

1 **Validation and Update of OMI Total Column Water Vapor Product**

2 Huiqun Wang¹, Gonzalo Gonzalez Abad¹, Xiong Liu¹, Kelly Chance¹

3 ¹Smithsonian Astrophysical Observatory, Cambridge, Massachusetts, 02421, USA

4 *Correspondence to:* Huiqun Wang (hwang@cfa.harvard.edu)

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6 **1 Introduction**

7 Water vapor is an important factor for the weather and climate. It is the most abundant
8 greenhouse gas and can amplify the effect of other greenhouse gases through positive feedback.
9 It can condense to form clouds that greatly influence the heating rate and circulation of the
10 atmosphere. In addition, it can influence atmospheric composition through photochemical
11 reactions. Water vapor is highly variable in space and time. Better knowledge of its distribution
12 is highly beneficial for predicting the weather, monitoring the climate and understanding the
13 physics and chemistry of the atmosphere.

14 Water vapor has been observed using a variety of in-situ and remote-sensing techniques.
15 Satellite remote sensing of water vapor has led to products retrieved from the visible (e.g.,
16 GOME (Wagner et al., 2003, Lang et al., 2007), SCIAMACHY (Noël et al., 2005), GOME-2
17 (Grossi et al., 2015), OMI (Wang et al., 2014)), near infrared (e.g., SCIAMACHY (Schrijver et
18 al., 2009), MODIS (Diedrich et al., 2015), MERIS (Lindstrot et al., 2012)), infrared (e.g.,
19 MODIS (Seemann et al., 2003), AIRS (Bedka et al., 2010), IASI (Pougatchev et al., 2009)),
20 microwave (e.g., SSM/I (Schlüssel et al., 1990; Wentz, 1997)), and GPS radio signals (e.g.,
21 Wang et al., 2007, Kishore et al., 2011). These datasets offer the unique opportunity to study
22 water vapor distribution on a global scale. Among them, microwave and GPS measurements can
23 be made under all-sky conditions. Other types of measurements are strongly affected by clouds.
24 Infrared measurements can provide vertical profiles, but have low sensitivity to the planetary
25 boundary layer where most water vapor resides. Microwave measurements are only available
26 over non-precipitating ice-free ocean. Near infrared measurements have better quality over land.
27 Visible measurements are available for both land and ocean but are limited to nearly cloud-free
28 daytime conditions.

29 Wang et al. (2014) derived Total Column Water Vapor (TCWV, also known as the Total
30 Precipitable Water, TPW) using the spectra measured by the Ozone Monitoring Instrument
31 (OMI). The Level 2 data for 2005 – 2009 generated using the Wang et al. (2014) algorithm
32 (Version 1.0) have been archived at the Aura Validation Data Center (AVDC). A detailed

33 assessment of data quality is important for data usage in various weather and climate studies. In
34 this paper, we perform a comprehensive validation of this product using the ground-based GPS
35 data from National Center for Atmospheric Research (NCAR), the near infrared sun-photometer
36 data from Aerosol Robotic Network (AERONET), and the microwave radiometer data from
37 Remote Sensing System (RSS). An updated OMI retrieval algorithm is also presented. The new
38 results are compared against RSS's microwave radiometer data and GlobVapour's
39 SSMI+MERIS data. The data sets used in this study are introduced in Section 2. The validation
40 of the Version 1.0 OMI data is performed in Section 3. The algorithm update is presented in
41 Section 4. A summary is provided in Section 5.

42 **2 Total Column Water Vapor (TCWV) Data**

43 **2.1 OMI Data**

44 OMI is an ultraviolet / visible (UV/VIS) imaging spectrometer on board the NASA EOS-
45 Aura satellite. It has three spectral channels spanning the 264 nm – 504 nm spectral region at 0.4
46 – 0.6 nm spectral resolution (Levelt et al., 2006). OMI has been providing daily global
47 observations at 13:45 LT with a nominal spatial resolution of 13 km × 24 km at nadir since July
48 2004.

49 Water vapor exhibits several distinct spectral bands in the OMI visible channel (349 nm –
50 504 nm). These features are several orders of magnitude weaker than those at longer
51 wavelengths. However, they can still be exploited to retrieve TCWV (Wagner et al., 2013; Wang
52 et al., 2014). Since water vapor is a weak absorber in the blue spectral range, the retrieval is free
53 from the complication of non-linearity due to saturation. Since the surface albedo is more
54 uniform over the globe in this spectral region, the signals do not change abruptly between land
55 and ocean. Water vapor derived from the blue spectral range can greatly enhance the scientific
56 return of satellites, especially for those with instruments that lack spectral coverage at longer
57 wavelengths (e.g., OMI).

58 Wang et al. (2014) retrieved TCWV from OMI spectra using the 430 nm – 480 nm retrieval
59 window. The retrieval method consists of two steps. First, the Slant Column Density (SCD) is
60 derived from a spectral fitting algorithm that considers water vapor, O₃, NO₂, O₂-O₂, liquid
61 water, C₂H₂O₂, the Ring effect, the water Ring effect, 3rd order closure polynomials, wavelength
62 shift, under-sampling and common mode. The median SCD fitting uncertainty is about 11%
63 (Wang et al., 2014). Then, the Vertical Column Density (VCD) is obtained by dividing the SCD
64 with an Air Mass Factor (AMF) that is based on a radiative transfer calculation. Wang et al.
65 (2014) found that the AMF was insensitive to wavelength, but sensitive to surface albedo and
66 highly sensitive to clouds. The albedo used in the AMF calculation is from an updated version of

67 the OMLER climatology at $0.5^\circ \times 0.5^\circ$ spatial resolution (Kleipool et al., 2008). The cloud
68 fraction and cloud top pressure used in the AMF calculation are from the second release of
69 Version 003 Level 2 OMCLDO2 product which is derived from the O_2-O_2 absorption band near
70 477 nm (Acarreta et al., 2004; Stammes et al., 2008). The VCD in molecules / cm^2 can be
71 converted to TCWV in mm using a multiplicative factor of 2.989×10^{-22} . The Collection 3
72 Version 1.0 Level 2 OMI water vapor data from 2005 to 2009 have been released at the AVDC
73 website (avdc.gsfc.nasa.gov). These data are validated in Section 3.

74 It should be noted that there are artificial stripes in the Level 2 OMI water vapor data. These
75 stripes are due to systematic errors related to instrument calibration. They can be smoothed by
76 post-processing Level 2 data. One smoothing method is to divide each line of SCD with a one-
77 dimensional (1D) smoothing array (Wang et al., 2014). As an example, the smoothing array as a
78 function of cross-track pixel number is shown for July 2005 (black) and July 2009 (gray) in
79 Figure 1. It is calculated from the monthly average of Level 2 SCDs and normalized using a 3rd
80 order polynomial fit (as a function of cross-track pixel number). The SCDs used are filtered to
81 pass the main data quality check (MDQFL = 0), have Root Mean Squared (RMS) fitting error $<$
82 5×10^{-3} and cloud fraction $<$ 0.05. The MDQFL criterion checks that the fitting has converged,
83 the retrieved SCD is $<$ 4×10^{23} molecules/ cm^2 and the SCD is positive within 2σ fitting
84 uncertainty. Figure 1 shows a large pixel-to-pixel variation of up to 17%. Consequently, the
85 stripes in OMI Level 2 data can significantly influence comparisons with other datasets on a
86 daily timescale. OMI began to experience row anomalies since June 2007
87 (projects.knmi.nl/omi/research/product/rowanomaly-background.php). The affected rows in July
88 2009 are highlighted by dots in Figure 1. They appear to be more oscillatory than those in July
89 2005. However, the standard deviation of the smoothing array only increases from 6% for July
90 2005 to 7% for July 2009. While the data affected by OMI row anomaly should be used with
91 caution, their variation does not seem to be much larger than before, at least until July 2009.

92 Another smoothing method is to subtract a 1D offset array (as a function of pixel number)
93 from the SCD before its conversion to VCD. The offset array can be derived from a reference
94 region, such as the Sahara. The mean SCD of each cross-track pixel in the reference region is
95 calculated using the swaths obtained within a week, a low-order (e.g., third order) polynomial is
96 subsequently removed, and the resulting 1D array is used as the offset array. Since the smoothing
97 procedure is non-unique and can potentially introduce an additional bias, we use the un-
98 smoothed Level 2 OMI data (with stripes) in this paper.

99 **2.2 NCAR's Ground-based GPS Data**

100 NCAR hosts a 2-hourly TCWV dataset derived from the ground-based GPS measurements of
101 Zenith Path Delay (ZPD) at stations in the International GNSS Service (IGS), SuomiNet, and

102 GEONET networks (Wang et al., 2007). We have downloaded the data from
103 rda.ucar.edu/datasets/ds721.1/ (EOL/NCAR/UCAR, 2011, updated yearly). The IGS- SuomiNet
104 data include 1160 stations worldwide and are available from 1995 to 2012. The ground-based
105 GPS data have been extensively used to validate other TCWV measurements and data
106 assimilation products (Wang and Zhang, 2008, Sibelle et al., 2010, Mears et al., 2015). The GPS
107 TCWV retrieval error is estimated to be 1.5 mm (Wang et al., 2007). The mean difference
108 between the GPS and satellite microwave radiometer data over the ocean is < 1 mm and the
109 standard deviation is < 2 mm (Mears et al., 2015). In this paper, we use the subset of IGS-
110 SuomiNet data from 2005 to 2009 to compare with the OMI data.

111 **2.3 AERONET's Sun-photometer Data**

112 AERONET provides globally distributed observations of aerosol optical depth, TCWV, and
113 other variables using sun-photometers (Holben et al., 1998). The network has expanded from 16
114 sites in 1993 to 860 sites in 2014. TCWV is derived from the 940 nm filter that coincides with
115 the $2\nu_1 + \nu_2$ water vapor absorption band. The Level 2.0 AERONET data are cloud screened and
116 quality assured (Smirnov et al., 2000). We have downloaded the publically available Version 2
117 Level 2.0 data from aeronet.gsfc.nasa.gov and used the subset from 2005 to 2009 to compare
118 with the OMI data. Using the subset of AERONET data observed at the sites operated by U.S.
119 Department of Energy Atmospheric Radiation Measurement (ARM) program, Pérez-Ramírez et
120 al. (2014) found that the AERONET TCWV had a general dry bias of 5 – 6% and an estimated
121 uncertainty of 12 – 15%. The Version 3 AERONET data is currently under development and is
122 expected to be released in 2016.

123 **2.4 RSS's Microwave Data**

124 Remote Sensing Systems (RSS) generates TCWV data by processing the microwave data
125 from Special Sensor Microwave / Imager (SSM/I), Special Sensor Microwave Imager Sounder
126 (SSMIS), and other sensors. The retrieval uses a unified physically based algorithm which yields
127 a retrieval accuracy of 1.2 mm (Wentz, 1997). The TCWV data derived from these satellite
128 microwave radiometers are available under all-sky non-precipitating conditions over the ice-free
129 ocean. They have long been considered as among the most reliable and have been routinely
130 assimilated into numerical models. We have downloaded from www.remss.com the latest
131 Version 7 SSMIS data collected by the Defense Meteorological Satellite Program (DMSP)'s F16
132 satellite (Wentz et al., 2012). These data are obtained in both the morning (04:06 LT) and the
133 evening (16:06 LT), while OMI data are obtained in the early afternoon (13:45LT). The diurnal
134 cycle of TCWV varies with season and region and can sometimes exceeds 2 mm (Wang et al.,
135 2007). Abnormal conditions (heavy rain, sea ice, bad data, no observation, and land) are flagged

136 in the SSMIS data. In this paper, we make use of the daily gridded ($0.25^\circ \times 0.25^\circ$) SSMIS
137 product from 2005 to 2009.

138 **2.5 GlobVapour's SSMI+MERIS Data**

139 The GlobVapour project sponsored by the European Space Agency (ESA) Data User
140 Element (DUE) program generated a global Level 3 ($0.5^\circ \times 0.5^\circ$) TCWV product by combining
141 MERIS land and SSM/I ocean observations from 2003 to 2008 (www.globvapour.info). The
142 MERIS near IR data are collected around 10 AM and derived from the water vapor absorption
143 around 950 nm. The SSM/I microwave data are collected around 6 AM and derived using a 1D-
144 Var method for ice-free non-precipitating ocean. The GlobVapour Level 3 product combines
145 clear sky MERIS land data with all sky SSM/I ocean data. Over the land, GlobVapour is on
146 average about -1.3 mm lower than the GCOS Upper-Air Network (GUAN) radiosonde data and
147 +0.2 mm higher than the AIRS clear sky infrared data. Over the ocean, it is on average about
148 +1.3 mm higher than GUAN and +0.7 mm higher than AIRS. The standard deviation of the
149 difference ranges from 2 mm to 5 mm (Schröder and Bojkov, 2012). Wang et al. (2014)
150 compared the monthly mean GlobVapour data with the monthly mean Version 1.0 OMI data.
151 They found an overall agreement (within 1 mm) over land and an OMI low bias of -3 mm or
152 more over the ocean. In this paper, we sample the daily gridded GlobVapour data to compare
153 with the updated OMI data in Section 4.

154 **3 V1.0 OMI TCWV Validation**

155 **3.1 OMI and GPS**

156 The AVDC Collection 3 Level 2 OMI TCWV data processed with SAO's Version 1.0
157 algorithm are filtered and co-located with NCAR's ground-based GPS data. The filtering criteria
158 for OMI require that the general quality check is passed (MDQFL = 0), the cross-track quality
159 flag indicates that the retrieval is not affected by OMI's row anomaly, the SCD fitting RMS is <
160 5×10^{-3} , the cloud fraction is < 10%, the cloud top pressure is > 500 hPa, and the AMF is > 0.75.
161 Since clouds can dramatically change the vertical sensitivities and lead to large errors in OMI
162 VCDs (Wang et al., 2014), the last three filtering criteria are intended to mitigate their influence.
163 These filtering criteria are also used in subsequent sections unless otherwise specified. Most of
164 the OMI data are filtered out due to cloud contamination. For July 1, 2005, there are about
165 1,255,000 data points satisfying the partial criteria of MDQFL = 0, no row anomaly and RMS <
166 5×10^{-3} . Their average TCWV is 29.2 mm. Only about 30% of these data pass the full filtering
167 criteria, and their average is 21.7 mm. This suggests that clouds tend to increase the amount of
168 retrieved TCWV in OMI data.

169 For co-location at each GPS station, we select the GPS observations made between the local
170 noon and 14:00 LT each day. For each eligible GPS data point, we search the filtered OMI data
171 on the same day for the pixels that are within 0.25° latitude \times 0.25° longitude of the GPS station.
172 For July 2005, co-located OMI data can be found for about half of the GPS observations. Among
173 them, there are typically around 4 (within a range of 1 – 16) OMI data points for each GPS data
174 point. When multiple OMI data points are available for a single GPS data point, the average
175 weighted by the OMI SCD fitting error is calculated and used for comparison.

176 Figure 2 shows the TCWV time series comparison between the GPS and OMI data at
177 selected sites. These sites are scattered around the world (denoted by “X” in Figure 3) and
178 represent a variety of climate regimes. For both dry and wet conditions and for both small and
179 large seasonal cycles, the OMI data track the seasonal and inter-annual variations of the GPS
180 data well, even with the influence of stripes. This demonstrates the value of TCWV retrieved
181 from OMI.

182 The top panel of Figure 3 shows the (OMI – GPS) TCWV difference averaged within the
183 time period from January 1, 2005 to December 31, 2009 for the IGS-SuomiNet stations. For this
184 plot, we have excluded the stations with significant topography difference (i.e. those with
185 elevations that are different than the local gridded ($0.25^\circ \times 0.25^\circ$) topography by 500 m or more).
186 We have also excluded the stations with < 100 data points. There are 250 stations in Figure 3.
187 Many are in North America and Europe, but very few are in Africa and on ocean islands.
188 Generally speaking, OMI data agree well with GPS data over land but are significantly lower
189 over the ocean. The histogram for the mean (OMI – GPS) TCWV difference (shown in the top
190 panel) is plotted in the bottom panel of Figure 3. It is binned by 0.5 mm and has a mode of -0.5
191 mm. OMI data agree with GPS data within 1.5 mm at 71% of the stations and within 3 mm at
192 89% of the stations. OMI data are higher than GPS data by 3 mm or more at 8 stations, where all
193 except for one station are located in coastal areas. OMI data are lower than GPS data by 3 mm or
194 more at 23 stations, where all except for 2 stations are located on ocean islands or in coastal
195 areas. OMI data are lower than GPS data by 5 mm or more at 10 stations, among which, 2
196 stations are located in coastal areas and the others are on ocean islands.

197 In the top row of Figure 4, we compare OMI with GPS TCWV using all available data pairs
198 at all land (left) and ocean (right) stations from 2005 to 2009. Since most GPS stations are over
199 land, the number of data points over land (317,118) far exceeds that over the ocean (2,621). The
200 data in the 2D histogram of OMI versus GPS are binned every 0.5 mm of TCWV. The largest
201 color-coded value in each panel is normalized to one. The GPS TCWV data over land are mostly
202 within the range of 4 mm (10% percentile) to 34 mm (90% percentile) and those over the ocean
203 are mostly within the range of 17 mm to 50 mm. The OMI data generally follow the GPS data

204 along the 1:1 line over land, but tend to be lower than the GPS data (i.e., below the 1:1 line) over
205 the ocean.

206 The middle row of Figure 4 shows the histograms for the (OMI – GPS) differences using the
207 data shown in the top row. The histogram for land stations has a peak at 0 mm. The distribution
208 is slightly asymmetric, with a Full-Width-at-Half-Maximum (FWHM) of 8.5 mm (from -5.0 mm
209 to 3.5 mm). The mean and median of the distribution are -0.3 mm and -0.4 mm, respectively.
210 The scatter is related to random errors in GPS data and random errors in OMI SCD, AMF, and
211 stripes. The histogram for the ocean stations is much less smooth due to the smaller sample size.
212 The distribution is apparently skewed towards more negative values and has a larger scatter. The
213 mode, mean, and median of (OMI – GPS) over the ocean are -1.5 mm, -3 mm, and -3.5 mm,
214 respectively.

215 The bottom row of Figure 4 shows the mean (cross, left axis), median (triangle, left axis) and
216 standard deviation (star, right axis) of (OMI – GPS) as functions of month for all the land (left)
217 and ocean (right) GPS stations. They are calculated using all the paired land (left) or ocean
218 (right) data for the corresponding month from 2005 to 2009. The number of data points used for
219 each month is about 20,000 – 30,000 for the land stations and only about 190 - 240 for the ocean
220 stations. For land stations, the median of (OMI – GPS) is close to 0 mm from December to May,
221 and becomes the most negative (around -1 mm) in July. The mean of (OMI – GPS) follows a
222 similar trend. The standard deviations vary between 4.8 mm and 7.1 mm, with a maximum in
223 August. For ocean stations, the sample size is much smaller. Nevertheless, results show larger
224 low biases for OMI. The means of (OMI – GPS) vary between -1 mm and -4 mm, and the
225 standard deviations vary between 8 mm and 11mm. The largest differences occur in June / July,
226 as do the standard deviations.

227 **3.2 OMI and AERONET**

228 We filter and co-locate OMI and AERONET TCWV data using the same procedure as that in
229 Section 3.1. Figure 5 shows time series comparisons at selected AERONET sites. These sites
230 represent a wide range of water vapor amounts and seasonal cycles around the world (denoted by
231 “X” in Figure 6). In general, OMI observations track the variations of AERONET data well
232 throughout 2005 - 2009. During the wet season, OMI data appear to be higher at several sites
233 (e.g., Skukuza, Mukdahan, GSFC, Hamburg, and Dakar).

234 In Figure 6, we examine the spatial distribution and histogram of the mean of (OMI –
235 AERONET) for the time period from 2005 to 2009. As in Figure 3, we have omitted the sites
236 with substantial topography difference and the sites with < 100 data points. Of the 160 stations
237 shown in Figure 6, there are only about 10 over the ocean. Figure 6 shows that OMI is generally

238 higher over land and lower over the ocean and in some coastal areas. The histogram shows a
239 main peak at 0.5 mm and a secondary peak at -2.5 mm. The secondary peak is due to the ocean
240 sites. 59% of the sites show a non-negative (OMI – AERONET) difference. Pérez-Ramírez et al.
241 (2014) found a dry bias of AERONET TCWV at the US Southern Great Plains, Barrow (in
242 Alaska) and Nauru islands (in the tropical western Pacific). Figure 6 suggests that OMI is
243 slightly wetter than AERONET in the contiguous US and Alaska, but is even drier than
244 AERONET at Nauru island.

245 In Figure 7, we compare OMI with AERONET TCWV using all data pairs from 2005 to
246 2009 at all land (left) and ocean (right) sites. The top row shows the 2D normalized histograms
247 for OMI versus AERONET data and the middle row shows the histograms for (OMI –
248 AERONET). Both are calculated using 0.5 mm bins. There are far more data points over land
249 (91,350) than over the ocean (3,092). TCWV over the ocean is generally larger than that over
250 land. The 10% and 90% percentiles of AERONET data for the ocean sites are 13 mm and 45
251 mm, while those for the land sites are 6 mm and 32 mm. Figure 7 shows that OMI generally
252 agrees with AERONET well over land, but tends to be lower than AERONET over the ocean.
253 The mean (median) of (OMI – AERONET) is 0 mm (-0.3 mm) for land and -2.0 mm (-2.6 mm)
254 for the ocean. The (OMI-AERONET) histogram for land has a peak at -1 mm and an FWHM of
255 8.5 mm (from -5.0 mm to 3.5 mm), while that for the ocean has a peak at -3.5 mm and an
256 FWHM of 12 mm (from -9.5 mm to 2.5 mm). The means, medians and standard deviations of
257 (OMI – AERONET) as functions of month are shown in the bottom row for land (left) and ocean
258 (right) sites. The mean of OMI agrees with that of AERONET within 0.3 mm over land, but is
259 lower than AERONET by 0.6 mm to 2.4 mm over the ocean. These differences are a little
260 smaller than those shown in Figure 4, which is consistent with a dry bias of AERONET TCWV
261 reported by Pérez-Ramírez et al. (2014). The standard deviations of (OMI – AERONET) vary
262 between 7 mm and 10 mm which are similar to those of (OMI – GPS).

263 **3.3 OMI and SSMIS**

264 The ground-based networks discussed before have poor coverage over the ocean, but the
265 SSMIS TCWV data from RSS are specifically for the ocean and have long-term daily coverage.
266 We will therefore use the SSMIS data as the reference for the ocean. In Figure 8, we compare the
267 monthly mean OMI data (top row) with the monthly mean SSMIS data (middle row) for July
268 2005. The monthly gridded ($0.25^\circ \times 0.25^\circ$) OMI and SSMIS data are calculated from the monthly
269 average of coincident daily gridded ($0.25^\circ \times 0.25^\circ$) Level 3 data.

270 The daily Level 3 SSMIS data are downloaded from RSS's website (www.remss.com). Both
271 the morning and evening passes are used in the monthly average. Pixels with bad data and rain
272 are filtered out. The resulting “all sky” data are associated with both clear sky and cloudy sky

273 conditions. In addition to water vapor column and rain rate, RSS's data also provide "cloud
274 liquid water path" for each pixel. In this paper, we use it to define a "clear" sky condition by
275 ignoring the pixels whose cloud liquid water path is > 0 . Clouds in liquid phase are filtered out,
276 but ice clouds still remain. However, information for cloud ice is unavailable in the RSS data
277 used in this study. Therefore, the "clear" sky conditions referred to in this paper should be
278 considered as an approximation to cloud-free conditions.

279 The daily Level 3 OMI data are derived from the corresponding Level 2 data using the
280 average weighted by pixel area and slant column fitting uncertainty (Wang et al., 2014). The
281 selection criteria for gridding the OMI Level 2 data include MDQF = 0, no row anomaly, RMS $<$
282 5×10^{-3} , AMF > 0.75 , cloud top pressure > 500 mb, and cloud fraction $<$ a cutoff value.

283 To compare with the "clear" sky monthly SSMIS data (second panel on the right of Figure
284 8), the OMI Level 2 data are gridded with a cloud fraction cutoff of 5% (first panel on the right).
285 Although a 0% cutoff is equivalent to the clear sky condition, we use a 5% cutoff here to retain
286 more data for gridding. The number of days when both OMI and SSMIS data are available at
287 each pixel is generally < 5 (third panel on the right). Nevertheless, it can be seen that OMI
288 captures the general spatial distribution of TCWV observed by SSMIS. However, OMI data tend
289 to be lower over the tropical oceans. The (OMI – SSMIS) difference has a global median of -4.7
290 mm and can be < -10 mm in the western Pacific and Atlantic. The difference between OMI and
291 "clear" sky SSMIS is smaller when a 10% cloud fraction cutoff is used (not shown), in which
292 case, the global median of (OMI – "clear" sky SSMIS) becomes -3.0 mm. However, the OMI
293 data quality is generally lower for cloudier scene as the AMF is highly sensitive to cloud (Wang
294 et al., 2014).

295 In the left column of Figure 8, we compare the monthly mean OMI and SSMIS data under all
296 sky conditions for July 2005. The monthly mean OMI data in the top left panel are calculated
297 from the daily gridded OMI data using a relaxed cloud fraction cutoff of 25%. This choice is
298 based on a balance between the cloudiness and the data quality for OMI. The monthly mean
299 SSMIS data in the second panel are calculated from the daily gridded all sky SSMIS data. Both
300 data sets are sampled and averaged in the same way. The number of data points used for monthly
301 averaging at each pixel (third panel) increases to > 15 in most areas. Both the SSMIS (second
302 row) and the OMI (first row) data show increases in TCWV as cloud amount increases (from the
303 right to the left), but the increase is more pronounced in the OMI data. The (OMI – SSMIS)
304 difference (bottom row) is smaller for the all sky comparison than for the "clear" sky
305 comparison. Specifically, for the all sky case, the median difference becomes -1.7 mm, and the
306 difference becomes less negative in the western Pacific and Atlantic. There are some positive
307 values in the lower left panel. They are mostly located in areas of missing data in the lower right

308 panel, suggesting that the positive values are associated with significant cloud cover (5% – 25%).
309 This further indicates that the Version 1.0 OMI data tend to have a high bias under cloudy sky
310 conditions and a low bias under clear sky conditions. The cloudy sky high bias is mainly due to
311 the small AMF estimate, especially for clouds at high altitudes (not shown).

312 Figure 9 shows the same comparison as Figure 8, but for January 2005. Both OMI and
313 SSMIS data show the southward migration of the ITCZ from July to January and an increase of
314 TCWV with cloud fraction (from the right to the left in the top two rows). Again, the increase is
315 more pronounced for OMI than for SSMIS. For the “clear” sky comparison (right column), OMI
316 has a large low bias over the southern ocean, which can be -10 mm or more. The bias becomes
317 less negative and even positive for the all sky conditions, indicating that TCWV for the pixels
318 affected by clouds are higher for OMI than for SSMIS. The global median of (OMI – SSMIS) in
319 January 2005 is -6.5 mm for the “clear” sky comparison and -2.9 mm for the all sky comparison.

320 The top row of Figure 10 shows the 2D normalized histograms of Version 1.0 OMI versus
321 SSMIS for July 2005 (a, b) and January 2005 (c, d). The histograms are calculated using the
322 daily gridded ($0.25^\circ \times 0.25^\circ$) coincident data. The same OMI data filtering criteria as before are
323 applied except for a cloud fraction cutoff of 10%. This cutoff value is between the 5% and 25%
324 used in Figure 8 and Figure 9. We compare the OMI data with the “clear” sky SSMIS data in
325 Panel (a, c) and with the all sky SSMIS data in Panel (b, d). For each month, about 1 million
326 data points are used in the “clear” sky comparison and about 4 million in the all sky comparison.
327 Both the “clear” sky and the all sky results show that OMI is generally lower than SSMIS. The
328 (OMI – “clear” sky SSMIS) difference has a mean of -3.7 mm, a median of -3.7 mm, and a
329 standard deviation of 7.2 mm in July 2005. The difference is larger in January 2005, with a mean
330 of -4.9 mm, a median of -4.9 mm and a standard deviation of 7.1 mm. With the 10% cloud
331 fraction cutoff, the Version 1.0 OMI data are closer to the “clear” sky than to the all sky SSMIS
332 data, as the (OMI – all sky SSMIS) difference has a mean of -4.4 mm (-6.0 mm), a median of -4.3
333 mm (-6.0 mm), and a standard deviation of 7.7 mm (8.0 mm) in July (January) 2005.

334 4 Algorithm Update

335 4.1 SCD Fitting Update

336 The previous section shows that the AVDC Collection 3 Version 1.0 OMI data generally
337 agree well with the reference data over land but are lower over the ocean. This implies a bias in
338 the OMI SCD retrieval over the ocean. Wang et al. (2014) showed that liquid water is an
339 important molecule to consider in their retrieval algorithm. They found that the fitting residual is
340 generally larger over the ocean than over land. Moreover, the common mode derived over land
341 appears largely random, but that derived over the ocean has apparent spectral structures,

342 especially between 440 nm and 470 nm where the liquid water (Pope and Fry, 1997) and water
343 Ring reference spectrum exhibits distinct spectral features. Consequently, errors in liquid water
344 spectroscopy can lead to systematic errors in the water vapor retrieved over the ocean.
345 Furthermore, the 430 – 480 nm retrieval window used by Wang et al. (2014) contains both the 7v
346 (435 – 450 nm) and the 6v+ δ (460 – 480 nm) spectral bands of water vapor. Lampel et al. (2015)
347 derived scaling factors for the water vapor absorption bands in the blue spectral range using the
348 7v band as a reference. They suggested that the absorption strength of the 6v+ δ band should be
349 scaled by a factor of 1.02 ± 0.07 in HITRAN 2008 (Rothman et al., 2009). This would also affect
350 the water vapor result derived from the 430 – 480 nm retrieval window.

351 To reduce the influence of errors in liquid water and water vapor cross sections, we have
352 experimented with narrower retrieval windows. With a narrower retrieval window, scaling of the
353 HITRAN water vapor spectrum can be avoided. Additionally, some broadband spectroscopy
354 error of liquid water can be accounted for by the 3rd order closure polynomial. Using OMI orbit
355 5109, which cuts across the western Pacific on July 1, 2005, we varied the retrieval window
356 around the 7v water vapor band near 442 nm to maximize the retrieved median column amount
357 and minimize the median SCD fitting uncertainty. In addition, since water vapor over the ocean
358 is concentrated at the sea level, we have changed the water vapor reference spectra from one that
359 corresponds to 0.9 atm and 280K to one that corresponds to 1.0 atm and 288K. We recently
360 obtained the O₂-O₂ reference spectra measured by Thalman and Volkamer (2013). We therefore
361 updated it as well. All the other retrieval setups remain the same as those used in Version 1.0
362 (Wang et al., 2014).

363 The optimized new retrieval window is between 427.7 and 465.0 nm, using which, we obtain
364 a median VCD of 1.07×10^{23} molecules/cm² and a median fitting uncertainty of 1.4×10^{22}
365 molecules/cm² for orbit 5109. We will refer to this retrieval algorithm as Version 2.0. For
366 comparison, the retrieval window of 430.0 – 460.0 nm leads to a median VCD of 1.01×10^{23}
367 molecules/cm² and a median uncertainty of 1.6×10^{22} molecules/cm². For the same orbit, the
368 Version 1.0 algorithm leads to a median VCD of 8.6×10^{22} molecules/cm² and a median
369 uncertainty of 1.1×10^{22} molecules/cm². Although the absolute fitting uncertainty of the Version
370 2.0 algorithm is about 30% larger than that of Version 1.0, the median relative uncertainties of
371 both algorithms are about 12%.

372 The difference in TCWV between the Version 2.0 algorithm and the Version 1.0 algorithm
373 mainly comes from the change in retrieval window. With only the retrieval window change, the
374 median VCD of orbit 5109 increases from 8.6×10^{22} molecules/cm² to 1.06×10^{23} molecules/cm².
375 With a further change of the water vapor reference spectrum from 0.9 atm to 1.0 atm, the median

376 VCD increases to 1.07×10^{23} molecules/cm². Updating the O₂-O₂ reference spectrum has a
377 negligible effect on the retrieval.

378 Using the Version 2.0 setup described above, we retrieved the Level 2 TCWV for July and
379 January 2005. Using the same method as that used in the top row of Figure 10, we generated
380 daily gridded Version 2.0 OMI data with a 10% cloud fraction cutoff and compared them with
381 the SSMIS daily gridded data in terms of the 2D histogram distributions in the bottom row of
382 Figure 10. The agreement between the Version 2.0 OMI and SSMIS data is much better than that
383 between the Version 1.0 OMI and SSMIS data. The low bias of the Version 1.0 OMI is
384 eliminated. For July 2005, the Version 2.0 OMI data follow the all sky SSMIS data along the 1:1
385 line well and are slightly higher than “clear” sky SSMIS data (by about 1 mm). For January, the
386 Version 2.0 OMI data follow the SSMIS data well when TCWV are below 20 mm, and are
387 slightly lower than the all sky SSMIS data for larger TCWV amount (by about 1 mm).

388 To investigate the spatial distribution of the changes between the Version 1.0 and Version 2.0
389 OMI data, we compare the monthly mean Level 3 gridded (0.25°×0.25°) data for July 2005. The
390 same filtering criteria as before have been applied. The top row of Figure 11 shows the (Version
391 2.0 OMI – Version 1.0 OMI) difference maps for a 5% (right) and a 25% (left) cloud fraction
392 cutoff. In both cases, the Version 2.0 OMI data increase slightly over land but substantially over
393 the ocean. Specifically, for the 5% cloud fraction cutoff, the Version 2.0 OMI data increase over
394 the Version 1.0 OMI data at AVDC by an average of 1.2 mm over land and 4.8 mm over the
395 ocean. For the 25% cutoff, the Version 2.0 OMI data increase by an average of 1.3 mm over land
396 and 3.7 mm over the ocean.

397 In the bottom row of Figure 11, we compare the Version 2.0 OMI data with the SSMIS data
398 for July 2005 using the same method as that for Figure 8. The bottom right panel shows the
399 result of (Version 2.0 OMI with a 5% cloud fraction cutoff – “clear” sky SSMIS). Comparing
400 with the bottom right panel of Figure 8, we find a better agreement here. Firstly, the previously
401 found large low bias (< -10 mm) of Version 1.0 OMI over the Pacific, Atlantic and Indian Ocean
402 is reduced by more than half. Secondly, the global mean difference decreases to 0.1 mm, which
403 is much smaller than before (-4.7 mm). Although the southern and northern mid / high latitudes
404 show some moderate positive values, these areas are affected by the small number of coincident
405 data points per pixel (3rd panel on the right of Figure 8). The bottom left panel of Figure 11
406 shows the difference of (Version 2.0 OMI with a 25% cutoff – all sky SSMIS). In comparison
407 with the bottom left panel of Figure 8, the Version 2.0 OMI data generally do not show any large
408 low bias. However, large high bias is seen in several places. As a result, the global mean over the
409 ocean change from -1.7 mm (Figure 8) to 2.9 mm (Figure 11). A comparison between the lower
410 left and lower right panel of Figure 11 reveals that these large positive values are consistently

411 located in the vicinity of the missing data of the lower right panel, which indicates that they are
412 affected by significant cloud cover. As discussed before, OMI cloudy data are expected to be less
413 reliable and tend to overestimate TCWV. This will partly compensate for any low bias if the
414 pixel is occasionally cloudy and show up as a high bias if the pixel is persistently cloudy.

415 **4.2 AMF Update**

416 AMFs are used to convert SCDs to VCDs. Consequently, errors in AMFs also affect OMI
417 TCWV. The AMFs in previous sections were derived by convolving the monthly mean water
418 vapor profiles used in the GEOS-Chem model ($2^\circ \times 2.5^\circ$) with the scattering weights interpolated
419 from a look-up table (Wang et al., 2014). The look-up table was constructed using the radiative
420 transfer model VLIDORT (Spurr, 2006). The scattering weights in the look-up table depend on
421 surface pressure, surface albedo, Solar Zenith Angle (SZA), View Zenith Angle (VZA), Relative
422 Azimuth Angle (RAA), ozone column amount, cloud fraction, cloud pressure and wavelength.

423 The following updates have been made to the AMF calculation. (1) Using higher resolution
424 ($0.5^\circ \times 0.5^\circ$) a priori water vapor profiles generated by the MERRA-2 project of the Global
425 Modeling and Assimilation Office (GMAO). (2) Using the MERRA-2 surface pressure instead
426 of an estimate based on the surface topography and the 1976 US standard atmosphere. (3)
427 Reconstructing the look-up table with more reference points for surface albedo, cloud fraction
428 and cloud pressure, so that the interpolated values are more accurate. (4) Improving scattering
429 weight parameterization with respect to RAA. (5) Using simultaneously fitted ozone amounts in
430 scattering weight calculations. We will refer to the algorithm with both these AMF updates and
431 the SCD update described in Section 4.1 as Version 2.1.

432 We have retrieved TCWV using the Version 2.1 algorithm for July and January 2005. Figure
433 12 shows the result for July 2005. The OMI data used here correspond to a 5% cloud fraction
434 cutoff. The top left panel shows the monthly mean difference between Version 2.1 and Version
435 2.0 OMI data. The difference results from the AMF updates described above. Version 2.1 is
436 about 3 – 5 mm higher than Version 2.0 in the tropics, 3 – 5 mm lower over high topography,
437 and almost unchanged in other areas. The bottom left panel shows the monthly mean of (Version
438 2.1 OMI – “clear” sky SSMIS). It is calculated using the same method as that for the bottom
439 right panel of Figure 11. Comparing the two, we find a further reduction of the low bias over the
440 tropical oceans. In fact, the majority of the Version 2.1 OMI data between 0° and 30°N are now
441 within ± 3 mm of the “clear” sky SSMIS data. The bottom right panel shows the histograms of
442 (OMI – “clear” sky SSMIS) for three versions of OMI retrievals. The mode of the distribution
443 shifts from -4.0 mm (Version 1.0) through 0 mm (Version 2.0) to 1.5 mm (Version 2.1). The top
444 right panel of Figure 12 shows the 2D normalized histogram of Version 2.1 OMI versus SSMIS

445 “clear” sky data. The slope is close to 1, but OMI is higher by about 1.5 mm, which is consistent
446 with the result shown in the bottom right panel.

447 In Figure 13 and Figure 14, we compare the Version 2.1 OMI data with the GlobVapour
448 MERIS+SSMI data for July and January 2005, respectively. The top left panel shows the
449 monthly mean of (OMI – GlobVapour). It is calculated as the average of coincident daily gridded
450 Level 3 data within the month. The OMI daily data are gridded with a 5% cloud fraction cutoff
451 to represent “clear” sky conditions. Note that GlobVapour’s land data (MERIS) are for clear sky
452 conditions, but its ocean data (SSMI) are for all sky conditions. There are usually about 10 – 20
453 coincident data points / pixel in the low latitudes (upper right panel). The differences between
454 OMI and GlobVapour are generally within ± 6 mm. Among them, large differences are typically
455 located in the areas where few data points exist, such as northern South America, central Africa,
456 eastern US, China and the Pacific rim in July. In areas with good statistics, the differences are
457 largely confined to within ± 3 mm. The 2D normalized histograms of OMI versus GlobVapour
458 are shown in the middle row for land (left) and ocean (right). The two data sets follow each other
459 well. Over the ocean, OMI data are slightly higher than GlobVapour’s SSMI data (by about 1
460 mm) in July and agree with GlobVapour’s SSMI data in January. Over land, OMI data are
461 slightly higher than GlobVapour’s MERIS data when TCWV is < 15 mm and slightly lower when
462 TCWV is > 15 mm. The normalized histograms of (OMI – GlobVapour) are shown in the
463 bottom row for land (left) and ocean (right). The distributions show that OMI agrees with
464 GlobVapour within ± 1 mm for both land and ocean and for both July and January. The FWHM
465 of the histogram in July is 6 mm for both land and ocean, and that in January is 6 mm for ocean
466 and 1 mm for land.

467 **5 Summary**

468 The AVDC Collection 3 OMI TCWV data generated with the Version 1.0 algorithm are
469 compared with the NCAR’s ground-based GPS network observations, AERONET’s sun-
470 photometer observations and RSS’s SSMIS microwave observations. Results show that the
471 AVDC OMI data track the seasonal and inter-annual variability of TCWV for a wide range of
472 climate regimes. The Version 1.0 OMI data agree well with other data sets over land, but show
473 significant low biases over the ocean. Over land, for all the available co-located data from
474 January 2005 to December 2009, (OMI – GPS) has a mean of -0.3 mm and a median of -0.4mm,
475 and (OMI – AERONET) has a mean of 0 mm and a median of -0.3 mm. Although (OMI - GPS
476 or AERONET) over land is larger in June – November than in December – April, even the
477 largest mean difference is between -1 mm and 0 mm. In comparison, over the ocean, the Version
478 1.0 OMI data (with cloud fraction $< 5\%$) are on average lower than the “clear” sky SSMIS data
479 by about 4.7 mm in July 2005 and by about 6.5 mm in January 2005. The OMI low bias can be

480 greater than 10 mm over the western Pacific and Atlantic in July and over the southern ocean in
481 January. Clouds usually lead to large overestimates of OMI TCWV. As a result, the OMI data
482 with cloud fraction < 25% are significantly higher than the all sky SSMIS data in areas with
483 persistent cloud cover. We therefore do not recommend using OMI data that are affected by
484 clouds.

485 By reducing the retrieval window length from 430-480 nm to 427.7 – 465.0 nm and using the
486 water vapor reference spectra at the sea level, the Version 2.0 OMI algorithm can significantly
487 increase the retrieved TCWV over the ocean without affecting those over land much, leading to
488 better agreements with the reference datasets. For July 2005, the offset between the Version 2.0
489 OMI data (with cloud fraction < 5%) and the “clear” sky SSMIS data over the western Pacific
490 and Atlantic is reduced by more than half, and the global mean difference over the ocean
491 improves to 0.1 mm.

492 By updating the AMF calculations (Section 4.2) in addition to the SCD fitting, for July 2005
493 the Version 2.1 retrieval algorithm leads to a further reduction of the Version 2.0 OMI low bias
494 in the western Pacific and Atlantic and the mean of (Version 2.1 OMI – “clear” sky SSMIS)
495 becomes 1.5 mm. The Version 2.1 OMI data agree with GlobVapour’s MERIS+SSMIS data
496 within ± 1 mm for both land and ocean and for both July and January 2005, although the
497 distribution’s FWHM is 6 mm.

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502 precipitable water data are downloaded from rda.ucar.edu/datasets/ds721.1. The AERONET
503 Version 2 total column water vapor data are downloaded from
504 aeronet.gsfc.nasa.gov/new_web/data.html. The SSMIS data are produced by Remote Sensing
505 Systems (RSS), sponsored by the NASA Earth Science MEaSUREs Program and are available at
506 www.remss.com. The GlobVapour MERIS+SSMIS data are downloaded from
507 www.globvapour.info.

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618

619 **Figure captions**

620 **Figure 1.** Smoothing array for stripes in Version 1.0 OMI TCWV as a function of cross-track
621 pixel number for July 2005 (black) and July 2009 (gray). The pixels affected by the row anomaly
622 are indicated by dots.

623

624 **Figure 2.** Time series comparison between the Version 1.0 OMI (red) and GPS (black) data at
625 selected GPS stations from January 1, 2005 to December 31, 2009.

626

627 **Figure 3.** (Top) Spatial distribution of the mean of (Version 1.0 OMI – GPS) from 2005 to 2009
628 at IGS-SuomiNet stations. (Bottom) Histogram (with 0.5 mm bins) for the values in the top
629 panel.

630

631 **Figure 4.** (Top) 2D normalized histograms for (left) land and (ocean) derived from all the paired
632 Version 1.0 OMI and GPS data at all suitable IGS-SuomiNet stations from January 1, 2005 to
633 December 31, 2009. Results are shown for 0.5 mm × 0.5 mm bins, with the largest binned value
634 normalized to 1. The black line in each panel corresponds to 1:1. (Middle) Histograms of (OMI –
635 GPS) derived from the same data as those used in the top panel. The counts correspond to 0.5
636 mm bins. (Bottom) Median (triangle, left axis), mean (cross, right axis), and standard deviation
637 (star, right axis) of (Version 1.0 OMI – GPS) as functions of month.

638

639 **Figure 5.** Time series comparison between Version 1.0 OMI (red) and AERONET (black) at
640 selected AERONET stations from January 1, 2005 to December 31, 2009.

641

642 **Figure 6.** (Top) Spatial distribution of the time mean of (Version 1.0 OMI – AERONET) from
643 2005 to 2009 at AERONET stations. (Bottom) Histogram (with 0.5 mm bins) for the values in
644 the top panel.

645

646 **Figure 7.** (Top) 2D normalized histograms for (left) land and (right) ocean derived from all the
647 paired Version 1.0 OMI and AERONET data at all suitable AERONET stations from January 1,

648 2005 to December 31, 2009. Results are shown for $0.5 \text{ mm} \times 0.5 \text{ mm}$ bins, with the largest
649 binned value normalized to 1. The black line in each panel corresponds to 1:1. (Middle)
650 Histograms of (Version 1.0 OMI – AERONET) derived from the same data as those used in the
651 top row. The counts correspond to 0.5 mm bins. (Bottom) Mean (triangle, left axis), media
652 (cross, right axis), and standard deviation (star, right axis) of (Version 1.0 OMI – AERONET) as
653 functions of month for (left) land and (right) ocean sites.

654

655 **Figure 8.** (First row) Monthly mean Version 1.0 OMI TCWV (mm) for cloud fraction (left) <
656 25% and (right) <5% for July 2005. (Second row) Monthly mean SSMIS TCWV (mm) for July
657 2005 for (left) all sky and (right) “clear” sky conditions. (Third row) Number of coincident data
658 points per pixel within July 2005 for the corresponding column. (Fourth row) First row - second
659 row). White areas in the maps represent missing data.

660

661 **Figure 9.** The same as Figure 8, but for January 2005.

662

663 **Figure 10.** Two-dimensional normalized histograms derived from daily gridded ($0.5^\circ \times 0.5^\circ$)
664 OMI (with cloud fraction < 10%) and SSMIS data using $0.5 \text{ mm} \times 0.5 \text{ mm}$ bins. The black line
665 in each panel is the 1:1 line. (a) Version 1.0 OMI versus “clear” sky SSMIS for July 2005 (b)
666 Version 1.0 OMI versus all sky SSMIS for July 2005 (c) Version 1.0 OMI versus “clear” sky
667 SSMIS for January 2005 (d) Version 1.0 OMI versus all sky SSMIS for January 2005 (e)
668 Version 2.0 OMI versus “clear” sky SSMIS for July 2005 (f) Version 2.0 OMI versus all sky
669 SSMIS for July 2005 (g) Version 2.0 OMI versus “clear” sky SSMIS for January 2005 (h)
670 Version 2.0 OMI versus all sky SSMIS for January 2005.

671

672 **Figure 11.** (Top row) Monthly mean of (Version 2.0 OMI – Version 1.0 OMI) for cloud fraction
673 (left) < 25% and (right) < 5% for July 2005. (Bottom left) Monthly mean of (Version 2.0 OMI
674 with cloud fraction < 25% - all sky SSMIS) for July 2005. (Bottom right) Monthly mean of
675 (Version 2.0 OMI with cloud fraction < 5% - “clear” sky SSMIS) for July 2005.

676

677 **Figure 12.** (Top left) Version 2.1 – Version 2.0 monthly mean OMI with cloud fraction < 5% for
678 July 2005. The other three panels are composed using coincident daily gridded ($0.5^\circ \times 0.5^\circ$) OMI

679 (with cloud fraction < 5%) and “clear” sky SSMIS data for July 2005. (Bottom left) Monthly
680 mean of (Version 2.1 OMI– SSMIS). (Top right) 2D normalized histogram of Version 2.1 OMI
681 versus SSMIS composed using 0.5 mm × 0.5 mm TCWV bins. (Bottom right) Histogram of
682 (Version 1.0 OMI – SSMIS) in black, (Version 2.0 OMI – SSMIS) in blue and (Version 2.1 OMI
683 – SSMIS) in red.

684

685 **Figure 13.** Comparison between Version 2.1 OMI (with cloud fraction < 5%) and GlobVapour
686 data (1°×1°) for July 2005. All panels are composed using coincident daily gridded data. (Top
687 left) Monthly mean of (OMI – GlobVapour). White areas represent missing data. (Top right)
688 Number of coincident data points per pixel. (Middle row) 2D normalized histograms of Version
689 2.1 OMI versus GlobVapour for (left) land and (right) ocean. (Bottom row) Histograms of
690 (Version 2.1 OMI – GlobVapour) for (left) land and (right) ocean.

691

692 **Figure 14.** The same as Figure 13, but for January 2005.

693