

We thank the reviewer for his/her careful reviews and helpful comments. The manuscript has been revised accordingly and our point-by-point responses are provided below. (Reviewer's comments are in italic and the responses in standard font).

***Minor criticisms.***

*Line 280-282. Why is the OC to BC ratio in emissions of forest fires almost 3 times higher than that from other kinds of fires (grassland, savannah, and deforestation)?*

→Reply: The OC to BC ratio in emissions strongly depends on the burning phases (smoldering versus flaming phases). For forest fires, most of the emissions come from the smoldering phase of burning, which has a higher OC to BC ratio. For other kinds of fires (grassland, savannah, and deforestation), the emissions come mainly from the flaming phase of burning, which yields a lower OC to BC ratio.

We have added a note in the revised manuscript.

*Lines 428-431. The authors note that the sum of ARI radiative effects from individual components (BC and POM) is greater than the radiative effects due to all aerosols. They then state that this is evidence of nonlinear interactions among aerosol components. The reader would appreciate more details on these nonlinear interactions, perhaps an example.*

→Reply: In the MAM4 aerosol module, individual aerosol components (e.g., fire BC and fire POM) are internally mixed within aerosol modes, thus different aerosol components can influence each other in the ARI radiative effects. An example of nonlinear interactions among aerosol components includes: fire POM and water on fire BC particles enhance solar absorption by the fire BC. This will make the sum of radiative effects from fire POM and BC greater than that due to all fire aerosols.

We have added a note in the revised manuscript.

*Lines 450-452. Again some explanation or examples of nonlinear interactions affecting ACI radiative effects would be helpful.*

→Reply: The sum of RE<sub>aci</sub> from fire BC and POM ( $-0.62 \pm 0.03 \text{ W m}^{-2}$ ) is smaller in magnitude than that of all fire aerosols ( $-0.70 \pm 0.05 \text{ W m}^{-2}$ ). This is because the internal mixing of fire POM and fire BC by all fire aerosols enhances the cloud droplet number concentration in comparison to the sum of cloud droplet number concentrations from individual fire POM and fire BC (Jiang et al., 2013).

We have added a note in the revised manuscript.

1 **Impacts of Global Open Fire Aerosols on Direct Radiative, Cloud**  
2 **and Surface-Albedo Effects Simulated with CAM5**

3  
4 Yiquan Jiang<sup>1,2</sup>, Zheng Lu<sup>2</sup>, Xiaohong Liu<sup>2\*</sup>, Yun Qian<sup>3</sup>, Kai Zhang<sup>3</sup>, Yuhang Wang<sup>4</sup>  
5 and Xiu-Qun Yang<sup>1</sup>  
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7  
8 <sup>1</sup> *CMA-NJU Joint Laboratory for Climate Prediction Studies, Institute for Climate*  
9 *and Global Change Research, School of Atmospheric Sciences, Nanjing University,*  
10 *Nanjing, China*

11  
12 <sup>2</sup> *Department of Atmospheric Science, University of Wyoming*

13  
14 <sup>3</sup> *Pacific Northwest National Laboratory, Richland, Washington, USA*

15  
16 <sup>4</sup> *School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta,*  
17 *Georgia, USA*

18  
19  
20  
21 \*Corresponding author:

22  
23 Dr. Xiaohong Liu  
24 Department of Atmospheric Science  
25 University of Wyoming  
26 Laramie, WY 82071  
27 Phone (307) 766-3225  
28 E-mail: xliu6@uwyo.edu  
29

30 **Abstract**

31 Aerosols from open-land fires could significantly perturb the global radiation  
32 balance and induce the climate change. In this study, Community Atmospheric Model  
33 version 5 (CAM5) with prescribed daily fire aerosol emissions is used to investigate  
34 the spatial and seasonal characteristics of radiative effects (REs, relative to the case of  
35 no fires) of open fire aerosols including black carbon (BC) and particulate organic  
36 matter (POM) from 2003 to 2011. The global annual mean RE due to  
37 aerosol-radiation interactions (RE<sub>ari</sub>) of all fire aerosols is  $0.16 \pm 0.01 \text{ W m}^{-2}$  ( $1\sigma$   
38 uncertainty), mainly due to the absorption of fire BC ( $0.25 \pm 0.01 \text{ W m}^{-2}$ ), while fire  
39 POM induces a small overall effect ( $-0.05 \text{ W m}^{-2}$  and  $0.04 \pm 0.01 \text{ W m}^{-2}$ , respectively  
40 based on two methods). Strong positive RE<sub>ari</sub> is found in the Arctic and in the  
41 oceanic regions west of southern Africa and South America as a result of amplified  
42 absorption of fire BC above low-level clouds, in general agreement with satellite  
43 observations. The global annual mean RE due to aerosol-cloud interactions (RE<sub>aci</sub>) of  
44 all fire aerosols is  $-0.70 \pm 0.05 \text{ W m}^{-2}$ , resulting mainly from the fire POM effect  
45 ( $-0.59 \pm 0.03 \text{ W m}^{-2}$ ). RE<sub>ari</sub> ( $0.43 \pm 0.03 \text{ W m}^{-2}$ ) and RE<sub>aci</sub> ( $-1.38 \pm 0.23 \text{ W m}^{-2}$ ) in the  
46 Arctic are stronger than those in the tropics ( $0.17 \pm 0.02$  and  $-0.82 \pm 0.09 \text{ W m}^{-2}$ ,  
47 respectively for RE<sub>ari</sub> and RE<sub>aci</sub>), although the fire aerosol burden is higher in the  
48 tropics. The large cloud liquid water path over land areas and low solar zenith angle  
49 of the Arctic favor the strong fire aerosol RE<sub>aci</sub> (up to  $-15 \text{ W m}^{-2}$ ) during the Arctic  
50 summer. Significant surface cooling, precipitation reduction and low-level cloud  
51 amount increase are also found in the Arctic summer as a result of the fire aerosol  
52 RE<sub>aci</sub> based on the atmosphere-only simulations. The global annual mean RE due to

53 surface albedo changes (RE<sub>fac</sub>) over land areas ( $0.03 \pm 0.10 \text{ W m}^{-2}$ ) is small and  
54 statistically insignificant, and is mainly due to the fire BC-in-snow effect ( $0.02 \text{ W m}^{-2}$ )  
55 with the maximum albedo effect occurring in spring ( $0.12 \text{ W m}^{-2}$ ) when snow starts to  
56 melt.

57

## 58 **1. Introduction**

59 Open fires or biomass burning of living and dead vegetation are an integral  
60 component of the Earth system, and have significant impacts on the carbon cycle  
61 [*Ciais et al.*, 2013] and the climate [*Bowman et al.*, 2009; *Keywood et al.*, 2011; *Liu et*  
62 *al.*, 2014; *Sommers et al.*, 2014; *Voulgarakis and Field*, 2015]. On one hand, open  
63 fires can perturb the climate system by emitting greenhouse gases and aerosols  
64 [*Kaiser et al.*, 2012; *Wiedinmyer et al.*, 2011]. On the other hand, climate states and  
65 variabilities can play a critical role in determining the occurrence frequency and  
66 intensity of open fires [*Marlon et al.*, 2009; *van der Werf et al.*, 2008; *Westerling et*  
67 *al.*, 2006; *Bistinas et al.*, 2014]. However, there are still large unknowns regarding the  
68 feedback mechanisms between open fire and climate interactions [*Carshaw et al.*,  
69 2010; *Liu et al.*, 2014]. A qualification of radiative forcing of fire aerosols as  
70 conducted in this study is the first step to reduce these uncertainties.

71 Particles emitted from open fires can exert significant perturbations to the  
72 climate system by scattering and absorbing the solar radiation in the atmosphere (i.e.,  
73 direct effect) [*Carshaw et al.*, 2010] and by changing the surface albedo when they are  
74 deposited on the snow and ice (i.e., surface albedo effect) [*Flanner et al.*, 2007; *Quinn*  
75 *et al.*, 2008; *Randerson et al.*, 2006; *Qian et al.*, 2011, 2015]. In addition, open fire or

76 smoke particles can modify the cloud properties, precipitation efficiency, and the  
77 hydrological cycle by changing the atmospheric thermal structure (i.e., semi-direct  
78 effect) [*Koch and Del Genio, 2010; Andreae et al., 2004b*] or acting as cloud  
79 condensation nuclei (CCN) (i.e., indirect effects) [*Andreae and Rosenfeld, 2008; Qian*  
80 *et al., 2009; Lu and Sokolik, 2013*].

81 The radiative effect (RE) [*Boucher and Tanre, 2000*] and radiative forcing (RF)  
82 [*Forster et al., 2007; Myhre et al., 2013a*] are typical metrics used to assess and  
83 compare anthropogenic and natural drivers of climate change. The aerosol RE  
84 represents the instantaneous radiative impact of atmospheric particles on the Earth's  
85 energy balance [*Heald et al., 2014*]. RF is calculated as the change of RE between  
86 two different periods, e.g., the pre-industrial and the present-day times [*Heald et al.,*  
87 *2014; Liu et al., 2007*], based on the aerosol and precursor gas emissions in the two  
88 periods [*Dentener et al., 2006; Lamarque et al., 2010*].

89 RF due to aerosol and radiation interactions (RFari) of biomass burning aerosols  
90 has been estimated since the IPCC second Assessment Report (AR2). Based on the  
91 Aerosol Comparisons between Observations and Models (AeroCom) Phase II  
92 simulations [*Bond et al., 2013; Myhre et al., 2013b*], RFari of biomass burning  
93 aerosols in the IPCC Fifth Assessment Report (AR5) is estimated to be  $0.0 \text{ W m}^{-2}$   
94 (ranging from  $-0.20$  to  $0.20 \text{ W m}^{-2}$ ), and RFari of biomass burning black carbon (BC)  
95 and primary organic matter (POM) are of the opposite sign (i.e.,  $0.10$  and  $-0.10 \text{ W m}^{-2}$ ,  
96 respectively).

97 There are also some studies that estimated the RE due to aerosol and radiation

98 interactions (RE<sub>ari</sub>) of fire aerosols by comparing the simulation with fire emissions  
99 against the simulation with no fire emissions. For example, using the NCAR  
100 Community Atmosphere Model version 4 (CAM4) with a bulk aerosol module, *Tosca*  
101 *et al.* [2013] reported that the top-of-atmosphere (TOA) RE<sub>ari</sub> from global biomass  
102 burning aerosols is  $0.18 \pm 0.10 \text{ W m}^{-2}$  averaged for the period of 1997-2009. *Ward et al.*  
103 [2012] estimated the RE<sub>ari</sub> from biomass burning aerosols in the pre-industrial (for  
104 the year 1850), present-day (for the year 2000), and future time periods (for the year  
105 2100), and found that the biomass burning aerosol RE<sub>ari</sub> for the year 2000 is  $0.13 \text{ W}$   
106  $\text{m}^{-2}$  and  $-0.27 \text{ W m}^{-2}$  in all-sky and clear-sky conditions, respectively.

107 RE due to aerosol and cloud interactions (RE<sub>aci</sub>) of biomass burning aerosols can  
108 be comparable in magnitude to or even stronger than the RE<sub>ari</sub> [*Liu et al.*, 2014].

109 With a global aerosol-climate model, the RE<sub>aci</sub> of biomass burning aerosols was  
110 estimated to range from  $-1.74$  to  $-1.00 \text{ W m}^{-2}$  for the year 2000 in *Ward et al.* [2012].

111 The semi-direct radiative effect of biomass burning aerosols is not independently  
112 assessed in IPCC reports. The magnitude was reported to be about  $7.0 \text{ W m}^{-2}$  in the  
113 Southern American biomass burning regions by examining the radiative flux  
114 difference with and without the biomass burning aerosol effect on clouds [*Liu*, 2005].

115 The RF or RE due to surface albedo changes (RF<sub>sac</sub> or RE<sub>sac</sub>) of BC from open  
116 fires and other sources has been estimated in previous studies. For biomass burning  
117 emissions with a strong (1998) and weak (2001) boreal fire year, RE of fire  
118 BC-in-snow was estimated to be  $0.011$  and  $0.006 \text{ W m}^{-2}$ , respectively [*Flanner et al.*,  
119 2007]. *Randerson et al.* [2006] reported that BC from a boreal forest fire deposited on

120 snow and sea ice introduced a global annual mean RE of  $8\pm 5$  W per  $\text{m}^2$  of burned area  
121 in the first year when the fire happened. A summary of BC-in-snow forcing/effect can  
122 be found in *Bond et al.* [2013]. They reported that the present-day RE of fire  
123 BC-in-snow ranges from 0.006 to  $0.02 \text{ W m}^{-2}$  based on previous studies [*Jacobson,*  
124 *2004; Rypdal et al., 2009; Skeie et al., 2011; Hansen et al., 2005; Flanner et al., 2007,*  
125 *2009; Koch et al., 2009*].

126 Biomass burning aerosols can have significant impacts on global and regional  
127 precipitation and atmospheric circulation. With the change of fire emissions from year  
128 1860 to 2000, *Jones et al.* [2007] found that biomass burning aerosols decrease the  
129 global near-surface air temperature by about  $0.25^\circ\text{C}$ , when considering the feedbacks  
130 of sea surface temperature (SST) in the model. As shown in *Tosca et al.* [2013], the  
131 direct and semi-direct effects of biomass burning aerosols reduce the precipitation  
132 near the equator and weaken the Hadley circulation. With a regional climate model,  
133 *Zhang et al.* [2009] found that biomass burning aerosols may warm and stabilize the  
134 lower troposphere and thus reinforce the dry season rainfall pattern in the Southern  
135 Amazonia. The absorption of shortwave radiation by biomass burning BC could  
136 increase the vertical stratification and inhibit both the cloud formation and  
137 precipitation [*Ackerman et al., 2000; Tosca et al., 2014*]. In contrast, biomass burning  
138 aerosols could invigorate the convective clouds [*Andreae et al., 2004a; Koren et al.,*  
139 *2005*] through suppressing warm rain processes in the convection, and enhance the  
140 latent heat release at higher levels [*Andreae and Rosenfeld, 2008*].

141 Although there have been many studies quantifying the RE of fire aerosols, a

142 further investigation is still needed, as the current estimations of fire aerosol RE are  
143 still associated with large uncertainties [e.g., *Myhre and Samset, 2015; Chakrabarty et*  
144 *al., 2014*]. The REs of co-emitted fire POM versus BC are even less clear. In this  
145 study, we estimate the present day (from year 2003 to 2011) open fire aerosol REs  
146 (including RE<sub>ari</sub>, RE<sub>aci</sub> and RE<sub>sac</sub>) using the NCAR Community Atmosphere Model  
147 version 5.3 (CAM5) with the four-mode version of the modal aerosol module  
148 (MAM4). We use two methods to calculate the RE<sub>ari</sub> of fire aerosols (total, BC-only,  
149 and POM-only). One method estimates the RE<sub>ari</sub> based on different model  
150 simulations [*Ghan, 2013*], and the other one calculates the RE<sub>ari</sub> directly through  
151 multiple diagnostic radiation calls in a single simulation. The spatial and seasonal  
152 characteristics of fire aerosol REs, and the impacts on the global precipitation and  
153 temperature are discussed.

154 Compared to earlier studies of fire aerosol REs [*Tosca et al., 2013; Ward et al.,*  
155 *2012*], a number of improvements are made in this study. First, a higher model  
156 horizontal resolution at 0.9° by 1.25° is used versus 1.9° by 2.5°. The higher  
157 resolution allows more efficient transport of aerosols from the sources to remote  
158 regions [*Ma et al., 2013; 2014*]. Model resolution has also been shown to be important  
159 for aerosol RE<sub>aci</sub> [*Ma et al., 2015*]. Second, the latest CAM5 model with MAM4 is  
160 used. MAM4 with an additional primary carbon mode explicitly treats the  
161 microphysical ageing of primary carbonaceous aerosols (POM/BC) in the atmosphere.  
162 MAM4 has higher BC and POM burdens over the earlier three-mode version of  
163 MAM (MAM3) in the remote regions by ~30% [*Liu et al., 2016*]. Third, daily instead

164 of monthly fire emissions are used, which allows the model to consider the effect of  
165 fast changes in the fire emission flux on local atmospheric conditions. It is expected  
166 that using the monthly mean emission flux the model can not consider the effect of  
167 extremely strong fires, thus it might underestimate the fire aerosol REs for such cases.  
168 Finally, a new methodology [Ghan, 2013] is used to more accurately diagnose the  
169 REs of fire aerosols. Central to this method is that the RE<sub>ari</sub> must be calculated in the  
170 presence of clouds (i.e., under the all-sky condition), and the RE<sub>aci</sub> be calculated  
171 under the condition of no aerosol effects on radiation. With the radiative forcing  
172 decomposition of this method, RE<sub>sac</sub> can also be quantified.

173 The paper is organized as follows. Section 2 introduces the model and  
174 experiments. Section 3 describes the methods to diagnose the fire aerosol REs.  
175 Section 4 presents the model results of fire aerosol REs, and impacts on global and  
176 regional surface temperature and precipitation. Conclusions and discussion are given  
177 in Section 5.

178

## 179 **2. Model, Experiment Design and Aerosol Radiative Effect Method**

### 180 **2.1 Model**

181 In our study, we use the Community Earth System Model (CESM) version 1.2,  
182 with the Community Atmosphere Model version 5.3 (CAM5.3) [Neale *et al.*, 2010]  
183 coupled with the Community Land Model version 4 (CLM4) [Oleson *et al.*, 2010].  
184 The SNOW, ICE, and Aerosol Radiative model (SNICAR) [Flanner and Zender, 2005]  
185 is turned on in the simulations to diagnose the biomass burning BC-in-snow effect.  
186 CAM5 includes several major updates in its physics parameterizations compared to

187 previous CAM versions. A two-moment stratiform cloud microphysics scheme is  
188 included in CAM5 to predict both the mass and number mixing ratios of cloud liquid  
189 and cloud ice [Morrison and Gettelman, 2008]. MAM4, which was updated from  
190 MAM3 [Liu et al., 2012], includes aerosol mass and number mixing ratios in four  
191 lognormal modes: Aitken, accumulation, coarse, and primary carbon mode [Liu et al.,  
192 2016]. An additional primary carbon mode is included in MAM4 on the top of  
193 MAM3 to explicitly treat the microphysical ageing of primary carbonaceous aerosols  
194 (POM and BC) in the atmosphere. POM and BC in MAM4 are emitted in the primary  
195 carbon mode instead of directly in the accumulation mode as in MAM3. MAM4  
196 significantly increases the BC and POM concentrations in the remote regions (e.g.,  
197 over oceans and Arctic) due to reduced wet scavenging of POM and BC in the  
198 primary carbon mode with a lower hygroscopicity than that in the accumulation mode.  
199 The increase is relatively small in the land source regions [Liu et al., 2016].

200

## 201 2.2 Experiment design

202 CAM5 was run with the finite volume dynamics core in a resolution of 0.9°  
203 latitude by 1.25° longitude and 30 vertical levels. The model was run for the time  
204 period of year 2003 to 2011 (i.e., for 9 years) with prescribed monthly SST and sea  
205 ice. The year 2003 was run twice and the first year simulation was used as a model  
206 spin-up. Global Fire Emissions Database version 3.1 (GFED 3.1) daily emissions  
207 [Giglio et al., 2013] for BC, POM and sulfur dioxide (SO<sub>2</sub>) from 2003 to 2011 are  
208 prescribed, and the vertical distribution of fire emissions is based on the AeroCom

209 protocol [*Dentener et al.*, 2006]. Anthropogenic aerosol and precursor gas emissions  
210 are from the IPCC AR5 dataset [*Lamarque et al.*, 2010]. We performed our control  
211 experiment (FIRE) with the GFED fire emissions turned on and a sensitivity  
212 experiment (NOFIRE) with the fire emissions turned off. Differences between FIRE  
213 and NOFIRE experiments are used to calculate the REs and atmospheric effects of  
214 biomass burning aerosols on temperature and precipitation. Two additional  
215 experiments (NOFIREBC and NOFIREPOM) were performed with fire BC and POM  
216 emissions turned off, respectively. Differences between the control (FIRE) and these  
217 two experiments represent the contribution from biomass burning BC and POM,  
218 respectively. Other forcings (e.g., SST, greenhouse gases) of all these experiments are  
219 kept the same. We performed ten ensemble members for each of these experiments.  
220 Furthermore, we performed the other experiment (FIRE\_BBFFBF) using the modified  
221 CAM5 model that separately predicts the BC and POM from biomass burning (BB),  
222 fossil fuel (FF) and biofuel (BF) sources, while other model features are kept the same  
223 as the FIRE experiment. A summary of all the experiments in this study can be found  
224 in Table 1.

225

### 226 2.3 Methods of calculating fire aerosol radiative effects

227 The REs of all fire aerosols, fire BC, and fire POM are calculated from the  
228 differences of TOA shortwave fluxes ( $\Delta F$ ) between the FIRE experiment and the  
229 three other experiments (NOFIRE, NOFIREBC and NOFIREPOM), respectively. All  
230 the atmospheric variables (including temperature, precipitation, and circulation) are

231 allowed to adjust in the experiments. However, with SST and sea ice prescribed in  
 232 these experiments, only the rapid adjustments are taken into account. Thus the  
 233 *effective* radiative effects are actually calculated in this study.

$$234 \quad \Delta F_{\text{fire aero}} = F_{\text{fire}} - F_{\text{nofire}} \quad (1)$$

$$235 \quad \Delta F_{\text{fire bc}} = F_{\text{fire}} - F_{\text{nofirebc}} \quad (2)$$

$$236 \quad \Delta F_{\text{fire pom}} = F_{\text{fire}} - F_{\text{nofirepom}} \quad (3)$$

237 The total TOA shortwave flux change can be broken into the REari, REaci, and  
 238 RESac. The aerosol REaci results from both the aerosol effect on clouds via acting as  
 239 CCN and the aerosol semi-direct effect on clouds via affecting the atmospheric states  
 240 due to absorbing aerosols. We adopt the method of *Ghan* [2013] to separate the REari,  
 241 REaci, and RESac from the total effects of all fire aerosols, fire BC and fire POM,  
 242 respectively. The method is summarized as follows.  $F_{\text{clean}}$  is the radiative flux at TOA  
 243 calculated from a *diagnostic radiation call* in the same control simulations, but  
 244 neglecting the scattering and absorption of solar radiation by aerosols.  $F_{\text{clean,clear}}$  is the  
 245 clear-sky radiative flux at TOA calculated from the same *diagnostic radiation call*,  
 246 but neglecting scattering and absorption by both clouds and aerosols.

$$247 \quad \Delta F = \underbrace{\Delta(F - F_{\text{clean}})}_{(\text{REari})} + \underbrace{\Delta(F_{\text{clean}} - F_{\text{clean,clear}})}_{(\text{REaci})} + \underbrace{\Delta F_{\text{clean,clear}}}_{(\text{RESac})} \quad (4)$$

249 In the method above, REaci includes both aerosol indirect and semi-direct effects.  
 250 The fire BC has a much weaker indirect effect due to its lower mass burden and lower  
 251 hygroscopicity compared to fire POM [*Koch et al.*, 2011]. Thus the fire aerosol  
 252 semi-direct effect can be approximately represented by the REaci of fire BC. The fire

253 aerosol indirect effect can be estimated as the difference of fire aerosol RE<sub>aci</sub> and  
254 semi-direct effect. With the sea ice prescribed in these experiments, the radiative  
255 effect of fire aerosols on sea ice albedo is not considered in RE<sub>sac</sub>.

256 We undertake another method to estimate the fire aerosol RE<sub>ari</sub> from the  
257 experiment (FIRE\_BBFFBF). With explicit predictions of fire POM and fire BC in  
258 FIRE\_BBFFBF, the RE<sub>ari</sub> of fire BC and fire POM are estimated by two diagnostic  
259 radiation calls, each time neglecting the scattering and absorption of solar radiation of  
260 fire BC and fire POM, respectively. This more direct method is named as BBFFBF,  
261 and the RE<sub>ari</sub> of fire BC and fire POM will be compared with those from the method  
262 of *Ghan* [2013]. The fire BC-in-snow effect is calculated from SNICAR, and  
263 compared with the RE<sub>sac</sub> estimated from *Ghan* [2013].

264

### 265 **3. Results**

#### 266 3.1 Simulation of biomass burning aerosols

267 The biomass burning BC and POM from forest, grass and agriculture fires are  
268 significant contributors to the total BC and POM emissions. Figure 1 shows the  
269 seasonal variation of GFED fire emissions (including forest, grass and agriculture  
270 fires) in the global, tropical (25°S to 25°N), and Arctic (60°N to 90°N) regions.  
271 Global fire emission is the largest during the boreal summer as well as in the boreal  
272 autumn (September/October), when it is the fire season in the tropical regions of the  
273 Southern Hemisphere (SH). The tropical fire emission contributes the most to the  
274 annual global fire emission (80% for BC and 85% for OC, respectively), compared to

275 other regions. Arctic is the other important fire region, where the emission maximum  
276 is found during the boreal summer. In the boreal summer, the OC emission in the  
277 Arctic regions is about 50% of that in the tropical region. The BC emission in the  
278 Arctic is much smaller than that of the tropical regions even in the boreal summer fire  
279 season. The dominant fire type in the SH tropics is deforestation, savanna and  
280 grassland fires, while that in the Arctic is the forest fires. The OC to BC ratio (OC/BC)  
281 of forest fires is almost three times higher than that of deforestation, savanna and  
282 grassland fires [*van der Werf et al.*, 2010]. This is because for forest fires, most of the  
283 emissions come from the smoldering phase of burning, which has a higher OC to BC  
284 ratio. For deforestation, savanna and grassland fires, the emissions come mainly from  
285 the flaming phase of burning, which yields a lower OC to BC ratio.

286 Figure S1 in the supplemental materials shows the latitudinal and longitudinal  
287 distributions of vertically integrated concentrations (column burdens) of BC and POM  
288 from BB, FF, and BF sources based on the FIRE\_BBFFBF experiment. The BC and  
289 POM from BB source are mainly distributed in the tropical and sub-tropical regions  
290 (southern Africa, South America and Southeast Asia) and in the mid- to high latitudes  
291 (North of 45°N) of the Northern Hemisphere (NH) (Northeast Asia, Alaska and  
292 Canada). The largest column burdens of biomass burning aerosols are located in  
293 southern Africa and adjacent oceanic areas (1.5 and 20 mg m<sup>-2</sup> for BC and POM,  
294 respectively). The biomass burning aerosols are important aerosol species in the  
295 Arctic regions, and contribute up to 53% and 86% to the total burden of BC and POM  
296 in the Arctic (from 60° N to 90°N), respectively. In comparison, the maximum

297 column burdens of fossil fuel BC and POM are found in East Asia, South Asia,  
298 Western Europe and North America. The maximum column burdens of biofuel BC  
299 and POM occur in East Asia, South Asia and Central Africa. The biofuel and fossil  
300 fuel sources are dominant contributors to BC and POM in East Asia and South Asia.  
301 In other regions of the world, biomass burning is the primary source of BC and POM.  
302 Globally, the biomass burning contributes 41% and 70% to the total burdens of BC  
303 and POM, respectively. Biomass burning can also emit SO<sub>2</sub>. However, it only  
304 contributes ~3% to the total global sulfate burden (figure not shown), so only  
305 radiative effects of biomass burning POM and BC are discussed in this study.

306 The simulated aerosol optical depth (AOD) and single scattering albedo (SSA)  
307 (including aerosols from all sources) are validated with observations from the  
308 AERosol RObotic NETwork (AERONET, <http://aeronet.gsfc.nasa.gov>) at sites  
309 significantly affected by biomass burning activity in southern Africa, South America  
310 and the Arctic regions, as shown in Figures 2 and 3 (see Figure S2 in the  
311 supplemental materials for the site locations). The AERONET AOD and SSA data are  
312 averaged for the years from 2003 to 2011 to match the simulation period, although  
313 there are missing AERONET data for some periods. We note that *Tosca et al.* [2013]  
314 and *Ward et al.* [2012] applied scaling factors (from 1 to 3 varying by regions) to fire  
315 emissions to improve modeled AOD magnitudes, whereas here we do not apply any  
316 such scaling. In southern Africa, modeled monthly AOD agrees with observations  
317 within a factor of 2 for the three sites (Figure 2a-2c). The underestimation of AOD is  
318 found in the tropical site (Mongu) (Figure 2a) during the boreal autumn (the fire

319 season). The simulated AOD in the two other sites (Skukuza and Ascension Island) is  
320 generally consistent with observations in both the magnitude and seasonal trend. The  
321 simulated SSA in southern Africa ranges between 0.75 and 0.95 and generally  
322 matches the observed SSA magnitude and seasonal cycle in the two land sites (Mongu  
323 and Skukuza) (Figure 3a-3b). However, an overestimation of SSA is found in the  
324 oceanic site (Ascension Island) (Figure 3c). The reason for this overestimation of SSA  
325 and thus the underestimation of absorption AOD (AAOD) is unclear and could be due  
326 to that the model has not treated the absorption enhancement of aged fire BC during  
327 its transport.

328       The simulated AOD in South America is generally consistent with observations  
329 within a factor of 2 (Figure 2d-2f). The seasonal variation of simulated AOD  
330 generally matches the observations. The underestimation of AOD in Alta Floresta and  
331 Cuiaba-Miranda is most obvious in September and October (the fire season), which  
332 may be attributed to the underestimation of fire emissions. However, the modeled  
333 AOD is higher than observations before the fire season for Alta Floresta and Rio  
334 Branco, which could be due to the overestimation of fire emission in this period. The  
335 simulated SSA in South America ranges mostly between 0.87–0.95 and matches the  
336 observations reasonably well (Figure 3d-3f). The modeled SSA is too low during the  
337 fire season and exhibits too strong a seasonality. It implies that the model  
338 underestimation of scattering aerosols (e.g., POM) may be more severe than that of  
339 BC during the fire season.

340       In the Arctic, small AOD (less than 0.3) and large SSA (larger than 0.9) are

341 observed for the three sites. The observed large SSA in the fire season (boreal  
342 summer) is consistent with the high OC/BC ratio of fire emissions in the Arctic  
343 (Figure 1). The model significantly underestimates the observed AOD in the Arctic in  
344 both fire and non-fire seasons. The underestimation of AOD can be due to (1) the  
345 underestimation of fire emissions in the NH high latitudes [e.g., *Stohl et al.*, 2013]  
346 and/or fossil fuel emissions in Asia [e.g., *Cohen and Wang*, 2014], (2) the excessive  
347 scavenging of aerosols during their transport from the NH mid-latitude industrial  
348 regions by liquid-phase clouds [*Wang et al.*, 2013a], and (3) the coarse horizontal  
349 resolution (~100 km) of the model [*Ma et al.*, 2014]. Although MAM4 increases the  
350 column burdens of POM and BC by up to 40 % in many remote regions compared to  
351 MAM3, it still underestimates the surface BC concentrations in the Arctic [*Liu et al.*,  
352 2016]. The modeled SSA in the Arctic is lower than observations, which implies that  
353 the simulation of AAOD is better than that of AOD and the underestimation of  
354 non-absorbing aerosols (e.g., sulfate and POM) in the Arctic may be more severe than  
355 that of BC.

356

### 357 3.2 Radiative effect due to aerosol-radiation interactions

358 The annual mean RE<sub>ari</sub> of all fire aerosols (including BC, POM and sulfate)  
359 estimated with the method of BBFFBF and with the method of *Ghan* [2013] is shown  
360 in Figure 4a-4b. The fire sulfate is not included in the calculation of RE<sub>ari</sub> of all fire  
361 aerosols with the method of BBFFBF. Its effect is minor since the global annual mean  
362 burden of fire sulfate (0.09 mg m<sup>-2</sup>) is much smaller than that of fire POM (1.25 mg

363  $\text{m}^{-2}$ ), both of which are light-scattering. The statistical significance of REari estimated  
364 with the *Ghan* [2013] method over the interannual variability and ensemble member  
365 diversity is shown in Figure 4 (and also later figures). The REari of all fire aerosols  
366 from the two methods agree with each other very well. Thus, we will report the REari  
367 of all fire aerosols with the *Ghan* [2013] method below. The global annual mean  
368 REari of all fire aerosols is positive ( $0.16 \pm 0.01 \text{ W m}^{-2}$ ), which indicates a warming  
369 effect from all fire aerosols. The REari is positive on the globe except in some land  
370 areas (e.g., southern Africa, South America, Great Lakes, North Canada, and East  
371 Siberia). The maximum positive REari is located in ocean areas west of southern  
372 Africa ( $\sim 5.0 \text{ W m}^{-2}$ ) and South America ( $\sim 1.5 \text{ W m}^{-2}$ ). Positive REari up to  $1 \text{ W m}^{-2}$  is  
373 found in the Arctic ( $60^\circ\text{N}$  to  $90^\circ\text{N}$ ). The different signs of REari between land and  
374 ocean areas of southern Africa and South America result from the differences in cloud  
375 fraction and cloud liquid water path (LWP) between land and ocean regions. In the  
376 fire season (August-September-October) of the SH tropical regions, cloud fraction  
377 and cloud LWP over the land areas (10% and  $20 \text{ g m}^{-2}$ , respectively) are much smaller  
378 than those over the adjacent ocean areas (70% and  $70 \text{ g m}^{-2}$ , respectively). The  
379 biomass burning aerosols are transported above the low-level stratocumulus clouds,  
380 and when biomass burning BC resides above clouds, its absorption of solar radiation  
381 is significantly enhanced due to the reflection of solar radiation by underlying clouds  
382 [*Abel et al.*, 2005; *Zhang et al.*, 2016].

383         A comparison of modeled REari in the boreal autumn  
384 (September-October-November) over the South Atlantic Ocean with satellite

385 observations is shown in Figure 5. The observed above-cloud aerosol REari is  
386 calculated with the method of *Zhang et al.* [2014] using the Aqua/MODIS and  
387 Terra/MODIS products, respectively. The observed above-cloud aerosol REari over  
388 southeastern Atlantic Ocean is 3-12  $\text{W m}^{-2}$ , with higher values near the coasts. The  
389 simulated REari agrees better with Aqua/MODIS observed REari than with  
390 Terra/MODIS in both the magnitude and spatial pattern. REari estimated from  
391 Terra/MODIS (morning time) is stronger than the one estimated from Aqua/MODIS  
392 (afternoon time) due to the larger amount of underlying clouds in the morning [*Min*  
393 *and Zhang*, 2014]. Over South America during the fire season (August to September),  
394 the clear-sky fire aerosol REari is estimated to be  $-5.2 \text{ W m}^{-2}$  by *Sena and Artaxo*  
395 [2015], which is larger than our model result ( $-2.1 \text{ W m}^{-2}$ ). This is consistent with the  
396 underestimation of modeled AOD in South America compared to the AERONET data  
397 (Figure 2).

398 The seasonal variation of REari of all fire aerosols with the *Ghan* [2013] method  
399 is shown in the supplemental Figure S3. The REari has a maximum ( $1.13 \text{ W m}^{-2}$ ) in  
400 the boreal summer (June-July-August, JJA) over the Arctic regions, partially due to  
401 the low solar zenith angles there. The maximum positive REari in the tropical regions  
402 occurs in the boreal summer and autumn (September, October and November, SON)  
403 during the fire season of southern Africa and South America. The REari reaches a  
404 positive maximum in Southeast Asia during the fire season in March, April and May  
405 (MAM).

406 The REari of fire BC is shown in Figure 4c-4d. The fire BC REari calculated

407 from the two methods are similar in magnitudes and spatial patterns, and there is  
408 much less noise with the BBFFBF method. The global annual mean fire BC REari is  
409 about  $0.25 \pm 0.01 \text{ W m}^{-2}$  and positive over the globe (the regions with negative values  
410 in Figure 4d are in general not statistically significant). Unlike all fire aerosols, fire  
411 BC generates a positive forcing in the land regions of southern Africa and South  
412 America, and the amplification effect of low-level clouds on fire BC positive forcing  
413 can be clearly seen in southern Africa and adjacent Atlantic Ocean.

414 The global annual mean REari of fire POM from the two methods somewhat  
415 differs from each other (Figure 4e-4f). The BBFFBF method gives a small negative  
416 value ( $-0.05 \text{ W m}^{-2}$ ), while the *Ghan* [2013] method shows a small positive value  
417 ( $0.04 \pm 0.01 \text{ W m}^{-2}$ ). The difference is mainly in the Arctic regions where the positive  
418 forcing from *Ghan* [2013] is larger than that from the BBFFBF method. This is  
419 because the removal of fire POM emissions in the NOFIREPOM experiment affects  
420 the properties of aerosol particles within which co-emitted fire BC is internally mixed  
421 with fire POM, causing a decrease of BC burden in the Arctic (by  $\sim 0.05 \text{ mg m}^{-2}$ )  
422 compared to the FIRE experiment. Thus, one should be careful in using the *Ghan*  
423 [2013] method to diagnose the radiative forcing of a single component within  
424 co-emitted aerosols. The REari of fire POM is negative in most of the globe. However,  
425 positive forcing can be found over oceanic regions west of southern Africa and South  
426 America, North Pacific Ocean and the Polar regions where large amount of low-level  
427 clouds, sea ice or land ice exist. The multiple scatterings between the above-cloud fire  
428 POM and low-level clouds or between the fire POM and the Earth's bright surface

429 with high albedos could reduce the amount of solar radiation reflected by these  
430 low-level clouds and bright surface in the case without the fire POM [*Zhang et al.*,  
431 2016]. With the BBFFBF method the sum of RE<sub>aci</sub> from fire POM and fire BC (i.e.,  
432 0.20 W m<sup>-2</sup>) is larger than that of all fire aerosols (0.15 W m<sup>-2</sup>). It reflects the  
433 nonlinear interactions among different aerosol components [*Ghan et al.*, 2012]. For  
434 example, fire POM and water on internally-mixed fire BC particles enhance solar  
435 absorption by the fire BC. The nonlinearity is stronger with the *Ghan* [2013] method.

436

### 437 3.3 Radiative effect due to aerosol-cloud interactions

438 The annual mean RE<sub>aci</sub> due to all fire aerosols, fire BC, and fire POM are shown  
439 in Figure 6. The RE<sub>aci</sub> diagnosed with the *Ghan* [2013] method includes both aerosol  
440 indirect and semi-direct effects. The fire aerosol semi-direct effect (to be discussed  
441 below) is much smaller ( $-0.04 \pm 0.03$  W m<sup>-2</sup> on the global mean) than the indirect  
442 effect, and the RE<sub>aci</sub> is mostly from the fire aerosol indirect effect. The global annual  
443 mean RE<sub>aci</sub> of all fire aerosols is  $-0.70 \pm 0.05$  W m<sup>-2</sup> (Figure 6a). In the tropical  
444 regions, the strong negative RE<sub>aci</sub> is located in the adjacent ocean areas of southern  
445 Africa, South America and Australia, with the maximum RE<sub>aci</sub> of  $-8.0$  W m<sup>-2</sup> over  
446 the South Atlantic Ocean. The strong negative RE<sub>aci</sub> also occurs in the Arctic (60°N  
447 to 90°N). The RE<sub>aci</sub> in East Siberia, Alaska and Canada is as large as  $-6.0$  W m<sup>-2</sup>.

448 The fire BC has a weak indirect effect by acting as CCN, but can reduce the cloud  
449 amount through its semi-direct effect. The RE<sub>aci</sub> of fire BC (Figure 6b) can  
450 approximate the fire BC semi-direct effect with a small global annual mean value of

451  $-0.04 \pm 0.03 \text{ W m}^{-2}$ . However, stronger positive effect can be found in the western  
452 Pacific ( $3.0 \text{ W m}^{-2}$ ) and Arctic regions ( $1.0 \text{ W m}^{-2}$ ). The global annual mean REaci of  
453 fire POM is  $-0.59 \pm 0.03 \text{ W m}^{-2}$  (Figure 6c), and dominates the cloud effect of all fire  
454 aerosols. The sum of REaci from fire BC and POM ( $-0.62 \pm 0.03 \text{ W m}^{-2}$ ) is smaller in  
455 magnitude than that of all fire aerosols ( $-0.70 \pm 0.05 \text{ W m}^{-2}$ ) due to the non-linear  
456 interactions of fire BC and fire POM [*Jiang et al.*, 2013] as well as the negative REaci  
457 of fire sulfate. As an example of the non-linear interactions, the internal mixing of fire  
458 POM and fire BC by all fire aerosols enhances the cloud droplet number  
459 concentration in comparison to the sum of cloud droplet number concentrations from  
460 individual fire POM and fire BC [*Jiang et al.*, 2013].

461 The seasonal variation of all fire aerosol REaci is shown in Figure 7. The  
462 maximum of fire aerosol REaci is in the boreal summer (i.e., the fire season in NH)  
463 located in the NH high latitudes ( $60^\circ\text{N}$  to  $90^\circ\text{N}$ ). The largest summer REaci is found  
464 in the land areas and is as large as  $-15 \text{ W m}^{-2}$ . The fire aerosol REaci in the tropical  
465 regions is most significant in the boreal summer (up to  $-15 \text{ W m}^{-2}$ ) and autumn (up to  
466  $-10 \text{ W m}^{-2}$ ) over the ocean areas. The different spatial distributions of fire aerosol  
467 REaci in the NH high latitudes and in the tropics result from the difference in cloud  
468 distributions between the two regions. During the fire season the cloud LWP over the  
469 land areas in the NH mid- and high latitudes is three times larger than that over the  
470 ocean areas in the tropics. Larger cloud LWP favors the stronger REaci, because the  
471 larger LWP associated with the warm cloud and rain processes favors the aerosol  
472 indirect effect via slowing down the autoconversion of cloud water to rain [*Ghan et*

473 *al.*, 2012; *Jiang et al.*, 2015]. Meanwhile, in the NH high latitudes, the lower solar  
474 zenith angle in the boreal summer favors the stronger REaci. Like the fire aerosol  
475 REari, the smallest fire aerosol REaci occurs in the boreal spring.

476 Seasonal variations of zonal mean fire aerosol REari, REaci, cloud LWP,  
477 low-level (from surface to 750 hPa) cloud amount, and vertically-integrated (burden)  
478 concentrations of fire POM and fire BC are shown in Figure 8. The seasonal variation  
479 of fire BC and fire POM burdens is largest in the SH low latitudes (from 30°S to 0°N)  
480 and NH mid- and high latitudes (50°N to 90°N). Distinct features of these two areas  
481 can also be noticed that the maximum fire BC burden in NH ( $0.3 \text{ mg m}^{-2}$ ) is much  
482 lower than that in SH ( $0.8 \text{ mg m}^{-2}$ ), while the maximum POM burdens in these two  
483 areas are comparable. Interestingly, the REari is larger in the boreal summer in NH  
484 than that in the boreal autumn in SH, although the fire BC burden is much lower in  
485 the NH summer. It is mainly due to the larger amount of low clouds in the NH high  
486 latitudes, which enhances the absorption of fire BC. The maximum REari in the NH  
487 summer is found near the North Pole (70 °N to 90 °N), and not around 60 °N where  
488 the fire aerosol burden is highest. The REaci of fire aerosols is about 3 times larger in  
489 the boreal summer in NH than that in the boreal autumn in SH, although the burden of  
490 fire POM in NH is comparable to that in SH. The larger cloud LWP in the NH  
491 summer around 40-70°N favors the stronger REaci there.

492

### 493 3.4 Surface albedo effect

494 Here we compare the modeled BC-in-snow (BCS) concentrations with

495 observation data collected from multiple field campaigns over the Arctic [*Doherty et*  
496 *al.*, 2010] and Northern China [*Wang et al.*, 2013b; *Qian et al.*, 2014]. Figure 9a  
497 shows the simulated (from FIRE and NOFIRE experiments) and observed BCS  
498 concentrations as a function of latitude. The range of observed BCS concentrations is  
499 between 1 and 200 ng g<sup>-1</sup> in the Arctic and between 50 and 2000 ng g<sup>-1</sup> in Northern  
500 China, respectively. Both FIRE and NOFIRE experiments capture the meridional  
501 gradient in BCS concentrations between the mid-latitudes (Northern China) and high  
502 latitudes (Arctic). The mean and median concentrations of BCS are both  
503 overestimated in Northern China, implying the high biases from the anthropogenic  
504 emissions and/or model physics (Figure 9b). The mean and median BCS  
505 concentrations from the FIRE experiment agree slightly better with observations than  
506 those from the NOFIRE experiment in the Arctic (Figure 9b). This suggests that fire  
507 emissions are important for BCS concentrations in the Arctic.

508       The annual mean RE<sub>sac</sub> of all fire aerosols estimated with *Ghan* [2013] and the  
509 fire BCS effect diagnosed from SNICAR are shown in Figure 10a. We note that the  
510 radiative effect due to BC deposition on sea ice is not considered since sea ice is  
511 prescribed in the simulations. The global annual mean RE<sub>sac</sub> ( $0.03 \pm 0.10 \text{ W m}^{-2}$ ) is  
512 much smaller compared to the RE<sub>ari</sub> and RE<sub>aci</sub>. The RE<sub>sac</sub> over land is maximum in  
513 spring ( $0.12 \pm 0.27 \text{ W m}^{-2}$ ) and winter ( $0.06 \pm 0.16 \text{ W m}^{-2}$ ). The RE<sub>sac</sub> over land in  
514 summer and autumn is very small (less than  $0.01 \text{ W m}^{-2}$ ). We note that the mean  
515 RE<sub>sac</sub> calculated with *Ghan* [2013] is much smaller than the standard deviation  
516 resulted from the internal variability.

517 The annual mean fire BCS effect calculated from SNICAR is shown in Figure  
518 10b and 10c. The spatial distribution of the fire BCS effect is similar to the fire REsac,  
519 implying that the fire REsac has a significant contribution from the fire BCS effect.  
520 Averaged when only snow is present, the fire BCS effect is larger ( $0.048 \text{ W m}^{-2}$ ). The  
521 global mean fire BCS effect (with the presence of snow) can be as large as  $0.06 \text{ W m}^{-2}$   
522 in spring. The maximum fire BCS effect (up to  $1 \text{ W m}^{-2}$ ) is located in Greenland and  
523 the very northern reaches of Canada, while that in the other Arctic regions and North  
524 China is smaller.

525 The positive REsac in Siberia, North America and Canada can be a result of BCS  
526 effect. However, the REsac in these regions is larger than the BCS effect especially in  
527 spring. The snow melting and snow depth change due to the BCS warming may  
528 induce a larger positive REsac than the albedo change due to BCS itself. The negative  
529 REsac over land can be a result of atmospheric feedbacks caused by fire aerosols  
530 [*Ghan, 2013*].

531

### 532 3.5 Fire aerosol effects on shortwave radiation, global temperature and precipitation

533 Here, we show the annual mean net shortwave flux change at TOA (i.e., total  
534 radiative effect), in the atmosphere and at surface, and changes in surface air  
535 temperature, convective and large-scale precipitation due to all fire aerosols in Figure  
536 11 and Table 2. The global mean net shortwave flux change at TOA due to all fire  
537 aerosols is  $-0.55 \pm 0.07 \text{ W m}^{-2}$ , which indicates that fire aerosols lead to the reduction  
538 of shortwave flux into the Earth's system. The zonal mean TOA shortwave flux

539 reduction in the Arctic regions ( $-1.35 \pm 1.03 \text{ W m}^{-2}$ ) is much larger than that in the  
540 tropical regions ( $-0.66 \pm 0.09 \text{ W m}^{-2}$ ). The cooling at TOA is mostly from fire aerosol  
541 REaci. The maximum negative RE is located in the land areas of the Arctic and ocean  
542 areas of the tropics. Although the global mean total radiative effect is negative,  
543 positive effect is found in some land areas (e.g., Africa, Greenland).

544 The shortwave atmospheric absorption change in the tropical regions is larger  
545 than that in the Arctic regions. It is because BC burden in the tropics ( $0.17 \text{ mg m}^{-2}$ ) is  
546 larger than that in the Arctic ( $0.09 \text{ mg m}^{-2}$ ). Strong absorption ( $\sim 8 \text{ W m}^{-2}$ ) in the  
547 atmosphere is found in the land areas of southern Africa and South America and in  
548 the Southeast Atlantic. The surface shortwave flux change in the Arctic is mostly  
549 from the TOA shortwave flux reduction due to the fire aerosol REaci, while the  
550 surface shortwave flux change in the tropics is mostly due to the fire BC absorption in  
551 the atmosphere.

552 The fire aerosols lead to the reduction of the global mean surface air temperature  
553 ( $T_s$ ) by  $0.03 \pm 0.03 \text{ K}$ , consistent with the reduction of shortwave fluxes at TOA and at  
554 surface. The largest surface cooling is found in the Arctic and tropical regions by up  
555 to  $0.6 \text{ K}$ . The cooling of the Arctic is related to the strong fire aerosol REaci, while  
556 the cooling in the tropics is mainly from the surface shortwave flux reduction due to  
557 the fire BC absorption. The  $T_s$  change in the ocean areas is very small since the SST is  
558 prescribed in our simulations.

559 The global mean total precipitation is reduced by  $0.010 \pm 0.002 \text{ mm day}^{-1}$  due to  
560 all fire aerosols (Table 2). Unlike the  $T_s$  change, the precipitation reduction in the

561 tropics ( $0.016 \pm 0.01$  mm day<sup>-1</sup>) is much larger than that in the Arctic ( $0.001 \pm 0.02$   
562 mm day<sup>-1</sup>, not statistically significant). The reduction in the tropics is mainly from the  
563 large-scale precipitation decrease ( $0.015 \pm 0.003$  mm day<sup>-1</sup>). The net decrease in the  
564 convective precipitation is very small in the tropics ( $0.001 \pm 0.009$  mm day<sup>-1</sup>, not  
565 statistically significant), as the convective precipitation is significantly decreased near  
566 the equator and increased in the regions away from the equator, partly consistent with  
567 the results of *Tosca et al.* [2013]. The precipitation reduction in southern Africa is  
568 consistent with the recent findings of *Hodnebrog et al.* [2016]. The shortwave flux  
569 reduction at surface leads to a stabilization of the atmospheric boundary layer and a  
570 suppression of the convection near the equator. The strong atmospheric absorption by  
571 fire BC leads to the reduction of low-level clouds and large-scale precipitation in the  
572 tropics. Both effects lead to a significant reduction of total precipitation near the  
573 equator. The precipitation decrease in the NH high latitudes is mainly from the  
574 reduction of convective precipitation. We note that the temperature and (especially)  
575 precipitation changes reported here do not represent the complete impact of fire  
576 aerosols, since the SSTs are fixed in our simulations. Fully-coupled atmosphere and  
577 ocean models will be used to further investigate the impact of fire aerosols.

578 Figure 12 shows the changes of  $T_s$ , total precipitation, cloud LWP, and low-level  
579 cloud cover in the summer due to all fire aerosols. The  $T_s$  is reduced by more than 1 K  
580 in most of land areas around 60°N. The maximum cooling (larger than 1.5 K) is found  
581 in East Siberia, Alaska and Canada. A decrease of total precipitation (by about 0.2  
582 mm day<sup>-1</sup>) is found in these regions. Accompanying the surface cooling and

583 precipitation reduction, a significant increase of cloud LWP and low-level cloud cover  
584 is found there. This is a result of the indirect effect of fire aerosols in the land areas of  
585 the Arctic (60°N to 90°N). The fire POM leads to the reduction of cloud droplet  
586 effective radius and the increase of cloud droplet number concentration, consistent  
587 with observed fire effects on clouds in Canada and the United States [*Peng et al.*,  
588 2002].

589

#### 590 **4. Discussion and Conclusions**

591 Although many studies have been conducted on the fire aerosol RE and RF [e.g.,  
592 *Bond et al.*, 2013; *Myhre et al.*, 2013b; *Ward et al.*, 2012; *Tosca et al.*, 2013], the  
593 current estimations are still associated with large uncertainties. In this study, the fire  
594 aerosol RE (including RE<sub>ari</sub>, RE<sub>aci</sub> and RE<sub>sac</sub>) is calculated based on a new method  
595 from *Ghan* [2013]. In addition, the fire aerosol RE<sub>ari</sub> and fire BC-in-snow effect are  
596 diagnosed from an experiment of CESM which tracks the open fire BC and POM  
597 separately from fossil fuel and biofuel sources and compared with the estimates from  
598 the *Ghan* [2013] method.

599 The BC and POM burdens from open fires are largest in the tropical regions  
600 (southern Africa, South America and Southeast Asia) and in the NH mid- to high  
601 latitudes (North of 45°N) (Northeast Asia, Alaska and Canada). Fire aerosols  
602 contribute 41% and 70% to the global burden of BC and POM, respectively. When  
603 comparing with the AERONET AOD and SSA data, modeled monthly AOD agrees  
604 with observations within a factor of 2 for most of the southern African and South

605 American sites. The model underestimation of AOD is found in the South American  
606 sites near fire source regions, which is most obvious in the fire season (September and  
607 October). The model underestimates the observed AOD in the Arctic regions in both  
608 fire and non-fire seasons. The modeled SSA in southern Africa and South America is  
609 generally in agreement with observations, while the modeled SSA in the Arctic is  
610 lower.

611 The annual mean RE<sub>ari</sub> of all fire aerosols is  $0.16 \pm 0.01 \text{ W m}^{-2}$  and positive over  
612 most areas except in some land areas (e.g., southern Africa, North Canada, and East  
613 Siberia). The annual maximum RE<sub>ari</sub> is found in the oceanic areas west of southern  
614 Africa ( $5 \text{ W m}^{-2}$ ) and South America ( $1.5 \text{ W m}^{-2}$ ). The positive RE<sub>ari</sub> over the land  
615 regions of southern Africa and South America is smaller, although the fire aerosol  
616 burdens are higher. The annual zonal mean RE<sub>ari</sub> in the Arctic regions can reach  $0.43$   
617  $\pm 0.028 \text{ W m}^{-2}$ , and is larger than that in the tropical regions ( $0.17 \pm 0.017 \text{ W m}^{-2}$ ),  
618 although the fire aerosol burden is higher in the tropics. The annual mean RE<sub>ari</sub> of  
619 fire BC is about  $0.25 \pm 0.01 \text{ W m}^{-2}$  and positive over the globe. Fire POM induces a  
620 weak negative RE<sub>ari</sub> globally ( $-0.05 \text{ W m}^{-2}$ ) with the BBFFBF method and a small  
621 positive value ( $0.04 \pm 0.01 \text{ W m}^{-2}$ ) with the *Ghan* [2013] method. The positive RE<sub>ari</sub>  
622 of fire POM is found over oceanic areas west of southern Africa and South America,  
623 North Pacific, and polar regions where the low-level cloud coverage is large or the  
624 surface albedo is higher.

625 The global annual mean RE<sub>aci</sub> of all fire aerosols is  $-0.70 \pm 0.05 \text{ W m}^{-2}$  and the  
626 maximum effect is located in the ocean areas west of southern Africa and South

627 America and land areas of the NH high latitudes. The maximum fire aerosol REaci  
628 occurs in the NH high latitudes in the boreal summer, which results from the large  
629 cloud LWP over the land areas and the low solar zenith angle. Associated with the  
630 strong indirect effects of fire aerosols in the Arctic summer, significant surface  
631 cooling, precipitation reduction, and low-level cloud cover increase are found in these  
632 regions.

633 Modeled BCS concentrations from the FIRE experiment are evaluated against  
634 observations in Northern China and in the Arctic, and generally agree with the  
635 observations for the mean and median values in the Arctic regions. The high bias of  
636 modeled BCS concentrations in Northern China may not result from the fire BC  
637 because differences in BCS concentrations between FIRE and NOFIRE experiments  
638 are very small in North China. The global annual mean REsac is  $0.03 \pm 0.10 \text{ W m}^{-2}$   
639 (statistically insignificant) with the maximum effect in spring ( $0.12 \text{ W m}^{-2}$ ). The  
640 REsac is mainly due to the effect of fire BC deposit on snow ( $0.02 \text{ W m}^{-2}$ ) diagnosed  
641 from SNICAR with the maximum effect as large as  $0.06 \text{ W m}^{-2}$  (when snow is present)  
642 in spring.

643 The fire aerosols reduce the global mean surface air temperature ( $T_s$ ) by  $0.03 \pm$   
644  $0.03 \text{ K}$  and precipitation by  $0.01 \pm 0.002 \text{ mm day}^{-1}$ . The maximum cooling ( $\sim 1 \text{ K}$ ) due  
645 to fire aerosols occurs around  $60^\circ\text{N}$  in summer, and a suppression of precipitation  
646 ( $\sim 0.1 \text{ mm day}^{-1}$ ) is also found there. The strong cooling is a result of the strong  
647 indirect effects ( $-15 \text{ W m}^{-2}$ ) in the land areas of the Arctic regions ( $60^\circ\text{N}$  to  $90^\circ\text{N}$ ). A  
648 significant reduction of precipitation in southern Africa is also noticed. We note that

649 these results are based on the simulations with fixed SSTs and may not represent the  
650 full climate responses.

651 In our study, the global radiative effect of fire aerosols is estimated from  
652 simulations performed with the 4-mode version Modal aerosol module (MAM4) [*Liu*  
653 *et al.*, 2016], daily fire emissions with prescribed vertical emission profiles, and  
654 higher model resolution ( $0.9^\circ$  by  $1.25^\circ$ ) compared to earlier modeling studies of fire  
655 aerosols [*Tosca et al.*, 2013; *Ward et al.*, 2012]. In their studies, the GFED fire  
656 aerosol emissions were increased by a factor of 1-3 depending on regions to match the  
657 observed AOD. In our study, we do not apply the scaling factor to the fire aerosol  
658 emissions. Our global annual mean RE<sub>ari</sub> of fire aerosols ( $0.16 \pm 0.01 \text{ W m}^{-2}$ ) is,  
659 however, close to  $0.18 \text{ W m}^{-2}$  in *Tosca et al.* [2013] and  $0.13 \text{ W m}^{-2}$  in *Ward et al.*  
660 [2012]. The similar fire aerosol RE<sub>ari</sub> from our study but with smaller fire emissions  
661 than these previous studies can result from (1) the use of MAM4 in our study which  
662 more realistically represents the external/internal mixing of BC with other soluble  
663 aerosol species; (2) the more accurate estimation of RE<sub>ari</sub> of fire aerosols in the  
664 presence of low-level clouds with the method of *Ghan* [2013]; and (3) the inclusion of  
665 vertical emissions of fire aerosols, which allows more efficient transport of fire  
666 aerosols from sources. The RE<sub>aci</sub> due to fire aerosols in our study ( $-0.70 \pm 0.05 \text{ W m}^{-2}$ )  
667 is smaller than  $-1.64 \text{ W m}^{-2}$  in *Ward et al.* [2012] due to the lower fire POM emissions  
668 used in this study compared to *Ward et al.* [2012].

669 We note that there are limitations and uncertainties with our study. The model  
670 still underestimates observed AODs (mostly within a factor of 2) at the sites

671 predominantly influenced by biomass burning aerosols during the fire season, which  
672 implies that the fire aerosol radiative forcing can be stronger than estimated in this  
673 study. The RE estimates of fire POM and fire BC with the *Ghan* [2013] approach may  
674 not be accurate due to the internal mixing of co-emitted fire components (POM and  
675 BC). In our simulations, sea ice is prescribed, and thus the fire BC effect on sea ice  
676 albedo is not considered. The brown carbon component of POM [*Feng et al.*, 2013] is  
677 not treated in the current CESM model, which may result in an underestimation of  
678 atmospheric absorption of fire aerosols.

679

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696 **References**

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1028

1029 **Figure Captions**

1030

1031 Figure 1. Seasonal variation of GFED monthly fire (a) organic carbon (OC) and (b)  
1032 black carbon (BC) emissions ( $\text{Tg C month}^{-1}$ ) averaged for the period of year 2003 to  
1033 2011 in the global, tropical ( $25^{\circ}\text{S}$  to  $25^{\circ}\text{N}$ ) and Arctic ( $60^{\circ}\text{N}$  to  $90^{\circ}\text{N}$ ) regions.

1034

1035 Figure 2. Comparison of modeled seasonal variations of aerosol optical depth (AOD)  
1036 for the period of 2003-2011 with observations for the same period from the  
1037 AERONET sites. The upper, middle, and bottom panels represent the sites in southern  
1038 Africa, South America, and the Arctic, respectively.

1039

1040 Figure 3. Same as Figure 2, but for the comparison of single scattering albedo (SSA)  
1041 at 550 nm.

1042

1043 Figure 4. Annual mean radiative effect due to aerosol-radiation interactions ( $\text{RE}_{\text{ari}}$ )  
1044 ( $\text{W m}^{-2}$ ) averaged over the period of 2003-2011 due to (a) all fire aerosols, (c) fire BC,  
1045 and (e) fire POM estimated with the method of BBFFBF (left panels), and with the  
1046 method of Ghan (2013) ((b), (d), and (f) in the right panels). The plus signs in Figure  
1047 4(b), (d) and (f) denote the regions where the radiative effect estimated with Ghan  
1048 [2013] is statistically significant at the 0.05 level.

1049

1050 Figure 5. (a) September-October-November (SON) mean fire aerosol radiative effect  
1051 due to aerosol-radiation interactions ( $\text{RE}_{\text{ari}}$ ) ( $\text{W m}^{-2}$ ) for the period of 2003-2011 over  
1052 the Southeast Atlantic Ocean due to all fire aerosols. (b) and (c) are the same as (a),  
1053 but for the above-cloud aerosol  $\text{RE}_{\text{ari}}$  for the period of 2007-2011 estimated using  
1054 Aqua/MODIS and Terra/MODIS products [Zhang *et al.*, 2014], respectively.

1055

1056 Figure 6. Annual mean radiative effect due to aerosol-cloud interactions ( $\text{RE}_{\text{aci}}$ ) ( $\text{W}$   
1057  $\text{m}^{-2}$ ) averaged over the period of 2003-2011 due to (a) all fire aerosols, (b) fire BC,  
1058 and (c) fire POM. The plus signs denote the regions where the radiative effect is  
1059 statistically significant at the 0.1 level.

1060

1061 Figure 7. Seasonal variation of radiative effect of all fire aerosols due to aerosol-cloud  
1062 interactions ( $\text{RE}_{\text{aci}}$ ) ( $\text{W m}^{-2}$ ) for the period of 2003-2011 for (a)  
1063 December-January-February (DJF), (b) March-April-May (MAM), (c)  
1064 June-July-August (JJA), and (d) September-October-November (SON). The plus signs  
1065 denote the regions where the radiative effect is statistically significant at the 0.05  
1066 level.

1067

1068 Figure 8. Month-latitude cross sections of zonal mean and monthly (a)  
1069 vertically-integrated concentrations ( $\text{mg m}^{-2}$ ) of fire BC and (b) fire POM, (c) cloud  
1070 liquid water path (LWP, in  $\text{g m}^{-2}$ ), (d) low-level cloud cover (CLDLow, in %), (e)  
1071 radiative effect due to aerosol-radiation interactions ( $\text{RE}_{\text{ari}}$ , in  $\text{W m}^{-2}$ ), and (f)  
1072 radiative effect due to aerosol-cloud interactions ( $\text{RE}_{\text{aci}}$ , in  $\text{W m}^{-2}$ ) of all fire aerosols.

1073

1074 Figure 9. Evaluation of CAM5 simulated black carbon (BC) concentration for the  
1075 period of 2003-2011 (in  $\text{ng g}^{-1}$ ) in the top snow layer against observations in the  
1076 Arctic [Doherty *et al.*, 2010] and Northern China [Wang *et al.*, 2013b]. The top snow  
1077 layer ranges in thickness from 1 to 3 cm. Configuration of the two CAM5 simulations  
1078 (FIRE and NOFIRE) is summarized in Table 1. Panel (a) shows the comparisons at  
1079 different latitudes. The box and whisker plot in panel (b) shows the minimum and  
1080 maximum value with the bar, the 25th and 75th percentiles with the box, the 50th  
1081 percentile (i.e., median) by the bar within the box, and the mean value with the dot.

1082

1083 Figure 10. (a) Annual mean radiative effect due to surface albedo changes ( $\text{RE}_{\text{surf}}$ ,  $\text{W}$   
1084  $\text{m}^{-2}$ ) averaged over the period of 2003-2011 of all fire aerosols over land regions, and  
1085 annual mean surface effect of fire BC-in-snow calculated from SNICAR averaged (b)  
1086 over all times and (c) only when snow is present. The plus signs in (a) denote the  
1087 regions where the radiative effect is statistically significant at the 0.1 level.

1088

1089 Figure 11. Annual mean net shortwave flux changes ( $\text{W m}^{-2}$ ) over the period of  
1090 2003-2011 (a) at top of the atmosphere, (b) in the atmosphere, (c) at surface, and  
1091 changes of (d) surface air temperature (TS, K), (e) convective precipitation ( $\text{mm d}^{-1}$ ),  
1092 and (f) large-scale precipitation ( $\text{mm d}^{-1}$ ) due to all fire aerosols. The plus signs  
1093 denote the regions where the change is statistically significant at the 0.1 level.

1094

1095 Figure 12. Changes in (a) surface air temperature (K), (b) total precipitation ( $\text{mm d}^{-1}$ ),  
1096 (c) cloud liquid water path ( $\text{g m}^{-2}$ ), and (d) low-level cloud cover (%) due to all fire  
1097 aerosols in the boreal summer (JJA) averaged for the period of 2003-2011. The plus  
1098 signs denote the regions where the change is statistically significant at the 0.1 level.

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