



## Higher measured than modeled ozone production at increased $\text{NO}_x$ levels in the Colorado Front Range

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**Abstract.** Chemical models must accurately calculate the ozone formation rate,  $P(\text{O}_3)$ , to accurately predict ozone levels and test mitigation strategies. However, model chemical mechanisms can contain large uncertainties in  $P(\text{O}_3)$  calculations, which can create uncertainties in ozone forecasts especially during the summertime when  $P(\text{O}_3)$  can be high. One way to test mechanisms is to evaluate model  $P(\text{O}_3)$  using direct measurements. During summer 2014, the Measurement of Ozone Production Sensor (MOPS) measured net  $P(\text{O}_3)$  in Golden, CO, approximately 25 km west of Denver along the Colorado Front Range. Net  $P(\text{O}_3)$  was compared to rates calculated by a photochemical box model using a lumped and a more explicit chemical mechanism. Observed  $P(\text{O}_3)$  was up to a factor of two higher than that modeled during early morning hours when nitric oxide (NO) levels were high, contrary to traditional ozone chemistry theory. This disagreement may be due to model underestimation of hydroperoxyl ( $\text{HO}_2$ ) radicals relative to observations at high NO levels. These additional peroxy radicals could come from the MOPS chamber chemistry or from missing volatile organic compounds co-emitted with  $\text{NO}_x$ ; additional cycling of OH into  $\text{HO}_2$  through reactions involving nitric oxide provides an alternate explanation for higher measured than modeled  $P(\text{O}_3)$ . Although the MOPS measurements are new, comparisons of observed and modeled  $P(\text{O}_3)$  in NO space show a similar behavior to other comparisons between  $P(\text{O}_3)$  derived from measurements and modeled  $P(\text{O}_3)$ . These comparisons can have implications for the sensitivity of  $P(\text{O}_3)$  to nitrogen oxides and volatile organic compounds during morning hours, and



can possibly affect ozone reduction strategies for the region surrounding Golden, CO in addition to other urban and suburban areas that are in non-attainment with national ozone regulations.

## 1 Introduction

Ground-level ozone ( $O_3$ ) is a hazardous air pollutant abundant in cities and their surrounding areas. Awareness of its detrimental health effects on both humans and plants has led to the Clean Air Act of 1970 and the development of National Ambient Air Quality Standards (NAAQS) (Krupa and Manning, 1988; Bell et al., 2004; US EPA, 2013, 2016b). These standards have been successful in reducing  $O_3$  by approximately 32% in the United States since 1980. However, current  $O_3$  levels are stabilizing and even increasing again in the western United States (US EPA, 2016a). Understanding why these trends are occurring in areas despite more stringent emissions controls is crucial for further reduction of  $O_3$  levels within the United States.

Boundary layer  $O_3$  levels are dependent upon both chemical and meteorological processes described in the following equation:

$$\frac{\partial[O_3]}{\partial t} = P(O_3) + \frac{w_e \Delta O_3 - u_d [O_3]}{H} - \nabla \cdot (\mathbf{v}[O_3]), \quad (1)$$

where  $\partial[O_3]/\partial t$  is the local  $O_3$  time rate of change,  $P(O_3)$  is the instantaneous net photochemical  $O_3$  production rate,  $(w_e \Delta O_3 - u_d [O_3])/H$  is the combined entrainment and deposition rate of  $O_3$  in or out of the mixing layer of height  $H$ , and  $\nabla \cdot (\mathbf{v}[O_3])$  is the  $O_3$  advection rate. All of the physics, chemistry, and meteorology needed to solve this equation are included in chemical transport models (CTMs), which are used to design and test reduction strategies. For areas where local production is the dominant source of  $O_3$ , the term in Eq. (1) that will reduce  $O_3$  through local emissions control strategies is  $P(O_3)$ . Thus, understanding and accurately calculating  $O_3$  formation is crucial for its mitigation.

Ozone formation chemistry has been well-documented for decades (Haagen-Smit et al., 1953; Finlayson-Pitts and Pitts, 1977; Seinfeld and Pandis, 2012; Calvert et al., 2015). The oxidation of volatile organic compounds (VOCs) by the hydroxyl radical (OH) produces hydroperoxyl ( $HO_2$ ) and organic peroxy ( $RO_2$ ) radicals. These peroxy radicals react with nitrogen oxide (NO) to form nitrogen dioxide ( $NO_2$ ), which is photolyzed to form new  $O_3$  outside of the  $NO_x$  photostationary state (PSS): a steady-state reaction sequence involving  $NO_x$  ( $NO_2 + NO$ ) and  $O_3$ . Thus, chemical  $O_3$  production occurs through reactions with NO and peroxy radicals described in Eq. (2), where  $k$  denotes a bimolecular reaction rate coefficient. Equation (3) describes the chemical  $O_3$  (or  $NO_2$ ) destruction rate or rate of removal to reservoir species as the fraction of  $O(^1D)$  molecules resulting from  $O_3$  photolysis that react with  $H_2O$  to form OH; reactions of  $O_3$  with  $HO_x$  ( $HO_2 + OH$ ); the production of organic nitrates [ $P(RONO_2)$ ], including the net production of peroxyacyl nitrates; and  $O_3$  loss through reactions with



alkenes and halogens. The net instantaneous  $O_3$  production rate,  $P(O_3)$ , is then defined as the difference between  $O_3$  chemical production and loss rates in Eq. (4) (Baier et al., 2015):

$$P_{chem} = k_{NO+HO_2}[NO][HO_2] + \sum_{i=1}^N k_{NO+RO_{2i}}[NO][RO_2]_i \quad (2)$$

$$L_{chem} = J_{O_3}f_{H_2O}[O_3] + k_{OH+O_3}[OH][O_3] + k_{HO_2+O_3}[HO_2][O_3] + P(RONO_2) + k_{OH+NO_2}[OH][NO_2] + L(O_3)_{alkenes} + L(O_3)_{halogens} \quad (3)$$

$$P(O_3) = P_{chem} - L_{chem}. \quad (4)$$

Equations (2) and (3) illustrate the non-linear dependence of  $P(O_3)$  on both  $NO_x$  and the production of  $HO_x$  from VOC oxidation. That is, these chemical species are involved in both the production and destruction of  $O_3$  molecules. Increases in NO can cause  $P(O_3)$  to initially increase until  $NO_x$  levels are sufficiently high to react with OH, thereby removing  $HO_x$  and  $NO_x$  from the reaction system and decreasing  $P(O_3)$ . Therefore,  $P(O_3)$  is largely dependent upon the cycling between  $HO_x$  and  $NO_x$  in the atmosphere; the exact  $NO_x$  level at which this crossover occurs is sensitive to the production rate of  $HO_x$  radicals (Jaegle et al., 1998; Trainer et al., 2000; Thornton et al., 2002; Ren et al., 2005). In a  $NO_x$ -sensitive regime,  $P(O_3)$  varies with the square root of  $P(HO_x)$  and decreases in  $NO_x$  are more effective in decreasing  $O_3$  than decreases in VOCs. Conversely, in a VOC-sensitive regime,  $P(O_3)$  varies linearly with  $P(HO_x)$  and decreases in VOCs are more effective in decreasing  $O_3$ , while further  $NO_x$  decreases can act to increase  $O_3$  (Kleinman et al., 1997; Seinfeld and Pandis, 2012). If the sensitivity of  $P(O_3)$  to  $NO_x$  and VOCs is known, efficient  $O_3$  mitigation strategies can be devised that target precursor emissions and more effectively reduce  $O_3$  in polluted regions.

The gas-phase chemical mechanisms used in CTMs rely on a number of model input parameters to calculate  $P(O_3)$  such as measurements of inorganic and organic chemical species; temperature- and pressure-dependent reaction rates; photolysis frequencies; and product yields of reactions. As the chemical processes contributing to  $O_3$  formation are both vast and complex, it is difficult to portray atmospheric reactions in their entirety. Thus, mechanisms are simplified to describe the complex chemical state of the atmosphere. While inorganic chemistry is generally similar between reduced and more explicit mechanisms, differences in VOC aggregation schemes can create variance in modeled  $P(O_3)$ ,  $O_3$ , or other important  $O_3$  precursor predictions (Jeffries and Tonnesen, 1994; Olson et al., 1997; Kuhn et al., 1998; Luecken et al., 1999; Dodge, 2000; Tonnesen and Dennis, 2000; Jimenez et al., 2003; Luecken et al., 2008; Chen et al., 2010).

The traditional view of  $O_3$  production chemistry is not consistent with all observations. First, numerous studies have shown that both zero-dimensional and three-dimensional modeled  $HO_2$  – or the  $HO_2$  to OH ratio – is underestimated at values of NO



greater than a few parts per billion by volume (ppbv) (Faloona et al., 2000; Martinez et al., 2003; Ren et al., 2003; Emmerson et al., 2005; Shirley et al., 2006; Emmerson et al., 2007; Kanaya et al., 2007, 2008; Dusanter et al., 2009; Chen et al., 2010; Sheehy et al., 2010; Ren et al., 2013; Czader et al., 2013; Brune et al., 2015), although this underestimation was less severe and only evidenced above 20 ppbv in some studies due to significant VOC reactivity (Shirley et al., 2006). Nonetheless,  $P(O_3)$  calculated from measured  $HO_2$  radicals can routinely be more than double the  $P(O_3)$  calculated from modeled  $HO_2$  (Kanaya et al., 2008; Ren et al., 2013; Brune et al., 2015). Second, Im et al. (2015) and Appel et al. (2007) found that CTMs typically underestimate  $O_3$  levels above 60–80 ppbv and overestimate  $O_3$  below 30 ppbv: errors that are typically accredited to emissions and chemical mechanism choice. Summertime  $O_3$  predictions were most sensitive to regional production due to increased photochemical activity rather than transport (Im et al., 2015). Finally, studies in the northeastern United States have shown that CTMs underestimate the effects of  $NO_x$  emissions reductions on  $O_3$  (Gilliland et al., 2008). Thus, chemical mechanism uncertainties can greatly affect  $O_3$  predictions and even reverse the order of  $O_3$  production sensitivity to its precursors, decreasing confidence in models used for developing emissions reduction strategies.

The Measurement of Ozone Production Sensor (MOPS) directly measures  $P(O_3)$  and can help to evaluate  $O_3$  formation calculated from chemical mechanisms (Cazorla and Brune, 2010; Baier et al., 2015). Cazorla et al. (2012) compared direct  $O_3$  production rates to both modeled  $P(O_3)$  and that calculated from measured peroxy radicals. Afternoon production rates were statistically similar between observed, calculated, and modeled  $P(O_3)$ . In the early morning, MOPS  $P(O_3)$  magnitudes were similar to those calculated from measured radical species, but were almost twice as large as modeled rates. Similarly, observed  $P(O_3)$  and that calculated from  $HO_2$  observations were approximately equal to that modeled for  $NO$  levels up to 1 ppbv, but were significantly larger for higher values of  $NO$  (Ren et al., 2003; Spencer et al., 2009; Ren et al., 2013; Cazorla et al., 2012; Brune et al., 2015).

$P(O_3)$  was measured in Golden, CO in Summer 2014 during the Deriving Information on Surface conditions from Column and Vertically-resolved observations Relevant to Air Quality (DISCOVER-AQ) field campaign and the Front Range Air Pollution and Photochemistry Experiment (FRAPPÈ). This paper describes comparisons between  $P(O_3)$  measured *in situ* by a second-generation MOPS and modeled  $P(O_3)$  using both lumped and near-explicit chemical mechanisms. Finally, we examine possible causes for differences observed between measured and modeled  $P(O_3)$ .

## 2 Methods

### 2.1 MOPS measurements

A second-generation MOPS directly measures the instantaneous  $O_3$  production rate,  $P(O_3)$ , with an improved chamber and airflow design. The method is briefly described here; a more thorough technical description of the MOPS and its modifications is detailed in Baier et al. (2015). The second-generation design aims to decrease artificial chemistry induced by air-surface interactions within the chambers. The difference in  $O_x$  ( $O_3 + NO_2$ ) is continuously sampled by two 26.9-L trapezoidal environmental chambers with airflow somewhat like a sheath flow to isolate sampled air from chamber surfaces. A sample chamber is transparent and undergoes the same  $O_3$  photochemistry as the atmosphere, while a reference chamber is covered with a film



that blocks all ultraviolet (UV) radiation of wavelengths below 400 nm, suppressing the radical chemistry essential for new O<sub>3</sub> production. Positioned after the chambers, a highly-efficient UV light-emitting diode photolyzes NO<sub>2</sub> into O<sub>3</sub> in air coming through separate tubing from both the sample and reference chambers. This converter cancels any differences in the NO<sub>x</sub> PSS caused by the reference chamber film. The difference in O<sub>x</sub> divided by the exposure time of air in the MOPS chambers yields  
5 the net O<sub>3</sub> production rate as P(O<sub>x</sub>).

The MOPS absolute uncertainty ( $1\sigma$ ) is  $\pm 11$  ppbv h<sup>-1</sup> for 10-min measurements (Baier et al., 2015). At times, the MOPS measures artificial positive and negative O<sub>3</sub> production rates. Like previous discussion in Cazorla et al. (2012), negative P(O<sub>3</sub>) rates are unrealistic during the day when OH production is large enough to sustain new NO<sub>2</sub> and subsequent O<sub>3</sub> formation from VOC oxidation. Anomalous positive P(O<sub>3</sub>) is sometimes measured before sunrise or in the early morning, also indicating  
10 possible artifacts in the MOPS measurements.

MOPS chamber loss tests and flow visualizations have indicated that wall loss of O<sub>x</sub> is negligible (Cazorla and Brune, 2010; Baier et al., 2015). Although the MOPS precision is typically 5 ppbv h<sup>-1</sup> ( $1\sigma$ ), O<sub>3</sub> analyzer drifting can degrade this precision. Random, non-linear analyzer drifting occurs during the day and, like previous studies, is hypothesized to stem from humidity differences between the UV absorption cells in the MOPS Thermo Scientific O<sub>3</sub> analyzer (US EPA, 1999; Wilson and Birks,  
15 2006; Baier et al., 2015). Drifting is partially corrected through zeroing the MOPS chambers either by removing the reference chamber film for an entire day or by measuring P(O<sub>3</sub>) on cooler, cloudy days when O<sub>3</sub> formation is likely near zero (Baier et al., 2015). Since the same O<sub>3</sub> formation will occur in both chambers on these occasions, this method should retrieve a “zero” P(O<sub>3</sub>) time series that can be subtracted off of the MOPS raw data. However, this zero only partially corrects for this drifting due to varying humidity from day to day. Consequently, the corrected MOPS baseline routinely drifts between -5 and 5 ppbv  
20 hr<sup>-1</sup> most mornings and late afternoons when P(O<sub>3</sub>) is typically low.

The MOPS chamber “sheath” airflow deters species adsorption (desorption) to (from) surfaces with high flow rates of approximately 20 LPM along the walls of the chambers (Baier et al., 2015). Air is then sampled from a slower, center flow that is isolated from the chamber walls. It is known that NO<sub>2</sub> adsorption onto the chamber walls can result in the heterogeneous formation of nitrous acid (HONO) through the reaction of NO<sub>2</sub> with water vapor adsorbed onto surfaces (Finlayson-Pitts et al.,  
25 2003). A photolytic HONO source has also been previously reported (Rohrer et al., 2005; George et al., 2005; Stemmler et al., 2006; Langridge et al., 2009; Lee et al., 2016; Crilley et al., 2016). During the 2013 DISCOVER-AQ study in Houston, TX, some excess HONO was measured in the MOPS chambers, which can create excess OH and O<sub>3</sub> production. This HONO source has been found to be correlated with relative humidity, temperature, and J<sub>NO<sub>2</sub></sub>, and actions were taken prior to DISCOVER-AQ 2014 to reduce this measurement bias by approximately 30%. We calculate that this artifact reflects a maximum 3.5-7 ppbv h<sup>-1</sup>  
30 positive bias in observed P(O<sub>3</sub>) for this study. The bias and random errors are incorporated into a propagation of uncertainty analysis in order to calculate the MOPS absolute uncertainty (Baier et al., 2015).

## 2.2 Site description and ancillary measurements

Second-generation MOPS measurements were recorded for 19 days in Golden, CO (39°44.623'N, 105°10.679'W), which is located approximately 25 km west of the Denver metropolitan area. Commerce City, which houses several oil refineries, is



located 30 km to the northeast. The Golden measurement site lies east of the Front Range, atop the South Table Mountain mesa (1833 m asl) and amidst grass-covered terrain. The Colorado summertime climate is hot and arid with intense solar radiation. These meteorological conditions can be conducive for high O<sub>3</sub> formation from both local and advected precursor emissions. Ozone production can also be affected by diurnally varying, thermally driven winds; morning heating of mountains invokes easterly upslope flow, transporting precursors from Denver and the urban corridor of the Front Range westward, while downsloping afternoon westerlies can re-circulate these pollutants eastward to lower elevations (Banta, 1984).

Measurements used to constrain the models in this study were obtained on ground-based and aircraft platforms during DISCOVER-AQ and FRAPPÉ. Both studies were co-located in the Colorado Front Range between 17 July and 10 August 2014. Continuous, ground-based 1-min measurements of meteorological parameters and inorganic chemical species include temperature, pressure, and relative humidity, O<sub>3</sub>, sulfur dioxide, and NO<sub>x</sub>. In the absence of continuous ground-based VOC measurements, C<sub>2</sub>-C<sub>10</sub> non-methane hydrocarbons (NMHC) and organic nitrates were measured from 72 total whole-air canister (WAC) samples that were collected in Golden and analyzed by gas chromatography (GC) and gas chromatography-mass spectrometry (GC-MS) in the laboratory. A daily average of five samples were taken over 16 days, with heavier sampling between 0700 and 1200 local time (LT) to capture VOC mixing ratios during morning O<sub>3</sub> production hours, and sparser sampling in the afternoon between 1400 and 1800 LT to examine advection from areas east of Golden, CO such as the Denver metropolitan region and the Commerce City region. Median diurnal values of VOCs were constructed from these point measurements to provide constraints for the model calculations. In addition, we initialized backward Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT) models at 300 and 500 m heights beginning at 1600 LT and run for 12 hours using North American Model (NAM) meteorological data to determine whether the airflow in Golden could have originated from these eastern regions (Stein et al., 2015; Rolph, 2016). In general, higher NO<sub>x</sub> and anthropogenic VOC mixing ratios were measured when HYSPLIT indicated flow from eastern pollution sources. For days when measurements were made in these plumes, separate median diurnal VOC values were constructed to more accurately represent the VOC speciation observed in Golden.

Canister VOCs were supplemented by boundary layer inorganic and organic chemical species measurements obtained on the NASA P-3B and NSF/NCAR C-130 aircraft and constant, median values were calculated for times when the aircraft were in the vicinity of Golden and used in the model (Table 1, Table S1). Measurements aboard the P-3B were used when it was directly overhead of Golden, CO, while C-130 measurements were used when this aircraft was within roughly 20 km of the measurement site. More information on the DISCOVER-AQ and FRAPPÉ campaigns, aircraft and ground-based platforms, and measurement methods can be found at <http://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html> and <https://www2.acom.ucar.edu/frappe>.

### 30 2.3 Model description

Two types of chemical mechanisms were used in zero-dimensional photochemical box models to calculate P(O<sub>3</sub>) for the entire DISCOVER-AQ and FRAPPÉ campaign period. We used the lumped Regional Atmospheric Chemistry Mechanism version 2 (RACM2) (Stockwell et al., 1997; Goliff et al., 2013), and the near-explicit Master Chemical Mechanism version 3.3.1 (MCMv331) (Jenkin et al., 2003; Bloss et al., 2005; Jenkin et al., 2015). An exhaustive list of model constraints is displayed in



Table 1. Cloud-free photolysis rates were calculated using the Tropospheric Ultraviolet (TUV) model (Madronich and Flocke, 1999) for Golden, CO. These photolysis rates were scaled to  $J_{NO_2}$  calculated from continuous pyranometer measurements (LI-COR, LI-200 series) using the relationship described in Trebs et al. (2009) and used to constrain the models. All model constraints were interpolated to a 10-minute time step and input into the model to calculate  $P(O_3)$  for the campaign period.

5 The system of differential equations generated from both chemical mechanisms was integrated for 24 hours to allow reactive intermediates to reach steady-state. In addition, longer-lived inputs not constrained by the model were given a 24-hour lifetime to both prevent buildup of these species and to roughly account for advection or dilution losses. Modeled  $P(O_3)$  is largely insensitive to this loss rate. We note that, although transport and entrainment processes can also influence  $O_3$  levels, zero-dimensional model runs described here do not include these processes. Instead, we focus on net  $P(O_3)$ , which is calculated

10 with Eqs. 2-4 using modeled output.

## 2.4 Model uncertainty assessment

### 2.4.1 RACM2

The RACM2 model includes 119 species and 363 reactions, and is run using the FACSIMILE solver (Stockwell et al., 1997; Goliff et al., 2013). An explicit isoprene chemistry scheme has replaced the original RACM2 isoprene chemistry, and is

15 highlighted in Paulot et al. (2009) and Mao et al. (2013). As this mechanism aggregates VOCs based on their functional groups and OH reactivity, the RACM2 significantly reduces the number of model inputs and parameters over more explicit mechanisms that treat VOCs and their intermediate products separately.

Model uncertainty is traditionally evaluated through sensitivity analyses in order to identify inputs (observational data) and parameters (reaction rates and product yields) that create the most variance in a model output of interest. These inputs are

20 hereby called “influential” inputs. The RACM2 model uncertainty is assessed through the use of a global sensitivity analysis for daylight hours between 0600 and 1800 LT.

A Random Sampling-High Dimensional Model Representation (RS-HDMR) analysis was performed, which varies hundreds of model constraints with relatively low computational expense (Rabitz and Alis, 1999; Li et al., 2006, 2010). The variance in modeled  $P(O_3)$  due to changes in influential model constraints was calculated, with the  $P(O_3)$   $1\sigma$  uncertainty derived as the

25 total  $P(O_3)$  standard deviation divided by its mean from time periods evaluated between 0600 and 1800 LT. The RS-HDMR technique used for the RACM2 model runs is detailed in Chen and Brune (2012) and Chen et al. (2012). An overview of model input uncertainties and a description of this global sensitivity analysis are presented in supplementary documentation.

### 2.4.2 MCMv331

The MCMv331 (Jenkin et al., 1997; Saunders et al., 2003; Bloss et al., 2005; Jenkin et al., 2015), is freely available at

30 <http://mcm.leeds.ac.uk/MCM> and is run using a MATLAB framework described in Wolfe et al. (2011). This mechanism includes roughly 6,000 species and 17,000 reactions, treats VOCs and their intermediates separately, and uses explicit isoprene degradation chemistry described in Jenkin et al. (2015). Because of the large number of inputs in this near-explicit mechanism,



the MCMv331 uncertainty was assessed through a local sensitivity approach. That is, inputs were set to their upper and lower uncertainty limits in a one-at-a-time fashion while all other constraints were held at their original values. Total MCMv331 uncertainty was calculated by adding in quadrature the upper and lower percent deviations in  $P(O_3)$  due to perturbations in model constraints relative to the MCMv331 base case. Input and parameter groups that were varied to derive this uncertainty are described in supplemental documentation.

### 3 Results

#### 3.1 Campaign observations and $P(O_3)$ time series

Observed and modeled  $P(O_3)$  were compared for 19 days between 17 July and 10 August 2014 in Golden (Fig. 1). From 17-27 July, the campaign was characterized by a warmer, drier period followed by a relatively cooler, wetter period until the end of the study. Daily  $O_3$  mixing ratios typically peaked between 1300-1800 LT with a median value of 59 ppbv. Higher  $O_3$  levels exceeding 80 ppbv were observed on 22, 28, and 29 July as well as 3 August. The highest  $O_3$  levels were observed on 22 July with a maximum mixing ratio of approximately 90 ppbv.

Due to the terrain of the Front Range, the average diel wind direction during the campaign period was westerly before 0900 LT, easterly to northeasterly from 0900 to 1400 LT, and then westerly again after 1400 LT, with diel speeds ranging between 2-3.5  $m s^{-1}$ . Thus,  $P(O_3)$  in Golden can be influenced by pollutants advected from nearby eastern source regions during the mid-morning to early afternoon.

The MOPS  $P(O_3)$  maxima were routinely higher than 10 ppbv  $h^{-1}$  on most measurement days, with diurnal peaks between 0900-1100 LT. Observed  $P(O_3)$  maxima on individual days range from 10 ppbv  $h^{-1}$  to almost 40 ppbv  $h^{-1}$  (Fig. 1). Observed baseline  $P(O_3)$  values were typically between -5 and 5 ppbv  $h^{-1}$ . However, the MOPS measured  $P(O_3)$  less than -10 ppbv  $h^{-1}$  on some early mornings and late afternoons. These negative values are likely due to our inability to correct for  $O_3$  analyzer drifting, which is exaggerated by high humidity or drastic changes in humidity during the measurement period. To account for possible anomalous  $P(O_3)$  measurements due to humidity or its changes, MOPS data during these time periods have been removed from this analysis. Despite this artifact, MOPS variations were generally consistent with observed diurnal  $O_3$  variations, with higher  $P(O_3)$  measured on days where  $O_3$  mixing ratios were greater than 70 ppbv (Fig. 1).

#### 3.2 Modeled $P(O_3)$ time series and comparisons to measurements

Full-campaign modeled  $P(O_3)$  is also shown in Fig. 1. Modeled  $P(O_3)$  maxima were exhibited between 0900-1200 LT with values generally 10 ppbv  $h^{-1}$  or lower. The modeled  $P(O_3)$  variations, however, were not always consistent with  $O_3$  variations, with some of the highest  $P(O_3)$  calculated for rather low  $O_3$  days (20-21 July and 25 July). The RACM2 and MCMv331  $P(O_3)$  behavior was similar throughout the campaign period although occasionally, the MCMv331  $P(O_3)$  indicated spikes throughout the day where the RACM2 did not. This result is perhaps due to the more explicit treatment of VOCs and their intermediate





products in the MCMv331 versus the RACM2. Nonetheless, the RACM2 generally produces  $P(O_3)$  patterns similar to that produced by the more explicit MCMv331.

In Fig. 2, median diel variations of MOPS and modeled  $P(O_3)$  are shown for MOPS measurement days. Indicated by the 25th, median, and 75th percentiles, observed  $P(O_3)$  began to increase around 0800 LT, was highest from 0900 to 1100 LT and then decreased later in the day. Modeled  $P(O_3)$  generally followed the same diurnal pattern and was in rough agreement with the MOPS in the afternoon. Measured and modeled  $P(O_3)$ , however, were less similar during early morning peak  $P(O_3)$  hours when  $NO_x$  and VOC levels were higher due to abundant local and advected rush hour traffic emissions. The majority of the MOPS  $P(O_3)$  measurements exhibited maxima that are up to a factor of two higher than modeled  $P(O_3)$  values during this time period.

Several reasons provide confidence in these  $P(O_3)$  comparisons, which result in higher  $P(O_3)$  than that modeled during the morning hours despite the MOPS absolute uncertainty. First, median  $P(O_3)$  values were used instead of the mean to compare MOPS and modeled  $P(O_3)$  so as not to bias diurnal  $P(O_3)$  curves high or low in the event of  $P(O_3)$  anomalies. Next, the MOPS  $1\sigma$  uncertainty shown in Fig. 2 was relatively independent of time of day. That is, this uncertainty acts as an offset, shifting the entire median diurnal  $P(O_3)$  curve either up or down, but measured  $P(O_3)$  consistently exhibits the same diurnal behavior relative to the models. This result was observed for a range of atmospheric conditions: for both hot, dry and cool, high humidity days as well as for higher and lower NO days. For low NO days ( $NO \leq 1$  ppbv), median  $P(O_3)$  between the MOPS and models were in closer agreement, but MOPS  $P(O_3)$  still exhibited maxima before that modeled in the early morning. Finally, the observed  $P(O_3)$  peak values were often much greater than the MOPS  $1\sigma$  absolute uncertainty on individual days as seen in Fig.1, where differences between the MOPS and modeled  $P(O_3)$  were typically between 10-20 ppbv  $h^{-1}$ . Thus, all of these results provide confidence in the robustness of the MOPS behavior relative to the models in Figs. 1 and 2.

### 3.3 Model-measurement $P(O_3)$ discrepancies

#### 3.3.1 MOPS chamber artifacts

We explore several reasons for model-measurement disagreement during the morning hours. One hypothesis is that the MOPS  $P(O_3)$  is positively biased due to environmental chamber chemistry artifacts: that is, offgassing of  $NO_2$  or other chemical species from the chamber walls. At higher relative humidity, species adsorption onto these environmental chamber walls can be higher (Wainman et al., 2001) and it is possible that subsequent desorption of  $NO_2$  or chemical species from the walls can induce artificial chemistry in the MOPS chambers.

Wall effects in the MOPS chambers can create both positive and negative fluctuations in observed  $P(O_3)$ . However, as described earlier, the MOPS chamber airflow is significantly higher along the walls of the MOPS chambers where surface reactions are most likely to occur. All chamber air closest to the walls is exhausted, leaving mostly center flow to be sampled by the MOPS  $O_3$  analyzer. This airflow design, along with laboratory and atmospheric observations of chamber  $O_x$  losses less than or equal to 5%, suggests that off-gassing of  $O_x$  or other species from the MOPS chamber walls likely plays a negligible role in larger measured-than-modeled  $P(O_3)$  (Cazorla and Brune, 2010; Baier et al., 2015). From the laboratory and chamber



testing to date, a significant loss of NO in the MOPS chambers has not been identified. Additionally, while adsorbed NO<sub>2</sub> can result in heterogeneous formation of nitrous acid (HONO), and a photolytic HONO source within the chambers can also result in excess P(O<sub>3</sub>) from artificial OH production (Baier et al., 2015), we calculate that this artifact during the 2014 DISCOVER-AQ campaign yields a maximum bias (3.5-7 ppbv h<sup>-1</sup>) that is substantially lower than most daily MOPS P(O<sub>3</sub>) deviations from modeled P(O<sub>3</sub>) observed in Fig. 1. Therefore, in order to explain observed and modeled P(O<sub>3</sub>) differences, excess P(O<sub>3</sub>) from HONO production would need to be approximately three to five times larger than has been observed within the MOPS chambers. As mentioned earlier, higher morning P(O<sub>3</sub>) calculated from measured HO<sub>2</sub> has been observed at high NO with a variety of measurement methods. The MOPS observations are independent of these previous studies, but yield similar results for the dependence of P(O<sub>3</sub>) on NO, providing confidence that higher observed P(O<sub>3</sub>) is not due to significant chamber artifacts, but instead to possible differences in the chemistry between models and the MOPS measurements.

### 3.3.2 Influential model parameters

Model P(O<sub>3</sub>) uncertainty has been found to be slightly larger during the morning hours when differences between measured and modeled P(O<sub>3</sub>) were observed. Furthermore, model P(O<sub>3</sub>) uncertainty can possibly shift the designation of O<sub>3</sub> NO<sub>x</sub>-VOC sensitivity (Chen and Brune (2012) and references therein). In order to explain calculated P(O<sub>3</sub>) behavior relative to the MOPS during hours of the day when there is typically a shift from VOC- to NO<sub>x</sub>-sensitive P(O<sub>3</sub>) regimes, we explore model sensitivity to various inorganic and organic chemical species, reaction rates, product yields, and other model parameters outlined in supplementary material.

As described earlier, the RACM2 inputs and parameters affecting model P(O<sub>3</sub>) uncertainty are determined based on a RS-HDMR sensitivity analysis. Model uncertainty between 0600 and 1800 LT is similar between both chemical mechanisms (Table S4); the average modeled P(O<sub>3</sub>) uncertainty from RACM2 and MCMv331 is highest between 0600-1200 LT with a 1σ value of 31%, and decreases slightly to 29% between 1200 and 1800 LT. Thus, due to similar model behavior and diurnal uncertainty estimates between the RACM2 and the MCMv331, we expect that the influential inputs between the two mechanisms – model constraints and parameters contributing largely to calculated P(O<sub>3</sub>) uncertainty – will also be similar.

Model influential inputs are specific to both location and available measurements. However, many constraints that contributed to P(O<sub>3</sub>) uncertainty in Golden, CO were found to be similar to prior sensitivity analyses of chemical mechanisms conducted in much different environments (Chen and Brune (2012) and references therein). For example, two parameters consistently identified as having high importance for daytime P(O<sub>3</sub>) uncertainty involve the reaction rates, k<sub>OH+NO<sub>2</sub></sub> and k<sub>HO<sub>2</sub>+NO</sub>, which dictate HO<sub>x</sub>-NO<sub>x</sub> cycling and the production and loss of HO<sub>x</sub>. Thus, even though the uncertainty factors for these parameters are relatively low at 1.3 and 1.15 respectively (Sander et al., 2011), this important result suggests that greater emphasis should be placed on quantifying the uncertainty in HO<sub>x</sub>-NO<sub>x</sub> cycling reaction rates to reduce model P(O<sub>3</sub>) uncertainty.

Other model constraints influential in dictating model P(O<sub>3</sub>) uncertainty such as reaction rates, product yields and mixing ratios of species were more specific to time of day. Similar to overall results in Chen and Brune (2012), and in addition to HO<sub>x</sub>-NO<sub>x</sub> reaction rates, early morning P(O<sub>3</sub>) uncertainty was attributed to reaction rates involving the oxidation of reactive



VOCs such as aldehydes and xylenes that initiate  $O_3$  formation propagation and produce  $HO_x$ . Additional Golden influential reaction rates involved the decomposition and formation rates of peroxyacyl nitrates (PAN), a  $NO_x$  reservoir. As  $O_3$  increases in the afternoon, additional rates and product yields of reactions involving  $O_3$  loss also become important, along with those between NO and other organic peroxy species ( $RO_2$ ) that continue  $O_3$  formation. As expected, model inputs and parameters involving the formation of  $RO_2$  or new  $NO_2$  outside of the  $NO_x$  PSS that further propagate the  $O_3$  formation cycle, along with inputs and parameters involving production of  $HO_x$  species, are all factors influencing model  $P(O_3)$  uncertainty. Therefore, although model uncertainty is not large enough to explain model  $P(O_3)$  behavior relative to the MOPS, decreasing uncertainty in model inputs, especially  $HO_x$ - $NO_x$  reaction rates, may help to decrease total model  $P(O_3)$  uncertainty and improve morning agreement between observed and modeled  $P(O_3)$  in Figs. 1 and 2.

### 3.3.3 $HO_2$ versus NO

Model chemistry plays a large role in calculated  $P(O_3)$  uncertainty. Therefore, we examine the chemical features driving early morning modeled-to-measured  $P(O_3)$  discrepancies at high NO. Campaign median NO mixing ratios typically peaked between 0900-1100 LT at about 2 ppbv with maxima as high as 7 ppbv. As the largest differences in measured and modeled  $P(O_3)$  occur during this time period when NO is greater than 1 ppbv, and  $HO_x$ - $NO_x$  cycling reactions are significant in dictating model  $P(O_3)$  uncertainty, we first examine cycling of  $HO_x$  as a function of NO.

Measurements of  $HO_2$  and OH were made on board the NSF/NCAR C-130 using chemical ionization mass spectrometry (CIMS) with 35% and 45% accuracy ( $2\sigma$ ), respectively (Mauldin et al., 2003; Hornbrook et al., 2011). One hypothesis for lower modeled  $P(O_3)$  in the early morning is that modeled  $HO_2$  is underestimated at high NO. Similar to prior studies (Faloon et al., 2000; Martinez et al., 2003; Ren et al., 2003; Shirley et al., 2006; Emmerson et al., 2007; Kanaya et al., 2007; Dusanter et al., 2009; Sheehy et al., 2010; Chen et al., 2010; Ren et al., 2013; Brune et al., 2015), Fig. 3 indicates that the CIMS  $HO_2/OH$  ratio is approximately equal to the modeled  $HO_2/OH$  ratio for NO less than 1 ppbv, but surpasses modeled  $HO_2/OH$  for NO greater than 1 ppbv, declining less rapidly than models for increasing NO mixing ratios. As the C-130 aircraft and continuous ground-based inorganic and organic species mixing ratios in Golden are similar, this result indicates a disagreement between measured and modeled  $HO_x$  at high NO. While previous studies have shown that measured and modeled OH at high NO are in rough agreement, differences in  $HO_2$  were more severe (Shirley et al., 2006; Kanaya et al., 2007; Dusanter et al., 2009; Sheehy et al., 2010; Ren et al., 2013; Czader et al., 2013; Brune et al., 2015)

Figure 4 indicates  $P(O_3)$  as a function of NO levels and time of day. Similar to Cazorla et al. (2012), both measured and modeled diel  $P(O_3)$  increased between 0600-0800 LT during morning rush hour, peaked before 1200 LT, and then decreased later in the day with decreasing NO and VOC radical abundances. Occasional, secondary  $P(O_3)$  peaks were exhibited between 1400-1600 LT in both measured and modeled  $P(O_3)$ , likely due to advection of  $O_3$  precursors from the Denver region or increased local traffic emissions. Measured and modeled  $P(O_3)$  dependency on NO will typically follow  $P(HO_x)$  curves. Since the models were constrained by observed VOCs and  $NO_x$ , higher measured than modeled  $HO_2$  at high NO was evident as MOPS  $P(O_3)$  peaked for NO between 3-6 ppbv and modeled  $P(O_3)$  peaked for NO close to 1 ppbv. This result is presumably for the same atmospheric  $P(HO_x)$  regimes. If the MOPS accurately portrays net  $P(O_3)$  behavior, these observations suggest



that chemical mechanisms may not be simulating modeled  $\text{HO}_x\text{-NO}_x$  cycling correctly. Thus, models may require further re-examination of the  $\text{HO}_x\text{-NO}_x$  rate coefficients mentioned above, or identification of possible missing reactions involving these two species groups.

### 3.3.4 Mechanism $\text{HO}_x\text{-NO}_x$ chemistry

5 The missing modeled  $\text{P}(\text{O}_3)$  between 0900-1200 LT appears to be approximately linear with NO. A missing  $\text{HO}_x$  source linearly scalable to NO that was not included in the models is plausible. However, 42 total  $\text{C}_2\text{-C}_{10}$  VOCs were measured by whole-air canister samples, representing a large suite of organic chemical species within the models, including ones with high OH reactivities that are particularly important for  $\text{O}_3$  formation. Therefore, both of the model chemical mechanisms incorporate the dependence of VOC reactivity on NO.

10 Measurements of VOC reactivity were not available during the field campaign time period and thus are unavailable for comparison to modeled VOC reactivity. However, if a VOC  $\text{HO}_x$  source co-emitted with NO is missing in the models, it would have to provide an additional  $\text{HO}_2$  source of approximately  $3 \times 10^7$  radicals  $\text{cm}^{-3}$ , derived from the average difference between median diel modeled and measured  $\text{P}(\text{O}_3)$ . Such a missing VOC source was not identified in this study, nor has one been identified in other environments where missing  $\text{HO}_2$  was of similar magnitude, even when proposed missing VOCs were  
 15 added to model base scenarios (Martinez et al., 2003; Kanaya et al., 2007; Dusanter et al., 2009). Further, Brune et al. (2015) discuss that, if this missing  $\text{HO}_x$  source is also a missing OH loss, then this loss would be evidenced in measurements of OH reactivity at high NO, yet no such OH loss was observed.

Peroxynitric acid ( $\text{HO}_2\text{NO}_2$ ), which is tied to  $\text{HO}_x$  and  $\text{NO}_x$ , is also elevated compared to models at high NO or  $\text{NO}_x$  (Spencer et al., 2009). Peroxynitric acid thermally decomposes to form  $\text{HO}_2$  and  $\text{NO}_2$ , and can also be weakly photolyzed  
 20 to form  $\text{HO}_2$ . Kanaya et al. (2007) propose that increasing the thermal decomposition rate of  $\text{HO}_2\text{NO}_2$  could resolve model underestimation of  $\text{HO}_2$  at high NO, but even when this decomposition rate was increased by a factor of five, it did not correct for higher measured than modeled  $\text{P}(\text{O}_3)$  at high NO. Model sensitivity runs for Golden, CO using this increased decomposition rate for  $\text{HO}_2\text{NO}_2$  in MCMv331 corroborate this same result (Fig. S2).

One reaction proposed in Brune et al. (2015) that may be able to explain observed-to-modeled  $\text{P}(\text{O}_3)$  disagreement at high  
 25  $\text{NO}_x$  involves the following:



Many studies have determined that the reaction between OH and NO to form HONO proceeds at a rate of about  $4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  (Sander et al. (2011) and references therein). Staikova et al. (2002) have shown that it is possible for molecular oxygen ( $\text{O}_2$ ) to react with vibrationally-excited HONO to form  $\text{HO}_2$  and  $\text{NO}_2$ . However, this reaction would have to proceed  
 30 with a much slower rate than  $4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$  and is only a minor pathway to formation of  $\text{HO}_2$  and  $\text{NO}_2$ . Glowacki et al. (2012) have found that  $\text{O}_2$  can react with 25% of excited-state adducts in the OH+acetylene reaction before vibrational



quantum state relaxation. In other studies, the formation of the hydrotrioxyl radical ( $\text{HO}_3$ ) was also found to react with  $\text{O}_2$ , but due to the low-calculated  $\text{HO}_3$  abundance in the troposphere, this radical may also be a minor pathway to  $\text{HO}_2$ . Although the reaction  $\text{HO}_3 + \text{NO}$  to form  $\text{HO}_2 + \text{NO}_2$  is exothermic, it, to our knowledge, has not been tested (Murray et al., 2008; Le Picard et al., 2010; Burgess Jr, 2016). Therefore, the role of  $\text{O}_2$  reactions with excited-state intermediates to form  $\text{HO}_2$  in the presence of  $\text{NO}$  remains an open question. We explore the possibility of such a reaction between  $\text{OH}$ ,  $\text{NO}$ , and  $\text{O}_2$ .

The  $\text{OH} + \text{NO} (+ \text{O}_2)$  reaction above has been added to the MCMv331 assuming constant atmospheric  $\text{O}_2$  levels and varying an effective bimolecular reaction rate between  $(3\text{--}15) \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ . The modeled  $\text{HO}_2/\text{OH}$  dependence on  $\text{NO}$  closely matches those observed in Fig. 3 when a rate between  $(9\text{--}15) \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$  is used. Further, with this modified chemical mechanism, modeled  $\text{P}(\text{O}_3)$  approaches median observed  $\text{P}(\text{O}_3)$  with a maximum rate between 1000–1200 LT reaching  $10 \text{ ppbv h}^{-1}$  (Fig. S2). The modeled diurnal curve then decreases later in the day similar to the median  $\text{P}(\text{O}_3)$  diel curve presented in Fig. 2. The improved agreement between measured and modeled  $\text{HO}_2/\text{OH}$  and  $\text{P}(\text{O}_3)$  behavior suggests that this reaction scheme is worth examining in more detail.

### 3.3.5 Reactive chlorine chemistry

Other hypotheses for model underestimation of  $\text{P}(\text{O}_3)$  relative to observations at high  $\text{NO}$  were explored, including the impacts of the under-representation of nitryl chloride ( $\text{ClNO}_2$ ) production in current chemical mechanisms. Nitryl chloride serves as a nocturnal  $\text{NO}_x$  reservoir and, when photolyzed, can produce additional reactive chlorine ( $\text{Cl}$ ) and nitrogen dioxide ( $\text{NO}_2$ ). Reactive chlorine, even at low mixing ratios, has been found to serve as a major oxidant for VOCs, possibly increasing  $\text{HO}_2$  and  $\text{O}_3$  production in the early morning hours by as much as 30% (Finlayson-Pitts et al., 1989; Atkinson et al., 1999; Chang et al., 2002; Osthoff et al., 2008). The effects of  $\text{ClNO}_2$  production on chlorine chemistry and VOC oxidation have been provided in the literature as one possible explanation for measured versus modeled  $\text{HO}_2$  differences at higher  $\text{NO}$  levels (Thornton et al., 2010; Riedel et al., 2014; Xue et al., 2015).

Heterogeneous uptake of dinitrogen pentoxide ( $\text{N}_2\text{O}_5$ ) on chloride-containing aerosol particles can produce nitric acid ( $\text{HNO}_3$ ) and  $\text{ClNO}_2$  in both marine and continental environments through the following reaction:



where  $k_{het}$  is the heterogeneous reaction rate coefficient dependent upon the aerosol surface area density and the  $\text{N}_2\text{O}_5$  uptake coefficient on chloride-containing aerosols, and  $\phi$  is the  $\text{ClNO}_2$  product yield.

To test this hypothesis, we constrained the MCMv331 with continuous, cavity ring-down spectroscopy measurements of  $\text{N}_2\text{O}_5$  (Brown et al., 2002) from a nearby measurement site (Boulder Atmospheric Observatory;  $40.050^\circ\text{N}$ ,  $105.010^\circ\text{W}$ ), and implemented a reduced chlorine chemical mechanism in the MCMv331 provided by Riedel et al. (2014). We assumed an  $\text{N}_2\text{O}_5$  uptake coefficient of 0.02, which is within the range of coefficients calculated in prior field studies (Wagner et al., 2013; Riedel et al., 2013) and laboratory experiments (Zetzsch and Behnke, 1992; Behnke et al., 1997). To be consistent with



previous studies near Golden, the aerosol surface area density was varied between 150 and 250  $\mu\text{m}^2 \text{cm}^{-3}$ , and  $\phi$  is varied between 0.05 and 0.1 (Thornton et al., 2010; Riedel et al., 2013). It is important to note that these assumptions vary largely with relative humidity and aerosol surface area and composition (Thornton and Abbatt, 2005; Bertram and Thornton, 2009; Roberts et al., 2009; Thornton et al., 2010; Wagner et al., 2013; Riedel et al., 2013), but modeling over a range of values can provide a qualitative prediction of ClNO<sub>2</sub> production effects on model P(O<sub>3</sub>) in this region. In each model case, the MCMc331 runs including ClNO<sub>2</sub> production and Cl-VOC chemistry resulted in average ClNO<sub>2</sub> mixing ratios between 0.04 and 0.13 ppbv during the early morning hours (0300-0600 LT) and a slight increase in diurnal P(O<sub>3</sub>) values of less than 5%. Thus, although chlorine chemistry can have a large effect on P(O<sub>3</sub>) during the winter and for marine environments, these model runs indicate that Cl chemistry does not play a large enough role in O<sub>3</sub> photochemistry during this summer campaign to explain the morning observed discrepancy between measured and modeled O<sub>3</sub> formation rates in Golden, CO.

### 3.3.6 Nitrous acid photolysis

Perhaps more of an important HO<sub>x</sub> source in the morning hours at high NO or NO<sub>x</sub> than ClNO<sub>2</sub> is the production and photolysis of HONO. Other important HO<sub>x</sub> sources include hydrogen peroxide and organic VOC photolysis, and O<sub>3</sub> photolysis followed by the subsequent reaction between O(<sup>1</sup>D) and water vapor to produce OH. In previous field studies, HONO photolysis contributed largely to the daytime HO<sub>x</sub> production (Alicke et al., 2003; Ren et al., 2003; Kanaya et al., 2007; Dusanter et al., 2009; Volkamer et al., 2010; Ren et al., 2013).

Nitrous acid was not measured during DISCOVER-AQ or FRAPPÉ, and was thus predicted by the RACM2 and MCMv331 based on continuous, ground-based NO<sub>x</sub> observations. Therefore, model under-prediction of HONO mixing ratios in the morning can be one cause for modeled versus measured HO<sub>2</sub>/OH disagreement. However, studies in which HONO was continuously measured and used to constrain both zero-dimensional and three-dimensional chemical models still exhibited the same behavior of higher measured than modeled HO<sub>2</sub> to OH ratios at high NO (Ren et al., 2003; Martinez et al., 2003; Dusanter et al., 2009; Chen et al., 2010; Czader et al., 2013; Ren et al., 2013; Brune et al., 2015). Thus, this morning HO<sub>x</sub> source is likely not the sole cause for model under-prediction of model HO<sub>2</sub> – and thus, P(O<sub>3</sub>) – at high NO<sub>x</sub> found here and in previous studies.

## 3.4 Implications for O<sub>3</sub> mitigation strategies

### 3.4.1 NO<sub>x</sub>-VOC sensitivity

The underestimation of model P(O<sub>3</sub>) relative to the MOPS at high NO or NO<sub>x</sub> can have far-reaching implications for model assessment of the dependency of P(O<sub>3</sub>) on NO<sub>x</sub> and VOCs. The fraction of free radicals removed by NO<sub>x</sub>,  $L_N/Q$ , has been used in the literature to assess NO<sub>x</sub>-VOC sensitivity in regions experiencing high O<sub>3</sub> (Daum et al., 2004; Kleinman, 2005; Ren et al., 2013). Here,  $L_N$  is the rate of total free radical removal by NO<sub>x</sub>, and  $Q$  is the total radical production rate. When significantly above 0.5, the atmosphere is within a VOC-sensitive regime, while when significantly below 0.5, the atmosphere is within a NO<sub>x</sub>-sensitive regime (Kleinman, 2005). The median  $L_N/Q$  was calculated with the RACM2 using full-campaign observations, indicating that Golden P(O<sub>3</sub>) is VOC-sensitive before 1200 LT, and NO<sub>x</sub>-sensitive thereafter according to models



(Fig. S3). During DISCOVER-AQ and FRAPPÉ, model sensitivity studies conducted for the Boulder Atmospheric Observatory site just northeast of Golden also found maximum photochemical  $O_3$  to be largely  $NO_x$ -sensitive in the afternoon (McDuffie et al., 2016). However, if  $HO_2$  is underestimated by chemical mechanisms such as the RACM2 and the MCMv331, the total radical production rate,  $Q$ , may also be underestimated, thereby shifting the  $NO_x$ -VOC sensitivity more towards a  $NO_x$ -sensitive regime. Model runs including the proposed  $OH + NO$  reaction indicate a shift from VOC- to  $NO_x$ -sensitive conditions approximately one to two hours earlier in the morning than base-case model chemistry (Fig. S3).

The largest  $O_3$  formation rates are measured before 1200 LT when  $NO_x$  and VOC emissions are high and the mixing layer is relatively shallow. Although a shallow mixing layer is one reason for high MOPS  $P(O_3)$  during the morning hours, we note that secondary diurnal MOPS  $P(O_3)$  peaks are also evidenced on individual days alongside increased  $NO_x$  and VOCs during afternoon rush hour in a deeper mixing layer. Further, high  $P(O_3)$  and the shift from VOC- to  $NO_x$ -sensitive  $O_3$  formation in the late morning could be attributed to early-morning entrainment of VOCs from the free troposphere in the absence of  $NO_x$  entrainment. However, these VOCs in the upper troposphere are longer-lived and are less important in propagating  $O_3$  formation than other, higher reactivity VOCs. Therefore, although entrainment of species during the morning hours and the depth of the mixing layer influence  $NO_x$ -VOC sensitivity and these high morning  $P(O_3)$  rates, it is more likely that  $O_3$  precursor species at the surface level predominantly influence observed  $P(O_3)$  for this study.

Generally speaking, while longer-term analyses are required to suggest effective  $O_3$  reduction strategies for Golden and surrounding regions, if the  $P(O_3)$   $NO_x$ -VOC sensitivity is shifted more towards a  $NO_x$ -sensitive regime in the morning as the MOPS observations suggest, reducing  $NO_x$  may be an effective strategy for  $O_3$  mitigation in the Colorado Front Range.

### 3.4.2 $O_x$ advection

Ozone formation precursors can be transported westward to Golden because of the Colorado Front Range terrain and its induced wind patterns. When air in Golden is influenced by  $O_3$  precursor emissions from the east (e.g. the Denver metropolitan and Commerce City regions), greater anthropogenic VOC and NO mixing ratios are measured on average. Thus, we evaluate calculated  $O_3$  advection using Eq. (1) in an attempt to evaluate the impact of  $O_3$  advection derived from the MOPS and the models on observed  $O_3$  patterns in Golden.

Measured  $O_x$  maxima are 2-7 ppbv greater on these "plume" days than when air is advected from elsewhere, and higher  $P(O_3)$  is measured by the MOPS than is modeled by the RACM2 and MCMv331 (Fig. 5). When winds are not easterly ("non-plume" days), lower levels of anthropogenic VOCs, NO, and  $O_x$  maxima are observed, and average measured diel  $P(O_3)$  is 45% lower than on plume days. This MOPS behavior stands in contrast to the models, where average diel RACM2 and MCMv331  $P(O_3)$  is approximately 30% lower on plume days than all other days. A simple advection analysis was performed to determine the factors in Eq. (1) that most likely contribute to observed  $O_x$  levels in Fig. 5 for plume and non-plume days. The transport rate of  $O_x$  out of the mixing layer through deposition is calculated to be at most  $1 \text{ ppbv h}^{-1}$  and is neglected here. The morning  $O_3$  entrainment rate during DISCOVER-AQ and FRAPPE has been calculated for the Colorado Front Range region to be  $5 \text{ ppbv h}^{-1}$  on average, with afternoon average entrainment rates of approximately  $-1 \text{ ppbv h}^{-1}$  (Kaser et al. (2016), *in prep*). Assuming an average entrainment rate of  $5 \text{ ppbv h}^{-1}$  for morning hours between 0600-1200 LT and an  $O_x$  entrainment rate



of  $-1 \text{ ppbv h}^{-1}$  for times between 1200-1800 LT and subtracting diel entrainment and observed  $P(O_x)$  from the local diel  $O_x$  rate of change, the average  $O_x$  advection rate derived from MOPS and models between 0600-1800 LT is  $-5.1$  and  $-2.4 \text{ ppbv h}^{-1}$  on plume days, and  $-1.7$  and  $-3.5 \text{ ppbv h}^{-1}$  for all other days, respectively. This quick calculation suggests that advection contributes weakly to observed  $O_x$ , while either entrainment or  $P(O_x)$  dominate the  $O_x$  patterns observed in Golden and likely its surrounding areas. Because these advection rates are derived quantities from the MOPS and the models, and both methods for determining  $P(O_x)$  contain relatively high uncertainty, it is difficult to quantitatively assess  $O_x$  advection rates in Golden, CO as these errors propagate to advection rate uncertainties of up to a factor of two. Decreasing the uncertainty in  $P(O_x)$  is thus salient for accurately calculating the terms in Eq. (1) contributing to observed  $O_x$  levels in the Colorado Front Range.

#### 4 Conclusions

Comparisons were made between  $P(O_3)$  measured *in situ* by a second-generation Penn State MOPS, and photochemical box modeled  $P(O_3)$  using both lumped and near-explicit chemical mechanisms. These 2014 comparisons during DISCOVER-AQ and FRAPPÈ in the Colorado Front Range show that model  $P(O_3)$  is underestimated relative to the MOPS by a factor of two. This underestimation is most pronounced between 0900 and 1200 LT during peak  $O_3$  production hours when high levels of  $NO_x$  and VOCs are present due to rush hour emissions.

This result is not completely explained by several different possibilities. Model  $P(O_3)$  uncertainty is large during peak  $P(O_3)$  hours; factors influencing this uncertainty during the day include uncertainty in the kinetic rate coefficients of  $HO_x$ - $NO_x$  cycling reactions. Upon further analysis of the discrepancy between measured and modeled  $P(O_3)$  at high  $NO_x$ , it was found that the measured  $HO_2$  behavior as a function of  $NO$  was similar to studies previously reported in the literature in which the measured  $HO_2$  to  $OH$  ratio decreases less rapidly than modeled ratios for higher  $NO$  levels, most likely causing  $P(O_3)$  measured by the MOPS to be up to 2-3 times larger than modeled  $P(O_3)$  between 0900 and 1200 LT. As such, neither MOPS chamber chemistry, reactive chlorine chemistry, nor model sensitivity studies can fully explain this disagreement between modeled and measured  $P(O_3)$ . If we include a reaction of  $OH + NO$  to form  $HO_2$  and  $NO_2$  with a bimolecular reaction rate coefficient of  $(9-15) \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$ , the model can replicate the MOPS observed  $P(O_3)$  behavior in this Golden, CO study.

More research must be conducted on both fronts to understand the differences between modeled and measured  $P(O_3)$ . The second-generation MOPS is still in early stages of development and much more time and rigorous testing is needed to decrease the MOPS absolute measurement offset uncertainty through the reduction of  $O_3$  analyzer drifting. On the other hand, model comparisons highlight the need to revisit current mechanism reaction rate coefficients and product yields involving  $HO_x$ - $NO_x$  cycling, and to investigate possible missing  $HO_x$  sources at high  $NO_x$  levels.

If models are truly under-predicting  $HO_2$  in the early morning,  $L_N/Q$  metrics from observation-constrained models that calculate radical mixing ratios may be incorrectly assessing  $NO_x$  or VOC  $O_3$  production sensitivity and the efficacy of  $O_3$  reduction strategies. Further, the use of these mechanisms in CTMs can create significant differences between modeled and observed  $P(O_3)$  during peak  $O_3$  production hours and can translate to large discrepancies in model  $O_3$  predictions. Thus, differences between measured and modeled  $P(O_3)$  can have substantial and potentially costly implications for  $O_3$  mitigation





strategies that are put in place in O<sub>3</sub> NAAQS non-attainment areas. The MOPS measurements indicate P(O<sub>3</sub>) in Golden, CO and its surrounding areas are more NO<sub>x</sub>-sensitive in the early morning, suggesting that NO<sub>x</sub> emission reductions in this region are a viable solution for O<sub>3</sub> mitigation in the Colorado Front Range.

## 5 Data availability

- 5 The MCM version 3.3.1 is freely available at <http://mcm.leeds.ac.uk/MCM/> and the University of Washington Chemical Model (UWCM) framework used to run MCMv331 is available to the public from G. Wolfe. Meteorological and chemical data collected during the DISCOVER-AQ and FRAPPÈ studies are available at <http://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html> and <https://www2.acom.ucar.edu/frappe>.
- 10 Author S. Brown serves on the editorial board of this journal. No other authors declare any conflicts of interest.

*Acknowledgements.* We gratefully acknowledge the entire DISCOVER-AQ and FRAPPÈ teams for the collection of ground and airborne measurement data in this work. PTR-ToF-MS measurements during DISCOVER-AQ were carried out by P. Eichler, T. Mikoviny, and M. Müller, and were supported by the Austrian Federal Ministry for Transport, Innovation and Technology (bmvit) through the Austrian Space Applications Programme (ASAP) of the Austrian Research Promotion Agency (FFG). We thank G. Wolfe for provision of and help with the MCM model framework, W. Goliff for the provision of the RACM2, and J. Thornton for thoughtful discussions. For the use of the web version of the HYSPLIT model (<http://www.ready.noaa.gov>), we acknowledge the NOAA Air Resources Laboratory. This work was funded by NASA grants NNX14AR83G and NNX12AB84G.



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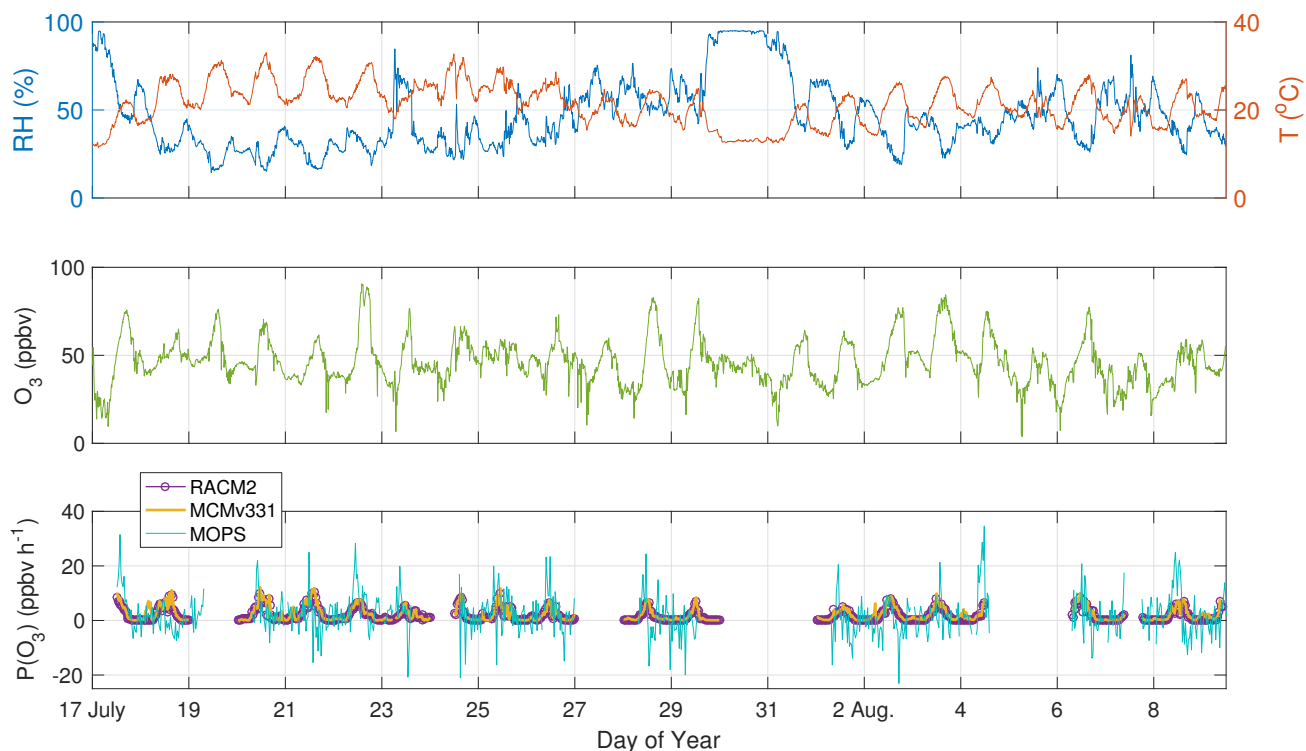


**Table 1.** Measured parameters input into the RACM2 and MCMv331. Inorganic chemical species measurement time resolution is 1 min. Aircraft chemical species were measured every 1 s. Evacuated whole-air canister VOC point measurements were interpolated to 1-h medians as described in Section 2.2. All measured constraints were either averaged or interpolated to 10 min for model runs.

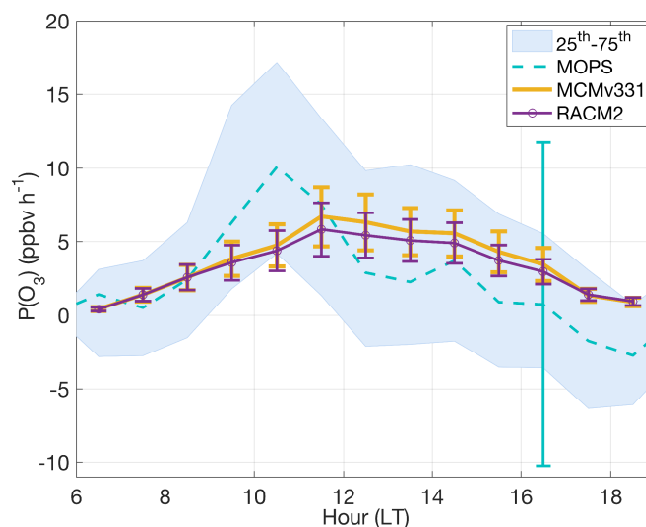
Number	Model input	Method <sup>b</sup>	Uncertainty (%)	Institution
8	<b>Inorganics</b> O <sub>3</sub> SO <sub>2</sub> NO <sub>2</sub> , NO CO, CO <sub>2</sub> , CH <sub>4</sub> HNO <sub>3</sub>	CL UV Fluorescence CES/CAPS, CL WACs/GC/GC-MS (Colman et al., 2001) TD-LIF (Day et al., 2002)	10 10 10 ≤ 5 25	EPA  UCI UC Berkeley <sup>a</sup>
58	<b>Organic Species</b>			
42	<i>C</i> <sub>2</sub> - <i>C</i> <sub>10</sub> <i>NMHCs</i> , <i>organic nitrates</i> : ethane, ethene, acetylene, propane, propene, i-butane, n-butane, i-pentane, n-pentane, isoprene, n-hexane, n-heptane, n-octane, 2,3-dimethylbutane, 2-methylpentane, 3-methylpentane, 2,4-dimethylpentane, 2,2,4-trimethylpentane, cyclopentane, methylcyclopentane, cyclohexane, methylcyclohexane, benzene, toluene, ethylbenzene, m,p-xylene, o-xylene, 2-ethyltoluene, 3-ethyltoluene, 4-ethyltoluene, 1,3,5-trimethylbenzene, 1,2,4-trimethylbenzene, 1,2,3-trimethylbenzene, α-pinene, β-pinene, methyl nitrate, ethyl nitrate, 1-propylnitrate, 2-butylnitrate, 2-pentylnitrate, 3-pentylnitrate, 2-methyl-2-butylnitrate	WACs/GC/GC-MS (Colman et al., 2001)	3-100	UCI
	<i>NMHCs</i> <sup>a</sup> : methyl ethyl ketone, methanol, methyl vinyl ketone, methacrolein, acetic acid acetaldehyde, acetone formaldehyde peroxy acetyl nitrate, peroxy propyl nitrate hydrogen peroxide, formic acid, acetic acid ethanol, d-limonene/β-carene, camphene	PTR-ToF-MS (Müller et al., 2014)	10	U. Innsbruck
		DFGAS (Weibring et al., 2006, 2007) PAN-CIMS (Zheng et al., 2011) PCIMS (Treadaway, 2015) TOGA (Apel et al., 2003)	5 13 30 30	CU-INSTAAR NCAR URI NCAR

<sup>a</sup> Denotes aircraft measurements

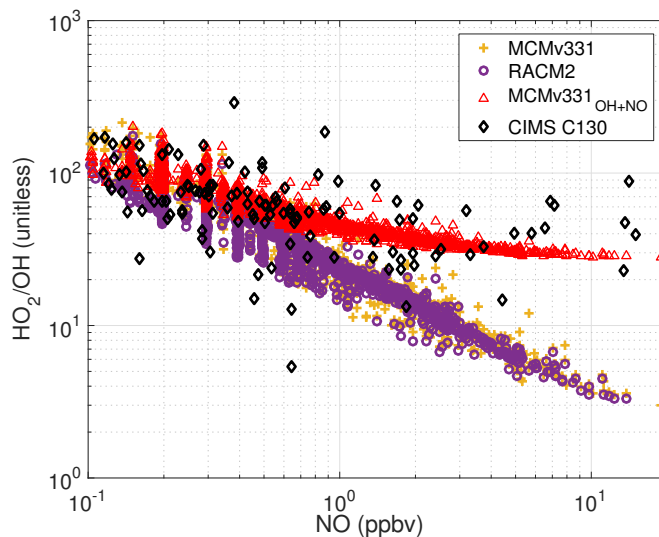
<sup>b</sup> CL, chemiluminescence; CES, cavity enhanced spectroscopy; CAPS, cavity attenuated phase shift spectrometer; WAC, whole-air canister; GC, gas chromatography; GC-MS, gas chromatography mass spectrometer; TD-LIF, thermal dissociation laser-induced fluorescence; PTR-ToF-MS, proton transfer reaction time-of-flight mass spectrometer; DFGAS, difference frequency generation absorption spectrometer; CIMS, chemical ionization mass spectrometer ('PAN', peroxyacyl nitrate; 'P', peroxide); TOGA, trace organic gas analyzer.



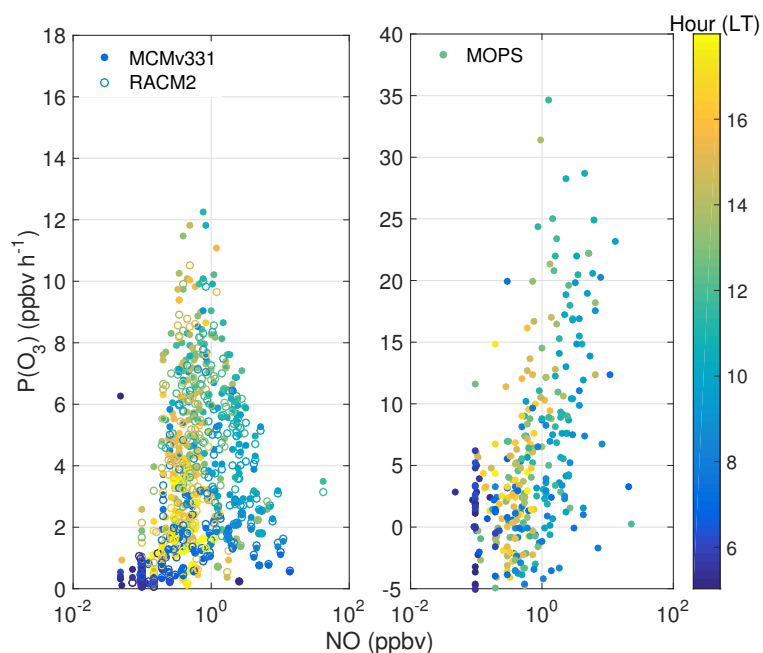
**Figure 1.** Top: Full-campaign 10-minute temperature and relative humidity in Golden, CO. The “warm” period is defined as days before 27 July 2014. Middle: Full-campaign 10-min  $O_3$  mixing ratios for 17 July to 10 August 2014. Bottom:  $P(O_3)$  measured by the MOPS and modeled from the RACM2 and MCMv331 for the same time period. Measured to modeled comparisons are shown for days with available MOPS measurements and have a 30-min time resolution.



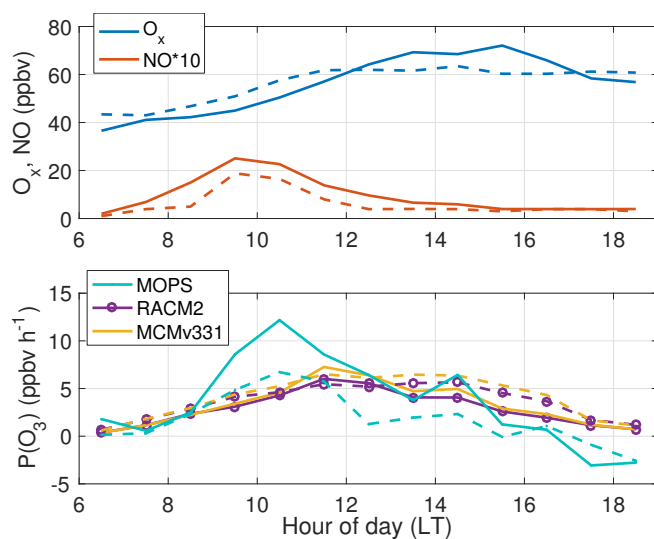
**Figure 2.** Full-campaign median hourly  $P(\text{O}_3)$  measured by the MOPS, and modeled by the RACM2 and MCMv331 for daylight hours between 0600-1800 local time. Diel values shown are shown only for days with MOPS measurements. The MOPS absolute standard deviation ( $1\sigma$ ) is shown for 1600 and the RACM2 and MCMv331 relative error bars are shown at the  $1\sigma$  confidence level. Shown as a shaded area is the MOPS 25th and 75th percentiles.



**Figure 3.** C-130 aircraft CIMS  $\text{HO}_2/\text{OH}$  ratio as a function of aircraft NO (chemiluminescence, 20 pptv  $\pm$  10%,  $1\sigma$  uncertainty) around the vicinity of Golden, CO, and modeled  $\text{HO}_2/\text{OH}$  ratio using constrained NO measured in Golden, CO. Aircraft measurements used are limited to the first 1 km in the boundary layer, and a well-mixed boundary layer is assumed for the  $\text{HO}_x$  measurements. MCMv331 model results are shown for the  $\text{OH} + \text{NO} + \text{O}_2$  reaction to yield  $\text{HO}_2 + \text{NO}_2$  using the kinetic rate coefficient of  $(9\text{-}15)\times 10^{-11}\text{cm}^3\text{molecule}^{-1}\text{s}^{-1}$  discussed in Section 3.3.4.



**Figure 4.** RACM2, MCMv331 (left), and MOPS (right) 30-minute  $P(O_3)$  as a function NO for total campaign data. Points are colored by hour of day.



**Figure 5.** Top:  $O_x$  ( $O_3 + NO_2$ ) and NO mixing ratios for Denver plume (solid) versus all other days (dashed) from 17 July - 10 August 2014 in Golden, CO. Bottom: Median measured and modeled  $P(O_3)$  for Denver plume (solid) and non-Denver plume (dashed) days between 0600-1800 LT.