

1 **Vegetation greenness and land carbon flux anomalies associated with climate**  
2 **variations: a focus on the year 2015**

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13

14 **Abstract**

15

16 Understanding the variations in global land carbon uptake, and their driving mechanisms, is  
17 essential if we are to predict future carbon cycle feedbacks on global environmental changes.  
18 Satellite observations of vegetation greenness have shown consistent greening across the globe  
19 over the past three decades. Such greening has driven the increasing land carbon sink, especially  
20 over the growing season in northern latitudes. On the other hand, interannual variations in land  
21 carbon uptake are strongly influenced by El Niño–Southern Oscillation (ENSO) climate  
22 variations. Marked reductions in land uptake and strong positive anomalies in the atmospheric  
23 CO<sub>2</sub> growth rates occur during El Niño events. Here we use the year 2015 as a natural  
24 experiment to examine the possible response of land ecosystems to a combination of vegetation  
25 greening and an El Niño event. The year 2015 was the greenest year since 2000 according to  
26 satellite observations, but a record atmospheric CO<sub>2</sub> growth rate also occurred due to a weaker  
27 than usual land carbon sink. Two atmospheric inversions indicate that the year 2015 had a higher  
28 than usual northern land carbon uptake in boreal spring and summer, consistent with the positive  
29 greening anomaly and strong warming. This strong uptake was, however, followed by a larger  
30 source of CO<sub>2</sub> in the autumn. For the year 2015, enhanced autumn carbon release clearly offset  
31 the extra uptake associated with greening during the summer. This finding leads us to speculate

32 that a long-term greening trend may foster more uptakes during the growing season, but no large  
33 increase in annual carbon sequestration. For the tropics and Southern Hemisphere, a strong  
34 transition towards a large carbon source for the last three months of 2015 is discovered,  
35 concomitant with El Niño development. This transition of terrestrial tropical CO<sub>2</sub> fluxes between  
36 two consecutive seasons is the largest ever found in the inversion records. The strong transition  
37 to a carbon source in the tropics with the peak of El Niño is consistent with historical  
38 observations, but the detailed mechanisms underlying such extreme transition remain to be  
39 elucidated.

40

## 41 **1 Introduction**

42

43 The first monitoring station for background atmospheric CO<sub>2</sub> concentration was established on  
44 Mauna Loa in 1958. Its record shows that atmospheric CO<sub>2</sub> has continued to rise as  
45 anthropogenic carbon emissions have increased. However, annual atmospheric CO<sub>2</sub> growth rates  
46 (AGR) are lower than those implied by anthropogenic emissions alone, because land ecosystems  
47 and the oceans have absorbed part of the emitted CO<sub>2</sub> (Canadell et al., 2007; Le Quéré et al.,  
48 2016). At multi-decadal timescale, carbon uptake by land and ocean has kept pace with growing  
49 carbon emissions (Ballantyne et al., 2012; Li et al., 2016), exerting a strong negative feedback to  
50 global change. Over land, increasing carbon uptake is consistent with worldwide vegetation  
51 greening as revealed by satellite observations (Zhu et al., 2016). Long-term warming and CO<sub>2</sub>  
52 fertilization have contributed to growing-season greening and increasing land carbon uptake in  
53 northern latitudes, which further leads to a markedly increasing seasonal atmospheric CO<sub>2</sub>  
54 amplitude (Forkel et al., 2016; Graven et al., 2013; Myneni et al., 1997). At the same time, large  
55 year-to-year fluctuations occur in the terrestrial carbon sink especially over tropical lands. These  
56 fluctuations mainly occur in response to climate variations induced by El Niño–Southern  
57 Oscillation (ENSO) (Wang et al., 2013, 2014) and other occasional events such as volcanic  
58 eruptions (Gu et al., 2003). The occurrence of El Niño events often leads to elevated  
59 temperatures with reductions in precipitation over tropical lands; these cause sustained droughts  
60 that substantially reduce the land carbon uptake (Doughty et al., 2015). These ENSO-associated  
61 tropical land uptake variations have translated into large variations in atmospheric CO<sub>2</sub> growth  
62 rates, which are found to be significantly correlated with tropical land temperature anomalies

63 (Wang et al., 2014).

64

65 Understanding such driving mechanisms and variations in land carbon uptake is essential if we  
66 are to predict future carbon cycle feedbacks on global environmental changes — including  
67 climate change. Growing-season normalized difference vegetation index (NDVI) observed by  
68 the moderate-resolution imaging spectroradiometer (MODIS) aboard the Terra satellite has  
69 consistently increased since 2000 over northern latitudes (Bastos et al., 2017). The positive link  
70 between seasonal NDVI and vegetation photosynthesis is well established for deciduous forests  
71 in temperate and boreal biomes (Gamon et al., 1995), and NDVI is often assumed as a surrogate  
72 for vegetation growth. Nevertheless, it is unclear whether, on an annual timescale, higher NDVI  
73 anomalies are indeed always associated with higher carbon uptake anomalies. A few studies have  
74 reported important seasonal coupling in vegetation greenness and land carbon uptake in mid to  
75 high latitudes, with summer drought likely compensating for enhanced spring uptake (Angert et  
76 al., 2005; Wolf et al., 2016). While spring warming may enhance vegetation growth and carbon  
77 uptake, autumn warming can lead to net carbon loss by enhancing respiration carbon loss (Piao  
78 et al., 2008). Furthermore, greening and browning may occur in different regions within the same  
79 growing season (Bastos et al., 2017), so that the associated consequence on land carbon  
80 dynamics needs to be investigated. Such investigation on the relationship between seasonal  
81 NDVI dynamics and land carbon uptake will help to predict future land carbon sink capacity.

82

83 El Niño events are often linked to enhanced drought conditions in Amazonian forest with  
84 widespread increases in tree mortality and drops in ecosystem carbon storage (Phillips et al.,  
85 2009). Fire emissions from Asian tropical regions also show nonlinear increase with drought  
86 during El Niño, another factor that reduces land carbon sequestration (Field et al., 2016; Yin et  
87 al., 2016). With future anthropogenic climate change, it is projected that the frequency of  
88 extreme El Niño events will be doubled (Cai et al., 2014). It is not clear how future carbon  
89 dynamics will respond to such a doubling of extreme El Niño events, or whether any extreme  
90 phenomena in the carbon cycle might occur as a consequence. The year of 2015 is a good test  
91 case for investigating El Niño-related phenomena because it contained the strongest El Niño  
92 event since the one in 1997/98 and occurred at a time when unprecedented mean annual land  
93 temperature was observed.

94

95 We use the year 2015 as a natural experiment to investigate the response of land ecosystems to a  
96 combination of extreme greening and an El Niño event. The year 2015 had the greenest growing  
97 season in the Northern Hemisphere since 2000, in particular over eastern North America and  
98 large parts of Siberia (Bastos et al., 2017), and this was accompanied by the highest mean annual  
99 global land temperature on record since 1880 (<https://www.ncdc.noaa.gov/cag/time-series/global/globe/land/ytd/12/1880-2015>). At the same time, a strong El Niño event developed  
100 starting in the latter half of 2015. Elevated fire emissions in tropical Asia were reported (Huijnen  
101 et al., 2016; Yin et al., 2016) and a severe drought was detected over eastern Amazonia  
102 (Jiménez-Muñoz et al., 2016). As a result, in 2015 the global monthly atmospheric CO<sub>2</sub>  
103 concentration surpassed 400 μmol·mol<sup>-1</sup> (ppm) for the first time, with an unprecedented large  
104 annual growth rate of 2.96±0.09 ppm yr<sup>-1</sup>  
105 ([https://www.esrl.noaa.gov/gmd/ccgg/trends/global.html#global\\_growth](https://www.esrl.noaa.gov/gmd/ccgg/trends/global.html#global_growth)). We examined global  
106 and regional land-atmosphere carbon fluxes estimated from two atmospheric inversions over  
107 1981-2015. Seasonal patterns in the land carbon uptake in 2015 relative to the long-term trend of  
108 1981-2015 were examined and linked to extreme climate anomalies. To put the 2015 land  
109 response into a historical context, we also examined the relationship between historical land  
110 carbon uptake anomalies, and NDVI and climate anomalies, in order to infer general patterns in  
111 factors driving the land carbon uptake anomalies. Our findings are expected to provide insights  
112 into future land carbon cycle feedbacks to vegetation greening and climate variations.  
113

114

## 115 **2 Data and methods**

### 116 **2.1 Data sets**

#### 117 **2.1.1 Atmospheric inversion data**

118 We used two gridded land and ocean carbon uptake data sets based on atmospheric CO<sub>2</sub>  
119 observations, namely those from the Copernicus Atmosphere Monitoring Service (CAMS)  
120 inversion system developed at LSCE (Chevallier et al., 2005, 2010) and the Jena CarboScope  
121 inversion system developed at the MPI for Biogeochemistry, Jena (update of Rödenbeck, 2005;  
122 Rödenbeck et al., 2003). Atmospheric inversions estimate land- and ocean-atmosphere net  
123 carbon fluxes by minimizing a Bayesian cost function, accounting for the mismatch between the  
124 observed and simulated atmospheric CO<sub>2</sub> mixing ratios. To do this, they use atmospheric CO<sub>2</sub>

125 concentrations at measurement sites, combined with an atmospheric transport model and prior  
126 information on fossil fuel carbon emissions and carbon exchange between the atmosphere and  
127 land (and ocean). Detailed information on these two atmospheric inversions can be found in the  
128 references above.

129  
130 The CAMS inversion data (version r15v3) were provided for 1979–2015 with a weekly time-step  
131 and a spatial resolution of 1.875° latitude and 3.75° longitude. The Jena CarboScope inversion  
132 provides daily fluxes at a spatial resolution of 3.75° latitude and 5° longitude and offers a series  
133 of runs. All runs provide data covering the whole period of 1979–2015, but they have different  
134 validity periods that focus on using different sets of CO<sub>2</sub> measurement stations. Within the  
135 validity period all employed stations have valid CO<sub>2</sub> observations, i.e., they have coherent and  
136 complete measurements over time. The idea of validity period is to avoid spurious flux variations  
137 resulting from a changing station network. It is optimal to examine temporal trend within the  
138 validity period, but this does not mean the data outside this period are invalid and should be  
139 discarded. From the Jena inversion runs, we selected s04\_v3.8 (shortened as Jena04 in the main  
140 text and the Supplementary Material). Jena04 used the largest number of measurement sites for  
141 2015 and therefore had the most detailed constraint on carbon exchanges for this year (see  
142 <http://www.bgc-jena.mpg.de/CarboScope/> for more details on other configurations). The validity  
143 period of the Jena04 data is 2004–2015, but here we used the data available for the whole time  
144 span of 1981–2015. This is necessary to provide both the large number of sites in 2015 and the  
145 long historical period, which is needed to produce a robust anomaly estimate. We compared the  
146 linear trends obtained over large latitudinal regions between the Jena04 run and the long  
147 s81\_v3.8 run (the latter has a validity period of 1981–2015 but far fewer sites are included than  
148 in Jena04), and confirmed that the derived trends are similar. The same issue of evolving site  
149 number and data coverage with time also occurs for the CAMS inversion, but the CAMS  
150 inversion uses sites with at least a 5-year run of data. CAMS therefore has a denser (during the  
151 recent decade) but temporally evolving data coverage than Carboscope.

152  
153 Estimates of land and ocean net carbon uptakes for 1981–2015 from the Global Carbon Project  
154 (GCP) (Le Quéré et al., 2016) were compared with the inversion data. For this purpose, an  
155 annual global carbon flux of 0.45 Pg C yr<sup>-1</sup> is subtracted from the inversion-derived land carbon

156 uptakes and is added to ocean carbon uptakes to account for the pre-industrial land-to-ocean  
157 carbon fluxes induced by river transport (Jacobson et al., 2007), following Le Quéré et al. (2016).  
158 Ocean carbon uptakes in the GCP estimates are based on the mean CO<sub>2</sub> sink estimated for the  
159 1990s from observations, and the trend and variability in the ocean CO<sub>2</sub> sink for 1959–2015 from  
160 global ocean biogeochemistry models. Estimates of land carbon uptake in the GCP estimates are  
161 calculated as the difference between anthropogenic emissions, atmospheric CO<sub>2</sub> growth and the  
162 ocean sink. In summary, the estimates of land and ocean carbon uptake in the GCP estimates are  
163 largely independent from the two inversions used here, except that the CO<sub>2</sub> records from  
164 atmospheric stations that are used in the inversions are also used in the GCP estimates.

165

### 166 **2.1.2 Atmospheric CO<sub>2</sub> growth rates, NDVI and climate data**

167 Atmospheric CO<sub>2</sub> growth rates were retrieved from the Global Monitoring Division, Earth  
168 System Research Laboratory (ESRL), NOAA  
169 (<http://www.esrl.noaa.gov/gmd/ccgg/trends/global.html>). We used NDVI data between 2000 and  
170 2015 from MODIS Terra Collection 6 (Didan, 2015), at a resolution of 0.05° and a 16-day time-  
171 step. NDVI data are processed from MODIS land surface reflectance data and thoroughly  
172 corrected for atmospheric effects. We were strict in applying quality assurance (QA) controls to  
173 maintain a distinct seasonal trajectory of vegetative radiometric observations and minimize  
174 spurious signals (e.g., snow or cloud). Detected unexpected non-vegetative observations were  
175 first excluded and then filled using the adaptive Savitzky–Golay filter (Chen et al., 2004; Jönsson  
176 and Eklundh, 2004). The Savitzky–Golay filter is a simplified convolution over a set of  
177 consecutive values with weighting coefficients given by a polynomial least-squares-fit within the  
178 filter window (Savitzky and Golay, 1964). After this procedure, the linearly interpolated daily  
179 NDVI data were used to calculate mean seasonal NDVI and re-gridded at 0.5° resolution, with  
180 pixels of seasonal NDVI lower than 0.1 being further masked to ensure robustness. We examined  
181 four seasons: Q1 (January–March), Q2 (April–June), Q3 (July–September) and Q4 (October–  
182 December). Climate fields are from the ERA interim reanalysis (Dee et al., 2011) at 0.5°  
183 resolution and monthly time-step. We used air temperature, precipitation and volumetric soil  
184 water content (%) integrated over the soil column to a depth of 2.89 m.

185

### 186 **2.1.3 Indices for El Niño–Southern Oscillation states and fire emission data**

187 We examined the seasonal variations of the carbon cycle in 2015 in relation to ENSO events and  
188 compared the 2015 El Niño event with that of 1997–1998. The Multivariate ENSO Index (MEI,  
189 <http://www.esrl.noaa.gov/psd/enso/mei/>, Wolter and Timlin, 2011) was used to indicate the  
190 ENSO state. MEI is a composite index calculated as the first un-rotated principal component of  
191 six ENSO-relevant variables (including sea level pressure and sea-surface temperature) over the  
192 tropical Pacific for each of twelve sliding two-monthly seasons. MEI has been widely used in  
193 previous studies of land carbon dynamics as an indicator of ENSO states (Nemani et al., 2003;  
194 van der Werf et al., 2008). The twelve two-monthly MEI values for each year are summed to  
195 obtain the annual MEI. The interannual variations in climate and land carbon uptake are linked  
196 with MEI to infer a general relationship between land carbon dynamics and ENSO climate  
197 oscillations. To examine the potential role of fire emissions in the land carbon balance in 2015,  
198 we used the GFED4s carbon emission data at daily time-step and 0.25° spatial resolution  
199 (<http://www.globalfiredata.org/data.html>). Monthly fire-carbon emissions were calculated for the  
200 regions and were examined for 1997–2015.

201

## 202 **2.2 Data analysis**

### 203 **2.2.1 NDVI rank analysis and greening trend**

204 We first examine the vegetation greenness status in the year 2015. Given a season and a pixel,  
205 the annual time series of seasonal NDVI for 2000-2015 were ranked in ascending order so that  
206 each year could be labelled by a rank, with 1 being the lowest and 16 being the highest. A spatial  
207 map of NDVI rank was then obtained for each year for the given season (Fig. S1). A composite  
208 map was made for 2015, by merging pixels with the highest rank of all four seasons in 2015 (Fig.  
209 1a). Vegetated area fraction with the highest rank for different years was obtained, with the sum  
210 of these fractions yielding unity. This procedure was repeated for all four seasons to generate  
211 four seasonal time series, with each time series containing the vegetation land fractions with  
212 highest NDVI for different years (Fig. 1b). Note that NDVI values for the Northern Hemisphere  
213 for Q1 and Q4 mostly fall outside the growing season (although October is frequently considered  
214 within the growing season and some evergreen coniferous forests show significant  
215 photosynthetic activities in March in regions with mild winters, e.g., Tanja et al., 2003), so that a  
216 valid NDVI might not necessarily be associated with significant seasonal vegetation activity.  
217 However, we expect that this issue will be partly alleviated by our applied rigorous QA control

218 in preprocessing and a minimum threshold of 0.1 on seasonal NDVI. Such seasonal segregation  
219 is adopted mainly because of its general applicability across the globe, especially for tropical  
220 ecosystems where seasonality in vegetation activities is minimal.

221

### 222 **2.2.2 Analysis of land carbon uptake dynamics associated with climate variations**

223 Annual land and ocean carbon uptake and carbon emissions from the two inversions were  
224 calculated for the globe over their period of overlap, 1981–2015. AGRs from NOAA/ESRL over  
225 1981–2015 were converted into Pg C using a conversion factor of 2.12 Pg C ppm<sup>-1</sup> (Ballantyne  
226 et al., 2012; Prather et al., 2012; Quéré et al., 2016), in order to examine the closure of the global  
227 carbon balance in the inversion data. The conversion factor used here assumes that the entire  
228 atmosphere is well mixed over one year. We attributed the record high AGR in 2015 into  
229 individual components of emissions and sinks. The record high AGR in 2015 was a composite  
230 effect collectively determined by carbon emissions from fossil fuel burning and industry, and  
231 land and ocean carbon uptakes. All these were impacted by an historical trend (Fig. 2).  
232 Therefore, to understand the factors contributing to 2015’s record AGR, we separated it into a  
233 long-term trend and interannual anomalies. Annual time series of carbon emissions, land and  
234 ocean carbon uptakes, and AGRs from NOAA/ESRL over 1981–2015 were linearly de-trended.  
235 The percentages of anomalies in carbon emissions, land and ocean sink in 2015 to the 2015 AGR  
236 anomaly were then calculated as relative contributions by each factor to the 2015 AGR anomaly.

237

238 Seasonal land carbon uptake anomaly time series were also calculated by subtracting the same  
239 linear trend for 1981–2015. The globe was divided into three latitude bands: boreal Northern  
240 Hemisphere (BoNH, latitude > 45°N), temperate Northern Hemisphere (TeNH, 23.5° < latitude  
241 < 45°N), and tropics and extratropical Southern Hemisphere (TroSH, latitude < 23.5°N). The  
242 BoNH and TeNH are grouped as Boreal and temperate Northern Hemisphere (BoTeNH, latitude >  
243 23.5°N) when examining seasonal carbon transitions. Seasonal land carbon uptake anomalies  
244 were then calculated for each region and the whole globe, with positive anomalies indicating  
245 enhanced sink (or reduced source) against the linear trend (i.e., the normal state), and negative  
246 ones indicating the opposite. The same seasonal linear de-trending was also performed for  
247 climate fields of air temperature, precipitation and soil water content. The relationship between  
248 anomalies in land carbon uptake, and temperature and precipitation are examined using partial



249 correlation coefficients in a multivariate linear regression framework with an ordinary least  
250 squares method. The relationships between seasonal land uptake anomalies and NDVI anomalies  
251 were also examined using simple linear regression.

252  
253 We then examined especially the seasonal anomalies of land carbon uptake in 2015 and the  
254 carbon uptake transitions between two consecutive seasons, to reveal any extreme phenomena in  
255 the land carbon cycle that might lead to the abnormally high AGR in 2015. Seasonal land carbon  
256 uptake transitions are calculated as the land sink anomaly in a given season minus that of the  
257 previous one. When examining transitions of land carbon uptake anomalies by the CAMS  
258 inversion, we found the year 1993 had an extreme negative Q3→Q4 global transition (-2.85 Pg  
259 C within 6 months,  $< -4\sigma$ , the second lowest being the year 2015 with -1.0 Pg C) albeit with a  
260 reasonable annual land carbon uptake (3.75 Pg C yr<sup>-1</sup>). This is linked to an extreme high Q3 and  
261 low Q4 uptake in this year, which could not be explained by any known carbon cycle  
262 mechanisms. This is thus identified as a result of numerical instability of the inversion system for  
263 that release and consequently the year 1993 has been removed from all the aforementioned  
264 seasonal analyses. However, we identified that the temporal trends for annual and seasonal land  
265 carbon uptakes show almost no change whether or not including the year 1993.

266

## 267 **3 Results**

### 268 **3.1 Vegetation greening in 2015**

269 Figure 1a illustrates where and when higher-than-normal greening conditions were observed in  
270 different seasons of the year 2015, compared to other years of 2000–2015 (see Supplementary  
271 Material Fig. S1 for the greenness distribution of each season). On average over the four seasons  
272 of 2015, 16% of vegetated land shows record seasonal NDVI. The year with the second highest  
273 NDVI is 2014 with 9% vegetated area having record NDVI. An increase of the record-breaking  
274 NDVI occurrence over time is clearly seen in Fig. 1b. In short, 2015 clearly stands out as a  
275 greening outlier, having the highest proportion of vegetated land being the greenest for all four  
276 seasons except for the first season (despite the fact that for Q1, 2015 is still the third highest, Q1  
277 = January to March).

278

279 For boreal and temperate regions of the Northern Hemisphere, the seasons with highest NDVI in

280 2015 are dominated by Q2 and Q3 (Q2 = April to June; Q3 = July to September), corresponding  
281 to the growing season from spring to early autumn (Supplementary Material Fig. S2). A  
282 pronounced greening anomaly in Q2 occurred in western to central Siberia, western Canada and  
283 Alaska, and eastern and southern Asia (Supplementary Material Fig. S1). Central and eastern  
284 Siberia and eastern North America showed marked greening in Q3. Strong and widespread  
285 greening also occurred in the tropics during Q3 across Amazonia and the savanna (or cerrado) of  
286 eastern South America and tropical Africa, but this strong positive greening signal greatly  
287 diminished in Q4 (Q4 = October to December) especially in central to eastern Amazonia with the  
288 development of El Niño (Supplementary Material Fig. S1). Overall, the strongest greening in  
289 2015 across the globe is dominated by northern lands (latitude  $> 23.5^{\circ}\text{N}$ ), while for the northern  
290 tropics ( $0\text{--}23.5^{\circ}\text{N}$ ) only moderately strong greening is found, and for the Southern Hemisphere  
291 the greening of 2015 is close to the average state for the period of 2000–2015 (Supplementary  
292 Material Fig. S3). The extreme growing-season greening in the northern land is confirmed as  
293 being a robust result by Bastos et al. (2017), who used Terra MODIS NDVI data with different  
294 quality control procedures, and consistency is also confirmed between Terra and Aqua sensors  
295 (Fig. S1 in Bastos et al., 2017).

296

### 297 **3.2 Global carbon balance for 1981–2015**

298 Figure 2 shows the time series of fossil fuel burning and industry carbon emissions,  
299 NOAA/ESRL AGR rates linked to ENSO climate oscillations as indicated by the Multivariate  
300 ENSO Index (MEI), and land and ocean carbon sinks for the common period of the two  
301 inversions (1981–2015) and the estimates by the Global Carbon Project (GCP). Emissions show  
302 a clear increase with time, however AGRs are more variable. The record high AGR of 2.96 ppm  
303 in 2015 exceeds those in all previous years including the extreme El Niño event in 1997–98,  
304 despite much higher annual emissions in 2015. Interannual variability in AGR is mainly caused  
305 by fluctuations in the land carbon sink, with Pearson’s correlation coefficients between de-  
306 trended AGR and land sink  $< -0.8$  ( $p < 0.01$ ) for both inversions (Pearson’s correlation coefficient  
307 between de-trended AGR and MEI being 0.27,  $p < 0.1$ ). The root mean square differences  
308 between inversion and GCP carbon sinks are 0.70 and 0.65  $\text{Pg C yr}^{-1}$  for CAMS and Jena04  
309 respectively for the land, and  $\sim 0.5$   $\text{Pg C yr}^{-1}$  for the ocean for both inversions, within the  
310 uncertainties of 0.8 and 0.5  $\text{Pg C yr}^{-1}$  over 1981–2015, respectively for land and ocean as

311 reported by GCP. The interannual variability of de-trended sink anomalies for the land agrees  
312 well between the inversions and the GCP estimates (with Pearson's correlation coefficient being  
313 0.9 for both inversions,  $p < 0.01$ ).

314  
315 For 2015, the prescribed anthropogenic carbon emissions in the CAMS inversion are  $9.9 \text{ Pg C yr}^{-1}$   
316 <sup>1</sup>, of which  $2.0 \text{ Pg C}$  are absorbed by ocean,  $1.7 \text{ Pg C}$  by land ecosystems, with  $6.2 \text{ Pg C}$   
317 remaining in the atmosphere, which matches the AGR from background stations of  $6.3 \text{ Pg C}$   
318 assuming a conversion factor of  $2.12 \text{ Pg C ppm}^{-1}$  (Ballantyne et al., 2012; Le Quéré et al., 2016)  
319 and considering a measurement uncertainty in AGR of  $0.09 \text{ ppm}$  ( $0.2 \text{ Pg C}$ ) for 2015. When land  
320 carbon fluxes from the inversion are linearly de-trended over 1981-2015, the terrestrial sink in  
321 2015 is  $1.2 \text{ Pg C}$  lower than normal (i.e., the trend value), but this is not an extreme value — it is  
322 only the seventh weakest sink since 1981. This weaker land uptake accounts for 82% of the  
323 positive AGR anomaly, which is  $1.45 \text{ Pg C}$  in 2015 by subtracting a linear temporal trend.  
324 Jena04 yields an AGR in 2015 that is  $0.13 \text{ ppm}$  lower than the AGR based on background  
325 stations only, a difference close to the observation uncertainty. After removing the linear trends  
326 over time similarly as for the CAMS inversion, the land carbon uptake anomaly for Jena04 is -  
327  $0.3 \text{ Pg C yr}^{-1}$  in 2015, or 20% of the observed AGR anomaly, the remaining being explained by a  
328 positive anomaly in fossil fuel emissions (34%), a negative anomaly in the ocean sink (20%),  
329 and the difference between modelled AGR and NOAA/ESRL reported AGR. Note that the land  
330 sink given by the GCP data for 2015 is much lower than in the two inversions, with de-trended  
331 anomaly lower than that of CAMS, indicating an even larger contribution from land to the high  
332 anomaly of AGR.

333  
334 In general, the warm phases of ENSO events are associated with positive anomalies in land air  
335 temperature, negative precipitation anomalies, and lower land carbon uptake anomalies (Fig. 3),  
336 this is consistent with previous studies (Cox et al., 2013; Wang et al., 2014). The lower  
337 precipitation during El Niño is due to a shift of precipitation from tropical land to the ocean  
338 (Adler et al., 2003), and higher land temperature might be due to reduced evaporative cooling.  
339 The two extreme El Niño years of 1997 and 2015 have rather close MEI values. Compared with  
340 the 'standard' El Niño state of temperature and precipitation represented by the regression line,  
341 the year 1997 was relatively 'cool' and 'wet', while 2015 was rather 'warm' and 'dry' (with an

342 extremely negative precipitation anomaly). Year 1998 has a smaller value of MEI than  
343 1997/2015, but has a higher temperature anomaly than 2015, and a much lower land carbon  
344 uptake anomaly than 1997 and 2015 in both inversions, while the land carbon uptake anomalies  
345 in 1997 and 2015 are similar. More detailed comparison of these three years and their carbon  
346 cycle dynamics will be presented in the discussion section.

347

### 348 **3.3 Seasonal land carbon uptake dynamics associated with climate variations with a focus** 349 **on 2015**

350

351 Figure 4 shows the partial correlation coefficients between anomalies in seasonal land carbon  
352 uptake and those in seasonal temperature and precipitation for different regions. The simple,  
353 individual (univariate) linear relationships between de-trended anomalies in land carbon fluxes  
354 and those in temperature and precipitation, are presented in the Supplementary Material (Fig. S4  
355 and S5). Land carbon fluxes show consistent relationships with temperature between the two  
356 inversions for BoNH: a positive relationship for Q2 and a negative one for the other three  
357 seasons (with Q1 by Jena04 being the only one with a non-significant correlation). Partial  
358 correlations between land fluxes and precipitation are absent or non-significant for BoNH. This  
359 points to the fact that vegetation productivity in BoNH is in principle dominated by temperature,  
360 with warmer spring and early summer (Q2, April–June) enhancing vegetation net carbon uptake,  
361 but a higher temperature in later summer, autumn and early winter reducing the land capacity to  
362 sequester carbon, consistent with previous studies (Piao et al., 2008). For TeNH, a significant  
363 negative relationship is found between land fluxes by the CAMS inversion and temperature for  
364 Q3, and both inversions show negative relationships between land fluxes and precipitation for  
365 Q4, probably due to enhanced early autumn respiration under wetter conditions. For TroSH, land  
366 carbon uptakes in Q1, Q2 and Q4 are all negatively related with temperature ( $p < 0.05$  for both  
367 inversions), while increase in precipitation in Q1 is found to be associated with enhanced land  
368 uptake.

369

370 To explain the apparent paradox in 2015 between the strong greening and an only moderate  
371 terrestrial uptake, we examined in detail the seasonal land carbon flux anomalies in 2015 (Fig. 5,  
372 refer to Supplementary Material Fig. S6 for the spatial distribution of flux anomalies). At

373 seasonal scale, both inversions indicate positive carbon uptake anomalies during Q2 and Q3 for  
374 boreal and temperate Northern Hemisphere (BoTeNH, latitude  $> 23.5^{\circ}\text{N}$ ), consistent with  
375 marked greening in central to eastern Siberia, eastern Europe and Canada (Fig. 1) as outlined  
376 above. Indeed, both BoNH and TeNH show positive relationships between seasonal land carbon  
377 flux anomalies and NDVI anomalies for Q2 and Q3, with BoNH showing moderate greenness  
378 (after a linear trend is removed) for Q3, and TeNH showing extreme greenness for Q2 in 2015  
379 (Supplementary Material Fig. S7). However, an extreme follow-up negative (source) anomaly  
380 occurred in Q4 (Fig. 5a). These negative anomalies were lower than the 10th percentile of all  
381 anomalies in Q4 over time for both inversions and they partly cancelled the extra uptake in Q2  
382 and Q3. As a result, on an annual timescale, the CAMS inversion shows an almost neutral land  
383 flux anomaly in BoTeNH, while the Jena04 inversion still indicates a significant positive annual  
384 anomaly.

385  
386 For the tropics and extratropical Southern Hemisphere (TroSH, latitude  $< 23.5^{\circ}\text{N}$ ), both  
387 inversions show a weak negative land carbon anomaly for Q1 (mean value of  $-0.10$  Pg C) in  
388 2015, moderate anomalies in Q2 (of differing signs, with a negative one of  $-0.3$  Pg C in CAMS  
389 and a positive one of  $0.2$  Pg C in Jena04). Q3 anomalies are almost carbon neutral for both  
390 inversions. In stark contrast, between Q3 and Q4, both inversions show a strong shift towards an  
391 abnormally big land carbon source (i.e., negative anomalies of  $\sim -0.7$  Pg C against a carbon  
392 source expected from the linear trend, lower than 10th percentile over time in both inversions).  
393 On an annual timescale, CAMS shows a large negative anomaly of  $-1.2$  Pg C. For Jena04, sink  
394 and source effects in Q1–Q3 cancelled each other, leaving the annual anomaly the same as in Q4.

395  
396 Over the globe, the Jena04 inversion shows an abnormally strong sink during Q2 (normal state  
397 being a net carbon sink), owing to synergy of enhanced Q2 uptakes in both BoTeNH and TroSH.  
398 This abnormally enhanced uptake partly counteracted the strong shift towards a source in Q4  
399 (normal state being a net carbon source), leaving a small negative annual land carbon balance of  
400  $-0.3$  Pg C. For the CAMS inversion, because of the co-occurrence of enhanced carbon release in  
401 BoTeNH and the sudden shift towards a large carbon source in TroSH both in Q4 (normal state  
402 for both being a net carbon source), the land shows a strong global shift towards being a source  
403 in Q4, leaving a negative annual carbon anomaly of  $-1.2$  Pg C (i.e., carbon sink being reduced

404 compared with the normal state).

405

406 These consistent results from both inversions point to very strong seasonal shifts in the land  
407 carbon balance as an emerging feature of 2015. We thus calculated *transitions* in land carbon  
408 uptake anomaly as the difference in flux anomalies between two consecutive seasons (defined as  
409 the anomaly in a given season minus that in the previous one) for all years of the period 1982-  
410 2015 (Fig. 6). The ranks of transitions for different seasons relative to other years between the  
411 two inversions are broadly similar, except for Q1→Q2 and Q2→Q3 in TroSH, mainly due to the  
412 differences between the two inversions in seasonal land-carbon uptake anomaly in Q2 (Fig. 5b).  
413 On the global scale, both inversions show an extreme transition to a negative uptake anomaly for  
414 Q3→Q4, with 2015 being the largest transition of the period 1982-2015 (a transition towards an  
415 enhanced carbon source of -1.0 Pg C in six months). The abnormal transitions for Q3→Q4 on  
416 the global scale are located in the TroSH region, where both inversions show that during 1982-  
417 2015 the largest transition occurred in 2015. For BoTeNH, both inversions showed strong  
418 transitions towards positive anomaly for Q1→Q2; however, the same strong transition towards  
419 source anomaly occurred in Q3→Q4, partly cancelling the sink effects during growing seasons.

420

## 421 **4 Discussion**

### 422 **4.1 Land carbon uptake dynamics with climate variations in northern latitudes and** 423 **seasonal transitions of land carbon uptake in 2015**

424 The two inversions consistently allocate a strong positive carbon uptake anomaly in the region of  
425 BoTeNH during spring, which persists through the summer (Q2–Q3): an extreme sink anomaly  
426 is estimated in Q2 by Jena04, but a more moderate one by CAMS (still above the 75th  
427 percentile). The strong sinks in Q2 in both inversions are dominated by temperate Northern  
428 Hemisphere regions (TeNH,  $23.5^\circ < \text{latitude} < 45^\circ\text{N}$ , Supplementary Material Fig. S8). For this  
429 region, both inversions show strong positive correlation between carbon uptake anomalies and  
430 NDVI in Q2, with an extremely high NDVI anomaly in 2015 (Supplementary Material Fig. S7f).  
431 Thus, the strong sinks in Q2 are evidently linked to the extreme greening, while temperature and  
432 precipitation anomalies were only moderate (Fig. S4f, Fig. S5f).

433

434 For Q3, an extreme carbon sink anomaly occurs in boreal Northern Hemisphere (BoNH, latitude >

435 45°N) in CAMS; however, an equally strong negative anomaly (i.e., reduced sink) was found in  
436 TeNH in the same season, leaving the whole boreal and temperate Northern Hemisphere  
437 (BoTeNH) only a moderately positive sink anomaly (Fig. S8). For TeNH alone, CAMS indicates  
438 extreme seasonal shift from a positive anomaly in Q2 to a negative one in Q3. This implies  
439 strong seasonal transitions resulting from enhanced ecosystem CO<sub>2</sub> release after growing-season  
440 uptake and the presence of seasonal coupling in land carbon dynamics. For TeNH in 2015,  
441 NDVI persisted from a high extreme in Q2 to close to normal in Q3 (Fig. S7f, Fig. S7g), and  
442 temperature remained moderate for both Q2 and Q3 (Fig. S4f, S4g), but precipitation shifted  
443 from a moderate anomaly in Q2 to an extremely low one (Fig. S5f, S5g). In summary, the shift  
444 from a high Q2 sink anomaly to a big Q3 source anomaly by CAMS might be linked to the shift  
445 in precipitation and drought in Q3, such as the prevailing drought in Europe as shown in Fig. S9  
446 (see also a detailed discussion of the European drought by Orth et al., 2016).

447

448 Jena04 inversion agrees with a higher-than-normal sink in TeNH (23.5° < latitude < 45°N)  
449 during spring (Q2). It also reports a moderate positive anomaly for Q3 in BoNH, but does not  
450 show a strong negative anomaly (i.e., reduced sink) in TeNH in Q3 as CAMS does (Fig. S8).  
451 This is possibly related to differences in the measurement station data used, to different land  
452 prior fluxes (from the ORCHIDEE model in CAMS, and the LPJ model in Jena CarboScope), or  
453 to the fact that the Jena04 inversion has a larger a-priori spatial error correlation length for its  
454 land fluxes (1275 km) than CAMS (500 km) (Chevallier et al., 2010; Rödenbeck et al., 2003).  
455 Nonetheless, both inversions consistently indicate that the enhancement of CO<sub>2</sub> uptake during  
456 spring and summer at the northern hemispheric scale was subsequently offset by an extreme  
457 source anomaly in autumn (Q4).

458

459 The large carbon source anomalies in Q4 shown by the two inversions in BoTeNH seem to be  
460 dominated by different factors in BoNH versus TeNH. In BoNH the source anomaly in 2015 is  
461 more linked to elevated temperature in Q4, which shows a significant negative correlation with  
462 carbon uptake anomalies by both inversions (Fig. S4d). In contrast, precipitation in Q4 has no  
463 correlation with carbon uptake anomalies, and precipitation in 2015 was close to the normal state  
464 (Fig. S5d). The prevailing high temperature in Q4 of 2015 is especially evident over most  
465 northern America, and central to eastern Siberia and Europe (Supplementary Fig. S9a).

466

467 In TeNH, the roles of temperature and precipitation are reversed compared to BoNH. Q4  
468 precipitation is found to have a significant negative correlation with land carbon uptake  
469 anomalies for both inversions, and Q4 in 2015 was characterized by a very high precipitation  
470 anomaly, leading to a reduced land carbon uptake (Fig. S5h). While temperature in Q4 of 2015  
471 was moderately high, no significant correlation is found between carbon uptake anomalies and  
472 temperature (Fig. S4h). However, for both BoNH and TeNH, NDVI remained moderately high  
473 in Q4 of 2015 (Fig. S7d, S7h).

474

475 The positive relationship between land carbon uptake and temperature in Q2 (spring and early  
476 summer), and a negative one for Q3 and Q4 (autumn) for BoNH, are in line with previous  
477 studies. Several studies reported an enhanced greening during spring and summer in the Northern  
478 Hemisphere (Myneni et al., 1997; Zhou et al., 2001), as driven by increasing spring and summer  
479 temperatures (Barichivich et al., 2013; Nemani et al., 2003), leading to enhanced land carbon  
480 uptake and a long-term increase in the seasonal amplitude of atmospheric CO<sub>2</sub> in northern  
481 latitudes (Forkel et al., 2016; Graven et al., 2013). However, for autumn, even though ending of  
482 growing season has been delayed because of autumn warming (Barichivich et al., 2013), land  
483 carbon uptake termination time is found to have advanced as well, due to enhanced autumn  
484 respiration (Piao et al., 2008), which ultimately reduced the annual net ecosystem carbon uptake  
485 (Hadden and Grelle, 2016; Ueyama et al., 2014). For TeNH, we also found a significant negative  
486 relationship between land carbon uptake anomalies and temperature for Q3 using the CAMS  
487 inversion data, consistent with the enhanced respiration by autumn warming found in the  
488 aforementioned studies. For Q4, however, both inversions point to decreasing land carbon  
489 uptakes with increasing precipitation. This finding might be due to enhanced respiration resulting  
490 from higher soil moisture content, but further site-scale examination is needed to confirm this  
491 hypothesis.

492

493 For BoNH and TeNH, land carbon uptake anomalies are closely coupled with NDVI anomalies  
494 for Q2 (positive correlation, albeit an insignificant one for TeNH Q2 using Jena04 data), but they  
495 are generally de-coupled for Q3 and Q4, except that for Q3 of BoNH the CAMS-based land  
496 carbon uptake shows positive correlation with NDVI. This suggests high NDVI in autumn might



497 not necessarily relate to a high land carbon uptake. There are two reasons. First, NDVI is found  
498 to correlate well with leaf-level CO<sub>2</sub> uptake for deciduous forest for different seasons, but is  
499 largely independent of leaf photosynthesis for evergreen forests (Gamon et al., 1995). Second,  
500 even though a higher NDVI is associated with larger photosynthetic capacity and a higher gross  
501 photosynthesis, autumn warming might increase ecosystem respiration more than photosynthesis,  
502 leaving a net carbon source effect. Furthermore, other studies have also pointed out that severe  
503 summer drought can negate the enhanced carbon uptake during warm springs (Angert et al.,  
504 2005; Wolf et al., 2016).

505

#### 506 **4.2 Seasonal land carbon uptake transitions in the tropics and influences of El Niño and** 507 **vegetation fire**

508

509 The strong transition to abnormal source in the tropics and extratropical Southern Hemisphere  
510 was paralleled by a marked decrease in precipitation and an increase in temperature in Q4, with  
511 the development of El Niño in Q2–Q3 (Supplementary Material Fig. S4l, S5l, S10). Here El  
512 Niño development is indicated by the rise of the MEI and Oceanic Niño Index (ONI,  
513 [http://www.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_change.shtml](http://www.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_change.shtml)). This  
514 strong transition is consistent with the expected response of tropical and sub-tropical southern  
515 ecosystems during previous El Niño events (Ahlström et al., 2015; Cox et al., 2013; Poulter et  
516 al., 2014; Wang et al., 2013, 2014). The small abnormal source in Q1 in TroSH is consistent with  
517 a low precipitation anomaly. While temperature anomalies are abnormally high in Q2 and Q3,  
518 accompanied by extremely negative precipitation anomalies, the extremely low carbon flux in  
519 Q4 is largely explained by temperature, because correlations between land carbon uptake and  
520 precipitation in Q4 are very weak (Fig. S4i–l, Fig. S5i–l). Vegetation greenness has significant  
521 positive correlation with land carbon uptake anomalies only for Q1 in the tropics, and for the rest  
522 of the three seasons the correlation is very weak (Fig. S7i–l).

523

524 Compared with the 1997–98 El Niño, which had a slightly larger MEI value, the 2015 El Niño  
525 started much earlier, with positive MEI and ONI appearing during the first half of 2014. Since  
526 then and until Q3 and Q4 in 2015 when El Niño began to reach its peak, the tropics and Southern  
527 Hemisphere saw continuous higher-than-normal temperatures, with continually decreasing

528 precipitation and accumulating deficit in soil water content (Supplementary Material Fig. S10).  
529 From Q3 to Q4, a steep decline is further observed in both precipitation and soil moisture with  
530 stagnating high temperature anomaly, which is probably a major cause of the strong shift  
531 towards a carbon source anomaly. The CAMS inversion shows a carbon source anomaly in Q4  
532 of 2015 slightly smaller than that in Q3 of 1997, while the Jena04 inversion shows almost equal  
533 magnitudes of loss in land sink strength between these two extreme El Niño events. On the one  
534 hand, El Niño in late 2015 started early and built upon the cumulative effects of the drought  
535 since the beginning of the year; it thus came with larger negative anomaly in precipitation and  
536 soil water content than the 1997–98 El Niño. This sequence of events might favour a stronger  
537 land carbon source. On the other hand, the fire emission anomaly in the tropics in 2015 was less  
538 than half of that in 1997 at the peak of El Niño (Fig. S10); this might have contributed to a  
539 smaller land source anomaly in 2015 than in 1997–98.

540

541 El Niño events are usually associated with increased vegetation fires, which have a large impact  
542 on the global carbon cycle (van der Werf et al., 2004). Global fire emissions of carbon reached  
543 3.0 and 2.9 Pg C in 1997 and 1998 according to the GFED4s data. These two years produced the  
544 largest source of fire-emitted carbon for the entire period 1997–2015. In comparison, global fire  
545 emissions in 2015 reached 2.3 Pg C, close to the 1997–2015 average (2.2 Pg C yr<sup>-1</sup>) but ~25%  
546 lower than 1997–98 — the difference mainly occurring in the southern tropics (0–23.5°S, Fig.  
547 S10). In particular, carbon emissions from deforestation and peat fires were two times lower in  
548 2015 (0.6 Pg C) compared with 1997 (1.2 Pg C) (GFED4s data). Emissions from these fire types  
549 are more likely to be a net carbon source, because they cannot be compensated by vegetation  
550 regrowth within a short time. Fire emission data thus suggest a smaller contribution from fires to  
551 AGR in 2015 than 1997–98.

552

553 There has been a long debate on whether tropical vegetation shows enhanced greenness as  
554 indicated by vegetation indices (i.e., NDVI and enhanced vegetation index or EVI) during dry  
555 seasons or drought periods in tropical forest (Bi et al., 2015; Huete et al., 2006; Morton et al.,  
556 2014; Saleska et al., 2007; Samanta et al., 2010; Xu et al., 2011), and whether there is an  
557 accompanying decrease in long-term vegetation productivity associated with droughts (Medlyn,  
558 2011; Samanta et al., 2011; Zhao and Running, 2010). Some studies show enhanced green-up in

559 Amazonian forest during dry seasons mainly due to the release of radiation control on vegetation  
560 activities (Bi et al., 2015; Huete et al., 2006; Myneni et al., 2007), while Morton et al. (2014)  
561 argued that if errors of satellite observation angle are corrected, no increase in EVI can be  
562 observed during dry seasons. Saleska et al. (2007) observed greener response of Amazonian  
563 forest during a severe drought event, whereas Samanta et al. (2010) argued such observed green-  
564 up is an artefact of atmosphere-corrupted data and the properly processed satellite observations  
565 reveal browner Amazonian forests during the severe drought event. Subsequent studies by Bi et  
566 al. (2016) and Xu et al. (2011) confirm the satellite-observed negative impacts of the drought  
567 events.

568

569 While long-term forest plot data demonstrated consistent negative effect of droughts on tropical  
570 carbon uptake mainly through enhanced tree mortality (Lewis et al., 2011; Phillips et al., 2009),  
571 short-time site observations failed to reveal immediate reduction in forest net primary  
572 productivity (Doughty et al., 2015) during drought, or reported even increased gross  
573 photosynthesis or photosynthetic capacity when entering dry season (Huete et al., 2006; Wu et  
574 al., 2016). Further, a large mortality event for trees will cause a legacy source over several years  
575 rather than a rapid release of CO<sub>2</sub> to the atmosphere during the year when trees died. Therefore,  
576 it remains challenging to reconcile immediate carbon uptake reduction on the occurrence of  
577 drought in tropical ecosystems as diagnosed from atmospheric inversions (Gatti et al., 2014) and  
578 the aforementioned findings from forest plot data. In dynamic global vegetation models  
579 (DGVMs), the interannual variations of simulated land carbon sink are dominated by those in net  
580 primary production (Wang et al., 2016), which contradicts the site-level observations by  
581 Doughty et al. (2015).

582

583 Both Wang et al. (2013) and Wang et al. (2014) found a higher correlation coefficient between  
584 interannual variability in tropical land carbon fluxes (as inferred from interannual variations in  
585 AGR) with that in temperature than in precipitation, which is confirmed by our analysis of  
586 inversion-based tropical land flux anomalies with climate variations (Fig. 4). However, forest  
587 plot observations point to the prevailing drought as the dominant factor reducing forest carbon  
588 storage (Phillips et al., 2009). The need thus remains to reconcile the findings of temperature  
589 dominance at large spatial scale and precipitation/moisture dominance at fine scale. Recently,

590 Jung et al. (2017) suggested that the dominant role of soil moisture over land carbon flux  
591 anomalies shifts to temperature when the scale of spatial aggregation increases, due to the  
592 compensatory water effects in the process of spatial upscaling. We also find that for all seasons  
593 except Q3, inversion-based land carbon uptake anomalies in the tropics and southern extratropics  
594 are positively correlated with soil water content (data not shown), with 2015 having an extreme  
595 low soil water content anomaly in Q4, echoing the extreme high temperature anomaly shown in  
596 Fig. S4l. This might indicate that temperature impacts the land carbon uptake mainly by  
597 increasing evaporative demand and decreasing soil water content.

598

### 599 **4.3 Data uncertainties and perspective**

600 On the global and hemispheric scales, the inversion-derived land- and ocean-atmosphere fluxes  
601 are well constrained by the observed atmospheric CO<sub>2</sub> growth rates at measurement sites.  
602 However, because the observational network is heterogeneous and sites are sparsely distributed  
603 (Supplementary Material Fig. S11), land CO<sub>2</sub> fluxes cannot be resolved precisely over each grid  
604 cell (Kaminski et al., 2001) and some regions are better constrained than others. This could  
605 hinder the precise pixel-scale matching between gridded CO<sub>2</sub> flux maps and climate states or the  
606 occurrence of climate extremes to investigate how climate extremes have affected carbon fluxes.  
607 Although we have identified that carbon uptake transitions for some regions and seasons might  
608 be related to certain climate extremes (e.g., the role of precipitation in TeNH of Q4 shown in Fig.  
609 S5h), in general exact attribution of these transitions into different climate drivers could be  
610 elusive. Further, a few other uncertainties matter for the specific objective of this study. First, the  
611 atmospheric network increased over time, so that the inversions have a better ability to detect and  
612 quantify a sharp transition in CO<sub>2</sub> fluxes occurring in the last than in the first decade of the  
613 period analysed. This might hide the detection of other more extreme end-of-year carbon  
614 transitions during early years of our target period (1981-2015). Second, because measurements  
615 for early 2016 are not used in the CAMS inversion and are not completely available in the Jena  
616 inversion, the constraining of the last season in 2015 is weaker than for the other three seasons.  
617 This could influence estimating the exact magnitude of the extreme Q4 negative anomaly in land  
618 carbon uptake in 2015. Third, the sparse network of sites in boreal Eurasia and the tropics might  
619 diminish the ability of inversion systems to robustly allocation carbon fluxes spatially, which  
620 could yield high uncertainty in the carbon fluxes diagnosed for these regions (van der Laan-

621 Luijkx et al., 2015; Stephens et al., 2007).

622

623 Despite these uncertainties, the strong transition of CO<sub>2</sub> fluxes from Q3 to Q4 is the largest ever  
624 found in the inversion records analysed here. Although 2015 shows extreme greening in the  
625 Northern Hemisphere, this strong greenness has been only translated into a moderate annual  
626 carbon sink anomaly in 2015, because vegetation greenness and land uptake anomalies are  
627 largely decoupled outside the growing season. The strong transition to a carbon source in TeNH  
628 in Q4 is consistent with the high precipitation that might have led to a large increase in  
629 respiration loss.

630

631 In the tropics, the transition to a strong source in TroSH in Q4 is congruent with the expected  
632 response of ecosystems to the peak of an El Niño event. However, given the ambiguous findings  
633 regarding changes in vegetation greenness during dry seasons or drought periods by previous  
634 studies (Saleska et al., 2007; Xu et al., 2011), and the uncertain roles of climate variations in  
635 driving the regional land carbon balance, more work is needed to reveal how these processes  
636 have evolved during opposing ENSO phases (i.e., the cold phase of La Niña versus the warm  
637 phase of El Niño). Furthermore, large-scale spatial observation-based analysis is hampered by  
638 the scarcity of sites measuring atmospheric concentrations or land-atmosphere fluxes with the  
639 eddy covariance method (Tramontana et al., 2016). For the boreal and temperate Northern  
640 Hemisphere, further investigation is still needed to verify whether a coupling between strong  
641 spring/summer uptake and autumn release is something intrinsic to natural ecosystems, or if  
642 strong transitions to autumn release are triggered by some particular extreme climate shifts. More  
643 detailed mechanisms can be explored by using long-term simultaneous observations of  
644 vegetation greenness and eddy covariance measurements of land-atmosphere fluxes combined  
645 with dynamic vegetation models. Here, our results point to the need to better understand the  
646 drivers of carbon dynamics at seasonal, or even shorter time scales at the regional to global level,  
647 especially the link between such dynamics and climate extremes. Such understanding would help  
648 us make better predictions of the response of the carbon cycle to multiple long-term drivers such  
649 as atmospheric CO<sub>2</sub> growth and climate change.

650

651 **5 Conclusions**

652 We investigated the links among vegetation greenness, interannual land carbon flux variations  
653 and climate variations for 1981–2015 using inversion-based land carbon flux data sets.  
654 Consistent positive correlations between satellite-derived vegetation greenness and land carbon  
655 uptakes are found for the Northern Hemisphere during the growing season, but outside the  
656 growing season, vegetation greenness and land carbon uptake are largely decoupled. Carbon  
657 uptake in the boreal Northern Hemisphere ( $>45^{\circ}\text{N}$ ) is more consistently associated with  
658 temperature than precipitation, although such a pattern is less evident for the temperate Northern  
659 Hemisphere ( $23.5\text{--}45^{\circ}\text{N}$ ). Consistent with previous studies, we found a strong negative impact  
660 by temperature in the land carbon uptakes in the tropics and Southern Hemisphere, probably  
661 driven by the role of temperature in soil water content that tends to induce drought conditions.

662  
663 We put an emphasis on the seasonal dynamics of land carbon uptake in 2015. We found that  
664 northern lands started with a higher-than-normal sink for the northern growing season, consistent  
665 with enhanced vegetation greenness partly owing to elevated warming. However, this enhanced  
666 sink was in part balanced by the carbon release in the autumn and winter, associated with  
667 extremely high precipitation in Q4 in the temperate Northern Hemisphere ( $23.5\text{--}45^{\circ}\text{N}$ ). Our  
668 results emphasized the important role of the coupling between seasonal carbon dynamics in the  
669 annual net carbon balance of the land ecosystem. More research is needed on whether such a  
670 coupling, between enhanced spring and summer sink and reduced autumn uptake, is something  
671 intrinsic in northern ecosystems, and on the frequency and extent of its occurrence. The  
672 dominance of temperature in the boreal Northern Hemisphere ( $>45^{\circ}\text{N}$ ) and soil moisture in the  
673 temperate Northern Hemisphere ( $23\text{--}45^{\circ}\text{N}$ ) in their autumn carbon loss implies that future  
674 autumn temperature and precipitation change could have important consequences for the annual  
675 carbon balance of these regions. Hence, although continuing vegetation greening is projected  
676 mainly thanks to  $\text{CO}_2$  fertilization (Zhu et al., 2016), such greening might not translate into  
677 enhanced land carbon uptake.

678  
679 For the tropics and Southern Hemisphere, a strong transition was found towards a large carbon  
680 source for the last quarter of 2015, concomitant with the peak of El Niño development. This  
681 strong transition of terrestrial  $\text{CO}_2$  fluxes in the last season is the largest in the inversion records  
682 since 1981, even though annual fire emissions were  $\sim 25\%$  lower than during El Niño of 1997–

683 98. However, site-scale studies on tropical forest growth so far focusing on drought impacts  
684 cannot provide an adequate explanation of such strong transitions. It is unclear how the  
685 individual fluxes (gross primary production, net primary production, heterotrophic respiration)  
686 that make up the land sink have responded to drought conditions. Our results point to the  
687 possibility that, with more frequent extreme El Niño events being projected in the future, such  
688 strong seasonal transitions in land carbon uptake might become more frequent and they can have  
689 substantial impact on the capacity of land ecosystems to sequester carbon.

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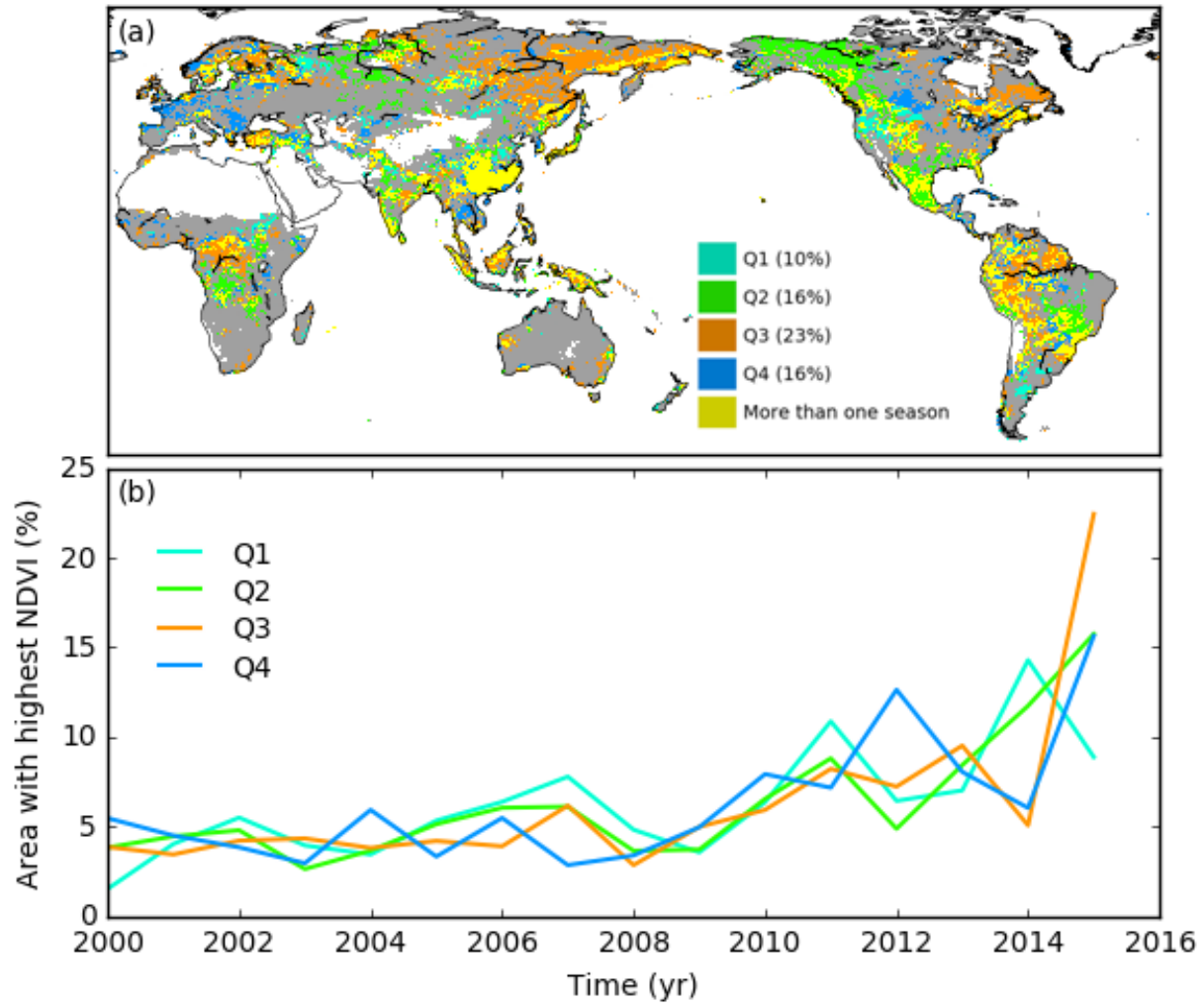
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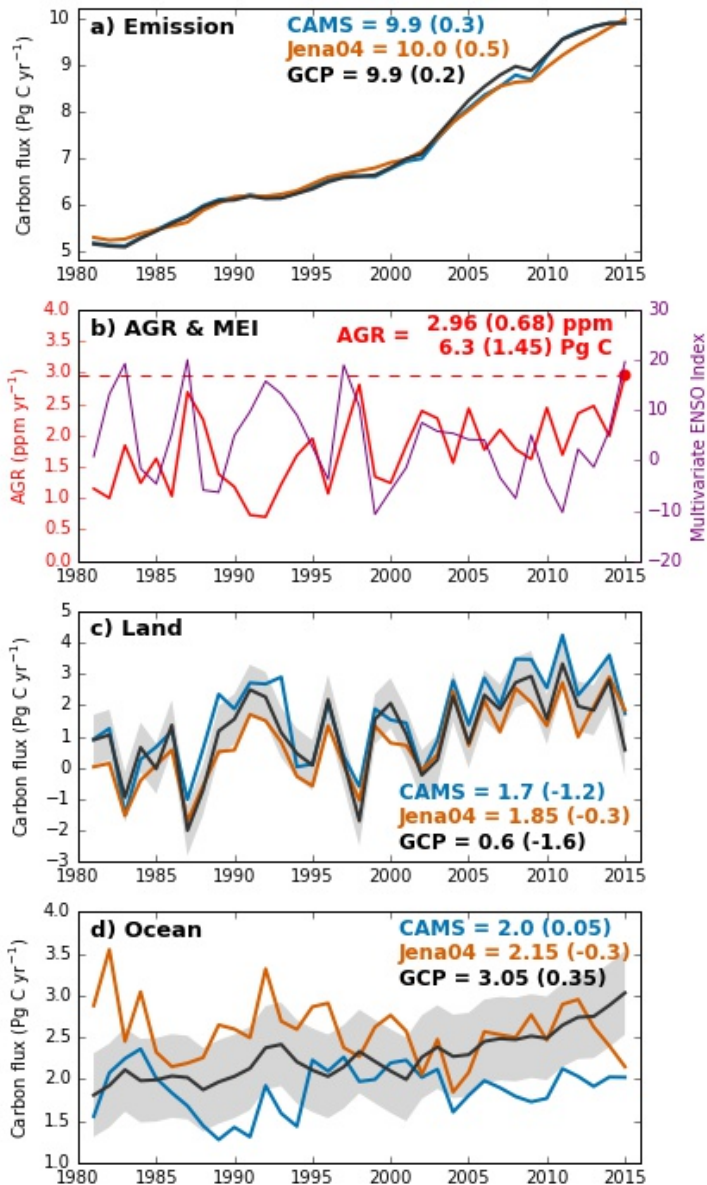
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## 988 **Author contributions**

989 P.C., F.C., C.Y. and A.B. conceived the study. C.Y. performed the analysis and made the first  
990 draft. F.C. and C.R. provided the inversion data. T. P. provided the NDVI data. All authors  
991 contributed to the interpretation of the results and writing of the paper.



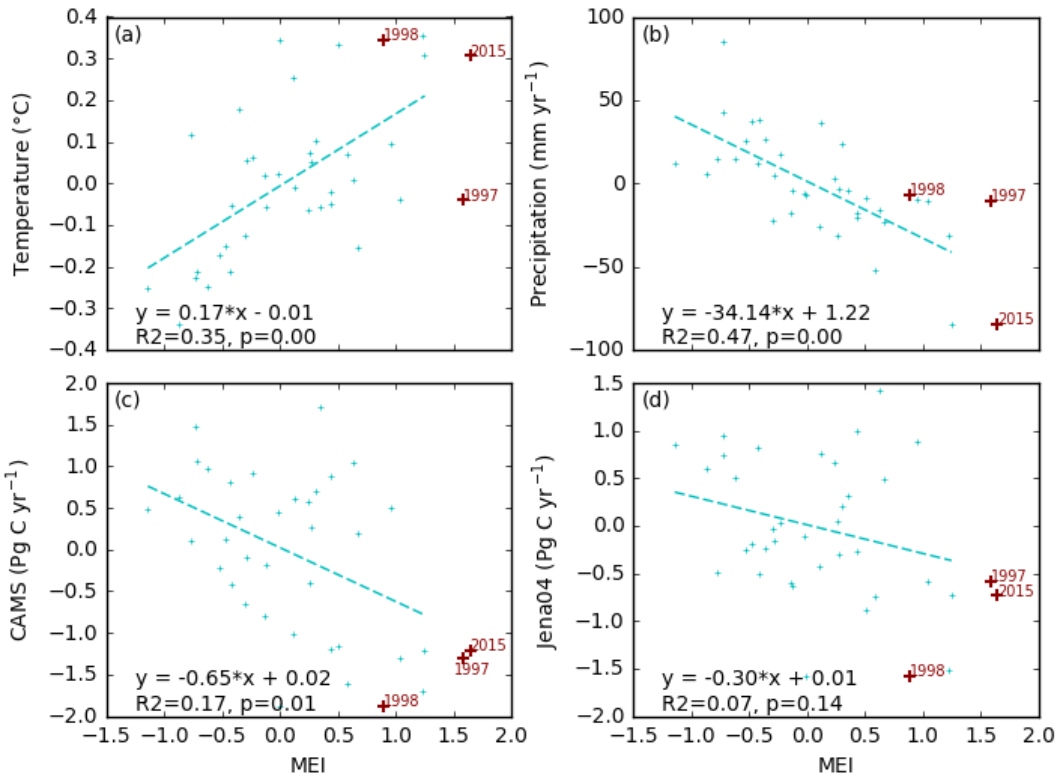
992  
 993 **Figure 1** Year 2015 as the greenest year over the period 2000-2015. (a) Distribution of seasons  
 994 for which 2015 NDVI ranks the highest during the period 2000-2015. Yellow-coloured pixels  
 995 indicate grid cells where 2015 NDVI ranks highest for more than one season. For each season,  
 996 the fraction of global vegetated land area for which 2015 NDVI ranks highest is shown in the  
 997 inset colour scale. (b) Temporal evolution of the percentage of vegetated land with highest NDVI  
 998 over 2000-2015 for each season and different years. The sum total of vertical-axis values for  
 999 each season over all years is 100%. Q1 = January–March; Q2 = April–June; Q3 = July–  
 1000 September; Q4 = October–December.



1001  
 1002 **Figure 2** Global carbon fluxes and atmospheric CO<sub>2</sub> growth rates for 1981–2015. (a) Carbon  
 1003 emissions from fossil fuel and industry used in the CAMS (blue) and Jena04 (orange) inversions,  
 1004 (b) annual atmospheric CO<sub>2</sub> growth rate (AGR, in red) from NOAA/ESRL linked to Multivariate  
 1005 ENSO Index (in purple), and (c) land and (d) ocean carbon sinks for 1981–2015. Emissions, land  
 1006 and ocean carbon sinks from the Global Carbon Project (GCP, in black) are also shown for  
 1007 comparison. In subplots (c) and (d), a carbon flux of 0.45 Pg C yr<sup>-1</sup> was used to correct  
 1008 inversion-derived land and ocean sinks to account for pre-industrial land-to-ocean carbon flux as  
 1009 in Le Quéré et al. (2016). All numbers indicate values in 2015 (Pg C yr<sup>-1</sup>, rounded to ±0.05 Pg C  
 1010 yr<sup>-1</sup>), with those in brackets showing linearly de-trended anomalies for the same year.



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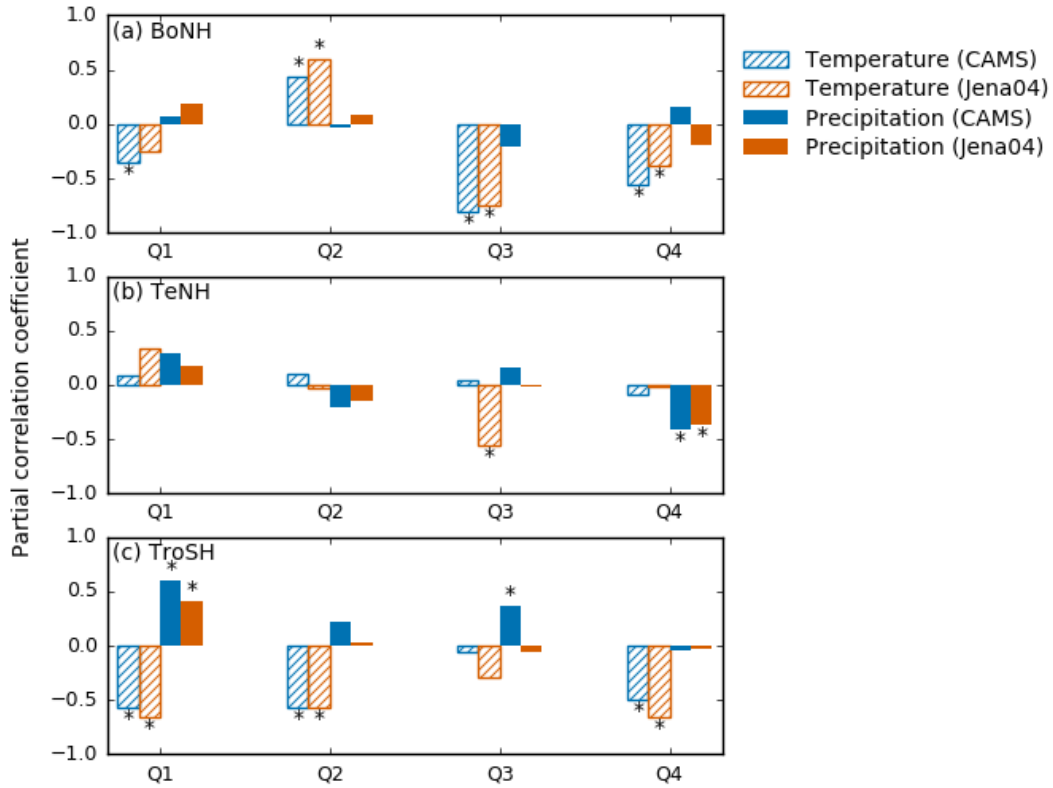
1013 **Figure 3** Relationships between anomalies of (a) land air temperature, (b) land precipitation, (c)

1014 land carbon fluxes by the CAMS inversion, (d) land carbon fluxes by the Jena04 inversion, and

1015 the Multivariate ENSO Index (MEI). All variables are linearly de-trended over 1981–2015.

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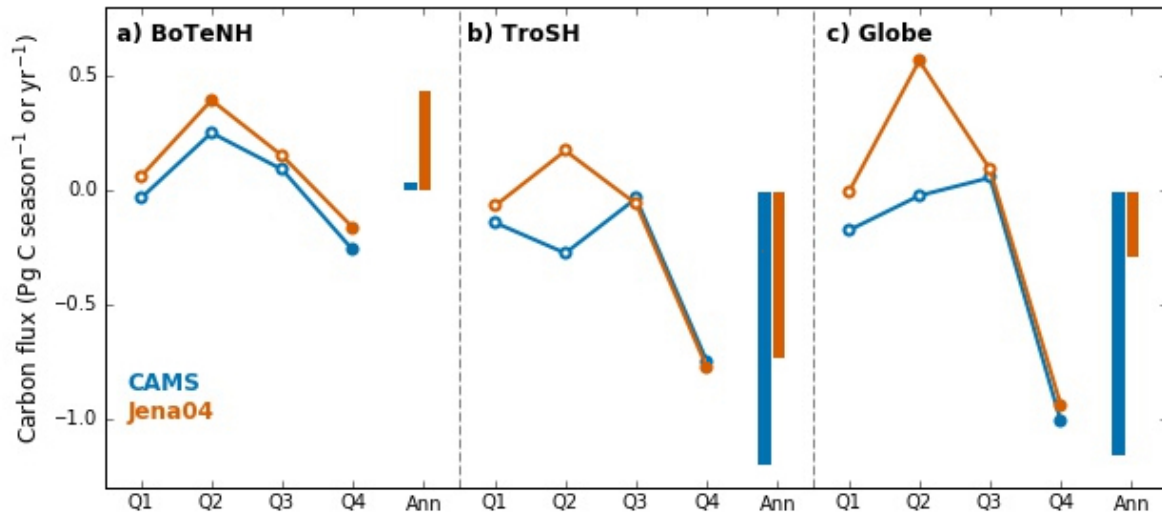
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1020 **Figure 4** Partial correlation coefficients of de-trended annual anomalies of land carbon fluxes by  
1021 CAMS and Jena04 inversions against the anomalies in temperature and precipitation of different  
1022 seasons. n = 34. An asterisk indicates significant correlation (p<0.05).

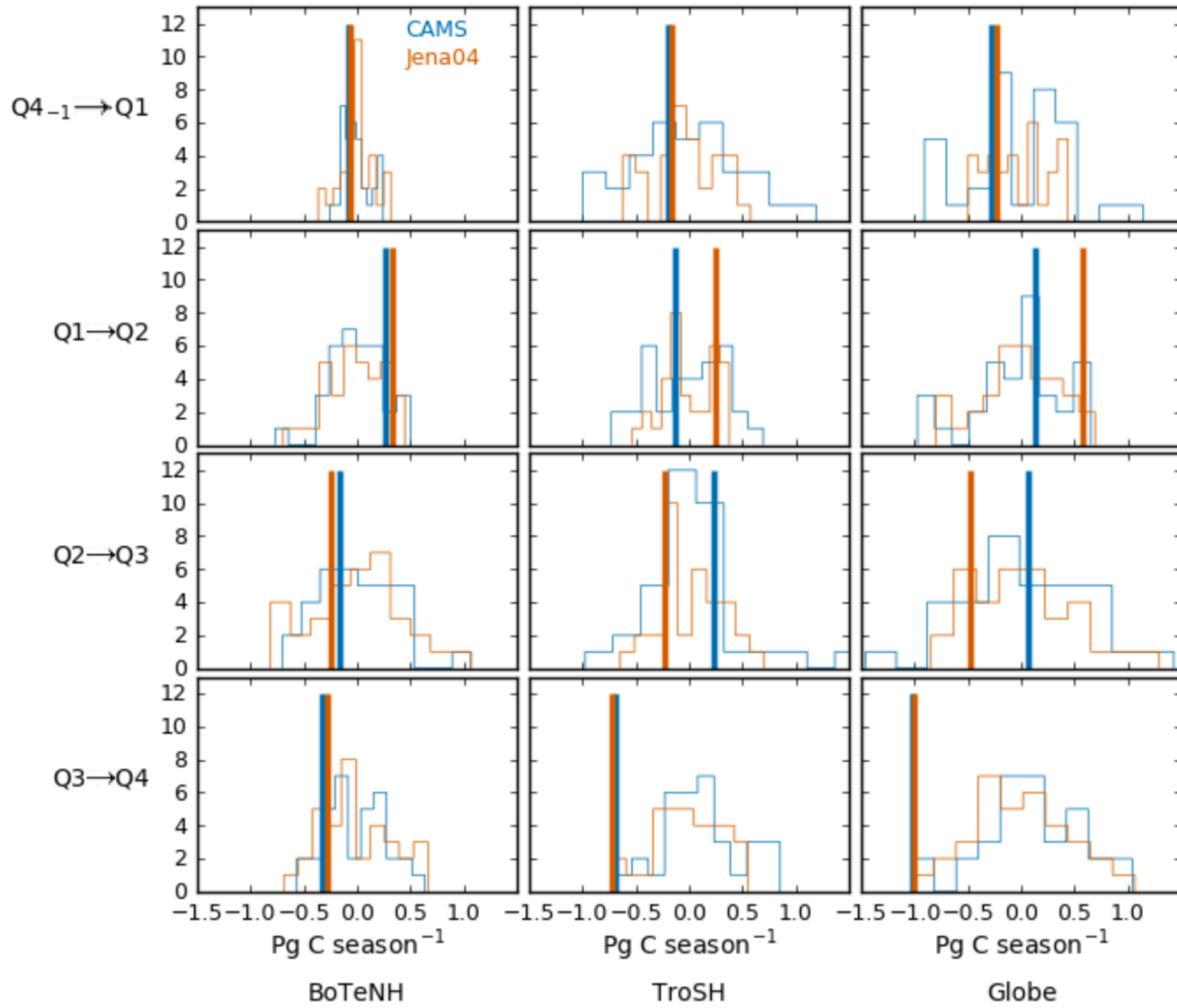


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**Figure 5** Seasonal land carbon uptake anomalies in 2015. Data are linearly de-trended over 1981-2015 for different seasons in 2015, by CAMS (blue) and Jena04 (orange) inversion data. Open or solid dots indicate seasonal values ( $\text{Pg C season}^{-1}$ ) and vertical bars indicate annual sum ( $\text{Pg C yr}^{-1}$ ). Data are shown for: (a) boreal and temperate Northern Hemisphere (BoTeNH,  $> 23.5^\circ\text{N}$ ), (b) tropics and extratropical Southern Hemisphere (TroSH,  $< 23.5^\circ\text{N}$ ) and (c) the whole globe. Solid dots indicate seasonal land carbon uptake anomalies below 10th or above 90th percentiles over 1981-2015.

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1032  
 1033 **Figure 6** Extremeness of transitions in seasonal land carbon uptake anomaly in 2015. Lines of  
 1034 histograms for seasonal land carbon uptake transitions over 1981-2015 are shown for boreal and  
 1035 temperate Northern Hemisphere (BoTeNH, latitude > 23.5°N), tropics and extratropical Southern  
 1036 Hemisphere (TroSH, latitude < 23.5°N) and the whole globe. Transition between two  
 1037 consecutive seasons is defined as the linearly de-trended land carbon uptake anomaly in a given  
 1038 season minus that in the former one. Horizontal-axis shows the seasonal transitions in land  
 1039 carbon uptake anomalies ( $\text{Pg C season}^{-1}$ ). Vertical orange solid lines indicate values for 2015.  
 1040