b show the observed and modeled temporal evolutions of EPP-NO$_y$ differential amounts at pressure levels of 0.03, 0.3, 2, and 10 hPa in both hemispheres. There is generally good agreement, indicating that most of the inter-annual variability encountered in the observed EPP-NO$_y$ amounts can be reproduced by the semi-empirical model, particularly in the SH. As expected, the agreement in NH winters is not as good due to the more pronounced dynamical modulation. This is particularly the case for winters with SSW and ES events, which are not accounted for in the model for quiescent NH

15   winters. During and after these events, EPP-NO$_y$ amounts are underestimated by the model by up to an order of magnitude, highlighting the need for specific parameterizations as presented in Section 4. Also, the typically lower EPP-NO$_y$ amounts in the NH, which in some winters are close to the detection limit, are necessarily more dispersed relative to the model results. However, the ability to reproduce singular features as the 'peaky' evolution of $N_d(z,t)$ during January 2007 in the NH related to a short-term increase of geomagnetic activity, provides confidence in the model.

20   The semi-empirical model for EPP-NO$_y$ fluxes through given pressure levels has been constructed in a similar way as the model for the EPP-NO$_y$ amounts. Since the flux $F(z,t)$ can be expressed as the product of the NO$_y$ differential amount $N_d(z,t)$ at z and the EPP-NO$_y$ descent rate (Funke et al., 2014b), the latter being independent on A$_p$, we can assume the same
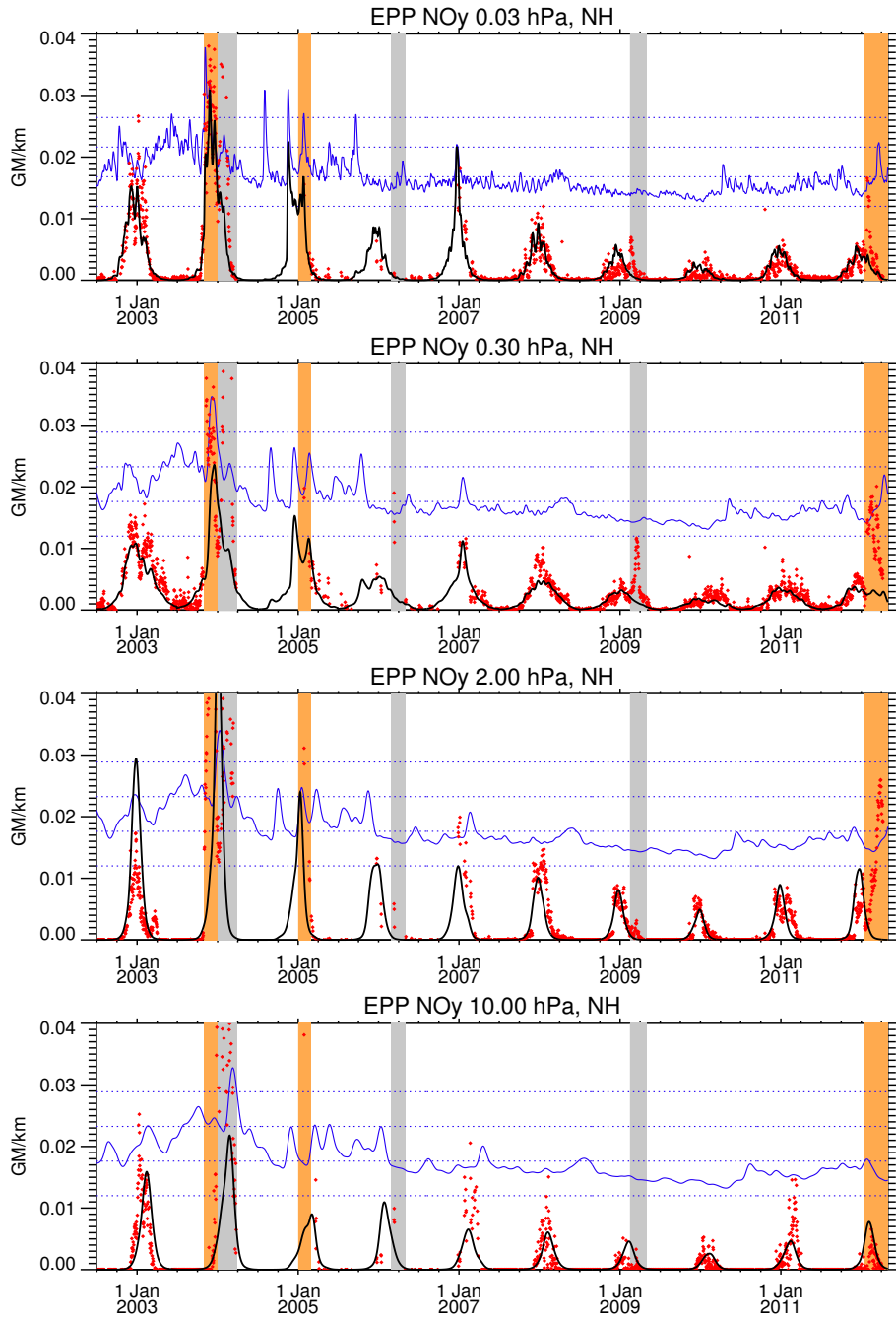
Figure 3a. Observed (red diamonds) and fitted (black solid) temporal evolution of hemispheric EPP-NO_y amounts during 2002–2012 at the pressure levels 0.03, 0.3, 2, and 10 hPa (top to bottom) in the NH. $\overline{Ap}(z,t)$ of the empirical model for quiescent dynamical conditions is shown with blue lines (dotted blue lines indicate Ap levels with spacing of 5 Ap units). Shaded areas have been excluded from the fit due to perturbed dynamics (i.e., SSW and ES events, grey) and large SPE events (orange). Note that the y-axis range does not cover the very high NO_y amounts encountered in the NH 2003/04 winter.
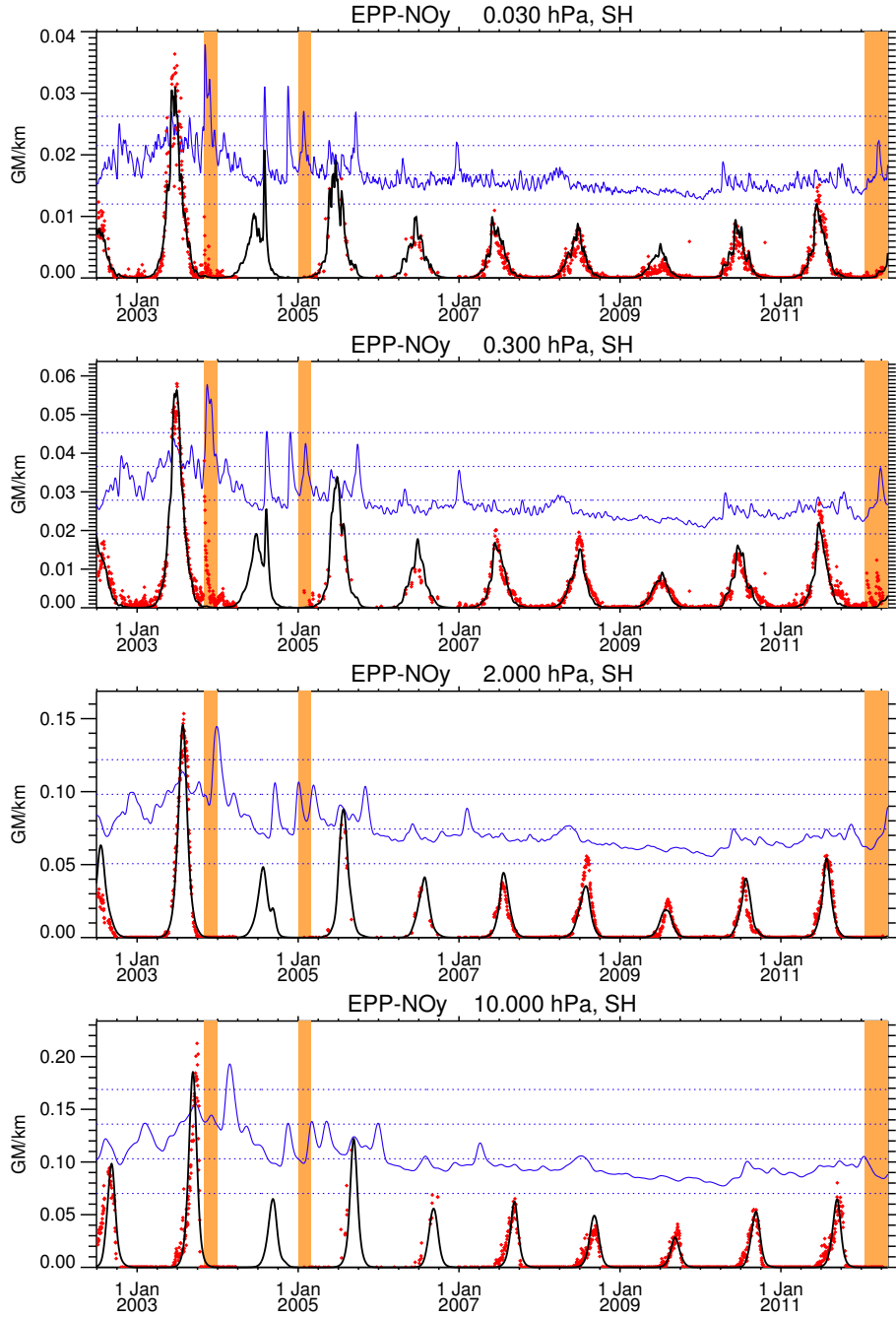
Figure 3b. As Fig. 3a but for the SH. Note the variable y-axis range.

A_p dependence $\overline{A_p}(z,t)$ as for the EPP-NO$_y$ differential amounts, i.e.:

$$F(z,t) = \tilde{F}(z,t)\,\overline{A_p}(z,t)\,.\tag{13}$$

For $\tilde{F}(z,t)$ we use the same type of function as for $\tilde{A}_d(z,t)$ in Eq. 9, i.e.,

$$5 \quad \tilde{F}(z,t) = \frac{F_m(z)\exp\left[-w^F(z)\,(t-t_m^F(z))\right]}{\left[1+\exp\left[-w^F(z)\,(t-t_m^F(z))\right]\right]^2}\,.\tag{14}$$

This empirical function is then adjusted to the "observed" fluxes $F^{obs}(z,t)$ through the vertical level z, divided by the A_p propagation function at z, i.e.,

$$\tilde{F}^{obs}(z,t) = \frac{F_{obs}(z,t)}{\overline{A_p}(z,t)}\,.\tag{15}$$

As discussed in the Section 2, $F^{obs}(z,t)$ is derived from the temporal changes of the sum of the observed EPP-NO$_y$ total

10 amounts $N_t(z,t)$ and the accumulated photochemical losses $L(z,t)$. We assume that any reduction of the total amount is caused by vertical mixing due to vortex rupture and that under these conditions vertical velocities tend to be zero, such that we can limit the "observed" fluxes to non-negative values (see Funke et al., 2014b). Again, we exclude periods of SPE and SSW/ES events (shaded areas in Fig. 3a).

Table 2 lists the derived parameters $F_m(z)$, $t_m^F(z)$, and $w_m^F(z)$ for pressure levels between 30 and 0.02 hPa for both hemi-

15 spheres. It also provides the modeled seasonal EPP-NO$_y$ deposition, T_{10}, below z corresponding to the 2002–2012 average geomagnetic forcing (A_p= 10). Below 0.02 hPa, the modeled depositions are 0.48 GM in the NH and 1.21 GM in the SH, that is, nearly three times more in the former than in the latter.

Figure 4 shows the seasonal evolution of the modeled EPP-NO$_y$ fluxes in both hemispheres, again for A_p= 10. Maximum fluxes of 0.07 GM/day in the NH and 0.22 GM/day in the SH are found at the uppermost pressure levels during the winter

20 solstice. Towards lower altitudes, both NH and SH fluxes are decreasing. In the mesosphere, this decrease is mainly related to photochemical losses. At lower altitudes, dynamical loss due to mixing out of the polar vortex is responsible for the flux decrease. Assuming that the fraction of EPP-NO$_y$ mixed out of the vortex is not being transported further downwards, the vertical gradient of the seasonally integrated fluxes (T_{10} of Table 2) represents hence the deposition profile of EPP-NO$_y$ at the end of the winter.

25 **4   Parameterization for elevated stratopause events**

The challenge of parameterizing EPP-NO$_y$ amounts and fluxes during ES events resides mainly in the scarcity of observational data during these events. MIPAS has recorded NO$_y$ data with sufficient temporal coverage only during two events occurring in January 2004 and February 2009. Holt et al. (2013) have shown, using WACCM simulations with constant geomagnetic forcing, that, besides the geomagnetic activity level, the event timing is a crucial driver of the strength of odd nitrogen descent because of the seasonal dependence of residual vertical wind speeds. In addition, it is also likely that the EPP-NO$_y$ amount in the source

Table 2. Parameters F_m, t_m^F, and w^F of the empirical model for the vertical and temporal distribution of EPP-NO$_y$ fluxes (see Eq. 13) through a given pressure level in both hemispheres. The seasonally accumulated EPP-NO$_y$ amounts T_{10} for a constant Ap index of 10 (2002-2012 average) are also listed.

Pressure level hPa	F_m (NH) 10^{-3} GM/day	F_m (SH) 10^{-3} GM/day	t_m^F (NH) days since 1 July	t_m^F (SH) days since 1 Jan	w^F (NH) days^{-1}	w^F (SH) days^{-1}	T_{10} (NH) GM	T_{10} (SH) GM
30.	0.059	0.042	229.6	284.6	0.4181	0.1254	0.006	0.013
20.	0.153	0.317	217.4	267.4	0.2530	0.1110	0.024	0.114
15.	0.172	0.509	209.2	256.1	0.1822	0.1029	0.038	0.198
10.	0.182	0.753	198.7	241.5	0.1264	0.0937	0.058	0.321
7.	0.199	0.937	190.3	229.9	0.1049	0.0877	0.076	0.428
5.	0.231	1.086	183.1	220.0	0.0984	0.0834	0.094	0.521
3.	0.307	1.272	173.6	206.8	0.1000	0.0790	0.123	0.643
2.	0.380	1.392	167.3	197.9	0.1033	0.0770	0.147	0.723
1.5	0.432	1.465	163.4	192.4	0.1044	0.0761	0.165	0.770
1.0	0.495	1.556	158.7	185.6	0.1032	0.0754	0.192	0.826
0.7	0.537	1.628	155.4	180.6	0.0994	0.0751	0.216	0.867
0.5	0.563	1.690	152.8	176.6	0.0939	0.0751	0.240	0.900
0.3	0.580	1.783	150.0	171.8	0.0838	0.0752	0.277	0.948
0.2	0.583	1.858	148.5	168.9	0.0759	0.0753	0.307	0.987
0.15	0.584	1.914	147.8	167.2	0.0711	0.0754	0.329	1.016
0.10	0.594	1.997	147.1	165.3	0.0660	0.0754	0.360	1.060
0.07	0.613	2.073	146.8	164.0	0.0633	0.0753	0.387	1.101
0.05	0.641	2.142	146.6	163.1	0.0622	0.0752	0.412	1.140
0.03	0.700	2.231	146.4	161.5	0.0622	0.0751	0.450	1.189
0.02	0.747	2.268	146.0	160.1	0.0625	0.0752	0.479	1.207

region is modulated by photochemical losses, again resulting in smaller EPP-NO$_y$ depositions during events occurring later in the winter. The two events observed by MIPAS have rather different characteristics regarding the geomagnetic activity level and timing and hence cover a large range of the expected variability.

5   Our approach to provide a general parameterization of odd nitrogen descent during ES events is, first, to parameterize the EPP-NO$_y$ amounts and fluxes individually for each of the two observed events, and then to exploit dependencies of the obtained parameters on the event timing. The time evolution of the differential EPP-NO$_y$ amounts $N_d(z,t)$ during ES winters is finally calculated by adding the EPP-NO$_y$ residual amounts during the ES event to the "quiescent" differential amounts.

The modeling of the individual 2004 and 2009 ES events is performed in a very similar way as for the quiescent winters (see Eqs. 5 and 13 of Sec. 3). First, we adjust the parameters of the Ap propagation function and the spatio-temporal term to the
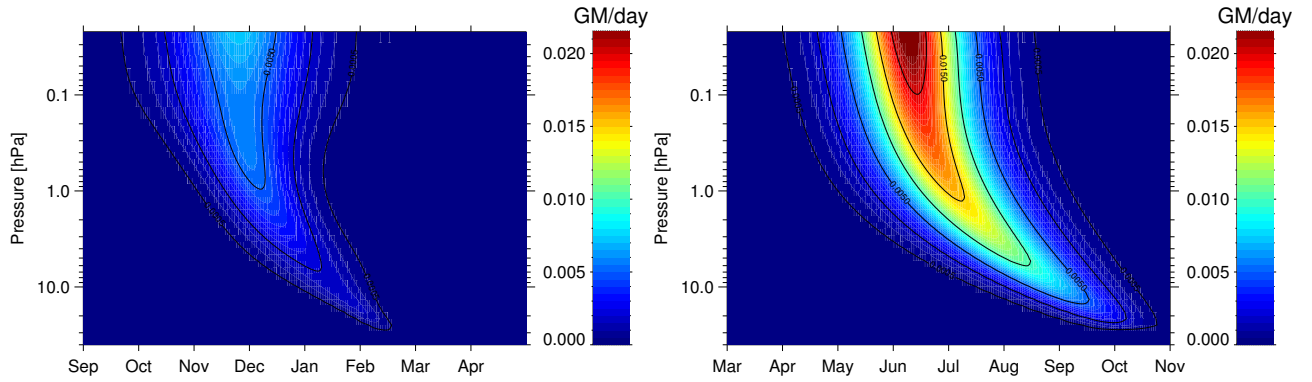
Figure 4. Temporal evolution of EPP-NO$_y$ fluxes $F^{EEP}(z)$ through the vertical level z indicated by the y-axis during September–May in the NH (left) and March–November in the SH (right) as result of the empirical model for the 2002–2012 average A$_p$ index of 10.

EPP-NO$_y$ residual amounts. That is, the difference between the observed differential amounts and those modeled for quiescent conditions, after the onset of the event at the pressure levels reached by the descending NO$_y$ tongue. The onset time t_0^{ES} is defined as the time (days since 1July) when the ES-related differential amount increases at 0.02 hPa, resulting in $t_0^{2004} = 196$ and $t_0^{2009} = 221$. We further define $t_m^{ES}(z)$ as the time lag between t_0^{ES} and the occurrence of the EPP-NO$_y$ maximum at pressure level z, and we assume that $\Gamma_{ES}(z) = t_m^{ES}(z)$. In order to account for the fact that there are no residual amounts before the event, we apply the following correction to the spatio-temporal term,

$$\beta^{ES}(z) = \max\left[\min\left[\left(\frac{t - t_0^{ES}}{t_m^{ES}(z) - t_0^{ES}}\right)^{0.3}, 1\right], 0\right],\qquad(16)$$

resulting in a stretching of the temporal distribution before the occurence of the EPP-NO$_y$ maximum while leaving it unchanged afterwards. The adjusted values of $t_m^{ES}(z)$ do not differ significantly between the two events in most of the vertical range where the EPP-NO$_y$ maximum occurs. In the vicinity of the lowermost pressure level reached around equinox, however, $t_m^{ES}(z)$ increases drastically due to the deceleration of descent. We parameterize therefore $t_m^{ES}(z)$ as function of t_0^{ES} and z,

$$t_m^{ES}(z) = \tilde{t}_m^{ES}(z) + \exp\left[(t_0^{ES} + \tilde{t}_m^{ES}(z) - 279.)/4.\right],\qquad(17)$$

with $\tilde{t}_m^{ES}(z)$ being a 4th-order polynomial of $\ln(z)$ (see Table 3 for coefficients). The parameter $w^{ES}(z)$, describing the width of the EPP-NO$_y$ peak after the ES event, is in first order height-independent, and has been adjusted to the common value of 0.15 for both events, which corresponds to a full width at half maximum of 13 days. Finally, the adjusted values of the A$_p$-normalized maximum amounts $N_m^{ES}(z)$ for both events are shown in Fig. 5a (blue and red squares for 2004 and 2009, respectively). The observed maximum amounts peak around the stratopause, being a factor of three higher in 2004 compared to 2009. This difference becomes smaller with height and reduces at the uppermost levels to a factor of two.

Similarly, we fit the spatio-temporal term in Eq. 14 to the observed EPP-NO$_y$ fluxes during both events. As a good approximation, we use the same width parameter $w^{ES}(z) = 0.15$ and the same lag time $t_m^{ES}(z)$ as for the residual amounts. The

Table 3. Coefficients of the polynomial expansion $\sum_{i=0}^{n} a_i \ln(p)^i$ used for parameters of the EPP-NO$_y$ model for ES events. Values in parenthesis should be read as power of 10.

Parameter	Eq.	n	a_0	a_1	a_2	a_3	a_4	a_5	a_6
$\tilde{t_m}^{ES}(z)$	17	4	6.276 (+1)	2.334 (+1)	3.342 (0)	2.589 (-1)	1.061 (-2)		
$\tilde{F_m}^{ES}(z)$	18	4	3.571 (-1)	-2.392 (-2)	4.209 (-3)	1.057 (-2)	1.076 (-3)		
$\tilde{\omega_m}^{ES}(z)$	19	6	-1.697 (0)	-4.937 (-1)	1.511 (-1)	8.230 (-4)	-1.393 (-2)	-8.718 (-4)	1.617 (-4)

adjusted values of the maximum A$_p$-normalized fluxes $F_m^{ES}(z)$ for both events are shown as blue and red squares in Fig. 5b. For both events, the maximum fluxes decrease monotonically towards lower pressure levels, indicating photochemical and/or dynamical losses. The ratio of the 2004 and 2009 fluxes is nearly constant with a value of 4.2 in the mesosphere. Since the downwelling flux at 0.01 hPa is in first order the product of the EPP-NO$_y$ amount and vertical velocity during the ES event in the source region, we expect this ratio to be influenced by the seasonal variations of both quantities. Around the stratopause and below, fluxes decrease faster in 2009 because the maximum occurrence time is closer to equinox at these pressure levels. The observed A$_p$-normalized maximum flux can be parametrized as function of t_0^{ES} and z by

$$F_m^{ES}(z, t_0^{ES}) = \Phi(t_0^{ES}) \max\left(\tilde{F_m}^{ES}(z), 0\right) / \left[1 + \exp\left((t_0^{ES} + t_m^{ES}(z) - 273.)/8.\right)\right], \tag{18}$$

with $\tilde{F_m}^{ES}(z)$ being a 4th-order polynomial of $\ln(z)$ (see Table 3 for coefficients). Φ takes the values of 0.00567 and 0.00125 for the 2004 and 2009 event, respectively.

We calculate descent velocities $\omega_m^{ES}(z)$, dividing the adjusted values of $F_m^{ES}(z)$ by $N_m^{ES}(z)$. The obtained values are shown with blue and red squares for 2004 and 2009, respectively, in Fig. 5c. Mesospheric descent rates are by a factor of two higher in 2009 (2 km per day) compared to 2009 (1 km per day), in qualitative agreement with the model results of Holt et al. (2013) for events with similar timing. The adjusted descent rates for the 2004 event are also in good agreement with previous estimates of the vertical component of the meridional circulations using MIPAS temperatures and diabatic heating rates (Randall et al., 2015). During both events, the descent rates decrease rapidly towards the stratopause where they take values around 200 m/day. The velocity ratio between both events is rather constant down to the stratopause. We thus use a similar parameterization as given by Eq. 18 for the vertical velocities,

$$\omega_m^{ES}(z, t_0^{ES}) = \Omega(t_0^{ES}) \exp\left(\tilde{\omega_m}^{ES}(z)\right) / \left[1 + \exp\left((t_0^{ES} + t_m^{ES}(z) - 280.)/9.\right)\right], \tag{19}$$

with $\tilde{\omega_m}^{ES}(z)$ being a 6th-order polynomial of $\ln(z)$ (see Table 3 for coefficients). Ω takes the values of 0.99 and 0.50 for the 2004 and 2009 events, respectively. By multiplying ω_m^{ES} and F_m^{ES}, we obtain the parameterization of N_m^{ES} as shown in Fig. 5a by solid lines.

$\Phi(t_0^{ES})$ and $\Omega(t_0^{ES})$ depend solely on the event timing and are related to the A$_p$-normalized flux and vertical velocity, respectively, in the source region. Since $\Phi(t_0^{ES})$ depends on $\Omega(t_0^{ES})$, we use

$$\Theta(t_0^{ES}) = \frac{\Phi(t_0^{ES})}{\Omega(t_0^{ES})} \tag{20}$$
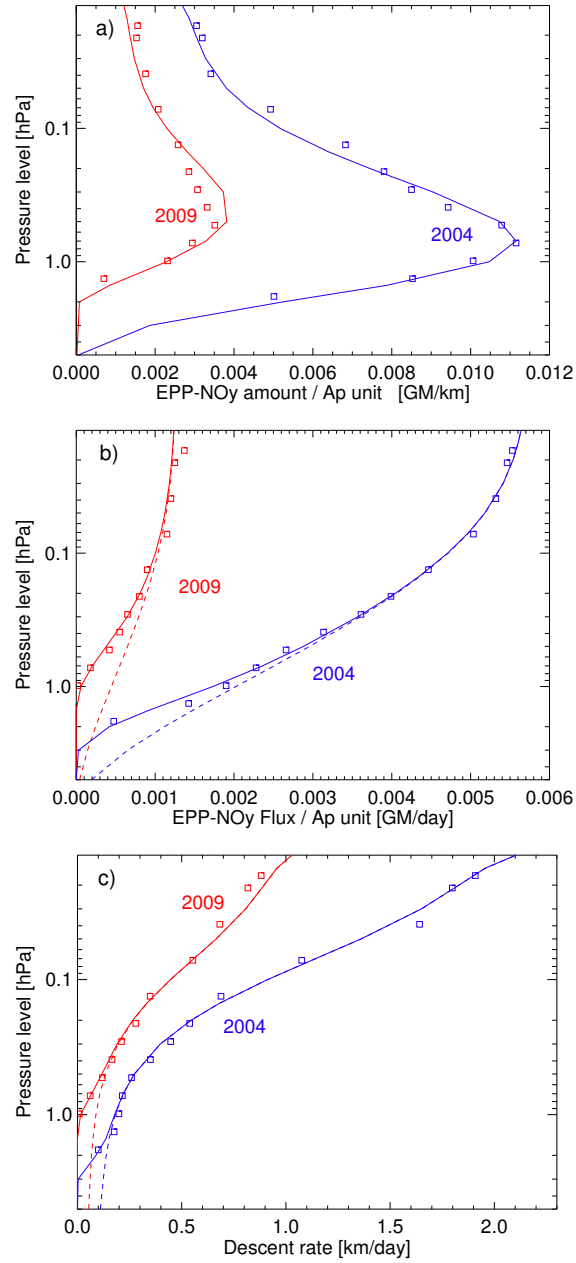
Figure 5. (a) Parameterized (solid) and adjusted (symbols) residual maximum amounts $N_m^{ES}(z)$ for 2004 (blue) and 2009 (red). (b) Parameterized and adjusted maximum fluxes $F_m^{ES}(z)$. The dashed lines represent $\Phi(t_0^{ES})\tilde{F}_m^{ES}(z)$ (i.e., without correction in the vicinity of equinox). c) Parameterized and adjusted descent rates $\omega^{ES}(z)$. The dashed lines represent $\Omega(t_0^{ES})\tilde{\omega}_m^{ES}(z)$ (i.e., without correction in the vicinity of equinox).

in order to obtain an event time-dependent quantity related to the EPP-NO$_y$ amount in the source region. Both, $\Theta(t_0^{ES})$ and $\Omega(t_0^{ES})$ are expected to maximize at solstice (day 173) and to reach the zero level close to equinox. We use therefore a similar expression as provided in Eq. 9 to parameterize their dependence on the event timing:

$$5 \quad \Theta(t_0^{ES}) = \frac{0.03 \exp\left(-0.046\,(t_0^{ES} - 173)\right)}{\left[1 + \exp\left(-0.046\,(t_0^{ES} - 173)\right)\right]^2}\,, \quad \Omega(t_0^{ES}) = \frac{5.0 \exp\left(-0.043\,(t_0^{ES} - 173)\right)}{\left[1 + \exp\left(-0.043\,(t_0^{ES} - 173)\right)\right]^2}. \tag{21}$$
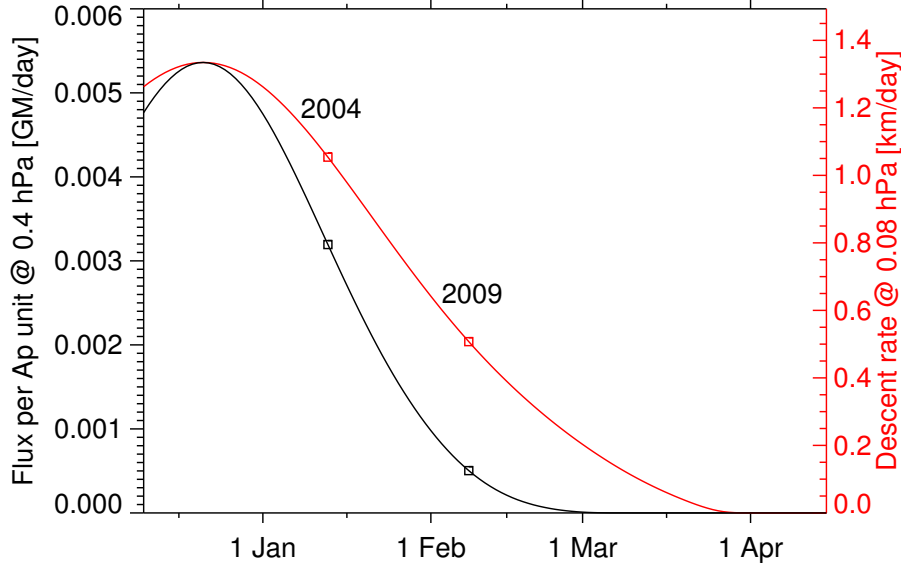
Figure 6. Dependence of the maximum EPP-NO$_y$ flux F_m^{ES} through the 0.4 hPa level (black) and the descent velocity ω_m^{ES} at 0.08 hPa (red) on the ES event timing.

Figure 6 shows the resulting values of F_m^{ES} at 0.4 hPa and ω^{ES} at 0.08 hPa as function of t_0^{ES}. Both time dependencies can be qualitatively compared to the total EPP-NO$_x$ amounts crossing the 0.41 hPa level (i.e., the integral flux through this level) and maximum descent at the 0.08 hPa level for a large number of ES events simulated by WACCM as presented by Holt et al. (2013) (their Figures 6a and 9c, respectively). In the parameterization, as well as in the WACCM simulations, descent rates

10   decay almost linearly with t_0^{ES}, reaching minimum values around end of March (note that the day of the event is defined in Holt et al. (2013) as the central date of the preceding SSW, typically 8 days before t_0^{ES}). ES events starting around 1 February are characterized by half of the maximum descent rate for solstice ES events. Both parameterized and WACCM-simulated fluxes decrease with time more non-linearly and reach the background level in mid-February (50% of the solstice flux around mid-January). In our semi-empirical model, the more pronounced non-linearity of the flux decay compared to descent is introduced

15   by Eqs. 20 and 21, in consonance with our hypothesis that the EPP-NO$_y$ flux depends on the temporal evolutions of both the descent rates and EPP-NO$_y$ amounts in the source region.

As mentioned above, the time evolution of the differential EPP-NO$_y$ amounts $N_d(z,t)$ during ES winters is finally calculated by adding the EPP-NO$_y$ residual amounts during the ES event (as function of $\Gamma^{ES}(z)$, $N_m^{ES}(z)$, $t_m^{ES}(z)$, and $w_m^{ES}(z)$) to the
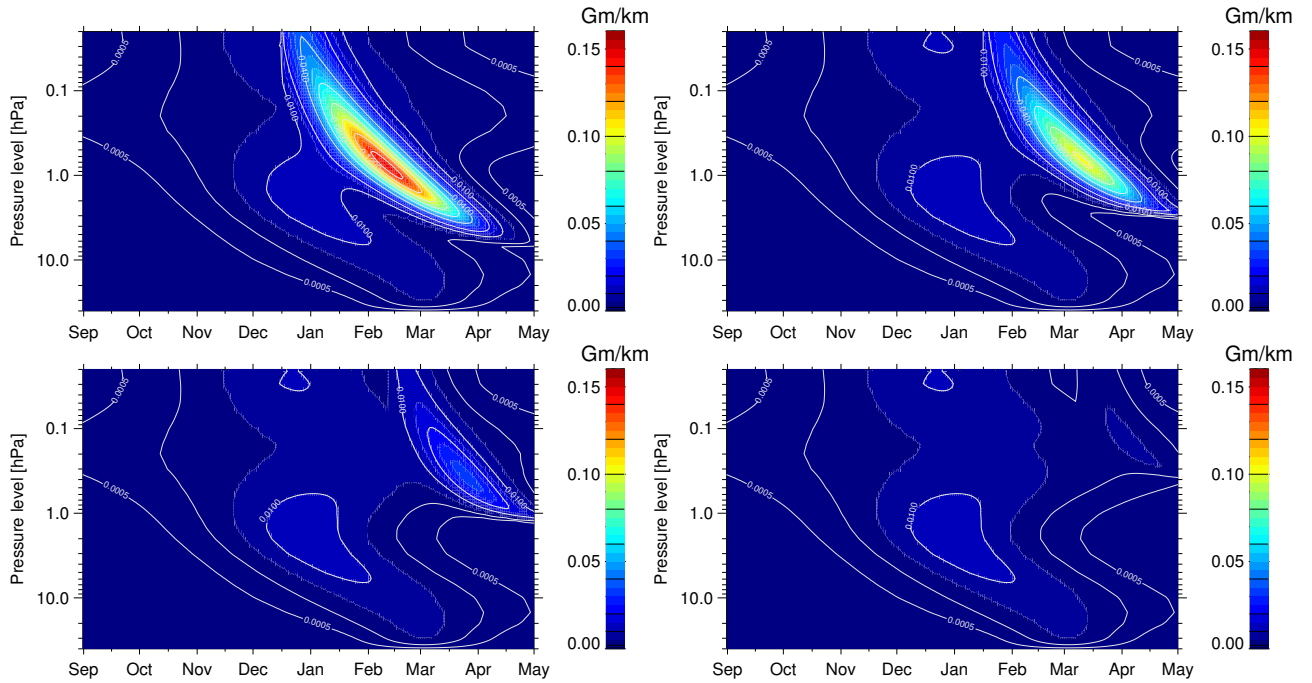
Figure 7. Temporal evolution of the vertical distribution of EPP-NO$_y$ differential amounts $N_d(z)$ during September–May in NH winter with ES events occurring on 15 December (upper left), 15 January (upper right), 10 February (bottom left), and 5 March (bottom right), resulting from the empirical model for a constant A$_p$ index of 10 (2002–2012 average).

"quiescent" differential amounts (Eq. 5). In order to illustrate the impact of the ES event timing on the differential amounts, Figure 7 shows the seasonal evolution of the resulting differential amounts for a constant geomagnetic level A$_p$=10 in NH winters with ES events occurring on 15 December, 15 January, 10 February and 5 March. A maximum differential amount of

5 0.14 Gm/km is predicted for a December event, exceeding the maximum amounts in SH winters (encountered around 10 hPa) by nearly a factor of two. On the other hand, the EPP-NO$_y$ amounts after March events are hardly distinguishable from the background. Also the lowermost pressure level reached by the EPP-NO$_y$ tongue differs significantly between the events, being 7 hPa, 3 hPa, 1 hPa, and 0.2 hPa, respectively, for these events.

Figure 8 compares the observed and modeled temporal evolutions of EPP-NO$_y$ differential amounts at pressure levels 0.03,

10 0.1, 0.3, and 1 hPa during the ES winters 2004 and 2009. The generally good agreement demonstrates the capability of the extended semi-empirical model to reproduce the observed EPP-NO$_y$ also in NW winters with ES events, in contrast to the model for quiescent winters (compare to Fig 3a).
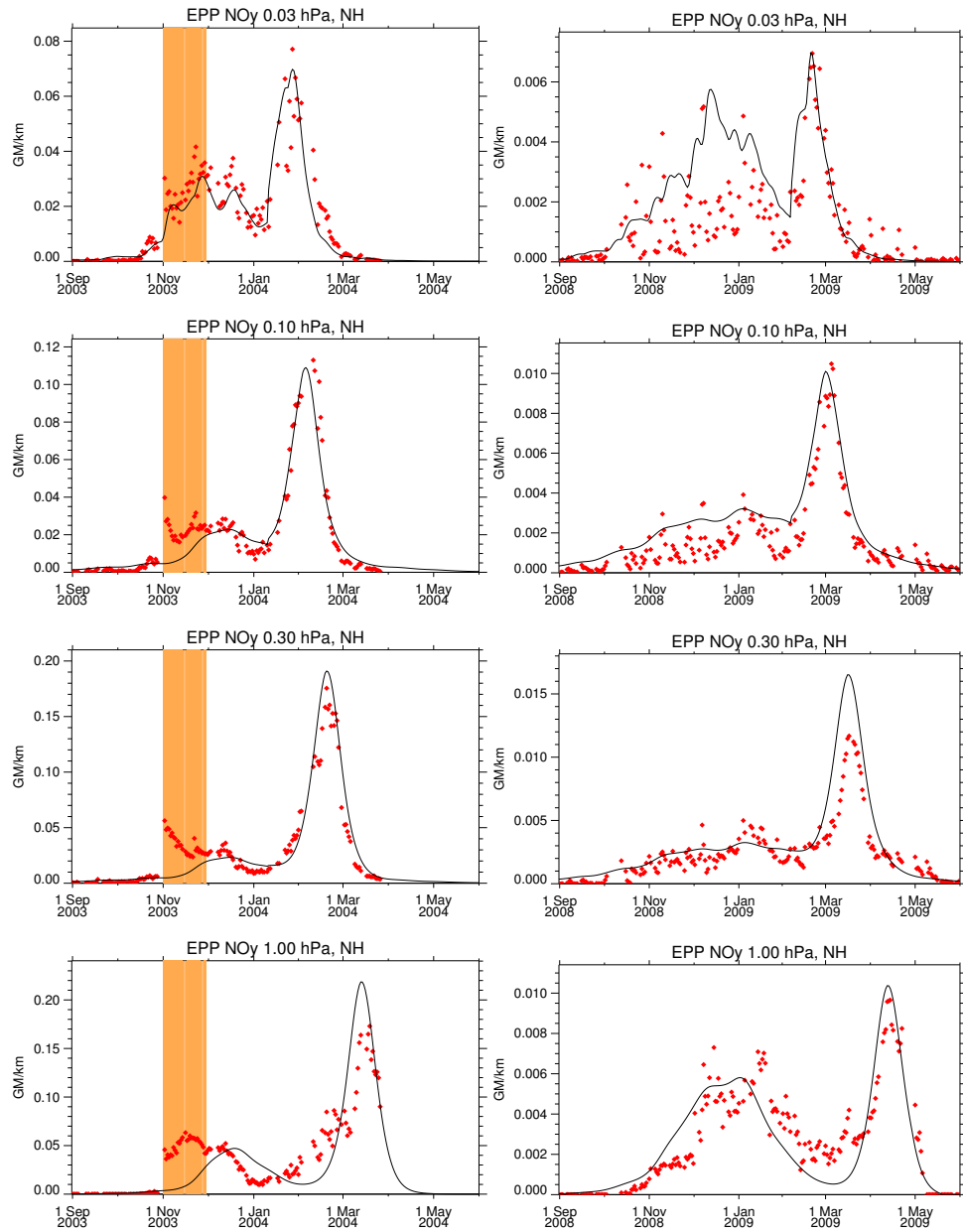
Figure 8. Observed (red diamonds) and modeled (black solid) temporal evolution of NH EPP-NO$_y$ amounts during the ES winters 2004 (left) and 2009 (right) at the pressure levels 0.03, 0.1, 0.3, and 1 hPa (top to bottom). The orange-shaded area indicates the period affected by the large SPE event of October/November 2003.

5 Determination of ES onsets relevant for EPP-NO$_y$

While the detection of ES events and the determination of their onset date, t_0^{ES}, is straight forward for the winters observed by MIPAS, a specific criterion is required in general in order to model the EPP-NO$_y$ distribution in longer time periods. Further, if the semi-empirical model is used in chemistry climate models to provide an odd nitrogen upper boundary condition (see Sec. 7), the detection of ES events needs to be performed online. An obvious quantity for the detection would be the stratopause height derived from zonally averaged polar temperatures as suggested by de la Torre et al. (2012) and Chandran et al. (2013). However, the unequivocal detection of ES events from the polar zonal mean temperature profile suffers from short-term excursions of the stratopause height that result from transient wave forcing. The temporal smoothing, needed to reduce this effect, disables the online detection on a daily basis, as required to account for the fast increase of EEP-NO$_y$ in the mesosphere at the beginning of an event. Also, if the semi-empirical model is used for reconstruction of the EPP indirect effect over historical time periods, and reanalyzed meteorological data needs to be employed for ES detection, the latter would suffer from the poor representation of mesospheric temperatures in the reanalysis data.

An alternative approach for detection of ES events and determination of t_0^{ES} from reanalysis or model temperature fields takes advantage of the tight anti-correlation of the upper stratospheric and mesospheric meridional temperature gradients during ES events. In particular, the difference between the zonal mean temperature averaged over 0–30° N and that averaged over 70–90° N at 1 hPa, in the following referred as ΔT_{30-70}, from MIPAS observations during 2002–2012 shows pronounced increases up to 55 K during the 2004 and 2009 events, not reached during quiescent winters. We have tested this criterion using 1 hPa temperatures from a transient model simulation with the ECHAM/MESSy Atmospheric Chemistry (EMAC) model, covering the period 1979–2014. The EMAC model is a numerical chemistry and climate simulation system that includes sub-models describing tropospheric and middle atmosphere processes and their interaction with oceans, land and human influences (Jöckel et al., 2010). It uses the second version of the Modular Earth Submodel System (MESSy2) to link multi-institutional computer codes. The core atmospheric model is the 5th generation European Centre Hamburg general circulation model (Roeckner et al., 2006). For the present study we applied EMAC (ECHAM5 version 5.3.02, MESSy version 2.50) in the T42L90MA-resolution, i.e. with a spherical truncation of T42 (corresponding to a quadratic Gaussian grid of approx. 2.8 by 2.8 degrees in latitude and longitude) with 90 vertical hybrid pressure levels up to 0.01 hPa. Vorticity, divergence, and temperature fields have been relaxed to ERA-Interim reanalysis data (Dee et al., 2011) below 1 hPa, facilitating the simulation of dynamic events which have occurred during 1979–2014.

Figure 9 shows the temporal evolution of ΔT_{30-70} over the whole simulation period. The 53 K level (indicated by the dotted red line) is exceeded during all reported ES events in the present and last decade, namely 2004, 2006, 2009, and 2013 (indicated by vertical dashed lines). Elevated stratopause events are also detected in 1985 and 1987. Table 4 lists the dates of the first day exceeding the 53 K threshold during all events. These dates coincide very precisely (within 1 day) with t_0^{ES} as determined from the MIPAS data for the 2004 and 2009 events. Also the onset dates for the 2006 and 2013 events are consistent with the analysis performed in previous works (Manney et al., 2008; Pérot et al., 2014). An inspection of the modeled stratopause evolution in 1985 and 1987 confirms elevated stratopauses after SSWs in these winters (not shown). Also, winters with ΔT_{30-70} below
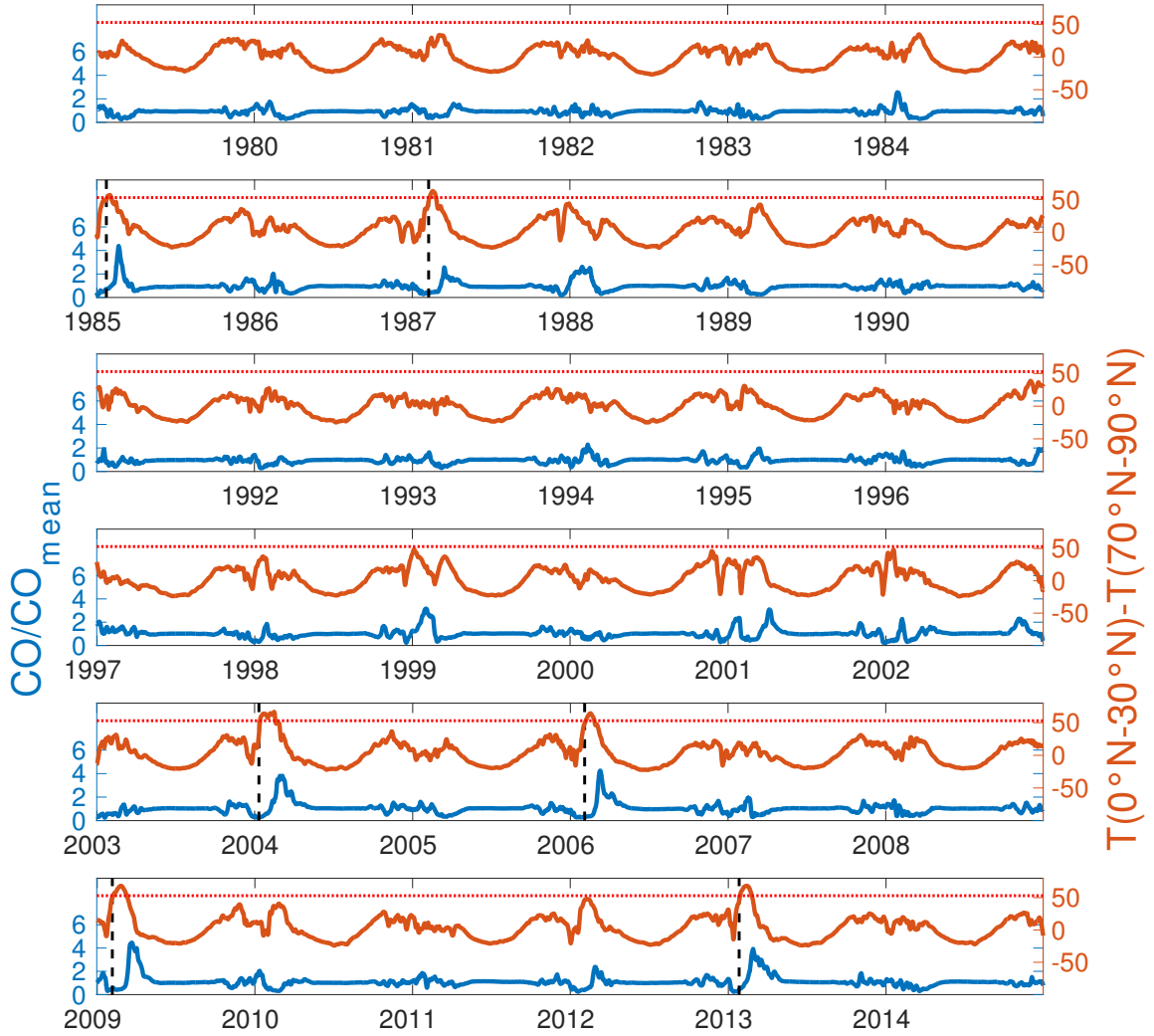
Figure 9. Temporal evolution of ΔT_{30-70}(in K)=T(0-30°N)–T(70–90°N) at 1 hPa (solid red) and 70–90°N zonal mean CO anomalies (with respect to the climatological seasonal mean, in ppmv) at 0.5 hPa (solid blue). The threshold of ΔT_{30-70}=53 K for ES detection is indicated by the dotted red line. Detected event onsets are marked by vertical dashed lines.

the 53 K threshold show no elevated stratopause, despite of the occurrence of several SSWs. Since EPP was not considered in the EMAC simulation, we look at the simulated CO evolution in the lower mesosphere in order to proof if indeed enhanced descent occurred during the detected ES events. CO is an adequate tracer of mesospheric air due to increasing concentrations
5   towards the upper mesosphere/lower thermosphere and relatively long photochemical lifetimes in polar winter. The blue solid line in Fig. 9 represents the 70–90 °N CO anomalies (with respect to the climatological seasonal mean) at 1 hPa. Noticeable

Table 4. Start and end dates of ES events detected by the $\Delta T_{30-70} > 53\,\text{K}$ criterion.

start date	end date	t_0^{ES}
23 Jan 1985	31 Jan1985	207
8 Feb 1987	23 Feb 1987	223
11 Jan 2004	16 Feb 2004	195
4 Feb 2006	24 Feb 2006	219
5 Feb 2009	8 Mar 2009	220
26 Jan 2013	22 Feb 2013	210

increases of CO are found after all ES events, although the magnitude of the increase after the 1987 event is rather small, most likely related to the late onset.

Chandran et al. (2013) investigated the occurrence of ES events, as detected from polar stratopause jumps, in MERRA re-analysis data (Rienecker et al., 2011) covering 1979–2011. They identified the events detected by our ΔT_{30-70} criterion (see Table 4) but additional events in winters 1980/81, 1983/84, 1989/90, 1994/95, and 2009/10. In these additional ES events, maximum values of ΔT_{30-70} of the nudged EMAC simulation remained well below 53 K. Further, no significant CO increases at 0.5 hPa were simulated with the EMAC model, except for 1983/84. In this particular winter, however, the CO enhancements have occurred already before the event. The EPP-NO$_y$ evolution in the 2009/10 NH winter, which has been observed by MI-PAS, does not show indications for ES-related odd nitrogen intrusions. Most of the additional ES events detected by Chandran et al. (2013) were accompanied by minor stratospheric warmings in contrast to the events detected by the ΔT_{30-70} criterion which were preceded by major SSWs.

We thus conclude that our criterion based on ΔT_{30-70} allows to detect the ES events with strong descent of mesospheric air and associated efficient deposition of EPP-NO$_y$ in the stratosphere. Also, we found that the first crossing time of the ΔT_{30-70}=53 K threshold provides a reasonable estimate of the onset time, t_0^{ES}.

6 EPP indirect effect during 1978–2014 and comparison with previous estimates

Figures 10a and 10b show the semi-empirical model estimates of the EPP-NO$_y$ depositions in the SH and NH winters during 1978–2014 together with previous estimations. First, we observe a generally good agreement between the results of the semi-empirical model and the estimates on the EPP indirect effect provided by Funke et al. (2014b). This is not surprising, since both are based on the same MIPAS observations, but this comparison gives us a good measure of the quality of the fitting of the model to the actual measurements from which it has been derived.

Figure 10a also shows the estimates on the EPP indirect effect of Randall et al. (2007) for the SH winters 1992–2005 from HALOE NO$_x$ solar occultation observations in the upper stratosphere (note that NO$_x$ is nearly equivalent to NO$_y$ at these altitudes and hence comparable to our results). As Funke et al. (2014b), they also used a tracer correlation method to extract
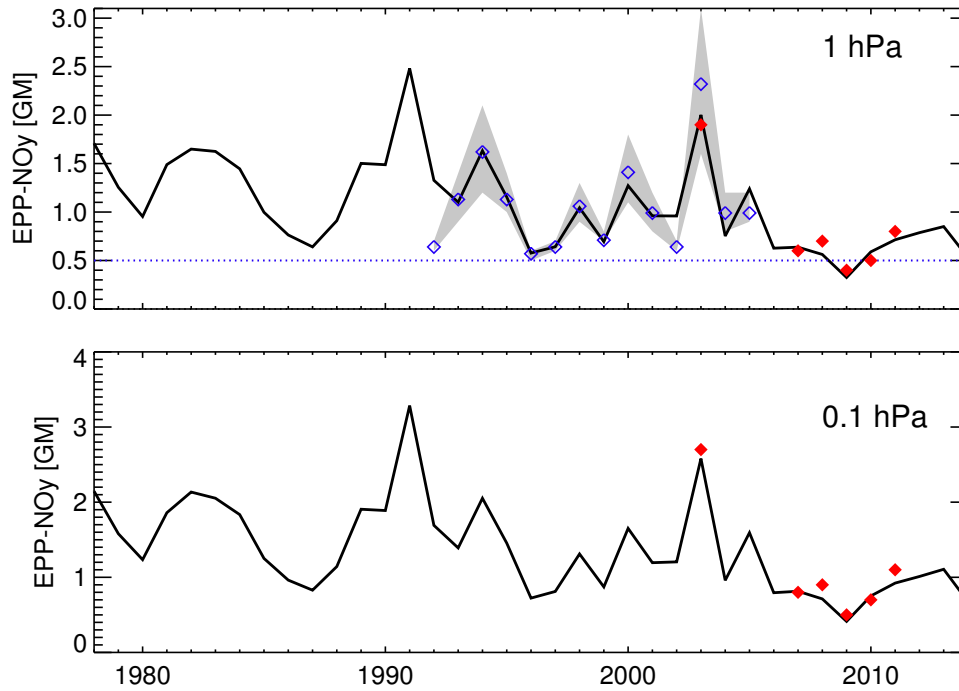
Figure 10a. Interannual variation of seasonal EPP-NO$_y$ depositions during 1978–2014 calculated with the semi-empirical model in the SH below the pressure levels of 1 hPa (top) and 0.1 hPa (bottom). EPP-NO$_y$ deposition estimates from MIPAS observations (Funke et al., 2014b) are indicated by filled red diamonds. HALOE-derived estimates of Randall et al. (2007) are shown by the grey-shaded area (limited by their "average" and "maximum excess NO$_x$" estimates) and are shifted by 0.5 GM (dashed blue line) in order to facilitate comparisons to the MIPAS estimates. Blue open symbols represent the adjusted "maximum excess" estimates (scaled by a factor of 0.7 to fit the MIPAS estimates).

the EPP-NO$_x$ contribution, but, in contrast to the MIPAS-derived depositions, they derived it from the accumulated NO$_x$ flux through the 45 km altitude level ($\sim$1 hPa, see Fig. 10a). The flux was calculated from the observed NO$_x$ density at that level assuming a constant SH polar winter descent rate of 400 m/day. Due to the sparse sampling of HALOE they made important

5   assumptions on the latitudinal distribution of the EPP-NO$_x$ inside the vortex that led to uncertainties as large as 100% in their estimates. (see grey-shaded area in Fig. 10a) Further, a rather conservative threshold was used for discriminating the EPP-NO$_x$ from the background NO$_x$ which might have offset their resulting estimates. We are interested in evaluating the consistency of the MIPAS and HALOE estimates, particularly in terms of inter-annual variability. For that purpose, and in order to account for possible biases related to the different measurements and estimation methods, we adjusted an offset and a scale factor to the

10 HALOE estimates. The determined scale factor of 0.7 is well within the range encompassed by the "average" and "maximum excess NO$_x$" estimates of Randall et al. (2007). The offset of 0.5 GM is rather high but plausible, since comparable EPP-NO$_y$ depositions are expected during SH winters with similarly low geomagnetic activity level as 1996 (HALOE-derived estimate of 0.1) and 2007 (MIPAS-derived estimate of 0.6). Note also that a negative bias of 0.5 GM in the EPP-NO$_y$ depositions would

be introduced by an underestimation of about 2×10^8 cm^{-3} in the EPP contribution to the NO$_x$ densities at 1 hPa, which is comparable to the conservative threshold for EPP-NO$_x$ discrimination from background values used by Randall et al. (2007) (see their Fig. 6). The inter-annual variations of our modeled EPP-NO$_y$ depositions below 1 hPa are highly consistent with the adjusted estimates from HALOE in the 1992–2005 period. Particularly, during 1993-1998 the agreement is excellent.
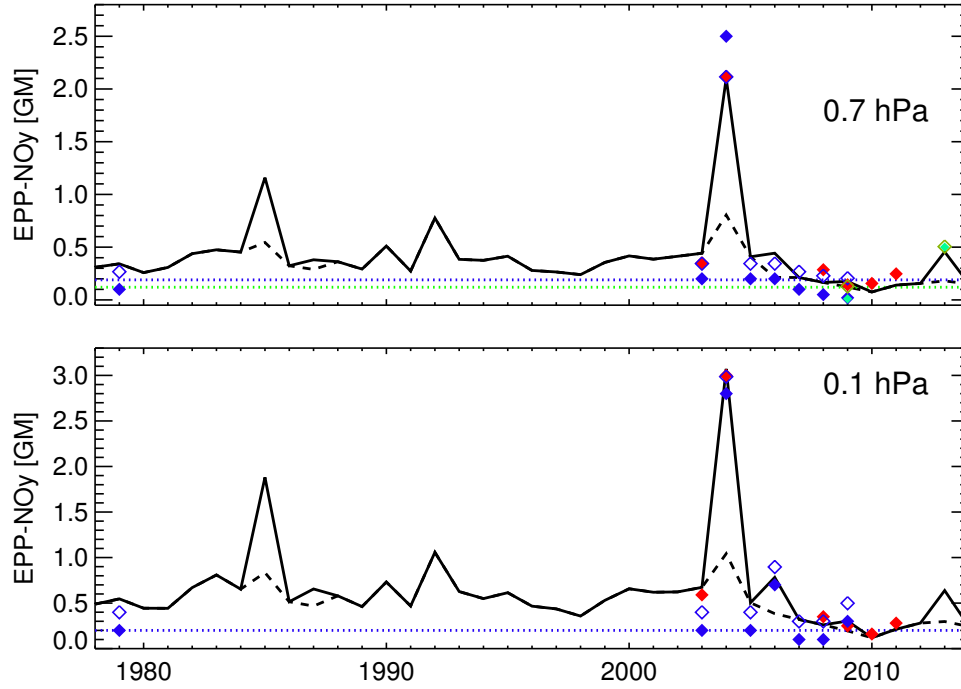
Figure 10b. As Fig. 10a but for the NH and for the 0.7 hPa (top) and 0.1 hPa (bottom) levels. Modeled EPP-NO$_y$ depositions without consideration of ES events are also shown by dashed lines. Observational deposition estimates from satellite data are also shown: MIPAS (Funke et al., 2014b) (filled red diamonds); MIPAS (2003–2004), ACE-FTS (2005-2009), and LIMS (1979) (Holt et al., 2012) (filled blue diamonds); SOFIE (2009–2013) (Bailey et al., 2014) (filled green diamonds). Open blue and green symbols represent the adjusted estimates of Holt et al. (2012) and Bailey et al. (2014), respectively, after applying a constant offset (colored dotted lines) and an scale factor in order to facilitate comparisons with the MIPAS-derived depositions of Funke et al. (2014b) (see text for more details). Note that years indicated on the x-axis correspond to the second year of the season, e.g., "2003" means "winter 2002/2003".

Holt et al. (2012) provided observational EPP-NO$_x$ deposition estimates for NH winters employing the same method as Randall et al. (2007), but using MERRA-derived vertical velocities instead of a fixed 400 m/day descent rate in the flux calculation. They used NO$_x$ observations from the Limb Infrared Monitor of the Stratosphere (LIMS) for the winter 1978/79, MIPAS for the winters 2002/03 and 2003/04, as well as Atmospheric Chemistry Experiment Fourier transform spectrometer (ACE-FTS) data for the Arctic winters in 2004–2009. They reported depositions below the 2000 K and 3000 K potential temperature surfaces, corresponding roughly to the 0.7 and 0.1 hPa pressure levels, respectively. Again, we adjust these estimates to those derived by Funke et al. (2014b) using a time-independent offset and scale.

For both vertical levels, we determine an offset of 0.2 GM, being considerably lower than for the SH estimates of Randall et al. (2007). This might be partly related to the latitude coverage of the employed instruments (global sampling in the case of LIMS and MIPAS, and 60–85°N for ACE-FTS in the NH), resulting in a better polar coverage compared to HALOE ($< 55°$S during May–August). These sampling differences might also explain that no scaling (derived scale factor of 0.995) needs to be applied in order to fit the 3000 K deposition estimates to those of Funke et al. (2014b) for the 0.1 hPa level. The modeled seasonally integrated fluxes through this pressure level during the NH winters 2004/05, 2005/06 and 2006/07, not available from MIPAS data, show very good agreement with the estimates from ACE-FTS shifted by 0.2 GM.

However, the EPP-NO_x depositions below 2000 K need to be scaled by a factor of 0.77 in order to achieve consistency with those of Funke et al. (2014b) for the 0.7 hPa level. A possible explanation for this mismatch is the use of MERRA-derived vertical velocities in Holt et al. (2012) to convert the EPP-NO_x densities in fluxes. These velocities might be overestimated by up to 40% at this pressure level (Funke et al., 2014b). However, differences might also be introduced by comparing depositions below pressure levels and depositions below potential temperature surfaces, because they are characterized by different latitudinal and temporal variations and the EPP-NO_y fluxes have strong gradients particularly in this vertical region. After applying the adjustment to the estimates of Holt et al. (2012), the agreement of the inter-annual variations with those of the the semi-empirical model is reasonably good.

Bailey et al. (2014) employed the same method as Holt et al. (2012) to SOFIE NO observation. In this case, we apply an offset of 0.12 GM and a scale factor of 0.77 to compare their estimates of the seasonally integrated EPP-NO_y fluxes through 0.7 hPa in the winters 2008/09 and 20012/13 to the semi-empirical model and find again reasonable agreement.

The modeled SH seasonal EPP-NO_y depositions during the 1978–2014 period, covering 3 solar cycles, are on average 1.26 GM below the 0.1 hPa level and 0.99 GM below 1 hPa. The large EPP indirect effect in 2003 – the strongest during the MIPAS observation period – is only exceeded by that of the Antarctic winter 1991 with about 30% higher depositions. The average NH deposition in 1978–2014 are 0.50 (0.25) GM below the 0.1 (1) hPa level. The EPP-NO_y deposition of the extraordinary ES winter 2003/04 is with 3 GM below 0.1 hPa the strongest of the whole period, followed by the 1984/85 ES winter with 1.9 GM. The average contribution of the ES events to the EPP-NO_y depositions in the 1978–2014 period is only of 4% (0.02 GM at 0.1 hPa and 0.01 GM at 1 hPa). This indicates that strong descent episodes related to ES events, while being of high relevance for the EPP-NO_y evolution during individual ES winters, seem to play only a minor role on longer time scales. However, the average EPP-NO_y contribution due to ES events increase noticeably when considering only the last decade. The question, whether the clustering of ES events during the latter period is part of the natural variability or indicative of a tendency, however, remains still open.

7   EPP-NO_y upper boundary conditions for atmospheric models

A major purpose of this semi-empirical model is to provide an upper boundary condition (UBC) for chemistry climate models with upper lid in the mesosphere. These models leave a large fraction of the EPP source region (extending to the lower thermosphere) uncovered and hence do not allow for a detailed simulation of the EPP indirect effect. However, EPP can still be
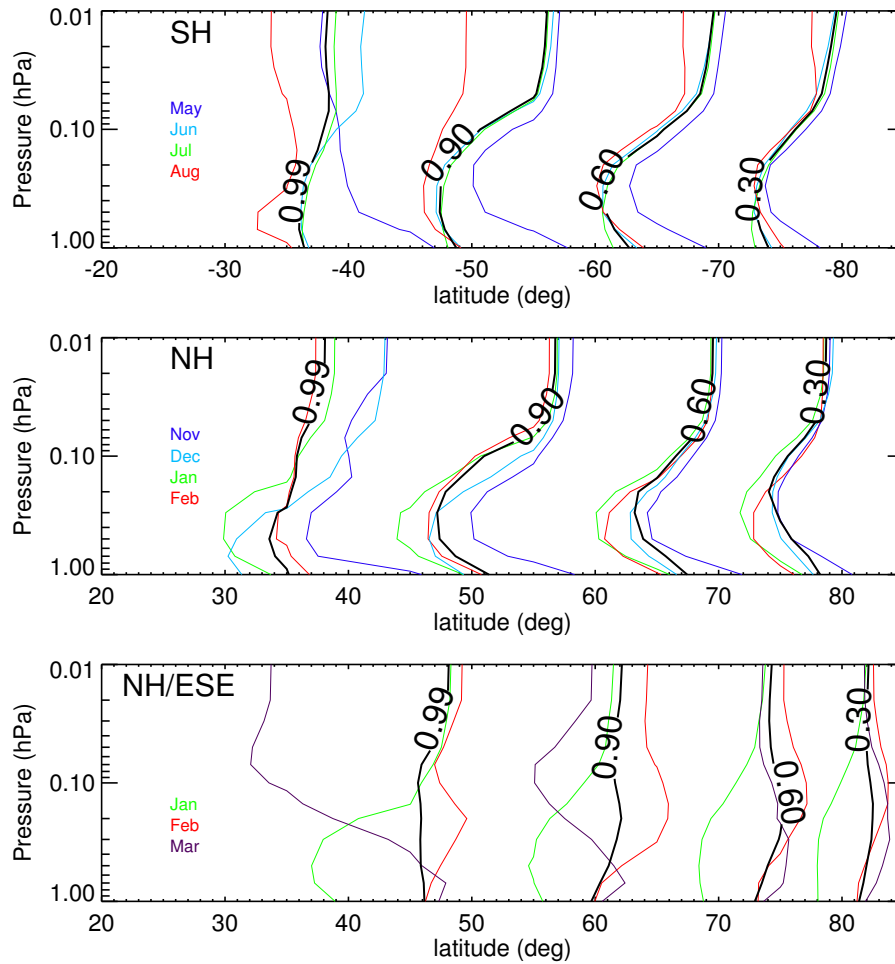
Figure 11. Latitudinal distribution of EPP-NO$_y$ from MIPAS averaged over 2002-2012, shown as the fraction of the hemispheric differential amount polewards of the indicated latitude, for SH winters (top), NH winters (middle), and ES winters (bottom). Averages for individual months are shown by colored lines. The amount-weighted seasonal average is indicated by the thick black line.

taken properly into account by prescribing NO$_y$ at the upper model lid. This can either be done by specifying a flux of NO$_x$ into the top of the model domain (e.g., Baumgaertner et al., 2009) or by specifying a NO$_x$ concentration at the uppermost model layer(s) (e.g., Reddmann et al., 2010). Taking into account that NO$_y \simeq$ NO$_x$ at pressure levels higher ~~that~~ than approximately

5   1 hPa, our semi-empirical model allows for both types of NO$_x$ UBCs, although the prescription of fluxes should be restricted to model levels at 0.02 hPa and lower altitudes in order to minimize contaminations by local productions related to radiation belt electrons (see above).

Typically, the NO$_y$ (or NO$_x$) flux or concentration is assumed to have a zonally homogeneous distribution. Baumgaertner et al. (2009) also assumed a homogeneously distributed flux within 55–90° latitude, roughly coinciding with the mesospheric

polar vortex. We have analyzed the latitudinal distribution of the MIPAS-derived EPP-NO$_y$ averaged over the 2002–2012 period in order to come up with a more realistic distribution. The derived meridional dependency is then used to distribute the differential amounts and fluxes in the respective hemisphere. Figure 11 shows the fraction of the hemispheric differential

5 amount polewards of a given latitude separately for SH winters, quiescent NH winters, and ES episodes as function of pressure. SH and quiescent NH distributions are very similar, with around 60% of the EPP-NO$_y$ at latitudes $>65°$ and 90% at $>50°$. The distributions tend to widen below 0.1 hPa by about 5°. No pronounced variation of the distributions along the winter season has been encountered. During ES events, the distribution is more confined over the pole, with 60% of the EPP-NO$_y$ at latitudes $>75°$ and 90% at $>60°$. No significant variation with height is found during ES episodes. Normalized latitudinal distributions

10 $\Psi(\phi,z)$ of EPP-NO$_y$ concentrations in the vertical range 1–0.01 hPa are provided in Tables A1, A2, and A3 for SH winters, NH winters, and ES episodes, respectively. Following Eq. 2, the UBC for prescribing EPP-NO$_y$ concentrations (in units of cm^{-3}) is then given by

$$
\begin{aligned}
[\text{EPP-NO}_y]^{SH}(\phi,z,t) &= 10^{-6}N_A \frac{N_d^{SH}(z,t)\Psi^{SH}(\phi,z)}{\sum_\phi^{SH}\Psi^{SH}(\phi,z)A(\phi)} \\
[\text{EPP-NO}_y]^{NH}(\phi,z,t) &= 10^{-6}N_A \left[\frac{N_d^{NH}(z,t)\Psi^{NH}(\phi,z)}{\sum_\phi^{NH}\Psi^{NH}(\phi,z)A(\phi)} + \frac{N_d^{ES}(z,t)\Psi^{ES}(\phi,z)}{\sum_\phi^{NH}\Psi^{ES}(\phi,z)A(\phi)}\right],
\end{aligned}
\tag{22}
$$

15 where N_A is the Avogadro constant and $A(\phi)$ the area in km^2 enclosed by the model latitude bin corresponding to ϕ. Assuming the same latitudinal dependence, the UBC for specifying an EPP-NO$_y$ flux into the top of the model domain (in units of cm^{-2} s^{-1}) is given by

$$
\begin{aligned}
f^{SH}(\phi,z,t) &= \frac{10^{-1}}{24\times3600}N_A \frac{F^{SH}(z,t)\Psi^{SH}(\phi,z)}{\sum_\phi^{SH}\Psi^{SH}(\phi,z)A(\phi)} \\
f^{NH}(\phi,z,t) &= \frac{10^{-1}}{24\times3600}N_A \left[\frac{F^{NH}(z,t)\Psi^{NH}(\phi,z)}{\sum_\phi^{NH}\Psi^{NH}(\phi,z)A(\phi)} + \frac{F^{ES}(z,t)\Psi^{ES}(\phi,z)}{\sum_\phi^{NH}\Psi^{ES}(\phi,z)A(\phi)}\right],
\end{aligned}
\tag{23}
$$

20 Background NO$_y$ concentrations, i.e., the NO$_y$ contribution not related to the EPP indirect effect, are not negligible in the lower/middle mesosphere and need to be considered when prescribing concentrations at the model's top layer(s). When specifying fluxes, this step is not required because the NO$_y$ entering the model domain in polar winters is in good approximation exclusively originating from the EPP-source. We model the background NO$_y$ concentrations by fitting the following regression function to the seasonal composite of the background NO$_{y,bg}$ =NO$_y$ - EPP-NO$_y$ obtained from the MIPAS observations in

25 2002–2012:

$$
\text{NO}_{y,bg}(\phi,z,t) = a_0(\phi,z)\left[1 + \sum_{n=1}^{3} a_n(\phi,z)\sin\left(\frac{2\pi n t}{365} + b_n(\phi,z)\right)\right],
\tag{24}
$$

with t being here the day of the year. The regression coefficients $a_n(\phi,z)$ and $b_n(\phi,z)$ for pressure levels within 1–0.01 hPa are listed in Tables A4–A10. Note that this parameterization of NO$_{y,bg}$ does not provide a full description of the observations since inter-annual variations (e.g., introduced by the QBO) are not considered. For prescription of NO$_y$ in models with upper lids above 1 hPa, the consideration of merely seasonal variations of the background NO$_y$ is a good approximation. Figure 12
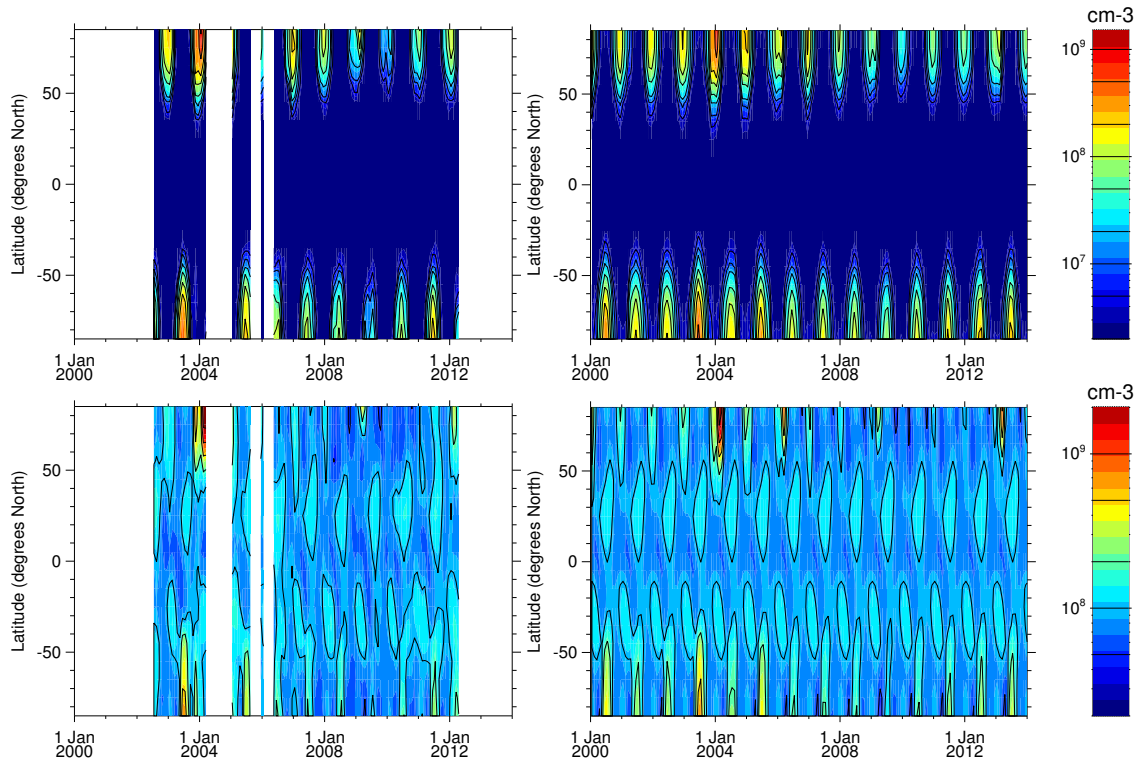
Figure 12. Latitude-time sections of NO_y densities observed by MIPAS (left) and from the UBC model (right) at 0.02 hPa (top) and 0.5 hPa (bottom).

compares the resulting latitude-time NO_y distribution of the semi-empirical model to the MIPAS observations at 0.5 and 0.02 hPa. While the background contribution at the latter pressure level is nearly two orders of magnitude smaller than the EPP contribution, this is not the case at 0.5 hPa. Here, the background is comparable to the EPP contribution in many NH and SH
5 winters. Overall, the modeled NO_y densities reproduce very well the observed latitude distribution and time evolution, except for episodes of large solar proton events (e.g., October/November 2003 and January/March 2012). This is expected since the semi-empirical model does not account for the EPP direct effect.

The consideration of ES-related enhanced odd nitrogen descent in the UBC for chemistry climate models is relatively straight forward in nudged model simulations since the ES onset dates, needed to drive the semi-empirical model, are known
10 beforehand. However, its consideration in free-running simulations would require to diagnose ES events "online" in a quasi-instantaneous manner, e.g., by analysing the modelled temperature fields averaged over a narrow time window covering the past model day. Then, in case of the detection of an event onset, it would be required to update the UBC, accounting for the ES event as described in Sect. 4, from the ES onset date to at least the end of the actual NH winter season. The main task is hence the implementation of the online ES detection scheme into the model system. We have proposed in Sect. 5 a detection criterion, $\Delta T_{30-70} > 53$ K, based on the difference of 0—30°N and 70—90°N temperature averages at 1 hPa, which allowed

for quasi-instantaneous detection of ES event onsets (associated with enhanced odd nitrogen descent) in EMAC simulations. Its application in other model systems, however, might require an adjustment of the detection threshold (which could be achieved by calculating ΔT_{30-70} from a nudged simulation covering 1980–2014 and tuning the threshold such that it is exceeded only for ES events listed in Table 4.

8 Historical reconstruction of the EPP indirect effect

The semi-empirical model also allows for a historical reconstruction of EPP-NO_y depositions for the period covered by the A_p record (i.e., since 1932). This period can by extended by use of the aa index (available since 1868) and the Helsinki A_k index (available for 1840–1912). Both aa and A_k indices provide a similar proxy of geomagnetic activity as A_p, however, based on observation from only one (or two) stations. Therefore, both datasets can be combined, although biases have to be accounted for. Such a combined, de-biased dataset, expressed as homogenized A_p index, has been generated as part of the solar forcing recommendations for CMIP6, available at http://solarisheppa.geomar.de/solarisheppa/cmip6(K. Matthes et al., to be submitted to *Geoscientific Model Development*). A detailed description on the methodology for homogenization of these three indices can be found thereinin Matthes et al. (2016) . We use here the extended A_p index for the EPP-NO_y reconstruction in the period 1850–2014, corresponding to the Historical Simulation as part of the CMIP6 DECK experiments (?) (Eyring et al., 2016) .

Figure 13 shows the reconstruction of seasonal stratospheric EPP-NO_y depositions below 0.5 hPa ($\sim$50 km) in both hemispheres in the period 1850–2014 covering the solar cycles 9–24. The temporal evolution of the EPP indirect effect follow closely that of solar variability on multi-decadal time scales, as expected due to the strong link of solar and geomagnetic activity. On shorter timescales, EPP-NO_y depositions show also a solar cycle modulation, however, with maxima shifted in tendency towards the declining phase of the cycle, as expected due to the correlation of A_p with the solar wind. A longterm variation of EPP-NO_y depositions with highest amounts in cycles 19–22 (Modern maximum) is clearly visible. On average, depositions have increased by a factor of 3 from the Gleissberg minimum around 1900 to the recent Modern maximum. The highest EPP-NO_y amounts since 1850 have been deposited into the stratosphere during the 1991 SH winter. But also the 2003 SH and 2004 NH winters are among the four strongest EPP winters since 1850. On the other hand, the prolonged solar minimum around 2008 led to exceptionally small EPP-NO_y depositions which are as small as during the Gleissberg minimum. In this sense, solar cycle 23 had one of the largest amplitudes of EPP variability in this 164 years period.

Looking at the variability during the last three solar cycles covered by the "satellite era", we model a reduction of the average global EPP-NO_y deposition rate of 0.8 GM/year which correspond to 1.7% of the global production rate by N_2O oxidation. This is likely to affect the longterm NO_y trend by counteracting the expected increase caused by growing N_2O emissions (about 6% in the same period), although the impact is most probably limited to mid to high latitudes.
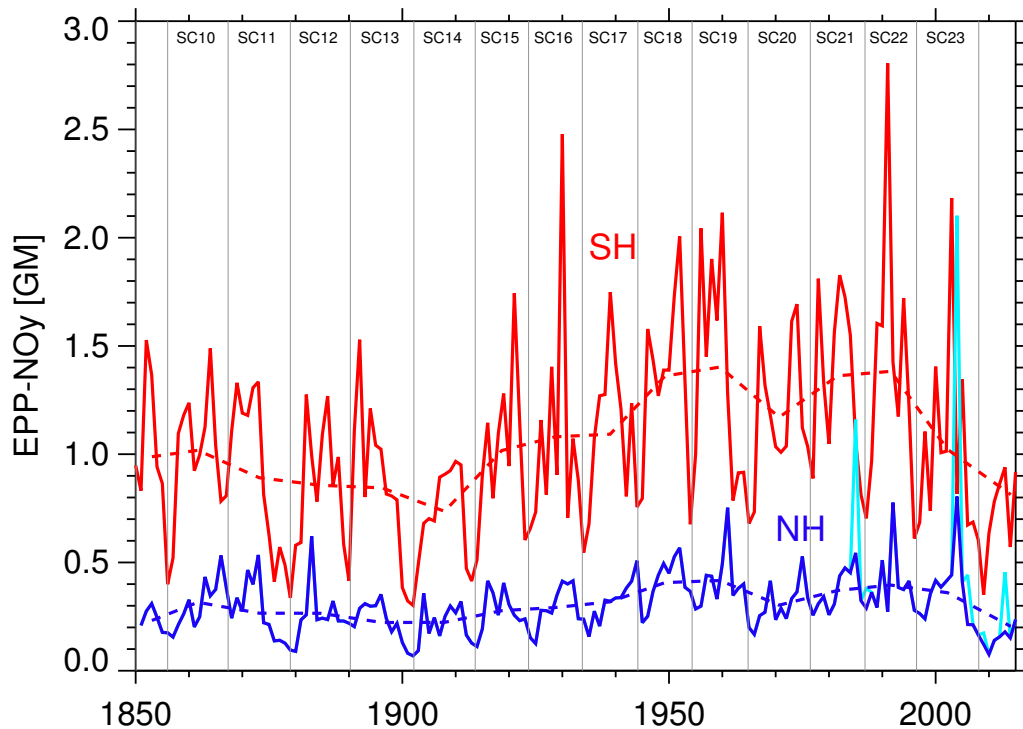
Figure 13. Reconstructed stratospheric EPP-NO$_y$ deposition below 0.5 hPa ($\sim 50\,$km) in the SH (red) and NH (without ES events, dark blue) during 1850–2015. NH depositions with consideration of ES events (only 1979–2014) ~~ore~~ are shown with the light blue line. Solar cycle average depositions are indicated with the dashed lines.

9   Conclusions

We have presented a semi-empirical model for computation of hemispheric Energetic Particle Precipitation (EPP)-NO$_y$ amounts transported to stratospheric and mesospheric pressure levels, as well as the associated vertical fluxes, during Antarctic and Arc-
5   tic winters. The model has been trained with the EPP-NO$_y$ record inferred from MIPAS observations during 2002–2012 (Funke et al., 2014a). Inter-annual variations of the EPP indirect effect at a given time of the winter are related to variations of the EPP source strength, the latter being considered to depend linearly on the geomagnetic A$_p$ index. A finite impulse response approach is employed to describe the impact of vertical transport on this modulation at given pressure levels. The seasonal dependence of the EPP-NO$_y$ vertical distribution, driven by variations of chemical losses and transport patterns, is assumed to
10   be independent on inter-annual dynamical variability. This assumption is shown to be a reasonably good approximation for SH winter and dynamically quiescent NH winters. For episodes of accelerated descent associated with Elevated Stratopause (ES) events in Arctic winters, however, this assumption does not hold and a dedicated parameterization of the spatio-temporal EPP-NO$_y$ distribution needs to be employed. This parameterization takes into account the dependence of the EPP-NO$_y$ amounts

and fluxes during ES-related descent episodes on the event timing in accordance with results from the model study of Holt et al. (2013).

In order to consider accelerated descent during ES events in the semi-empirical model, a criterion for ES detection is required. de la Torre et al. (2012) identified ES events by looking at abrupt increases of the polar cap stratopause height, the latter defined as the altitude between 20 and 100 km where temperature maximizes. We have shown, by analyzing temperature and CO from EMAC simulations nudged to ERA-Interim reanalysis data, that the upper stratospheric meridional temperature gradient, expressed as the difference of 0–30°N and 70–90°N temperature averages at 1 hPa, provides a reliable alternative criterion for detection of strong descent episodes. Further, the proposed criterion allows for a quasi-instantaneous detection of a commencing ES event since no temporal smoothing of the temperature time series is required. It is therefore well suited to drive the semi-empirical model when used to prescribe NO_y concentrations or fluxes in transient simulations with models lacking a detailed representation of the EPP source region. However, due to its definition as a discrete threshold, our criterion may need to be adjusted when applied to other models.

We have quantified the EPP indirect effect in both hemispheres during 1978–2014 with the semi-empirical model, considering the ES events as detected from the EMAC simulations. The resulting wintertime EPP-NO_y depositions have been compared to observational estimates from satellite instruments, including LIMS, HALOE, MIPAS, ACE-FTS, and SOFIE. In order to account for multiplicative and additive biases between the different estimates, related to instrumental uncertainties and/or differences in the employed estimation methods, we have adjusted the other instrument's estimates to the MIPAS data by applying an offset and a scale factor. The resulting homogenized time series of observational EPP-NO_y deposition estimates is in very good agreement with the results of our semi-empirical model. The simulated average EPP-NO_y deposition per year into the stratosphere during 1978–2014 was found to be 1.26 GM in the SH and 0.5 GM in the NH. Strong descent associated to ES events in Arctic winters, while being of high relevance during individual events, led to an increase of only 4% in the average NH deposition during these three decades.

A major purpose of the semi-empirical model is to provide an odd nitrogen upper boundary condition (UBC) for chemistry climate models with their upper lid in the mesosphere and, thus, missing the EPP-NO_y production occurring above. This is achieved by distributing the hemispheric EPP-NO_y amounts and fluxes at given pressure levels in latitude bands, using the MIPAS average meridional distribution during 2002–2012, and expressing them either as concentrations (in units of cm^{-3}) or as molecular flux (in units of $cm^{-2} s-1$). In order to avoid top boundary artifacts in the models when specifying NO_y concentrations at latitudes not dominated by EPP, we also provide a background NO_y contribution (from N_2O oxidation in the stratosphere) obtained from a simple regression model adjusted to the MIPAS seasonal 2002–2012 composite. The resulting UBC model hence provides global zonal mean NO_y concentrations and EPP-NO_y molecular fluxes on an adaptable pressure level and latitude grid as function of time for upper model lids within 1–0.01 hPa.

Odd nitrogen UBCs have been previously used in chemistry climate models not extending into the EPP source region for representation of the EPP indirect effect. In some model studies, the UBC was taken directly from NO_x observations (e.g., Reddmann et al., 2012; Päivärinta et al., 2013), which, however, implies the restriction to the relatively short time period spanned by the observations. In other cases, a simple parameterization in dependence of the seasonally averaged A_p

index (Baumgaertner et al., 2009) was employed (e.g., Baumgaertner et al., 2011; Rozanov et al., 2012), enabling extended simulations over multi-decadal time periods. Our UBC model is designed for the latter application and represents an improved parameterization due to its more detailed representation of geomagnetic modulations, latitudinal distribution, and seasonal evolution, as well as the ability to reproduce odd nitrogen enhancements due to ES events in Arctic winters. It has been successfully tested in simulations carried out with the EMAC model ~~(K. Matthes et al., to be submitted to *Geoscientific Model Development*)~~ (Matthes et al., 2016) and is available as IDL ~~routine~~ and MATLAB routines at http://solarisheppa.geomar.de/solarisheppa/cmip6 for its use with geomagnetic proxy data provided with the CMIP6 solar forcing (availaible on the same webpage).

By employing historical geomagnetic indices, as provided with the CMIP6 solar forcing, we also estimated the EPP indirect effect since 1850. We found long-term changes of solar cycle-averaged stratospheric EPP-NO_y depositions in the order of 1 Gigamole which can be attributed to secular variations of geomagnetic and solar activity. Inter-annual variations along the solar cycle were particularly pronounced during solar cycles 16, 22, and 23, with cycle amplitudes of up to 2.5 GM. We also found a reduction of the EPP-NO_y deposition rate during the last three decades related to a decline of geomagnetic activity that corresponds to 1.8% of the NO_y production rate by N_2O oxidation. The negative trend in the geomagnetic activity level is closely related to the reduction of solar cycle amplitudes encountered for cycles 23 and 24. As the decline of solar activity is expected to continue in the coming decades (Steinhilber and Beer, 2013), this is likely to affect the longterm NO_y trend by counteracting the expected increase caused by growing N_2O emissions (Ravishankara et al., 2009).

A limitation of our semi-empirical model for reconstructions on multi-decadal time scales, however, is related to potential secular variations of meridional circulation patterns in the mesosphere (Baumgaertner et al., 2010). A deviation from the dynamical mean state characteristic for the 2002–2012 period could lead to modifications of the EPP indirect effect not considered in our model. However, such dynamically induced variations are expected to be small compared to the geomagnetically-induced variations. In particular, it seems to be unlikely that mesospheric circulation changes could outweigh the simulated reduction of stratospheric EPP-NO_y depositions in the last decades related to the decline of solar variability.

Appendix A: Latitudinal distribution of EPP-NO$_y$ densities and fluxes in the UBC model

Table A1. Normalized latitudinal distribution $\Psi(\phi, z)$ of EPP-NO$_y$ densities and fluxes in SH winters as function of pressure level.

lat. bin ϕ °S	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90–80	0.290	0.285	0.288	0.299	0.311	0.319	0.327	0.340	0.357	0.374	0.393	0.412
80–70	0.259	0.248	0.241	0.240	0.246	0.260	0.281	0.302	0.309	0.306	0.297	0.294
70–60	0.210	0.201	0.195	0.193	0.196	0.202	0.207	0.208	0.206	0.202	0.197	0.187
60–50	0.153	0.160	0.161	0.155	0.147	0.136	0.121	0.104	0.092	0.086	0.083	0.078
50–40	0.070	0.084	0.092	0.091	0.082	0.069	0.053	0.038	0.028	0.024	0.022	0.021
40–30	0.016	0.020	0.021	0.020	0.017	0.013	0.010	0.008	0.007	0.006	0.006	0.006
30–20	0.002	0.002	0.002	0.001	0.001	0.000	0.001	0.001	0.001	0.001	0.001	0.001

Table A2. Normalized latitudinal distribution $\Psi(\phi, z)$ of EPP-NO$_y$ densities and fluxes in NH winters as function of pressure level.

lat. bin ϕ °N	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
20–30	0.003	0.004	0.004	0.004	0.003	0.003	0.003	0.003	0.002	0.002	0.002	0.002
30–40	0.011	0.016	0.021	0.023	0.023	0.020	0.016	0.011	0.008	0.006	0.005	0.005
40–50	0.036	0.049	0.060	0.066	0.067	0.057	0.044	0.030	0.023	0.019	0.018	0.018
50–60	0.096	0.106	0.120	0.130	0.139	0.131	0.115	0.099	0.088	0.083	0.081	0.080
60–70	0.185	0.184	0.191	0.206	0.229	0.245	0.250	0.246	0.238	0.230	0.228	0.226
70–80	0.307	0.291	0.273	0.263	0.261	0.278	0.299	0.318	0.325	0.326	0.325	0.325
80–90	0.362	0.351	0.332	0.308	0.278	0.266	0.273	0.293	0.316	0.333	0.342	0.344

Table A3. Normalized latitudinal distribution $\Psi(\phi, z)$ of EPP-NO$_y$ densities and fluxes during ES episodes as function of pressure level.

lat. bin ϕ °N	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
20–30	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
30–40	0.001	0.001	0.002	0.002	0.002	0.002	0.002	0.002	0.001	0.001	0.001	0.001
40–50	0.009	0.008	0.008	0.008	0.008	0.008	0.008	0.008	0.007	0.007	0.006	0.006
50–60	0.044	0.042	0.039	0.036	0.034	0.035	0.037	0.040	0.042	0.042	0.042	0.041
60–70	0.146	0.147	0.148	0.139	0.135	0.132	0.137	0.145	0.150	0.153	0.153	0.150
70–80	0.333	0.331	0.330	0.334	0.339	0.341	0.344	0.343	0.341	0.336	0.332	0.330
80–90	0.467	0.471	0.472	0.481	0.481	0.482	0.471	0.462	0.459	0.462	0.467	0.473

Appendix B: Coefficients of Eq. 24 describing $NO_{y,bg}(\phi, z)$ in the UBC model

Table A4. Coefficients $a_0(\phi, z)$. Values in parenthesis should be read as power of 10.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	1.53(8)	8.81(7)	5.44(7)	2.73(7)	1.61(7)	1.09(7)	6.21(6)	3.89(6)	1.57(6)	1.27(6)	1.06(6)	9.00(5)
80S–70S	1.59(8)	9.16(7)	5.70(7)	2.84(7)	1.64(7)	1.09(7)	5.99(6)	3.65(6)	1.87(6)	1.45(6)	9.96(5)	8.53(5)
70S–60S	1.70(8)	9.86(7)	6.15(7)	2.97(7)	1.69(7)	1.11(7)	5.90(6)	3.59(6)	2.44(6)	1.65(6)	9.38(5)	8.13(5)
60S–50S	2.01(8)	1.17(8)	7.23(7)	3.40(7)	1.91(7)	1.25(7)	6.50(6)	3.97(6)	2.70(6)	1.63(6)	9.35(5)	8.10(5)
50S–40S	2.41(8)	1.44(8)	8.92(7)	4.11(7)	2.23(7)	1.41(7)	6.95(6)	4.11(6)	2.72(6)	1.67(6)	9.58(5)	8.30(5)
40S–30S	2.68(8)	1.60(8)	9.80(7)	4.46(7)	2.39(7)	1.49(7)	6.99(6)	3.95(6)	2.77(6)	1.59(6)	9.19(5)	7.97(5)
30S–20S	2.81(8)	1.63(8)	9.65(7)	4.28(7)	2.22(7)	1.37(7)	6.35(6)	3.47(6)	2.37(6)	1.40(6)	8.08(5)	7.00(5)
20S–10S	2.81(8)	1.57(8)	8.94(7)	3.83(7)	1.93(7)	1.17(7)	5.33(6)	2.87(6)	1.95(6)	1.17(6)	6.73(5)	5.83(5)
10S–0N	2.74(8)	1.46(8)	8.09(7)	3.45(7)	1.74(7)	1.05(7)	4.66(6)	2.46(6)	1.64(6)	9.87(5)	5.69(5)	4.93(5)
0N–10N	2.79(8)	1.48(8)	8.15(7)	3.44(7)	1.70(7)	1.01(7)	4.32(6)	2.22(6)	1.48(6)	8.93(5)	5.15(5)	4.47(5)
10N–20N	2.96(8)	1.62(8)	9.04(7)	3.70(7)	1.75(7)	1.02(7)	4.32(6)	2.24(6)	1.46(6)	8.53(5)	4.92(5)	4.27(5)
20N–30N	3.04(8)	1.73(8)	9.97(7)	4.16(7)	2.02(7)	1.19(7)	5.23(6)	2.71(6)	1.73(6)	9.93(5)	5.73(5)	4.97(5)
30N–40N	2.81(8)	1.65(8)	9.82(7)	4.28(7)	2.16(7)	1.28(7)	5.76(6)	3.04(6)	1.95(6)	1.09(6)	6.27(5)	5.43(5)
40N–50N	2.36(8)	1.41(8)	8.52(7)	3.82(7)	1.97(7)	1.20(7)	5.63(6)	3.08(6)	1.97(6)	1.07(6)	6.15(5)	5.33(5)
50N–60N	1.99(8)	1.18(8)	7.16(7)	3.28(7)	1.76(7)	1.10(7)	5.29(6)	2.89(6)	1.92(6)	1.05(6)	6.04(5)	5.23(5)
60N–70N	1.82(8)	1.04(8)	6.26(7)	2.97(7)	1.64(7)	1.05(7)	5.18(6)	2.78(6)	1.92(6)	1.01(6)	5.73(5)	4.97(5)
70N–80N	1.75(8)	9.65(7)	5.76(7)	2.81(7)	1.64(7)	1.10(7)	5.97(6)	3.42(6)	2.66(6)	1.47(6)	8.38(5)	7.20(5)
80N–90N	1.71(8)	9.43(7)	5.66(7)	2.77(7)	1.76(7)	1.30(7)	8.19(6)	5.14(6)	3.04(6)	1.65(6)	1.06(6)	8.87(5)

Table A5. Coefficients $a_1(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	0.548	0.592	0.635	0.691	0.714	0.697	0.678	0.648	0.815	1.035	1.098	1.158
80S–70S	0.483	0.532	0.570	0.621	0.636	0.615	0.596	0.576	0.742	0.962	1.004	1.036
70S–60S	0.333	0.381	0.412	0.463	0.481	0.472	0.458	0.440	0.570	0.813	0.838	0.843
60S–50S	0.167	0.235	0.271	0.315	0.333	0.332	0.331	0.356	0.505	0.805	0.823	0.823
50S–40S	0.164	0.187	0.216	0.227	0.209	0.185	0.177	0.228	0.345	0.583	0.592	0.592
40S–30S	0.217	0.211	0.202	0.147	0.107	0.103	0.149	0.206	0.254	0.375	0.376	0.376
30S–20S	0.245	0.221	0.201	0.159	0.158	0.172	0.215	0.258	0.256	0.285	0.285	0.285
20S–10S	0.213	0.172	0.151	0.139	0.151	0.177	0.238	0.280	0.270	0.268	0.268	0.268
10S– 0N	0.117	0.099	0.099	0.114	0.142	0.174	0.232	0.273	0.272	0.264	0.264	0.264
0N–10N	0.097	0.172	0.220	0.220	0.186	0.193	0.213	0.230	0.242	0.243	0.243	0.243
10N–20N	0.170	0.244	0.303	0.299	0.229	0.201	0.169	0.165	0.152	0.148	0.148	0.148
20N–30N	0.192	0.246	0.297	0.278	0.216	0.187	0.137	0.095	0.097	0.106	0.106	0.106
30N–40N	0.132	0.190	0.239	0.227	0.190	0.205	0.207	0.128	0.147	0.112	0.112	0.112
40N–50N	0.112	0.166	0.231	0.246	0.237	0.248	0.238	0.173	0.193	0.139	0.143	0.143
50N–60N	0.084	0.136	0.229	0.283	0.290	0.301	0.278	0.200	0.161	0.220	0.231	0.231
60N–70N	0.053	0.126	0.227	0.291	0.327	0.351	0.329	0.259	0.294	0.623	0.648	0.649
70N–80N	0.191	0.234	0.324	0.425	0.433	0.407	0.348	0.185	0.265	0.552	0.580	0.591
80N–90N	0.278	0.342	0.446	0.602	0.443	0.251	0.178	0.324	0.296	0.538	0.646	0.724

Table A6. Coefficients $a_2(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	0.039	0.115	0.175	0.228	0.254	0.270	0.337	0.359	0.477	0.540	0.514	0.484
80S–70S	0.020	0.090	0.136	0.180	0.215	0.243	0.318	0.333	0.427	0.496	0.491	0.484
70S–60S	0.097	0.139	0.164	0.166	0.185	0.206	0.251	0.278	0.369	0.416	0.413	0.411
60S–50S	0.155	0.194	0.222	0.200	0.182	0.184	0.229	0.266	0.321	0.349	0.346	0.346
50S–40S	0.150	0.192	0.210	0.192	0.155	0.157	0.225	0.268	0.268	0.262	0.259	0.259
40S–30S	0.162	0.192	0.201	0.187	0.169	0.172	0.211	0.250	0.239	0.220	0.219	0.219
30S–20S	0.162	0.168	0.168	0.172	0.170	0.175	0.203	0.213	0.213	0.205	0.205	0.205
20S–10S	0.128	0.129	0.133	0.149	0.156	0.167	0.196	0.203	0.207	0.202	0.202	0.202
10S– 0N	0.106	0.106	0.114	0.142	0.150	0.161	0.188	0.200	0.225	0.229	0.229	0.229
0N–10N	0.113	0.096	0.099	0.144	0.160	0.176	0.221	0.235	0.253	0.250	0.250	0.250
10N–20N	0.095	0.097	0.112	0.159	0.176	0.189	0.225	0.225	0.239	0.237	0.237	0.237
20N–30N	0.095	0.096	0.115	0.159	0.192	0.211	0.271	0.293	0.295	0.296	0.296	0.296
30N–40N	0.116	0.125	0.142	0.173	0.215	0.237	0.312	0.344	0.363	0.368	0.368	0.368
40N–50N	0.126	0.144	0.166	0.196	0.231	0.243	0.303	0.332	0.341	0.374	0.370	0.370
50N–60N	0.077	0.116	0.169	0.240	0.270	0.270	0.288	0.284	0.323	0.357	0.353	0.353
60N–70N	0.107	0.144	0.197	0.295	0.327	0.329	0.312	0.215	0.260	0.380	0.383	0.383
70N–80N	0.154	0.214	0.269	0.371	0.421	0.449	0.476	0.430	0.557	0.674	0.680	0.680
80N–90N	0.185	0.249	0.301	0.399	0.502	0.603	0.728	0.785	0.820	0.720	0.689	0.665

Table A7. Coefficients $a_3(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	0.096	0.097	0.094	0.105	0.084	0.082	0.053	0.027	0.117	0.174	0.210	0.250
80S–70S	0.082	0.056	0.038	0.046	0.040	0.041	0.021	0.026	0.106	0.131	0.137	0.143
70S–60S	0.082	0.048	0.022	0.026	0.034	0.037	0.040	0.062	0.119	0.092	0.094	0.097
60S–50S	0.102	0.098	0.077	0.058	0.070	0.080	0.072	0.072	0.090	0.076	0.077	0.077
50S–40S	0.084	0.087	0.081	0.074	0.074	0.076	0.058	0.035	0.043	0.027	0.025	0.025
40S–30S	0.044	0.045	0.044	0.034	0.022	0.027	0.048	0.048	0.067	0.073	0.073	0.073
30S–20S	0.028	0.032	0.027	0.011	0.018	0.021	0.023	0.019	0.029	0.048	0.048	0.048
20S–10S	0.006	0.011	0.017	0.003	0.015	0.025	0.040	0.051	0.048	0.052	0.052	0.052
10S– 0N	0.052	0.025	0.019	0.005	0.014	0.019	0.023	0.037	0.047	0.053	0.053	0.053
0N–10N	0.067	0.035	0.009	0.003	0.016	0.026	0.041	0.050	0.033	0.026	0.026	0.026
10N–20N	0.054	0.043	0.027	0.021	0.020	0.023	0.037	0.033	0.014	0.020	0.020	0.020
20N–30N	0.036	0.027	0.023	0.031	0.034	0.035	0.055	0.082	0.078	0.068	0.068	0.068
30N–40N	0.035	0.028	0.026	0.029	0.033	0.044	0.083	0.103	0.070	0.060	0.060	0.060
40N–50N	0.051	0.051	0.063	0.060	0.052	0.055	0.076	0.096	0.076	0.090	0.091	0.091
50N–60N	0.076	0.084	0.103	0.091	0.069	0.056	0.056	0.056	0.028	0.050	0.051	0.051
60N–70N	0.081	0.094	0.125	0.112	0.079	0.043	0.050	0.104	0.185	0.171	0.168	0.167
70N–80N	0.084	0.089	0.106	0.093	0.087	0.095	0.186	0.230	0.326	0.272	0.260	0.252
80N–90N	0.071	0.098	0.144	0.156	0.125	0.257	0.461	0.570	0.666	0.572	0.545	0.526

Table A8. Coefficients $b_1(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	1.602	1.679	1.741	1.801	1.818	1.809	1.755	1.747	1.790	1.785	1.773	1.762
80S–70S	1.651	1.723	1.748	1.806	1.828	1.814	1.764	1.777	1.845	1.846	1.846	1.846
70S–60S	1.694	1.749	1.748	1.836	1.850	1.842	1.824	1.902	1.939	1.879	1.877	1.877
60S–50S	1.219	1.443	1.499	1.702	1.804	1.845	1.919	2.041	1.996	1.895	1.893	1.893
50S–40S	0.432	0.846	1.110	1.452	1.695	1.857	2.231	2.427	2.249	2.031	2.028	2.028
40S–30S	0.630	0.843	1.082	1.499	2.313	2.828	-2.911	-2.987	2.863	2.421	2.419	2.419
30S–20S	1.038	1.199	1.444	1.983	2.627	2.939	-2.945	-2.925	3.090	2.774	2.774	2.774
20S–10S	1.477	1.656	1.879	2.346	2.854	3.101	-2.988	-2.942	-3.014	3.133	3.133	3.133
10S– 0N	2.157	2.820	-2.956	-2.741	-2.698	-2.716	-2.815	-2.912	-2.936	-2.981	-2.981	-2.981
0N–10N	-2.317	-2.115	-1.991	-2.006	-2.281	-2.494	-2.779	-2.903	-2.961	-3.033	-3.033	-3.033
10N–20N	-1.631	-1.698	-1.693	-1.765	-1.971	-2.168	-2.546	-2.668	-2.933	3.120	3.120	3.120
20N–30N	-1.416	-1.487	-1.500	-1.557	-1.596	-1.693	-1.977	-2.292	-2.981	-2.993	-2.993	-2.993
30N–40N	-1.726	-1.725	-1.704	-1.801	-1.948	-2.124	-2.327	-2.928	2.714	-2.950	-2.949	-2.949
40N–50N	-2.752	-2.334	-2.184	-2.241	-2.358	-2.446	-2.586	-3.115	2.718	-2.271	-2.233	-2.233
50N–60N	3.018	-2.473	-2.344	-2.417	-2.477	-2.476	-2.567	-3.038	3.025	-1.889	-1.855	-1.855
60N–70N	-1.407	-1.861	-2.034	-2.075	-2.125	-2.135	-2.178	-2.254	-1.874	-1.433	-1.422	-1.423
70N–80N	-1.115	-1.459	-1.661	-1.662	-1.660	-1.654	-1.594	-1.550	-1.308	-1.283	-1.294	-1.307
80N–90N	-1.160	-1.413	-1.562	-1.595	-1.492	-1.167	0.099	0.825	0.323	-1.031	-1.156	-1.227

Table A9. Coefficients $b_2(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	1.221	0.838	0.889	1.131	1.276	1.233	1.254	1.334	1.657	1.800	1.824	1.845
80S–70S	-0.509	0.295	0.541	0.951	1.152	1.157	1.229	1.271	1.629	1.752	1.743	1.729
70S–60S	-1.334	-0.756	-0.422	0.216	0.520	0.643	0.873	0.991	1.469	1.646	1.644	1.637
60S–50S	-1.420	-1.015	-0.756	-0.228	0.208	0.539	1.001	1.257	1.574	1.730	1.731	1.731
50S–40S	-1.209	-0.827	-0.579	-0.177	0.253	0.667	1.172	1.400	1.564	1.675	1.671	1.671
40S–30S	-0.682	-0.389	-0.094	0.371	0.794	1.033	1.401	1.556	1.661	1.757	1.756	1.756
30S–20S	-0.226	0.024	0.325	0.776	1.054	1.170	1.406	1.531	1.638	1.672	1.672	1.672
20S–10S	0.332	0.554	0.771	1.138	1.290	1.347	1.433	1.496	1.526	1.487	1.487	1.487
10S– 0N	1.278	1.177	1.096	1.287	1.349	1.368	1.412	1.458	1.519	1.504	1.504	1.504
0N–10N	1.611	1.572	1.471	1.584	1.614	1.622	1.721	1.816	1.862	1.854	1.854	1.854
10N–20N	1.050	1.384	1.604	1.703	1.701	1.731	1.844	1.993	2.003	1.965	1.965	1.965
20N–30N	0.388	0.798	1.191	1.530	1.687	1.767	1.886	1.987	2.122	2.186	2.186	2.186
30N–40N	-0.033	0.302	0.607	1.055	1.386	1.542	1.814	1.966	2.128	2.175	2.175	2.175
40N–50N	-0.132	0.136	0.390	0.850	1.219	1.406	1.719	1.938	2.087	2.089	2.086	2.086
50N–60N	0.322	0.513	0.635	0.973	1.237	1.353	1.604	1.868	2.040	1.987	1.978	1.978
60N–70N	1.113	1.019	1.040	1.258	1.398	1.474	1.604	1.686	1.882	1.766	1.756	1.759
70N–80N	1.123	1.206	1.337	1.524	1.573	1.558	1.550	1.407	1.567	1.660	1.673	1.687
80N–90N	0.943	1.215	1.490	1.677	1.459	1.291	1.161	1.023	1.110	1.306	1.370	1.425

Table A10. Coefficients $b_3(\phi, z)$.

lat. bin ϕ	1.00 hPa	0.70 hPa	0.50 hPa	0.30 hPa	0.20 hPa	0.15 hPa	0.10 hPa	0.07 hPa	0.05 hPa	0.03 hPa	0.02 hPa	0.01 hPa
90S–80S	0.448	0.187	-0.035	-0.485	-0.565	-0.414	0.045	0.360	2.115	1.859	1.852	1.861
80S–70S	0.737	0.413	0.061	-0.658	-0.809	-0.647	0.268	0.527	1.914	1.613	1.633	1.676
70S–60S	1.398	1.610	2.634	-2.644	-2.495	-2.476	3.027	3.027	2.738	2.250	2.216	2.238
60S–50S	1.887	2.285	2.792	-2.928	-2.646	-2.635	-3.067	2.843	2.545	2.115	2.089	2.089
50S–40S	2.027	2.352	2.725	-3.046	-2.612	-2.314	-2.126	-2.121	-2.048	-1.658	-1.688	-1.688
40S–30S	2.861	-2.965	-2.795	-2.678	-2.540	-1.907	-1.385	-1.339	-1.487	-1.397	-1.403	-1.403
30S–20S	-2.067	-1.755	-1.456	-0.213	0.761	0.865	0.864	0.363	-1.469	-1.543	-1.543	-1.543
20S–10S	2.714	-1.745	-1.472	-0.564	1.315	1.389	1.420	1.417	1.636	1.689	1.689	1.689
10S– 0N	2.188	-3.141	-1.970	-2.523	2.400	2.127	2.204	2.330	2.112	1.834	1.834	1.834
0N–10N	1.937	2.421	-3.100	-2.837	2.314	2.349	2.700	2.701	2.348	1.823	1.823	1.823
10N–20N	1.582	2.197	2.809	-2.635	-2.687	-2.845	-2.902	-2.912	-1.696	-0.804	-0.804	-0.804
20N–30N	0.977	1.943	2.775	-2.766	-2.609	-2.459	-1.773	-1.823	-1.656	-1.346	-1.346	-1.346
30N–40N	0.678	1.592	2.017	2.682	-3.079	-2.848	-2.254	-2.171	-1.930	-1.349	-1.349	-1.349
40N–50N	0.591	1.260	1.521	1.800	2.296	2.699	-2.759	-2.599	-2.212	-1.564	-1.534	-1.534
50N–60N	0.356	0.909	1.232	1.488	1.817	2.057	3.141	-2.852	-1.689	-1.104	-1.054	-1.054
60N–70N	0.306	0.897	1.089	1.094	1.102	0.988	-0.897	-0.704	-0.600	-0.877	-0.888	-0.895
70N–80N	0.568	1.026	1.309	1.313	0.804	0.214	-0.353	-0.250	-0.277	-0.392	-0.400	-0.412
80N–90N	0.989	1.509	1.872	2.185	0.844	0.276	0.081	0.081	-0.090	-0.413	-0.502	-0.572

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