

# Formation of highly oxygenated organic molecules from aromatic compounds.

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## Abstract

10 Anthropogenic volatile organic compounds (AVOC) often dominate the urban atmosphere and consist to a large degree of aromatic hydrocarbons (ArHC), such as benzene, toluene, xylenes, and trimethylbenzenes, e.g. from handling and combustion of fuels. These compounds are important precursors for the formation of secondary organic aerosol. Here we show that oxidation of aromatics with OH leads to a subsequent autoxidation chain reaction forming highly oxygenated molecules (HOMs) with an O:C ratio of up to 1.09. This is exemplified for five single-ring ArHC (benzene, toluene, o-/m-/p-  
15 xylene, mesitylene (1,3,5-trimethylbenzene) and ethylbenzene), as well as two conjugated polycyclic ArHC (naphthalene and biphenyl). We report the elemental composition of the HOMs and show the differences in the oxidation patterns of these ArHCs. A potential pathway for the formation of these HOMs from aromatics is presented and discussed. We hypothesize that AVOC may contribute substantially to new particle formation events that have been detected in urban areas.

## 1 Introduction

20 Volatile organic compounds (VOCs) from biogenic and anthropogenic sources are the precursors of atmospheric oxidation products in the gas and particle phase. While global biogenic VOC (BVOC) emissions are a factor of 10 higher than the emissions of anthropogenic VOCs (AVOCs), the latter often dominate in the urban atmosphere (Atkinson and Arey, 2003). It was shown recently that atmospheric oxidation products of BVOCs, such as the monoterpene alpha-pinene, include highly oxygenated molecules (HOMs) through an autoxidation mechanism (Crounse et al., 2012, 2013; Ehn et al., 2014) The first  
25 step is a reaction of either OH free radicals or ozone with the VOC. After addition of O<sub>2</sub> to the carbon-centered radical site the RO<sub>2</sub>· radical can isomerize by intra-molecular hydrogen abstraction to form a new carbon-centered radical (QOOH) (Crounse et al., 2012, 2013; Ehn et al., 2014). Further O<sub>2</sub>-addition/isomerization sequences result in HOMs bearing several hydroperoxy groups. This autoxidation mechanism is supported by various experimental studies which used biogenic precursors, i.e. monoterpenes, sesquiterpenes, isoprene, and structural surrogates of these and computer simulations (Berndt  
30 et al., 2015; Jokinen et al., 2014, 2015; Kurtén et al., 2015; Mentel et al., 2015; Praplan et al., 2015; Richters et al., 2016;

Rissanen et al., 2014, 2015). HOMs of those compounds were found to initiate new particle formation and substantially contribute to early particle growth, which is important for the survival of newly formed particles and their ability to form cloud condensation nuclei, CCN (Bianchi et al., 2016; Kirkby et al., 2016; Tröstl et al., 2016). CCN can impact climate via their influence on cloud properties; this changes the radiation balance nowadays and did even more so in the pre-industrial period (Carslaw et al., 2013; Gordon et al., 2016).

AVOCs are comprised of a high fraction of aromatic hydrocarbons (ArHC), such as benzene, toluene, xylenes, and trimethylbenzenes, which are released from handling and combustion of fuels (Atkinson and Arey, 2003), and are important precursors for the formation of secondary organic aerosol (SOA) (Bruns et al., 2016; Li et al., 2016; Metzger et al., 2010). The OH radical is the preponderant atmospheric oxidant for ArHC except for phenols or substituted ArHC with non-aromatic double bonds where ozone and the NO<sub>3</sub> radical play a relevant role (Calvert et al., 2002). The addition of the OH radical to the aromatic ring results in the formation of a hydroxycyclohexadienyl-type radical (Bohn, 2001; Molina et al., 1999). Under atmospheric conditions this reacts with O<sub>2</sub> to yield peroxy radicals or phenolic compounds (Calvert et al., 2002; Glowacki and Pilling, 2010; Suh et al., 2003). When aromaticity is lost by OH-addition, non-aromatic double bonds are formed representing highly reactive products to more oxidants, which is a peculiar behaviour not observed in other classes of VOC (Calvert et al., 2002). This behaviour makes the investigation of ArHC oxidation more complex.

Here we show the formation of HOMs from various ArHCs upon reaction with OH radicals. A similar conclusion was reported nearly simultaneously by Wang et al. (2017), while our paper was in review. We present product distributions of HOMs in terms of molecular masses and molecular formulas for a series of aromatic precursors based on measurements with a nitrate chemical ionization atmospheric pressure interface time of flight mass spectrometer (CI-APi-TOF) (Ehn et al., 2014; Jokinen et al., 2012; Kürten et al., 2011). A potential pathway along with a possible mechanism for the formation of HOMs from aromatic compounds is discussed.

## 2 Experimental section

### 2.1 Flow tube

Five single-ring ArHCs: benzene (Merck,  $\geq 99.7\%$ ), toluene (VWR Chemicals,  $\geq 99.6\%$ ), a mixture of o-/m-/p-xylene isomers (Merck, 96%), mesitylene (1,3,5-trimethylbenzene) (Fluka,  $\sim 99\%$ ), ethylbenzene (Fluka,  $> 99\%$ ), as well as two polycyclic ArHCs naphthalene (Fluka,  $\geq 99\%$ , solid) and biphenyl (Sigma-Aldrich,  $\geq 98\%$ , solid) were investigated in a flow tube (Table 1). The experimental set-up is shown in Figure 1. Zero air from a pure air generator (Aadco Instruments, Inc., Cleves OH, USA) was used. A 104-cm long Pyrex glass tube of 7.4 cm diameter described previously (Pratte and Rossi, 2006) was used as a flow tube. Vapors of the aromatic compounds were generated from a glass vial, and collected by a stream of zero air ( $1.1 \text{ L min}^{-1}$ ) via a glass capillary for liquid compounds (and from a flask flushed with the same stream of zero air for solid compounds). To generate OH free radicals, zero air ( $7 \text{ L min}^{-1}$ ) was passed through a Nafion humidifier (Perma Pure) fed with ultra-pure water, and was then irradiated by an excimer lamp at 172 nm (7.2 eV) (Kogelschatz, 1990,

2012; Salvermoser et al., 2008). The Xe excimer lamp consists of a tubular quartz cell which surrounds a quartz flow tube (outer diameter 10 mm) (Bartels-Rausch et al., 2011). This light photolyses  $O_2$  and  $H_2O$  leading to the formation of OH and  $HO_2$  radicals (see Supplement Section 1). Subsequently, the air stream with the OH free radicals was combined at an angle of 90 degrees with the reagent flow containing the aromatic vapors before entering the flow tube, initiating the oxidation reaction. This experimental set-up avoids any potential bias due to exposure of ArHC vapors to UV radiation (Jain et al., 2012; Peng et al., 2016). This mixture (total  $8.1 \text{ L min}^{-1}$ ) was injected into a laminar sheath flow of  $6.7 \text{ L min}^{-1}$  zero air at the inlet of the flow tube. The residence time in the flow tube was 20 sec. All experiments were performed at  $25^\circ \text{ C}$ . A description of the chemical reactions involved in the excimer lamp OH radical production and a flow tube kinetic model for the mesitylene oxidation are given in Supplement Section 1.

## 2.2 Instruments

The concentrations of the ArHC precursors and D9-butanol as an OH tracer were measured at the exit of the flow tube with a proton-transfer-reaction time of flight mass spectrometer (PTR-TOF-MS) (Jordan et al., 2009) when the excimer lamp to generate OH free radicals was switched off and on. A nitrate chemical ionization atmospheric pressure interface time of flight mass spectrometer (CI-APi-TOF) (Ehn et al., 2014; Jokinen et al., 2012; Kürten et al., 2011) measured the chemical composition of the HOMs that were formed via OH free radical oxidation of the aromatics. HOMs were detected either through acid-base reaction or adduct formation with a nitrate ion according to the scheme:



80 Trifluoroacetic acid (monomer and dimer) was detected as major contaminant in the CI-APi-TOF spectra. We identified the Nafion humidifier membrane as the source of fluorinated organic compounds.

HOMs yields are calculated as the ratio of HOMs measured to ArHC reacted. HOMs were quantified using the calibration factor for sulfuric acid and assuming the same charging efficiency for HOMs (Ehn et al., 2014; Kirkby et al., 2016). From the decrease of the precursor concentration (lights off versus lights on) of D9-butanol, toluene, mesitylene and biphenyl we determined the fraction of reacted precursor. With a kinetic reaction model we then determined the initial OH concentration to be around  $8.5 \cdot 10^{11} \text{ cm}^{-3}$  (Supplement Section 1). For the other precursors we assumed the same OH production of the lamp to calculate the fraction of reacted precursors (Table 1). Ozone, produced in the excimer irradiated region as a side product of the OH generation, was measured to be about 140 ppbv at the exit of the flow tube. It does not react with aromatic compounds. Even though some OH-oxidation products will contain a  $C=C$  double bond, the slow reaction rate of these with ozone is not expected to form significant amounts of products via this route.

### 3 Results and discussion

#### 3.1 Comparison of HOMs from different ArHC

The oxidation products of the OH reaction with each of the five single-ring and two polycyclic ArHCs were measured at the exit of the tube using the CI-API-TOF. Table 1 lists the initial concentration and the reaction rate constant of them with the OH radical, the reacted fraction (%), the HOMs concentration and the HOMs yield (%) calculated based on the reacted precursor. All investigated compounds yielded HOMs in a range between 0.1 and 2.5 % of the reacted ArHC. They were detected either as adducts with a nitrate ion ( $\text{NO}_3^-$ ) or as deprotonated ions. Supplement Section 2 presents HOMs peak lists for all the ArHC compounds: for each compound we report the largest  $n$  peaks that sum up to 80% of the total detected signal of HOMs. Figure 2 displays the mass spectra obtained from the monocyclic aromatics. In the mass-to-charge ( $m/z$ ) range 130 – 365 thomson (Th; 1 Th = 1 Da  $e^{-1}$ , where  $e$  is the elementary charge), the oxidation products contain the carbon skeleton of the precursor (monomer region), while in the  $m/z$  range 285 – 540 Th the number of carbon atoms is doubled (dimer region). The lower end of the peak sequence (which for the benzene experiment corresponds to the oxidation product with formula  $\text{C}_6\text{H}_6\text{O}_5(\text{NO}_3^-)$ ) is shifted by differences of 14 Th ( $\text{CH}_2$ ) each from benzene via toluene and xylene/ethylbenzene to mesitylene due to the additional substituent groups. In general, a series of peaks with a mass difference of two oxygen atoms can be seen in the monomer as well as the dimer region. At each oxygen addition a few peaks are observed because oxidation compounds with the same carbon and oxygen number but different hydrogen number were observed. These peaks can be attributed to closed shell or radical compounds based on the number of hydrogen (even or odd).

HOMs from naphthalene and biphenyl are presented in Figure 3. Monomers, dimers, trimers, and tetramers are observed, and even pentamers for biphenyl. While some of the dimers may have been formed by  $\text{RO}_2^\cdot - \text{RO}_2^\cdot$  reactions, most of the higher  $n$ -mers are probably bonded by intermolecular interactions, similar to biogenic HOMs (Donahue et al., 2013). Clusters with  $m/z \geq 800$  Th might already be detected by particle counters with a mobility diameter  $d \geq 1.5$  nm (Kulmala et al., 2013).

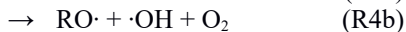
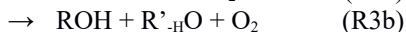
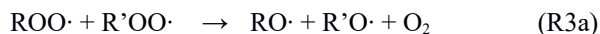
In Table 2 we summarize the general features of the peak distribution of monomers, dimers and  $n$ -mers, as well their O:C ratios. The values given in the table cannot be considered to be absolute values, since we do not know the transmission function of the mass spectrometer. Thus, the dimer/monomer ratio might be different. However, since the mass-dependent ion transmission efficiency is rather smooth the given values may faithfully represent the relative product distribution of the different aromatic compounds. However, the given values may be a good proxy of the relative behaviour of the product distribution of the different aromatic compounds. Most of the identified peaks (77-94%) were detected as adduct with  $\text{NO}_3^-$ . The integrated signal intensity in the monomer region makes up 61 to 80% of the total detected ArHC products signal for the monocyclic ArHCs and 34-52% for the double-ring compounds. A further analysis of HOMs from monocyclic ArHC shows an increase in the dimer fraction which coincides with an increase in the methyl/ethyl substituents as follows: benzene (20%), toluene (29%), ethylbenzene (31%), xylene (35%), mesitylene (39%). This indicates that the branching ratio of  $\text{RO}_2^\cdot + \text{RO}_2^\cdot$  to dimer (R3c) compared to the other reaction channels (R3a,b) is higher for the more substituted aromatics. This is

based on the assumption that the lamp produces similar concentrations of OH and HO<sub>2</sub> radicals and that the reaction rate coefficients  $k(\text{RO}_2^\cdot + \text{HO}_2^\cdot)$  (R4a) are similar for all RO<sub>2</sub><sup>·</sup>. Monomers as well as dimers are highly oxygenated, even though the molecular oxygen-to-carbon (O:C) ratio is 20-30% higher for the monomers compared to the dimers. Single-ring ArHC monomers have on average an O:C ratio of 0.94 (0.50 for the double-ring ArHC) while dimers that were generated from monocyclic ArHC have on average an O:C ratio of 0.67 (0.32 for the double-ring ArHC). This may be due to the dimer formation mechanism itself, which is thought to be the formation of a peroxide C-O-O-C bond which involves elimination of molecular oxygen (Mentel et al., 2015; Wallington et al., 1992). Additionally, more oxygenated radicals have a higher probability to undergo an unimolecular termination compared to a radical-radical recombination (RO<sub>2</sub><sup>·</sup> + RO<sub>2</sub><sup>·</sup> or RO<sub>2</sub><sup>·</sup> + HO<sub>2</sub><sup>·</sup>). More oxygen atoms imply more peroxy functional groups and therefore a higher probability of a hydrogen abstraction in geminal position of a peroxide which results in an OH radical loss and a carbonyl group formation. Therefore, the fraction of dimer formation should decrease with higher oxygen content. Furthermore, we assume that less oxygenated radicals, although not quantitatively detected by the CI-API-TOF (Berndt et al., 2015; Hyttinen et al., 2015), will nevertheless participate in the dimer formation. Substantially lower O:C ratios are found for naphthalene and biphenyl, whereby the trend between the monomers and the dimers and higher order clusters is the same as for the single-ring ArHCs. The lower O:C ratio is probably owing to the fact that the second aromatic ring remains and does not allow for extensive autooxidation. Figure 4 shows the contribution of the most abundant identified HOMs to 80% of the total signal. The chemical composition of the observed monomers, dimers and radicals for each precursor is presented in Supplement Section 3 (Figure S3-1 to S3-7). It is seen that in the series benzene, toluene, xylene, mesitylene the number of HOMs needed to sum up 80% of the total signal decreases (except for ethylbenzene). The increasing number of methyl groups appears to influence the oxidation pathways and leads to less HOM products. Ethylbenzene shows the highest number of HOMs. These also include monomers with 7 carbon atoms as well as dimers with an unexpectedly low number of hydrogen atoms (20 instead of 22) (Figure S3-3). This could indicate the occurrence of different pathways due to the ethyl group, a chemistry less bounded to the aromatic ring which implies an initial hydrogen abstraction step by the OH radical. Together with ethylbenzene also benzene and naphthalene, the two not substituted ArHC tested, present dimers with an unexpected low hydrogen number (12 instead of 14 and 16 instead of 18). Biphenyl also shows an unexpectedly low number of hydrogen atoms for some of the HOM monomers detected. This feature, highlighted in the four above-mentioned compounds, turns out to be a minority in terms of peaks detected and relative peak intensity.

### 3.2 ArHC HOMs formation mechanism

A generalized mechanism that may explain the formation of these highly oxygenated compounds from ArHCs by OH addition is exemplified for mesitylene in Figure 5. This mechanism is also applicable to the other ArHCs tested. An OH free radical attack on alkyl-substituted arenes is thought to either abstract a hydrogen atom from an sp<sup>3</sup> hybridized carbon, or to add to the aromatic ring. Starting from a generic aromatic compound with formula C<sub>x</sub>H<sub>y</sub>, hydrogen abstraction results in a

$C_xH_{y-1}$  radical while OH addition results in a radical with the formula  $C_xH_{y+1}O_1$ . If we allow both initial intermediate products to proceed via autoxidation by formal addition of  $O_2$ , we expect radicals with the composition  $C_xH_{y-1}O_{ze}$  (initial hydrogen abstraction) and  $C_xH_{y+1}O_{zo}$  (initial OH addition) to be formed, where  $z$  denotes any number of oxygen atoms, even number (ze) in the former case and odd number (zo) in the latter case. This addition of molecular  $O_2$  increases the mass of the compounds by 32 Da resulting in the propagation of a radical with an odd number of oxygen atoms. This can be seen by  $m/z$  shifts of 32 Th in the mass spectra. For the ArHCs tested we do not observe radicals with the formula  $C_xH_{y-1}O_{ze}$ , owing to the fact that hydrogen abstraction is a minor pathway (with e.g. a branching ratio of 7% for toluene according to the Master Chemical Mechanism MCM 3.3.1 (Jenkin et al., 2003), which yields products like benzaldehyde and benzyl alcohol). For mesitylene (Figure 5), the OH-adduct and the first  $RO_2\cdot$  radical ( $HO-C_xH_yOO\cdot$ ) cannot be detected with the nitrate CI-API-TOF (Hytinen et al., 2015) and are reported in grey in Figure 5. More highly oxygenated  $RO_2\cdot$  radicals with the formula  $C_9H_{13}O_{5-11}$  were however found, with the highest intensity for  $C_9H_{13}O_7$  (3% of the sum of the identified HOMs). In addition to radicals with an odd oxygen number, radicals with an even oxygen number of molecular formula  $C_xH_{y+1}O_{ze}$  were observed (Figure 5). These radicals are likely produced via  $RO_2\cdot + RO_2\cdot$  (or  $RO_2\cdot + HO_2\cdot$ ), involving the formation of an alkoxy radical intermediate (Lightfoot et al., 1992; Mentel et al., 2015; Orlando and Tyndall, 2012; Vereecken and Peeters, 2009) according to:



These alkoxy radicals (R3a and R4b) may isomerize to an alcohol by internal H-abstraction forming a carbon centred radical, which can again take up an oxygen molecule and follow the autoxidation route. The peroxy radicals of this reaction channel have the formula  $C_xH_{y+1}O_{ze}$  (see Figure 5). Besides the formation of alkoxy radicals recombination can also lead to a carbonyl and alcohol species (R3b) with the formulae  $C_xH_yO_z$  and  $C_xH_{y+2}O_z$ . The much higher intensity of the peaks with formula  $C_xH_{y+2}O_z$  compared to those with the composition  $C_xH_yO_z$  can be ascribed to a high contribution from the recombination of  $RO_2\cdot$  with  $HO_2\cdot$  (R4a). This is due to the high  $HO_2\cdot$  concentration in our experiments since  $HO_2\cdot$  is also formed in the OH radical source. The formation of ROOR (R3c) corresponds to  $C_{2x}H_{2y+2}O_z$  dimer formation with  $z$  being even or odd, depending on the combination of the reacting peroxy radicals. We also detected free radicals and closed-shell molecules with an unexpectedly high number of hydrogen atoms, with the formulae  $C_xH_{y+(3,5)}O_z$  and  $C_xH_{y+(4,6)}O_z$ , respectively. For mesitylene (Figure 5), radicals with the formula  $C_9H_{15}O_{7-11}$  were identified, with the highest signals found for  $C_9H_{15}O_7$  (1%), and  $C_9H_{15}O_8$  (2%). These compounds are likely formed by a second OH addition as discussed further below. Monomer closed-shell molecules were detected as  $C_9H_{12}O_{5-11}$  (4%),  $C_9H_{14}O_{4-11}$  (25%), and  $C_9H_{16}O_{5-10}$  (12%). We assume that the  $C_9H_{12}O_{5-11}$  molecules derive from the first radical generation ( $C_9H_{13}O_{5-11}$ ) and the  $C_9H_{16}O_{5-10}$  molecules from a second OH

attack ( $C_9H_{15}O_{7-11}$ ). The  $C_9H_{14}O_{4-11}$  molecules may be produced from either the first or the second OH attack. However further investigation is required to test these hypotheses. We also want to point out that the relative signal intensities may be biased by the nitrate clustering properties and do not necessarily reflect the actual distribution of compounds. Similarly, the compounds with an H-atom number lower than the ArHC precursor could have been formed by an H-abstraction from first generation products with formula  $C_xH_yO_z$ .

The recombination of two peroxy radicals may lead to a covalently-bound peroxy-bridged dimer. We observed three classes of such products (Figure 5): i) from the recombination of two first-generation radicals (13 hydrogen atoms each) with the molecular formula  $C_{18}H_{26}O_{8-13}$  (30% of the total intensity), ii) from the recombination of a first generation radical with a second generation radical (13 + 15 hydrogen atoms) with formula  $C_{18}H_{28}O_{9-12}$  (3% of the total signal), and iii) from the recombination of two radicals from the second generation (15 + 15 hydrogen atoms), where only one compound was identified ( $C_{18}H_{30}O_{11}$ , 1%).

Some identified monomer and dimer peaks belong to oxygenated molecules with fewer carbon atoms than the respective precursor. This is likely the result of a fragmentation process. HOMs with less C atoms than the parent molecule have also been previously described from terpene precursors via CO elimination (Rissanen et al., 2014, 2015). Here, the aromatics show mostly also a loss of H-atoms when fragmenting. This indicates that a methyl group can be lost after oxidation to an alkoxy radical as formaldehyde or a carbon fragment can be lost after ring cleavage. As mentioned above, we hypothesize that the  $C_xH_{y+(3,5)}O_z$  radicals and  $C_xH_{y+(4,6)}O_z$  molecules may have formed by multiple OH attacks in our reactor. This is possible when the second OH attacks a product molecule that contains two hydrogen atoms more than the parent molecule. To allow for the addition of a second OH free radical these first generation closed-shell molecules must still contain a carbon-carbon double bond in their structure. A third OH attack is observed only for some compounds: benzene, ethylbenzene, xylene, naphthalene and biphenyl; the contribution of these HOMs to the total of the detected signals is always extremely low. The mechanism will likely proceed in a similar way.

An explicit mechanism after OH addition for a possible pathway of the aromatic autoxidation is suggested in Figure 6 for up to seven oxygen atoms. After addition of OH and loss of aromaticity an oxygen molecule can be added forming a peroxy radical. It has been established that the latter can cyclize producing a second stabilized allylic radical with an endocyclic  $O_2$  bridge (Baltaretu et al., 2009; Birdsall and Elrod, 2011; Pan and Wang, 2014). To this oxygen bridged bicyclic radical further oxygen additions followed by cyclization or internal H-abstraction might occur up to a peroxy radical with seven oxygen atoms ( $C_9H_{13}O_7$ ), which is the species detected at relatively high intensity (3.5%). Two potential routes are shown in Figure 6. One follows the traditional autoxidation mechanism with internal H-abstraction and oxygen addition (Type I autoxidation). The other route proposes another cyclization forming a second oxygen bridge. This mechanism also produces a carbon centered radical and promotes autoxidation (Type II) by the addition of another oxygen molecule. Wang et al. (2017) provide evidence from isotope labelling experiments for the occurrence of Type I autoxidation in isopropylbenzene. Some of the ArHC tested also form radicals with a higher number of odd oxygens (i.e., up to 9-11 O atoms, Supplement Section 3) indicating that autoxidation may even proceed further. Compounds with an even number of oxygen are formed via



the alkoxy pathway and may also include a ring opening step. Possible branching channels where this may happen are indicated in Figure 6. Termination reactions to alcohols (R3b) or hydroperoxides (R4a) can form molecules still containing double bonds which can further add an OH radical leading to compounds with four hydrogen atoms more than the precursor. As mentioned above naphthalene and biphenyl, despite the polycyclic skeleton, do not show a radically different behaviour compared to the single-ring ArHCs. The maximum number of oxygen atoms that their monomer HOMs can host is 10 for naphthalene and 11 for biphenyl. Biphenyl seems to compare with its single ring analogue benzene.  $C_6H_8O_5$  and  $C_{12}H_{12}O_5$  are the strongest peaks indicating that the oxidation of one benzene ring in biphenyl proceeds in a similar way. Similarly, the strongest dimer is  $C_{12}H_{14}O_8$  for benzene and  $C_{24}H_{22}O_8$  for biphenyl, respectively. Compounds with extra-high H-atoms are more frequently found for biphenyl, which is expected as there is a second reactive aromatic ring remaining after (auto)-oxidation of the first one. Thus, a second OH attack is probable. Naphthalene seems to take up less oxygen than the other compounds, showing the maximum signal intensity at 4-5 oxygen atoms for monomers and only 4-6 for dimers. This may indicate that not both rings can easily be autoxidized in one step. It is also interesting to note that compounds from a second OH attack do not show a strong increase of the oxygen content, neither for the single nor for the double ring ArHCs.

#### 4 Conclusions and atmospheric implications

All tested compounds yielded HOMs and we conclude that this is a common feature of aromatic compounds. Similar to the oxidation process that yields HOMs from terpenes the oxidation process of ArHC yields highly oxygenated compounds containing the carbon skeleton of the precursor (monomers) as well as twice as many carbons (dimers). It is known from previous studies that ArHC are able to add molecular oxygen to the molecule after OH addition forming an oxygen-bridged bicyclic radical. Our measurements of highly oxygenated compounds up to eleven oxygen atoms in a monomer reveal that an autoxidation radical chain reaction occurs by adding several more oxygens to the initially formed radical. The autoxidation radical chain reaction is thought to proceed via intra-molecular abstraction of a hydrogen atom from an acidic C-H bond by a peroxy radical and the consequent formation of a hydroperoxy functional group and a carbon centered radical that can take up an oxygen molecule from the surrounding and eventually repeat the whole process  $n$  times (Type I autoxidation). In the case of aromatic compounds, when the aromaticity is destroyed, Type II autoxidation may happen by further addition of oxygen to the allylic resonance-stabilized radical followed by an attack of the peroxy group to the internal double bonds forming an oxygen bridge. This can proceed up to a peroxy radical of 7 oxygen. Type II autoxidation has also been proposed to occur with sesquiterpenes with two double bonds (Richters et al., 2016) and also with  $\alpha$ -pinene for certain HOMs (Rissanen et al., 2015). Even though the autoxidation of ArHCs will lead to different chemical compounds compared to HOMs from terpenes we expect similar chemical and physical characteristics such as functional groups and volatility. In both cases extremely low volatility highly oxygenated dimer species are formed, which may play an important role in new particle formation.



Recent studies (Nakao et al., 2011; Schwantes et al., 2017) suggest that from hydroxy ArHC equivalents, which are formed in the first generation OH oxidation of aromatics, additional OH oxidation steps can produce substantial amounts of polyhydroxy aromatics with high O:C ratio (up to 1.2). However literature data are showing varying yields for the conversion of arenes to phenols via the OH radical addition and H elimination. According to MCM 3.3.1 (Jenkin et al., 2003) benzene and toluene have quite high phenol yields (approximately 50 and 20 %, respectively) while mesitylene shows a rather small yield (4%). This fact should be reflected in the final HOMs yield with alkyl substituted ArHCs being less effective in yielding HOMs. However in our experiments we did not detect such a difference in the HOMs yields linked to phenol formation yields. A relevant fraction of the detected HOMs showed a hydrogen atom number higher than the precursor ArHC which cannot be explained with the presence of just polyphenolic compounds as oxidation products. Quantum chemical calculations have revealed that intramolecular H-migrations in bicyclic peroxy radicals may be a feasible route to HOMs (Wang et al., 2017). Especially aromatics with a longer chain substituent (ethyl-, isopropylbenzene) or multiple substituents may have a fast HOM formation pathway. Indeed our measurements show somewhat higher HOM yields for xylene and mesitylene compared to benzene and toluene. However more kinetic and mechanistic studies are needed to better understand HOM formation from the various aromatics. Under urban conditions, in the presence of NO, the reaction of  $RO_2 + NO$  will compete with the autoxidation pathway. This can lead to relatively highly oxygenated nitrates of low volatility or oxyradicals. The latter can isomerize to a carbon centred radical as under our conditions and again undergo autoxidation. Highly oxygenated organic nitrates have been recently identified in SOA (Lee et al., 2016). While this study shows that autoxidation can also occur after an OH attack of ArHC, the formation of low volatility products via this route in the presence of NO, which is typical of urban atmospheres, needs further investigation. Furthermore, first generation oxidation products may still contain carbon-carbon double bonds, which could also further react with ozone forming more highly oxygenated products. Since the reaction time is very short in the flow tube this reaction is negligible but could be another potential pathway in the ambient atmosphere. Some of the HOMs measured here from the oxidation of ArHC have the same composition as the HOMs formulae identified by Bianchi et al. (2016) during winter time nucleation episodes at the Jungfraujoch High Altitude Research Station. The fact that the oxidation of ArHC can rapidly form HOMs of very low volatility makes ArHC a potential contributor to nucleation and early particle growth during nucleation episodes observed in urban areas. (Stanier et al., 2004; Wang et al., 2015; Xiao et al., 2015; Yu et al., 2016).

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## Tables

**Table 1**

470 Initial concentrations of precursors, reaction rate coefficients, ArHC reacted fraction (%), total HOMs concentration and HOMs yield (%) relative to the reacted ArHC. The mixing ratio of precursors was determined at the exit of the flow tube when the excimer lamp (OH generation) was switched off.

Compound	Concentration (molecules cm <sup>-3</sup> )	$k_{\text{OH}}$ (10 <sup>-12</sup> cm <sup>3</sup> molecules <sup>-1</sup> s <sup>-1</sup> )	Reacted fraction (%)	[HOM] (molecules cm <sup>-3</sup> )	HOMs yield (%)
Benzene (C <sub>6</sub> H <sub>6</sub> )	9.85 10 <sup>13</sup>	1.22	0.5	1.2 10 <sup>9</sup>	0.2
Toluene (C <sub>7</sub> H <sub>8</sub> )	1.97 10 <sup>13</sup>	5.63	2.3	4.4 10 <sup>8</sup>	0.1
Ethylbenzene (C <sub>8</sub> H <sub>10</sub> )	1.13 10 <sup>13</sup>	7.0	4.4	9.4 10 <sup>8</sup>	0.2
(o/m/p)-xylene (C <sub>8</sub> H <sub>10</sub> )	2.95 10 <sup>12</sup>	13.6/23.1/14.3	5.4 - 9.2	2.8 10 <sup>9</sup>	1.0 - 1.7
Mesitylene (C <sub>9</sub> H <sub>12</sub> )	2.46 10 <sup>12</sup>	56.7	22.7	3.1 10 <sup>9</sup>	0.6
Naphthalene (C <sub>10</sub> H <sub>8</sub> )	2.95 10 <sup>13</sup>	23.0	2.6	1.4 10 <sup>10</sup>	1.8
Biphenyl (C <sub>12</sub> H <sub>10</sub> )	4.43 10 <sup>13</sup>	7.1	1.6	1.8 10 <sup>10</sup>	2.5

475 Reference for the  $k$ -rates: Atkinson and Arey, 2003.

**Table 2**

Summary of HOM characteristics. For each of the 7 compounds the percentage fractional distribution of the signal is presented. For monocyclic compounds the distribution comprises monomers and dimers, for naphthalene and biphenyl monomers, dimers, trimers and tetramers are reported. These values are not quantitative as the instrument cannot be calibrated for such compounds. For each band the weighted arithmetic means of the O:C ratio are reported in parentheses. The fraction of the identified peaks as adduct with NO<sub>3</sub><sup>-</sup> is given in the last column.

Compound	Bands distribution				Adduct (HOM•NO <sub>3</sub> <sup>-</sup> )
	Monomer (O:C)		Dimer (O:C)		
Benzene (C <sub>6</sub> H <sub>6</sub> )	80 (1.08)		20 (0.91)		0.91
Toluene (C <sub>7</sub> H <sub>8</sub> )	71 (1.09)		29 (0.75)		0.94
Ethylbenzene (C <sub>8</sub> H <sub>10</sub> )	69 (0.86)		31 (0.62)		0.83
(o/m/p)-xylene (C <sub>8</sub> H <sub>10</sub> )	65 (0.78)		35 (0.57)		0.92
Mesitylene (C <sub>9</sub> H <sub>12</sub> )	61 (0.81)		39 (0.49)		0.92
	Monomer (O:C)	Dimer (O:C)	Trimer (O:C)	Tetramer (O:C)	
Naphthalene (C <sub>10</sub> H <sub>8</sub> )	34 (0.55)	64 (0.29)	2 (0.34)	1 (0.28)	0.84
Biphenyl (C <sub>12</sub> H <sub>10</sub> )	52 (0.44)	43 (0.35)	4 (0.29)	1 (0.32)	0.77

## Figures

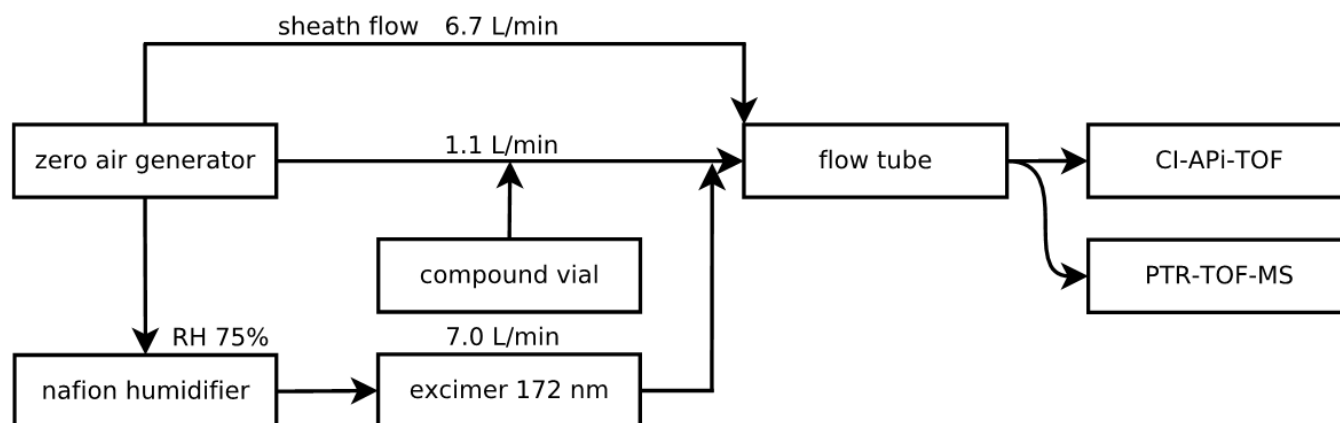


Figure 1. Experimental set-up. Zero air from a pure air generator is split into 3 flows. A sheath flow of 6.7 L min<sup>-1</sup>. An air stream of 1.1 L min<sup>-1</sup> collects vapors from a reagent compound vial and is then mixed with a humidified air stream of 7 L min<sup>-1</sup> (RH 75%) which carries OH free radicals generated through irradiation at 172 nm.

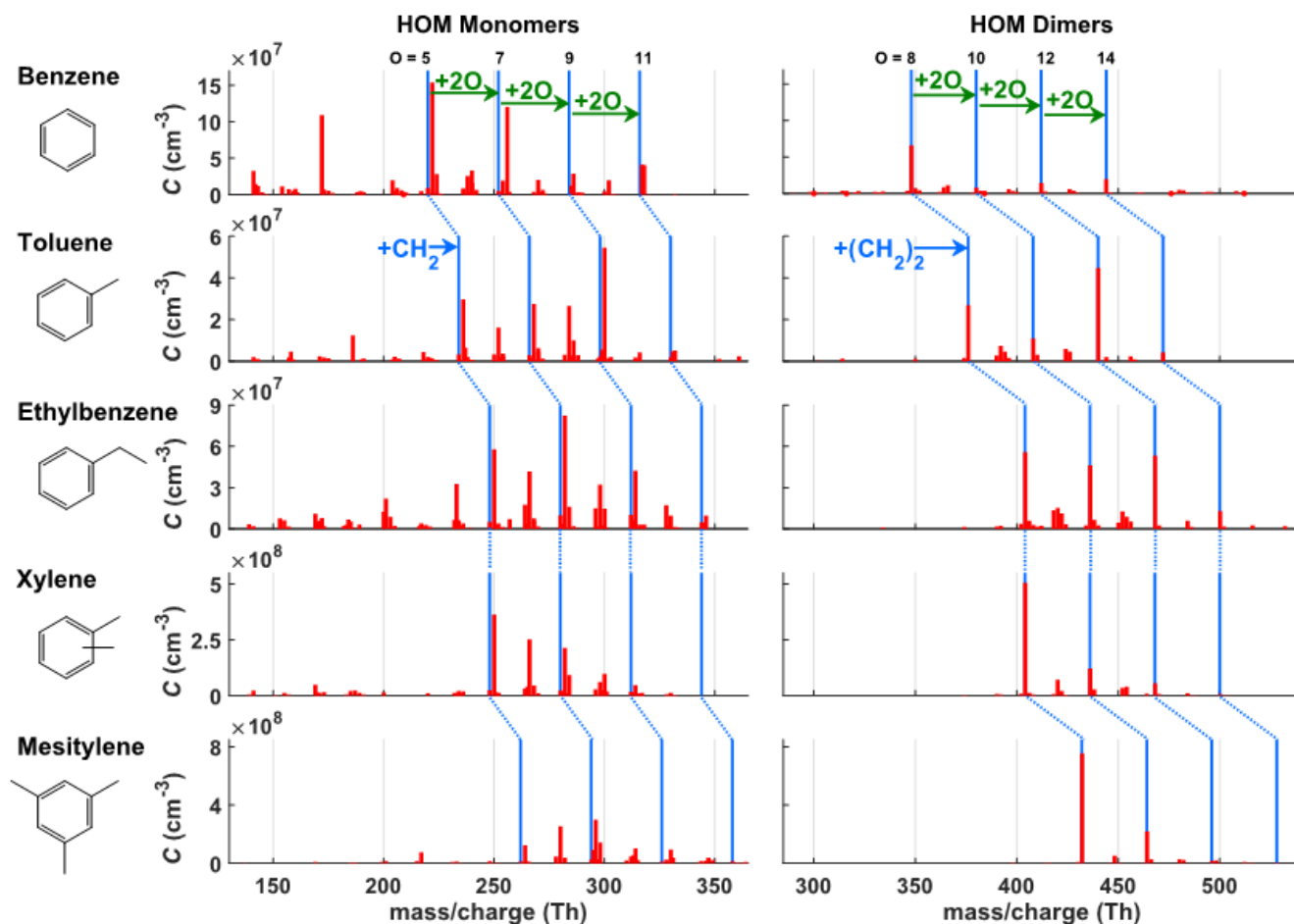


Figure 2. Mass spectra (displayed in red) of HOMs from 5 monocyclic ArHC (benzene, toluene, ethylbenzene, xylenes, mesitylene). HOM monomers (left panel) have the same number of carbon atoms as the precursor while HOM dimers (right panel) have twice as many. Green arrows in the benzene panel show a sequence of peaks separated by a mass corresponding to 2 oxygen atoms. This may be connected to the autoxidation mechanism which proceeds through addition of O<sub>2</sub> molecules. The same sequence is seen in the other ArHCs, which we have indicated by the blue dashed and solid lines to guide the eye of the reader. The initial peak and the corresponding sequence of the 5 single-ring ArHC are shifted by a CH<sub>2</sub> unit due to the different substituents (blue arrow in the toluene panel). HOMs with the same number of oxygen atoms can have a different number of hydrogen atoms (n, n+2, n+4). Note the different peak intensity patterns observed for the different chemical compounds, even for xylene and ethylbenzene, i.e., molecules with the same chemical formula.

## Polycyclic ArHC

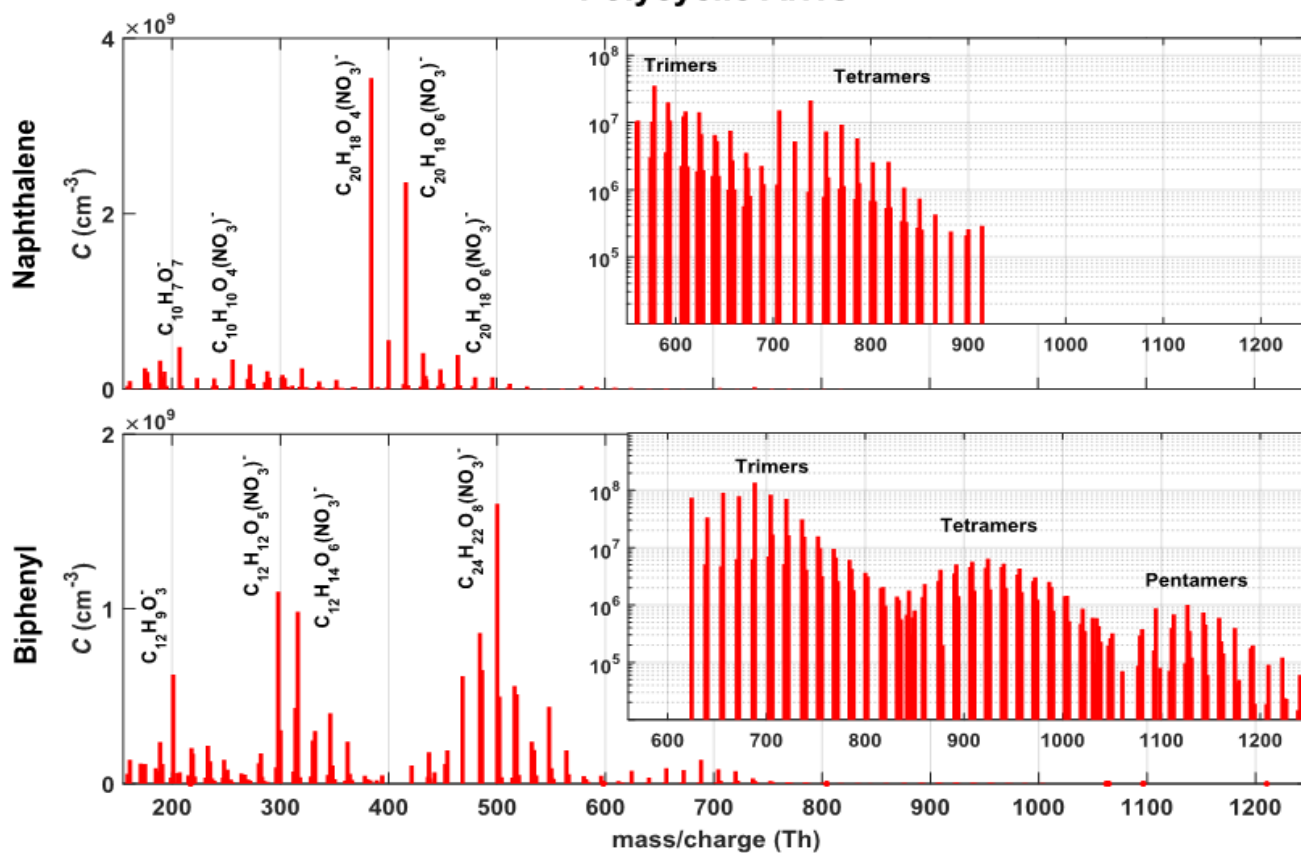


Figure 3. Mass spectra of HOMs from the bicyclic ArHC naphthalene and biphenyl. The chemical composition of some representative peaks is displayed. Due to the high concentrations the nitrate CI-API-TOF was also able to detect the HOMs clusters up to the pentamer and also retrieve their chemical formula (see inserts).

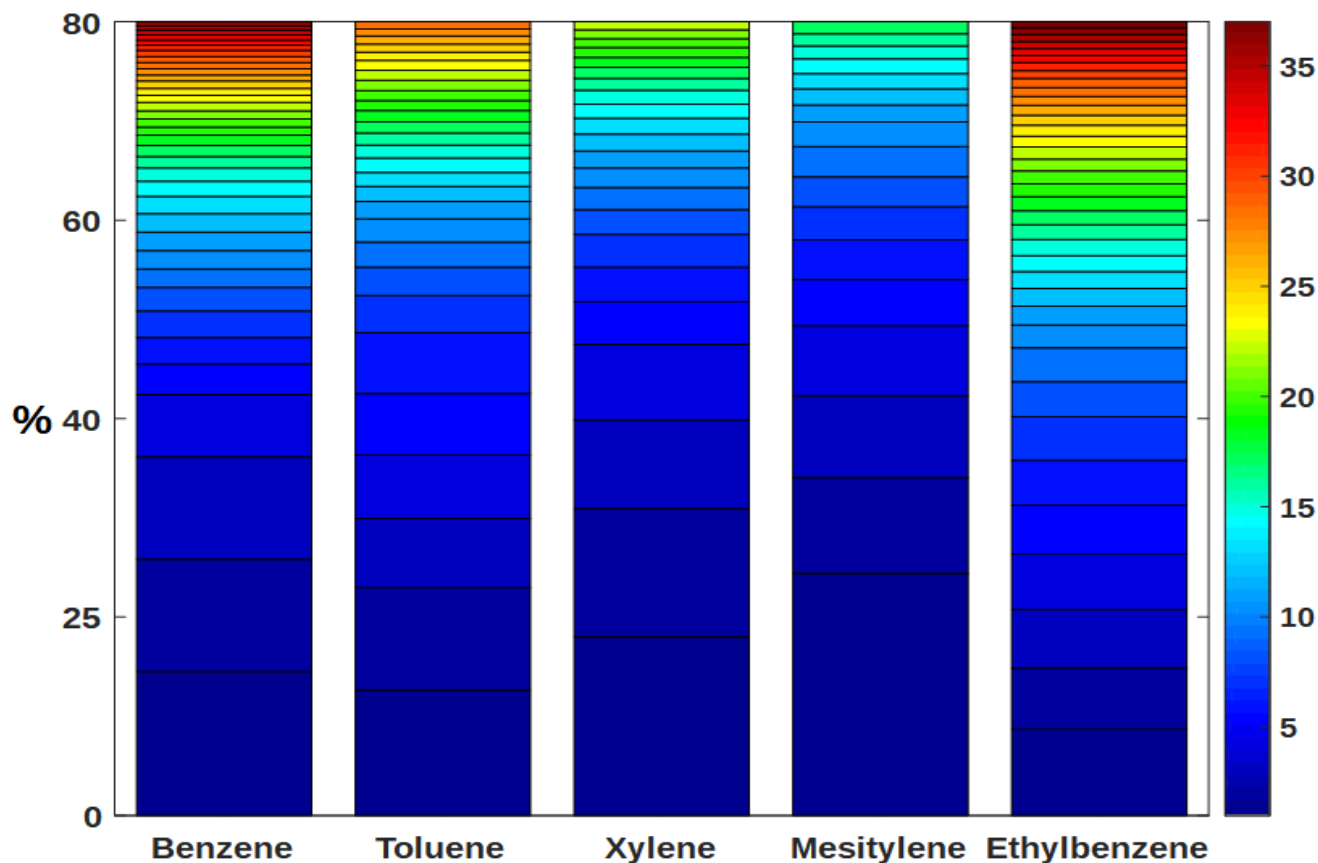


Figure 4. Graphical representation of the cumulative peak lists for the 5 monocyclic ArHC. The bar plot shows the cumulative contribution of the most abundant HOMs species to 80% of the total detected signal for each single-ring ArHC. Each colour of the stacked bar plots denotes a certain number of cumulative compounds. In the series benzene, toluene, xylene and mesitylene a gradually smaller number of different HOM species is needed to explain 80% of the total signal. However, this trend with the increase of the number of substituents is not met by ethylbenzene. This may be linked to the fact that dimers with an unexpectedly low number of hydrogen were observed. Ethylbenzene shows a lower fraction of HOM·NO<sub>3</sub><sup>-</sup> adducts as well.



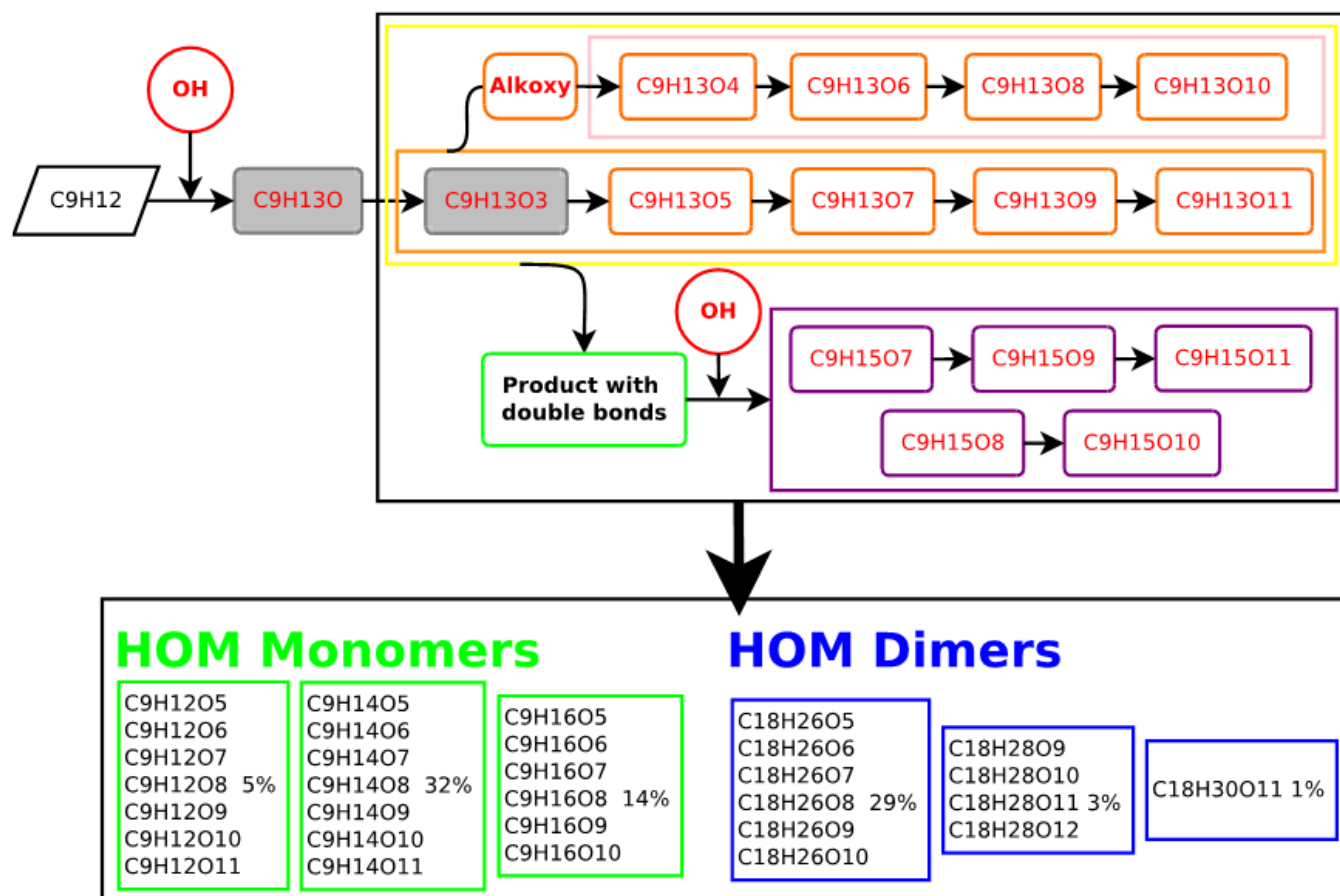
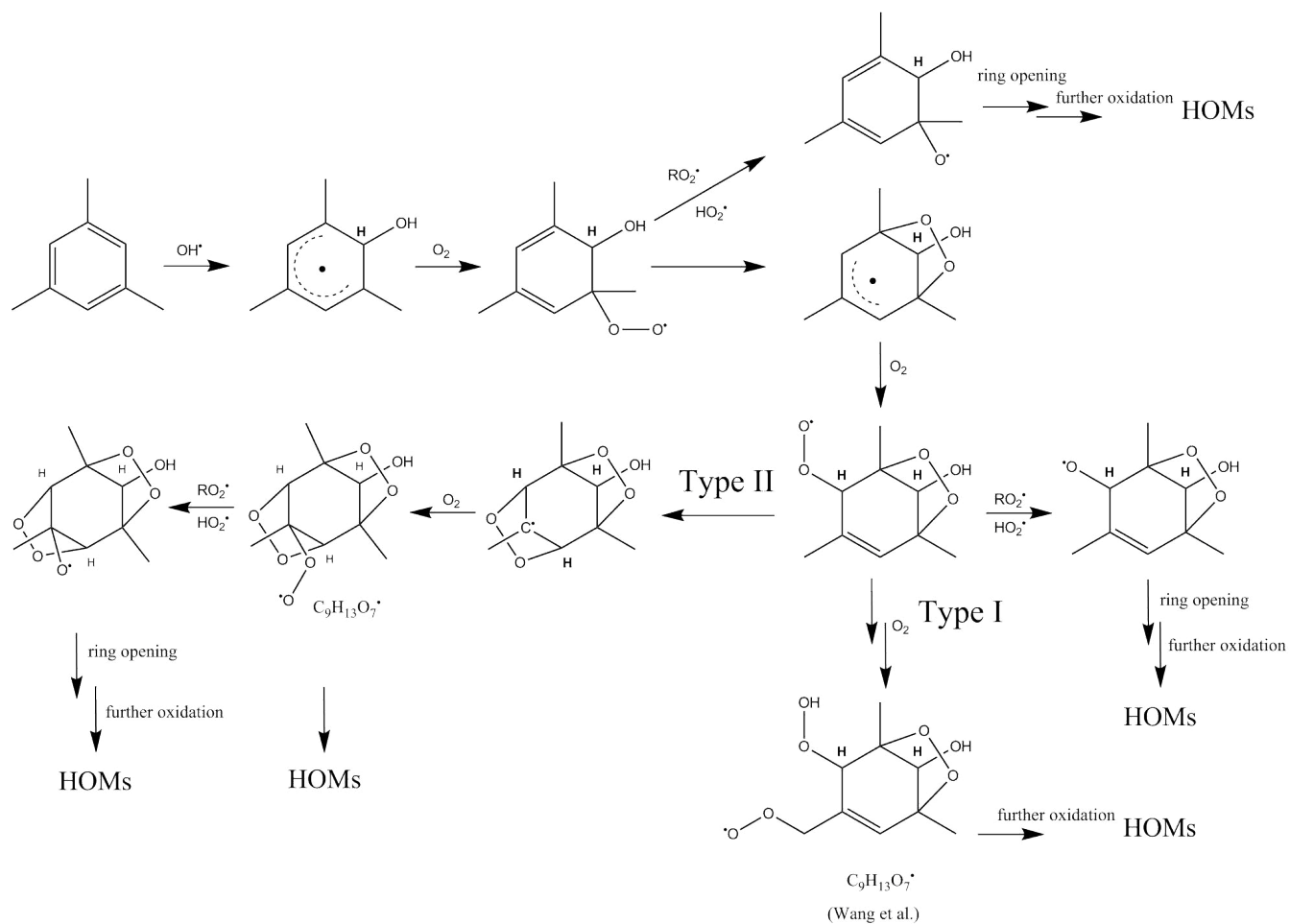


Figure 5 Proposed generalized reaction scheme of HOM formation for mesitylene (1,3,5-trimethylbenzene) after OH addition. Closed-shell species are displayed in black (even number of H), radicals (odd number of H) in red. Grey colour denotes radicals that were not detected by the CIMS. Radicals in the orange box are from the propagation of the initial OH addition with an odd number of oxygen atoms, radicals in the pink box are formed via an alkoxy intermediate step with an even number of oxygen atoms, while radicals in the purple box are products of a second OH addition. Reacting oxygen molecules along the radical propagation chain are not indicated. Closed-shell species are divided into monomers (green boxes) and dimers (blue boxes). The percentages in the boxes indicate the relative intensity of a peak to the total detected ArHC HOMs signal. The sum does not add up to 100% because some peaks mainly coming from fragmentation are not included in the scheme.



530 Figure 6. Proposed radical reaction mechanism for the autoxidation of mesitylene. The mechanism is derived from the MCM (version 3.3.1) up to the  $\text{C}_9\text{H}_{13}\text{O}_5$  radical. From there the Type I pathway is from Wang et al., (2017).