- **One-Year Simulation of Ozone and Particulate Matter in China** 1 2 Using WRF/CMAQ Modeling System 3 Jianlin Hu<sup>1</sup>, Jianjun Chen<sup>2,1</sup>, Qi Ying<sup>3,1,\*</sup>, Hongliang Zhang<sup>4,1,\*</sup> 4 5 6 <sup>1</sup>Jiangsu Key Laboratory of Atmospheric Environment Monitoring and Pollution Control, Jiang-7 su Engineering Technology Research Center of Environmental Cleaning Materials, Collaborative 8 Innovation Center of Atmospheric Environment and Equipment Technology, School of Environmental Science and Engineering, Nanjing University of Information Science & Technology, 9 10 219 Ningliu Road, Nanjing 210044, China <sup>2</sup>Air Quality Planning and Science Division, California Air Resources Board, 1001 I Street, Sac-11 ramento, CA 95814, USA 12 <sup>3</sup>Zachry Department of Civil Engineering, Texas A&M University, College Station, TX 77843, 13 14 USA <sup>4</sup>Department of Civil and Environmental Engineering, Louisiana State University, Baton Rouge 15 16 LA 70803, USA 17 <sup>\*</sup>Corresponding authors: 18 Qi Ying, Email: qying@civil.tamu.edu. Phone: +1-979-845-9709. 19
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## 21 Abstract

China has been experiencing severe air pollution in recent decades. Although ambient air quality 22 monitoring network for criteria pollutants has been constructed in over 100 cities since 2013 in 23 China, the temporal and spatial characteristics of some important pollutants, such as particulate 24 25 matter (PM) components, remain unknown, limiting further studies investigating potential air pollution control strategies to improve air quality and associating human health outcomes with 26 air pollution exposure. In this study, a yearlong (2013) air quality simulation using the Weather 27 Research & Forecasting model (WRF) and the Community Multi-scale Air Quality model 28 (CMAQ) was conducted to provide detailed temporal and spatial information of ozone (O<sub>3</sub>), 29 PM<sub>2.5</sub> total and chemical components. Multi-resolution Emission Inventory for China (MEIC) 30 was used for anthropogenic emissions and observation data obtained from the national air quality 31 monitoring network were collected to validate model performance. The model successfully re-32 33 produces the  $O_3$  and  $PM_{2.5}$  concentrations at most cities for most months, with model perfor-34 mance statistics meeting the performance criteria. However, over-prediction of  $O_3$  generally occurs at low concentration range while under-prediction of  $PM_{2.5}$  happens at low concentration 35 range in summer. Spatially, the model has better performance in Southern China than in North-36 ern, Central and Sichuan basin. Strong seasonal variations of PM<sub>2.5</sub> exist and wind speed and di-37 rection play important roles in high PM<sub>2.5</sub> events. Secondary components have more boarder dis-38 tribution than primary components. Sulfate  $(SO_4^{2-})$ , nitrate  $(NO_3^{-})$ , ammonium  $(NH_4^{+})$ , and pri-39 mary organic aerosol (POA) are the most important PM<sub>2.5</sub> components. All components have the 40 highest concentrations in winter except secondary organic aerosol (SOA). This study proves the 41 ability of CMAQ model in reproducing severe air pollution in China, identifies the directions 42 where improvements are needed, and provides information for human exposure to multiple pol-43 lutants for assessing health effects. 44

- 45 Keywords: Ozone, Particulate matter, WRF, CMAQ, MEIC, China
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#### 47 1. Introduction

Atmospheric pollutants have adverse effects on human health and ecosystems and are associated with climate change (Menon et al., 2008; Poschl, 2005; Pui et al., 2014). Developing countries usually experience severely high concentrations of air pollutants due to fast growth of population, industrialization, transportation and urbanization without prompt emission controls. As one of such countries, China started to publish real time concentration data of six criteria pollutants from the ambient air quality monitoring networks after multiple severe pollution events across the country(Sun et al., 2014; Tao et al., 2014b; Wang et al., 2014a; Zheng et al., 2015).

55 More than 1000 observation sites have been set up in more than 100 major cities in the country to routinely monitor hourly concentrations of six criteria pollutants, i.e., O<sub>3</sub>, CO, NO<sub>2</sub>, SO<sub>2</sub>, 56  $PM_{2.5}$  (PM—particulate matter), and  $PM_{10}$ , and to inform the public on air quality status using 57 the air quality index (AQI). Analysis of the observation provided a general understanding of the 58 59 spatial and temporal variation of the levels of air pollution (Hu et al., 2014a; Wang et al., 2014c), the roles of meteorology in air pollution (Zhang et al., 2015b), and the construction of AQI based 60 on multiple pollutants to better inform the public about the severity of air pollution (Hu et al., 61 2015b). However, the monitoring system only considers criteria pollutants and the key species 62 such as the volatile organic compounds (VOCs) and the chemical composition of PM that are 63 needed to understand the causes of air pollution and form cost-effective emissions controls are 64 not measured routinely. Monitoring networks focusing on the chemical composition of gaseous 65 66 and particulate air pollutants, such as the Photochemical Assessment Monitoring Stations (PAMS) and the Chemical Speciation Network (CNS) in the United States, have not been estab-67 lished in China. Lacking of detailed chemical composition information limits our capability to 68 understand the formation mechanisms of  $O_3$  and PM, quantify the contributions of different 69 sources, and design effective control strategies. In addition, the observation sites are mostly in 70 highly developed urban areas but are very sparse in other suburban and rural regions which also 71 72 have large population and experience high concentrations of certain pollutants, such as O<sub>3</sub>. Insufficient spatial coverage in the monitoring system limits the completeness of public air pollution 73

risk assessment for the entire country.

Chemical transport models (CTMs) are often used to reproduce past pollution events, test newly 75 discovered atmospheric mechanisms, predict future air quality, and provide high temporal and 76 spatial resolution data for epidemiological studies. Several modeling studies have been reported 77 78 to analyze the severe air pollution events in January 2013. For example, the Community Mul-79 tiscale Air Quality (CMAQ) model was updated with heterogeneous chemistry to study the formation of secondary inorganic aerosol in North China (Zheng et al., 2015). The CMAQ model 80 was also applied to identify the contributions of both source regions and sectors to  $PM_{2,5}$  in 81 82 Southern Hebei during the 2013 severe haze episode with a brute force method (Wang et al., 2014b). It was found that industrial and domestic activities were the most significant local sec-83 tors while Northern Hebei province, Beijing-Tianjin city cluster, and Henan province were the 84 major regional contributors. Using the two-way coupled Weather Research and Forecasting 85 (WRF)/CMAQ system, Wang et al. (2014b) simulated the impacts of aerosol-meteorology inter-86 actions on the PM pollution during January 2013. They argued that enhanced planetary boundary 87 88 layer (PBL) stability suppressed the dispersion of air pollutants, and resulted in higher  $PM_{25}$ concentrations. Similar results were also reported by Zhang et al. (2015a) with the Weather Re-89 search and Forecasting/Chemistry (WRF/Chem) model. Using the Comprehensive Air Quality 90

Model with extensions (CAMx) and the Particulate Source Apportionment Technology (PSAT), Li et al. (2015b) determined the contributions of 7 emission categories and 11 source regions to regional air pollution in China and suggested a strong need for regional joint emission control efforts in Beijing. More recently, Hu et al. (2015a) used a tracer based technique in a sourceoriented CMAQ to determine source sector/region contributions to primary PM in different seasons in 2012-2013. It was found that residential and industrial emissions from local area and the neighboring Hebei province contribute to high primary PM events in Beijing.

98 All above modeling studies except Hu et al. (2015a) were focused on the formation and source apportionment of airborne PM during the severe pollution episode of January 2013 in northern 99 China. Although additional PM formation pathways and/or emission adjustments were imple-100 mented and tuned to better predict this extreme episode, model predictions were only evaluated 101 against a small number of measurements in and near Beijing for a relatively short period of time. 102 A few studies have been conducted to evaluate the model performance in China for longer time 103 104 periods, such as a full year or several representative months in different seasons (Gao et al., 2014; Liu et al., 2010; Liu et al., 2016; Wang et al., 2011; Zhang et al., 2016; Zhao et al., 2013b). 105 However, due to limited ambient observation data, model performance on temporal and spatial 106 variations of air pollutants were mostly evaluated against available surface observation at a lim-107 ited number of sites. In addition, the surface observations were mostly based on the MEP's air 108 pollution index (API) numbers, which could be used to calculate the concentrations of the major 109 pollutants of SO<sub>2</sub>, NO<sub>2</sub> or PM<sub>10</sub>. Extensive model performance evaluation of O<sub>3</sub> and PM is ur-110 gently needed to build the confidence in the emission inventory, the predicted meteorological 111 fields as well as the capability of the model in predicting regional O<sub>3</sub> and PM under a wide range 112 of topographical, meteorological and emission conditions so that further modeling studies of pol-113 lutant formation mechanisms, emission control strategies, and human exposure and health risk 114 assessment are based on a solid foundation. 115

In this study, a yearlong (2013) air quality simulation using a WRF/CMAQ system was conduct-116 ed to provide detailed temporal and spatial distribution of O3 and PM concentrations as well as 117 PM<sub>2.5</sub> chemical composition in China. The publicly available observation data obtained from a 118 total of 422 air monitoring sites in 60 major cities in China were used to provide a thorough 119 evaluation of the model performance in the entire year. The modeled spatial and temporal con-120 centrations of O<sub>3</sub> and PM<sub>2.5</sub> from this study will be used in subsequent studies to investigate the 121 interaction between O<sub>3</sub> and PM pollution during high pollution events, the formation mechanism 122 of secondary inorganic and organic aerosols and the population exposure and health risk. 123

124 **2. Method** 

# 125 **2.1 Model description**

The CMAQ model applied in this study is based on CMAQ v5.0.1. Changes were made to the original CMAQ to improve the capability of the model in predicting secondary inorganic and organic aerosol, including 1) a modified SARPC-11 gas phase photochemical mechanism to provide more detailed treatment of isoprene oxidation chemistry (Ying et al., 2015), 2) pathways of secondary organic aerosol (SOA) formation from surface controlled reactive uptake of dicarbonyls, isoprene epoxydiol (IEPOX) and methacrylic acid epoxide (MAE) (Li et al., 2015a; Ying et al., 2015), 3) vapor wall-loss corrected SOA yields (Zhang et al., 2014c), and 4) heterogeneous reactions of NO<sub>2</sub> and SO<sub>2</sub> on particle surface to form secondary nitrate and sulfate (Ying et al.,
2014a). More details of these changes can be found in the cited references and the references
therein, thus only a short summary of the changes are provided below.

The isoprene mechanism in the original SAPRC-11 with standard lumping (Carter and Heo, 136 137 2012) was replaced by the detailed isoprene oxidation chemistry as used by Lin et al. (2013) to predict the formation of IEPOX and MAE in the gas phase. A precursor tracking scheme was 138 implemented in the modified SAPRC-11 to track the glyoxal (GLY) and methylglyoxal (MGLY) 139 formation from multiple biogenic and anthropogenic precursors. The surface controlled reactive 140 uptake of SOA precursors is considered non-reversible, with constant uptake coefficients for 141 GLY and MGLY as used by Fu et al. (2008) and an acidity dependent uptake coefficient for IE-142 POX and MAE as described by Li et al. (2015a). The original SOA yields for toluene and xylene 143 under high NOx concentrations based on Ng et al. (2007) were replaced with the higher toluene 144 yield reported by Hildebrandt et al. (2009). This update has been applied by Ying et al. (2014a) 145 146 to study SOA formation in Mexico City. All SOA yields were then corrected by the average bias due to wall loss as reported in Table 1 of Zhang et al. (2014). A modeling study of SOA for-147 mation in Eastern US reported by Ying et al. (2015) shows that negative bias in predicted organ-148 ic carbon (OC) concentrations reported in previous studies have been significantly reduced. 149 Formation of sulfate and nitrate due to heterogeneous reactions on particle surface is also mod-150 eled as a reactive uptake process. The reactive surface uptake coefficients of SO<sub>2</sub> and NO<sub>2</sub> on 151 particle surface were taken from Ying et al. (2014a) and Zheng et al. (2015), respectively. 152

# 153 **2.2 Model application**

The updated CMAQ model was applied to simulate O<sub>3</sub> and particulate air pollution using a 36km horizontal resolution domain that covers China and surrounding countries in East Asia (Figure 1). The meteorological inputs were generated using WRF v3.6.1 with initial and boundary conditions from the NCEP FNL Operational Model Global Tropospheric Analyses dataset. De-

tailed WRF model configurations have been described by Zhang et al. (2012).

159 Multi-resolution Emission Inventory for China (MEIC) (0.25×0.25°) developed by Tsinghua University (http://www.meicmodel.org) was used for the monthly anthropogenic emissions from 160 China. MEIC (V1.0) is the new version of emission inventory in China including improvements 161 such as a unit-based emission inventory for power plants (Wang et al., 2012) and cement plants 162 (Lei et al., 2011), a high-resolution county-level vehicle emission inventory (Zheng et al., 2014), 163 and a non-methane VOC mapping approach for different chemical mechanisms (Li et al., 2014b). 164 MEIC provides speciated VOC emissions for the SAPRC-07 mechanism with standard lumping 165 (Carter, 2010). As the definitions of explicit and lumped primary VOCs have not changed from 166 SAPRC-07 to SAPRC-11, these VOC emissions were directly used to drive SAPRC-11. Total 167 PM<sub>2.5</sub> mass emissions and emissions of primary organic carbon (POC) and elemental carbon (EC) 168 were also provided by MEIC directly. Emissions of trace metals needed by the version 6 of the 169 aerosol module in CMAQ (AERO6) were generated using averaged speciation profiles adapted 170 from the U.S. Environmental Protection Agency (EPA) SPECIATE database for each MEIC 171 source category. Emissions from other countries and regions rather than China in the domain 172 were filled with data generated from the gridded 0.25 %0.25 °resolution Regional Emission in-173 ventory in ASia version 2 (REAS2) (Kurokawa et al., 2013). Details of the REAS2 emission 174

processing are described by Qiao et al. (2015). Detailed information about spatial and temporalallocation can also be found in the papers cited above.

Biogenic emissions were generated using the Model for Emissions of Gases and Aerosols from 177 Nature (MEGAN) v2.1. The leaf area index (LAI) was based on the 8-day Moderate Resolution 178 179 Imaging Spectroradiometer (MODIS) LAI product (MOD15A2) and the plant function types (PFTs) were based on the PFT files used in the Global Community Land Model (CLM 3.0). For 180 more details of the biogenic emission processing, the readers are referred to Qiao et al. (2015). 181 Open biomass burning emissions were generated from the Fire INventory from NCAR (FINN), 182 which is based on satellite observations (Wiedinmyer et al., 2011). Dust and sea salt emissions 183 were generated in line during the CMAQ simulations. In this updated CMAQ model, dust emis-184 sion module was updated to be compatible with the 20-category MODIS land use data (Hu et al., 185 2015a). Initial and boundary conditions were based on the default vertical distributions of con-186 centrations that represent clean continental conditions as provided by the CMAQ model. The im-187 188 pact of initial conditions was minimal as the results of the first five days of the simulation were excluded in the analyses. 189

## 190 **3. Results**

## 191 **3.1 Meteorology validation**

Meteorological factors are closely related to transport, transformation, and deposition of air pol-192 193 lutants (Hu et al., 2014b; Jacob and Winner, 2009; Tao et al., 2014a; Zhang et al., 2015b). Although the WRF model has been widely used to provide meteorological inputs for CTMs, the per-194 formance varies when applying to different domains, episodes, and with different model settings. 195 Thus, the validation of model performance on meteorological conditions is important in assuring 196 197 the accuracy of air quality predictions. Observation data from the National Climate Data Center (NCDC) was used to validate the model predictions of temperature (T2) and relative humidity 198 (RH) at 2m above surface, and wind speed (WS) and wind direction (WD) at 10m above surface. 199 Within the domain, there are ~1200 stations shown as purple dots in Figure 1. Model perfor-200 mance statistics of mean observation (OBS), mean prediction (PRE), mean bias (MB), gross er-201 ror (GE) and root mean square error (RMSE) based on the observations and WRF predictions at 202 the grid cells where the stations are located are shown in Table 1. The table also shows the 203 benchmarks suggested by Emery et al. (2001) for the MM5 model in the East US with 4-12km 204 grid resolution. 205

206 The WRF model predicts slightly higher T2 in winter and lower T2 in other seasons than the observations. The MB values for June, July, and September to December are within the benchmark, 207 but the GE values of T2 are generally larger than the benchmark. The GE values of WS meet the 208 benchmark in all months, but WS is over-predicted, as indicated by the positive MB values. The 209 210 MB values meet the benchmark in January, June and August, and RMSE values are within the benchmark in June, July, and August. MB values of WD are within the benchmark of ±10 degree 211 212 for four months. February, November, and December are the months with largest MB values. All GE values of WD are about 50% larger than the benchmark. RH is generally under-predicted ex-213 cept for July and August. The performance in this study is comparable to other studies using 214 WRF in China (Hu et al., 2015a; Wang et al., 2010; Wang et al., 2014b; Ying et al., 2014b; 215 Zhang et al., 2012), despite the differences in model, resolution, and study region in different 216

studies. Generally, the WRF model has acceptable performance on meteorological parameters. It
should be noted that there is a study showing better WRF performance (Zhao et al., 2013a).
However, it is difficult to compare since different model settings, simulation episodes, number of
observation stations were used.

## **3.2 Model performance of O3 and PM2.5**

Hourly observations of air pollutants from March to December 2013 were obtained from the 222 223 publishing website of China National Environmental Monitoring Center (http://113.108.142.147:20035/emcpublish/). A total of 422 stations in 60 cities (see Figure 1 for 224 the location of the cities) including the capital cities of all 31 provinces were obtained. Concen-225 trations of pollutants in difference regions of China exhibit large variations due to diverse cli-226 mates, topography, and emission sources. Aiming to identify the model strength and weakness in 227 difference regions of China, model performance was evaluated separately for different regions. 228 229 The regions and names of these cities are listed in Table 2. Automated quality control measures were taken to remove data points with observed O<sub>3</sub> concentrations greater than 250 ppb, PM<sub>2.5</sub> 230 concentrations greater than 1500 µg m<sup>-3</sup>, and points with standard deviation less than 5 ppb or 5 231  $\mu g m^{-3}$  in 24 hours. 232

## 233 $3.2.1 O_3$ model performance

Table 3 shows the model performance statistics of gaseous pollutants (1h peak  $O_3$  ( $O_3$ -1h), 8h 234 peak O<sub>3</sub> (O<sub>3</sub>-8h), and hourly CO, NO<sub>2</sub>, and SO<sub>2</sub>), PM<sub>2.5</sub>, and PM<sub>10</sub>. Mean observations, mean 235 predictions, mean fractional bias (MFB), mean fractional error (MFE), mean normalized bias 236 (MNB) and mean normalized error (MNE) of hourly concentrations are calculated for each 237 month from March to December 2013. Only O<sub>3</sub>-1h or O<sub>3</sub>-8h concentrations greater than 30 ppb 238 239 were included in the analysis. A cutoff concentration of 40 or 60 ppb is suggested by the U.S. EPA (EPA, 2005). A lower cutoff of 30 ppb is chosen in this study considering the monitoring 240 sites are all located in urban areas and higher O<sub>3</sub> concentrations generally occurs in downwind of 241 urban areas. The overall model performance on O<sub>3</sub>-1h and O<sub>3</sub>-8h meets the model performance 242 criteria suggested by U.S. EPA (2005) in all months, except in March and April for O<sub>3</sub>-1h and 243 June for O<sub>3</sub>-8h. MNE of O<sub>3</sub>-1h in June and July slightly exceeds the criteria, although MNB 244 meets the criteria. MNB of O<sub>3</sub>-8h in May exceeds the criteria, but MNE meets the criteria. The 245 relatively small MNB/MNE and MFB/MFE in most of months indicate that O<sub>3</sub>-1h and O<sub>3</sub>-8h are 246 well captured. 247

Model performance of O<sub>3</sub>-1h and O<sub>3</sub>-8h in different regions is illustrated in Table 4. Model performance meets the criteria in four regions, i.e., North China Plain (NCP), Yangtze River Delta (YRD), Pearl River Delta (PRD), and Northeast (NE). Relatively poor performance is identified in the Sichuan Basin (SCB), Central (CEN), and Northwest (NW) regions. O<sub>3</sub>-1h and O<sub>3</sub>-8h concentrations are slightly under-predicted in YRD and PRD, but over-predicted in all other regions. Model performance in regions other than NCP and YRD should be interpreted with care due to limited number of cities to sufficiently represent the entire region.

Figure 2 compares the predicted monthly averaged diurnal variations of  $O_3$  concentrations with observations for all the 60 cities. For a city with multiple stations, observations and predictions are matched at individual station level and the averaged observations and predictions are used to

represent the concentrations for the city. Some cities, such as Beijing, exhibit substantial diurnal 258 259 variations, especially in summer; and others, such as Lasa, exhibit small diurnal variations. Overall, the model successfully reproduces the monthly average diurnal variation in most cities, 260 261 even though model performance among cities in the same region can be quite different. For example, in NE, the monthly averaged predictions agree well with observations in Shenyang and 262 Changchun but are higher in Dalian, a coastal city, in summer months. In NCP, the model well 263 predicts O<sub>3</sub> concentrations with slight over-prediction at a few cities, especially in the summer 264 months, which agrees with the better hourly O<sub>3</sub> model performance shown in Tables 3 and 4. In 265 YRD, the monthly diurnal variations of O<sub>3</sub> are also well predicted. Obvious under-prediction of 266 summer peak O<sub>3</sub> at Zhoushan and Wenzhou are likely caused by underestimation of emissions in 267 these port cities, although uncertainty in meteorology might also play a role. At PRD, O<sub>3</sub> is 268 slightly underestimated in Guangzhou and Shenzhen for summer and fall months but well esti-269 mated in Zhuhai. In all three cities in the PRD region,  $O_3$  concentrations are higher in the spring 270 and fall months, and the model correctly captures this trend. In SCB, the model correctly predicts 271 the higher spring O<sub>3</sub> concentrations in Chengdu but over-predicts spring O<sub>3</sub> concentrations in 272 Chongqing. Summer O<sub>3</sub> concentrations are well predicted at both cities. For CEN, O<sub>3</sub> predictions 273 are higher than observations in Zhengzhou and Hefei, but agree well with observations in other 274 cities. In NW, the observed O<sub>3</sub> concentrations are much lower and are generally over-predicted 275 all year except for Xi'an and Wulumuqi with good performance in summer. 276

Figure 3 shows the comparison of predicted and observed monthly averaged O<sub>3</sub>-1h and O<sub>3</sub>-8h 277 concentrations at typical cities of major regions in China: Beijing for NCP, Shanghai for YRD, 278 Guangzhou for PRD, Xi'an for NW, Shenyang for NE, and Chongqing for SCB. In Beijing, the 279 monthly variations of both O<sub>3</sub>-1h and O<sub>3</sub>-8h, low in winter months and high in summer months, 280 are well captured by model. The model slightly over-predicts O<sub>3</sub> concentrations from June to 281 December except for August. In Shanghai, both O<sub>3</sub>-1h and O<sub>3</sub>-8h are underestimated by 5-10 ppb, 282 but all observations are within the range of concentrations in the  $3 \times 3$  grid cells surrounding the 283 city center of Shanghai. In Guangzhou, O<sub>3</sub> concentrations vary slightly over months. O<sub>3</sub>-1h is 284 under-predicted especially in summer and fall months. O<sub>3</sub>-8h predictions are closer to the obser-285 vations. In Xi'an, the model well predicts the O<sub>3</sub>-1h and O<sub>3</sub>-8h concentrations in July, August, 286 and September while over-predicts all other months by up to 20 ppb. In Shenyang, the trend of 287 O<sub>3</sub>-1h and O<sub>3</sub>-8h are well reproduced with less than 5ppb differences for all the months. In 288 Chongqing, over-prediction occurs in spring, fall, and winter while under-prediction occurs in 289 summer. 290

#### *3.2.2 PM*<sub>2.5</sub> *model performance*

PM<sub>2.5</sub> model performance in different months and regions are also illustrated in Table 3 and Ta-292 ble 4, respectively. The model performance statistics of MFB and MFE of hourly PM<sub>2.5</sub> concen-293 trations meet the US EPA criteria in all months. Negative MFB is found in all months, indicating 294 the model under-predicts the PM<sub>2.5</sub> concentrations. Model performance is better in March, Sep-295 tember, November and December, with MFB less than 0.3. The bias is relatively larger in April, 296 May, June, July and October, with MFB over 0.4. PM<sub>10</sub> is largely underestimated and is very 297 likely to due to underestimation of dust emissions from both natural sources as well as human 298 activities. 299

Model performance of  $PM_{2.5}$  in different regions is also different. The model significantly underpredicts  $PM_{2.5}$  in the NW and the Other (mostly Southwest cities) regions. Especially in the NW region, MFB value is -0.75 and MFE value is 0.88.  $PM_{2.5}$  in all the other regions meets the performance criteria. Although most regions meet the model performance criteria in this study, under-prediction of  $PM_{2.5}$  concentrations are found in all regions (except SCB), as indicated by the large negative MFB values.  $PM_{10}$  has similar performance in various regions.

Figure 4 illustrates the comparison of predicted and observed monthly averaged PM<sub>2.5</sub> concentra-306 tions for all the 60 cities. In NE, the predictions agree well with observations in summer months. 307 Concentrations in fall and winter months are under-predicted, except for Dalian, where the all 308 values are well reproduced. In NCP, the annual trends at most cities are well captured. The mod-309 el trends to under-predict spring and summer concentrations and over predict December concen-310 trations. The coastal city, Oingdao, is unique with under-prediction in summer and good estima-311 tion in other months. In YRD, the model well produces  $PM_{2.5}$  for all the months at most sites ex-312 cept in coastal cities (Zhoushan and Wenzhou) and mountainous cities (Quzhou and Lishui). In 313 SCB, the model underestimates concentrations in the winter months in Chongqing but well esti-314 mates the concentrations in Chengdu except for March and April. In CEN, the seasonal trend is 315 well captured at all cities but most cities show over-predicted concentrations in December. In NE, 316 PM<sub>2.5</sub> is uniformly under-predicted. For Other regions, predictions agree with observations at the 317 coastal cities (Fuzhou and Haikou) but concentrations in Lasa are largely under-predicted. The 318 values closest to the observations in the  $3 \times 3$  surrounding grid cells are similar to the predictions 319 at city centers for most months with clear differences in October, November, and December at 320 several cities. It indicates the higher contributions of primary PM, which has steeper concentra-321 tion gradients than secondary PM, in winter months than in summer months. 322

323 Generally, the WRF/CMAQ modeling system with MEIC inventory well reproduces the O<sub>3</sub> and  $PM_{2.5}$  concentrations in most regions for most months. Over-prediction of O<sub>3</sub> occurs at low con-324 325 centrations in winter while under-prediction of PM<sub>2.5</sub> happens at low concentration range in summer and in cities in the NW region. The model performance on CO, NO<sub>2</sub>, and SO<sub>2</sub> are also 326 calculated and listed in Tables 3 and 4. There are no performance criteria for these pollutants, but 327 328 the model performance are in the same ranges as compared to other studies in other coun-329 tries/regions (Tao et al., 2014a). The model performance at different regions differs due to the differences in emission, topography, and meteorological conditions. The performance on these 330 331 species can be used as indicator for emission uncertainties. The possible uncertainties are discussed in the *Discussion* section. 332

## 333 **3.3 Seasonal variations and regional distribution of O<sub>3</sub> and PM<sub>2.5</sub>**

334 Figure 5 shows the predicted regional distribution of seasonal averaged O<sub>3</sub>-1h and O<sub>3</sub>-8h. In spring, highest O<sub>3</sub>-1h concentration (~100 ppb) occurs in South Asia due to higher temperature, 335 solar radiation and significant amount of emissions from open biomass burning activities (Kondo 336 et al., 2004). Southern China has higher concentrations (~70 ppb) than Northern China (~50 ppb). 337 However, in summer, NCP has the highest concentration of 80ppb while Southern China (and 338 other regions) has lower concentrations of 50-60 ppb. In fall, most of the regions in China have 339 O<sub>3</sub>-1h concentrations of 50-60 ppb. In winter, NE China and NCP have O<sub>3</sub>-1h concentrations 340 lower than 30ppb while Southern China has the concentrations of 40-50 ppb. In addition to NCP 341 in the summer, SCB is also another hot spot for ozone with high summer and wintertime O<sub>3</sub>-1h 342

of ~100 ppb and 60-70 ppb, respectively.  $O_3$ -8h has similar spatial distribution patterns as  $O_3$ -1h for all seasons with lower concentrations (by 5~10 ppb).

Figure 6 shows the spatial distribution of seasonal averaged  $PM_{2.5}$  concentrations together with 345 the averaged wind vectors as the regional distribution of PM<sub>2.5</sub> is significantly influenced by 346 wind patterns. In spring, the PM<sub>2.5</sub> concentrations in China reach approximately 50-70  $\mu$ g m<sup>-3</sup> in 347 Northern, Eastern, and Southern China except coastal provinces of Zhejiang, Fujian, and Guang-348 dong. It is evident that the high concentrations are related to low wind speed. In summer, the are-349 as with high PM<sub>2.5</sub> concentrations of  $\sim$ 50 µg m<sup>-3</sup> are limited to NCP and SCB while all other re-350 gions have concentrations of  $< 30 \ \mu g \ m^{-3}$ . Emissions brought to the NCP by the southerly wind, 351 blockage of dispersion due to mountain ranges to the north and west, and secondary organic aer-352 osol formed due to strong solar radiation are contributing factors for higher summer PM<sub>2.5</sub> in 353 NCP. In fall, the high concentration regions are similar to those in spring but with higher concen-354 trations of up to 100 µg m<sup>-3</sup> in NCP, YRD, CEN and SCB. In winter, high PM<sub>2.5</sub> concentrations 355 are located in the NE, NCP, YRD, CEN and SCB regions. Seasonal average concentrations of 356 more than 200 µg m<sup>-3</sup> occur in large portions of NCP, CEN, and SCB due to low wind speed and 357 mixing height. Strong gradient exists between the high concentration regions and surrounding 358 areas where wind is more lenient to pollutant dispersion. 359

360 Figure 7 shows the spatial distribution of seasonal averaged PM<sub>2.5</sub> components. All components 361 show clear seasonal variations. For secondary inorganic components and anthropogenic primary components (EC and POA), concentrations are usually highest in winter and lowest in summer. 362 363 Spring and fall concentrations are similar with slightly higher concentrations in fall. For EC and POA, this seasonal variation is largely driven by large increase in the emissions from residential 364 sources in winter, as well as reduced ventilation that is often associated with winter stagnant 365 conditions. For secondary inorganic components, gas phase formation rate of  $HNO_3$  and  $H_2SO_4$ 366 decreases as temperature and solar radiation intensity decreases in fall and winter, leading to de-367 crease in their formation from the homogeneous pathways. However, the amount of secondary 368 369 NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2-</sup> from surface heterogeneous reactions of SO<sub>2</sub> and NO<sub>2</sub> increases as their emissions increases, and more particle surface area becomes available due to increase in primary PM 370 concentrations. In addition, ammonium nitrate is preferentially partitioned into the particle phase 371 under colder temperatures (Aw and Kleeman, 2003). In most regions with high concentrations, 372 373 wintertime NO<sub>3</sub><sup>-</sup> concentrations are 150-200% higher than annual average concentrations, while SO<sub>4</sub><sup>2-</sup> and NH<sub>4</sub><sup>+</sup> concentrations are approximately 100-150% higher (see Figure 8). POA concen-374 trations in winter are also approximately 100-150% higher in winter than the annual average, es-375 pecially in northern part of China where residential heating is a significant source of PM<sub>2.5</sub> emis-376 sions. In provinces in southern China with warm temperature, winter POA is not significantly 377 deviated from the annual mean (see Figure 8). Maximum concentrations of  $NO_3^{-1}$  and  $SO_4^{2-1}$  in-378 crease to beyond 50 µg m<sup>-3</sup> and NH<sub>4</sub><sup>+</sup> as high as 40 µg m<sup>-3</sup> in portions of NCP, CEN, YRD and 379 SCB. This suggests that in large areas, secondary inorganic PM is the most significant contribu-380 tor to elevated wintertime PM2.5 concentrations. EC has limited spatial distribution since it is on-381 ly directly emitted. Highest EC concentrations are in NCP, CEN and SCB. The EC concentra-382 tions are 10-15  $\mu$ g m<sup>-3</sup> in winter but lower than 5  $\mu$ g m<sup>-3</sup> in other seasons. POA concentrations 383 are highly season dependent with the highest concentrations of  $\sim 30 \ \mu g \ m^{-3}$  in NCP, CEN, SCB 384 385 and NE occurring in winter.

386 SOA shows different seasonal variations from the secondary inorganic aerosol and anthropogen-387 ic primary PM components. In CEN and Eastern China, higher seasonal average SOA concentrations of 10-15 µg m<sup>-3</sup> occur in summer and winter, while in southern China similar levels of SOA 388 389 occur in spring. The spring and summer high SOA concentrations are dominantly formed from biogenic isoprene emissions but winter SOA is mainly formed from semi-volatile oxidation 390 products of anthropogenic aromatic compounds. Details of SOA formation and composition will 391 392 be discussed in a separate paper. "Other" components are primary PM<sub>2.5</sub> including most part of 393 dust. The concentrations are high in spring, fall and winter. In summary, secondary components have more boarder distribution than primary components.  $SO_4^{2-}$ ,  $NO_3^{-}$ ,  $NH_4^+$  and POA are the 394 most important aerosol components based on their absolute concentrations. 395

It should be noted that the simulated spatiotemporal distribution of PM<sub>2.5</sub> and its chemical com-396 position is affected by the temporally and spatially variant biases of PM<sub>2.5</sub>. In summer PM<sub>2.5</sub> is 397 more under-predicted when the concentrations are lower, therefore the actual seasonal variation 398 399 of  $PM_{25}$  is likely weaker the predictions.  $PM_{25}$  is more under-predicted in NW where the concentrations are lower, therefore the actual spatial difference between NW and eastern China re-400 gion (i.e., NCP, YRD, etc.) is also likely weaker. The spatiotemporal distribution of PM<sub>2.5</sub> chem-401 ical composition is expected to be affected similarly but needed to be confirmed with detailed 402  $PM_{2.5}$  composition observations. The biases of  $O_3$  exhibit much less variation temporally and 403 spatially, so the predicted spatiotemporal distribution of  $O_3$  is more accurate than  $PM_{2.5}$ . 404

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### 406 **3.4 Temporal variation of PM2.5 components in representative cities**

Temporal variations of PM<sub>2.5</sub> components are also shown at typical cities in different regions as 407 in Figure 9. The total PM<sub>2.5</sub> concentrations in Beijing are high in winter and low in summer with 408 the peak of  $\sim 150 \text{ µg m}^{-3}$  in January. EC contributions are  $\sim 5-10\%$  in winter but less than 5% in 409 other seasons. POA has similar pattern as EC but contributions can be ~35% in winter and ~20% 410 in summer. SOA contributions are high in summer with the peak of  $\sim 30\%$  in August and very 411 low in winter.  $SO_4^{2-}$  and  $NO_3^{-}$  are the top two largest contributors with comparable contributions 412 all the time.  $NH_4^+$  can be as high as ~20% in January and only ~10% in summer. Other compo-413 nents ("Other", mostly oxides of crustal elements and other trace metals) contribute up to 15% in 414 415 some months. In Shanghai, the monthly averaged concentrations are highest in winter and decrease gradually from spring to fall. Five out of the 12 months are over the Chinese Ambient Air 416 Ouality Standards (CAAOS) Grade II standard for 24-hour average PM<sub>2.5</sub> (75 µg m<sup>-3</sup>, simply 417 Grade II standard hereafter). EC and POA have similar pattern with a total contribution of 20% 418 in most months.  $SO_4^{2-}$ ,  $NO_3^{-}$ , and  $NH_4^+$  contribute to more than 70% from November to June and 419 less than 50% in other months, while the contribution of SOA increases significantly to as much 420 as 40% in the summer months. The relative contributions of the "Other" components are about 2 421 times of those in Beijing (15% to 30%). In Guangzhou, the PM<sub>2.5</sub> concentrations are lower than 422 Beijing and Shanghai. Predicted PM<sub>2.5</sub> concentrations are all within the Grade II standard in Chi-423 na. Although the contribution of SOA is higher,  $SO_4^{2^-}$ ,  $NO_3^{-}$ , and  $NH_4^+$  are still the major com-424 ponents with more than 60% contribution all over the year. 425

In Xi'an, the largest city in NW, the differences in  $PM_{2.5}$  at winter and other months are significant. In winter, the total  $PM_{2.5}$  concentrations are 150-180 µg m<sup>-3</sup> with POA,  $SO_4^{2-}$ ,  $NO_3^{-}$ , and

 $NH_4^+$  as major components. In Shenvang, a NE city, the PM<sub>2.5</sub> concentrations are ~250 µg m<sup>-3</sup> in 428 January followed by  $\sim 200 \ \mu g \ m^{-3}$  in February and  $\sim 150 \ \mu g \ m^{-3}$  in December. The extremely high 429 concentrations are related to winter residential heating or uncontrolled open biomass (such as 430 431 straw) burning as can be indicated by the elevated emissions from residential sources. For other seasons, contributions of other components are much lower but contribution of SOA increases to 432 more than 20% (~10  $\mu$ g m<sup>-3</sup>) in June, likely due to increased biogenic emissions in the densely 433 forested regions in the NE. In Chongqing, located in Sichuan basin, monthly average reaches as 434 high as 230 µg m<sup>-3</sup> in January due to increased atmospheric stability. Spring, summer and fall 435 months have much lower  $PM_{25}$  concentrations especially for July, when the  $PM_{25}$  is lower than 436 437 50  $\mu$ g m<sup>-3</sup>.

One of the questions that remain unclear is whether secondary PM formation is enhanced during 438 the high pollution days or high pollution events are simply caused by enhanced emissions and 439 reduced dilution due to stagnant conditions. As an attempt to address this question, Figure 10 440 441 shows the comparison of relative contributions of  $PM_{2.5}$  components in episode days (>= the Grade II standard of 75 µg m<sup>-3</sup>) and non-episode days. In Guangzhou, there are no episode days 442 predicted, thus only Beijing, Shanghai, Xi'an, Shenyang and Chongqing are included in Figure 443 10. In all cities, the minimum episode-day averaged concentration occurs in summer while the 444 maximum concentration occurs in winter. In most cities and in most seasons, episode days have 445 larger contributions of secondary components (SOA, SO<sub>4</sub><sup>2-</sup>, NO<sub>3</sub><sup>-</sup>, and NH<sub>4</sub><sup>+</sup>, 69.8% on episode 446 days vs. 59.9% on non-episode days) and lower contributions of primary components (EC, POA 447 and Other, 30.2% on episode days vs 40.1% on non-episode days). Some cities show much dras-448 tic differences in secondary PM contributions between episode and non-episode days. For exam-449 ple, contribution of secondary PM in Xi'an increases from 40% on non-episode days to more 450 than 60% on episode days in winter. Other cities, such as Chongqing, show less difference in the 451 relative contributions of secondary PM between episode and non-episode days. While most of 452 the secondary PM increase is due to enhanced formation of secondary inorganic components, the 453 454 contribution of SOA to total PM is significantly higher than that on non-episode days in summer Beijing. This suggests that enhanced SOA formation could also play a significant role in summer 455 PM pollution events of urban areas. In conclusion, in most cities in most seasons, episode days 456 have more rapid formation of secondary PM components than accumulation of primary pollu-457 458 tants due to unfavorable weather conditions. This also suggests that controlling the emissions of secondary PM precursors needs to be considered in designing emission control strategies as in 459 460 many conditions it can be more effective in reducing PM concentrations.

#### 461 **4. Discussion**

Model predicted concentrations of  $O_3$  and  $PM_{2,5}$  are evaluated by comparing to ground-level ob-462 463 servations at 422 stations in 60 cities in China for ten months in 2013. Predicted concentrations generally agree well with observations, with the model performance statistics meeting the criteria 464 in most of the regions and months. Relatively large bias in model predicted concentrations is 465 found in certain regions in certain months/episodes. Model bias is mainly attributed to uncertain-466 ties associated with meteorological fields, emissions, model treatment and configurations. Fur-467 ther studies are still needed to continue improving the model capability in accurately predicting 468 air quality in China. 469

470 The WRF model performance in this study is comparable to other studies (Hu et al., 2015a; 471 Wang et al., 2010; Wang et al., 2014b; Ying et al., 2014b; Zhang et al., 2012), but a better WRF performance was reported in Zhao et al. (2013a). Mesoscale meteorological modeling studies are 472 473 also needed to improve the WRF model capability in China. In this study, some meteorological parameters are biased, for example ground-level wind speed is consistently over-predicted and 474 RH is more biased low in winter months (Table 1). A previous study has revealed that air pollu-475 tion levels are associated with these parameters in highly polluted regions in China (Wang et al., 476 477 2014c). It is also demonstrated that bias in predicted meteorological parameters by WRF contributes to bias in PM<sub>2.5</sub> prediction (Hu et al., 2015c; Zhang et al., 2014a; Zhang et al., 2014b). A 478 companion study is undergoing to evaluate the sensitivity of predictions to meteorological fields. 479

Uncertainties associated with emission inventory often are the major factor leading to bias in 480 model predictions. The overall good model performance in most regions indicates general accu-481 racy of the MEIC inventory. However, larger negative bias in CO, NO<sub>2</sub>, and SO<sub>2</sub> in NW (Table 4) 482 483 suggests that anthropogenic emissions, including primary PM<sub>2.5</sub> are severely under-estimated in this region. Similarly, under-predictions of PM<sub>2.5</sub> in Lasa are also likely due to under-predictions 484 of anthropogenic emissions, mostly likely those from residential sources. Studies have suggested 485 that dust contributes significantly to PM<sub>2.5</sub> in NW (Li et al., 2014a; Shen et al., 2009). The cur-486 rent estimation of dust from wind erosion of natural soil surfaces in the NW is approximately 20 487  $\mu$ g m<sup>-3</sup> in spring and lower than 10  $\mu$ g m<sup>-3</sup> in other seasons. This relatively low estimation of 488 PM<sub>2.5</sub> in the NW of China generally agrees with the most recent global long term PM<sub>2.5</sub> estima-489 490 tion based on satellite AOD measurements (Battelle Memorial Institute and Center for International Earth Science Information Network - CIESIN - Columbia University, 2013; de 491 492 Sherbinin et al., 2014). Emissions of dust from other sources in the urban/rural areas, such as paved and unpaved road and construction activities could be a more important factor that leads to 493 494 under-predictions of mineral PM components in the NW cities. Both activity data and emission factors used to generate these area emissions should be examined carefully. Source apportion-495 496 ment studies based on receptor-oriented techniques should be used to differentiate the contribu-497 tions from these different dust sources to further constrain the uncertainties in dust emissions.

Another important source of under-prediction of PM<sub>2.5</sub> is SOA, especially in the summer when 498 the biases in  $PM_{2.5}$  predictions are larger and more SOA is expected to form due to higher VOCs 499 emissions and higher atmospheric reactivity. While significant progresses have been made to 500 improve model predictions and the SOA module used in the current study has incorporated many 501 of the newly found SOA formation pathways, the understanding of both gas phase and particle 502 phase chemistry that lead to SOA formation is still very limited, and many experimental findings 503 have yet been incorporated by the modeling community. To constrain the uncertainties in SOA 504 predictions, speciated measurements of SOA tracers and gas phase VOC precursors are needed 505 506 along with models with detailed chemical mechanisms to represent the species. While some VOC speciation data are available, more data in different regions and episodes are needed to im-507 prove both estimation of VOC emissions (Zhang and Ying, 2011) and model predictions of SOA. 508

Model grid resolution also contributes to the bias in predictions. The emissions are instantly mixed into  $36 \times 36$  km<sup>2</sup> grids after being released from sources. Some of the monitoring stations are located in urban areas near emission sources, such as traffic and industrial facilities, which could imply negative prediction biases when compared with modeled concentrations which represent average concentrations in a grid cell. Higher resolution modeling studies are believed to

- more accurately capture the concentrations and to reveal finer scale spatial distribution of pollu-
- tants (Fountoukis et al., 2013; Gan et al., 2016; Joe et al., 2014; Stroud et al., 2011). The grid di-
- and secondary  $PM_{2.5}$  components are often formed regionally and consequently have a more uniform spatial distribution.

# 519 **5. Conclusion**

- 520 In this study, O<sub>3</sub> and PM<sub>2.5</sub> in China during the entire year of 2013 is simulated using an updated WRF/CMAQ model system and anthropogenic emissions from MEIC. The WRF model predicts 521 reasonable meteorological inputs for the CMAO model. The comparison of predicted and ob-522 served hourly O<sub>3</sub>, peak hour O<sub>3</sub>, and daily and monthly averaged PM<sub>2.5</sub> at 60 cities shows that the 523 current model can successfully reproduces the O<sub>3</sub> and PM<sub>2.5</sub> concentrations at most cities for 524 most months of the year. Over-prediction of O<sub>3</sub> occurs at low concentration range in winter while 525 under-prediction of PM<sub>2.5</sub> happens at low concentration range in summer. Spatially, the model 526 has better performance in NE, NCP, Central YRD and SCB but significant under-prediction bi-527 ases exist for the cities in the NW region. Strong seasonal variations of PM2.5 exist and wind 528 speed and direction play important roles in high PM<sub>2.5</sub> events. Secondary components have more 529 boarder distribution than primary components. Contributions of secondary PM components in-530 crease during high PM events in a number of urban areas, suggesting that secondary PM for-531 mation rates are enhanced more than the accumulation rate of primary pollutants. Overall,  $SO_4^{2-}$ , 532 533  $NO_3^-$ ,  $NH_4^+$  and POA are the most important  $PM_{2.5}$  components. All components have the highest concentrations in winter except SOA. NCP, CEN and SCB have more severe PM<sub>2.5</sub> levels 534 than YRD and PRD. 535
- This study reports the detailed model performance of  $O_3$  and  $PM_{2.5}$  in China for an entire year 536 with the public available observations nationwide in China. Although much needs to be done to 537 improve the model performance, this study shows the capability of the model with MEIC emis-538 539 sion in reproducing severe air pollution. The concentrations of O<sub>3</sub>, PM<sub>2.5</sub> total mass and its chemical components from this study will be used in future studies to understand formation mecha-540 nisms of severe air pollution episodes, investigate the effectiveness of emission control strategies, 541 and estimate human exposure to multiple pollutants for assessing health burden of air pollution 542 in China. 543

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Table 1. Meteorology performance in all the months in 2013 (OBS, mean observation; PRE,
mean prediction; MB, mean bias; GE, gross error; and RMSE, root mean square error). The
benchmarks are suggested by Emery et al. (2001) for the MM5 model in the East US with 412km grid resolution. The values that do not meet the criteria are shaded.

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Bench- mark
	OBS	267.3	270.4	277.5	282.7	289.3	293.9	297.0	297.1	292.1	286.0	278.1	272.8	
T2	PRE	266.1	268.9	276.2	281.8	288.7	293.6	296.5	296.5	291.9	286.0	278.4	273.1	
	MB	1.2	-1.4	-1.3	-0.8	-0.7	-0.3	-0.5	-0.6	-0.2	0.0	0.3	0.3	$\leq \pm 0.5$
(K)	GE	3.7	3.3	3.0	2.7	2.7	2.7	2.6	2.5	2.4	2.5	2.7	2.8	$\leq$ 2.0
	RMSE	4.7	4.5	4.0	3.6	3.5	3.6	3.5	3.3	3.2	3.3	3.5	3.8	
	OBS	3.0	3.5	3.7	3.8	3.6	3.3	3.4	3.2	3.3	3.4	3.5	3.5	
WC	PRE	3.2	4.8	4.8	4.8	4.4	3.8	4.0	3.8	4.0	4.4	4.6	4.7	
WS (ms <sup>-1</sup> )	MB	0.2	1.3	1.1	1.0	0.7	0.5	0.6	0.5	0.7	1.0	1.1	1.2	$\leq \pm 0.5$
(IIIS)	GE	1.3	2.0	1.9	1.9	1.7	1.53	1.6	1.5	1.6	1.7	1.9	19	$\leq$ 2.0
	RMSE	2.6	2.6	2.5	2.4	2.2	2.0	2.0	1.9	2.1	2.3	2.4	2.5	$\leq 2.0$
	OBS	187.5	212.0	205.0	202.4	187.3	171.2	187.0	190.6	174.8	183.0	216.0	216.4	$\leq$ 2.0
WD	PRE	209.9	229.1	220.4	216.8	198.5	175.8	200.8	203.4	171.4	182.1	236.5	234.0	
	MB	10.5	17.1	15.4	14.4	11.2	4.6	13.8	12.9	-3.4	-0.9	20.5	17.7	$\leq \pm 10$
(°)	GE	46.3	47.7	46.7	44.8	46.2	49.4	46.6	47.4	47.5	45.6	44.8	46.6	$\leq \pm 30$
	RMSE	66.3	65.1	64.1	62.1	63.4	66.4	63.5	64.4	65.0	62.9	61.8	63.8	
	OBS	64.9	78.9	69.5	67.1	64.3	68.7	70.8	70.4	6938	71.7	72.2	75.3	
RH	PRE	63.6	73.4	68.4	65.3	64.0	68.1	72.0	72.1	69.2	71.0	68.9	68.7	
	MB	-1.4	-5.6	-1.1	-1.8	-0.3	-0.5	1.2	1.7	-0.6	-0.7	-3.3	-6.5	
(%)	GE	19.2	14.1	15.4	14.9	14.5	13.4	13.5	13.0	12.6	13.5	14.1	14.8	
	RMSE	21.2	18.3	19.4	18.9	18.6	17.4	17.3	16.6	16.3	17.4	18.4	19.8	

Region	City list
Northeast (4 cities)	1. Harbin, 2. Changchun, 3. Shenyang, 4. Dalian
North China Plain (NCP) (14)	5. Chengde, 6. Beijing, 7. Qinhuangdao, 8. Tangshan, 9. Langfang, 10. Tianjin, 11. Baoding, 12. Cangzhou, 13. Shijiazhuang, 14. Hengshui, 15. Handan, 16. Jinan, 17. Qingdao, 28. Huhehaote
Yangtze River Delta (YRD) (20)	21. Lianyungang, 22. Suqian, 23. Xuzhou, 24. Huai'an, 25. Taizhou, 26. Yang- zhou, 27. Nanjing, 29. Nantong, 30. Suzhou, 31. Wuxi, 32. Shanghai, 33. Hu- zhou, 34. Hangzhou, 35. Jiaxing, 36. Shaoxing, 37. Zhoushan, 38. Wenzhou, 39. Jinhua, 40. Quzhou, 41. Lishui
Pearl River Delta (PRD) (3)	46. Guangzhou, 47. Zhuhai, 60. Shenzhen
Central China (6)	18. Taiyuan, 19. Zhengzhou, 20. Hefei, 43. Wuhan, 44. Nanchang, 45. Changsha
Northwest (5)	54. Xi'an, 55. Yinchuan, 56. Lanzhou, 57. Xining, 58. Wulumuqi
Sichuan basin (SCB) (2)	52. Chongqing, 53. Chengdu
Southwest+Other (6)	42. Fuzhou, 48. Haikou, 49. Nanning, 50. Kunming, 51. Guiyang, 59. Lasa

Table 2. List of the cities in different regions with available observations.

705	Table 3. Model performance on O <sub>3</sub> -1h, O <sub>3</sub> -8h, PM <sub>2.5</sub> , PM <sub>10</sub> , CO, NO <sub>2</sub> , and SO <sub>2</sub> in March to De-
706	cember 2013 (OBS, mean observation; PRE, mean prediction; MFB, mean fractional bias; MFE,

mean fractional error; MNB, mean normalized bias; MNE, mean normalized error). The performance criteria for  $PM_{2.5}$  are suggested by EPA (2007), and the performance criteria for  $O_3$  are

		2.5	
709	suggested by EPA	(2005). The values that c	do not meet the criteria are shaded.

		Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Criteria
	OBS	53.96	57.73	65.37	67.72	65.7	68.3	60.73	57.97	49.18	46.53	
	PRE	58.09	61.76	66.91	67.82	63.23	66.47	59.5	54.92	45.66	42.09	
O <sub>3</sub> -1h	MFB	0.08	0.09	0.05	0.01	-0.01	-0.01	0.01	-0.03	-0.05	-0.09	
(ppb)	MFE	0.29	0.27	0.25	0.3	0.29	0.28	0.27	0.26	0.27	0.32	
	MNB	0.16	0.17	0.11	0.1	0.06	0.06	0.07	0.03	0.01	-0.01	≤ ±0.15
	MNE	0.34	0.32	0.28	0.33	0.31	0.3	0.29	0.26	0.26	0.28	$\leq 0.3$
	OBS	50.4	47.44	52.59	54.36	51.79	54.03	48.63	48.03	40.31	38.92	
	PRE	48.81	51.49	57.86	59.58	54.05	58.07	50.64	48.48	40.6	40.7	
O <sub>3</sub> -8h	MFB	-0.05	0.07	0.1	0.08	0.03	0.06	0.04	0.01	-0.01	0.01	
(ppb)	MFE	0.29	0.24	0.24	0.28	0.26	0.26	0.25	0.24	0.25	0.27	
<b>41</b> /	MNB	0.03	0.13	0.16	0.16	0.09	0.12	0.1	0.06	0.03	0.07	≤ ±0.15
	MNE	0.29	0.28	0.28	0.32	0.28	0.29	0.27	0.25	0.24	0.27	≤ 0.3
	OBS	81.68	62.07	60.12	60.83	45.52	47.1	56.08	85.69	88.93	123.73	
	PRE	66.12	43.24	39.28	41.6	31.31	39.07	52.24	56.09	80.21	126.83	
PM <sub>2.5</sub>	MFB	-0.24	-0.4	-0.47	-0.41	-0.48	-0.31	-0.21	-0.42	-0.17	-0.07	≦ ±0.6
(µg m <sup>-3</sup> )	MFE	0.59	0.63	0.68	0.69	0.72	0.65	0.62	0.64	0.6	0.59	≤ 0.75
	MNB	0.04	-0.16	-0.19	-0.09	-0.17	-0.01	0.11	-0.16	0.17	0.3	
	MNE	0.61	0.54	0.58	0.63	0.63	0.64	0.68	0.56	0.7	0.75	
	OBS	151.39	121.56	111.90	96.95	79.90	85.04	98.27	136.02	150.27	178.78	
	PRE	74.72	52.48	45.37	46.58	35.59	44.63	57.53	65.12	90.22	136.26	
$PM_{10}$	MFB	-0.59	-0.73	-0.79	-0.68	-0.78	-0.65	-0.54	-0.65	-0.48	-0.34	
(µg m <sup>-3</sup> )	MFE	0.74	0.83	0.89	0.82	0.88	0.79	0.73	0.77	0.72	0.63	
	MNB	-0.31	-0.43	-0.45	-0.35	-0.44	-0.35	-0.24	-0.36	-0.16	-0.04	
	MNE	0.56	0.58	0.62	0.62	0.63	0.59	0.60	0.59	0.64	0.62	
	OBS	1.17	0.94	0.86	0.8	0.73	0.75	0.85	1.09	1.16	1.48	
	PRE	0.37	0.26	0.25	0.26	0.23	0.25	0.29	0.31	0.41	0.59	
CO	MFB	-0.89	-0.97	-0.97	-0.91	-0.95	-0.92	-0.9	-0.98	-0.88	-0.8	
(ppm)	MFE	0.95	1.01	1	0.95	0.99	0.96	0.95	1.02	0.92	0.86	
	MNB	-0.54	-0.6	-0.6	-0.56	-0.58	-0.56	-0.56	-0.61	-0.54	-0.49	
	MNE	0.63	0.65	0.65	0.63	0.64	0.63	0.63	0.66	0.62	0.59	
	OBS	23.33	21.26	19.83	18.11	16.34	16.5	19.74	24.82	27.41	31.41	
$NO_2$	PRE	10.11	8.87	8.51	8.74	8.12	8.77	10.45	11.85	13.45	13.87	
(ppb)	MFB	-0.83	-0.88	-0.86	-0.79	-0.79	-0.73	-0.71	-0.76	-0.7	-0.77	
(PPC)	MFE	0.94	0.99	0.99	0.95	0.95	0.91	0.89	0.91	0.85	0.87	
	MNB	-0.45	-0.48	-0.46	-0.4	-0.4	-0.35	-0.35	-0.39	-0.37	-0.44	
	MNE	0.65	0.67	0.68	0.68	0.68	0.67	0.66	0.65	0.62	0.61	
	OBS	19.1	15.8	15.25	12.93	12.32	12.96	13.24	15.53	21.74	27.88	
	PRE	11.64	8.87	8.31	8.61	7.09	8.88	11.94	14.25	17.91	23.32	
$SO_2$	MFB	-0.61	-0.66	-0.68	-0.59	-0.73	-0.56	-0.39	-0.29	-0.31	-0.32	
(ppb)	MFE	0.89	0.9	0.91	0.89	0.98	0.89	0.84	0.78	0.82	0.83	
	MNB	-0.14	-0.23	-0.23	-0.11	-0.22	-0.08	0.23	0.25	0.29	0.31	
	MNE	0.79	0.74	0.76	0.8	0.81	0.82	1	0.95	1.01	1.03	

gions de									
		NCP	YRD	PRD	SCB	NE	CEN	NW	Othe
	OBS	65.18	63.84	65.7	67.85	53.37	63.1	54.5	54.2
	PRE	65.84	59.02	56.6	71.36	57.9	62.79	60.5	55.3
O <sub>3</sub> -1h	MFB	0.03	-0.07	-0.13	0.08	0.09	0.03	0.14	0.0
(ppb)	MFE	0.27	0.27	0.3	0.31	0.24	0.31	0.28	0.28
	MNB	0.1	-0.01	-0.06	0.18	0.14	0.12	0.22	0.13
	MNE	0.3	0.26	0.29	0.36	0.27	0.34	0.33	0.3
	OBS	53.38	52.96	51.25	53.48	46.73	49.88	44.26	45
	PRE	57.51	51.72	46.13	59.04	52.18	54.33	52.67	49.9
O <sub>3</sub> -8h	MFB	0.06	-0.03	-0.11	0.1	0.1	0.08	0.18	0.1
(ppb)	MFE	0.26	0.26	0.26	0.26	0.23	0.26	0.28	0.24
	MNB	0.13	0.02	-0.06	0.17	0.15	0.15	0.25	0.10
	MNE	0.3	0.26	0.24	0.3	0.26	0.3	0.33	0.28
	OBS	90.85	65.55	49.28	65.61	60.93	77.74	70.13	42.7
	PRE	65.5	55.55	29.19	78.83	48.57	74.95	33.84	33.5
PM <sub>2.5</sub>	MFB	-0.33	-0.27	-0.56	0.05	-0.26	-0.16	-0.75	-0.5
(µg m <sup>-3</sup> )	MFE	0.64	0.57	0.68	0.57	0.62	0.57	0.88	0.7′
	MNB	-0.01	-0.04	-0.33	0.47	0.03	0.15	-0.39	-0.2
	MNE	0.65	0.54	0.52	0.84	0.63	0.66	0.65	0.6
	OBS	164.80	104.94	69.85	104.79	99.08	122.64	143.95	68.6
	PRE	73.69	63.47	34.20	86.70	52.80	80.44	44.25	35.6
$PM_{10}$	MFB	-0.71	-0.55	-0.69	-0.25	-0.62	-0.49	-0.98	-0.7
(µg m <sup>-3</sup> )	MFE	0.84	0.70	0.77	0.62	0.78	0.70	1.05	0.8′
	MNB	-0.37	-0.30	-0.43	0.07	-0.32	-0.20	-0.56	-0.4
	MNE	0.63	0.54	0.55	0.68	0.60	0.60	0.69	0.62
	OBS	1.22	0.8	0.81	0.82	0.79	1.11	1.13	0.75
	PRE	0.37	0.29	0.22	0.41	0.25	0.4	0.23	0.22
CO	MFB	-0.89	-0.86	-1.11	-0.62	-0.93	-0.87	-1.21	-1.0
(ppm)	MFE	0.95	0.9	1.12	0.71	0.96	0.93	1.22	1.0′
	MNB	-0.54	-0.55	-0.69	-0.39	-0.58	-0.52	-0.72	-0.6
	MNE	0.63	0.6	0.7	0.52	0.63	0.62	0.74	0.68
	OBS	24.28	21.42	23.12	21.2	21.09	21.01	22.23	16.2
	PRE	11.26	11.77	10.71	12.53	6.37	12.03	8.4	4.2
$NO_2$	MFB	-0.72	-0.65	-0.7	-0.56	-1.09	-0.62	-0.95	-1.2
(ppb)	MFE	0.85	0.83	0.83	0.78	1.15	0.83	1.05	1.2
	MNB	-0.39	-0.31	-0.39	-0.24	-0.61	-0.27	-0.52	-0.7
	MNE	0.62	0.63	0.6	0.62	0.73	0.66	0.69	0.7
	OBS	22.31	14.07	10.41	12.83	21.06	17.26	16.66	11.8
	PRE	12.24	8.66	8.07	25.77	5.13	18.55	11.58	10.2
$SO_2$	MFB	-0.57	-0.62	-0.45	0.34	-1.14	-0.24	-0.6	-0.6
(ppb)	MFE	0.8	0.87	0.77	0.73	1.21	0.8	0.95	1
	MNB	-0.21	-0.22	-0.1	1.5	-0.61	0.46	-0.07	-0.0
	MNE	0.66	0.71	0.69	1.78	0.76	1.13	0.86	0.94

711 Table 4. Model performance on  $O_3$ -1h,  $O_3$ -8h,  $PM_{2.5}$ ,  $PM_{10}$ , CO,  $NO_2$ , and  $SO_2$  in different re-712 gions during March to December, 2013. The values that do not meet the criteria are shaded.

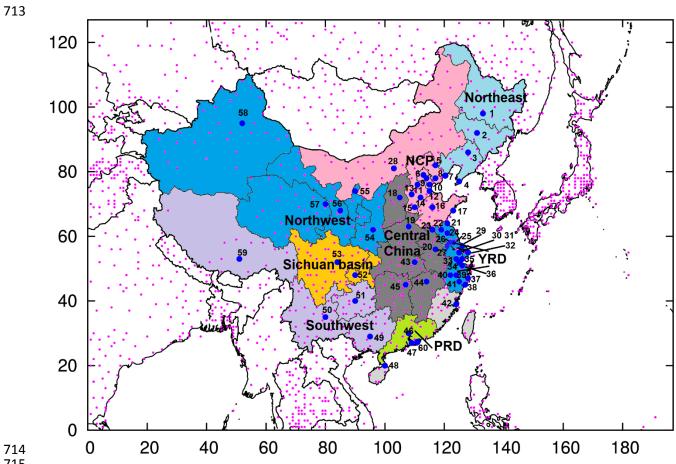
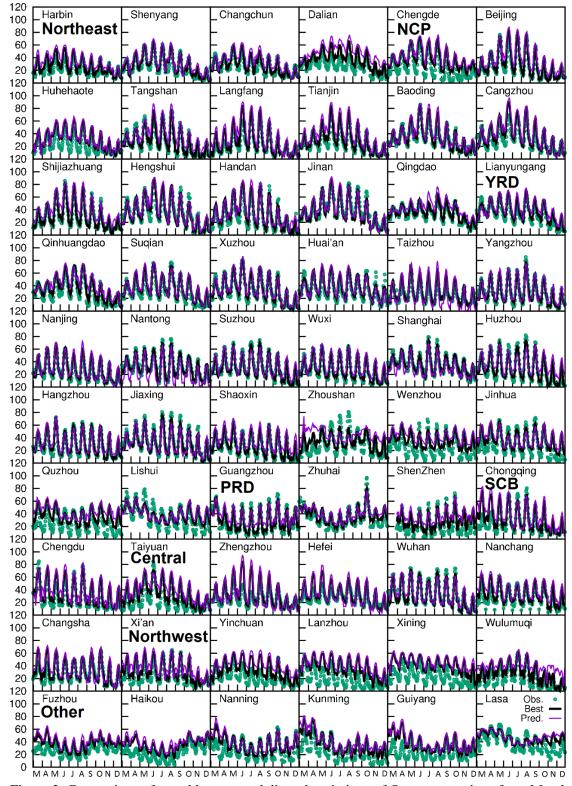


Figure 1. Model domain. The axes are the number of grid cells. Blue filled circles show the loca-tions of cities with air quality observations (see Table 2). The purple dots show the locations of meteorological stations. The figure also shows the regions discussed in the text for better under-standing. NCP represents North China Plain, YRD represents Yangtze River Delta, and PRD represents Pearl River Delta. 



722 MAMJJASONDMAMJJASONDMAMJJASONDMAMJJASONDMAMJJASONDMAMJJASOND
 723 Figure 2. Comparison of monthly averaged diurnal variations of O<sub>3</sub> concentrations from March to De 724 cember, 2013. Pred. are the values predicted at the grid cell each city center located while Best are the

values predicted closest to the observations within 3 by 3 grid cell regions that surround the observation.

726 Units are ppb.

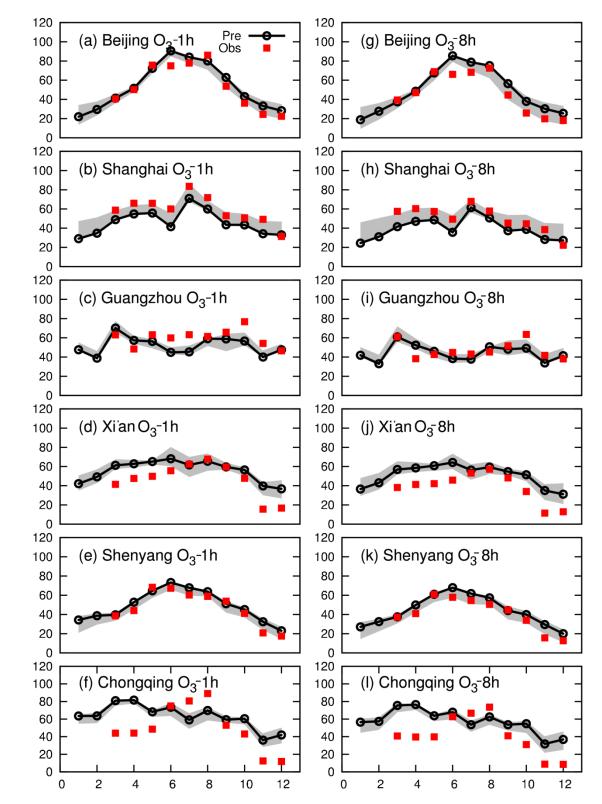
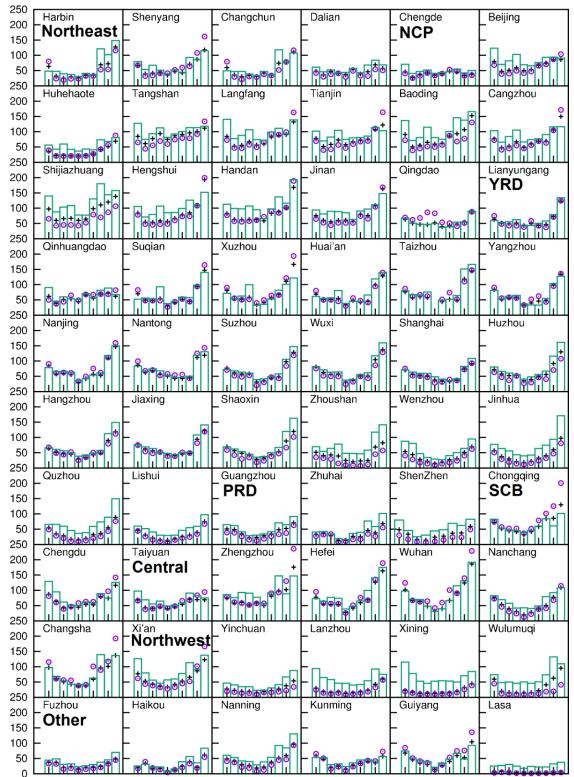
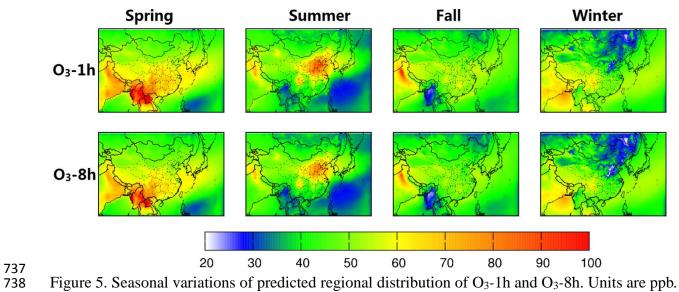


Figure 2. Comparison of predicted and observed O<sub>3</sub>-1h and O<sub>3</sub>-8h concentrations at Beijing, Shanghai,
Guangzhou, Xi'an, Shenyang, and Chongqing. Grey areas represent ranges in model predictions within



731

Figure 4. Comparison of predicted (in column) and observed (in circle) monthly averaged PM2.5 concen-732 733 trations for March to December, 2013. The "Best" lines (in "+") represent predictions closest to the hour-734 ly observations within a  $3\times3$  grid cell region with the grid cell where the monitoring sites are located at the center. Units are  $\mu g m^{-3}$ . 735



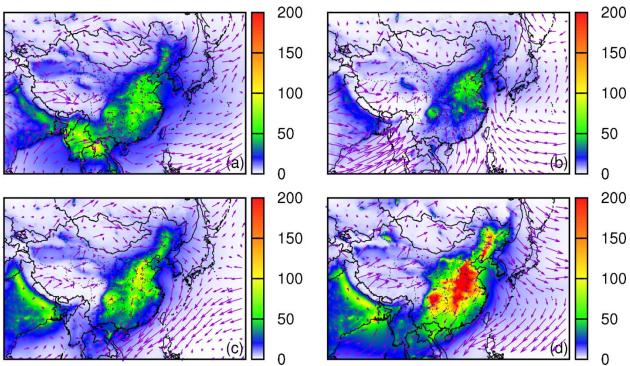
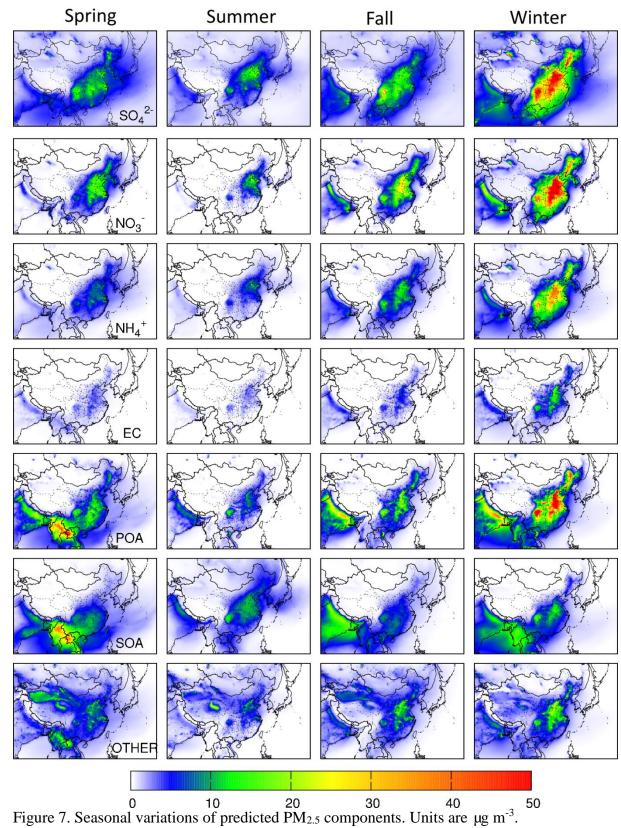




Figure 6. Seasonal variation of predicted  $PM_{2.5}$  and wind vectors: (a) spring, (b) summer, (c) fall, and (d) winter. Units are  $\mu g m^{-3}$ .



743

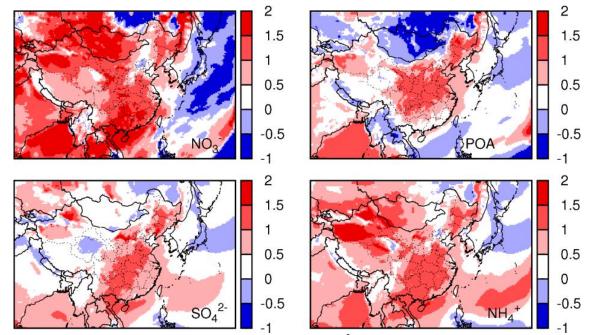




Figure 8 Deviation of winter nitrate (NO<sub>3</sub><sup>-</sup>), sulfate (SO<sub>4</sub><sup>2-</sup>), ammonium ion (NH<sub>4</sub><sup>+</sup>) and primary organic aerosol (POA) from annual average, as calculated by (W-A)/A, where W and A are win-

- ter and annual concentrations, respectively.

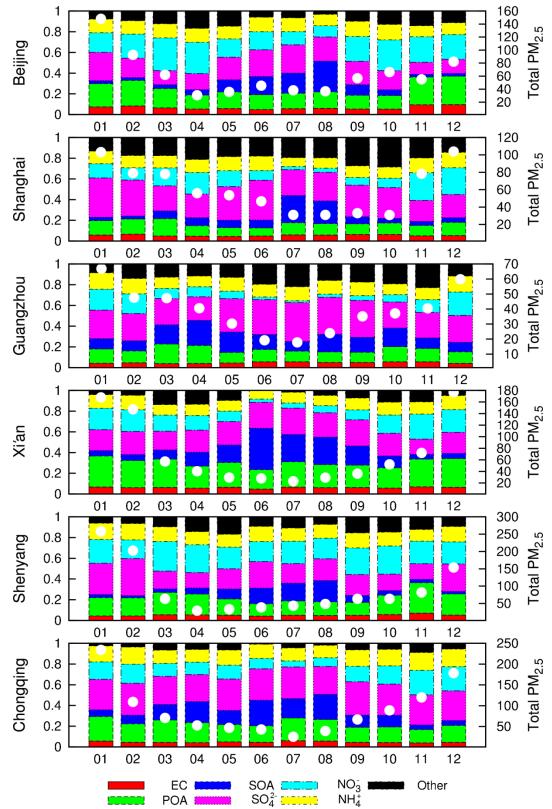
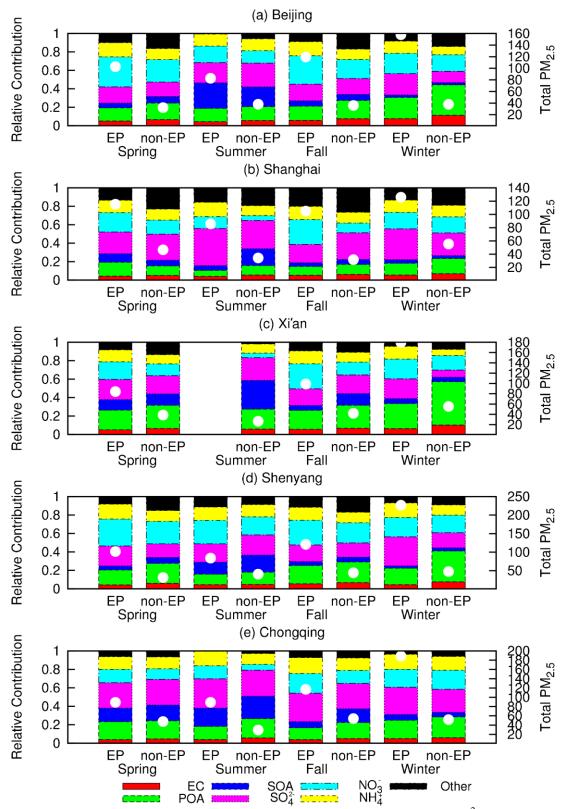


Figure 9. Contributions of different components to monthly averaged PM<sub>2.5</sub> concentrations at

rsi selected cities in China. White circles are absolute concentrations according to right y-axis with unit of  $\mu g m^{-3}$ .



753

Figure 10. Comparison of PM<sub>2.5</sub> components at episode days (Ep, >=75  $\mu$ g m<sup>-3</sup>) and non-episode days (non-EP, <75  $\mu$ g m<sup>-3</sup>). White circles are absolute concentrations according to right y-axis with unit of  $\mu$ g m<sup>-3</sup>. Note Xi'an does not have episode days in summer.