- 1 Response to Anonymous Referee #1
- 2

3 We thank the reviewer for his/her very valuable comments. We responded to the 4 comments (in bold) and made modifications in the paper accordingly.

5

6 **RC: reviewer comments**

- 7 **AR: author response**
- 8

9 GENERAL COMMENT:

10 RC1: This paper is well organized, in a clear and simple manner, starting by the 11 description of the HOPE experiment and associated instrumental set up, and ending 12 by an analysis of the three case studies from three polarimetric X-band radar 13 observations.

The interest of the paper lies in taking advantage of multi-parameter measurement 14 15 capability to improve or assess microphysical phenomena knowledge. The data 16 interpretation is quite consistent and well referenced, and recent and interesting approaches are used (e.g. QVP by Ryzhkov et al., 2016). However, even if the paper 17 18 aims at presenting some preliminarily step to some more ambitious study (as stated 19 in the conclusion), the analysis shown would be worth being completed by additional measurements from the radars themselves. For instance, X-band Radar Doppler 20 21 measurements in vertical pointing mode may alleviate some uncertainties about 22 distinguishing between aggregation and riming above the melting layer (as 23 mentioned in Case 3). At least, this could be mentioned or discussed in the paper.

24

25 **AR1:**

We agree with the referee that, the Doppler velocity may offer a special insight into the distinction between different microphysical processes. We didn't use the Doppler velocity from vertical scans in this paper since the spatial and temporal shifts between the QVPs and vertical scans can dim the robustness of the results.

The QVPs shown in this paper were calculated at an elevation of 18°. It is thus not convincing to apply directly the Doppler measurements of radar vertical scans to distinguish aggregation and riming in this paper, considering the temporal and spatial offsets between QVPs and vertical scans.

We thus rephrased Section 5 and discussed the possibility of using Doppler velocity
 at vertical scans to complement the analysis in the paper.

36 P26, line 11-20: "...While there were clear signs of other processes like riming and aggregation, a distinction between these two processes is still difficult with the 37 available observations. Doppler velocities at the vertical pointing mode were 38 39 analyzed but the observed values (between 1 - 2 m/s) still makes the distinction 40 ambiguous. Furthermore, the exact time from the QVP and vertical pointing scans cannot be matched, and one has to be careful when comparing the QVP with 41 42 vertical scans. Additional analysis in conjunction with other independent observations e.g. from microwave radiometers, lidars and cloud radars which were 43 deployed at the JOYCE site is also required for a better distinction between riming 44 45 and aggregation, which is the focus of an ongoing study. ..."

- 46
- 47

48 RC2: Polarimetric measurements from the three radars are used in the microphysical 49 analysis, as suggested by the title of the paper. Additionally, ground based 50 instruments are used, not only to confirm, but also or to complete this analysis. The 51 title should suggest the use of such complement.

- 52
- 53 **AR2:**

Thanks for the suggestion, the title of the paper was revised to "Precipitation and Microphysical Processes Observed by Three Polarimetric X-Band Radars and Ground-Based Instrumentation during HOPE" in order to complete the use of disdrometers/rain gauges/MRRs for the microphysical analysis.

58

59 SPECIFIC COMMENTS:

RC3: Except for rain rate estimation, rain (or hail or melting snow) attenuation impacting X-band measurements is not mentioned at all in the paper. Indeed, the analyses use Z and ZDR, potentially biased by such attenuation. Are Z and ZDR corrected for attenuation?

- 64
- 65 **AR3**:

We didn't perform attenuation correction in this paper. Firstly, the precipitation during HOPE is not intense and the HOPE site is close to the KiXPol and JuXPol, within 10 km. Thus, for the low rain rate, the attenuation effects due to precipitation can be negligible. Secondly, for rain rate > 8 mm/h (the duration of rain rate > 8 mm/h during HOPE is only around 1 hour), R-Kdp relation which is unaffected by attenuation effects is employed instead of R-Z relation.

To make it clearer, the following statement is added: (p11, lines 24-26) "...Z and Z_{DR}
attenuation along each radial is neglected since the rain intensities were generally
low over the HOPE area....". (see also response to Reviewer#2, AR1)

75

RC4: p7 lines7-9: Why are BoXPol disdrometer measurements not used, while MRR
observations at the same site are?

78

79 **AR4**:

Sorry for the confusion. The disdrometer measurements close BoXPol are not used 80 for the precipitation analysis presented in Section 3, since BoXPol disdrometers are 81 82 \sim 50 km away. Thus considering the spatial and temporal variability of precipitation, the statistical analysis of precipitation over the central HOPE area shown in Section 83 3 is only performed with the disdrometers/rain gauges in the vicinity of Juelich. In 84 Section 4, the microphysical processes are analyzed with a combination of 85 86 polarimetric radars and ground-based instruments. The MRR data in Section 4 is 87 only used for analyzing microphysical process (size sorting in Fig.11) close to **BoXPol.** 88

89 To make this clearer, the sentence was modified. "...Disdrometer observations at

BoXPol which is ~48.5 km away from JuXPol are not taken into account in Section 3
when statistically analyzing the precipitation over HOPE, considering the spatial
and temporal variability of rainfall...." (p8 lines3-5).

93

RC5: p9 lines 15-16: I do not understand how the distribution shown in Fig.4 results
from individual measurements of disdrometers instead of averaging over
disdrometer sites at a single time step. Does that mean, for instance, that 80 hours of
[0,1] mm/h rain have been obtained by summing rainfall observation time over the N
disdrometers (thus representing 80/N hours each)?

99

100 **AR5:**

101 Sorry for the confusion, we revised the sentence in p9 lines 15-16.

102 (now P9 lines 22-23) "...The distribution of rain intensities was calculated based on

103 individual measurements of disdrometers over the HOPE area..."

104 Figure 4 was calculated following the steps below:

105 (1) for each disdrometer, the distribution of rain intensities was calculated
 106 individually.

(2) averaging the rain hours over the number of disdrometers at each rain rate
 intervals. For instance, at [0,1] mm/h rain rate, when one disdrometer has a
 duration of 100 hours and another one has 60 hours, an average over the two
 disdrometers is 80 hours of [0,1] mm/h, as shown in Figure 4.

111

RC6: p12 lines 16-17 and p13 line 13: the lack of consistency between rain rates also
probably suffers from the representativeness error impacting BoXPol measurements
(higher altitude of sampling volume).

115

116 **AR6:**

117 Thanks to the referee's suggestion. We revised the sentence to better explain the

118 low rain rate from BoXPol measurements and added "the high altitude of sampling

volume" as one of the error sources which result in a low rain rate of BoXPol.

"...JuXPol and KiXPol are in a better agreement with the surface measurements than BoXPol for the very low rain rates, which probably suffers from the effects of non-uniform beam filling effects due to the much larger distance from the HOPE area (Giangrande and Ryzhkov, 2008) and higher altitude of sampling volume of

- 124 BoXPol...." (p12, lines 14-18)
- 125 *"...again probably caused by beam broadening (Giangrande and Ryzhkov, 2008)*
- and high altitude of sampling volume of BoXPol over the HOPE area...." (p13, line
- 127 **13-14)**

128

- 129 RC7: p14 Fig.7: When comparing KixPol and JuxPol rain accumulation, the south-west
- 130 quarter of the panels shows significant differences. How could this be explained? Is
- 131 this a problem of projection on ground?
- 132
- 133 **AR7:**

The discrepancies in the south-west quarter is not caused by the projection on theground. It could be because:

(1) due to the beam blockage of KiXPol, the radar signals in the south-west 136 direction are missing. KiXPol was deployed at an altitude ~116 m, while close to 137 KiXPol, where the significant discrepancies occur, the altitude is above 200 m. 138 (2) JuXPol and KiXPol didn't measure at the same time and the same location. The 139 time and space shifts exist between JuXPol and KiXPol and result in the different 140 141 precipitation patterns especially when it is close to the radar. JuXPol is roughly 10 km away. At an elevation of 4,5° it reaches a height of roughly 800 m above KiXPol. 142 143 The time differences between the two radar measurements are in an interval of 5 min, which should be also taken into account. We thus proposed the 144 reconstruction of the three radar observations needs to be done for better 145 146 comparisons. 147 To make this clear, we added the explanation in the text. "...A 30-min rain

- accumulation over the inner HOPE area on 29 May 2013 shows that, the three
- 149 radar estimates result in an overall agreement of the rough precipitation pattern
- 150 (Figure 7). However, when we zoomed into details and noticed also the minor
- 151 *differences between these patterns, e.g., lower precipitation observed by BoXPol*
- and missing pixels near KiXPol and JuXPol. Bins close to KiXPol and JuXPol were
- 153 contaminated by ground clutters while the beam broadening and height at the
- 154 *larger ranges deteriorates the similarity between the BoXPol and KiXPol/JuXPol*
- 155 estimates (Fig. 7). The different radar observation scenarios, i.e., at an elevation of
- **4.5° JuXPol reaches 750 m above KiXPol and the time differences between the two**

radar measurements are up to 5 min, also needs to be considered. A combination 157 of the three radar observations will definitely be an advantage to reconstruct the 158 precipitation patterns over the HOPE area in a future study...." 159 160 RC8: p25 line 3: About measurements indicative associate updraft, what about radar 161 Doppler measurements at vertical incidence? (see general comment) 162 163 164 **AR8:** we agree with the referee that, the Doppler velocity may offer a special insight into 165 the distinction between different microphysical processes, as mentioned above. 166 We thus revised Section 5 and discussed the possibility of using Doppler velocity at 167 vertical scans to complement the analysis in the paper. (See response to 168 Reviewer#1, AR1) 169 170 **TECHNICAL CORRECTIONS:** 171 172 173 AR: Thanks to the careful reading of the referee, we corrected all the technical errors 174 listed below according to the suggestions. 175 176 RC9: p1 line 21: replace "another three disdrometers" by "three other disdrometers". 177 AR9: Corrected. (now p1 line 22) 178 179 RC10: p14 line 9: remove "s" in radars (replace "three radars estimates" by "three 180 radar estimates"). 181 182 AR10: Corrected. (now p14 line 11) 183 RC11: p17 line 12: replace "30 dBz" by "30 dBZ". 184 185 AR11: Corrected. (now p18 line 8) 186 RC12: p23 line 11: add "of" after "a region" 187

188 AR12: Corrected. (now p23 line 20)

- 1 Precipitation and Microphysical Processes Observed by
- 2 Three Polarimetric X-Band Radars and Ground-Based
- **3** Instrumentation during HOPE
- 4 Xinxin Xie¹, Raquel Evaristo¹, Clemens Simmer¹, Jan Handwerker² and Silke

5 **Trömel**¹

⁶ ¹Meteorological Institute, University of Bonn, Bonn, Germany

⁷ ²Institute of Meteorology and Climate Research , Karlsruhe Institute of Technology,

8 Karlsruhe, Germany

9 *Correspondence to*: Xinxin Xie (xxie@uni-bonn.de)

10

Abstract. This study presents a first analysis of precipitation and related 11 12 microphysical processes observed by three polarimetric X-band Doppler radars (BoXPol, JuXPol and KiXPol) in conjunction with a ground-based network of 13 disdrometers, rain gauges and vertically pointing micro rain radars (MRR) during the 14 High Definition Clouds and Precipitation for advancing Climate Prediction $(HD(CP)^2)$ 15 Observational Prototype Experiment (HOPE) during April and May 2013 in Germany. 16 While JuXPol and KiXPol were continuously observing the central HOPE area near 17 Forschungszentrum Juelich at a close distance, BoXPol observed the area from a 18 distance of about 48.5 km. MRRs were deployed in the central HOPE area and one 19 MRR close to BoXPol in Bonn, Germany. Seven disdrometers and three rain gauges 20 providing point precipitation observations were deployed at five locations within a 21 5×5 km² region, while three other disdrometers were collocated with the MRR in 22 Bonn. The daily rainfall accumulation at each rain gauge/disdrometer location 23 24 estimated from the three X-band polarimetric radar observations showed a very good agreement. Accompanying microphysical processes during the evolution of 25 precipitation systems were well captured by the polarimetric X-band radars and 26

1 corroborated by independent observations from the other ground-based instruments.

2 1. Introduction

In the frame of the project "High Definition Clouds and Precipitation for advancing 3 Climate Prediction" $(HD(CP)^2)$, which aims at evaluating and improving the accuracy 4 of climate models in relation to cloud and precipitation processes, the HD(CP)² 5 Observational Prototype Experiment (HOPE) was conducted during April and May 6 2013 within the study area of the Transregional Collaborative Research Center 32 7 8 (Simmer et al., 2015) in the vicinity of the Juelich ObservatorY for Cloud Evolution 9 (JOYCE) in Germany (Löhnert et al., 2015). The HOPE was conducted in order to provide observations for high-resolution climate models and to improve our 10 understandings of cloud and precipitation processes. 11

An array of ground-based instruments deployed during HOPE provided 12 comprehensive cloud and precipitation process observations. In this study we 13 concentrate on the precipitation monitoring instruments. Three polarimetric X-band 14 Doppler radars installed in Bonn (BoXPol) and in the vicinity of the JOYCE site 15 (JuXPol and KiXPol), respectively, were operated together to continuously monitor 16 3D precipitation patterns in order to obtain a holistic view of precipitating systems 17 18 from micro- and macro-physical perspectives. BoXPol and JuXPol were installed at a distance of 48.5 km from each other and were operated by the Meteorological 19 Institute of the University of Bonn and the TERENO program of the Helmholz 20 Association (http://teodoor.icg.kfa-juelich.de, Zacharias et al., 2011), respectively (see 21 Diederich et al., 2015a for details on both radars), while KiXPol, which was ~9.6 km 22 (~50.6 km) away from JuXPol (BoXPol), was deployed by the Karlsruhe Institute of 23 Technology (KIT). A network composed of rain gauges and disdrometers measured 24 local precipitation, and collocated Micro Rain Radars (MRR) simultaneously 25 26 measured vertical profiles of precipitation and raindrop size distributions (DSD).

Dual-polarization radars provide multiparameter measurements, which improve
 quantitative precipitation estimation (QPE) compared to single polarization radars

(Zrnic and Ryzhkov, 1999; Zhang et al., 2001; Brandes et al., 2002; Ryzhkov et al., 1 2014). A thorough comparison of retrieval algorithms for rainfall estimation using 2 polarimetric observables for the HOPE area can be found e.g. in Ryzhkov et al. (2014) 3 and Diederich et al. (2015b). Many studies have already shown the potential of 4 polarimetric radars to identify fingerprints of macro- and micro- physical processes 5 related to the evolution of precipitation systems (Kumjian and Ryzhkov, 2010, 2012; 6 Kumjian et al., 2012; Andric et al., 2013; Kumjian and Prat, 2014), based on the 7 sensitivities of polarimetric observables to particle size, shape, concentration and 8 composition (Bechini et al., 2013; Ryzhkov and Zrnic, 1998; Giangrande et al., 2008). 9 E.g., very few large rain drops near the ground or at the leading edge of a rain cell 10 result in a larger mean particle size and induce strong differential reflectivity (Z_{DR}) 11 accompanied by small reflectivity (Z), which indicates the occurrence of size sorting 12 (Kumjian and Ryzhkov, 2012). Increasing mean particle sizes due to evaporation and 13 coalescence may enhance Z_{DR}, while Z is reduced during evaporation by the depletion 14 of small rain drops (Kumjian and Ryzhkov, 2010; Li and Srivastava, 2001). Z, Z_{DR} 15 16 and specific differential phase (K_{DP}) all decrease when large raindrops break up (Kumjian and Prat, 2014). Such information thus can be used to validate cloud and 17 precipitation parameterization schemes. 18

The paper is structured as follows. Section 2 introduces the instrumentation deployed 19 during HOPE, while Section 3 presents the surface rainfall estimated from the radars, 20 in conjunction with disdrometers and rain gauges. Section 4 presents and discusses 21 the development of different precipitation systems and related microphysical 22 processes. Size sorting due to vertical wind shear and coalescence will be illustrated 23 via the combination of two X-band polarimetric radars. Another case of size sorting 24 captured by BoXPol and a nearby MRR and disdrometers will also be examined in 25 26 detail. Finally, observed riming/aggregation signatures will be discussed. Conclusions will be given in Section 5. 27

28

29 **2. Instrumentation**

1 2.1 Three X-band polarimetric radars

The three polarimetric X-band Doppler radars BoXPol, JuXPol, and KiXPol were 2 operating at a frequency of 9.375 GHz. Topography and the locations of the radars, 3 disdrometers, rain gauges and MRRs are shown in Fig. 1. While JuXPol and KiXPol 4 were both performing observations in the vicinity of Juelich, Germany, BoXPol 5 observed the HOPE area from a distance of about 48.5 km on the roof of a building 6 next to the Meteorological Institute of the University of Bonn in Bonn, Germany, 7 collocated with one OTT Parsivel and two Thies optical laser disdrometers. The three 8 9 polarimetric radars provide the standard polarimetric variables observed in a 10 simultaneous transmit and receive (STAR) mode, namely Z, Z_{DR} , K_{DP} , and ρ_{HV} (copolar correlation coefficient) in addition to the radial Doppler winds and its 11 variance. Detailed technical specifications of JuXPol and BoXPol can be found in 12 13 Diederich et al. (2015a) and for KiXPol under www.imk-tro.kit.edu/english/5438.php. The calibration bias of the three radars were corrected following Diederich et al. 14 (2015a). 15 Figure 2 shows the operation duration of the three polarimetric radars during HOPE. 16 BoXPol had technical problems on 15 May 2013 and was back to work at around 17 0800UTC on 16 May 2013. JuXPol performed observation from 5 to 8 April 2013. 18 19 Afterwards, no measurements were available until 22 April 2013 due to technical

20 problems. From 26 to 29 April 2013, JuXPol was only taking range height indicators

21 (RHI) at 233.7° azimuth oriented towards JOYCE every minute. KiXPol started its

22 observations on 3 Apr 2013 but had two breakdowns during April. In May, when

23 KiXPol was performing only RHI scans on request, no PPIs were available.

24



1

Figure 1. Location of the three polarimetric X-band radars (XPol) and associated 2 micro rain radars (MRR), rain gauges and disdrometers during HOPE. The bottom 3 panel is the zoomed-in region of the black box area on the top. The red diamond 4 markers indicate the locations of the X-band polarimetric radars, the red crosses 5 indicate the locations of disdrometers and/or rain gauges at the sites of LACROS (the 6 7 Leipzig Aerosol and Cloud Remote Observations System), KITCube (Kalthoff et al., 2013), WWTP (wastewater treatment plant), Tower, WTR (wind-temperature-radar), 8 and the red triangles are the MRR locations at JOYCE and KITCube. White areas 9 (elevations below sea level) are open-pit mines. 10

The three polarimetric X-band radars were performing volume scans consisting of stacked plan position indicators (PPI) with different scan strategies (Table 1). In addition to the volume scans, BoXPol and JuXPol also performed RHIs and vertical scans. A full volume scan of BoXPol and JuXPol takes about 5 min; in between RHI scans and one vertical scan (bird bath scan) were performed. The two RHIs of BoXPol were oriented towards JOYCE (290°) and LACROS (293.4°) after 9 April 2013, while JuXPol made RHIs only towards JOYCE. JuXPol made RHIs every

minute between 26 and 29 April 2013 followed by volume scans with PPIs at 10
elevations and one RHI and vertical scan in 5 minute intervals. KiXPol performed
only volume scans at 14 elevations every 5 minutes from April 2013 on (see Table 1).
In May 2013, volume scans were interrupted on demand and instead RHI scans
directed towards the prevailing wind direction were performed with a temporal
resolution of 1 minute (Fig. 2).



Figure 2. Operation time of the polarimetric X-band radars BoXPol, JuxPol, and KiXPol during HOPE from 1 April to 31 May 2013, with the red circles indicating "no range height indicator (RHI) available" and the black crosses indicating "no plan position indicator (PPI) available". KiXPol performed only RHIs on demand in May where "no PPI" was marked. The general scan strategies of the three polarimetric X-band radars are described in Table 1.

- 14
- 15
- 16
- 17
- 18
- 19

| | TT 1 1 1 | | |
|---|----------|--|------|
| 1 | Table I | The three polarimetric radars during H | .OPE |

| | BoXPol | JuXPol | KiXPol |
|------------------------|----------------------|--------------------|-----------------------|
| location in | 50.73°/7.07 ° | 50.93 °/6.46 ° | 50.86 °/6.38 ° |
| latitude/longitude | | | |
| elevation in m a.s.l. | 100.0 | 310.0 | 106.6 |
| PPIs at elevation in ° | 1/1.5/2.4/4.5/7/8.2/ | 1/2/3.1/4.5/6/8.2/ | 0.6/1.4/2.4/3.5/4.8 |
| | 11/14/18/28 | 11/14/18/28 | /6.3/8/9.9/12.2/14.8/ |
| | | | 17.9/21.3/25.4/30 |
| RHIs at azimuth in ° | 309.5/298.6 | 118.6 | on request in May |
| | (1-8 April); | (1-25 April); | |
| | 290.0/293.4 | 233.7 | |
| | (9 April-31 May) | (26 April-31 May) | |
| bird-bath scan | yes | yes | No |
| radial resolution in m | 100 - 150 | 100 - 150 | 250 |
| scan period | every 5 min | every 5 min | every 5 min |
| 3-dB beam width | 1.05° | 1.1° | 1.35° |
| Frequency in GHz | 9.3 | 9.3 | 9.37 |
| Pulse repetition | 250 - 1600 | 25 - 1600 | 1000 |
| frequency (PRF) in | | | |
| Hz | | | |
| Antenna rotation | 12 - 28 | 12 - 28 | 12 - 28 |
| rate(°/s) | | | |

2 2.2 Rain gauges, disdrometers, MRRs and radiosondes

In the vicinity of JOYCE, disdrometers and rain gauges were installed within an area
 of approximately 25 km². Seven disdrometers observed surface rain rates and DSDs

while three rain gauges measured rain accumulations (Table 2). The disdrometers and
rain gauges close to Juelich are used to evaluate radar derived QPE. Disdrometer
observations at BoXPol which is ~48.5 km away from JuXPol are not taken into
account in Section 3 when statistically analyzing the precipitation over HOPE,
considering the spatial and temporal variability of rainfall.

7 Table 2 Information on rain gauges and disdrometers deployed during HOPE

| Site name | Location in (Latitude, | Instrument (quantity) | Temporal resolution | operation period |
|-----------|---------------------------|-----------------------|---------------------|----------------------|
| | Longitude) | | (s) | |
| KITCube | (50.90°,6.46°) | Joss-Waldvogel | 60 | 1 Apr - 31 May 2013 |
| | | disdrometer (1) | | |
| | | OTT Parsivel2 (1) | 60 | 1 Apr - 31 May 2013 |
| LACROS | (50.88°,6.41°) | OTT Parsivel2 (1) | 30 | 2 May - 31 May 2013 |
| WTR | (50.91°,6.41°) | OTT Parsivel2 (1) | 30 | 17 Apr - 31 May 2013 |
| | | OTT Pluvio (1) | 10 | 17 Apr - 31 May 2013 |
| WWTP | (50.90°,6.40°) | OTT Parsivel2 (1) | 30 | 17 Apr - 31 May 2013 |
| | | Tipping bucket rain | | 17 Apr - 31 May 2013 |
| | | gauge (1) | | |
| Tower | (50.91°,6.41°) | OTT Parsivel1 (1) | 30 | 17 Apr - 31 May 2013 |
| | | OTT Parsivel2 (1) | 30 | 17 Apr - 31 May 2013 |
| | | OTT Pluvio (1) | 10 | 17 Apr - 31 May 2013 |
| BoXPol | (50.73°,7.07°) | OTT Parsivel2 (1) | 30 | 1 Apr – 31 May 2013 |
| | | Thies Disdrometer (2) | 60 | 1 Apr – 31 May 2013 |
| | | OTT Pluvio (1) | 60 | 1 Apr – 31 May 2013 |

Three MRRs were deployed close to JOYCE, KITCube and BoXPol. At JOYCE and
 KITCube, the MRRs measured vertical DSD profiles with a vertical resolution of 100
 m, at BoXPol 150 m. Due to the near field scattering effects, MRR observations at the
 first three gates are not used.

Radiosondes were launched regularly twice per day at KITCube, one at 1100 UTC
and another at 2300 UTC. Additional radiosondes were launched during intensive
observation periods (IOPs).

8 **3.** Precipitation during HOPE

9 We first compare QPE derived from the polarimetric radar observations with the 10 observations of the surface network of rain gauges and disdrometers, in order to 11 corroborate the consistency and accuracy of both estimates.

12 Figure 3 shows the daily rain accumulation and precipitation duration averaged over the rain gauge/disdrometer observation sites in the HOPE region (Fig. 1). For rainfall 13 duration, only disdrometer observations are used since the weighing-type rain gauges 14 often indicate small noisy rain-like signals, which prevent accurate information on 15 rainfall duration. According to these observations, the maximum daily rain 16 accumulation was ~14.5 mm, the total rain accumulation during HOPE was ~104.8 17 18 mm, and the total rainfall time was ~144 hours, i.e., 10% of the total HOPE period. The rainfall observations at the five locations are in good agreement with each other, 19 as indicated by the bars in Fig. 3, which show the full range of the observations. 20

According to the disdrometer observations, precipitation during HOPE was not very intense (Fig. 4). The distribution of rain intensities was calculated based on individual measurements of disdrometers over the HOPE area. Rain rates determined at a temporal resolution of 1 minute were below less than 2 mm h⁻¹ for more than 88% of the total precipitation duration, while rain rates above 5 mm h⁻¹ were observed for less than 3 hours. Only one hour of rain rates above 8 mm h⁻¹ did occur.



Figure 3. (a): Daily rainfall accumulation during HOPE. The height of the columns indicates the mean value while the bars indicate the range of the maximum and minimum rain accumulations observed by the 3 rain gauges and 7 disdrometers at the five station locations (Fig. 1). (b): Daily precipitation duration derived only from the 7 disdrometers (see discussion in the text). Again the bars denote the range of the observations.





Figure 4. Distribution of rain intensities observed over one minute by thedisdrometers in the inner HOPE area.

In accordance with the relatively light rainfall events during HOPE, the polarimetric
radar observables Z_{DR} and K_{DP} were low and quite noisy. Under these conditions,
most of the time, we simply used Marshall-Palmer relation for quantitative rainfall
estimations (Marshall and Palmer, 1948),

$$6 \qquad Z_H = 200R^{1.5} \quad (or \ R = 0.029Z^{0.67}) \tag{1}$$

where $Z_{\rm H}$ (in mm⁶ m⁻³) is the radar reflectivity for horizontal polarization in linear scale and *R* is the rain rate in mm h⁻¹.

9 Since Equation (1) tends to overestimate stronger rain intensities (Zrnić et al., 2000; 10 Trömel et al., 2014b), the R-K_{DP} relation is employed for rain rate estimation when Z_H 11 is above 37 dBZ, i.e., the instantaneous rain rate is above 8 mm h⁻¹ (Diederich et al., 12 2015b; Ryzhkov et al., 2014). K_{DP} is independent of calibration and unaffected by 13 attenuation (Ryzhkov et al., 2014). Thus, following Diederich et al. (2015b) and 14 Ryzhkov et al. (2014), in this case the rain rate is determined by

15
$$R = 16.9 K_{DP}^{0.801}$$
 if $K_{DP} > 0$ (2)

where K_{DP} is the specific differential phase (° km⁻¹) and filtered from polarimetric radar measurements following Hubbert and Bringi (1995).

Radar bins with copolar correlation coefficient $\rho_{HV} < 0.75$ have been neglected in 18 order to eliminate the ground clutter contamination. For JuXPol and KiXPol, 19 observations at elevations 4.5° and 3.5°, respectively, are used to calculate the rain 20 rates and avoid the possible impacts from a 120-m height meteorological tower at 21 Forschungszentrum Juelich, while an elevation of 1° is chosen for BoXPol rainfall 22 estimation since the radar beam at longer distance is less affected by the ground 23 clutter and certainly overshoots the meteorological tower. Z and Z_{DR} attenuation along 24 each radial is neglected since the rain intensities were generally low over the HOPE 25 area. The mean beam diameter of BoXPol over the HOPE area is around 850 m, 26 27 which is almost 10 times larger than that of JuXPol and KiXPol, and its beam height

1 (~860 m) is about 2 times larger comparing to JuXPol and KiXPol.



2

Figure 5. Time series of rain rates derived from observations of the seven disdrometers and the three polarimetric radars on 29 May 2013. The shaded gray area indicates the range of rain rates observed by the disdrometers with 1-min temporal resolution in the HOPE area while the rain rate from the three polarimetric radar observations is calculated at the radar gates that are coincident with disdrometer locations and also averaged over the five disdrometer locations.

9

Figure 5 compares as an example the mean rain rates derived from the three X-band 10 polarimetric radar over the five disdrometer locations with the disdrometer 11 observations for 29 May 2013. Precipitation fell intermittently with five more intense 12 periods separated by short periods of no or very low rain rates and maximum rain 13 rates between 1 and 3 mm h⁻¹. In general, the variability of the radar-derived surface 14 precipitation matches very well the disdrometer measurements. JuXPol and KiXPol 15 are in a better agreement with the surface measurements than BoXPol for the very low 16 rain rates, which probably suffers from the effects of non-uniform beam filling effects 17 18 due to the much larger distance from the HOPE area (Giangrande and Ryzhkov, 2008) and higher altitude of sampling volume of BoXPol. 19



Figure 6. Mean daily radar-derived rain accumulation over the disdrometer/rain gauge locations, compared to the surface precipitation observed by the rain gauges and disdrometers in the HOPE area. The bars indicate the standard deviation of the estimates from the particular radar (vertical bars) and from the surface observations (horizontal bars). The dashed black line is the best linear fit of the daily rain accumulation on the logarithmic scale while the solid black line is the 1:1 line.

1

9 Daily-accumulated rainfall estimated by the three polarmetric radars are compared 10 with the observations of rain gauges and disdrometers in Fig. 6. Both estimates are 11 very consistent as indicated by correlations above 0.93. As for 29 May 2013, BoXPol 12 estimates result in lower daily accumulations than for the other two radars, again 13 probably caused by beam broadening (Giangrande and Ryzhkov, 2008) and high 14 altitude of sampling volume of BoXPol over the HOPE area.



Figure 7. Rain accumulation over the HOPE area between 0830 and 0900 UTC (6
PPIs) on 29 May 2013 observed by the three polarimetric radars.

1

With a range resolution of 150/250 m and a beam diameter of approximately 87/850 5 m over the HOPE area, the three polarimetric radars allow to characterize the 6 7 precipitation patterns in the HOPE domain in high resolution, which will be important 8 for model evaluation. A 30-min rain accumulation over the inner HOPE area on 29 May 2013 shows that, the three radar estimates result in an overall agreement of the 9 rough precipitation pattern (Figure 7). However, when we zoomed into details and 10 11 noticed also the minor differences between these patterns, e.g., lower precipitation observed by BoXPol and missing pixels near KiXPol and JuXPol. Bins close to 12 KiXPol and JuXPol were contaminated by ground clutters while the beam broadening 13 and height at the larger ranges deteriorates the similarity between the BoXPol and 14 15 KiXPol/JuXPol estimates (Fig. 7). The different radar observation scenarios, i.e., at an elevation of 4.5° JuXPol reaches 750 m above KiXPol and the time differences 16 between the two radar measurements are up to 5 min, also needs to be considered. A 17 combination of the three radar observations will definitely be an advantage to 18 19 reconstruct the precipitation patterns over the HOPE area in a future study. Since no adjustments of the $R-Z_H$ and $R-K_{DP}$ relations were made, these results are very 20 promising. The three radar estimates together with the direct comparisons with the 21 22 rain gauges and disdrometers allow to attribute robust error estimates to these 23 precipitation fields, which will be valuable when compared with model simulations.

1 4. Observed microphysical processes

Falling hydrometeors are subject to growth and/or depletion by a range of microphysical processes which leave their fingerprints in the spatial and temporal evolution of several polarimetric moments. Since microphysical processes are simulated in atmospheric models with increasing details, polarimetric radar observations can be used for model validations and thus spur further improvements. In this section we present three cases, where such microphysical processes could be observed by the radars and substantiated by MRR and disdrometer observations.

9 4.1 Case 1: Size sorting and coalescence

On 26 Apr 2013, a cold front passed over Germany, which came with a large band of stratiform rain that persisted from the morning hours until the end of the day. The daily rain accumulation recorded by the surface observations was about 3.5 mm while the precipitation lasted up to 8 hours (Fig. 3). Six radiosondes launched at KITCube at 0700 UTC, 0900 UTC, 1100 UTC, 1300UTC, 1600 UTC and 2300 UTC, respectively, recorded a freezing level above 2100 m during daytime, which descended down to 830 m at about 2300 UTC.

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Figure 8. Reflectivity (Z_H) of KiXPol observed at an elevation angle of 3.5° at 1330
UTC and 1335 UTC on 26 April 2013. The precipitating cell examined in the text is
highlighted by the black ellipse. The white solid line indicates the azimuth direction
of the JuXPol RHIs, while the white dashed circle delineates the 8-km distance from
KiXPol.

KiXPol preformed volume scans every 5 min on that day, with scan elevations
ranging from 0.6° to 30° (Table 1), while JuXPol made RHI scans in the direction of
JOYCE every minute.



Figure 9. Sequence of RHIs of differential reflectivity (Z_{DR}) measured by JuXPol at
an azimuth angle of 233.7° between 1329 UTC and 1334 UTC on 26 April 2013
(from top to bottom). The contour lines indicate reflectivity values (Z_H) of 15 dBZ
(black) and 30 dBZ (white), respectively.

6

At 1330 UTC KiXPol observed a precipitating cell approaching the radar from the
southwest at about 10 km distance, which was moving towards JuXPol (Fig. 8). At
1335 UTC the cell was within 8 km from KiXPol, where it started to dissolve (not
shown). RHIs performed with JuXPol at the azimuth direction 233.7° nicely tracked

1 the approaching cell (Fig. 9).

The high temporal resolution of the JuXPol RHIs allows for a detailed insight into the 2 evolution of the precipitating cell. The cell was first observed by JuXPol at 1300 UTC 3 at about 45 km distance and kept moving towards JuXPol with low reflectivities at 4 about 20 dB (not shown). At 1329 UTC, JuXPol detected the precipitating cell 5 entering its RHI at 20 km range (Fig. 9). In the center of the precipitating cell tilted 6 towards the northeast by the wind shear (See Fig. 10), near surface Z_{DR} values were 7 up to 2 dB while Z_H was above 30 dBZ. Z_{DR} increases towards the ground concurrent 8 9 with an increasing Z_H. This behavior is a clear sign of coalescence, which shifts small 10 raindrops to larger sizes and increases the mean raindrop size (Kumjian and Prat, 2014). 11



12

Figure 10 Wind profiles derived from radiosondes launched at KITCube at 1100 UTC and 1300 UTC. The arrows on the right indicate the wind vector (0° indicates the north) while their lengths are proportional to wind speed.

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While moving towards JuXPol, the tilt of the cell led to a concentration of large rain drops at the leading edge of the precipitating cell, where their larger fall speed separates them from the smaller droplets which largely remain in the flow volume 1 (e.g., Kumjian and Ryzhkov (2012)). From 1329 UTC to 1330 UTC, Z_{DR} at the 2 leading edge of the cell is below 0.5 dB (Fig. 9). At 1331 UTC, Z_{DR} begins increasing 3 and later on reaches up to 2 dB while Z_{H} remains in the order of 15 dBZ in that region. 4 When the cell begins to dissipate as it moves forward, Z_{DR} decreases down to ~ 1 dB 5 both in the center and upstream of the precipitating cell.

6 4.2 Case 2: Size sorting due to vertical wind shear

7 A second case on size sorting caused by the vertical wind shear was well captured by BoXPol on 17 May 2013. A deep low pressure system reaching from the surface up to 8 200 hPa was found over the Northeast Atlantic and the British Isles on the previous 9 day, while a surface low was moving from the western Mediterranean to the north, 10 towards central Europe. As a result a complex pattern of fronts was affecting France 11 and Germany due to the interaction of both systems. On 17 May 2013, a stationary 12 front along with a through of warm air aloft passed over West Germany, moving 13 eastwards. Low atmosphere levels were characterized by high humidity and a sharp 14 15 West-East temperature gradient. A band of mostly stratiform rain affected south-western and western Germany earlier in the day, while later on convective rain 16 with lightning activity developed over south east and central Germany. About 8 mm 17 of rain accumulated over 6 hour time spans as recorded by the disdrometers (Fig. 3). 18





Figure 11. Reflectivity (Z_{H} , left) and differential reflectivity (Z_{DR} , right) observed by BoXPol at an azimuth angle of 290° at 1240 UTC on 17 May 2013. The black isoline in the left panel indicates the 2-dB Z_{DR} contour line.



1

Different instrument observations located within distances of 5 meters Figure 12. 2 close (200 m) to the BoXPol location in Bonn, Germany, between 1234 UTC and 3 1244 UTC on 17 May 2013. (a): Reflectivity observed by vertically pointing micro 4 rain radar (MRR). The grey horizontal solid line indicates the 600 m height level. (b): 5 MRR-observed DSDs at 600 m altitude. (c) DSDs observed by a Thies disdrometer 6 with its transmitter and receiver line pointing along the east-west direction (Thies 1); 7 (d) same as (c) but for a Thies disdrometer pointing along the south-north direction 8 (Thies 2); (e) Same as (c) except for an OTT Parsivel disdrometer; (f) Rain rate 9 10 observed by an MRR at 600 m height and the three disdrometers collocated with the MRR at the BoXPol station. 11

The precipitating cell moving westwards was captured by the BoXPol RHI scan. The melting layer can be easily identified by the enhanced Z_H and Z_{DR} at an altitude of ~2.2 km in the RHI performed at an azimuth angle of 290° (Fig. 11). Similar to the first case presented above, the strong Z_{DR} at the leading edge indicates the increase of mean raindrop size due to the accumulation of large raindrops by size sorting.

At 200 m distance from BoXPol, vertical profiles of DSDs were observed by an MRR. 6 Figure 12 shows the time series of MRR-derived reflectivity (Panel a) with the 7 corresponding DSDs at an altitude of 600 m (Panel b). The first cell of a precipitation 8 9 system passed BoXPol and the MRR before 1240 UTC with reflectivities up to 40 10 dBZ in the center, followed by a second peak with reflectivities up to 35 dBZ (Fig. 12a). The derived DSDs indicate that, fast falling large raindrops tend to concentrate 11 at the upstream side of the cell, while raindrops less than 3 mm in diameter have a 12 larger number concentration downstream (Fig. 12b). However, the coarse temporal 13 resolution of BoXPol RHI scans (every 5 min) makes it difficult to compare directly 14 the MRR observations with BoXPol over the MRR site. 15

The OTT Parsivel and Thies optical laser disdrometers collocated with the MRR also 16 captured the precipitation event on that day (Fig. 12c-12f). One Thies disdrometer 17 was deployed with its transmitter-receiver line in the west-east direction (Thies 1) and 18 19 the other in the south-north direction (Thies 2). For the surface DSDs shown in Fig. 12b-12e, the largest raindrops collected by the two Thies disdrometers are below 4 20 mm after 1239 UTC. Similar to MRR observations, however, the Parsivel observed 21 larger raindrops up to 5 mm at an earlier time step since it was operated at a temporal 22 23 resolution of 30 s. It implies that a temporal resolution of better than 1 min is required to better interpret the DSD evolution caused by size sorting due to vertical wind shear 24 and to improve the surface rainfall estimations. 25

The surface rain rates observed by the three disdrometers differ from the MRR observations at 600 m considering the spatial and temporal shifts (approximately 2 min) (Fig. 12f). The maximum rain rate estimated from the MRR at 600 m is ~ 8 mm h^{-1} at 1238 UTC, with a second peak of ~ 6 mm h^{-1} at 1240 UTC. Considering the effects from size sorting and other possible microphysical processes, the rain rate at high altitudes is usually higher than on the surface. The two Thies disdrometers close to the Parsivel, which provide measurements at 1 min time interval, also show a smaller maximum rain rate near the ground. We thus conclude that the Parsivel is overestimating the rain rate (Fig. 12f). Nevertheless, these observations are consistent with the occurrence of the size sorting process shown from the radar observations..

7 4.3 Case 3: Riming/aggregation processes observed by JuXPol

On 29 May 2013 a cut-off process was underway over western and middle Europe, 8 resulting in a broad and well defined upper level vortex. At lower levels the pressure 9 10 distribution was more complex with several small surface lows and generally weak pressure gradients. One of these surface lows, initially situated over southern England 11 at 0000 UTC, moved to eastern France during the day. The corresponding cold front 12 became quasi-stationary, as indicated by a sharp θ_e (equivalent potential temperature) 13 gradient over Be-Ne-Lux and western Germany (not shown). At 0000 UTC and 0600 14 15 UTC frontogenetic forcing was strongest due to deformational processes in the vicinity of the front as it interacted with a second low over the northern half over 16 Germany. This resulted in a subsequent reinforcement of frontal precipitation over the 17 HOPE area until 1200 UTC. During and after that intensification period the frontal 18 19 temperature gradient gradually dissolved due to evaporative cooling and the advection of a colder maritime air mass also on the warm side of the front. As a consequence 20 frontal precipitation weakened by the end of the day. 21

The daily rain accumulation for 29 May 2013 recorded by the surface observations was ~14 mm while precipitation lasted up to 20 hours (Fig. 3): this was the day with the longest rainy period which also lead to the second largest daily rain accumulation during HOPE. Three radiosondes were launched at the location of KITCube, one at 2300 UTC on 28 May and two at 1100 UTC and 2300 UTC on 29 May. According to the soundings, the freezing level was located at ~2.2 km at 2300 UTC on 28 May 2013 and subsided down to ~1.7 km at 1100 UTC on 29 May 2013.

Figure 13 shows so-called Quasi-Vertical Profiles (QVPs) of Z_H, Z_{DR}, 1 _{HV} and K_{DP} based on JuXPol measurements at 18° elevation angle between 0600 and 1430 UTC. 2 QVPs were first used by Trömel et al. (2014a) to reliably estimate backscatter 3 differential phase and Ryzhkov et al. (2016) further expanded the QVP 4 methodology and demonstrated its multiple benefits. The QVPs of polarimetric 5 variables are obtained by azimuthal averaging of the radar data collected during 6 conical PPI scans at higher antenna elevation angles in order to reduce statistical 7 errors of the variables and assign their average vertical profiles to a conical volume in 8 a time-height display. QVPs are especially beneficial for monitoring the temporal 9 evolution of microphysical processes active on a larger scale. 10



11

Figure 13. (a) Time series of Quasi-Vertical Profiles (QVPs) of Z_H derived from PPIs measured with JuXPol at 18° elevation on 29 May 2013 between 0600UTC and 14 1430UTC. The white lines indicate the 20 and 30 dBZ contours of Z_H ; (b), (c) and (d): 15 the same time series as (a) but for Z_{DR} , _{HV} and K_{DP} , respectively. The black ellipses 16 highlight the area for aggregation/riming while the magenta arrows in Panel (b) 17 indicate the Z_{DR} saggings (see text for detail).

The most striking feature in Fig. 13 is the descent of the melting layer from 1.8 km down to ~1.5 km height between 0700 and 0900 UTC. After 1200 UTC, a region of enhanced K_{DP} above 3.5 km accompanied with Z_{DR} >1.2 dB aloft can be identified.

Bands of enhanced Z_{DR} and bands of enhanced K_{DP} are both considered as signatures of dendritic growth (Kennedy and Rutledge, 2011). According to the radiosonde ascending at 1100 UTC, the temperature zone of -10°C ~-15°C which favors the growth of ice dendrites is located between 3.8 and 4.7 km. Thus, we may suspect dendrites growing above 3.5 km especially after 1200 UTC (Fig. 13).

When following the height evolution of polarimetric variable structures above the 6 melting layer (ML) after 1200 UTC (Fig. 13), riming/aggregation processes are 7 indicated by enhancements of Z_H and $_{HV}$ above the ML while Z_{DR} and K_{DP} decrease 8 9 with height in unison above the ML after 1200 UTC (ellipses in Fig. 13). Z_{DR} and K_{DP} 10 depressions aloft associated with increases in Z_H and _{HV} above the ML suggest increases of ice particle mean sizes due to riming and/or aggregation. Recently, 11 Moisseev et al. (2015) argued that the processes responsible for enhanced K_{DP}- and 12 Z_{DR}-bands might be different: they advocated that the K_{DP} bands are caused by high 13 number concentrations of oblate relatively dense ice particles (early aggregates) and 14 are linked to the onset of aggregation processes, while Z_{DR} bands in the absence of 15 K_{DP} bands are observed when crystal growth is the dominating snow growth 16 mechansim and the number concentration is lower. Following their arguments, it can 17 also be speculated that aggregation processes are ongoing near the end of the 18 observation period shown in Fig. 13. 19

Discrimination between riming and aggregation is important for aviation security, 20 since riming implies the existence of supercooled liquid water above the freezing 21 level, which could result in dangerous icing on aircrafts. Riming is also associated 22 23 with embedded updrafts, convective development and thus precipitation enhancement. In the presence of such updrafts, enhanced condensation of water vapor occurs and 24 leads to small liquid droplets which may be accreted by dry snowflakes. These rimed 25 snowflakes may grow fast and reach large sizes with higher terminal velocitiy before 26 27 they fall through the ML. Due to their enhanced terminal velocity, they melt at a lower height and lead to the "sagging" signature of the bright band in terms of Z_{DR} 28 _{HV} (Ryzhkov et al., 2016). 29 and

In Fig. 13, reduced Z_{DR} combined with enhanced Z_{H} and $_{HV}$ above the ML occurs at 1 times, and also "sagging" signatures are clearly visible at around 1200 UTC and 1300 2 UTC (the magenta arrows in Fig. 13b). Starting from the bottom of the Z_{DR}- and K_{DP}-3 bands at about 3 km height at 1200 UTC, Z_{DR} decreases and Z increases downwards 4 most probably due to aggregation and/or riming. Here ZDR reduces down to a few 5 6 tenths of a dB just above the level where melting starts. However, this reduction is expected to be more intense for riming than for aggregation. Riming makes the ice 7 particles more spherical leading to a lower Z_{DR} by 0.1 - 0.3 dB (Ryzhkov et al., 2016). 8 Thus, we speculate that riming causes the "sagging" effects of Z_{DR} and 9 нv combined with relatively low Z_{DR} above the ML around 1200 UTC and 1300 UTC. To 10 more reliably distinguish between riming and aggregation, we require additional 11 measurements indicative e.g. of associated updrafts and supercooled liquid water 12 above ML, which could be provided by additional microwave radiometers and cloud 13 radars. 14

The discussed examples have clearly shown how polarimetric radars can be used to identify and distinguish between different microphysical processes, like warm rain processes and ice particle formation and growth. Converting the output of NWP models into polarimetric radar variables and using a polarimetric forward radar operator would provide an opportunity to validate the representation of the discussed microphysical processes in such models.

21

22 5. Conclusions

This study presents a summary of rainfall observations and some examples of related microphysical processes occurring during HOPE between 1 April and 31 May 2013. At that time three X-band polarimetric Doppler radars observing the central HOPE area of about 5 km×5 km over which a surface network of rain gauges, disdrometers and MRRs was deployed to assess the accuracy of the radar-based precipitation observations and to demonstrate the capability of polarimetric radars to detect

microphysical processes. Rainfall accumulations at the daily and even hourly scale
were surprisingly consistent between the different observations demonstrating the
high quality of QPE based on R-Z and R-K_{DP} relations at least for the low intensity
rainfall events prevalent during HOPE.

The combined observations of polarimetric radars and collocated instruments 5 demonstrated the ability of radar polarimetry to detect several microphysical 6 processes by so-called polarimetric fingerprints during the development and evolution 7 of precipitation systems. These fingerprints clearly identify microphysical processes 8 9 like coalescence, size sorting and riming/aggregation. Size sorting by wind shear was 10 e.g. well captured by the JuXPol and BoXPol RHI scans and corroborated by the collocated MRR and disdrometer observations. While there were clear signs of other 11 processes like riming and aggregation, a distinction between these two processes is 12 still difficult with the available observations. Doppler velocities at the vertical 13 pointing mode were analyzed but the observed values (between 1 - 2 m/s) still makes 14 the distinction ambiguous. Furthermore, the exact time from the QVP and vertical 15 pointing scans cannot be matched, and one has to be careful when comparing the QVP 16 with vertical scans. Additional analysis in conjunction with other independent 17 observations e.g. from microwave radiometers, lidars and cloud radars which were 18 deployed at the JOYCE site is also required for a better distinction between riming 19 and aggregation, which is the focus of an ongoing study. 20

21

22 Acknowledgements

This research was funded by the Federal Ministry of Education and Research in Germany (BMBF) through the research programme "High Definition Clouds and Precipitation for Climate Prediction - HD(CP)²" (FKZ: 01LK1219A and 01LK1210A). We thank Martin Lennefer and Kai Muehlbauer at University of Bonn and the SFB/TR 32 (Transregional Collaborative Research Center 32, http://www.tr32.de/) funded by the German Research Foundation (DFG) for maintaining the instruments and make the radar data available. We thank Patric Seifert from the Leibniz Institute
for Tropospheric Research (TROPOS) for providing the OTT Parsivel data at the
LACROS station. We also acknowledge Norbert Kalthoff and his colleagues from
Karlsruhe Institute of Technology (KIT) for providing KiXPol observations and the
observation data at KITCube station during HOPE.

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