



1 **Size distribution and source of black carbon aerosol in**
2 **urban Beijing during winter haze episodes**

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19

20 **Abstract**

21 Black carbon (BC) plays an important role in the climate and environment due to its
22 light absorption, which is greatly dependent on its physicochemical properties
23 including morphology, size and mixing state. The size distribution of the refractory BC
24 (rBC) in urban Beijing during the late winter in 2014 was revealed by measurements
25 obtained using a single particle soot photometer (SP2), when the hazes occurred
26 frequently. By assuming void-free rBC with a density of 1.8 g cm^{-3} , the mass of the rBC
27 showed an approximately lognormal distribution as a function of the volume-equivalent
28 diameter (*VED*), for which there was a peak diameter of 213 nm. This size distribution
29 agreed well with those observed in other urban areas of China. Larger *VED* values of
30 the rBC were observed during polluted periods than on clean days, implying an
31 alteration in the rBC sources, as the mass-size of the rBC from a certain source varied
32 little once it was emitted into the atmosphere. The potential source contribution
33 functions showed that air masses from the south to east of the observation site brought
34 a higher rBC loading with more thick coatings and larger core sizes. The mean *VED* of
35 the rBC presented a significant linear correlation with the number fraction of thickly
36 coated rBC; the *VED* of the entirely externally mixed rBC was inferred as the *y*-
37 intercept of the linear regression. This *VED*, with a value of $\sim 150 \text{ nm}$, was considered
38 as the typical mean *VED* of the rBC from local traffic sources in this study. Accordingly,
39 the contribution of the local traffic to the rBC was estimated based on reasonable
40 assumptions. Local traffic contributed 35 to 100% of the hourly rBC mass concentration
41 with a mean of 59%, during this campaign. A lower local traffic contribution was
42 observed during polluted periods, suggesting increasing contributions of other sources
43 (e.g., coal combustion/biomass burning) to the rBC. The heavy pollution in Beijing was
44 greatly influenced by other sources in addition to the local traffic.

45 **Keywords:** black carbon aerosol, size distribution, source, haze

46



47 **1 Introduction**

48 Black carbon (BC), the major light-absorbing component in atmospheric aerosols, plays
49 an important role in the radiative balance of the earth system by directly heating the
50 lower atmosphere and affecting the cloud cover through semi-indirect effects
51 (Ramanathan and Carmichael, 2008). Although BC is hydrophobic, it can also act as a
52 cloud condensation nucleus when internally mixed with other hydrophilic components
53 (Zhang et al., 2008), indirectly affecting the radiative budget (Ramanathan et al., 2001).
54 As a result, BC aerosols have a great impact on the regional/global climate and weather
55 (Menon et al., 2002; Ramanathan and Carmichael, 2008; Ding et al., 2013; Liao et al.,
56 2015; Huang et al., 2016). Recent research has also illustrated that BC increases
57 atmospheric stability by its heating effect in the lower troposphere and cooling role at
58 the surface (Wang et al., 2013). It suppresses the diffusion of pollutants, which
59 deteriorates the air quality and plays an enhanced role in severe haze (Ding et al., 2016).
60 However, it is difficult to accurately quantify the radiative forcing and environmental
61 effects induced by BC because of the high variations in its concentration and
62 physicochemical properties (IPCC, 2013). The light absorption of BC highly depends
63 on its size and morphology. Mie calculations for hypothetical BC spheres show that the
64 mass absorption cross-sections reach their peak at a diameter of ~150 nm and then
65 decrease sharply with further increases in size (see Fig. 4 in Bond and Bergstrom, 2006).
66 However, atmospheric BC particles apparently consist of aggregates of small primary
67 spherules ~15 to 60 nm in diameter (Alexander et al., 2008; Zhang et al., 2008). They
68 are chain agglomerates when freshly emitted from the combustion sources resulting in
69 increasing mass normalized absorption with the particle mobility size (Khalizov et al.,
70 2009). These fresh BC particles are quickly coated by other aerosol components in the
71 atmosphere, leading to the collapse of the chain agglomerates into more compact BC
72 cores (Zhang et al., 2008). An alteration in the morphology of BC due to a thin coating
73 causes competition between light absorption enhancement and decline, resulting in
74 little variation in the absorption efficiency (Wang et al., 2013; Peng et al., 2016).
75 Subsequently, the thickened coating of the scattering shell enwrapping the compact BC
76 cores enhances the light absorption of BC by the lensing effect, although the upper limit



77 of the enhanced amplitude varied among different studies (e.g., Schnaiter et al., 2005;
78 Shiraiwa et al., 2010; Khalizov et al., 2009; Peng et al., 2016).

79 With the rapid development of its economy, China is suffering from heavy air pollution
80 (Yin et al., 2016). As one of the major aerosol components, the annual BC emissions to
81 the atmosphere are very high in China, representing approximately half of the emissions
82 in Asia and one-fifth of the global BC emissions (Qin and Xie, 2012). The mass
83 concentrations of BC have been widely measured (e.g., Cao et al., 2007; Zhang et al.,
84 2008), but there is a lack of a comprehensive investigation of the physicochemical
85 properties of ambient BC aerosols (e.g., size, morphology, and mixing state), due to the
86 limitations of the measurement methodology. A traditional approach through analyzing
87 the BC mass of size-segregated aerosol samples has usually been employed to
88 determine the BC size distribution (Huang and Yu, 2008; Yu et al., 2010). However, it
89 provides size information on the BC-containing particles rather than on the BC itself
90 because numerous BC particles are internally mixed with other aerosol components in
91 the ambient atmosphere (Shiraiwa et al., 2007; Schwarz et al., 2008). Additionally, the
92 time resolutions of the determined BC size on the basis of this method are typically
93 hours to days. In the last ten years, a novel analyzer–single particle soot photometer
94 (SP2)—has provided an advantage to investigate in a highly time resolved manner of the
95 mass and size of the refractory BC (rBC) (Stephens et al., 2003; Schwarz et al., 2006).
96 The mixing state of rBC particles can also be derived from the measurement of SP2
97 (Gao et al., 2007; Moteki and Kondo, 2007, 2008; Laborde et al., 2012). Research on
98 the sizes and mixing states of rBC based on this technology has been limited to a few
99 regions in China (e.g., Huang et al., 2012; Wang et al., 2014a, 2015a; Wu et al., 2016;
100 Gong et al., 2016), as the SP2 is very expensive and its performance is limited (Gysel
101 et al., 2012; Liggio et al., 2012). It should be noted that the sizes of rBC reported by
102 SP2 are generally mass-equivalent diameters rather than mobility- or aerodynamic-
103 based ones, which are determined on the basis of the mass measurements of individual
104 rBC-containing particles. Thus, they are independent of the morphology or mixing.

105 Although physicochemical properties of BC in the atmosphere are greatly diverse, its
106 mass-equivalent sizes should vary little during their typical lifetime in the atmosphere



107 (~1 week) since BC itself is chemically inert under ambient conditions. In other words,
108 the mass-size of a BC particle is independent of its morphology and mixing state,
109 although coating with other components will reduce its mobility diameter and enlarge
110 the size of the mixed particle in which the BC is embedded. As it is a byproduct of the
111 incomplete combustion of fossil fuels and biomass, the BC size should be highly
112 dependent on the emission sources, including fuel type and combustion condition.
113 Based on the measurement of SP2, Liu et al. (2014) showed smaller sizes of the rBC
114 cores from traffic than those from solid fuel sources and attributed the rBC
115 concentrations from the two dominant sources accordingly. The rBC sizes measured at
116 rural or remote sites were considerably larger than those measured at urban sites (Huang
117 et al., 2012; Schwarz et al., 2013), implying that smaller sizes of rBC are emitted from
118 traffic sources. Combining the measurement of SP2 and the chemical source
119 apportionment of daily PM_{2.5} samples, Wang et al. (2016) showed that the rBC from
120 biomass burning and coal combustion had larger mass-equivalent diameter than that
121 from traffic.

122 Jointly influenced by the local emissions (e.g., traffic exhaust) and regional transport
123 of air pollutants from the surrounding heavily polluted areas where intense industrial
124 emissions and coal combustions were reported, the source apportionments of PM_{2.5} and
125 its subcomponents (e.g., BC) in urban Beijing are highly controversial (Tao et al., 2016;
126 Zíková et al., 2016). In this study, a novel approach was employed to evaluate the
127 contribution of local traffic to the rBC concentration in urban Beijing during a
128 wintertime in 2014 when hazes occurred frequently, on the basis of measurements of
129 SP2 and reasonable assumptions. Before that, the mass-equivalent size distribution of
130 rBC in urban Beijing was revealed. The variation in the rBC size was also investigated,
131 accompanied by an analysis of their chemical compositions and potential source
132 contributions.

133

134 **2 Methodology**

135 In situ measurements of rBC were conducted using a SP2 (Droplet Measurement
136 Technology, Inc., Boulder, CO, USA) on the rooftop (approximately 8 m above ground



137 level) of an experimental building at the Tower Division of the Institute of Atmospheric
138 Physics, Chinese Academy of Sciences (IAP, CAS), during a late winter period from
139 24 February to 15 March 2014, before the residential heating was stopped. The SP2
140 directly detects the incandescent intensity of an individual rBC-containing particle
141 when it passes through an intra-cavity Nd:YAG laser beam with a Gaussian distribution
142 (Schwarz et al., 2006). The incandescent intensity is converted to the mass of rBC based
143 on the calibration of incandescent signals of size-selected soot standards performed
144 pre/post-sampling. In this study, the Aquadag (Acheson, Inc., USA) was used as a
145 reference rBC and size-selected by a scanning mobility particle sizer spectrometer
146 (SMPS; TSI, Inc., Shoreview, MN, USA) for calibration. Compared to the ambient rBC,
147 it is more sensitive to the incandescence signal. Thus, a scaling factor of 0.75 is
148 employed with the calibration curve to induce more reliable rBC mass determinations
149 (Baumgardner et al., 2012; Laborde et al., 2012). Moreover, an approximately 10%
150 underestimation of the SP2-derived bulk rBC mass concentration due to the detection
151 limitations outside the rBC mass range of ~0.3–120 fg was considered (Wang et al.,
152 2014a, 2015a). The total uncertainty in the rBC mass determination was ~25%,
153 including the uncertainties inherent in the mass calibration, flow measurement and
154 estimation of BC masses beyond the SP2 detection range (Wu et al., 2016). The
155 scattering signal is synchronously detected by the SP2 and used to determine the optical
156 size of a single particle (Gao et al., 2007; Laborde et al., 2012). In this study, the
157 scattering signal was employed to distinguish the mixing state of rBC-containing
158 particles. A traditional method based on the delay time between the incandescent and
159 scattering peaks was utilized to distinguish the rBC cores with and without a thick
160 coating (Schwarz et al., 2006; Moteki and Kondo, 2007; Wang et al., 2014a; Wu et al.,
161 2016). On this basis, the number fraction of thickly coated rBC ($N_{F_{\text{coated}}}$), defined as
162 the ratio of the number of thickly-coated rBC particles to that of all detectable rBC
163 particles, was calculated to characterize the relative mixing extent of the BC aerosols
164 in different ambient samples. A similar measurement was conducted in January 2013,
165 and more details of the experimental setup and data process can be found in Wu et al.
166 (2016).



167 Samples of PM_{2.5} was collected twice a day during this campaign, with each lasting for
168 twelve hours. The chemical contents including organic carbon (OC), elemental carbon
169 (EC), water-soluble ions (e.g., SO₄²⁻, NO₃⁻, and NH₄⁺) and trace elements were
170 analyzed in the laboratory, as presented in detail by Lin et al. (2016).

171

172 **3 Results and Discussion**

173 **3.1 Size distribution of rBC and its variation**

174 As shown in Fig. 1, the mass of rBC ($dM/d\log D_p$) exhibits an approximately lognormal
175 distribution as a function of the volume-equivalent diameter (*VED*) of void-free rBC,
176 as has been commonly observed (e.g., Schwarz et al., 2006; Huang et al., 2012; Wang
177 et al., 2016). A minor mode is also captured at large sizes (peaked at ~600 nm), only
178 accounting for ~6% of the SP2-determined rBC masses. An analogous minor mode was
179 previously observed at other sites in China. Huang et al. (2011) reported a minor peak
180 with a diameter of ~690 nm at Kaiping, a rural site in the PRD region of China. Wang
181 et al. (2014b) found a minor peak with a diameter of ~470–500 nm in a remote area of
182 the Qinghai–Tibetan Plateau and considered that it was likely a feature of the rBC
183 distribution of biofuel/open fire burning sources, which needs further measurements
184 focusing on the size distribution at the emission sources. The peak diameter of the
185 primary mode, with a value of 213 nm, during the campaign is well within the range
186 (~150–230 nm) presented by previous studies conducted in different regions (Huang et
187 al., 2012 and references therein). It should be noted that the density of the assumed
188 void-free rBC was set to 1.8 g cm⁻³ in calculating the *VED* from the rBC masses
189 measured in this study, which should result in larger *VED* values compared to those
190 based on the density of 2.0 g cm⁻³ used in previous studies. If the same density with a
191 value of 2.0 g cm⁻³ was employed, the peak diameter of the primary mode should be
192 ~206 nm in this study. This value is very close to those observed in urban areas
193 throughout China, e.g., 210 nm in Shenzhen in South China (Huang et al., 2012), 205
194 nm in Xi'an in West China (Wang et al., 2015b) and ~200 nm in Shanghai in East China
195 (Gong et al., 2016). The relatively close mass-size distributions of rBC suggest that
196 there are similar dominant emission sources in different urban regions in China, where



197 vehicle exhaust is one of the important sources emitting rBC particles. Compared to
198 those measured at rural sites in the PRD region in South China (e.g., 220–222 nm,
199 Huang et al., 2011, 2012), the peak diameters of rBC in urban areas are significantly
200 lower. This might relate to the greater amounts of coal combustion and biomass burning
201 around the rural sites (Huang et al., 2012). In contrast, the sizes of the rBC were much
202 smaller in remote regions, e.g., with a peak diameter of ~175–188 nm in the Qinghai–
203 Tibetan Plateau area (Wang et al., 2014b, 2015a). Wang et al. (2015a) attributed this
204 lower peak diameter value to the source and considered that biomass burning generated
205 a small rBC with peak *VED* values in the range of ~187–193 nm. Another important
206 reason for the smaller rBC measured in remote regions, in our opinion, is that more
207 large rBC particles are deposited during their long-range transport to the observation
208 site. Further research on the sizes of rBC from different sources is needed.

209 The mass-size distributions of rBC during a polluted day (25 February) and a clean one
210 (4 March) are also compared in Fig. 1. The average mass concentrations of rBC (MC_{rBC})
211 were $7.6 \mu\text{g m}^{-3}$ and $0.4 \mu\text{g m}^{-3}$, respectively, on the polluted and clean days. The size
212 distribution of rBC during the polluted day is similar to that during the entire
213 observation period, although a larger peak diameter was observed, with a value of 221
214 nm. In contrast, the peak diameter on the clean day is much smaller, with a value of 199
215 nm. The secondary mode cannot be well characterized on the clean day. As mentioned
216 above, the mass-sizes of rBC emitted from a certain source change little during their
217 lifetime in the atmosphere. Thus, the considerable discrepancy of the rBC sizes
218 illustrates significant source alteration during the polluted period compared to that on a
219 clean day. Sun et al. (2014) used the measurements of ACSM at an urban site in Beijing
220 to show that the regional contribution to the BC exceeded 50% during heavily polluted
221 periods in January 2013. Model simulation also revealed that regional transport
222 contributed an average of 56% to the $\text{PM}_{2.5}$ in Beijing in January 2013 when the hazes
223 occurred frequently, and even higher during polluted periods (Li and Han, 2016).
224 Accordingly, regional transport might play an important role in the increase in rBC
225 sizes during polluted periods in urban Beijing. By comparison, traffic emissions should
226 be the dominant source of rBC on the clean day, contributing to smaller rBC sizes.



227 The variation in the VED of the rBC is further investigated by comparing the mean VED
228 value of rBC (VED_{rBC}) with the mass ratios of secondary inorganic components (i.e.,
229 ammonium sulfate, AS; ammonium nitrite, AN) to EC, a representation of the aerosol
230 aging degree. Generally, the average VED_{rBC} of each sample shows an increasing trend,
231 with increasing ratios of AS to EC (AS/EC) and AN to EC (AN/EC) with correlation
232 coefficients of 0.63 ($p < 0.01$) and 0.61 ($p < 0.01$), respectively (Fig. 2a and 2b). Higher
233 AS/EC and AN/EC values were observed in polluted samples, corresponding to a
234 higher VED_{rBC} during these periods.

235 It is interesting to note that the VED_{rBC} correlates more closely with AS/EC than AN/EC,
236 especially under a certain pollution level. For instance, the correlation coefficient
237 between VED_{rBC} and AS/EC is 0.88 ($p < 0.01$) during clean periods with a $PM_{2.5}$ mass
238 concentration lower than $35 \mu\text{g m}^{-3}$ (blue dots in Fig. 2), much higher than that between
239 VED_{rBC} and AN/EC. By contrast, the NF_{coated} varied less with AS/EC during these
240 periods (Fig. 2c). This means that a higher AS/EC had less effect on the fraction of
241 thickly coated rBC during these clean periods but was related to larger rBC sizes, which
242 were highly dependent on the emission sources. In other words, higher AS/EC values
243 might indicate an increasing contribution of sources other than traffic to rBC, as sulfur
244 is one of the major trace elements of coal combustion but not of traffic (Zhang et al.,
245 2013; Wang et al., 2016), corresponding to larger rBC sizes. On the other hand, NF_{coated}
246 is highly related to AN/EC, with a correlation coefficient of 0.81 ($p < 0.01$) during the
247 clean periods (Fig. 2d). Even for the entire samples, the correlation coefficient between
248 NF_{coated} and AN/EC can be as high as 0.81 ($p < 0.01$), much higher than that between
249 NF_{coated} and AS/EC, with a value of 0.65 ($p < 0.01$). This implies that the mixing state of
250 rBC is more sensitive to AN/EC in urban Beijing, especially during the clean periods.

251 The secondary formation of AN might play an important role in the coating processes
252 of rBC but have a smaller effect on the core size of the rBC.

253

254 3.2 Potential source contribution to rBC mass and size

255 The potential source contribution function (PSCF) based on hourly resolved 48-h
256 backward trajectories arriving at the observation site 100 m above ground level were



257 performed using TrajStat software (Wang et al., 2009). The threshold of the PSCF
258 analysis was set to the mean value of each variable. A weight function on the gridded
259 PSCF values was employed on those cells having few trajectory endpoints (Wang et al.,
260 2006). Generally, the areas east and south of the observation site had the largest number
261 of potential source regions of high rBC concentrations, with weighted PSCF (WPSCF)
262 values of MC_{rBC} larger than 0.7 (Fig. 3a). Previous studies showed that Hebei province,
263 on the southern and eastern borders Beijing, was a major contributor of pollutants to
264 Beijing, as its industrial activities are intense (Zhang et al., 2013). The high coal
265 consumption associated with the heavy industrial activity and residential heating in the
266 cold season should be an important source of high atmospheric rBC loading in these
267 areas. Similarly, the distribution of the WPSCF values of VED_{rBC} shows that the eastern
268 and southern regions are also correlated with large VED_{rBC} values (Fig. 3b). This
269 implies that the pollution sources in these regions, e.g. heavy industrial activity and
270 residential heating, tend to produce highly concentrated rBC-containing particles with
271 large rBC core sizes. The source apportionment of rBC aerosols in London based on in
272 situ SP2 measurements showed that rBC-containing particles from solid fuel sources
273 (coal combustion and biomass burning) had significantly larger rBC cores than those
274 from traffic. Thus, the high WPSCF values of MC_{rBC} and VED_{rBC} in the east and south
275 might highly correlate to anthropogenic coal/biomass combustion in these regions.
276 The spatial distribution of the WPSCF values of NF_{coated} is shown in Fig. 3c. Associated
277 with the aging processes that increase the thickly coating states of rBC-containing
278 particles through heterogeneous reactions, the WPSCF values of NF_{coated} are generally
279 high in the areas surrounding the observation site. It should be noted that higher WPSCF
280 values of NF_{coated} (> 0.7) dominate in the east to south. In addition to the transport of
281 thickly coated BC particles from these regions, aging processes of locally emitted BC
282 particles (e.g., from traffic sources) under the southerly dominant condition, in which
283 the relative humidity (RH) is high (Zhang et al., 2015; Zheng et al., 2015), also increase
284 the fraction of thickly coated rBC (Wu et al., 2016). Although northerly/northwesterly
285 winds also blow aged rBC-containing particles with thick coatings, the larger amounts
286 of non-/thinly coated BC particles from local sources during these periods diminished



287 the WPSCF values of NF_{coated} in the north to west directions. The low RH and strong
288 winds from these directions are unfavorable to the coating processes of locally emitted
289 fresh rBC particles.

290 The VED_{coated} , defined as the VED of those thickly coated rBC cores, shows a dispersive
291 WPSCF distribution (Fig. 3d). Compared to the distribution of VED_{rBC} with high
292 WPSCF values that dominate in the east to south, high WPSCF values of VED_{coated} are
293 located in the northern pathway of air masses being transported to the observation site
294 as well. This implies that the regional transport of air masses brings large rBC, no matter
295 which direction it comes from. Dominated by the locally emitted small rBC, the
296 WPSCF values of VED_{rBC} are low in the northern region. It further illuminates that local
297 sources such as traffic emit small rBC, while regional transport brings large rBC. On
298 the basis of the large discrepancy in rBC sizes from local traffic against regional
299 transport, it is possible to extract the contribution of local traffic emissions from the
300 mixed rBC sources.

301

302 **4 Discussion**

303 **4.1 Relationship between rBC size and mixing state**

304 As large rBC sizes are usually accompanied by significant contributions of regional
305 transport, which also lead to a high fraction of thickly coated rBC, the VED_{rBC} is directly
306 compared with the NF_{coated} as shown in Fig. 4. The two-dimensional histogram of the
307 5-min average VED_{rBC} and NF_{coated} presents a significant linear correlation between the
308 two variables. It is characterized more clearly by the variation in the mean VED_{rBC}
309 values averaged in increased NF_{coated} bins with a resolution of 2% (magenta circles in
310 Fig. 4). The observed minimum value of the 5-min NF_{coated} is ~10%, representing that
311 there is little completely external mixing of rBC in the ambient atmosphere, even for
312 short periods. However, an assumed mean VED of completely externally mixed rBC is
313 extrapolated from the linear curve to NF_{coated} with a value of 0% (i.e., the y-intercept
314 value). This inferred VED , with a value of ~150 nm, might be considered as the typical
315 mean VED of freshly emitted rBC from vehicle exhaust, which is little coated (Zhang
316 et al., 2008; Peng et al., 2016). We are surprised to find that the linear relationship



317 between VED_{rBC} and NF_{coated} seems to be common, as indicated by an almost identical
318 result observed in another campaign conducted in January 2013 (Wu et al., 2016) (gray
319 circles in Fig. 4). More observations are needed to verify this relationship. However,
320 according to the results presented in this study, a mean VED of ~ 150 nm is legitimately
321 accepted as the typical SP2-determined mean VED of fresh rBC from local traffic
322 sources. As mentioned above, the VED of certain rBC varies little once it is emitted to
323 the atmosphere. Thus, the mean VED with a value of ~ 150 nm was employed in this
324 study as the representative of the rBC size from local traffic.

325 The variation in VED_{coated} with NF_{coated} is also shown (magenta triangles in Fig. 4). It is
326 interested to find that, compared to VED_{rBC} , VED_{coated} presents a fluctuant variation as
327 NF_{coated} increases. The larger VED_{coated} at lower NF_{coated} is comprehensible because
328 regionally transported large rBC dominates in the thickly coated rBC particles, and the
329 small rBC from local traffic is mainly externally mixed with other aerosol components
330 at this stage. As the NF_{coated} increases from 10–20% to 30–40%, the mean VED_{coated}
331 gradually decreases from ~ 200 nm to ~ 190 nm. This implies that some small rBC (e.g.,
332 rBC from local traffic) contributes a considerable part of the thickly coated rBC
333 particles at this stage. In addition to the influence of the emission sources on the rBC
334 size, this decrease in VED_{coated} can also be explained by the contamination of the local
335 traffic emitted small rBC into the thickly coated rBC particles through atmospheric
336 aging processes (i.e., coating with other components). It should be noted that the
337 VED_{rBC} sustained increases at this stage, implying that other sources besides the local
338 traffic also brought large rBC at the same time. This is because if the increase in NF_{coated}
339 only results from the coating processes of the local traffic emitted rBC, the VED of the
340 entire rBC (i.e., VED_{rBC}) should vary little. The VED_{coated} increases significantly when
341 NF_{coated} exceeds 40%, suggesting that regional transport dominates at this stage,
342 bringing a large amount of thickly coated rBC particles with a large rBC core.
343 Meanwhile, the mean MC_{rBC} increases dramatically from $1.3 \mu\text{g m}^{-3}$ to $5.0 \mu\text{g m}^{-3}$ when
344 NF_{coated} increases from 30% to 50%, further confirming the great contribution of
345 regional transport to the rBC at this stage. By comparison, the mean rBC concentration
346 varies less in the range of $0.8\text{--}1.4 \mu\text{g m}^{-3}$ when NF_{coated} is lower than 30%. The



347 observation from the campaign of 2013 shows a similar variation in VED_{coated} against
348 NF_{coated} (gray triangles in Fig. 4).

349

350 **4.2 Extracting the local traffic contribution to rBC**

351 As VED_{rBC} with a value of ~ 150 nm is expected to be the typical mean VED of the local
352 traffic emitted rBC and varies little in the atmosphere, it provides the possibility of
353 extracting the contribution of the local traffic to the rBC from the total rBC mass
354 concentration according to the variation in VED_{rBC} . However, the typical mean VED of
355 rBC from other sources, such as coal combustion and biomass burning, is difficult to
356 identify. It is dependent on many factors including fuel type and combustion condition.
357 In this study, a simple assumption was employed to identify the typical mean VED of
358 rBC from other sources besides local traffic according to where the air masses were
359 from. During a short period when the source emissions are relatively stable, the rBC
360 from a certain direction was assumed to have a certain mean VED , no matter from which
361 source it is emitted. Thus, a cluster analysis was performed on the 48-h backward
362 trajectories that arrived at the observation site. Five clusters were identified using
363 TrajStat software according to the total spatial variation in the cluster numbers (as
364 shown in Fig. S1). As the rBC tends to be more coated in the regionally transported air
365 masses, the mean VED of the rBC from sources other than local traffic was derived
366 from the values of VED_{coated} . The local traffic emitted small rBC also can also become
367 thickly coated through aging processes in the atmosphere, so a further assumption is
368 employed to consider the VED of rBC from other sources equal to the mean value of
369 the upper 5% percentile of VED_{coated} in each cluster. Five typical mean VED s of rBC
370 from sources other than local traffic were identified, with values in the range of 195.5–
371 208.3 nm (Fig. S1). Such a simple assumption might have an impact on the absolute
372 contribution of the local traffic to the rBC, but it should well reflect the variation in the
373 traffic contribution.

374 Accordingly, the hourly-resolved traffic contribution to the rBC was extracted on the
375 basis of the derived VED of the rBC from local traffic and other sources. The mass
376 fraction of the traffic-induced rBC (MF_{traffic}) is shown in Fig. 5a (red line). During this



377 campaign, approximately 35% to 100% of the hourly MC_{rBC} is attributed to local traffic
378 emissions, with a mean of 59%. Based on a multiple linear regression analysis of the
379 contributions of the three dominant factors (i.e., traffic, coal combustion and biomass
380 burning) to the rBC derived from the chemical source apportionment of the daily $\text{PM}_{2.5}$
381 samples, Wang et al. (2016) showed a slightly lower contribution of the traffic to the
382 rBC in urban Xi'an, with a mean of 46% and a daily contribution in the range of 0.8 to
383 77.2%. Since entirely different methods were employed in addition to the different
384 locations, the resolved traffic contribution to the rBC should not be compared absolutely.
385 However, the relatively lower MC_{rBC} in this study (with a mean of $2.8 \mu\text{g m}^{-3}$ compared
386 to $8.0 \mu\text{g m}^{-3}$) might partly interpret the slightly higher contribution of traffic, as a lower
387 MC_{rBC} is usually accompanied by a higher contribution of the local traffic. It is clear
388 that MF_{traffic} is negatively correlated with MC_{rBC} , with the correlation coefficient as high
389 as -0.84 ($p < 0.01$) between the daily moving averaged MF_{traffic} and MC_{rBC} (Fig. 5a). This
390 means that the traffic contribution to the rBC decreased significantly during the polluted
391 periods when the rBC loading increased. In other words, the rBC from other sources
392 such as coal combustion and biomass burning play an increased role in these polluted
393 periods. This implies that the high MC_{rBC} in urban Beijing was not only due to the
394 accumulation of the local traffic emissions during stable synoptic conditions but also
395 be attributed to the overlaying pollution from other sources.

396 The diurnal variations of the decomposed MC_{rBC} from local traffic and other sources
397 are shown in Fig. 5b and 5c, respectively. A common diurnal variation in MC_{rBC} with
398 high values during the nighttime and low in the daytime is shown by both the traffic
399 and other sources producing rBC, suggesting the important impact of the mixing layer
400 height on the surface MC_{rBC} . A high mixing layer in the daytime, especially in the
401 afternoon, favors the diffusion of the pollutants, leading to a low value of MC_{rBC} . A low
402 mixing layer in the nighttime suppresses the diffusion of pollutants, resulting in a high
403 value of MC_{rBC} . It is noted that a significant peak MC_{rBC} of local traffic was observed
404 in the early morning (05:00–06:00 local time). Moreover, the increase in the local traffic
405 related MC_{rBC} occurs earlier than that of other sources in the evening. It corresponds
406 well to the increased traffic contribution in the morning and evening rush hours. To



407 some degree, the diurnal variation verifies the rationality of the method we employed
408 to distinguish the contribution of the local traffic emission from that of other sources.

409

410 **5 Summary and Concluding Remarks**

411 An approximate lognormal size distribution of the rBC in volume-equivalent diameter
412 in urban Beijing during a polluted wintertime in 2014 was observed on the basis of
413 measurements using a SP2. The peak diameter was 213 nm, assuming void-free rBC
414 with a density of 1.8 g cm^{-3} , which is close to the values observed in other urban areas
415 in China. The measured sizes of the rBC were considerably larger during the polluted
416 period than in the clean period, implying a source variation of the rBC. The mean
417 VED_{rBC} was positively correlated with the ratios of secondary inorganic aerosols
418 (including AS and AN) to EC, more significantly with AS/EC, especially at a certain
419 pollution level. This implies that the rBC sizes are highly related to the emission sources
420 because sulfur is one of the major trace elements in coal combustion, while little is
421 emitted from traffic. By comparison, the mean NF_{coated} was correlated more with
422 AN/EC, implying the important effect of the secondary formation of nitrate on the rBC
423 mixing state. The PSCF analysis showed that regional transport from the east to south
424 of Beijing was a major source of high rBC loading in Beijing and accompanied by a
425 large VED_{rBC} and high NF_{coated} .

426 The relationship between VED_{rBC} and NF_{coated} was further discussed. A significant
427 positive correlation existed among the two variables. The mean VED of the entire
428 externally mixed rBC was extrapolated from the linear curve to NF_{coated} being equal to
429 0. The inferred VED with a value of 150 nm was considered as the typical mean VED
430 of the rBC from local traffic. Based on the inferred VED and further reasonable
431 assumptions, the local traffic contribution to the rBC was extracted using a multiple
432 linear regression to VED_{rBC} . Traffic emissions played an important role in the rBC
433 loading in urban Beijing, contributing 59% of the MC_{rBC} , on average, in the campaign.
434 However, its contribution decreased significantly in the polluted period. A significant
435 negative correlation is found between the daily moving average MC_{rBC} and MF_{traffic}
436 with a coefficient of -0.87. A similar diurnal variation in the decomposed MC_{rBC}



437 associated with local traffic and other sources was observed with high values in the
438 nighttime and low in the daytime. However, a significant increase in traffic MC_{rBC} was
439 observed in the early morning and evening, indicating the increased contribution of
440 local traffic emissions. Although the absolute contribution of the local traffic might be
441 not entirely accurate in this study, as inferences and assumptions are employed, its
442 relative variation is still clear. Further research on the size measurement of rBC directly
443 from varied sources, including coal combustion, biomass burning and traffic exhaust,
444 is needed to validate our work. This work provides a relatively simple but novel method
445 to extract the contribution of the local traffic to the rBC on the basis of the size
446 measurement of the rBC in an ambient atmosphere. This work should be meaningful to
447 source apportionment research in urban Beijing where the air pollution is quite severe.

448

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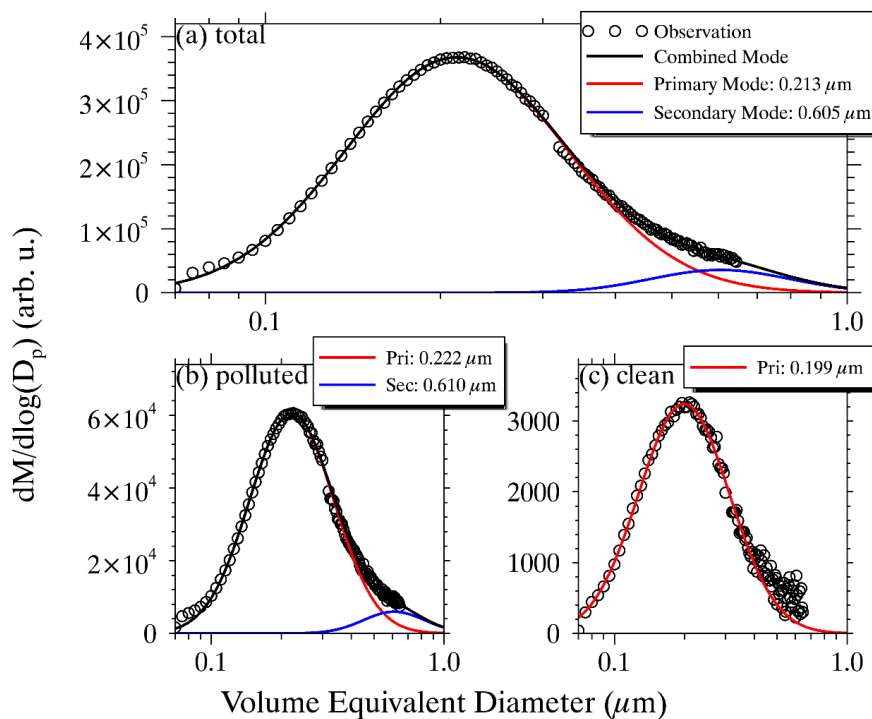
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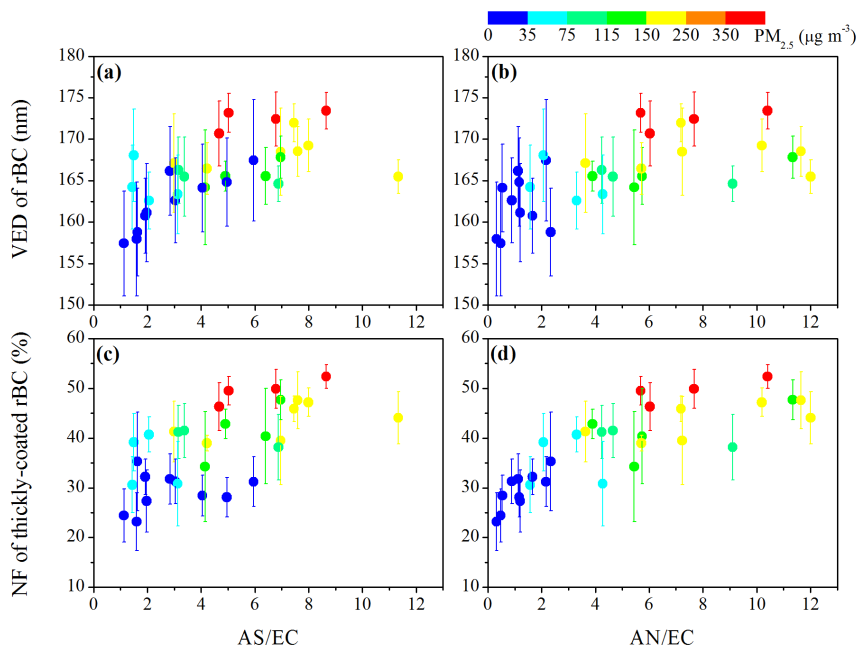
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630 Fig. 1. Size distributions of rBC in volume-equivalent diameter during a campaign from 24 February
631 to 15 March, 2014. The red and blue lines are the lognormal fittings to the primary and secondary
632 modes, respectively, and the black ones correspond to the combined mode.

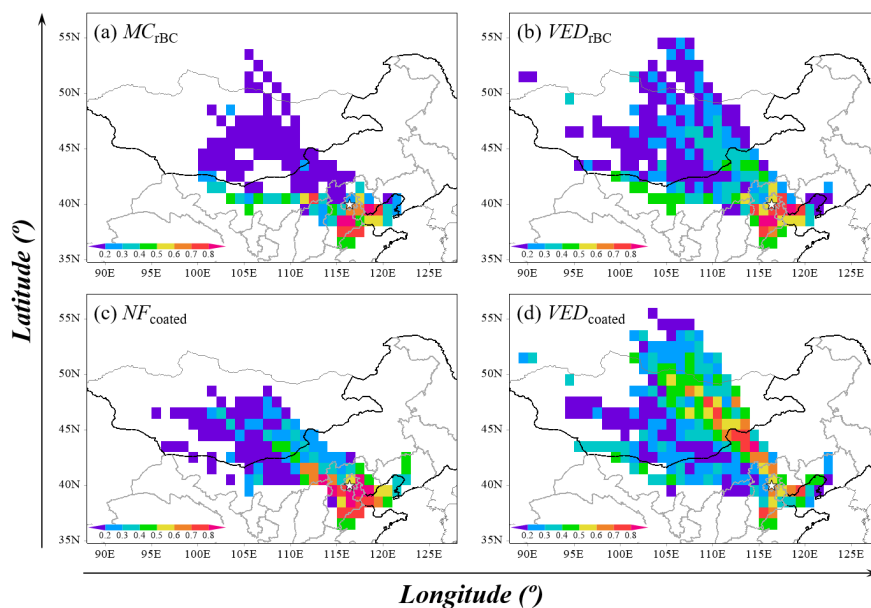
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635 Fig. 2. Variation in the average volume-equivalent diameters of rBC (VED_{rBC}) as a function of the
636 mass ratios of (a) ammonium sulfate (AS) and (b) ammonium nitrite (AN) to elemental carbon (EC).
637 The same apply for (c) and (d), but for the number fraction of thickly coated rBC (NF_{coated}). The
638 vertical bar denotes one standard deviation. The color scale represents the pollution levels defined
639 as the $PM_{2.5}$ mass concentration according to the AQI standard of MEP of China.

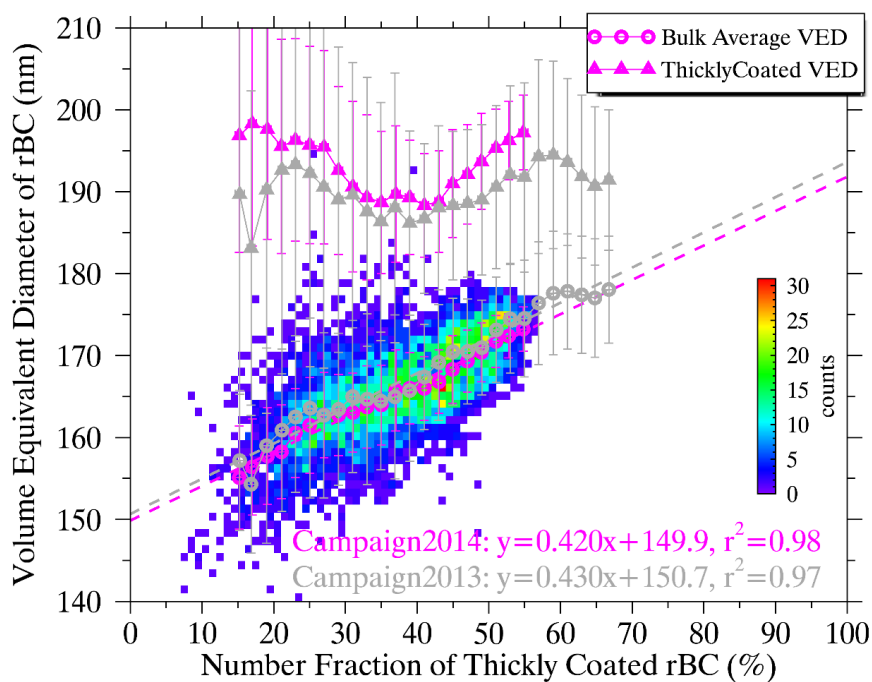
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642 Fig. 3. Distributions of gridded ($1^\circ \times 1^\circ$) potential source contribution functions of (a) mass
643 concentration (MC) and (b) volume equivalent diameter (VED) of rBC, and (c) number fraction (NF)
644 and (d) VED of thickly coated rBC. The overlaid star symbol represents the geographical location
645 of the observation site.

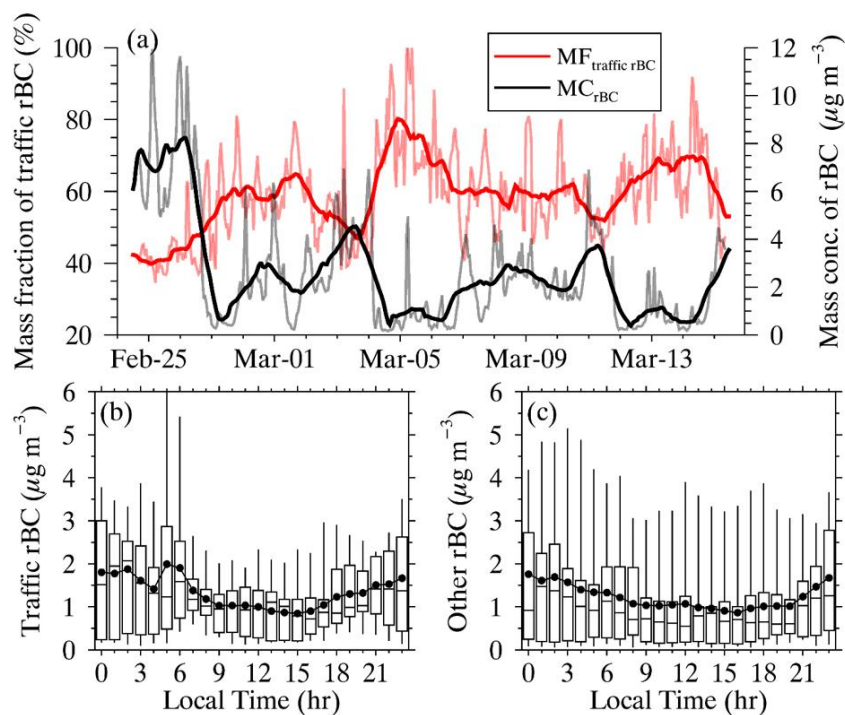
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648 Fig. 4. Two-dimensional histogram of the 5-min average volume equivalent diameter of rBC
649 (VED_{rBC}) against number fraction of thickly coated rBC (NF_{coated}) during the campaign in the late
650 winter in 2014. The magenta circles and triangles with error bars represent the mean VED_{rBC} and
651 VED of thickly-coated rBC (VED_{coated}) averaged in each NF_{coated} bin with a resolution of 2%,
652 respectively. The dashed magenta line denotes the linear regression of VED_{rBC} against NF_{coated} . The
653 relationship between VED_{rBC} and NF_{coated} during another campaign in January 2013 (Wu et al., 2016)
654 is comparatively overlapped in gray.

655



656

657 Fig. 5. (a) Time series of hourly mass concentration of rBC (MC_{rBC}) and mass fraction of local traffic
658 related rBC (MF_{traffic}). The bold lines represent the variations of the daily moving averaged MC_{rBC}
659 and MF_{traffic} . (b) and (c) show the diurnal variations in the decomposed rBC from local traffic
660 emission and other sources, respectively.