



1 **CO₂ emissions inventory of Chinese cities**

2 *Yuli Shan¹, Dabo Guan^{1,5,*}, Jianghua Liu², Zhu Liu³, Jingru Liu^{4,*}, Heike Schroeder¹, Yang Chen², Shuai*
3 *Shao², Zhifu Mi¹, and Qiang Zhang⁵*

4 ¹ Tyndall centre for Climate Change Research, School of International Development, University of East
5 Anglia, Norwich NR4 7TJ, UK

6 ² Institute of Finance and Economics Research, School of Urban and Regional Science, Shanghai
7 University of Finance and Economics, Shanghai 200433, China

8 ³ Applied Physics and Materials Science, California Institute of Technology Resnick Sustainability
9 Institute, Pasadena CA 91125, USA

10 ⁴ State Key Laboratory of Urban and Regional Ecology, Research Centre for Eco-Environmental
11 Sciences, Chinese Academy of Sciences, 100085 Beijing, China

12 ⁵ Ministry of Education Key Laboratory for Earth System Modelling, Centre for Earth System Science,
13 Tsinghua University, Beijing 100084, China

14 * Correspondence to: Dabo Guan (dabo.guan@uea.ac.uk) and Jingru Liu (liujingru@rcees.ac.cn)

15 **Abstract**

16 China is the world's largest energy consumer and CO₂ emitter. Cities contribute 85% of the total CO₂
17 emissions in China and thus are considered the key areas for implementing policies designed for
18 climate change adaption and CO₂ emission mitigation. However, understanding the CO₂ emission
19 status of Chinese cities remains a challenge, mainly owing to the lack of systematic statistics and poor
20 data quality. This study presents a method for constructing a CO₂ emissions inventory for Chinese
21 cities in terms of the definition provided by the IPCC territorial emission accounting approach. We
22 apply this method to compile CO₂ emissions inventories for 20 Chinese cities. Each inventory covers
23 47 socioeconomic sectors, 20 energy types and 9 primary industry products. We find that cities are
24 large emissions sources because of their intensive industrial activities, such as electricity generation,
25 production for cement and other construction materials. Additionally, coal and its related products
26 are the primary energy source to power Chinese cities, providing an average of 70% of the total CO₂
27 emissions. Understanding the emissions sources in Chinese cities using a concrete and consistent
28 methodology is the basis for implementing any climate policy and goal.



29 **Keywords:** Energy balance table, CO₂ emissions inventory, Chinese cities

30 **1. Introduction**

31 Cities are the main consumers of energy and emitters of CO₂ throughout the world. The International
32 Energy Agency (IEA) estimates that CO₂ emissions from energy use in cities will grow by 1.8% per year
33 between 2006 and 2030, with the share of global CO₂ emissions rising from 71% to 76% (International
34 Energy Agency (IEA), 2009). As a result of urbanization, the world's urban population grew from 220
35 million in 1990 (13% of the world's population) to 3530 million in 2011 (52% of the world's population)
36 (Kennedy et al., 2015). Therefore, cities are major components in the implementation of climate
37 change adaptation and CO₂ emission mitigation policies. Understanding the emission status of cities is
38 considered a fundamental step for proposing mitigation actions.

39 With rapid economic development, lifestyle change and consumption growth (Hubacek et al., 2011),
40 China is now the world's largest consumer of primary energy and emitter of greenhouse gas emissions
41 (Guan et al., 2009a). China produces 25% of global CO₂ emissions (U.S. Energy Information
42 Administration (EIA), 2010) and consumes 20.3% of global primary energy (British Petroleum (BP),
43 2011). Among CO₂ emission sources, 85% of China's emissions are contributed by energy usage in
44 cities, which is much higher than that of the USA (80%) or Europe (69%) (Dhakal, 2010; Dhakal, 2009).
45 Complete energy balance tables and CO₂ emission inventories are available for Chinese megacities,
46 including Beijing, Tianjin, Shanghai, and Chongqing. Another 300+ cities of various sizes and
47 development stages lack consistent and systematic energy statistics. An effective understanding of
48 the energy consumption and emission status of cities is required to practically mitigate climate change
49 (Su et al., 2012; Yuan et al., 2008; Zhang and Cheng, 2009; Jiang et al., 2010; Richerzhagen and Scholz,
50 2008; WWF China, 2012; National Development and Reform Commission (NDRC), 2012).

51 In this study, we develop a concrete and consistent methodology for constructing CO₂ emissions
52 inventories for Chinese cities for fossil energy combustion and industrial processes. We collect and
53 compile energy and emission balance table at city administration boundary level, aiming at providing
54 unified and comparable energy and emission statistics for Chinese cities. We identify the main
55 contributors to CO₂ emissions in a selection of 20 Chinese cities.

56 **2. Selective Review To Emission Inventory**

57 **2.1. City-level emission inventory**



58 The CO₂ emission inventory has captured both public and academic attention in recent years. Most of
59 the previous emissions inventories were developed at the national level (Peters et al., 2007;Guan et
60 al., 2008;Guan et al., 2009b;Guan et al., 2014;Guan et al., 2012;Liu et al., 2013;Peters et al., 2012;Davis
61 and Caldeira, 2010;Menyah and Wolde-Rufael, 2010) and sectoral level (Shan et al., 2015;Liu et al.,
62 2012a;Sheinbaum et al., 2010;Shao et al., 2011) and for specific fossil fuel combustion emission
63 sources (Pan et al., 2013;Shan et al., 2014). Emission inventories for cities are limited (Ramaswami et
64 al., 2008;Hillman and Ramaswami, 2010;Dodman, 2009;Hoornweg et al., 2011;Satterthwaite,
65 2008;Kennedy et al., 2011;Bronfield et al., 2012).

66 Most city-level GHG emissions inventories are calculated using a bottom-up approach currently, i.e.,
67 by using energy data from certain sectors. The sectors set are different from study to study. For
68 example, Wang et al. (2012) calculated carbon emissions for six sectors of a city's GHG inventories,
69 including industrial energy consumption, transportation, household energy consumption, commercial
70 energy consumption, industrial processes and waste. Kennedy et al. (2010) compiled a carbon
71 emissions inventory that covers electricity, heating and industrial fuels, ground transportation fuels,
72 aviation and marine transportation, industrial processes and product use, and waste. Their
73 subsequent research focuses on the balance of geophysical factors (climate, access to resources, and
74 gateway status) and technical factors (power generation, urban design, and waste processing), and
75 analyse their influence on the GHGs attributable to the ten cities (Kennedy et al., 2009). In accordance
76 with this method, Kennedy et al. (2014) compiled the greenhouse gas inventories of 22 global cities,
77 including three Chinese cities: Beijing, Tianjin, and Shanghai. The research shows how the differences
78 in city characteristics, such as climates, incomes, levels of industrial activity, urban forms and existing
79 carbon intensity of electricity supplies, lead to wide variations in emissions reducing strategies.
80 Furthermore, Kennedy et al. (2015) quantified the energy and material flows through the world's 27
81 megacities, including four Chinese cities: Beijing, Shanghai, Guangzhou, and Shenzhen. The megacities
82 are chosen by populations greater than 10 million people as of 2010. Creutzig et al. (2015) built a
83 energy/emission dataset including 274 cities, and present the aggregate potential for urban climate
84 change mitigation.

85 Compared with global research, CO₂ emission inventory research on Chinese cities has not been well
86 documented. Dhakal (2009) focused on 35 provincial capital cities in China, and compiled energy usage
87 and emissions inventories. The results show that urban regions is the primary energy consumer and
88 CO₂ emitter in China. Liu et al. (2012b) compiled the scope 1 and 2 emission inventories of four Chinese
89 municipalities from 1995 to 2009. Sugar et al. (2012) compiled the 2006 emission inventories of



90 Chinese municipalities and compared the results with 10 other global mega cities. Wang et al. (2012)
91 compiled emission inventories for 12 Chinese megacities based on bottom-up approaches. Most of
92 the cities are chosen from provincial capital cities, such as Hangzhou and Nanjing.

93 Above all, there is no unified and consistent compilation method to for Chinese cities' CO₂ emission
94 inventory, and most existing research has focused on a few specific megacities, such as municipality
95 cities (Zhou et al., 2010a;Gielen and Changhong, 2001) (Beijing, Shanghai, Tianjin, Chongqing) and few
96 provincial capital cities (Xi et al., 2011), which have consistent and systematic energy statistics.

97 **2.2. Challenges in emissions inventory construction for Chinese cities.**

98 There are some challenges for the compilation of greenhouse gas inventories at the city level for China.
99 First, it is difficult to define a city's boundary for greenhouse gas emissions accounting because energy
100 and material flows among cities may bring a large quantity of cross-boundary greenhouse gas
101 emissions (Liang and Zhang, 2011;Wolman, 1965). Commercial activities are much more frequent
102 among cities, compared with inter-provinces / nations. This leads to a great challenge in defining a
103 city's boundary and calculating its emissions. Second, data for energy consumption and industry
104 products at the city level are incomparable and very limited (Liu et al., 2012b). For most cities in China,
105 there are no concrete and consistent energy consumption data. Data used in previous studies are from
106 various sources – including data from city statistical documents and remote sensing images, data from
107 direct interviews with local governmental officials, and published reports and literature (Xi et al., 2011).
108 Those data require systematic reviews for consistency and accuracy.

109 **3. Methodology**

110 Figure 1 shows the overall methodology framework designed for the construction of emissions
111 inventories for Chinese cities in this study.

112 **3.1. Scope and boundary for energy statistics and emissions accounting**

113 In accordance with the guidelines from the Intergovernmental Panel on Climate Change (IPCC)
114 regarding the allocation of GHG emissions, we consider the administrative territorial scope for each
115 city's energy statistics and CO₂ emissions in this study. Administrative territorial emissions refers to
116 the emissions that occur within administered territories and offshore areas over which one region has
117 jurisdiction, (Intergovernmental Panel on Climate Change (IPCC), 2006) including emissions produced
118 by socioeconomic sectors and residence activities directly within the region boundary (Kennedy et al.,



119 2010; Kennedy et al., 2011). In this paper, we define the administrative territorial emissions for the
120 city level in Table 1.

121 The CO₂ emissions inventory compiled by this method consists of two parts (see Figure 1). The first
122 part is emissions from fossil fuel consumption, and the second part is emissions from industrial
123 processes.

124 First, we calculate the emissions from fossil fuel combustion within the city boundary. The emissions
125 are calculated for 20 energy types and 47 socioeconomic sectors. The 47 socioeconomic sectors are
126 defined according to the Chinese National Administration for Quality Supervision and Inspection and
127 Quarantine (NAQSIQ) (P.R. China National Administration for Quality Supervision and Inspection and
128 Quarantine, 2011), which include all possible socioeconomic activities conducted in a Chinese city's
129 administrative boundary (shown in SI Table S1). We include 20 energy types in this paper that are
130 widely used in the Chinese energy system (see SI Table S5) (Department of Energy Statistics of National
131 Bureau of Statistics of the People's Republic of China, 1986-2013). We exclude emissions from
132 imported electricity and heat consumption from outside the city boundary owing to the lack of data
133 on the energy mix in the generation of imported electricity.

134 In the second part of the emissions inventory, we calculate emissions from 9 industrial production
135 processes (see SI Table S6). The industrial process emissions are CO₂ emitted as a result of chemical
136 reactions in the production process, not as a result of the energy used by industry. Emissions from
137 industrial processes are factored into the corresponding industrial sectors in the final emissions
138 inventory.

139 By including the emissions from industrial processes, the emissions inventory designed in this paper
140 includes all administrative boundary territorial CO₂ emissions from 47 sectors, 20 energy types and 9
141 main industrial products.

142 **3.2. Data requirement**

143 *3.2.1. Basic energy balance table (EBT_{sj})*

144 The basic energy balance table is an aggregate summary of energy production, transformation and
145 consumption in one area. The table shows the primary and secondary energy flows among sectors
146 within any administrative region (Qiu, 1995). The table is usually compiled by the Bureau of Statistics
147 of an administrative region. Table 2 shows the energy balance table items in the Chinese energy



148 system (Department of Energy Statistics of National Bureau of Statistics of the People's Republic of
149 China, 1986-2013).

150 The table is constructed in four parts: "Primary energy supply" provides the information of energy
151 supply, such as production and import; "Input and output of transformation" refers to the primary
152 energy input and secondary energy output in energy transformation process; "Loss" covers all the
153 energy loss during the utilization; "Final consumption" covers all energy supplied to the final consumer
154 for all energy uses. Especially, "Non-energy use" in the final consumption refers to energy consumed
155 without burning, such as used as chemical material. Generally speaking, the energy burning
156 consumption equals to "Final consumption" + "Transformation - thermal power / heating supply" -
157 "Loss" - "Non-energy use". The fossil fuel related CO₂ emissions are calculated based on the energy
158 burning consumption.

159 3.2.2. Extended energy balance table at city-level

160 The basic energy balance table counts industry as one entire component of all consumption
161 components ($s = 21$). However, industry is the major energy consumption component and
162 contributes the majority of greenhouse gas emissions. In addition, industry is also the primary area
163 for applying low carbon technologies (Liu et al., 2013). Therefore, we disaggregate the final energy
164 consumption of industry into 40 sub-sectors to develop an extended energy balance table. The
165 extended energy balance table provides a more detailed illustration of energy utilization for both
166 industry and the entire city.

167 We expand the industry sector according to the industry classification provided by NAQSIQ (Xu, 2005).
168 We divide industry into 40 final sub-sectors ($i \in [2,41]$) and make the final consumption portion of
169 the extended energy balance table consist of 47 socioeconomic sectors ($i \in [1,47]$) (shown in SI Table
170 S1).

171 3.2.3. Industrial product production

172 In this paper, we calculate the industrial process CO₂ emissions based on industrial product production.

173 From the discussion above, we need a basic Energy Balance Table ($EBT_{s,j}$), the sectoral energy
174 consumption of industry by energy types (AD_{ij}), and the production of industrial products (AD_t) to
175 compile the extended energy balance table and CO₂ emissions inventory for cities (see Figure 2). The
176 subscript $s \in [1,31]$ represents items in energy balance table (see Table 2), $i \in [2,41]$ represents 40
177 industry sectors (see SI Table S1), $j \in [1,20]$ represents 20 energy types (see SI Table S2), and $t \in$



178 [1,9] represents 9 main industrial products (see SI Table S6). Generally, the data for cities can be
179 collected from city level statistical yearbooks. However, for many Chinese cities, data are not fully
180 available. In terms of data availability, we develop a method to cover the data gaps under different
181 scenario (see Sect. 3.3, 3.4, and 3.5).

182 3.3. Basic energy balance table collection and compilation

183 3.3.1. Case α : city with basic energy balance table

184 Some cities compile an energy balance table in their statistical yearbooks; these include Jixi, Hohhot,
185 Changsha, Weifang, Tangshan and Guangzhou. We use the table directly to compile the extended
186 energy balance table.

187 3.3.2. Case β : city without basic energy balance table

188 For cities such as Hefei, Xiamen, Nanning, Zhoushan, Chengdu, Yichang, Xi'an, and Shenzhen, there is
189 no basic energy balance table in their statistical yearbooks. In these cases, we deduce the city's basic
190 energy balance table (EBT_{sj}) from its corresponding provincial energy balance table (EBT_{sj-p}). First,
191 we define a city-province percentage p in Eq. (1), which can be calculated using different indexes, such
192 as industrial outputs and population. The equation reflects the percentage relation between a city and
193 its province.

$$p = \frac{Index_{city}}{Index_{province}} \times 100\% \quad Eq. (1)$$

194 With the city-province percentage, p , we scale down the provincial energy balance table to the city
195 level (see Eq. (2)). In the following calculation of a city's emissions, the data on energy transformation,
196 loss, and final consumption ($s \in [9, 29]$) will be used. Therefore, we focus solely on these three
197 components in this study.

$$EBT_{sj} = EBT_{sj-p} \times p, s \in [9, 29], j \in [1, 20] \quad Eq. (2)$$

198 By using different indexes, p can indicate the different percentage types of emissions in one city based
199 on the entire province. We use different city-province percentages, p , to deduce the relevant items
200 for the energy balance table in this paper. For 'Input & Output of Transformation' ($s \in [9, 17]$) and
201 'Loss' ($s = 18$), we use the industrial output as the index because energy transformation departments
202 belong to industrial sectors. For 'Final consumption', we use the corresponding outputs of each sector



203 as the indexes ($s \in [19, 26]$). For ‘Residential consumption’, we use population as the index ($s \in$
 204 $[27, 29]$). The industrial output and population can be collected from city’s statistical yearbook.

205 Thus, we deduce a city’s basic energy balance table from its corresponding provincial table.

206 3.3.3. Case ν : city without energy balance table, but with “Transformation usage of energy types”

207 Some cities do not have a basic energy balance table in their statistical yearbooks, but have compiled
 208 a table of “Transformation usage of energy types (T_j)”; these include Handan, Nanping, Dandong,
 209 Baicheng, Zunyi, and Huangshi.

210 The transformation table presents the energy used in the “Input & Output of Transformation” section
 211 and can be used to make our deduced basic energy balance table more accurate. We modify
 212 EBT_{sj} , $s \in [9, 17]$, $j \in [1, 20]$ according to the table.

213 **3.4. Industrial sector energy consumption collection and deduction**

214 3.4.1. Case A: city with sectoral energy consumption of industry (AD_{ij})

215 For some cities such as Jixi and Shenzhen, the sectoral energy consumption of industry is provided in
 216 the statistical yearbook. We use the data to directly compile the extended energy balance table.

217 3.4.2. Case B: city with sectoral energy consumption of industry enterprises above designated size 218 (AD_{ij-ADS}) and total energy consumption of industry (AD_j)

219 For cities such as Hohhot, Changsha, Tangshan, and Guangzhou we can only collect sectoral energy
 220 consumption of industrial enterprises above designated size (AD_{ij-ADS}) and total energy consumption
 221 of industry (AD_j) in the statistical yearbook. The enterprise above designated size refers to the
 222 enterprise with annual main business turnover above 5 million Yuan. In this case, we expand AD_{ij-ADS}
 223 by AD_j to obtain AD_{ij} in Eq. (3).

$$AD_{ij} = \frac{AD_{ij-ADS}}{\sum_i AD_{ij-ADS}} \times AD_j, i \in [2, 41], j \in [1, 20] \quad \text{Eq. (3)}$$

224 In particular, the total energy consumption of industry (AD_j) can be obtained from an independent
 225 table or from the city’s original energy balance table.

226 3.4.3. Case C: city with sectoral energy consumption of industry above designated size (AD_{ij-ADS}) only



227 These cities are the most common types in terms of data collection for Chinese cities. Most cities are
 228 classified into this case; these include Handan, Nanping, Hefei, Xiamen, Nanning, Zhoushan, Chengdu,
 229 Dandong, and Xi'an. To calculate the sectoral energy consumption of industry (AD_{ij}) in these cities,
 230 we expand AD_{ij-ADS} to AD_{ij} by industry to the industry of ADS (above the designated size) multiplier
 231 m (refer to Eq. (4)).

$$AD_{ij} = AD_{ij-ADS} \times \frac{O_{industry}}{O_{ADS}}, i \in [2, 41], j \in [1, 20] \quad \text{Eq. (4)}$$

232 $O_{industry}/O_{ADS}$, which is the ADS multiplier (m) in this paper, refers to the multiple of industrial
 233 output to that of the industry above the designated size.

234 Note that the total energy consumption of industry calculated in this manner can be different from
 235 that deduced in the basic energy balance table. We use the consumption calculated by the ADS
 236 multiplier as the correct consumption data, and modify the relevant data in the basic energy balance
 237 table. Because the consumption calculated by the ADS multiplier is compiled by sectors, it is assumed
 238 to be more accurate.

239 3.4.4. Case D: city with total energy consumption of industry above designated size (AD_{j-ADS}) only

240 For cities such as Weifang, Baicheng, Yichang, Zunyi, and Huangshi, we can collect only the total energy
 241 consumption of industry above the designated size (AD_{j-ADS}) from the statistical yearbooks. In this
 242 case, we first scale up AD_{j-ADS} to AD_j by the ADS multiplier m and then divide AD_j into each sector
 243 by the sectoral comprehensive energy consumption of the industry above the designated size
 244 (AD_{i-ADS}^*) (refer to Eq. (5)). If one city does not have AD_{i-ADS}^* , we use the sectoral industry output
 245 instead.

$$AD_{ij} = AD_{j-ADS} \times \frac{O_{industry}}{O_{ADS}} \times \frac{AD_{i-ADS}^*}{\sum AD_{i-ADS}^*}, i \in [2, 41], j \in [1, 20] \quad \text{Eq. (5)}$$

246 With these three cases, we collect and deduce the sectoral energy consumption of industry for one
 247 city. By replacing the total energy consumption of industry in the basic energy balance table (EBT_{21j})
 248 with the sub-sectoral detail, we obtain the extended energy balance table.

249 **3.5. Data collection and deduction for the production of industrial products**



250 Data collection for the production of industrial products is much easier and universal. Every city has
 251 the “Production of industrial products” table in its statistical yearbook. A portion of the production is
 252 derived from industrial enterprises above the designated size. If we expand the production above the
 253 designated size (AD_{t-ADS}) by the city’s ASD multiplier m defined above, we can obtain the total
 254 production of each industrial product (AD_t), shown in Eq. (6), in which the subscript $t \in [1, 9]$
 255 represents the different industrial products (refer to SI Table S6).

$$AD_t = AD_{t-ADS} \times m, t \in [1, 9] \quad \text{Eq. (6)}$$

256 3.6. Construction of a city level CO₂ emission inventory

257 We adopt the IPCC sectoral approach (Intergovernmental Panel on Climate Change (IPCC), 2006) to
 258 calculate the CO₂ emissions from fossil fuel combustion and industrial process (Peters et al., 2006) and
 259 applied by other scholars (United Nations Framework Convention on Climate Change
 260 (UNFCCC); International Energy Agency (IEA); European Commission, 2014; Feng et al., 2013; Wiedmann
 261 et al., 2008; Liu et al., 2014; Zhou et al., 2010b; Lei et al., 2011; Zhao et al., 2013).

$$CE_{ij} = AD'_{ij} \times NCV_j \times EF_j \times O_{ij}, i \in [1, 47], j \in [1, 20] \quad \text{Eq. (7)}$$

262 We calculate the fossil fuel-related CO₂ emissions in Eq. (7). CE_{ij} represents the CO₂ emissions of
 263 different sectors and energy types; AD'_{ij} represents the adjusted energy consumption; NCV_j
 264 represents the net calorific value of different energy types; EF_j refers to the emission factors; and O_{ij}
 265 refers to the oxygenation efficiency of different sectors and energy types. Both the IPCC and NDRC
 266 provide default emission factors for fossil fuels (Intergovernmental Panel on Climate Change (IPCC),
 267 2006; P. R. China National Development and Reform Commission (NDRC), 2011). However, based on
 268 measurements of 602 coal samples from the 100 largest coal-mining areas in China (Liu et al., 2015),
 269 the emission factors recommended by the IPCC and NDRC are frequently higher than the real
 270 emissions factors. In this study, we adopted the newly measured parameters (NCV_j , EF_j , and O_{ij}),
 271 which we assume to be more accurate than the IPCC and NDRC default values (see SI Table S5).

$$CE_t = AD_t \times EF_t, t \in [1, 9] \quad \text{Eq. (8)}$$

272 We estimate the process CO₂ emissions in Eq. (8). CE_t represents the CO₂ emissions of industrial
 273 products, and EF_t represents the emission factors for each industrial product. The emission factors
 274 are collected from IPCC (Intergovernmental Panel on Climate Change (IPCC), 2006) and National



275 Development and Reform Commission in China (P. R. China National Development and Reform
276 Commission (NDRC), 2011) as well, shown in SI Table S6. After the calculation, CO₂ emissions from the
277 industrial process will be separated into the relevant manufacturing sectors in the final emission
278 inventory.

279 **4. CO₂ Emissions Inventory For 20 Case Cities**

280 **4.1. City choice**

281 In this paper, we apply our method to 20 case cities and compile the CO₂ emissions inventory for 2010.
282 These 20 cities, which cover all the possible situations for Chinese cities' emission inventory
283 construction, are in different developmental stages. Figure 3 shows the locations of these 20 case
284 cities.

285 All necessary activity data were collected from cities' 2011 statistical yearbooks. We present the
286 calculation and results in SI Table S3-S8. These cities belong to different data collection cases, as
287 discussed above.

288 **4.2. Results**

289 In 2010, total CO₂ emissions of the 20 cities varied widely from 6.13 to 104.33 million tonnes. Figure
290 3 shows the locations and total CO₂ emissions of the 20 case cities. Tangshan and Guangzhou belong
291 to the highest emission class, with more than 100 million tonnes, followed by Handan, Hohhot, and
292 Weifang, Xi'an, and Changsha which have between 50 and 100 million tonnes. All these seven cities
293 have heavy-intensity industries, such as coal mining and manufacturing. The third emission class
294 includes all cities with CO₂ emissions between 25 and 50 million tonnes, i.e., Jixi, Shenzhen, Hefei,
295 Chengdu, Huangshi, and Zunyi. The remaining cities belong to the lowest emissions class; these include
296 cities with less heavy-intensity manufacturing industry / more developed service industry (i.e., Yichang,
297 Nanning, and Xiamen) and cities located in more remote areas with a smaller population and smaller
298 gross domestic product (i.e., Dandong, Nanping, Baicheng, and Zhoushan) compared with the other
299 three classes.

300 If we divide the total CO₂ emissions by the population, we obtain the CO₂ emissions per capita of the
301 20 case cities (shown in SI Table 2). We find that, among the 20 case cities, the CO₂ emissions per
302 capita in Hohhot is the highest, with 29.67 tonnes, followed by Jixi (22.84 tonnes), Shenzhen (14.69
303 tonnes), and Tangshan (14.20 tonnes). The two cities with the lowest CO₂ emissions per capita are
304 Nanping (2.38) and Chengdu (2.53 tonnes). The CO₂ emissions per capita are similar to the total CO₂



305 emissions of the 20 case cities. Cities with coal mines and heavy-intensity industry have high CO₂
306 emissions as well as high CO₂ emissions per capita, such as Jixi, Hohhot and Tangshan. Cities located
307 in remote areas and in less developed stages have lower CO₂ emissions per capita as well as less CO₂
308 emission.

309 *4.2.1. Emissions of different energy types and industrial process*

310 Figure 4 shows the energy type distribution for the CO₂ emissions inventory in 2010. Raw coal is the
311 largest primary source of emissions among the 20 energy types, with an average percentage of 69.55%.
312 The high CO₂ emissions are induced by the large consumption and high carbon content of raw coal
313 (Pan et al., 2013). Coal is the largest primary energy source in China. More than 65% of the total energy
314 used in China comes from coal (U.S. Energy Information Administration (EIA)).

315 For example, Jixi is one of the coal bases in China and produced 20.46 million tonnes raw coal in 2010.
316 Coal and its related products (cleaned coal, other washed coal, briquettes, and coke) become the
317 primary energy types in Jixi. In 2010, 42.28 million tonnes of CO₂ emissions were produced by coal and
318 combustion of coal products; this is of 97.84% of Jixi's total emissions. Similar to Jixi, Inner Mongolia
319 province is also a main coal base in China. As the provincial capital city of Inner Mongolia, Hohhot uses
320 coal and coal products as the main energy types as well. In 2010, Hohhot produced 6.01 million tonnes
321 raw coal, 0.60 million tonnes coke, and generated 35.26 billion watt-hour electricity in fire power plant
322 in 2010. Coal and coal products contributed 57.57 million tonnes of CO₂ emissions (84.34%) to
323 Hohhot's total CO₂ emissions.

324 In addition to coal, diesel oil is another important source of CO₂ emissions, with an average percentage
325 of 8.08%. Diesel oil is widely used most types of transportation, such as oversize vehicle and ship.
326 Among the 20 cities, Shenzhen, Zhoushan, Guangzhou, and Xiamen have a much higher percentage of
327 diesel use (32.34%, 22.64%, 14.79%, and 13.57% respectively) than the average percentage Diesel oil
328 is widely used by truck and cargo shippers. These three cities are located in the south and on the
329 southeast coast of China; they are important ports. The freight and transportation industry is more
330 developed in these cities than others. Take Shenzhen as an example, there are 172 berths in Shenzhen
331 harbour with 79 berths over 10 thousand tonnes class, the cargo handled at seaports are 220.98
332 million tonnes in 2010. The waterways and highway freight traffic in 2010 are 198.47 and 58.59 million
333 tonnes, taking a percentage of 1.38% and 0.70% over the whole Chinese 300+ cities. Therefore, the
334 diesel oil and Transportation sectors has a higher percentage of these cities' total CO₂ emissions
335 compared with other cities (shown in Sect. 4.2.2).



336 Industrial processes also contribute much to a city's total CO₂ emissions. The total CO₂ emissions
337 produced during the industrial process of the 20 case cities are 86.73 million tonnes, which is 10.57%
338 of the total CO₂ emissions. For example, there are many manufacturing industries in Tangshan,
339 particularly 'non-metal mineral products' and 'smelting and pressing of ferrous metals'. The
340 production of cement, iron, and steel in 2010 are 37.32 Mt, 65.67 Mt and 68.32 million m³. Therefore,
341 the industrial process contributes greatly to Tangshan's total CO₂ emissions. The CO₂ emissions from
342 Tangshan's industrial process in 2010 were 18.80 million tonnes (18.01%), which is much higher than
343 the average level. Changsha (10.32 tonnes), Yichang (9.87 tonnes), and Huangshi (7.22 tonnes) are
344 similar manufacturing cities.

345 *4.2.2. Emissions of different sectors*

346 We summarise the CO₂ emissions of 47 socioeconomic sectors into 9 key sectors in Figure 4 in order
347 to present sectoral contribution clearly. Industry sectors are the primary resources that contribute to
348 a city's CO₂ emissions. Approximately 78.37% of the total CO₂ emissions are contributed by industry
349 sectors, on average. Among the 40 sub-industry sectors defined in this paper, the "Electricity
350 generation" ($i = 39$) sector produces the most CO₂ emissions, generating 38.07% of the total CO₂
351 emissions, on average. This generation is caused by the huge quantities of electricity generated in
352 coal-fired power plants.

353 The "non-metal mineral products" ($i = 27$) sector contributes a lot of CO₂ emissions to the total
354 emissions as well, taking a percentage of 13.22% averagely. This sector includes all the CO₂ emissions
355 during non-metal mineral production, such as cement and lime. Tangshan (20.41 Mt), Changsha (14.98
356 Mt), Nanning (9.63 Mt), Huangshi (9.52 Mt), and Chengdu (9.46 Mt) have high CO₂ emissions in the
357 "non-metal mineral products" sector compared with other cities. As discussed above, the cement
358 production of Tangshan in 2010 is 37.32 Mt. Changsha (20.70 Mt), Nanning (11.87 Mt), Huangshi
359 (14.49 Mt), and Chengdu (10.39 Mt) also produced more cement in 2010.

360 "Coal Mining and Dressing" ($i = 2$) sector is the third largest industrial source of CO₂ emissions (7.73%
361 averagely), especially for Jixi (75.43%). This finding is because Jixi is a major coal-producing area in
362 China, as discussed above. Large quantities of fossil fuels are consumed in mines to produce and wash
363 coal and produce coke.

364 In addition, there are many "Smelting and pressing of ferrous Metals" ($i = 28$) industries in Tangshan
365 and Handan. Tangshan produced 65.67 Mt iron and 68.32 million m³ steel, while Handan produced



366 33.22 Mt iron and 36.84 Mt steel in 2010. The large production brings the two cities large CO₂
367 emissions of these sector (26.64 Mt and 8.10 Mt respectively).

368 In addition to industry sectors, service sectors also greatly contribute to total CO₂ emissions. The
369 “service sectors” in Figure 4 include two components: “transportation” ($i = 43$) and “wholesale
370 services” ($i = 44$). CO₂ emissions from these two sectors generate an average of 14.50% of the
371 emissions in the 20 cities. For Shenzhen, Guangzhou, Changsha, Zhoushan, and Xiamen, the CO₂
372 emissions that the service sectors contribute (34.34%, 28.39%, 27.38%, 26.10%, and 23.41%,
373 respectively) are much higher than the average level. Among these five cities, Shenzhen, Guangzhou,
374 and Zhoushan are located on the south / southeast coast of China. These cities are very important
375 ports with high waterways and highway freight traffic, as discussed above. Xi’an and Changsha are
376 inland transport junctions. The overall freight traffic of Xi’an and Changsha in 2010 are 343.23 and
377 229.47 Mt. The “transportation services” sectors of these five cities are well developed. In addition,
378 Shenzhen is one of the most developed cities in China with a larger share of tertiary industries. The
379 proportion of value added by Shenzhen’s tertiary industry is 52.7%, which is much higher than the
380 national average of 44.2%. Therefore, the CO₂ emissions of Shenzhen’s service departments are higher
381 than those of other cities.

382 Primary industry and residential energy usage generate a small percentage of cities’ CO₂ emissions in
383 China. Based on the 20 case cities, the average percentage of the total CO₂ emissions generated by
384 the two departments is 1.16% (primary industry) and 4.73% (residential energy usage).

385 5. Conclusion

386 This paper develops a consistent methodology for constructing territorial CO₂ emissions inventories
387 for Chinese cities. By applying this methodology to cities, researchers can calculate the CO₂ emissions
388 of any Chinese cities. This knowledge will be helpful for understand energy utilization and identify key
389 emission contributors and drivers given different socioeconomic settings and industrialisation phase
390 for different cities.

391 We applied this methodology to 20 representative cities and compiled the 2010 CO₂ emissions
392 inventories for these 20 cities. The results show that, in 2010, the “Production and supply of electric
393 power, steam and hot water”, “Non-metal mineral products”, and “Coal mining and dressing” sectors
394 produced the most CO₂ emissions. Additionally, coal and its products are the primary energy source
395 in Chinese cities, with an average of 69.55%.



396 Therefore, in order to reduce the CO₂ emissions in Chinese cities, we could take policy from two
397 aspects. The first path is reducing the coal share in the energy mix and replacing by low-emission
398 energy types, such as nature gas. As discussed above, coal combustion emits more CO₂ to produce the
399 same unit of heat compared with other energy types. Replacing coal by clearer energy types, such as
400 nature gas, will help emission control in both Chinese cities and the whole world. China has already
401 take some efforts on coal consumption control at national level. According to the most up to data
402 research at COP 21, the global carbon emissions decreased slightly by 2015 due to Chinese coal
403 consumption decreasing, and renewable energy increasing globally (Le Quéré et al., 2015). The coal
404 share in the energy mix decreased from 72.40% to 64.04% in the recent 10 years from 2005 to 2014,
405 while the natural gas share doubled from 2.40% to 5.63%. Cities in China should also undertake efforts
406 to reduce the coal share in their energy mixes. Beijing, as the capital city and the most developed city
407 in China, has a more balanced energy mix compared with other cities. The coal and natural gas share
408 in the energy mix is 20.41% and 21.13%, respectively, in 2014. Therefore, Beijing's CO₂ emissions has
409 remained stable since 2007 and has seen a slight decrease in recent years (Shan et al., 2016;Guan et
410 al., 2016).

411 The other way to control CO₂ emissions in Chinese cities is reforming the industrial structure with less
412 heavy emission intensity manufacturing industries and more service sectors. Reviewing the emission
413 intensity of the 20 case cities (see SI Table S3), we find that cities with more heavy manufacturing
414 industries usually have a higher emission intensity, such as Jixi, Huangshi, Hohhot, Zunyi and Tangshan.
415 On the contrary, more developed cities with more service sector activities have a smaller emission
416 intensity, such as Shenzhen, Chengdu, Xiamen and Guangzhou. Through reforming the industrial
417 structure, Chinese cities may not reduce CO₂ emissions at the expense of economic development, and
418 achieve both environmental and social objectives.

419 The study still contains some limitations. For example, we scale down the provincial energy balance
420 table by using a city-province percentage. By using the different city-province percentages, the
421 deduced table for the city may not be balanced. However, this is restrained by the data at city level.
422 The method developed in this study is based on the most comprehensive data we can ever find.
423 Further research will be conducted to improve the accuracy of city's emission data.

424 **The Supplement related to this article is available online at.**

425 **Author Contribution**



426 Y. Shan and D. Guan designed the research. Y. Shan, Jianghua Liu, and Z. Liu handled the data. Jingru
427 Liu, H. Schroeder, Y. Chen, S. Shao, Z. Mi, and Q. Zhang contributed to the data analysis. Y. Shan
428 prepared the manuscript with contributions from all co-authors.

429 **Acknowledgments**

430 This work was supported by China's National Basic Research Program (2014CB441301), the State Key
431 Laboratory of Urban and Regional Ecology, Chinese Academy of Sciences (SKLURE 2015-2-6), Natural
432 Science Foundation of China project (41328008, 71173209, 71503156, 71373153 and 71503168), the
433 UK Economic and Social Research Council project (ES/L016028), the UK Natural Environment Research
434 Council project (NE/N00714X), the National Social Science Foundation of China (15CJY058), Shanghai
435 Philosophy and Social Science Fund Project (2015EJB001, 2014BJB001 and 2015BJB005) and
436 "Shuguang Program" of Shanghai Municipal Education Commission (14SG32).

437



438 **Reference**

- 439 British Petroleum (BP): BP statistical review of world energy, 2011.
- 440 Brondfield, M. N., Hutyra, L. R., Gately, C. K., Raciti, S. M., and Peterson, S. A.: Modeling and validation
441 of on-road CO₂ emissions inventories at the urban regional scale, *Environmental pollution*, 170, 113-
442 123, 2012.
- 443 Creutzig, F., Baiocchi, G., Bierkandt, R., Pichler, P. P., and Seto, K. C.: Global typology of urban energy
444 use and potentials for an urbanization mitigation wedge, *Proc Natl Acad Sci U S A*,
445 10.1073/pnas.1315545112, 2015.
- 446 Davis, S. J., and Caldeira, K.: Consumption-based accounting of CO₂ emissions, *Proceedings of the*
447 *National Academy of Sciences of the United States of America*, 107, 5687-5692,
448 10.1073/pnas.0906974107, 2010.
- 449 Department of Energy Statistics of National Bureau of Statistics of the People's Republic of China:
450 China Energy Statistical Yearbook [Chinese Document], China statistics press, Beijing, China, 1986-
451 2013.
- 452 Dhakal, S.: Urban energy use and carbon emissions from cities in China and policy implications, *Energy*
453 *Policy*, 37, 4208-4219, 10.1016/j.enpol.2009.05.020, 2009.
- 454 Dhakal, S.: GHG emissions from urbanization and opportunities for urban carbon mitigation, *Current*
455 *Opinion in Environmental Sustainability*, 2, 277-283, 10.1016/j.cosust.2010.05.007, 2010.
- 456 Dodman, D.: Blaming cities for climate change? An analysis of urban greenhouse gas emissions
457 inventories, *Environment and Urbanization*, 21, 185-201, 2009.
- 458 Emission database for global atmospheric research: <http://edgar.jrc.ec.europa.eu/overview.php>,
459 2014.
- 460 Feng, K., Davis, S. J., Sun, L., Li, X., Guan, D., Liu, W., Liu, Z., and Hubacek, K.: Outsourcing CO₂ within
461 China, *Proceedings of the National Academy of Sciences*, 110, 11654-11659, 2013.
- 462 Gielen, D., and Changhong, C.: The CO₂ emission reduction benefits of Chinese energy policies and
463 environmental policies:: A case study for Shanghai, period 1995–2020, *Ecological Economics*, 39, 257-
464 270, 2001.
- 465 Guan, D., Hubacek, K., Weber, C. L., Peters, G. P., and Reiner, D. M.: The drivers of Chinese CO₂
466 emissions from 1980 to 2030, *Global Environmental Change*, 18, 626-634, 2008.
- 467 Guan, D., Peters, G. P., Weber, C. L., and Hubacek, K.: Journey to world top emitter: an analysis of the
468 driving forces of China's recent CO₂ emissions surge, *Geophysical Research Letters*, 36, L04709,
469 10.1029/2008gl036540, 2009a.
- 470 Guan, D., Peters, G. P., Weber, C. L., and Hubacek, K.: Journey to world top emitter: An analysis of the
471 driving forces of China's recent CO₂ emissions surge, *Geophysical Research Letters*, 36, 2009b.
- 472 Guan, D., Liu, Z., Geng, Y., Lindner, S., and Hubacek, K.: The gigatonne gap in China's carbon dioxide
473 inventories, *Nature Climate Change*, 2, 672-675, 2012.
- 474 Guan, D., Klasen, S., Hubacek, K., Feng, K., Liu, Z., He, K., Geng, Y., and Zhang, Q.: Determinants of
475 stagnating carbon intensity in China, *Nature Climate Change*, 2014.



- 476 Hillman, T., and Ramaswami, A.: Greenhouse gas emission footprints and energy use benchmarks for
477 eight US cities, *Environmental science & technology*, 44, 1902-1910, 2010.
- 478 Hoornweg, D., Sugar, L., and Gomez, C. L. T.: Cities and greenhouse gas emissions: moving forward,
479 *Environment and Urbanization*, 0956247810392270, 2011.
- 480 Hubacek, K., Feng, K., and Chen, B.: Changing lifestyles towards a low carbon economy: an IPAT
481 analysis for China, *Energies*, 5, 22-31, 2011.
- 482 Intergovernmental Panel on Climate Change (IPCC): IPCC Guidelines for national greenhouse gas
483 inventories, Institute for Global Environmental Strategies (IGES), Hayama, Japan, 2006.
- 484 International energy statistics: <http://www.iea.org/statistics/topics/co2emissions/>, access:
485 November.
- 486 International Energy Agency (IEA): Cities, towns and renewable energy, Paris, France, 2009.
- 487 Jiang, B., Sun, Z., and Liu, M.: China's energy development strategy under the low-carbon economy,
488 *Energy*, 35, 4257-4264, 2010.
- 489 Kennedy, C., Steinberger, J., Gasson, B., Hansen, Y., Hillman, T., Havranek, M., Pataki, D., Phdungsilp,
490 A., Ramaswami, A., and Mendez, G. V.: Greenhouse gas emissions from global cities, *Environmental
491 science & technology*, 43, 7297-7302, 2009.
- 492 Kennedy, C., Steinberger, J., Gasson, B., Hansen, Y., Hillman, T., Havránek, M., Pataki, D., Phdungsilp,
493 A., Ramaswami, A., and Mendez, G. V.: Methodology for inventorying greenhouse gas emissions from
494 global cities, *Energy Policy*, 38, 4828-4837, 10.1016/j.enpol.2009.08.050, 2010.
- 495 Kennedy, C., Ibrahim, N., and Hoornweg, D.: Low-carbon infrastructure strategies for cities, *Nature
496 Climate Change*, 4, 343-346, 2014.
- 497 Kennedy, C. A., Ramaswami, A., Carney, S., and Dhakal, S.: Greenhouse gas emission baselines for
498 global cities and metropolitan regions, *Cities and climate change: Responding to an urgent agenda*,
499 15-54, 2011.
- 500 Kennedy, C. A., Stewart, I., Facchini, A., Cersosimo, I., Mele, R., Chen, B., Uda, M., Kansal, A., Chiu, A.,
501 and Kim, K.-g.: Energy and material flows of megacities, *Proceedings of the National Academy of
502 Sciences*, 112, 5985-5990, 2015.
- 503 Le Quéré, C., Moriarty, R., Andrew, R., Canadell, J., Sitch, S., Korsbakken, J., Friedlingstein, P., Peters,
504 G., Andres, R., and Boden, T.: Global Carbon Budget 2015, *Earth System Science Data*, 7, 349-396, 2015.
- 505 Lei, Y., Zhang, Q., Nielsen, C., and He, K.: An inventory of primary air pollutants and CO₂ emissions from
506 cement production in China, 1990–2020, *Atmospheric Environment*, 45, 147-154,
507 10.1016/j.atmosenv.2010.09.034, 2011.
- 508 Liang, S., and Zhang, T.: Urban metabolism in China achieving dematerialization and decarbonization
509 in Suzhou, *Journal of Industrial Ecology*, 15, 420-434, 2011.
- 510 Liu, Z., Geng, Y., Lindner, S., Zhao, H., Fujita, T., and Guan, D.: Embodied energy use in China's industrial
511 sectors, *Energy Policy*, 49, 751-758, 10.1016/j.enpol.2012.07.016, 2012a.
- 512 Liu, Z., Liang, S., Geng, Y., Xue, B., Xi, F. M., Pan, Y., Zhang, T. Z., and Fujita, T.: Features, trajectories
513 and driving forces for energy-related GHG emissions from Chinese mega cities: The case of Beijing,
514 Tianjin, Shanghai and Chongqing, *Energy*, 37, 245-254, 10.1016/j.energy.2011.11.040, 2012b.



- 515 Liu, Z., Guan, D., Crawford-Brown, D., Zhang, Q., He, K., and Liu, J.: Energy policy: A low-carbon road
516 map for China, *Nature*, 500, 143-145, 2013.
- 517 Liu, Z., Dong, H., Geng, Y., Lu, C., and Ren, W.: Insights into the Regional Greenhouse Gas (GHG)
518 Emission of Industrial Processes: A Case Study of Shenyang, China, *Sustainability*, 6, 3669-3685,
519 10.3390/su6063669, 2014.
- 520 Liu, Z., Guan, D., Wei, W., Davis, S. J., Ciais, P., Bai, J., Peng, S., Zhang, Q., Hubacek, K., and Marland,
521 G.: Reduced carbon emission estimates from fossil fuel combustion and cement production in China,
522 *Nature*, 524, 335-338, 2015.
- 523 Menyah, K., and Wolde-Rufael, Y.: CO₂ emissions, nuclear energy, renewable energy and economic
524 growth in the US, *Energy Policy*, 38, 2911-2915, 2010.
- 525 Notification of starting pilot work of low-carbon province and low-carbon city:
526 http://www.sdpc.gov.cn/zcfb/zcfbtz/2010tz/t20100810_365264.htm, 2012.
- 527 P. R. China National Development and Reform Commission (NDRC): Guidelines for provincial
528 greenhouse gas inventories [Chinese document], 2011.
- 529 P.R. China National Administration for Quality Supervision and Inspection and Quarantine: National
530 Industries Classification (GB/T 4754-2011) [Chinese Document], 2011.
- 531 Pan, K., Zhu, H., Chang, Z., Wu, K., Shan, Y., and Liu, Z.: Estimation of coal-related CO₂ emissions: the
532 case of China, *Energy & Environment*, 24, 1309-1321, 2013.
- 533 Peters, G., Weber, C., and Liu, J.: Construction of Chinese energy and emissions inventory, Norwegian
534 University of Science and Technology, Trondheim, Norway, 2006.
- 535 Peters, G. P., Weber, C. L., Guan, D., and Hubacek, K.: China's growing CO₂ emissions a race between
536 increasing consumption and efficiency gains, *Environmental Science & Technology*, 41, 5939-5944,
537 2007.
- 538 Peters, G. P., Marland, G., Le Quéré, C., Boden, T., Canadell, J. G., and Raupach, M. R.: Rapid growth in
539 CO₂ emissions after the 2008-2009 global financial crisis, *Nature Climate Change*, 2, 2-4, 2012.
- 540 Qiu, D.: Energy planning and system analysis [Chinese document], Tsinghua University press, Beijing,
541 China, 1995.
- 542 Ramaswami, A., Hillman, T., Janson, B., Reiner, M., and Thomas, G.: A demand-centered, hybrid life-
543 cycle methodology for city-scale greenhouse gas inventories, *Environmental science & technology*, 42,
544 6455-6461, 2008.
- 545 Richerzhagen, C., and Scholz, I.: China's capacities for mitigating climate change, *World Development*,
546 36, 308-324, 2008.
- 547 Satterthwaite, D.: Cities' contribution to global warming: notes on the allocation of greenhouse gas
548 emissions, *Environment and urbanization*, 20, 539-549, 2008.
- 549 Shan, Y., Čuček, L., Varbanov, P. S., Klemeš, J. J., Pan, K., and Zhu, H.: Footprints Evaluation of China's
550 Coal Supply Chains, in: *Computer Aided Chemical Engineering*, edited by: Klemeš, J. J., Varbanov, P. S.,
551 and Liew, P., Elsevier, 1879-1884, 2014.
- 552 Shan, Y., Liu, Z., and Guan, D.: CO₂ emissions from China's lime industry, *Applied Energy*, 2015.



- 553 Shao, S., Yang, L., Yu, M., and Yu, M.: Estimation, characteristics, and determinants of energy-related
554 industrial CO₂ emissions in Shanghai (China), 1994–2009, *Energy Policy*, 39, 6476-6494,
555 10.1016/j.enpol.2011.07.049, 2011.
- 556 Sheinbaum, C., Ozawa, L., and Castillo, D.: Using logarithmic mean Divisia index to analyze changes in
557 energy use and carbon dioxide emissions in Mexico's iron and steel industry, *Energy Economics*, 32,
558 1337-1344, 2010.
- 559 Su, M., Liang, C., Chen, B., Chen, S., and Yang, Z.: Low-carbon development patterns: observations of
560 typical Chinese cities, *Energies*, 5, 291-304, 2012.
- 561 Sugar, L., Kennedy, C., and Leman, E.: Greenhouse Gas Emissions from Chinese Cities, *Journal of*
562 *Industrial Ecology*, 16, 552-563, 10.1111/j.1530-9290.2012.00481.x, 2012.
- 563 International Energy Statistics:
564 <http://www.eia.gov/cfapps/ipdbproject/IEDIndex3.cfm?tid=5&pid=53&aid=1>, access: March 2015.
- 565 U.S. Energy Information Administration (EIA): International energy outlook, 2010.
566 <http://unfccc.int/2860.php>.
- 567 Wang, H., Zhang, R., Liu, M., and Bi, J.: The carbon emissions of Chinese cities, *Atmospheric Chemistry*
568 *and Physics*, 12, 6197-6206, 10.5194/acp-12-6197-2012, 2012.
- 569 Wiedmann, T., Wood, R., Lenzen, M., Minx, J., Guan, D., and Barrett, J.: Development of an embedded
570 carbon emissions indicator—producing a time series of input-output tables and embedded carbon
571 dioxide emissions for the UK by using a MRIO data optimisation system, *Food and Rural Affairs*, Defra,
572 London, 2008.
- 573 Wolman, A.: The metabolism of cities, *Scientific American*, 213, 179-190, 1965.
- 574 Low carbon city initiative in China: http://www.wwfchina.org/english/sub_loca.php?loca=1&sub=96,
575 2012.
- 576 Xi, F., Geng, Y., Chen, X., Zhang, Y., Wang, X., Xue, B., Dong, H., Liu, Z., Ren, W., Fujita, T., and Zhu, Q.:
577 Contributing to local policy making on GHG emission reduction through inventorying and attribution:
578 A case study of Shenyang, China, *Energy Policy*, 39, 5999-6010, 10.1016/j.enpol.2011.06.063, 2011.
- 579 Xu, X.: Research on GDP accounting scheme in annual economic census [Chinese document],
580 *Economic science*, 5-17, 2005.
- 581 Yuan, J.-H., Kang, J.-G., Zhao, C.-H., and Hu, Z.-G.: Energy consumption and economic growth: evidence
582 from China at both aggregated and disaggregated levels, *Energy Economics*, 30, 3077-3094, 2008.
- 583 Zhang, X.-P., and Cheng, X.-M.: Energy consumption, carbon emissions, and economic growth in China,
584 *Ecological Economics*, 68, 2706-2712, 2009.
- 585 Zhao, X., Ma, Q., and Yang, R.: Factors influencing CO₂ emissions in China's power industry: Co-
586 integration analysis, *Energy Policy*, 57, 89-98, 10.1016/j.enpol.2012.11.037, 2013.
- 587 Zhou, S., Chen, H., and Li, S.: Resources use and greenhouse gas emissions in urban economy:
588 ecological input–output modeling for Beijing 2002, *Communications in Nonlinear Science and*
589 *Numerical Simulation*, 15, 3201-3231, 2010a.



590 Zhou, W., Zhu, B., Li, Q., Ma, T., Hu, S., and Griffy-Brown, C.: CO₂ emissions and mitigation potential in
591 China's ammonia industry, Energy Policy, 38, 3701-3709, 10.1016/j.enpol.2010.02.048, 2010b.
592

593 *Table 1. Scope definition for city energy statistics*

Spatial boundaries	Components
In-boundary energy consumption / fossil fuel combustion	Primary-industry use (farming, forestry, animal husbandry, fishery and water conservancy)
	Industrial use (40 sectors)
	Construction use
	Tertiary-industry use (2 sectors)
	Residential use (Urban and Rural)
	Other

594 Note: Due to the city administrative boundary spanning both urban and rural geographies in China, we divide
 595 the residential energy use into 2 categories: urban and rural.

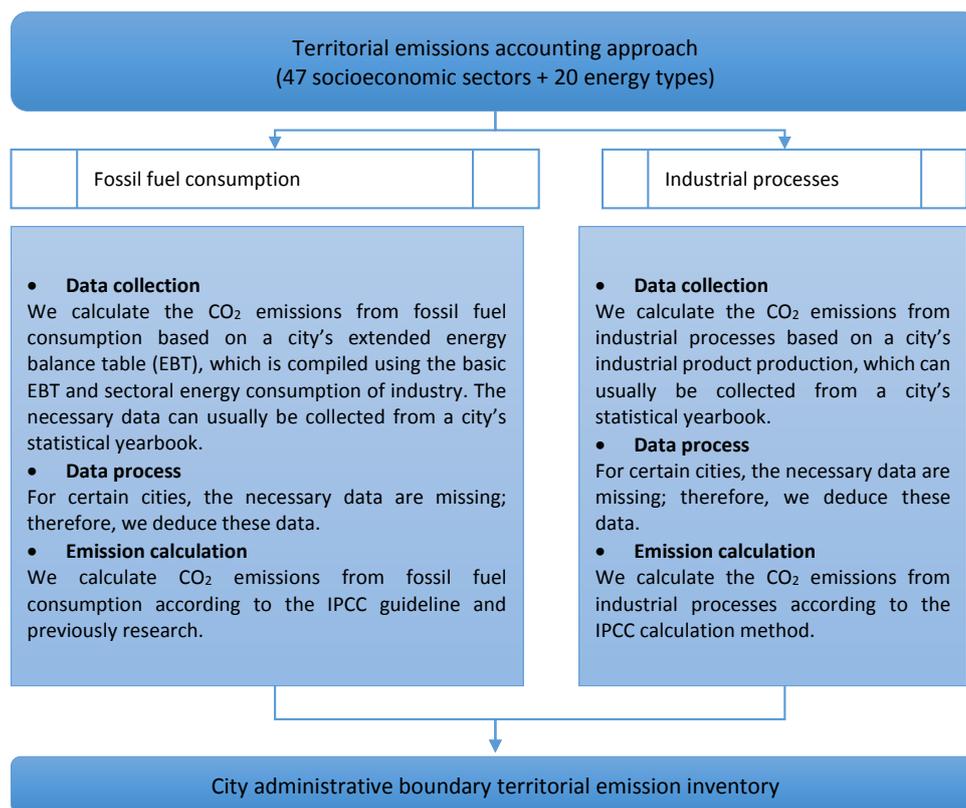
596

597 *Table 2. Basic Energy Balance Table*

No. (s)	Item
1	Total Primary Energy Supply
2	Indigenous Production
3	Recovery of Energy
4	Import
5	Domestic Airplanes & Ships Refuelling Abroad
6	Export
7	Domestic Airplanes & Ships Refuelling in China
8	Stock Change
9	Input & Output of Transformation
10	Thermal Power
11	Heating Supply
12	Coal Washing
13	Coking
14	Petroleum Refineries
15	Gas Work
16	Natural Gas Liquefaction
17	Briquettes
18	Loss
19	Total Final Consumption
20	Farming, Forestry, Animal Husbandry, Fishery Conservancy
21	Industry
22	Non-Energy Use
23	Construction
24	Transport, Storage and Post
25	Wholesale, Retail Trade and Hotel, Restaurants
26	Other
27	Residential Consumption
28	Urban
29	Rural
30	Statistical Difference
31	Total Energy Consumption

598

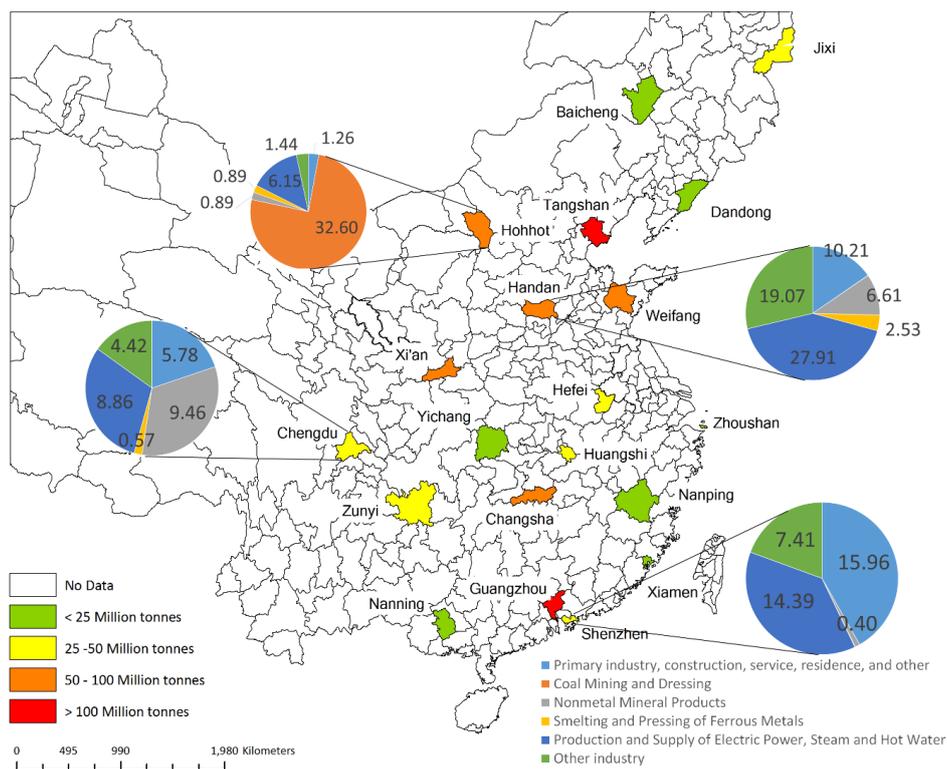
599



600

601 *Figure 1. CO₂ emissions inventory construction framework for Chinese cities*

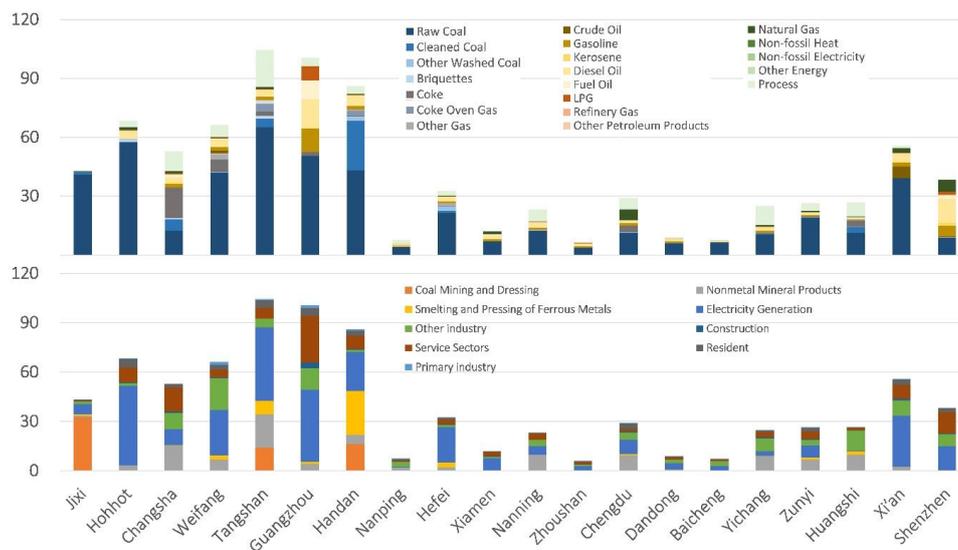
602



606

607 *Figure 3. CO₂ emissions of the 20 case cities, 2010, tonnes*

608



609

610 *Figure 4. CO₂ emissions from 20 energy types and 9 sectors (million tonnes, 2010)*

611