1	Typical meteorological conditions associated with extreme nitrogen dioxide
2	(NO ₂) pollution events over Scandinavia
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12	Abstract
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14	Characterizing typical meteorological conditions associated with extreme pollution events helps in
15	the better understanding of the role of local meteorology in governing the transport and distribution
16	of pollutants in the atmosphere. The knowledge of their co-variability could further help to evaluate
17	and constrain chemistry transport models (CTMs). Hence, in this study, we investigate the statistical
18	linkages between extreme nitrogen dioxide (NO ₂) pollution events and meteorology over
19	Scandinavia using observational and reanalysis data. It is observed that the south-westerly winds
20	dominated during extreme events, accounting for 50-65% of the total events depending on the
21	season, while the second largest annual occurrence was from south-easterly winds, accounting for
22	17% of total events. The specific humidity anomalies showed an influx of warmer and moisture-
23	laden air masses over Scandinavia in the free troposphere. Two distinct modes in the persistency of
24	circulation patterns are observed. The first mode lasts for 1-2 days, dominated by south-easterly
25	winds that prevailed during 78% of total extreme events in that mode, while the second mode lasted
26	for 3-5 days, dominated by south-westerly winds that prevailed during 86% of the events. The
27	combined analysis of circulation patterns, their persistency, and associated changes in humidity and
28	clouds suggests that NO ₂ extreme events over Scandinavia occur mainly due to the long-range
29	transport from the southern latitudes.
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36 **1. Introduction**

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38 Nitrogen dioxide (NO₂) is one of the highly reactive gases of the nitrogen oxides (NOx) family. The 39 major sources of NO₂ are fuel combustion in motor vehicles, industrial boilers, emissions from soil 40 and agricultural biomass burning. The natural source of NO₂ is lightning and forest fires. Recent 41 studies indicate increasing trends in NO₂ in developing countries and decreasing trends in developed 42 countries as a result of environmental regulation policies (Richter et al. 2005; Zhang et al. 2007; van 43 der A et al. 2008; Schneider et al. 2015; Geddes et al. 2016). NO₂ is an oxidizing agent resulting in 44 the corrosive nitric acid and plays an important role aiding the formation of ozone. It can also 45 contribute to the formation of particulate matter (PM) and secondary organic particles through photochemical reactions. Increased NOx concentrations not only severely affect human physical 46 47 health through reduced lung function, but also affect aquatic ecosystems through acid deposition 48 and eutrophication of soil and water (Sjöberg et al. 2004; Klingberg et al. 2009; Bellandar et al. 49 2012; Gustafsson et al. 2014; Nilsson Sommar et al. 2014; Oudin et al. 2016; Taj et al. 2016). 50 Lamarque et al. (2013) based on the multi-model intercomparison assessed increases in regional 51 nitrogen deposition by up to 30-50% from RCP 2.6 to RCP 8.5. According to the 4th IPCC 52 Assessment Report, the total global NOx emissions have increased from a pre-industrial value of 12 53 Tg N/yr to between 42 and 47 Tg N/yr in 2000. The most recent study by Miyazaki et al. (2017) 54 estimated a ten year (2005-2014) global total surface NOx emissions of 48.4 Tg N/year with an 55 increase of 29%, 26% and 20% per decade increase respectively over India, China and Middle East and a decrease of 38%, 8.2% and 8.8% respectively over United States, southern Africa and western 56 57 Europe. In heavily polluted areas NO₂ can also have noticeable impact on the local radiation budget 58 (Vasilkov et al. 2009).

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60 Compared to other pollutants such as carbon monoxide (CO) that has a life span of weeks to few 61 months, NO₂ has a relatively shorter life time in the atmosphere and ranges typically from a couple 62 of hours in the boundary layer to up to few days in the upper troposphere (Beirle et al., 2011). 63 Therefore, NO₂ can be typically associated with short-range transport events. For long range 64 transport (LRT) or intercontinental transport of pollutants and in particular of NO₂ to occur, the 65 associated weather systems need to be linked with stronger winds and rapid convective-advective 66 events such as cyclones or warm conveyor belts (WCBs) that can lift air masses from their source 67 regions up into the free troposphere and be transported across the oceans (Eckhardt et al. 2003; 68 Stohl et al. 2003). Due to lower concentrations of radical species in the free troposphere, the 69 reaction with NO₂ is limited. Zien et al. (2014) identified about 3800 LRT events of NO₂ during a 5

- 70 year period from the major pollution hotspots such as the east coast of North America, central
- 71 Europe, China and South America, predominantly during autumn and winter months.
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73 There have been several studies reporting individual LRT events of NO₂. To mention a few, Stohl et 74 al. (2003) in a study explained "intercontinental express highways" being responsible for almost 60% of the total intercontinental transport of pollutants from across the Atlantic to Europe, resulting 75 76 in an increment of average European winter NOx mixing ratios by about 2-3 pptv. In yet another 77 study, Schaub et al. (2005) demonstrated that at least 50 % of the NO₂ recorded at the Alpine region 78 was advected via a frontal system from the Ruhr area in central Germany in February 2001. 79 Donnelly et al. (2015) reported that easterly air masses during winter resulted in increased NO₂. 80 concentrations in the urban and rural sites in Ireland. LRT of NOx across the Indian Ocean from 81 South Africa to Australia in May 1998 was reported by Wenig et al. (2003).

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The Nordic countries often lie at the receiving end of short-range pollutant transport from northern 83 84 Europe or they are a part of a much larger transit pathway of eventual long-range transport to the Arctic, originating from either Europe or North America. To what extent such a transport from the 85 86 southerly latitudes affects the characteristics of extreme pollution events (such as magnitude, 87 frequency and persistence) over Scandinavia depends largely on prevailing circulation patterns and 88 meteorological conditions. The local meteorology can enhance or dampen the concentration of the 89 pollutants depending on the degree of persistency; the knowledge of which would help to better 90 constrain the chemistry transport models (CTMs). Therefore, identifying the dominant weather 91 patterns over Scandinavia especially during extreme pollution is important. However, there has not 92 been a systematic study linking the transport events of NO₂ to different meteorological conditions, 93 solely from observational data over the Scandinavian region. Therefore, the main aim of the present 94 study is to characterize circulation regimes and meteorological conditions extreme pollution events, 95 to understand to what extent they differ from climatological conditions. There are two different 96 ways to study this co-variability solely using observational data: 1) the "top- down approach" 97 wherein the atmospheric state is first identified and then the variability of the tracers is evaluated. 98 This approach gives a general perspective of the distribution of tracers based on a particular weather 99 state and 2) the "bottom-up approach" wherein the pollution episode is first identified and the 100 weather state associated with it is studied. In this study we make use of the bottom-up approach as 101 explained in the next section. 102

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- 105 **2. Data sets and methodology**
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- 107 The NO₂ tropospheric column densities from OMI (Ozone Monitoring Instrument) on board the
- 108 EOS Aura satellite are used in this study to define and identify extreme events (Boersma et al.,
- 109 2001, 2008, 2011; Bucsela et al., 2006, 2008, 2013; Lamsal et al. 2008, 2010, 2014). 11 years (2004
- -2015) of daily Level 3 gridded standard product, available at 0.25x0.25 degrees resolution is
- 111 analysed (OMNO2d, Version 3, available at: https://disc.gsfc.nasa.gov/Aura/data-
- 112 holdings/OMI/omno2d_v003.shtml). This particular product is used as it provides good quality
- 113 OMI retrievals, already screened based on recommendations by the OMI Algorithm Team. We
- allowed retrievals under cloudy conditions to be analysed, not only to have robust number of
- samples, but also to avoid clear-sky biases since the NO₂ transport is often associated with cyclonic
- 116 systems that lead to increased cloudiness (Zien et al. 2014). We further tested the sensitivity of our
- results to using only cloud screened retrievals, to evaluate if the selection of extreme events and
- 118 associated meteorological conditions are different from those cases when retrievals under partially
- 119 cloudy conditions are used.
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- 121 Humidity and cloud fraction retrievals from the AIRS (Atmospheric Infrared sounder) instrument
- 122 on board Aqua satellite are used (Chahine et al. 2006; Susskind et al. 2014; Devasthale et al. 2016).
- 123 Both Aqua and Aura satellites are a part of NASA's A-Train convoy, providing added advantage of
- 124 simultaneous observations of trace gases from OMI-Aura and thermodynamical information from
- 125 AIRS-Aqua. AIRS Version 6 Standard Level 3 Daily Product (AIRX3STD) for the same period
- 126 (2004-2015) is used (data available at:
- 127 <u>https://disc.gsfc.nasa.gov/uui/datasets?keywords=%22AIRS%22</u>).
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129 To investigate circulation patterns, u and v wind components at 850 hPa from ECMWF's ERA-

130 Interim Reanalysis are used (Dee et al., 2011; http://apps.ecmwf.int/datasets/data/interim-full-

- 131 daily/levtype=sfc/).
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- 133 In order to investigate co-variability of meteorological conditions and pollutants using observations,
- 134 two different approaches can be taken (Fig. 1). In a "top-down" approach, a weather state
- 135 classification can be done to identify most prevailing weather states that occur over the study area
- 136 and then the relative distribution of pollutants can be investigated under those states to rank them.
- 137 This approach was adapted by Thomas and Devasthale (2014) and Devasthale and Thomas (2012).
- 138 In a "bottom-up" approach on the other hand, a set of pollution events can be identified first and
- then the corresponding meteorological conditions can be investigated. This bottom-up approach is

the focus of the present study. It should be mentioned that both of these approaches have their advantages and limitations. For example, the dominant weather pattern identified in the top-down approach may not have the largest impact on pollutant variability and the pollution events identified in the bottom-up approach may not be associated with the dominant weather pattern or may not have the largest impact on an average in the weather state they occur. Therefore, only the combination of these two approaches will provide a complete picture of the co-variability between meteorological conditions and pollutants.

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148 In the present study, an "extreme" pollution event is defined as follows. First, the histograms of NO₂ tropospheric column densities using OMI data for each month are computed over the centre of 149 150 the study area (55N-60N, 11E-20E). This area is chosen because it accommodates top ten polluted 151 and populated cities/regions in Sweden (Sjöberg et al. 2004; Klingberg et al. 2009; Bellandar et al. 152 2012; Gustafsson et al. 2014; Nilsson Sommar et al. 2014; Oudin et al. 2016; Taj et al. 2016). All 153 events that surpass the 90-percentile (90% ile) value are considered as extreme events. The monthly 154 histograms of NO₂ over the study region are shown in Fig. 2 along with 90 percentile thresholds for each month (vertical lines). Since NO₂ distributions over the study area show strong monthly 155 156 variability, the monthly thresholds were chosen to define extreme events. The distributions of NO₂ 157 have longer tails during winter half year and the tropospheric columns are also higher. Therefore, the resulting 90% ile thresholds are also higher in winter compared to summer months. However, 158 159 using thresholds based on percentiles (rather than having a fixed value throughout the season or 160 year), makes the criteria for the selection of extreme events fair and equally applicable for each 161 month.

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163 **3. Meteorological conditions observed during extreme events**

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165 The spatial distribution of tropospheric NO₂ column during climatological conditions, extreme events and anomalies thereof is presented in Fig. 3. Note that although the thresholds for defining 166 167 extreme events are different for each month, the results are compiled over four distinct seasons for 168 the sake of brevity. By definition, NO₂ anomalies during extreme events are similar in magnitude to 169 climatological values over Scandinavia. The spatial extent of the severity of the extreme pollutant 170 episodes over southern Sweden is noticeable. Under climatological conditions, highest 171 concentrations are observed over northern Germany and France, the Netherlands and Belgium (the 172 Benelux region). There is a good spatial coherence between NO₂ distributions under climatological 173 conditions and extreme events, in the sense that the high concentrations of NO_2 seemed to have 174 spread over southern Scandinavia during extreme events from the regions where climatological

175 values are usually higher. It is to be noted that during extreme events the pollution levels over 176 northern European regions are also enhanced. For an event to qualify as an extreme event over 177 southern Scandinavia, the pollutant levels in the source regions also need to be higher than usual in 178 order to allow strong transport under favourable atmospheric circulation patterns. This provides 179 confidence in the selection process of extreme events. The NO₂ concentrations are relatively higher 180 in winter and autumn compared to the summer months. This is mainly because atmospheric

- 181 removal by radical species and deposition are much more efficient in the summer months.
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183 In order to characterize typical meteorological conditions that can result in such high concentrations over Scandinavia, we first investigated the dominant wind direction at 850 hPa associated with 184 185 those extreme events using ERA-Interim reanalysis data. The normalized frequency of occurrence 186 of different wind directions during four seasons is shown in Fig. 4. It can be seen that, irrespective 187 of the season, the south-westerly winds are dominant during extreme events accounting for 50-65% 188 of total events. This is consistent with south-westerly extension of pollution plume mentioned 189 earlier. The second largest annual occurrence is from south-easterly winds, accounting for 17% of 190 total events followed equally similar contribution from north-westerly winds. Compared to 191 climatological conditions, south-westerly winds have 30-40% more likelihood of being dominant 192 during extreme events depending on the season. However, such clear tendency compared to 193 climatological conditions is not observed in the case of other wind directions. The spatial pattern of 194 the 850 hPa winds based on ERA-Interim reanalysis and corresponding humidity anomalies at 850 195 hPa based on AIRS data during extreme events are shown in Figs. 5 and 6 respectively. A clear 196 transport pathway from the northern continental Europe to Scandinavia is visible. The strongest 197 winds are observed during the DJF months followed by the SON months with average wind speeds 198 reaching over 10 m/s. The weakest winds are observed during the JJA months. The circulation 199 pattern is characterized by the presence of low pressure systems in the Norwegian Sea that create 200 favourable conditions for the transport of pollutants from continental Europe into Scandinavia. The 201 location of the center of these cyclonic systems can slightly vary over the Norwegian Sea, affecting 202 the direction and strength of the northward flow, as evident in Fig. 5. For example, in the DJF 203 months, the center is located far away in the open Norwegian Sea allowing stronger south-westerly 204 winds over southern Scandinavia. In the JJA months, the center of cyclonic systems is close to 205 western Norwegian coast. While this pattern also leads to south-westerly winds, air masses are 206 mixed with colder and drier air from the northern Norwegian Sea.

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The specific humidity anomalies show an influx of warmer and moister air masses over Scandinavia(Fig. 6), except in summer as mentioned above. The seasonality in the vertical structure of the

210 specific humidity anomalies over Scandinavia is shown in Fig. 7c. While there are large deviations 211 in humidity anomalies, influenced by the strength of the wind flow, they are positive regardless of 212 the season during extreme events and peak at 2-3 km above the surface. Such increase in the free 213 tropospheric moisture, especially during winter half year in the absence of local moisture sources, 214 can only be explained by the transport from southern latitudes. The vertical water vapour anomalies 215 are higher in winter half year (DJF and SON), consistent with high NO₂ anomalies during those 216 months. Fig. 8 further shows cloud fraction anomalies. Average cloudiness is increased in all 217 seasons during extreme events, in particular during winter half year. During this time of year, the 218 large-scale frontal systems originating from the southwesterly regions can bring moister airmasses 219 over Scandinavia, as can be seen in the circulation patterns and humidity anomalies, creating favourable conditions for cloud formation. Therefore, these positive cloud fraction anomalies, in 220 221 combination with positive humidity anomalies and circulation patterns, are indicative of the long-222 range transport of airmasses associated with increased NO₂ concentrations.

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224 For an extreme pollution event to be linked with the transport the wind flow should be stronger 225 allowing rapid advection and associated circulation pattern also needs to be persistent. Fig. 7a and 226 7b show the histograms of wind speed at 850 hPa over the study areas during extreme events when 227 data are partitioned by wind direction and by season respectively. The average values of wind 228 speeds are also shown for extreme events and climatological conditions (in brackets). Although the 229 distributions are shifted to higher wind speeds in nearly all cases during extreme events compared 230 to climatological conditions, the average wind speeds are not significantly different. The south-231 westerly winds are strongest and show largest difference in average wind speeds, while the 232 northeasterly winds are weakest. Average wind speeds during the winter half year (DJF and SON) 233 are higher than the summer half year, consistent with observed positive anomalies of humidity and 234 clouds.

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236 The persistency of the different circulation patterns during these extreme events is further evaluated 237 as shown in Fig. 7d. The persistency is defined as follows. If an extreme event is observed, the wind 238 speed and wind direction are computed for the last 10 days. It is then checked how many days back 239 in time that particular wind direction was *continuously* sustained and that wind direction is not changed by more than $\pm 15^{\circ}$ (a third of the quadrant) during that time period. It is to be noted that 240 the choice of the $\pm 15^{\circ}$ threshold is based on the visual inspection of about 25 test cases. It was 241 found that if a stricter threshold is used (requiring wind direction deviations less than $\pm 5^{0}$) the 242 sampling is considerably reduced for long persistency events. On the other hand, if a more relax 243 threshold is used (allowing deviations up to $\pm 30^{\circ}$) we incorporate tail ends of the events that 244

245 persisted over neighbouring areas. Two distinct modes in the persistency of circulation patterns are 246 observed, one in which a particular wind direction persists for a day or two and a second mode in 247 which winds persists for 3 to 5 continuous days. This is clearly different from the degree of 248 persistency observed under climatological conditions when winds persisted in one particular 249 direction predominantly for few days. It was identified that during extreme events south-easterly 250 winds dominated the first mode explaining 78% of the total occurrence in that mode and the 251 westerly winds dominated the second mode explaining 86% of the total occurrence. In the latter 252 case, when the winds persist for few days (3-5 days), the conditions are favourable for the long-253 range transport from the southern latitudes since circulation patterns (Fig. 5) are associated with 254 typical frontal systems and baroclinic disturbances that make their way over Scandinavia.

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4. Sensitivity of chosen events to cloud clearing procedure

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259 As mentioned in Section 2, we allowed retrievals under cloudy conditions to be analysed, not only 260 to have a robust number of samples, but also to avoid potential clear-sky biases. However, clouds can contaminate the NO₂ retrievals by modulating scattering in the atmosphere. Moreover, clouds 261 262 are highly variable not only in space and time but also in their nature, thus making it challenging to assess their overall impact on the quality of retrievals. In the case of our study, potential cloud 263 264 contamination can affect the selection of extreme events and thereby associated weather patterns 265 that are being studied. Therefore, we carried out a sensitivity study wherein the entire analysis was repeated using only cloud screened NO₂ retrievals to investigate to what extent cloud clearing 266 267 would affect the chosen events and subsequent analysis. We required that cloud fraction is less than 268 10% in AIRS data and valid retrievals of OMI cloud cleared tropospheric column NO₂ are available. 269 Fig. 9 shows the histograms of NO₂ total columns under partially cloudy (solid lines) and cloud 270 screened conditions (dotted lines). The histograms are accumulated over four seasons instead of 271 months for clarity (to avoid too many lines). The chosen 90% ile thresholds are certainly different 272 under partially cloudy and cloud screened conditions, but only slightly. We also found that, 273 depending on the month, the selected extreme events match under partially cloudy and cloud 274 screened conditions between 76% and 88% of the time. Fig. 10 further shows the spatial 275 climatological distribution of NO₂ and during extreme events using only cloud screened retrievals. 276 When compared to Fig. 3, the spatial distributions look patchy as a result of selected screening, but 277 the magnitude and spatial features do not change significantly, providing confidence in our earlier 278 analysis based on partially cloudy retrievals. Finally we evaluated if the events based on cloud 279 screened data impact the analysis of meteorological conditions investigated here. Fig. 11 shows the

280 vertical structure of specific humidity anomalies over the study region under partially cloudy (solid

281 lines) and cloud screened conditions (dotted lines). While the slight differences in the vertical

structure do exist, their sign and magnitudes are not large enough to change any previousargumentation.

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5. Conclusions

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287 The main aim of the present study was to characterize typical meteorological conditions associated 288 with extreme NO₂ pollution events over Scandinavia. To that end, the study employs the bottom-up approach, in contrast to top-down approach taken by Thomas and Devasthale (2014) to study 289 290 statistical co-variability of weather states and pollutant distribution. Such detailed analysis 291 characterizing circulation patterns and meteorological conditions involving more than 300 extreme 292 pollution events identified using satellite data has not been done before over the Scandinavian 293 region. It is observed that the south-westerly winds dominated during extreme events accounting for 294 50-65% of total events, while the second largest annual occurrence was from south-easterly winds, 295 accounting for 17% of total events followed by an equally similar contribution from north-westerly 296 winds. Wind speeds are generally higher during extreme events, but only slightly, making it 297 challenging to delineate distinct circulation regimes under these events. For the first time, we 298 investigated the degree of persistency of wind direction during extreme events. In contrast to 299 climatological conditions, two distinct modes of persistency were found; first one lasting a day or so 300 and dominated by winds from south-easterly direction and the other mode lasting 3 to 5 days 301 dominated by south-westerly and north-westerly winds. This information on the degree of 302 persistency in conjunction with circulation patterns could be useful to identify extreme transport 303 events. Further analysis of circulation patterns in combination with spatial distribution of humidity 304 and its vertical structure suggest that these events occur as a result of long-range transport from 305 southern latitudes, most likely from the northern parts of Germany and France, the Netherlands and Belgium. The analysis presented here provides information that can be used in the process oriented 306 307 evaluation of chemistry transport models over Scandinavia.

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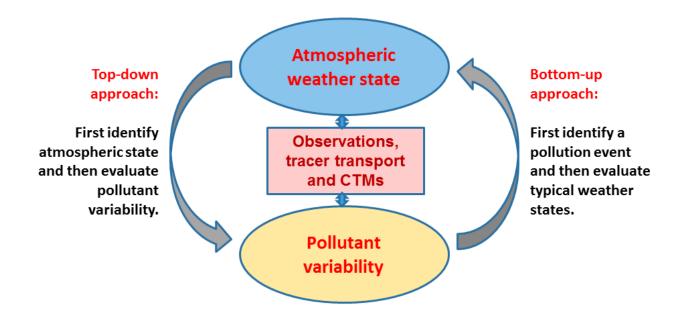
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- 490 Fig. 1: Schematic showing two different approaches to study statistical co-variability of
- 491 atmospheric weather states and pollutant concentrations.

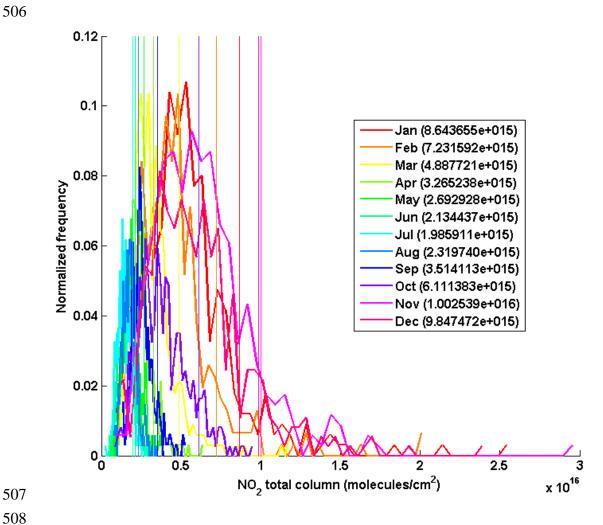
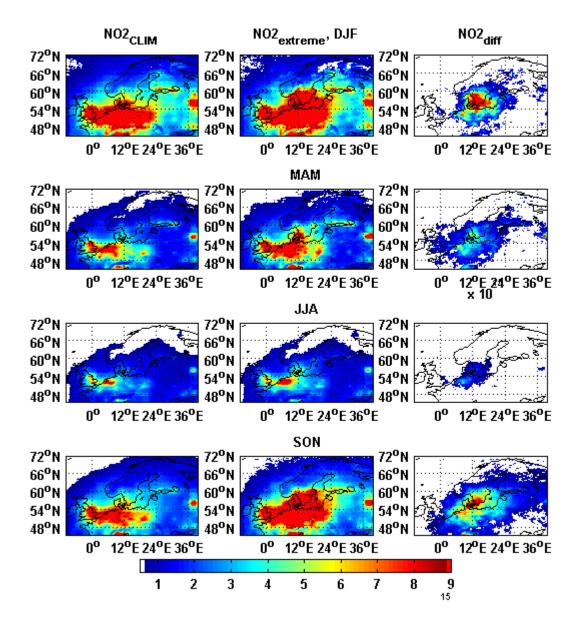


Fig. 2: Monthly histograms of tropospheric total column NO₂ over the centre of the study area

(55N-60N, 11E-20E) and corresponding 90% ile thresholds (shown by vertical lines and values in

brackets).



Fig, 3: Seasonal, climatological average tropospheric NO₂ total column (first column) based on
nearly 11-yr OMI data (2004-2015), NO₂ distribution during extreme events (second column) and

- 516 the difference between the two (third column). The units are in molecules/ cm^2 .

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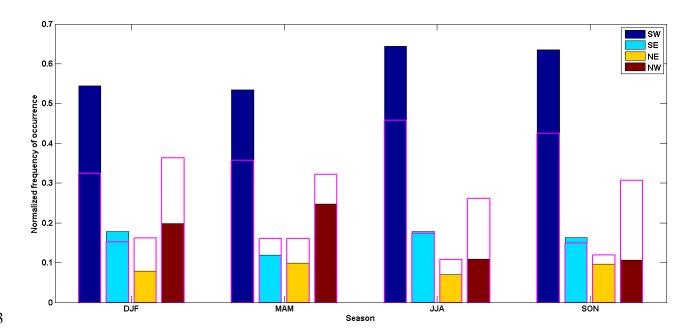




Fig. 4: Seasonal normalized frequency of occurrence of a particular wind direction at 850 hPa when
NO₂ extreme pollution events were observed. The hollow magenta bars show normalized frequency
under climatological conditions.

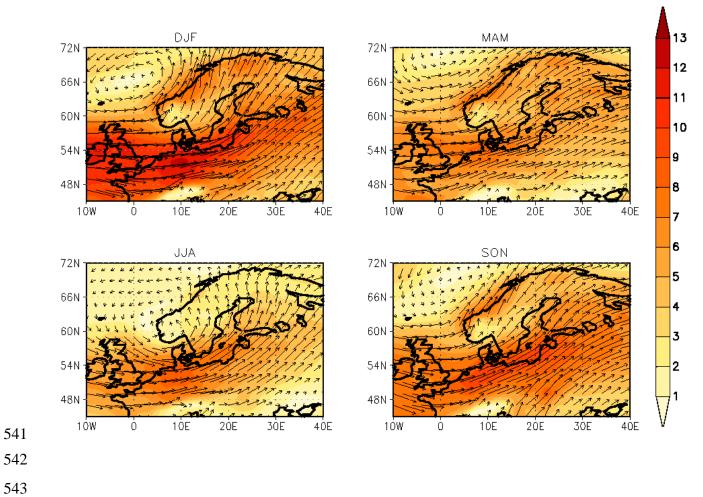


Fig. 5: Seasonal average wind strengths and direction at 850 hPa showing dominant circulation

pattern observed when NO_2 extreme pollution events occur.

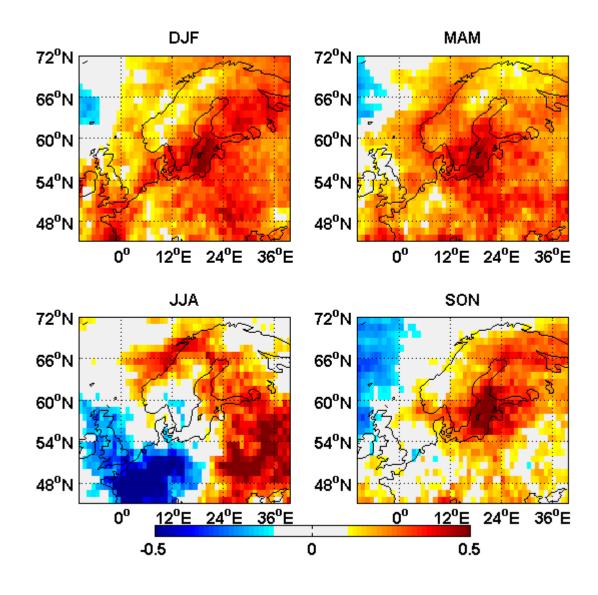


Fig. 6: The seasonal spatial patterns of specific humidity anomalies (g/kg) during extreme NO₂
pollution events.

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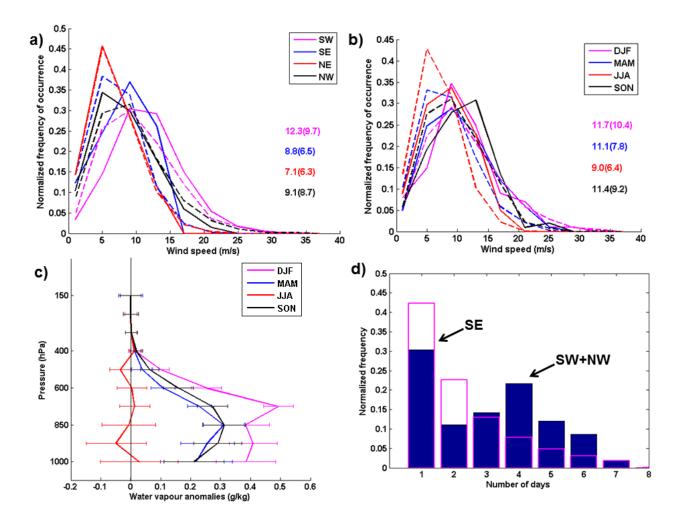
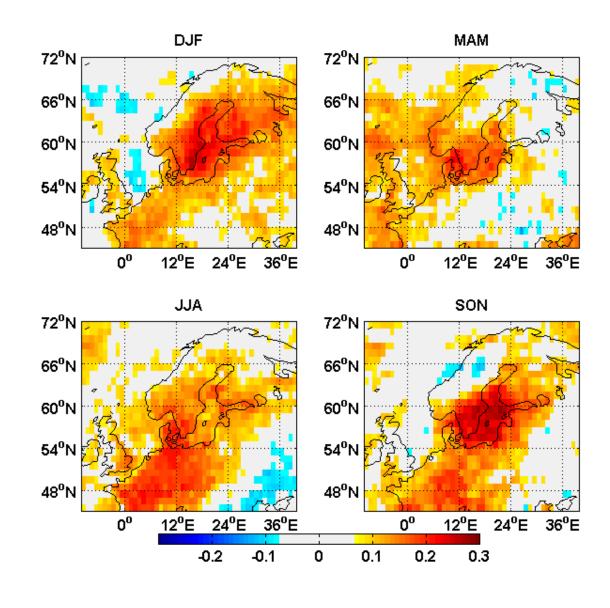
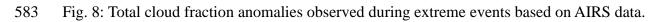


Fig. 7: a) Histograms of wind speeds (m/s) at 850 hPa over the center of the study area (55N-60N, 11E-20E) during extreme events (solid lines) and climatological conditions (dotted lines, 2004-2015) when data are partitioned for different wind directions. The numbers show average wind speeds (m/s) during extreme events and in brackets under climatological conditions. b) Same as in (a), but when wind data are partitioned for different seasons. c) Vertical anomalies of specific humidity (g/kg) during extreme events with horizontal bars showing standard deviations. d) Persistency of wind directions as a function of number of continuous days. The magenta bars show persistency under climatological conditions.

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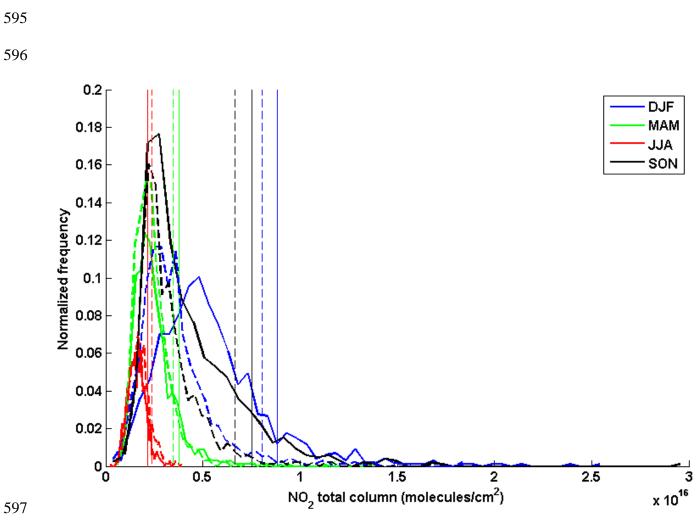


Fig. 9: Seasonal histograms of total column tropospheric NO₂ over the centre of the study area
(55N-60N, 11E-20E) and corresponding 90% ile thresholds (shown by vertical lines). The solid lines
show histograms based on retrievals under partially cloudy conditions, while the dotted lines show
histograms based only on cloud cleared retrievals.

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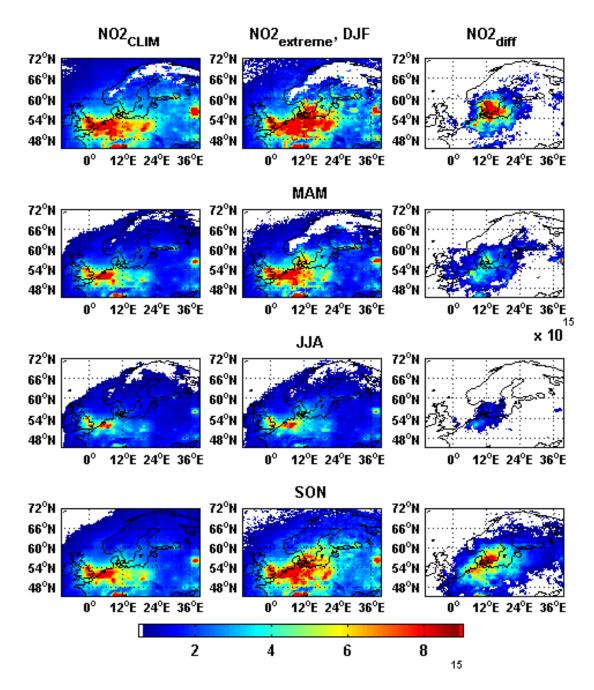


Fig. 10: Seasonal, climatological average tropospheric NO_2 total column (first column) based only on cloud screened OMI data (2004-2015), NO_2 distribution during extreme events (second column, also based on cloud screened data) and the difference between the two (third column). The units are in molecules/cm².

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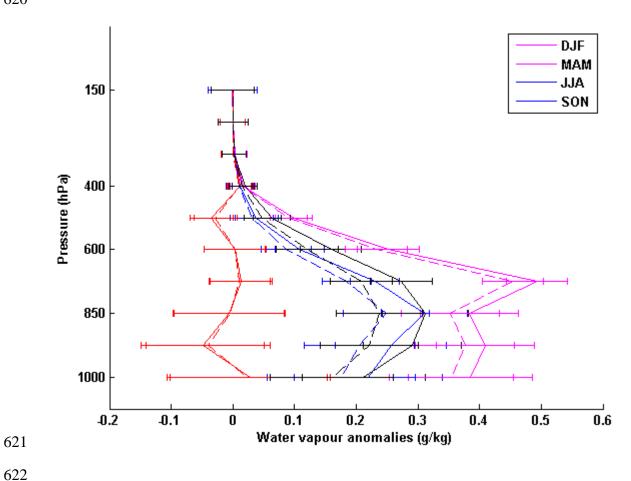


Fig. 11: Vertical anomalies of specific humidity (g/kg) during extreme events with horizontal bars showing standard deviations. The solid lines show anomalies under partially cloudy retrievals and dotted lines based on cloud screened retrievals.