

## Response to Anonymous Referee #2

First of all, we want to thank the referee for submitting his/her helpful and productive annotations, which lead to improvements and clarifications within the manuscript.

We have prepared a revised manuscript that addresses the questions and comments of the referees. Furthermore, below we explicitly respond to each of the items raised in the comments of anonymous referee #2. These comments are indicated by using *italics*, whereas the author's response is presented in blue. Changes in the manuscript are given in green; changes to the supplement are given in purple. The differences are also highlighted in separate PDFs using latexdiff. All line and page numbers refer to the ACPD manuscript version, not the revised manuscript.

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### ***Interactive comment on “Ice nucleating particles over the Eastern Mediterranean measured by unmanned aircraft systems” by Jann Schrod et al.***

*This manuscript reports on a new technique, determining ice nucleating particle concentrations at various altitudes from drones. The technique clearly shows promise, and the measurements are needed in this area. I have a few questions/concerns, which the authors need to address prior to publication. Then, the manuscript will make a solid contribution to the field.*

#### **Major Comments:**

- 1. The abstract ends with a bold and interesting conclusion that ground level INP measurements are of limited use in understanding INP aloft and their role in cloud formation. This may be true, but it is not substantiated by the data as presented. More could be done with the collected data set, as I describe below.*

*We think the data presented allow drawing a conclusion such as we have done. We will elaborate more on this matter as we go along answering the questions below.*

- 2. Pg. 5. The cruiser has a 2-stroke engine. 2 stroke engines are notoriously dirty running, producing significant pollutants, including NOX and likely particulates as well. There is a serious concern that the emissions from the engine will be active INP and thus will contaminate the INP sample and bias the reported concentrations. What has been done to check, correct and/or avoid this?*

*We shared the referee's concern. In order to identify any potential contamination, aerosol absorption was simultaneously monitored using a micro aethalometer (AethLabs, Model AE51) during each flight of Cruiser. The aethalometer's inlet was approximately 7 cm away from that of the INP sampler, ensuring that both instruments sampled the same air masses. Measurements from the aethalometer during each sampling are now provided in the supplement (Fig. S1). Although considerably noisy, these data do not show any significant large spikes that indicate particulate contamination.*

*Furthermore the OPC record along the UAS flight track (e.g. Fig. 16) does not indicate any enhancement of particles in the downwind sectors of the spiraling path as compared to the upwind sectors (We now have added the 3000 m wind at 6 UTC from the DREAM model to Fig. 16). Likewise, this is true for the measurements of the aethalometer.*

Additional evidence for the absence of severe contamination by engine exhaust comes from the analysis of individual particles on the Si substrates by electron microscopy/EDX. Only a very small fraction of 1-1.5% of the particles (in sample 25 of Fig. 18 / Tab. 3) was carbonaceous, which is consistent with the average absorption levels in the area (black carbon concentration about  $0.5 \mu\text{g m}^{-3}$ ). A similar result was found for a sample obtained with the battery-powered Skywalker x8 (sample 39 in Fig. 18 / Tab. 3).

Moreover, both UAS types were used alternately during the first half of the campaign and their INP concentrations show no significant differences (Fig. 10, Cruiser: diamonds, Skywalker: squares).

In summary, both methods employed did not identify any contamination suggesting that either the samples were free of exhaust particles or that the effect did not have an impact on the results presented in this work or the conclusions drawn from them.

Nevertheless, we will add a short remark about this important issue to the revised manuscript. The purple text above will be added to the supplement.

Pg. 5, ln. 29 and following now read:

The Cruiser (Fig. 6) is a fixed-wing, medium-size UAS (3.8m wingspan) with a two-stroke engine and a maximum take-off weight of 40 kg that can carry a payload of up to 10 kg for a maximum flight duration of 3 hours. Since this type of engine may produce a significant source of particle contamination, we thoroughly checked the data of an integrated aethalometer (AethLabs, Model AE51, Fig. S1 in supplement) as well as the data from electron microscopy (cf. section 3.4) for any indications of contamination, but did not find any evidence of contaminants in our samples. A small fraction of carbonaceous particles (<1.5%) was indeed identified in the samples. However, the same amount was also found in a sample acquired using the battery powered UAS, suggesting that their origin was not due to the engine's exhaust (Fig. 18 / Tab. 3).

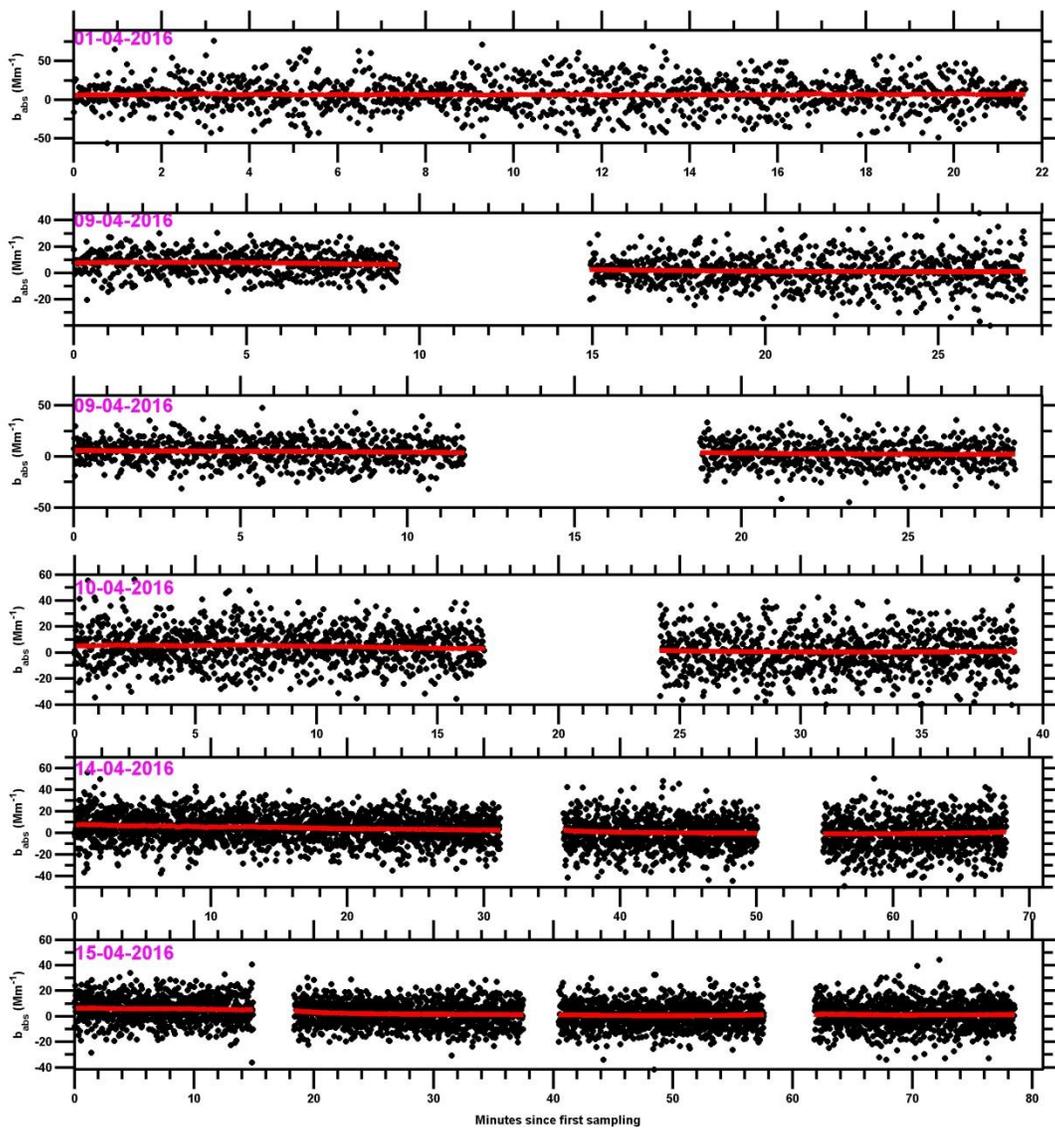


Figure S1: Ambient aerosol absorption during INP sampling onboard the Cruiser. The raw output (black dots) and a rolling average (red line, based on a modification method of Hagler et al. (2011)) are shown. No indication of contamination by the two stroke engine's exhaust was identified.

3. *pg. 6 In 27. Sampling times and therefore volumes vary by a factor of 3. Why wasn't the sample time kept uniform? What impact does this have on INP concentration results? This needs to be addressed.*

We adjusted the sampling times for each flight according to the dust forecast and current lidar images for each flight. When a heavy dust load was predicted, we scheduled a shorter sampling. The proper loading of a sampling substrate is crucial for the analysis in FRIDGE, with the targeted number of ice crystals on a substrate being between the lower limit of detection (defined by background noise) and an upper threshold (around 1000) above which crystals merge and are miscounted. One sample that was overladen needed to be discarded at certain measurement conditions in this work. We have no indication that the sample volume affected the measurement in the data presented here, since the activated fraction is uncorrelated to the sample volume. However, a volume effect can be found for laboratory samples heavily loaded with highly active aerosol.

4. *pg 10, In 30- pg 11 In 7 and Figures 11&12 (probably 10&12?): The manuscript states the INP concentration is highly correlated to the concentration of large particle measured by the OPC and with the vertically integrated aerosol optical depth. Unfortunately, not all the data presented supports this conclusion. - This is the major issue with the manuscript.*

The stated high correlation refers to the data of Figs. 10 (and 12) that cover the entire length of the campaign. This statement is backed by the correlation coefficients of Tab. 2. We clarified now in the manuscript to what data we refer. Of course, not every single data point may be explained perfectly; however, this is very rarely the case in this particular field of ice nucleation. Rather than addressing every single case (which is unfortunately rather difficult as we will explain below), we chose a more general approach focusing on statistical averages and hoped to draw the attention of the interested audience to this new technique.

*In Figure 11, we see that INP correlations were essentially unchanged over the vertical altitudes sampled. (Particle concentrations are not included in the figure, but it is highly unlikely that they are equally invariable). When all the data is combined into one plot, interesting details are often lost, and I suspect that that is the problem here.*

We agree with the reviewer that interesting details may be lost upon averaging and pooling of data. However, our data coverage during individual flights is mostly too scarce for any interpretation (many flights collected only one sample). The only exception is April 9 (6 samples in total), which we present in the case study in chapter 3.3.

Although the statistical significance of the UAS-INP-profile might not be given, the median vertical profile shows the lowest INP concentration close to the surface with a gradual increase towards the top layers. More prominently, we found the ground INP concentration at the nearby CAO to be about one magnitude lower on average.

Unfortunately, we do not have a sufficient number of airborne aerosol observations to make a valuable addition to Fig. 11. However, the campaign-mean vertical profile of the volume depolarization ratio and DREAM dust mass concentration is now provided in Fig. S4. On average, a prominent vertical dust profile is visible. Yet, the single data points at the sampling time/altitude show differences of several magnitudes, which is a similar result to our INP measurements.

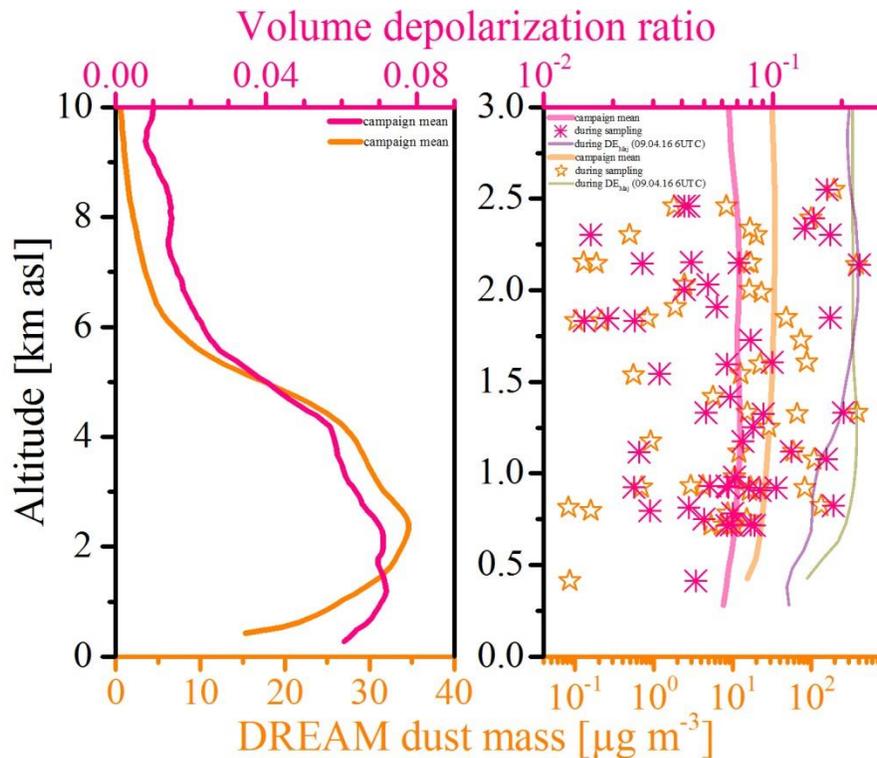


Figure S4: Left: Prominent vertical dust profile, when averaging over the whole campaign. Right: Large spread of single data points during INP sampling. Orange: DREAM dust mass concentration. Purple: Lidar volume depolarization ratio.

*Aren't there cases in which a dust layer aloft was sampled? Did INP concentrations increase with the dust layer? Yes or no? Perhaps dust layers were too thin to have an impact on the concentration of INP in any given sample? Or, did the drone miss the layers?*

We believe that we present sufficient proof in the manuscript that we were able to successfully sample from specific dust layers. We present evidence in our case study (section 3.3, Figs. 14–18 and Tab. 3) that the INP concentration increased in the dust layer. Here, we quote multiple times that INP concentrations were the highest of the campaign amidst this heavy dust layer (see pg.13, ln.4, pg.13, ln.33 and following, also visible in Figs. 9, 10, 12). We have now added the INP concentration measured from the ground station at Agia Marina to Fig. 17c, which will hopefully make this point more clear.

*Alternatively, what about contamination from the drone exhaust? Is that somehow causing falsely high values at lower altitudes (i.e. take-off and lands)?*

We refer to our answer of the comment 2.

*Rather than look at overall campaign correlations (i.e. Figure 12), it should be much more telling to look at cases. Likewise, correlations with particle counts are expected to vary vertically, especially when dust layers aloft are an order of magnitude higher than at ground level. The lidar is evidence that that as occurred in some cases here, but the connection to INP concentration is not demonstrated.*

We agree with the reviewer on the potential of case studies, with the caveat that sufficient data must be available. When this is given, like in section 3.3, we demonstrate the link between particle concentration and INP (in Fig. 17).

Regarding the particle concentration aloft we can say that during the major dust event particle number concentration measured in flight by OPC was about a factor of 3 higher than the maximum concentration at the surface a couple of hours later. Similarly,  $PM_{10}$  at the surface was a factor of 4 lower than the DREAM dust mass prediction aloft.

The connection between the lidar measurements and INP (and aerosol) concentration is hinted at in Fig. 17c. Here the blue line shows the lidar-retrieved concentration of aerosol particles with  $d > 0.5 \mu m$  (lower scale) as well as the INP concentration derived from it using the parameterization of Fig. 12d (upper scale). The black line shows the concentration of particles with  $d > 0.5 \mu m$  as measured by OPC onboard (lower scale) as well as the INP concentration derived from it (upper scale). The lidar-retrieval agrees with the OPC concentration (lower x-axis) as well as the INP measurements (upper x-axis).

Furthermore, we will demonstrate the connection between the lidar measurements and the INP concentration by calculating the correlation between the two. The correlation between the volume depolarization ratio and the INP concentration at  $T = -30 \text{ }^\circ\text{C}$  and  $RH_{ice} = 135.4\%$  is  $R = 0.74$  ( $N = 46$ ). We will add a sentence to the discussion of the results and include this finding in Tab. 2.

Pg.10, ln.29 – Pg.11, ln.2 now read:

The dominance of large scale dust advection can be seen from the correlation between the levels of INP aloft and at the ground (Tab. 2). The highest correlation is found between INP and the total particle number concentration with diameters larger than  $0.5 \mu m$  ( $n_{a>0.5}$ ) both measured on board the UAS ( $R = 0.97$ ,  $n = 11$ ). The volume depolarization ratio at the time and altitude of the INP sampling is also well correlated ( $R = 0.74$ ,  $n = 46$ ). The correlation between the individual local concentrations of INP sampled from UAS and of the aerosol mass concentration calculated for the same sampling path by the DREAM model is  $R = 0.69$ ,  $n = 49$ . INP from the UAS are correlated to coarse mode PM ( $R = 0.59$ ,  $n = 49$ ) measured at CAO at ground level and to the vertically integrated AOT ( $R = 0.31$ ,  $n = 49$ ). Furthermore, the peaks of INP and the mineral dust parameters coincide (Fig. 10).

*In Figure 14, where a case study is presented, peaks in INP concentrations do not coincide with peaks in backscatter coefficient, especially the point at 10:00 UTC of 180 INP/std I. Any idea what happened at this point?*

We think that most (but not all) of the INP concentrations appear to be in reasonable agreement with the lidar backscatter. However, we agree with the reviewer that the discrepancy between sample #28 in Fig. 14 (180 INP/L) and the LIDAR backscatter measurements is disturbing. We have currently no satisfying explanation and can only speculate. We address this matter on pg. 13, ln. 14-21, to where we added a line to the manuscript.

Pg.13, ln.18 and following now read:

The depolarization signal (Fig. 14b), on the other hand, showed still a signal of medium to high strength for a broad range of altitudes up to 3km, suggesting that a considerable amount of mineral dust might have been collected. In fact, no depolarization ratio from any other day corresponding to the time/altitude of the samplings was found to be higher. Furthermore, we cannot rule out that the observed differences might have been caused by a heterogeneity in the dust spatial distribution between the two different operational sites.

*Backscattering coefficient can be complicated by many particle characteristics. – It would be nice to also include the OPC concentrations along with the INP concentrations.*

OPC concentrations are not included in Fig. 14, because they were only available for the flight that is marked with the red rectangle (samples 24 and 25). Due to technical difficulties no data were available for the sampling period of the second Cruiser flight, and the Skywalker was not equipped with an aerosol monitor. We point the referee to the Figs. 16 and 17c,d and section 3.3, where the OPC data of samples 24 and 25 are presented and discussed.

*Also, in 14B depolarization ratio and INP are not correlated in any way.*

We want to point out that the numbers appearing in Fig. 14b are not the INP concentration, but the sample identification numbers as it is indicated in the caption (if there was any confusion about this). As discussed above, we find overall a good correlation between the volume depolarization ratio and INP. Furthermore, we consider the agreement of depolarization ratio and INP for the case study in section 3.3 to be at least reasonable.

*In summary, the vertical profile of INP may be contaminated by the drone's engine exhaust? And INP concentrations may or may not be sensitive to cases of dust events. Given the wealth of data collected here including all the key elements to really look at dust, dust size, and INP at multiple altitudes, I urge the authors to consider additional cases are available which support their statement that INP is highly correlated to large particles. If the cases do not support that correlation, other interpretations of the data should be considered.*

We support the referee's vision of a more specific case-by-case study to gain a detailed understanding of the relationship between mineral dust and INP. Nevertheless, we hope that we now have eradicated the referee's concerns and that the referee now understands why a case-by-case approach was just not feasible in this study. We need to stress here that the data coverage in terms of time resolution is limited with the FRIDGE instrument, as compared to a CFDC. Often there were only two or less data points generated per day (with 6 samples being the most on the presented day of April 9). Of these few samples we have only one respective INP concentration value (each for multiple combinations of T and RH) that corresponds to a spatially and temporally integrated average of the sampling path.

We agree that there is room to improve for future campaigns with the combination of FRIDGE and UAS. Still, we believe that the data itself and the way it is presented are substantial enough to allow us to draw the conclusions we did.

5. *On figure 12, it is impressive that the predicted numbers are lower than measurements in 12a and 12b, but well correlated in 12c&d. It would be nice to expand the discussion of how these were parameterized differently, as it seems that this result is a key finding of the manuscript.*

We need to correct the referee here. As it can be seen in Fig. 12 and read on P.11 l.26-27 and P.12 l.1-3 the predicted concentrations for D10 and D15 were found to be higher than the measurements (about one magnitude for D15).

However, while reading the given lines again, we admit that the phrasing of the statement on P.11 l.26-27 is in fact misleading. We apologize and rephrase this line.

P.11 l.26-27 now reads:

While the slope of Fig. 12b is close to unity, the ~~absolute values of the prediction measurements are underestimated by one order of magnitude lower than the estimate based on the parameterization for these measurements.~~

In the paragraph following this line we list possible explanations for this offset.

## References

Hagler, G. S. W., Yelverton, T. L. B., Vedantham, R., Hansen, A. D. A., and Turner, J. R.: Post-processing Method to Reduce Noise while Preserving High Time Resolution in Aethalometer Real-time Black Carbon Data, *Aerosol and Air Quality Research*, 11, 539–546, doi:10.4209/aaqr.2011.05.0055, 2011.