

1 **Impacts of Global Open Fire Aerosols on Direct Radiative, Cloud**
2 **and Surface-Albedo Effects Simulated with CAM5**

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30 **Abstract**

31 Aerosols from open-land fires could significantly perturb the global radiation
32 balance and induce the climate change. In this study, Community Atmospheric Model
33 version 5 (CAM5) with prescribed daily fire aerosol emissions is used to investigate
34 the spatial and seasonal characteristics of radiative effects (REs, relative to the case of
35 no fires) of open fire aerosols including black carbon (BC) and particulate organic
36 matter (POM) from 2003 to 2011. The global annual mean RE due to
37 aerosol-radiation interactions (RE_{ari}) of all fire aerosols is $0.16 \pm 0.01 \text{ W m}^{-2}$ (1σ
38 uncertainty), mainly due to the absorption of fire BC ($0.25 \pm 0.01 \text{ W m}^{-2}$), while fire
39 POM induces a small overall effect (-0.05 W m^{-2} and $0.04 \pm 0.01 \text{ W m}^{-2}$, respectively
40 based on two methods). Strong positive RE_{ari} is found in the Arctic and in the
41 oceanic regions west of southern Africa and South America as a result of amplified
42 absorption of fire BC above low-level clouds, in general agreement with satellite
43 observations. The global annual mean RE due to aerosol-cloud interactions (RE_{aci}) of
44 all fire aerosols is $-0.70 \pm 0.05 \text{ W m}^{-2}$, resulting mainly from the fire POM effect
45 ($-0.59 \pm 0.03 \text{ W m}^{-2}$). RE_{ari} ($0.43 \pm 0.03 \text{ W m}^{-2}$) and RE_{aci} ($-1.38 \pm 0.23 \text{ W m}^{-2}$) in the
46 Arctic are stronger than those in the tropics (0.17 ± 0.02 and $-0.82 \pm 0.09 \text{ W m}^{-2}$,
47 respectively for RE_{ari} and RE_{aci}), although the fire aerosol burden is higher in the
48 tropics. The large cloud liquid water path over land areas and low solar zenith angle
49 of the Arctic favor the strong fire aerosol RE_{aci} (up to -15 W m^{-2}) during the Arctic
50 summer. Significant surface cooling, precipitation reduction and low-level cloud
51 amount increase are also found in the Arctic summer as a result of the fire aerosol
52 RE_{aci} based on the atmosphere-only simulations. The global annual mean RE due to

53 surface albedo changes (RE_{fac}) over land areas ($0.03 \pm 0.10 \text{ W m}^{-2}$) is small and
54 statistically insignificant, and is mainly due to the fire BC-in-snow effect (0.02 W m^{-2})
55 with the maximum albedo effect occurring in spring (0.12 W m^{-2}) when snow starts to
56 melt.

57

58 **1. Introduction**

59 Open fires or biomass burning of living and dead vegetation are an integral
60 component of the Earth system, and have significant impacts on the carbon cycle
61 [*Ciais et al.*, 2013] and the climate [*Bowman et al.*, 2009; *Keywood et al.*, 2011; *Liu et*
62 *al.*, 2014; *Sommers et al.*, 2014; *Voulgarakis and Field*, 2015]. On one hand, open
63 fires can perturb the climate system by emitting greenhouse gases and aerosols
64 [*Kaiser et al.*, 2012; *Wiedinmyer et al.*, 2011]. On the other hand, climate states and
65 variabilities can play a critical role in determining the occurrence frequency and
66 intensity of open fires [*Marlon et al.*, 2009; *van der Werf et al.*, 2008; *Westerling et*
67 *al.*, 2006; *Bistinas et al.*, 2014]. However, there are still large unknowns regarding the
68 feedback mechanisms between open fire and climate interactions [*Carshaw et al.*,
69 2010; *Liu et al.*, 2014]. A qualification of radiative forcing of fire aerosols as
70 conducted in this study is the first step to reduce these uncertainties.

71 Particles emitted from open fires can exert significant perturbations to the
72 climate system by scattering and absorbing the solar radiation in the atmosphere (i.e.,
73 direct effect) [*Carshaw et al.*, 2010] and by changing the surface albedo when they are
74 deposited on the snow and ice (i.e., surface albedo effect) [*Flanner et al.*, 2007; *Quinn*
75 *et al.*, 2008; *Randerson et al.*, 2006; *Qian et al.*, 2011, 2015]. In addition, open fire or

76 smoke particles can modify the cloud properties, precipitation efficiency, and the
77 hydrological cycle by changing the atmospheric thermal structure (i.e., semi-direct
78 effect) [*Koch and Del Genio, 2010; Andreae et al., 2004b*] or acting as cloud
79 condensation nuclei (CCN) (i.e., indirect effects) [*Andreae and Rosenfeld, 2008; Qian*
80 *et al., 2009; Lu and Sokolik, 2013*].

81 The radiative effect (RE) [*Boucher and Tanre, 2000*] and radiative forcing (RF)
82 [*Forster et al., 2007; Myhre et al., 2013a*] are typical metrics used to assess and
83 compare anthropogenic and natural drivers of climate change. The aerosol RE
84 represents the instantaneous radiative impact of atmospheric particles on the Earth's
85 energy balance [*Heald et al., 2014*]. RF is calculated as the change of RE between
86 two different periods, e.g., the pre-industrial and the present-day times [*Heald et al.,*
87 *2014; Liu et al., 2007*], based on the aerosol and precursor gas emissions in the two
88 periods [*Dentener et al., 2006; Lamarque et al., 2010*].

89 RF due to aerosol and radiation interactions (RFari) of biomass burning aerosols
90 has been estimated since the IPCC second Assessment Report (AR2). Based on the
91 Aerosol Comparisons between Observations and Models (AeroCom) Phase II
92 simulations [*Bond et al., 2013; Myhre et al., 2013b*], RFari of biomass burning
93 aerosols in the IPCC Fifth Assessment Report (AR5) is estimated to be 0.0 W m^{-2}
94 (ranging from -0.20 to 0.20 W m^{-2}), and RFari of biomass burning black carbon (BC)
95 and primary organic matter (POM) are of the opposite sign (i.e., 0.10 and -0.10 W m^{-2} ,
96 respectively).

97 There are also some studies that estimated the RE due to aerosol and radiation

98 interactions (RE_{ari}) of fire aerosols by comparing the simulation with fire emissions
99 against the simulation with no fire emissions. For example, using the NCAR
100 Community Atmosphere Model version 4 (CAM4) with a bulk aerosol module, *Tosca*
101 *et al.* [2013] reported that the top-of-atmosphere (TOA) RE_{ari} from global biomass
102 burning aerosols is $0.18 \pm 0.10 \text{ W m}^{-2}$ averaged for the period of 1997-2009. *Ward et al.*
103 [2012] estimated the RE_{ari} from biomass burning aerosols in the pre-industrial (for
104 the year 1850), present-day (for the year 2000), and future time periods (for the year
105 2100), and found that the biomass burning aerosol RE_{ari} for the year 2000 is 0.13 W
106 m^{-2} and -0.27 W m^{-2} in all-sky and clear-sky conditions, respectively.

107 RE due to aerosol and cloud interactions (RE_{aci}) of biomass burning aerosols can
108 be comparable in magnitude to or even stronger than the RE_{ari} [*Liu et al.*, 2014].

109 With a global aerosol-climate model, the RE_{aci} of biomass burning aerosols was
110 estimated to range from -1.74 to -1.00 W m^{-2} for the year 2000 in *Ward et al.* [2012].

111 The semi-direct radiative effect of biomass burning aerosols is not independently
112 assessed in IPCC reports. The magnitude was reported to be about 7.0 W m^{-2} in the
113 Southern American biomass burning regions by examining the radiative flux
114 difference with and without the biomass burning aerosol effect on clouds [*Liu*, 2005].

115 The RF or RE due to surface albedo changes (RF_{sac} or RE_{sac}) of BC from open
116 fires and other sources has been estimated in previous studies. For biomass burning
117 emissions with a strong (1998) and weak (2001) boreal fire year, RE of fire
118 BC-in-snow was estimated to be 0.011 and 0.006 W m^{-2} , respectively [*Flanner et al.*,
119 2007]. *Randerson et al.* [2006] reported that BC from a boreal forest fire deposited on

120 snow and sea ice introduced a global annual mean RE of 8 ± 5 W per m^2 of burned area
121 in the first year when the fire happened. A summary of BC-in-snow forcing/effect can
122 be found in *Bond et al.* [2013]. They reported that the present-day RE of fire
123 BC-in-snow ranges from 0.006 to 0.02 W m^{-2} based on previous studies [*Jacobson,*
124 *2004; Rypdal et al., 2009; Skeie et al., 2011; Hansen et al., 2005; Flanner et al., 2007,*
125 *2009; Koch et al., 2009*].

126 Biomass burning aerosols can have significant impacts on global and regional
127 precipitation and atmospheric circulation. With the change of fire emissions from year
128 1860 to 2000, *Jones et al.* [2007] found that biomass burning aerosols decrease the
129 global near-surface air temperature by about 0.25°C , when considering the feedbacks
130 of sea surface temperature (SST) in the model. As shown in *Tosca et al.* [2013], the
131 direct and semi-direct effects of biomass burning aerosols reduce the precipitation
132 near the equator and weaken the Hadley circulation. With a regional climate model,
133 *Zhang et al.* [2009] found that biomass burning aerosols may warm and stabilize the
134 lower troposphere and thus reinforce the dry season rainfall pattern in the Southern
135 Amazonia. The absorption of shortwave radiation by biomass burning BC could
136 increase the vertical stratification and inhibit both the cloud formation and
137 precipitation [*Ackerman et al., 2000; Tosca et al., 2014*]. In contrast, biomass burning
138 aerosols could invigorate the convective clouds [*Andreae et al., 2004a; Koren et al.,*
139 *2005*] through suppressing warm rain processes in the convection, and enhance the
140 latent heat release at higher levels [*Andreae and Rosenfeld, 2008*].

141 Although there have been many studies quantifying the RE of fire aerosols, a

142 further investigation is still needed, as the current estimations of fire aerosol RE are
143 still associated with large uncertainties [e.g., *Myhre and Samset, 2015; Chakrabarty et*
144 *al., 2014*]. The REs of co-emitted fire POM versus BC are even less clear. In this
145 study, we estimate the present day (from year 2003 to 2011) open fire aerosol REs
146 (including RE_{ari}, RE_{aci} and RE_{sac}) using the NCAR Community Atmosphere Model
147 version 5.3 (CAM5) with the four-mode version of the modal aerosol module
148 (MAM4). We use two methods to calculate the RE_{ari} of fire aerosols (total, BC-only,
149 and POM-only). One method estimates the RE_{ari} based on different model
150 simulations [*Ghan, 2013*], and the other one calculates the RE_{ari} directly through
151 multiple diagnostic radiation calls in a single simulation. The spatial and seasonal
152 characteristics of fire aerosol REs, and the impacts on the global precipitation and
153 temperature are discussed.

154 Compared to earlier studies of fire aerosol REs [*Tosca et al., 2013; Ward et al.,*
155 *2012*], a number of improvements are made in this study. First, a higher model
156 horizontal resolution at 0.9° by 1.25° is used versus 1.9° by 2.5°. The higher
157 resolution allows more efficient transport of aerosols from the sources to remote
158 regions [*Ma et al., 2013; 2014*]. Model resolution has also been shown to be important
159 for aerosol RE_{aci} [*Ma et al., 2015*]. Second, the latest CAM5 model with MAM4 is
160 used. MAM4 with an additional primary carbon mode explicitly treats the
161 microphysical ageing of primary carbonaceous aerosols (POM/BC) in the atmosphere.
162 MAM4 has higher BC and POM burdens over the earlier three-mode version of
163 MAM (MAM3) in the remote regions by ~30% [*Liu et al., 2016*]. Third, daily instead

164 of monthly fire emissions are used, which allows the model to consider the effect of
165 fast changes in the fire emission flux on local atmospheric conditions. It is expected
166 that using the monthly mean emission flux the model can not consider the effect of
167 extremely strong fires, thus it might underestimate the fire aerosol REs for such cases.
168 Finally, a new methodology [Ghan, 2013] is used to more accurately diagnose the
169 REs of fire aerosols. Central to this method is that the RE_{ari} must be calculated in the
170 presence of clouds (i.e., under the all-sky condition), and the RE_{aci} be calculated
171 under the condition of no aerosol effects on radiation. With the radiative forcing
172 decomposition of this method, RE_{sac} can also be quantified.

173 The paper is organized as follows. Section 2 introduces the model and
174 experiments. Section 3 describes the methods to diagnose the fire aerosol REs.
175 Section 4 presents the model results of fire aerosol REs, and impacts on global and
176 regional surface temperature and precipitation. Conclusions and discussion are given
177 in Section 5.

178

179 **2. Model, Experiment Design and Aerosol Radiative Effect Method**

180 **2.1 Model**

181 In our study, we use the Community Earth System Model (CESM) version 1.2,
182 with the Community Atmosphere Model version 5.3 (CAM5.3) [Neale *et al.*, 2010]
183 coupled with the Community Land Model version 4 (CLM4) [Oleson *et al.*, 2010].
184 The SNOW, ICE, and Aerosol Radiative model (SNICAR) [Flanner and Zender, 2005]
185 is turned on in the simulations to diagnose the biomass burning BC-in-snow effect.
186 CAM5 includes several major updates in its physics parameterizations compared to

187 previous CAM versions. A two-moment stratiform cloud microphysics scheme is
188 included in CAM5 to predict both the mass and number mixing ratios of cloud liquid
189 and cloud ice [Morrison and Gettelman, 2008]. MAM4, which was updated from
190 MAM3 [Liu et al., 2012], includes aerosol mass and number mixing ratios in four
191 lognormal modes: Aitken, accumulation, coarse, and primary carbon mode [Liu et al.,
192 2016]. An additional primary carbon mode is included in MAM4 on the top of
193 MAM3 to explicitly treat the microphysical ageing of primary carbonaceous aerosols
194 (POM and BC) in the atmosphere. POM and BC in MAM4 are emitted in the primary
195 carbon mode instead of directly in the accumulation mode as in MAM3. MAM4
196 significantly increases the BC and POM concentrations in the remote regions (e.g.,
197 over oceans and Arctic) due to reduced wet scavenging of POM and BC in the
198 primary carbon mode with a lower hygroscopicity than that in the accumulation mode.
199 The increase is relatively small in the land source regions [Liu et al., 2016].

200

201 2.2 Experiment design

202 CAM5 was run with the finite volume dynamics core in a resolution of 0.9°
203 latitude by 1.25° longitude and 30 vertical levels. The model was run for the time
204 period of year 2003 to 2011 (i.e., for 9 years) with prescribed monthly SST and sea
205 ice. The year 2003 was run twice and the first year simulation was used as a model
206 spin-up. Global Fire Emissions Database version 3.1 (GFED 3.1) daily emissions
207 [Giglio et al., 2013] for BC, POM and sulfur dioxide (SO₂) from 2003 to 2011 are
208 prescribed, and the vertical distribution of fire emissions is based on the AeroCom

209 protocol [*Dentener et al.*, 2006]. Anthropogenic aerosol and precursor gas emissions
210 are from the IPCC AR5 dataset [*Lamarque et al.*, 2010]. We performed our control
211 experiment (FIRE) with the GFED fire emissions turned on and a sensitivity
212 experiment (NOFIRE) with the fire emissions turned off. Differences between FIRE
213 and NOFIRE experiments are used to calculate the REs and atmospheric effects of
214 biomass burning aerosols on temperature and precipitation. Two additional
215 experiments (NOFIREBC and NOFIREPOM) were performed with fire BC and POM
216 emissions turned off, respectively. Differences between the control (FIRE) and these
217 two experiments represent the contribution from biomass burning BC and POM,
218 respectively. Other forcings (e.g., SST, greenhouse gases) of all these experiments are
219 kept the same. We performed ten ensemble members for each of these experiments.
220 Furthermore, we performed the other experiment (FIRE_BBFFBF) using the modified
221 CAM5 model that separately predicts the BC and POM from biomass burning (BB),
222 fossil fuel (FF) and biofuel (BF) sources, while other model features are kept the same
223 as the FIRE experiment. A summary of all the experiments in this study can be found
224 in Table 1.

225

226 2.3 Methods of calculating fire aerosol radiative effects

227 The REs of all fire aerosols, fire BC, and fire POM are calculated from the
228 differences of TOA shortwave fluxes (ΔF) between the FIRE experiment and the
229 three other experiments (NOFIRE, NOFIREBC and NOFIREPOM), respectively. All
230 the atmospheric variables (including temperature, precipitation, and circulation) are

231 allowed to adjust in the experiments. However, with SST and sea ice prescribed in
 232 these experiments, only the rapid adjustments are taken into account. Thus the
 233 *effective* radiative effects are actually calculated in this study.

$$234 \quad \Delta F_{\text{fire aero}} = F_{\text{fire}} - F_{\text{nofire}} \quad (1)$$

$$235 \quad \Delta F_{\text{fire bc}} = F_{\text{fire}} - F_{\text{nofirebc}} \quad (2)$$

$$236 \quad \Delta F_{\text{fire pom}} = F_{\text{fire}} - F_{\text{nofirepom}} \quad (3)$$

237 The total TOA shortwave flux change can be broken into the REari, REaci, and
 238 RESac. The aerosol REaci results from both the aerosol effect on clouds via acting as
 239 CCN and the aerosol semi-direct effect on clouds via affecting the atmospheric states
 240 due to absorbing aerosols. We adopt the method of *Ghan* [2013] to separate the REari,
 241 REaci, and RESac from the total effects of all fire aerosols, fire BC and fire POM,
 242 respectively. The method is summarized as follows. F_{clean} is the radiative flux at TOA
 243 calculated from a *diagnostic radiation call* in the same control simulations, but
 244 neglecting the scattering and absorption of solar radiation by aerosols. $F_{\text{clean,clear}}$ is the
 245 clear-sky radiative flux at TOA calculated from the same *diagnostic radiation call*,
 246 but neglecting scattering and absorption by both clouds and aerosols.

$$247 \quad \Delta F = \underbrace{\Delta(F - F_{\text{clean}})}_{(\text{REari})} + \underbrace{\Delta(F_{\text{clean}} - F_{\text{clean,clear}})}_{(\text{REaci})} + \underbrace{\Delta F_{\text{clean,clear}}}_{(\text{RESac})} \quad (4)$$

249 In the method above, REaci includes both aerosol indirect and semi-direct effects.
 250 The fire BC has a much weaker indirect effect due to its lower mass burden and lower
 251 hygroscopicity compared to fire POM [*Koch et al.*, 2011]. Thus the fire aerosol
 252 semi-direct effect can be approximately represented by the REaci of fire BC. The fire

253 aerosol indirect effect can be estimated as the difference of fire aerosol RE_{aci} and
254 semi-direct effect. With the sea ice prescribed in these experiments, the radiative
255 effect of fire aerosols on sea ice albedo is not considered in RE_{sac}.

256 We undertake another method to estimate the fire aerosol RE_{ari} from the
257 experiment (FIRE_BBFFBF). With explicit predictions of fire POM and fire BC in
258 FIRE_BBFFBF, the RE_{ari} of fire BC and fire POM are estimated by two diagnostic
259 radiation calls, each time neglecting the scattering and absorption of solar radiation of
260 fire BC and fire POM, respectively. This more direct method is named as BBFFBF,
261 and the RE_{ari} of fire BC and fire POM will be compared with those from the method
262 of *Ghan* [2013]. The fire BC-in-snow effect is calculated from SNICAR, and
263 compared with the RE_{sac} estimated from *Ghan* [2013].

264

265 **3. Results**

266 3.1 Simulation of biomass burning aerosols

267 The biomass burning BC and POM from forest, grass and agriculture fires are
268 significant contributors to the total BC and POM emissions. Figure 1 shows the
269 seasonal variation of GFED fire emissions (including forest, grass and agriculture
270 fires) in the global, tropical (25°S to 25°N), and Arctic (60°N to 90°N) regions.
271 Global fire emission is the largest during the boreal summer as well as in the boreal
272 autumn (September/October), when it is the fire season in the tropical regions of the
273 Southern Hemisphere (SH). The tropical fire emission contributes the most to the
274 annual global fire emission (80% for BC and 85% for OC, respectively), compared to

275 other regions. Arctic is the other important fire region, where the emission maximum
276 is found during the boreal summer. In the boreal summer, the OC emission in the
277 Arctic regions is about 50% of that in the tropical region. The BC emission in the
278 Arctic is much smaller than that of the tropical regions even in the boreal summer fire
279 season. The dominant fire type in the SH tropics is deforestation, savanna and
280 grassland fires, while that in the Arctic is the forest fires. The OC to BC ratio (OC/BC)
281 of forest fires is almost three times higher than that of deforestation, savanna and
282 grassland fires [*van der Werf et al.*, 2010]. This is because for forest fires, most of the
283 emissions come from the smoldering phase of burning, which has a higher OC to BC
284 ratio. For deforestation, savanna and grassland fires, the emissions come mainly from
285 the flaming phase of burning, which yields a lower OC to BC ratio.

286 Figure S1 in the supplemental materials shows the latitudinal and longitudinal
287 distributions of vertically integrated concentrations (column burdens) of BC and POM
288 from BB, FF, and BF sources based on the FIRE_BBFFBF experiment. The BC and
289 POM from BB source are mainly distributed in the tropical and sub-tropical regions
290 (southern Africa, South America and Southeast Asia) and in the mid- to high latitudes
291 (North of 45°N) of the Northern Hemisphere (NH) (Northeast Asia, Alaska and
292 Canada). The largest column burdens of biomass burning aerosols are located in
293 southern Africa and adjacent oceanic areas (1.5 and 20 mg m⁻² for BC and POM,
294 respectively). The biomass burning aerosols are important aerosol species in the
295 Arctic regions, and contribute up to 53% and 86% to the total burden of BC and POM
296 in the Arctic (from 60° N to 90°N), respectively. In comparison, the maximum

297 column burdens of fossil fuel BC and POM are found in East Asia, South Asia,
298 Western Europe and North America. The maximum column burdens of biofuel BC
299 and POM occur in East Asia, South Asia and Central Africa. The biofuel and fossil
300 fuel sources are dominant contributors to BC and POM in East Asia and South Asia.
301 In other regions of the world, biomass burning is the primary source of BC and POM.
302 Globally, the biomass burning contributes 41% and 70% to the total burdens of BC
303 and POM, respectively. Biomass burning can also emit SO₂. However, it only
304 contributes ~3% to the total global sulfate burden (figure not shown), so only
305 radiative effects of biomass burning POM and BC are discussed in this study.

306 The simulated aerosol optical depth (AOD) and single scattering albedo (SSA)
307 (including aerosols from all sources) are validated with observations from the
308 AERosol RObotic NETwork (AERONET, <http://aeronet.gsfc.nasa.gov>) at sites
309 significantly affected by biomass burning activity in southern Africa, South America
310 and the Arctic regions, as shown in Figures 2 and 3 (see Figure S2 in the
311 supplemental materials for the site locations). The AERONET AOD and SSA data are
312 averaged for the years from 2003 to 2011 to match the simulation period, although
313 there are missing AERONET data for some periods. We note that *Tosca et al.* [2013]
314 and *Ward et al.* [2012] applied scaling factors (from 1 to 3 varying by regions) to fire
315 emissions to improve modeled AOD magnitudes, whereas here we do not apply any
316 such scaling. In southern Africa, modeled monthly AOD agrees with observations
317 within a factor of 2 for the three sites (Figure 2a-2c). The underestimation of AOD is
318 found in the tropical site (Mongu) (Figure 2a) during the boreal autumn (the fire

319 season). The simulated AOD in the two other sites (Skukuza and Ascension Island) is
320 generally consistent with observations in both the magnitude and seasonal trend. The
321 simulated SSA in southern Africa ranges between 0.75 and 0.95 and generally
322 matches the observed SSA magnitude and seasonal cycle in the two land sites (Mongu
323 and Skukuza) (Figure 3a-3b). However, an overestimation of SSA is found in the
324 oceanic site (Ascension Island) (Figure 3c). The reason for this overestimation of SSA
325 and thus the underestimation of absorption AOD (AAOD) is unclear and could be due
326 to that the model has not treated the absorption enhancement of aged fire BC during
327 its transport.

328 The simulated AOD in South America is generally consistent with observations
329 within a factor of 2 (Figure 2d-2f). The seasonal variation of simulated AOD
330 generally matches the observations. The underestimation of AOD in Alta Floresta and
331 Cuiaba-Miranda is most obvious in September and October (the fire season), which
332 may be attributed to the underestimation of fire emissions. However, the modeled
333 AOD is higher than observations before the fire season for Alta Floresta and Rio
334 Branco, which could be due to the overestimation of fire emission in this period. The
335 simulated SSA in South America ranges mostly between 0.87–0.95 and matches the
336 observations reasonably well (Figure 3d-3f). The modeled SSA is too low during the
337 fire season and exhibits too strong a seasonality. It implies that the model
338 underestimation of scattering aerosols (e.g., POM) may be more severe than that of
339 BC during the fire season.

340 In the Arctic, small AOD (less than 0.3) and large SSA (larger than 0.9) are

341 observed for the three sites. The observed large SSA in the fire season (boreal
342 summer) is consistent with the high OC/BC ratio of fire emissions in the Arctic
343 (Figure 1). The model significantly underestimates the observed AOD in the Arctic in
344 both fire and non-fire seasons. The underestimation of AOD can be due to (1) the
345 underestimation of fire emissions in the NH high latitudes [e.g., *Stohl et al.*, 2013]
346 and/or fossil fuel emissions in Asia [e.g., *Cohen and Wang*, 2014], (2) the excessive
347 scavenging of aerosols during their transport from the NH mid-latitude industrial
348 regions by liquid-phase clouds [*Wang et al.*, 2013a], and (3) the coarse horizontal
349 resolution (~100 km) of the model [*Ma et al.*, 2014]. Although MAM4 increases the
350 column burdens of POM and BC by up to 40 % in many remote regions compared to
351 MAM3, it still underestimates the surface BC concentrations in the Arctic [*Liu et al.*,
352 2016]. The modeled SSA in the Arctic is lower than observations, which implies that
353 the simulation of AAOD is better than that of AOD and the underestimation of
354 non-absorbing aerosols (e.g., sulfate and POM) in the Arctic may be more severe than
355 that of BC.

356

357 3.2 Radiative effect due to aerosol-radiation interactions

358 The annual mean RE_{ari} of all fire aerosols (including BC, POM and sulfate)
359 estimated with the method of BBFFBF and with the method of *Ghan* [2013] is shown
360 in Figure 4a-4b. The fire sulfate is not included in the calculation of RE_{ari} of all fire
361 aerosols with the method of BBFFBF. Its effect is minor since the global annual mean
362 burden of fire sulfate (0.09 mg m⁻²) is much smaller than that of fire POM (1.25 mg

363 m^{-2}), both of which are light-scattering. The statistical significance of REari estimated
364 with the *Ghan* [2013] method over the interannual variability and ensemble member
365 diversity is shown in Figure 4 (and also later figures). The REari of all fire aerosols
366 from the two methods agree with each other very well. Thus, we will report the REari
367 of all fire aerosols with the *Ghan* [2013] method below. The global annual mean
368 REari of all fire aerosols is positive ($0.16 \pm 0.01 \text{ W m}^{-2}$), which indicates a warming
369 effect from all fire aerosols. The REari is positive on the globe except in some land
370 areas (e.g., southern Africa, South America, Great Lakes, North Canada, and East
371 Siberia). The maximum positive REari is located in ocean areas west of southern
372 Africa ($\sim 5.0 \text{ W m}^{-2}$) and South America ($\sim 1.5 \text{ W m}^{-2}$). Positive REari up to 1 W m^{-2} is
373 found in the Arctic (60°N to 90°N). The different signs of REari between land and
374 ocean areas of southern Africa and South America result from the differences in cloud
375 fraction and cloud liquid water path (LWP) between land and ocean regions. In the
376 fire season (August-September-October) of the SH tropical regions, cloud fraction
377 and cloud LWP over the land areas (10% and 20 g m^{-2} , respectively) are much smaller
378 than those over the adjacent ocean areas (70% and 70 g m^{-2} , respectively). The
379 biomass burning aerosols are transported above the low-level stratocumulus clouds,
380 and when biomass burning BC resides above clouds, its absorption of solar radiation
381 is significantly enhanced due to the reflection of solar radiation by underlying clouds
382 [*Abel et al.*, 2005; *Zhang et al.*, 2016].

383 A comparison of modeled REari in the boreal autumn
384 (September-October-November) over the South Atlantic Ocean with satellite

385 observations is shown in Figure 5. The observed above-cloud aerosol REari is
386 calculated with the method of *Zhang et al.* [2014] using the Aqua/MODIS and
387 Terra/MODIS products, respectively. The observed above-cloud aerosol REari over
388 southeastern Atlantic Ocean is 3-12 W m^{-2} , with higher values near the coasts. The
389 simulated REari agrees better with Aqua/MODIS observed REari than with
390 Terra/MODIS in both the magnitude and spatial pattern. REari estimated from
391 Terra/MODIS (morning time) is stronger than the one estimated from Aqua/MODIS
392 (afternoon time) due to the larger amount of underlying clouds in the morning [*Min*
393 *and Zhang*, 2014]. Over South America during the fire season (August to September),
394 the clear-sky fire aerosol REari is estimated to be -5.2 W m^{-2} by *Sena and Artaxo*
395 [2015], which is larger than our model result (-2.1 W m^{-2}). This is consistent with the
396 underestimation of modeled AOD in South America compared to the AERONET data
397 (Figure 2).

398 The seasonal variation of REari of all fire aerosols with the *Ghan* [2013] method
399 is shown in the supplemental Figure S3. The REari has a maximum (1.13 W m^{-2}) in
400 the boreal summer (June-July-August, JJA) over the Arctic regions, partially due to
401 the low solar zenith angles there. The maximum positive REari in the tropical regions
402 occurs in the boreal summer and autumn (September, October and November, SON)
403 during the fire season of southern Africa and South America. The REari reaches a
404 positive maximum in Southeast Asia during the fire season in March, April and May
405 (MAM).

406 The REari of fire BC is shown in Figure 4c-4d. The fire BC REari calculated

407 from the two methods are similar in magnitudes and spatial patterns, and there is
408 much less noise with the BBFFBF method. The global annual mean fire BC REari is
409 about $0.25 \pm 0.01 \text{ W m}^{-2}$ and positive over the globe (the regions with negative values
410 in Figure 4d are in general not statistically significant). Unlike all fire aerosols, fire
411 BC generates a positive forcing in the land regions of southern Africa and South
412 America, and the amplification effect of low-level clouds on fire BC positive forcing
413 can be clearly seen in southern Africa and adjacent Atlantic Ocean.

414 The global annual mean REari of fire POM from the two methods somewhat
415 differs from each other (Figure 4e-4f). The BBFFBF method gives a small negative
416 value (-0.05 W m^{-2}), while the *Ghan* [2013] method shows a small positive value
417 ($0.04 \pm 0.01 \text{ W m}^{-2}$). The difference is mainly in the Arctic regions where the positive
418 forcing from *Ghan* [2013] is larger than that from the BBFFBF method. This is
419 because the removal of fire POM emissions in the NOFIREPOM experiment affects
420 the properties of aerosol particles within which co-emitted fire BC is internally mixed
421 with fire POM, causing a decrease of BC burden in the Arctic (by $\sim 0.05 \text{ mg m}^{-2}$)
422 compared to the FIRE experiment. Thus, one should be careful in using the *Ghan*
423 [2013] method to diagnose the radiative forcing of a single component within
424 co-emitted aerosols. The REari of fire POM is negative in most of the globe. However,
425 positive forcing can be found over oceanic regions west of southern Africa and South
426 America, North Pacific Ocean and the Polar regions where large amount of low-level
427 clouds, sea ice or land ice exist. The multiple scatterings between the above-cloud fire
428 POM and low-level clouds or between the fire POM and the Earth's bright surface

429 with high albedos could reduce the amount of solar radiation reflected by these
430 low-level clouds and bright surface in the case without the fire POM [Zhang *et al.*,
431 2016]. With the BBFFBF method the sum of RE_{aci} from fire POM and fire BC (i.e.,
432 0.20 W m^{-2}) is larger than that of all fire aerosols (0.15 W m^{-2}). It reflects the
433 nonlinear interactions among different aerosol components [Ghan *et al.*, 2012]. For
434 example, fire POM and water on internally-mixed fire BC particles enhance solar
435 absorption by the fire BC. The nonlinearity is stronger with the Ghan [2013] method.

436

437 3.3 Radiative effect due to aerosol-cloud interactions

438 The annual mean RE_{aci} due to all fire aerosols, fire BC, and fire POM are shown
439 in Figure 6. The RE_{aci} diagnosed with the Ghan [2013] method includes both aerosol
440 indirect and semi-direct effects. The fire aerosol semi-direct effect (to be discussed
441 below) is much smaller ($-0.04 \pm 0.03 \text{ W m}^{-2}$ on the global mean) than the indirect
442 effect, and the RE_{aci} is mostly from the fire aerosol indirect effect. The global annual
443 mean RE_{aci} of all fire aerosols is $-0.70 \pm 0.05 \text{ W m}^{-2}$ (Figure 6a). In the tropical
444 regions, the strong negative RE_{aci} is located in the adjacent ocean areas of southern
445 Africa, South America and Australia, with the maximum RE_{aci} of -8.0 W m^{-2} over
446 the South Atlantic Ocean. The strong negative RE_{aci} also occurs in the Arctic (60°N
447 to 90°N). The RE_{aci} in East Siberia, Alaska and Canada is as large as -6.0 W m^{-2} .

448 The fire BC has a weak indirect effect by acting as CCN, but can reduce the cloud
449 amount through its semi-direct effect. The RE_{aci} of fire BC (Figure 6b) can
450 approximate the fire BC semi-direct effect with a small global annual mean value of

451 $-0.04 \pm 0.03 \text{ W m}^{-2}$. However, stronger positive effect can be found in the western
452 Pacific (3.0 W m^{-2}) and Arctic regions (1.0 W m^{-2}). The global annual mean REaci of
453 fire POM is $-0.59 \pm 0.03 \text{ W m}^{-2}$ (Figure 6c), and dominates the cloud effect of all fire
454 aerosols. The sum of REaci from fire BC and POM ($-0.62 \pm 0.03 \text{ W m}^{-2}$) is smaller in
455 magnitude than that of all fire aerosols ($-0.70 \pm 0.05 \text{ W m}^{-2}$) due to the non-linear
456 interactions of fire BC and fire POM [Jiang *et al.*, 2013] as well as the negative REaci
457 of fire sulfate. As an example of the non-linear interactions, the internal mixing of fire
458 POM and fire BC by all fire aerosols enhances the cloud droplet number
459 concentration in comparison to the sum of cloud droplet number concentrations from
460 individual fire POM and fire BC [Jiang *et al.*, 2013].

461 The seasonal variation of all fire aerosol REaci is shown in Figure 7. The
462 maximum of fire aerosol REaci is in the boreal summer (i.e., the fire season in NH)
463 located in the NH high latitudes (60°N to 90°N). The largest summer REaci is found
464 in the land areas and is as large as -15 W m^{-2} . The fire aerosol REaci in the tropical
465 regions is most significant in the boreal summer (up to -15 W m^{-2}) and autumn (up to
466 -10 W m^{-2}) over the ocean areas. The different spatial distributions of fire aerosol
467 REaci in the NH high latitudes and in the tropics result from the difference in cloud
468 distributions between the two regions. During the fire season the cloud LWP over the
469 land areas in the NH mid- and high latitudes is three times larger than that over the
470 ocean areas in the tropics. Larger cloud LWP favors the stronger REaci, because the
471 larger LWP associated with the warm cloud and rain processes favors the aerosol
472 indirect effect via slowing down the autoconversion of cloud water to rain [Ghan *et*

473 *al.*, 2012; *Jiang et al.*, 2015]. Meanwhile, in the NH high latitudes, the lower solar
474 zenith angle in the boreal summer favors the stronger REaci. Like the fire aerosol
475 REari, the smallest fire aerosol REaci occurs in the boreal spring.

476 Seasonal variations of zonal mean fire aerosol REari, REaci, cloud LWP,
477 low-level (from surface to 750 hPa) cloud amount, and vertically-integrated (burden)
478 concentrations of fire POM and fire BC are shown in Figure 8. The seasonal variation
479 of fire BC and fire POM burdens is largest in the SH low latitudes (from 30°S to 0°N)
480 and NH mid- and high latitudes (50°N to 90°N). Distinct features of these two areas
481 can also be noticed that the maximum fire BC burden in NH (0.3 mg m^{-2}) is much
482 lower than that in SH (0.8 mg m^{-2}), while the maximum POM burdens in these two
483 areas are comparable. Interestingly, the REari is larger in the boreal summer in NH
484 than that in the boreal autumn in SH, although the fire BC burden is much lower in
485 the NH summer. It is mainly due to the larger amount of low clouds in the NH high
486 latitudes, which enhances the absorption of fire BC. The maximum REari in the NH
487 summer is found near the North Pole (70 °N to 90 °N), and not around 60 °N where
488 the fire aerosol burden is highest. The REaci of fire aerosols is about 3 times larger in
489 the boreal summer in NH than that in the boreal autumn in SH, although the burden of
490 fire POM in NH is comparable to that in SH. The larger cloud LWP in the NH
491 summer around 40-70°N favors the stronger REaci there.

492

493 3.4 Surface albedo effect

494 Here we compare the modeled BC-in-snow (BCS) concentrations with

495 observation data collected from multiple field campaigns over the Arctic [*Doherty et*
496 *al.*, 2010] and Northern China [*Wang et al.*, 2013b; *Qian et al.*, 2014]. Figure 9a
497 shows the simulated (from FIRE and NOFIRE experiments) and observed BCS
498 concentrations as a function of latitude. The range of observed BCS concentrations is
499 between 1 and 200 ng g⁻¹ in the Arctic and between 50 and 2000 ng g⁻¹ in Northern
500 China, respectively. Both FIRE and NOFIRE experiments capture the meridional
501 gradient in BCS concentrations between the mid-latitudes (Northern China) and high
502 latitudes (Arctic). The mean and median concentrations of BCS are both
503 overestimated in Northern China, implying the high biases from the anthropogenic
504 emissions and/or model physics (Figure 9b). The mean and median BCS
505 concentrations from the FIRE experiment agree slightly better with observations than
506 those from the NOFIRE experiment in the Arctic (Figure 9b). This suggests that fire
507 emissions are important for BCS concentrations in the Arctic.

508 The annual mean RE_{sac} of all fire aerosols estimated with *Ghan* [2013] and the
509 fire BCS effect diagnosed from SNICAR are shown in Figure 10a. We note that the
510 radiative effect due to BC deposition on sea ice is not considered since sea ice is
511 prescribed in the simulations. The global annual mean RE_{sac} ($0.03 \pm 0.10 \text{ W m}^{-2}$) is
512 much smaller compared to the RE_{ari} and RE_{aci}. The RE_{sac} over land is maximum in
513 spring ($0.12 \pm 0.27 \text{ W m}^{-2}$) and winter ($0.06 \pm 0.16 \text{ W m}^{-2}$). The RE_{sac} over land in
514 summer and autumn is very small (less than 0.01 W m^{-2}). We note that the mean
515 RE_{sac} calculated with *Ghan* [2013] is much smaller than the standard deviation
516 resulted from the internal variability.

517 The annual mean fire BCS effect calculated from SNICAR is shown in Figure
518 10b and 10c. The spatial distribution of the fire BCS effect is similar to the fire REsac,
519 implying that the fire REsac has a significant contribution from the fire BCS effect.
520 Averaged when only snow is present, the fire BCS effect is larger (0.048 W m^{-2}). The
521 global mean fire BCS effect (with the presence of snow) can be as large as 0.06 W m^{-2}
522 in spring. The maximum fire BCS effect (up to 1 W m^{-2}) is located in Greenland and
523 the very northern reaches of Canada, while that in the other Arctic regions and North
524 China is smaller.

525 The positive REsac in Siberia, North America and Canada can be a result of BCS
526 effect. However, the REsac in these regions is larger than the BCS effect especially in
527 spring. The snow melting and snow depth change due to the BCS warming may
528 induce a larger positive REsac than the albedo change due to BCS itself. The negative
529 REsac over land can be a result of atmospheric feedbacks caused by fire aerosols
530 [*Ghan, 2013*].

531

532 3.5 Fire aerosol effects on shortwave radiation, global temperature and precipitation

533 Here, we show the annual mean net shortwave flux change at TOA (i.e., total
534 radiative effect), in the atmosphere and at surface, and changes in surface air
535 temperature, convective and large-scale precipitation due to all fire aerosols in Figure
536 11 and Table 2. The global mean net shortwave flux change at TOA due to all fire
537 aerosols is $-0.55 \pm 0.07 \text{ W m}^{-2}$, which indicates that fire aerosols lead to the reduction
538 of shortwave flux into the Earth's system. The zonal mean TOA shortwave flux

539 reduction in the Arctic regions ($-1.35 \pm 1.03 \text{ W m}^{-2}$) is much larger than that in the
540 tropical regions ($-0.66 \pm 0.09 \text{ W m}^{-2}$). The cooling at TOA is mostly from fire aerosol
541 REaci. The maximum negative RE is located in the land areas of the Arctic and ocean
542 areas of the tropics. Although the global mean total radiative effect is negative,
543 positive effect is found in some land areas (e.g., Africa, Greenland).

544 The shortwave atmospheric absorption change in the tropical regions is larger
545 than that in the Arctic regions. It is because BC burden in the tropics (0.17 mg m^{-2}) is
546 larger than that in the Arctic (0.09 mg m^{-2}). Strong absorption ($\sim 8 \text{ W m}^{-2}$) in the
547 atmosphere is found in the land areas of southern Africa and South America and in
548 the Southeast Atlantic. The surface shortwave flux change in the Arctic is mostly
549 from the TOA shortwave flux reduction due to the fire aerosol REaci, while the
550 surface shortwave flux change in the tropics is mostly due to the fire BC absorption in
551 the atmosphere.

552 The fire aerosols lead to the reduction of the global mean surface air temperature
553 (T_s) by $0.03 \pm 0.03 \text{ K}$, consistent with the reduction of shortwave fluxes at TOA and at
554 surface. The largest surface cooling is found in the Arctic and tropical regions by up
555 to 0.6 K . The cooling of the Arctic is related to the strong fire aerosol REaci, while
556 the cooling in the tropics is mainly from the surface shortwave flux reduction due to
557 the fire BC absorption. The T_s change in the ocean areas is very small since the SST is
558 prescribed in our simulations.

559 The global mean total precipitation is reduced by $0.010 \pm 0.002 \text{ mm day}^{-1}$ due to
560 all fire aerosols (Table 2). Unlike the T_s change, the precipitation reduction in the

561 tropics (0.016 ± 0.01 mm day⁻¹) is much larger than that in the Arctic (0.001 ± 0.02
562 mm day⁻¹, not statistically significant). The reduction in the tropics is mainly from the
563 large-scale precipitation decrease (0.015 ± 0.003 mm day⁻¹). The net decrease in the
564 convective precipitation is very small in the tropics (0.001 ± 0.009 mm day⁻¹, not
565 statistically significant), as the convective precipitation is significantly decreased near
566 the equator and increased in the regions away from the equator, partly consistent with
567 the results of *Tosca et al.* [2013]. The precipitation reduction in southern Africa is
568 consistent with the recent findings of *Hodnebrog et al.* [2016]. The shortwave flux
569 reduction at surface leads to a stabilization of the atmospheric boundary layer and a
570 suppression of the convection near the equator. The strong atmospheric absorption by
571 fire BC leads to the reduction of low-level clouds and large-scale precipitation in the
572 tropics. Both effects lead to a significant reduction of total precipitation near the
573 equator. The precipitation decrease in the NH high latitudes is mainly from the
574 reduction of convective precipitation. We note that the temperature and (especially)
575 precipitation changes reported here do not represent the complete impact of fire
576 aerosols, since the SSTs are fixed in our simulations. Fully-coupled atmosphere and
577 ocean models will be used to further investigate the impact of fire aerosols.

578 Figure 12 shows the changes of T_s , total precipitation, cloud LWP, and low-level
579 cloud cover in the summer due to all fire aerosols. The T_s is reduced by more than 1 K
580 in most of land areas around 60°N. The maximum cooling (larger than 1.5 K) is found
581 in East Siberia, Alaska and Canada. A decrease of total precipitation (by about 0.2
582 mm day⁻¹) is found in these regions. Accompanying the surface cooling and

583 precipitation reduction, a significant increase of cloud LWP and low-level cloud cover
584 is found there. This is a result of the indirect effect of fire aerosols in the land areas of
585 the Arctic (60°N to 90°N). The fire POM leads to the reduction of cloud droplet
586 effective radius and the increase of cloud droplet number concentration, consistent
587 with observed fire effects on clouds in Canada and the United States [*Peng et al.*,
588 2002].

589

590 **4. Discussion and Conclusions**

591 Although many studies have been conducted on the fire aerosol RE and RF [e.g.,
592 *Bond et al.*, 2013; *Myhre et al.*, 2013b; *Ward et al.*, 2012; *Tosca et al.*, 2013], the
593 current estimations are still associated with large uncertainties. In this study, the fire
594 aerosol RE (including RE_{ari}, RE_{aci} and RE_{sac}) is calculated based on a new method
595 from *Ghan* [2013]. In addition, the fire aerosol RE_{ari} and fire BC-in-snow effect are
596 diagnosed from an experiment of CESM which tracks the open fire BC and POM
597 separately from fossil fuel and biofuel sources and compared with the estimates from
598 the *Ghan* [2013] method.

599 The BC and POM burdens from open fires are largest in the tropical regions
600 (southern Africa, South America and Southeast Asia) and in the NH mid- to high
601 latitudes (North of 45°N) (Northeast Asia, Alaska and Canada). Fire aerosols
602 contribute 41% and 70% to the global burden of BC and POM, respectively. When
603 comparing with the AERONET AOD and SSA data, modeled monthly AOD agrees
604 with observations within a factor of 2 for most of the southern African and South

605 American sites. The model underestimation of AOD is found in the South American
606 sites near fire source regions, which is most obvious in the fire season (September and
607 October). The model underestimates the observed AOD in the Arctic regions in both
608 fire and non-fire seasons. The modeled SSA in southern Africa and South America is
609 generally in agreement with observations, while the modeled SSA in the Arctic is
610 lower.

611 The annual mean RE_{ari} of all fire aerosols is $0.16 \pm 0.01 \text{ W m}^{-2}$ and positive over
612 most areas except in some land areas (e.g., southern Africa, North Canada, and East
613 Siberia). The annual maximum RE_{ari} is found in the oceanic areas west of southern
614 Africa (5 W m^{-2}) and South America (1.5 W m^{-2}). The positive RE_{ari} over the land
615 regions of southern Africa and South America is smaller, although the fire aerosol
616 burdens are higher. The annual zonal mean RE_{ari} in the Arctic regions can reach 0.43
617 $\pm 0.028 \text{ W m}^{-2}$, and is larger than that in the tropical regions ($0.17 \pm 0.017 \text{ W m}^{-2}$),
618 although the fire aerosol burden is higher in the tropics. The annual mean RE_{ari} of
619 fire BC is about $0.25 \pm 0.01 \text{ W m}^{-2}$ and positive over the globe. Fire POM induces a
620 weak negative RE_{ari} globally (-0.05 W m^{-2}) with the BBFFBF method and a small
621 positive value ($0.04 \pm 0.01 \text{ W m}^{-2}$) with the *Ghan* [2013] method. The positive RE_{ari}
622 of fire POM is found over oceanic areas west of southern Africa and South America,
623 North Pacific, and polar regions where the low-level cloud coverage is large or the
624 surface albedo is higher.

625 The global annual mean RE_{aci} of all fire aerosols is $-0.70 \pm 0.05 \text{ W m}^{-2}$ and the
626 maximum effect is located in the ocean areas west of southern Africa and South

627 America and land areas of the NH high latitudes. The maximum fire aerosol REaci
628 occurs in the NH high latitudes in the boreal summer, which results from the large
629 cloud LWP over the land areas and the low solar zenith angle. Associated with the
630 strong indirect effects of fire aerosols in the Arctic summer, significant surface
631 cooling, precipitation reduction, and low-level cloud cover increase are found in these
632 regions.

633 Modeled BCS concentrations from the FIRE experiment are evaluated against
634 observations in Northern China and in the Arctic, and generally agree with the
635 observations for the mean and median values in the Arctic regions. The high bias of
636 modeled BCS concentrations in Northern China may not result from the fire BC
637 because differences in BCS concentrations between FIRE and NOFIRE experiments
638 are very small in North China. The global annual mean RE_{sac} is $0.03 \pm 0.10 \text{ W m}^{-2}$
639 (statistically insignificant) with the maximum effect in spring (0.12 W m^{-2}). The
640 RE_{sac} is mainly due to the effect of fire BC deposit on snow (0.02 W m^{-2}) diagnosed
641 from SNICAR with the maximum effect as large as 0.06 W m^{-2} (when snow is present)
642 in spring.

643 The fire aerosols reduce the global mean surface air temperature (T_s) by $0.03 \pm$
644 0.03 K and precipitation by $0.01 \pm 0.002 \text{ mm day}^{-1}$. The maximum cooling ($\sim 1 \text{ K}$) due
645 to fire aerosols occurs around 60°N in summer, and a suppression of precipitation
646 ($\sim 0.1 \text{ mm day}^{-1}$) is also found there. The strong cooling is a result of the strong
647 indirect effects (-15 W m^{-2}) in the land areas of the Arctic regions (60°N to 90°N). A
648 significant reduction of precipitation in southern Africa is also noticed. We note that

649 these results are based on the simulations with fixed SSTs and may not represent the
650 full climate responses.

651 In our study, the global radiative effect of fire aerosols is estimated from
652 simulations performed with the 4-mode version Modal aerosol module (MAM4) [*Liu*
653 *et al.*, 2016], daily fire emissions with prescribed vertical emission profiles, and
654 higher model resolution (0.9° by 1.25°) compared to earlier modeling studies of fire
655 aerosols [*Tosca et al.*, 2013; *Ward et al.*, 2012]. In their studies, the GFED fire
656 aerosol emissions were increased by a factor of 1-3 depending on regions to match the
657 observed AOD. In our study, we do not apply the scaling factor to the fire aerosol
658 emissions. Our global annual mean RE_{ari} of fire aerosols ($0.16 \pm 0.01 \text{ W m}^{-2}$) is,
659 however, close to 0.18 W m^{-2} in *Tosca et al.* [2013] and 0.13 W m^{-2} in *Ward et al.*
660 [2012]. The similar fire aerosol RE_{ari} from our study but with smaller fire emissions
661 than these previous studies can result from (1) the use of MAM4 in our study which
662 more realistically represents the external/internal mixing of BC with other soluble
663 aerosol species; (2) the more accurate estimation of RE_{ari} of fire aerosols in the
664 presence of low-level clouds with the method of *Ghan* [2013]; and (3) the inclusion of
665 vertical emissions of fire aerosols, which allows more efficient transport of fire
666 aerosols from sources. The RE_{aci} due to fire aerosols in our study ($-0.70 \pm 0.05 \text{ W m}^{-2}$)
667 is smaller than -1.64 W m^{-2} in *Ward et al.* [2012] due to the lower fire POM emissions
668 used in this study compared to *Ward et al.* [2012].

669 We note that there are limitations and uncertainties with our study. The model
670 still underestimates observed AODs (mostly within a factor of 2) at the sites

671 predominantly influenced by biomass burning aerosols during the fire season, which
672 implies that the fire aerosol radiative forcing can be stronger than estimated in this
673 study. The RE estimates of fire POM and fire BC with the *Ghan* [2013] approach may
674 not be accurate due to the internal mixing of co-emitted fire components (POM and
675 BC). In our simulations, sea ice is prescribed, and thus the fire BC effect on sea ice
676 albedo is not considered. The brown carbon component of POM [*Feng et al.*, 2013] is
677 not treated in the current CESM model, which may result in an underestimation of
678 atmospheric absorption of fire aerosols.

679

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695

696 **References**

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1028

1029 **Figure Captions**

1030

1031 Figure 1. Seasonal variation of GFED monthly fire (a) organic carbon (OC) and (b)
1032 black carbon (BC) emissions (Tg C month^{-1}) averaged for the period of year 2003 to
1033 2011 in the global, tropical (25°S to 25°N) and Arctic (60°N to 90°N) regions.

1034

1035 Figure 2. Comparison of modeled seasonal variations of aerosol optical depth (AOD)
1036 for the period of 2003-2011 with observations for the same period from the
1037 AERONET sites. The upper, middle, and bottom panels represent the sites in southern
1038 Africa, South America, and the Arctic, respectively.

1039

1040 Figure 3. Same as Figure 2, but for the comparison of single scattering albedo (SSA)
1041 at 550 nm.

1042

1043 Figure 4. Annual mean radiative effect due to aerosol-radiation interactions (RE_{ari})
1044 (W m^{-2}) averaged over the period of 2003-2011 due to (a) all fire aerosols, (c) fire BC,
1045 and (e) fire POM estimated with the method of BBFFBF (left panels), and with the
1046 method of Ghan (2013) ((b), (d), and (f) in the right panels). The plus signs in Figure
1047 4(b), (d) and (f) denote the regions where the radiative effect estimated with Ghan
1048 [2013] is statistically significant at the 0.05 level.

1049

1050 Figure 5. (a) September-October-November (SON) mean fire aerosol radiative effect
1051 due to aerosol-radiation interactions (RE_{ari}) (W m^{-2}) for the period of 2003-2011 over
1052 the Southeast Atlantic Ocean due to all fire aerosols. (b) and (c) are the same as (a),
1053 but for the above-cloud aerosol RE_{ari} for the period of 2007-2011 estimated using
1054 Aqua/MODIS and Terra/MODIS products [Zhang *et al.*, 2014], respectively.

1055

1056 Figure 6. Annual mean radiative effect due to aerosol-cloud interactions (RE_{aci}) (W
1057 m^{-2}) averaged over the period of 2003-2011 due to (a) all fire aerosols, (b) fire BC,
1058 and (c) fire POM. The plus signs denote the regions where the radiative effect is
1059 statistically significant at the 0.1 level.

1060

1061 Figure 7. Seasonal variation of radiative effect of all fire aerosols due to aerosol-cloud
1062 interactions (RE_{aci}) (W m^{-2}) for the period of 2003-2011 for (a)
1063 December-January-February (DJF), (b) March-April-May (MAM), (c)
1064 June-July-August (JJA), and (d) September-October-November (SON). The plus signs
1065 denote the regions where the radiative effect is statistically significant at the 0.05
1066 level.

1067

1068 Figure 8. Month-latitude cross sections of zonal mean and monthly (a)
1069 vertically-integrated concentrations (mg m^{-2}) of fire BC and (b) fire POM, (c) cloud
1070 liquid water path (LWP, in g m^{-2}), (d) low-level cloud cover (CLDLow, in %), (e)
1071 radiative effect due to aerosol-radiation interactions (RE_{ari} , in W m^{-2}), and (f)
1072 radiative effect due to aerosol-cloud interactions (RE_{aci} , in W m^{-2}) of all fire aerosols.

1073

1074 Figure 9. Evaluation of CAM5 simulated black carbon (BC) concentration for the
1075 period of 2003-2011 (in ng g^{-1}) in the top snow layer against observations in the
1076 Arctic [Doherty *et al.*, 2010] and Northern China [Wang *et al.*, 2013b]. The top snow
1077 layer ranges in thickness from 1 to 3 cm. Configuration of the two CAM5 simulations
1078 (FIRE and NOFIRE) is summarized in Table 1. Panel (a) shows the comparisons at
1079 different latitudes. The box and whisker plot in panel (b) shows the minimum and
1080 maximum value with the bar, the 25th and 75th percentiles with the box, the 50th
1081 percentile (i.e., median) by the bar within the box, and the mean value with the dot.

1082

1083 Figure 10. (a) Annual mean radiative effect due to surface albedo changes (RE_{Sac} , W
1084 m^{-2}) averaged over the period of 2003-2011 of all fire aerosols over land regions, and
1085 annual mean surface effect of fire BC-in-snow calculated from SNICAR averaged (b)
1086 over all times and (c) only when snow is present. The plus signs in (a) denote the
1087 regions where the radiative effect is statistically significant at the 0.1 level.

1088

1089 Figure 11. Annual mean net shortwave flux changes (W m^{-2}) over the period of
1090 2003-2011 (a) at top of the atmosphere, (b) in the atmosphere, (c) at surface, and
1091 changes of (d) surface air temperature (TS, K), (e) convective precipitation (mm d^{-1}),
1092 and (f) large-scale precipitation (mm d^{-1}) due to all fire aerosols. The plus signs
1093 denote the regions where the change is statistically significant at the 0.1 level.

1094

1095 Figure 12. Changes in (a) surface air temperature (K), (b) total precipitation (mm d^{-1}),
1096 (c) cloud liquid water path (g m^{-2}), and (d) low-level cloud cover (%) due to all fire
1097 aerosols in the boreal summer (JJA) averaged for the period of 2003-2011. The plus
1098 signs denote the regions where the change is statistically significant at the 0.1 level.

1099

1100

Table 1. Numerical experiments and associated fire aerosol emissions in each experiment.

Experiment	Ensembles	Fire BC	Fire POM	Fire SO ₂
FIRE	10	On	On	On
NOFIRE	10	Off	Off	Off
NOFIREBC	10	Off	On	On
NOFIREPOM	10	On	Off	On
FIRE_BBFFBF	1	On	On	On

Table 2. Global, tropics (25°S to 25°N) and Arctic (60°N to 90°N) annual mean fire aerosol (POM and BC) burdens, fire aerosol AOD, total fire aerosol radiative effect (RE) at TOA, radiative effects due to aerosol-radiation interactions (REari), due to aerosol-cloud interactions (REaci), and due to surface albedo changes (REsac), and changes in cloud liquid water path (LWP), low-level cloud cover, net solar fluxes at surface, shortwave atmospheric absorption, surface air temperature, and precipitation (total, convective, and large-scale) due to all fire aerosols. Standard deviations about the 10-ensemble means are included. The change shown in bold character is statistically significant at the 0.05 level.

	Global	Tropics (25°S to 25°N)	Arctic (60°N to 90°N)
Fire POM burden (mg m ⁻²)	1.25 ± 0.01	1.87 ± 0.01	1.70 ± 0.08
Fire BC burden (mg m ⁻²)	0.106 ± 0.001	0.17 ± 0.001	0.09 ± 0.004
Fire aerosol optical depth	0.008 ± 0.001	0.012 ± 0.001	0.007 ± 0.0004
Total radiative effect (W m ⁻²)	-0.55 ± 0.07	-0.66 ± 0.09	-1.35 ± 1.03
Radiative effect due to ARI (W m ⁻²)	0.16 ± 0.01	0.17 ± 0.017	0.43 ± 0.028
Radiative effect due to ACI (W m ⁻²)	-0.70 ± 0.05	-0.82 ± 0.09	-1.38 ± 0.23
Radiative effect due to surface albedo changes (over land, W m ⁻²)	0.03 ± 0.10	-0.04 ± 0.06	0.09 ± 0.80
Cloud liquid water path (g m ⁻²)	1.62 ± 0.01	1.95 ± 0.13	2.59 ± 0.25
Low-level cloud cover (%)	0.012 ± 0.06	-0.055 ± 0.05	0.46 ± 0.45
Net solar flux at surface (W m ⁻²)	-1.38 ± 0.05	-1.91 ± 0.12	-2.27 ± 1.04
Shortwave atmospheric absorption (W m ⁻²)	0.83 ± 0.03	1.25 ± 0.04	0.92 ± 0.05
Surface air temperature (K)	-0.03 ± 0.03	-0.024 ± 0.011	-0.15 ± 0.20
Total precipitation rate (mm day ⁻¹)	-0.010 ± 0.002	-0.016 ± 0.01	-0.001 ± 0.02
Convective precipitation rate (mm day ⁻¹)	-0.003 ± 0.002	-0.001 ± 0.009	-0.005 ± 0.003
Large-scale precipitation rate (mm day ⁻¹)	-0.007 ± 0.002	-0.015 ± 0.003	0.004 ± 0.019

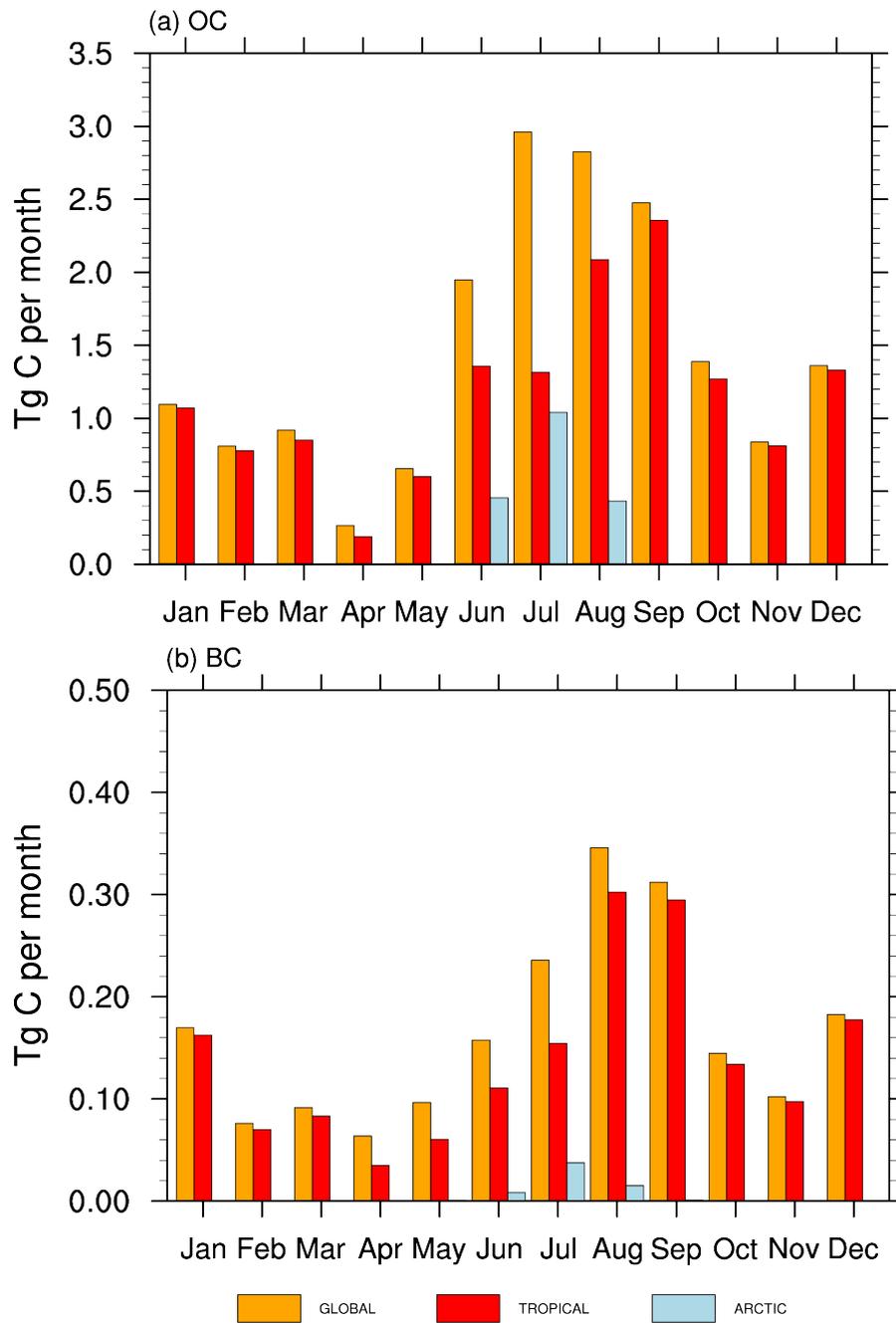


Figure 1. Seasonal variation of GFED monthly fire (a) organic carbon (OC) and (b) black carbon (BC) emissions (Tg C month^{-1}) averaged for the period of year 2003 to 2011 in the global, tropical (25°S to 25°N) and Arctic (60°N to 90°N) regions.

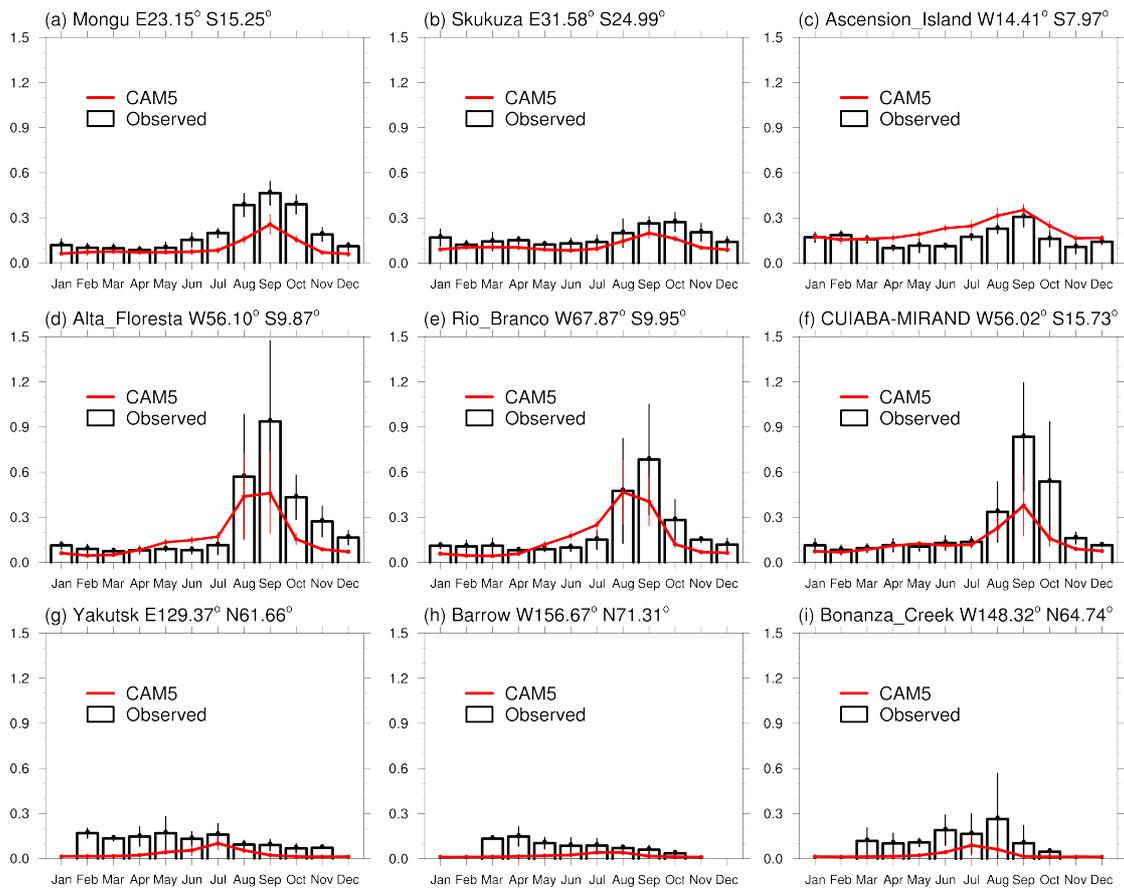


Figure 2. Comparison of modeled seasonal variations of aerosol optical depth (AOD) for the period of 2003-2011 with observations for the same period from the AERONET sites. The upper, middle, and bottom panels represent the sites in southern Africa, South America, and the Arctic, respectively.

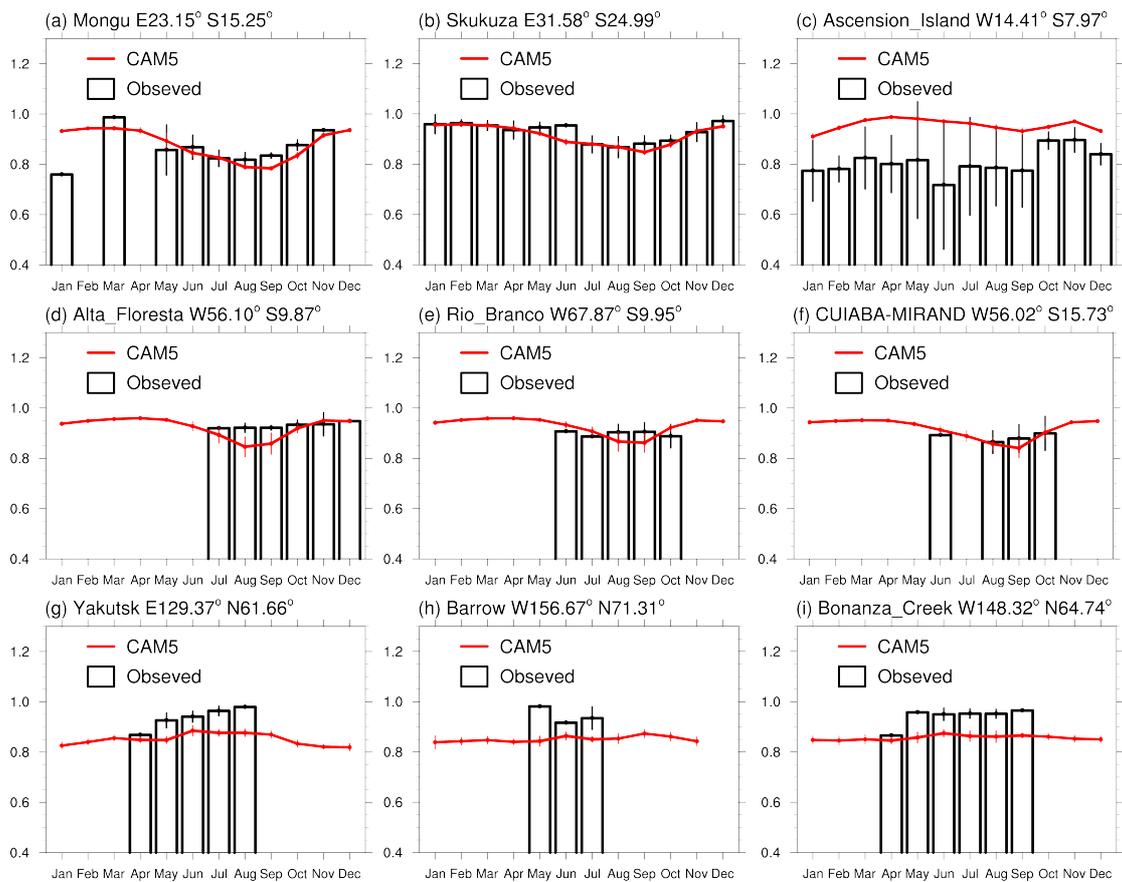


Figure 3. Same as Figure 2, but for the comparison of single scattering albedo (SSA) at 550 nm.

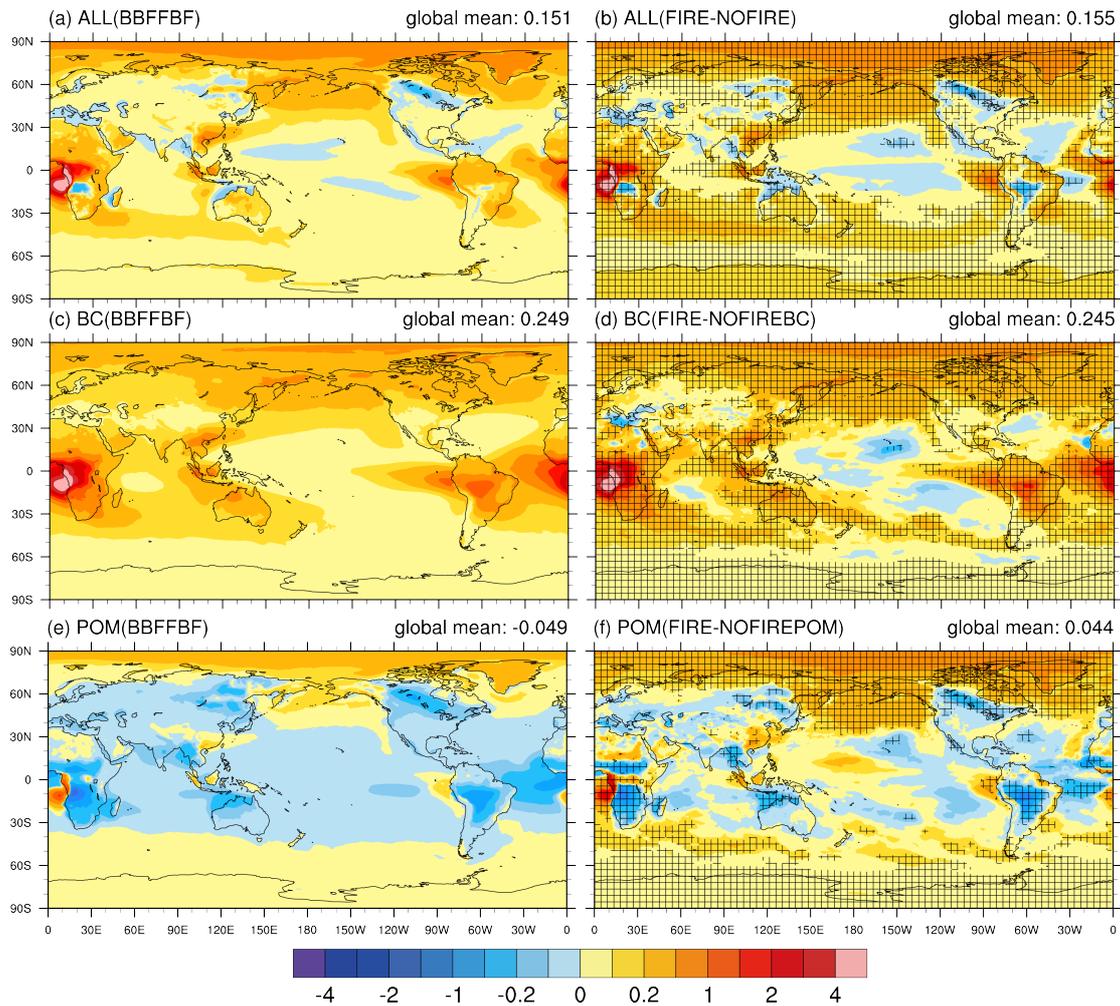


Figure 4. Annual mean radiative effect due to aerosol-radiation interactions (RE_{ari}) (W m^{-2}) averaged over the period of 2003-2011 due to (a) all fire aerosols, (c) fire BC, and (e) fire POM estimated with the method of BBFFBF (left panels), and with the method of Ghan (2013) ((b), (d), and (f) in the right panels). The plus signs in Figure 4(b), (d) and (f) denote the regions where the radiative effect estimated with Ghan [2013] is statistically significant at the 0.05 level.

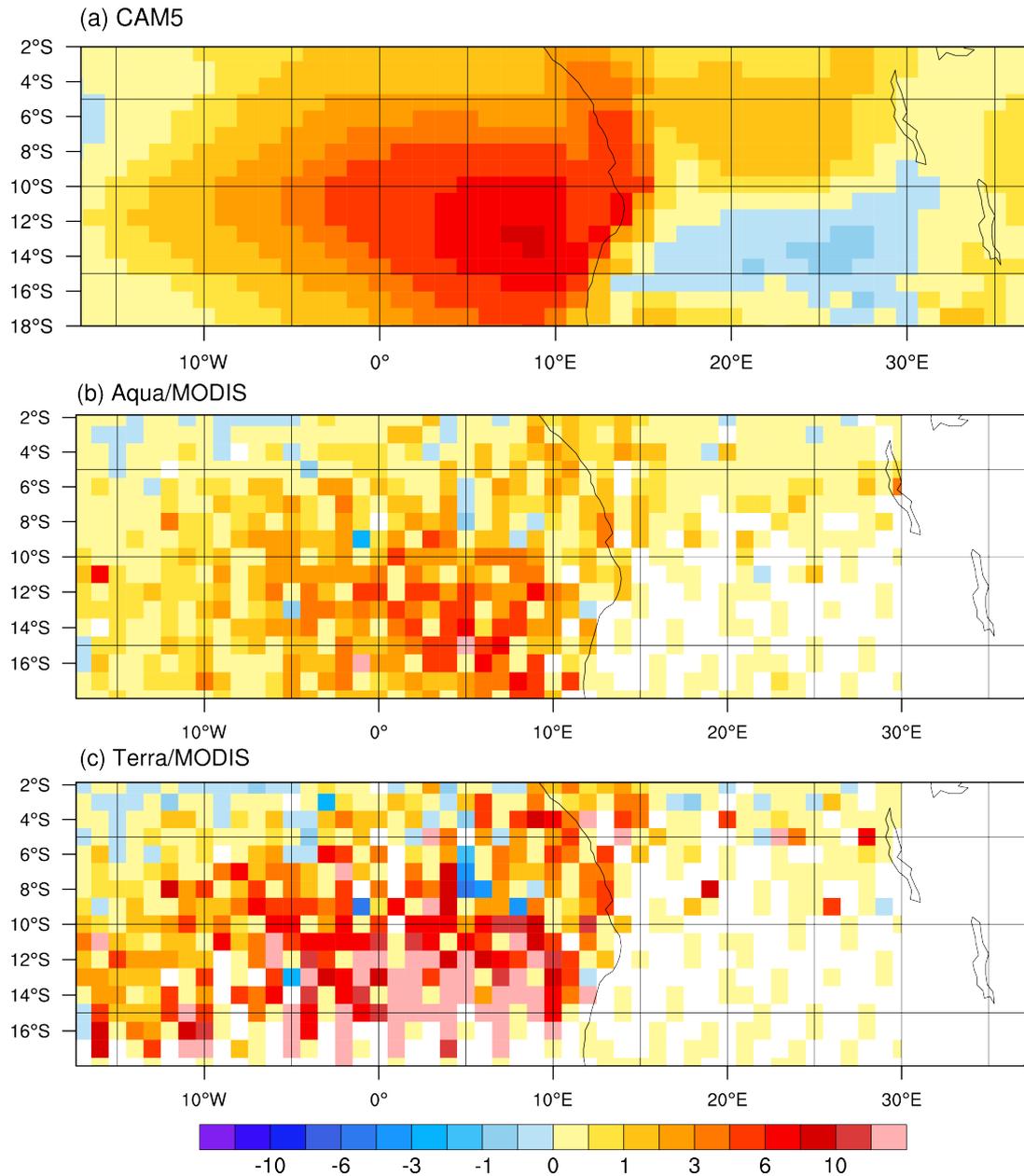


Figure 5. (a) September-October-November (SON) mean fire aerosol radiative effect due to aerosol-radiation interactions (RE_{ari}) (W m^{-2}) for the period of 2003-2011 over the Southeast Atlantic Ocean due to all fire aerosols. (b) and (c) are the same as (a), but for the above-cloud aerosol RE_{ari} for the period of 2007-2011 estimated using Aqua/MODIS and Terra/MODIS products [Zhang *et al.*, 2014], respectively.

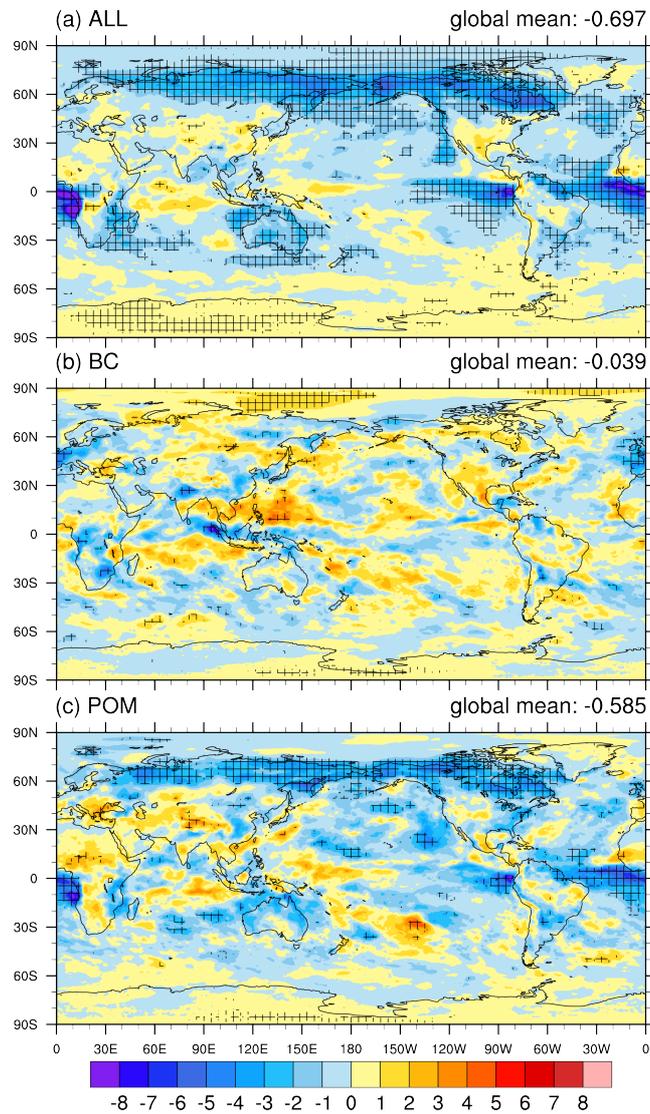


Figure 6. Annual mean radiative effect due to aerosol-cloud interactions (REaci) (W m^{-2}) averaged over the period of 2003-2011 due to (a) all fire aerosols, (b) fire BC, and (c) fire POM. The plus signs denote the regions where the radiative effect is statistically significant at the 0.1 level.

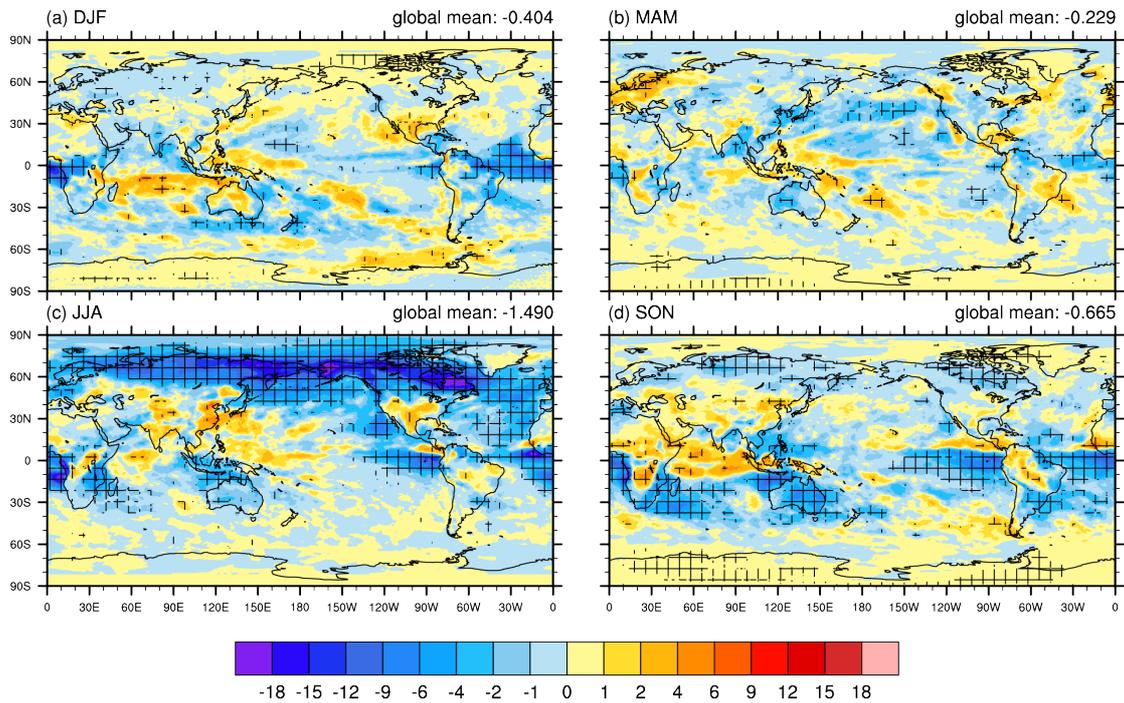


Figure 7. Seasonal variation of radiative effect of all fire aerosols due to aerosol-cloud interactions (RE_{aci}) ($W m^{-2}$) for the period of 2003-2011 for (a) December-January-February (DJF), (b) March-April-May (MAM), (c) June-July-August (JJA), and (d) September-October-November (SON). The plus signs denote the regions where the radiative effect is statistically significant at the 0.05 level.

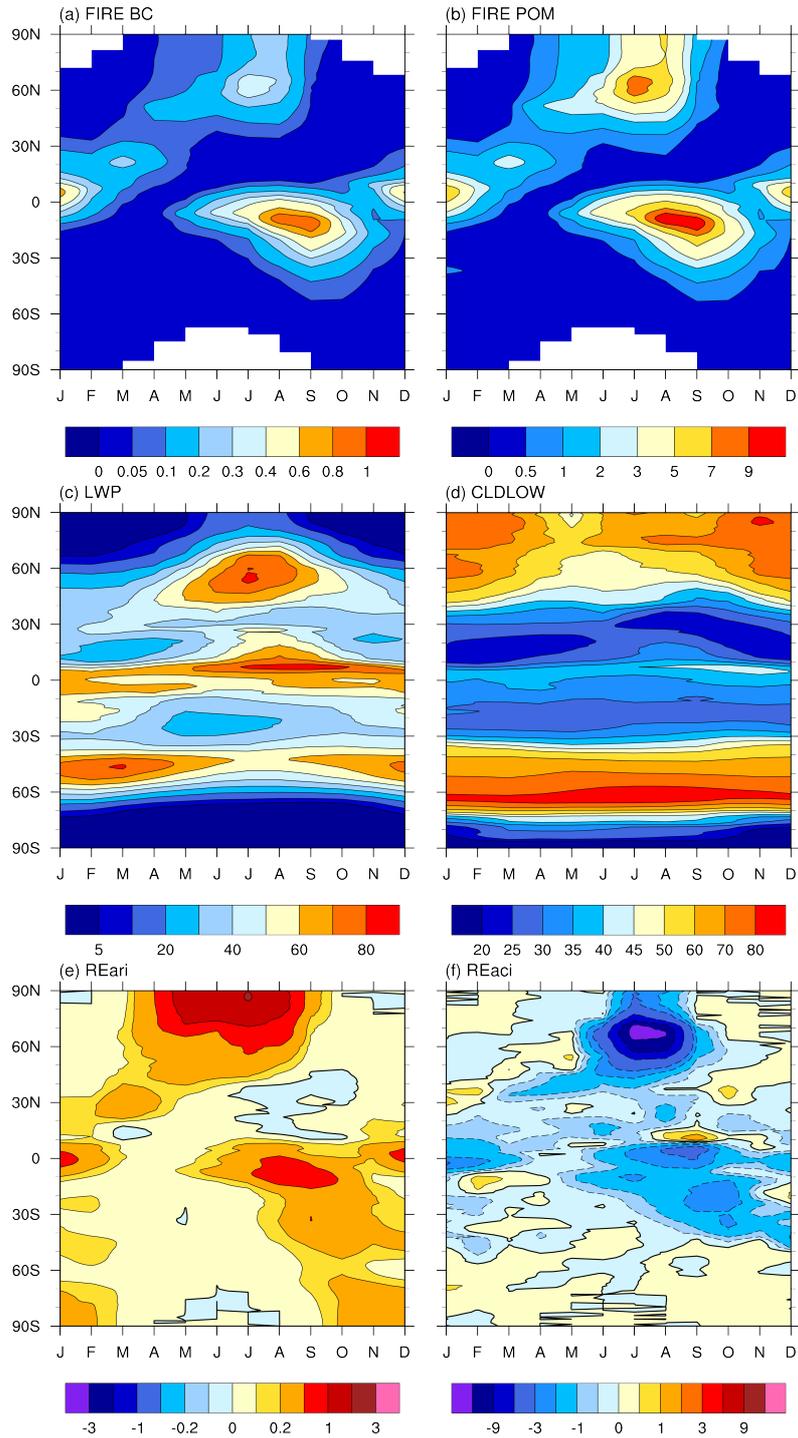


Figure 8. Month-latitude cross sections of zonal mean and monthly (a) vertically-integrated concentrations (mg m^{-2}) of fire BC and (b) fire POM, (c) cloud liquid water path (LWP, in g m^{-2}), (d) low-level cloud cover (CLDLLOW, in %), (e) radiative effect due to aerosol-radiation interactions (REari, in W m^{-2}), and (f) radiative effect due to aerosol-cloud interactions (REaci, in W m^{-2}) of all fire aerosols.

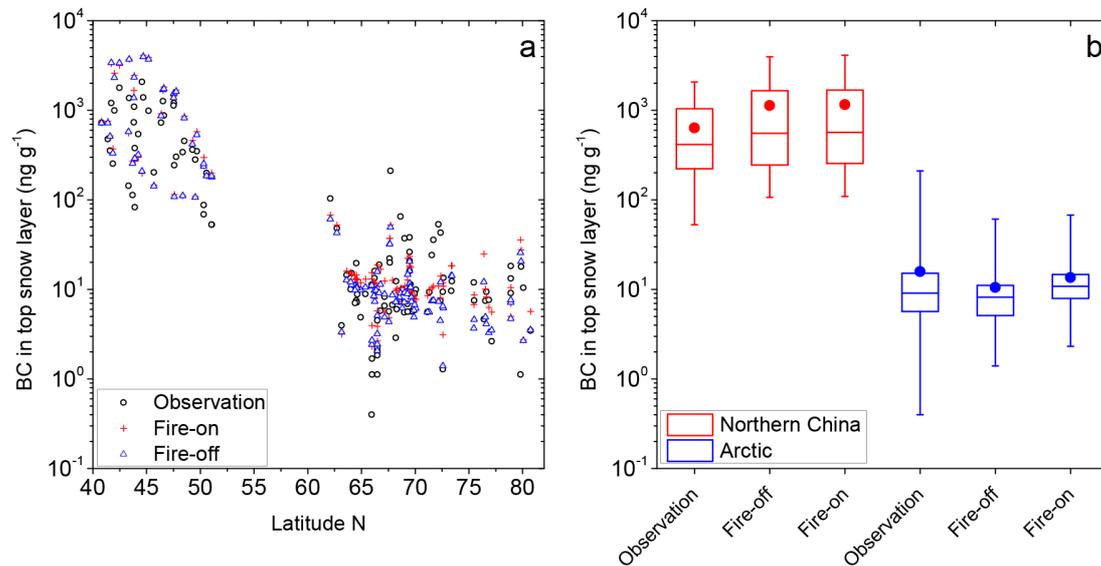


Figure 9. Evaluation of CAM5 simulated black carbon (BC) concentration for the period of 2003-2011 (in ng g^{-1}) in the top snow layer against observations in the Arctic [Doherty *et al.*, 2010] and Northern China [Wang *et al.*, 2013b]. The top snow layer ranges in thickness from 1 to 3 cm. Configuration of the two CAM5 simulations (FIRE and NOFIRE) is summarized in Table 1. Panel (a) shows the comparisons at different latitudes. The box and whisker plot in panel (b) shows the minimum and maximum value with the bar, the 25th and 75th percentiles with the box, the 50th percentile (i.e., median) by the bar within the box, and the mean value with the dot.

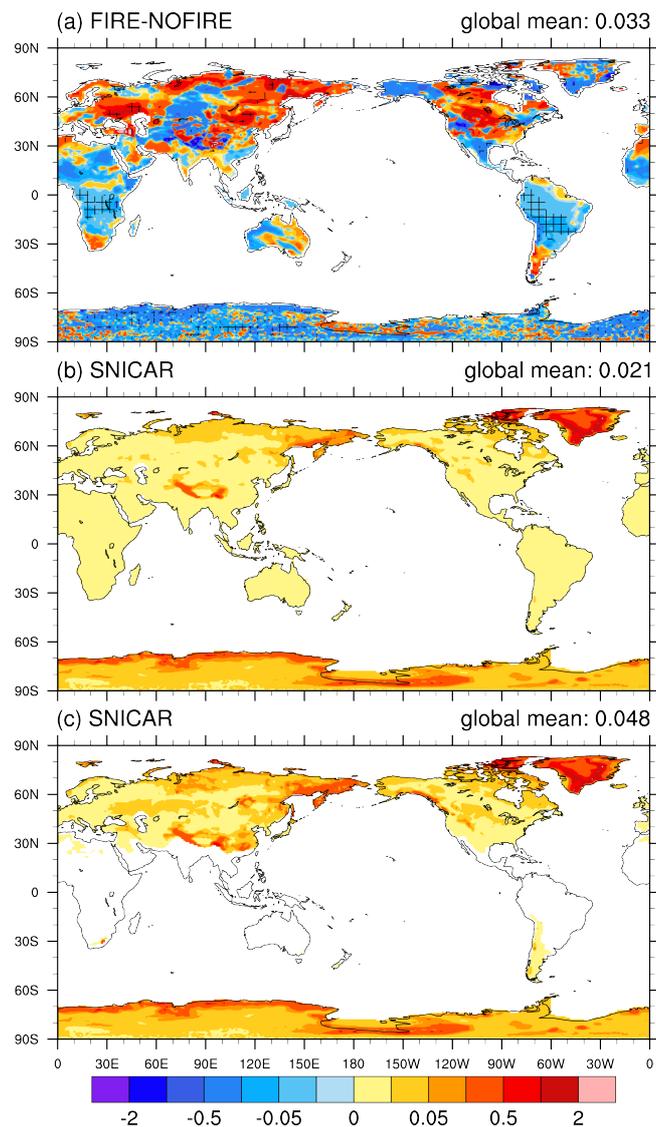


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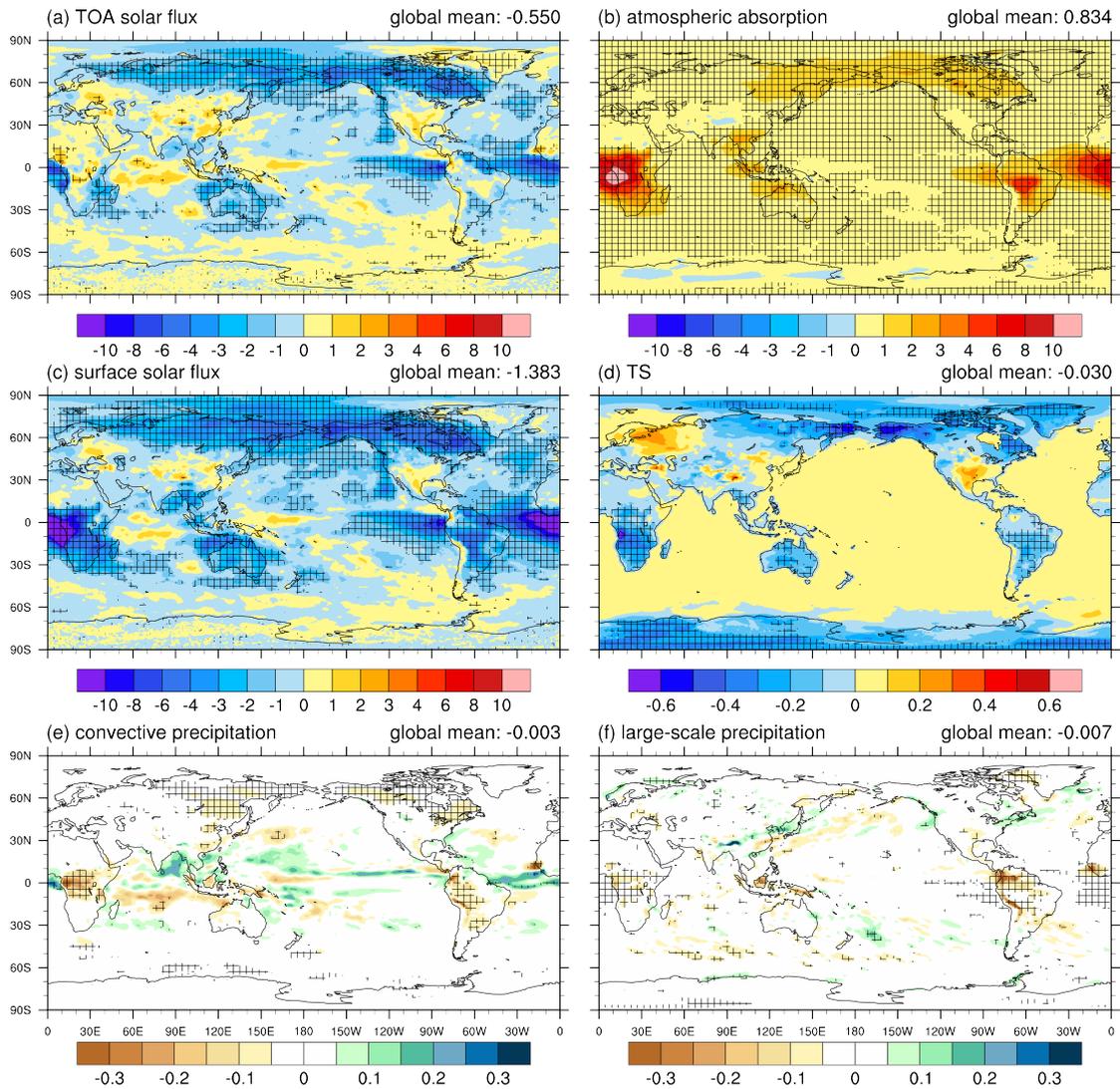


Figure 11. Annual mean net shortwave flux changes (W m^{-2}) over the period of 2003-2011 (a) at top of the atmosphere, (b) in the atmosphere, (c) at surface, and changes of (d) surface air temperature (TS, in K), (e) convective precipitation (mm d^{-1}), and (f) large-scale precipitation (mm d^{-1}) due to all fire aerosols. The plus signs denote the regions where the change is statistically significant at the 0.1 level.

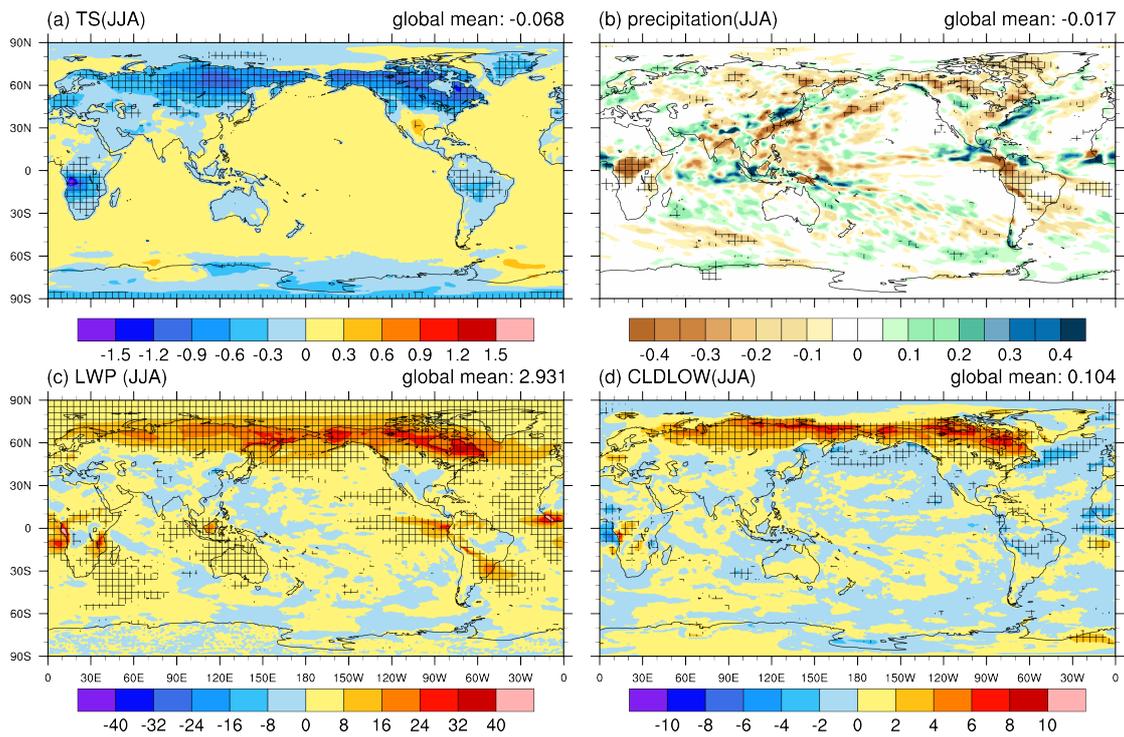


Figure 12. Changes in (a) surface air temperature (TS, in K), (b) total precipitation (mm d^{-1}), (c) cloud liquid water path (LWP, in g m^{-2}), and (d) low-level cloud cover (CLDLow, in %) due to all fire aerosols in the boreal summer (JJA) averaged for the period of 2003-2011. The plus signs denote the regions where the change is statistically significant at the 0.1 level.