**Comment:** Thank-you for the substantial changes to the manuscript. Two minor revisions are requested. To comment clearly upon the comparison of modelled and measured NOx mixing ratios (as shown in Table S1) within the main manuscript, e.g. in sections 3.1 and 6.

Response: We thank the editor for careful evaluation of the manuscript and constructive comments.

The comparison of modelled and measured NOx is discussed now within the main manuscript (section 3.1-Page: 8, Lines: 294-305; as "Some of the ozone precursors (NOx/ NOy, ethane and ethene) are also compared between model and recent measurements over few stations (Table S1). Significant differences are seen in model simulated NOx mixing ratios among different emission inventories (e. g. 6.5-30.5 ppbv at Kanpur) over the urban stations in the IGP. Model typically overestimated NOx mixing ratios at Delhi except in INTEX-RADM2 simulation, which showed an agreement with observations within 1-standard deviation. Total nitrogen oxides (NOy) showed relatively similar levels among different inventories (2.7-3.2 ppbv) at a high-altitude station (Nainital) in north India and were only slightly higher than observed mean (1.8±1.6 ppbv). In contrast with the stations in northern India, NOx levels over a rural station Udaipur in western India are underestimated by a factor of 4. On the other hand, modelled ethane mixing ratios are underpredicted by a factor of about 2 whereas modelled ethene mixing ratios agree relatively well with observed values at Nainital in INTEX-RADM2 and S4RS-RADM2 as compared to HTAP-RADM2. More in situ observations, especially of ozone precursors, may provide better insights into the performance of the numerical models and employed emission inventories over this region."

And in section: 6 - Page: 14, Lines: 542-545; as "Modelled levels of ozone precursors showed significant differences among simulations employing the three emission inventories with an overestimation of NOx levels at urban stations in the IGP region. Evaluation of model simulated levels of ozone precursors over a network of observations is highly desirable, as conducted for ozone in this study."

**Comment:** Technical correction - clarify that figs S2 onwards refer to O<sub>3</sub>.

Response: We hope that the editor wishes to mention Table S2 onwards rather than Fig. S2 onwards. Thanks for pointing this out, which is clarified in revised version.

# 1 WRF-Chem simulated surface ozone over South Asia during

# 2 the pre-monsoon: Effects of emission inventories and chemical

# 3 mechanisms

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- 13 14

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# 15 Abstract

16 We evaluate numerical simulations of surface ozone mixing ratios over the South Asian region during the pre-17 monsoon season, employing three different emission inventories (EDGAR-HTAP, INTEX-B, and SEAC4RS) in 18 the WRF-Chem model with the RADM2 chemical mechanism. Evaluation of diurnal variability in modelled ozone 19 compared to observational data from 15 monitoring stations across South Asia shows the model ability to 20 reproduce the clean, rural and polluted urban conditions over this region. In contrast to the diurnal average, the 21 modelled ozone mixing ratios during noontime i.e. hours of intense photochemistry (1130-1630 h Indian Standard 22 Time or IST) are found to differ among the three inventories. This suggests that evaluations of the modelled ozone 23 limited to 24-h average are insufficient to assess uncertainties associated with ozone build-up. HTAP generally 24 shows 10-30 ppbv higher noontime ozone mixing ratios than SEAC4RS and INTEX-B, especially over the north-25 west Indo-Gangetic Plain (IGP), central India and southern India. The HTAP simulation repeated with the 26 alternative MOZART chemical mechanism showed even more strongly enhanced surface ozone mixing ratios due 27 to vertical mixing of enhanced ozone that has been produced aloft. Our study indicates the need to also evaluate 28 the O<sub>3</sub> precursors across a network of stations and the development of high-resolution regional inventories for the 29 anthropogenic emissions over South Asia accounting for year-to-year changes to further reduce uncertainties in 30 modelled ozone over this region.

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#### 38 1. Introduction

- 39 Tropospheric ozone plays central roles in atmospheric chemistry, air quality and climate change. Unlike primary
- 40 pollutants, which are emitted directly, tropospheric ozone forms photochemically involving precursors such as
- 41 carbon monoxide (CO), volatile organic compounds (VOCs) and oxides of nitrogen (NO<sub>x</sub>), supplemented by
- 42 transport from the stratosphere (e.g. Crutzen, 1974; Atkinson, 2000; Monks et al., 2015). It can be transported over
- 43 long distances resulting in enhanced concentrations even in areas located remote from the sources of precursors
- 44 (Cox et al., 1975). The photochemical production of ozone and its impacts on agricultural crops and human health
- 45 are especially pronounced near the surface. Numerous studies have shown that elevated surface ozone levels
- 46 significantly reduce crop yields (e. g.; Krupa et al., 1998; Emberson et al., 2009; Ainsworth et al., 2012; Wilkinson
- 47 et al., 2012), in addition to adverse human health effects that cause premature mortality (e.g., Bell et al., 2004;
- 48 Jerrett et al., 2009; Anenberg et. al., 2010; Lelieveld et al., 2015).

49 An accurate representation of anthropogenic emissions of ozone precursors is essential to understand the 50 photochemical production of ozone and support policy making. While anthropogenic emissions have been nearly 51 stable or decreasing over northern America and Europe (e. g. Yoon and Pozzer, 2014), there has been substantial 52 enhancement over the East and South Asian regions in recent decades (e. g. Akimoto, 2003; Ohara et al., 2007, 53 Logan et al., 2012; Gurjar et al., 2016). The number of premature mortalities per year due to outdoor air pollution 54 is anticipated to double by the year 2050 as compared to the year 2010 in a business-as-usual scenario, 55 predominantly in Asia (Lelieveld et al., 2015). The multi-pollutant index over all populated regions in the northern 56 hemisphere shows a general increase, with South Asia being the major hotspot of deteriorating air quality (Pozzer 57 et al., 2012).

58 The growth of anthropogenic emissions over the South Asian region has regional implications, and is also 59 predicted to influence air quality on a hemispheric scale (Lelieveld and Dentener, 2000). It was shown that the 60 anthropogenic emissions and their subsequent photochemical degradation over South Asia influence air quality 61 over the Himalayas (e.g. Ojha et al., 2012; Sarangi et al., 2014) and the Tibetan Plateau (Lüthi et al., 2015) as well 62 as the marine environment downwind of India (e.g. Lawrence and Lelieveld, 2010). Additionally, the prevailing 63 synoptic scale weather patterns make this region highly conducive to long-range export of pollutants (e.g. 64 Lelieveld et al., 2002; Lawrence et al., 2003; Ojha et al., 2014; Zanis et al., 2014). Therefore, the accurate 65 estimation of anthropogenic emissions over South Asia and their representation in chemical transport models are 66 essential to quantify the effects on regional as well as global air quality.

67 The Weather Research and Forecasting model with Chemistry (WRF-Chem) (Grell et al., 2005; Fast et al., 2006), 68 a regional simulation system, has been popular for use over the South Asian region in numerous recent studies to 69 simulate the meteorology and spatio-temporal distribution of ozone and related trace gases (e.g. Kumar et al., 70 2012a, 2012b; Michael et al., 2013; Gupta et al., 2015; Jena et al., 2015; Ansari et al., 2016; Ojha et al., 2016; 71 Girach et al., 2017). WRF-Chem simulations at higher spatial resolution employing regional emission inventories 72 have been shown to better reproduce the observed spatial and temporal heterogeneities in ozone over this region as 73 compared to the global models (e.g. Kumar et al., 2012b; Ojha et al., 2016). However, an evaluation of modelled 74 ozone based on data from a network of stations across South Asia is imperative considering very large spatio-75 temporal heterogeneity in the distribution of ozone over this region (e.g. Kumar et al., 2010; Ojha et al., 2012; 76 Kumar et al., 2012b) mainly resulting from heterogeneous precursor sources and population distribution. WRF-

- 77 Chem simulated ozone distributions have also been utilized to assess the losses in crop yields, and it was
- real suggested that the estimated crop losses would be sufficient to feed about 94 million people living below the
- 79 poverty line in this region (Ghude et al., 2014). Further, WRF-Chem has been used to estimate that premature
- 80 mortality in India caused by chronic obstructive pulmonary disease (COPD) due to surface O<sub>3</sub> exposure was
- 81 ~12,000 people in the year 2011 (Ghude et al., 2016). Despite these applications, there is room for improvement in
- 82 modeled concentrations as some limited studies evaluating ozone on diurnal scales revealed a significant
- 83 overestimation of noontime ozone e.g. by as much as 20 ppbv in Kanpur (Michael et al., 2013) and 30 ppbv in
- 84 Delhi (Gupta and Mohan, 2015).
- Using WRF-Chem, Amnuaylojaroen et al. (2014) showed that over continental southeast Asia surface ozone mixing ratios vary little (~4.5%) among simulations employing different emission inventories. A recent study by Mar et al. (2016) highlighted the dependence of WRF-Chem predicted ozone air quality (over Europe) on the chosen chemical mechanism. These results indicate the need for evaluating the effects of emission inventories and chemical mechanisms on the model performance using a network of stations across South Asia, which has not been carried out thus far. The main objectives of the present study are:
- 91 (a) To evaluate WRF-Chem simulated ozone over South Asia, especially the diurnal variability, against recent in
   92 situ measurements from stations representing different chemical environments (urban, rural, clean etc.);
- 93 (b) To inter-compare model simulated  $O_3$  among different emission inventories;
- 94 (c) To inter-compare model simulated O<sub>3</sub> between two extensively used chemical mechanisms (MOZART and
   95 RADM2) with the same emission inventory;
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#### 112 2. Methodology

#### 113 2.1. WRF-Chem

In this study we use the Weather Research and Forecasting model coupled with chemistry (WRF-Chem version3.5.1), which is an online mesoscale model capable of simulating meteorological and chemical processes

<sup>97</sup> We focus on the pre-monsoon season (March-May) for the study as O<sub>3</sub> mixing ratios at the surface are generally 98 the highest over most of South Asia during this period (Jain et al., 2005; Debaje et al., 2006; Reddy et al., 2010; 99 Ojha et al., 2012; Gaur et al., 2014; Renuka et al., 2014; Bhuyan et al., 2014; Sarangi et al., 2014; Yadav et al., 100 2014; Sarkar et al., 2015). This is because photochemistry over South Asia is most intense during this season 101 caused by the combined effects of high pollution loading, biomass-burning emissions and a lack of precipitation. 102 The effects of biomass burning on ozone in Southern Asia have been studied by Jena et al. (2014) reporting  $O_3$ 103 enhancements of 4-10 ppb (25-50%) in the Eastern region including Burma, 1-3 ppb (10-25%) in Central India 104 and 1-7 ppb (4-10%) in the Indo-Gangetic region. Further, the  $O_3$  enhancement was found to be about 2-6 ppb (8-105 20%) over the Bay of Bengal in March, which was attributed to transport from the Eastern region. Section 2 106 presents the model description, including physics and chemistry options, emission inputs and the observational 107 data. Model evaluation focussing on the effects of different emission inventories on ozone is presented in section 108 3. The inter-comparison between the RADM2 and MOZART chemical mechanism is discussed in section 4. The 109 sub-regional and South Asian domain evaluation and recommendations on model configuration are provided in 110 section 5, followed by the summary and conclusions drawn from the study in section 6. The list of abbreviations 111 and acronyms used in this paper are listed in Table 1.

- simultaneously (Grell et al., 2005; Fast et al., 2006). The model domain (Fig. 1) is defined on a mercator
- 117 projection and is centred at 22<sup>°</sup> N, 83<sup>°</sup> E with 274 and 352 grid points in the east-west and north-south directions,
- 118 respectively, at the horizontal resolution of 12 km x 12 km. The land use data is incorporated from the US
- 119 Geological Survey (USGS) based on 24 land use categories. The ERA-interim reanalysis dataset from ECMWF
- 120 (http://www.ecmwf.int/en/research/climate-reanalysis/browse-reanalysis-datasets), archived at the horizontal
- 121 resolution of about  $0.7^{\circ}$  and temporal resolution of 6 hours, is used to provide the initial and lateral boundary
- 122 conditions for the meteorological calculations. All simulations in the study have been conducted for the period:
- 123  $26^{th}$  February  $31^{st}$  May, 2013 at a time step of 72 s. The model output is stored every hour for analysis. The first
- $124 \qquad \text{three days of model output have been discarded as model spin up.}$
- 125 Radiative transfer in the model has been represented using the Rapid Radiative Transfer Model (RRTM) longwave 126 scheme (Mlawer, 1997) and the Goddard shortwave scheme (Chou and Suarez, 1994). Surface physics is 127 parameterized using the Unified Noah land surface model (Tewari et al., 2004) along with eta similarity option (Monin and Obukhov, 1954; Janjic, 1994, 1996), and the planetary boundary layer (PBL) is based on the Mellor-128 129 Yamada-Janjic (MYJ) scheme (Mellor and Yamada, 1982; Janjic, 2002). The cloud microphysics is represented 130 by the Lin et al. scheme (Lin et. al., 1983), and cumulus convection is parameterized using the Grell 3D Ensemble 131 Scheme (Grell, 1993; Grell and Devenyi, 2002). Four-dimensional data assimilation (FDDA) is incorporated for 132 nudging to limit the drift in the model simulated meteorology from the ERA-interim reanalysis (Stauffer and 133 Seaman, 1990; Liu et al. 2008). Horizontal winds are nudged at all vertical levels, whereas temperature and water 134 vapour mixing ratios are nudged above the PBL (Stauffer et al. 1990, 1991). The nudging coefficients for temperature and horizontal winds are set as  $3 \times 10^{-4} \text{ s}^{-1}$  whereas it is set as  $10^{-5} \text{ s}^{-1}$  for water vapour mixing ratio 135 (Otte, 2008). 136
- This study utilizes two different chemical mechanisms, the Regional Acid Deposition Model 2<sup>nd</sup> generation 137 138 (RADM2) (Stockwell et al., 1990), and the Model for Ozone and Related Chemical Tracers-version 4 (MOZA RT-139 4) (Emmons et al., 2010). RADM2 chemistry includes 63 chemical species participating in 136 gas phase and 21 140 photolysis reactions. MOZART chemistry includes 81 chemical species participating in 159 gas phase and 38 141 photolysis reactions. Aerosols are represented using the Modal Aerosol Dynamics Model for Europe/ Secondary 142 Organic Aerosol Model (MADE/SORGAM) (Ackermann et al., 1998; Schell et al., 2001) with RADM2 and 143 Global Ozone Chemistry Aerosol Radiation and Transport (GOCART) (Chin et al., 2000) with MOZART. The 144 photolysis rates are calculated using the Fast-J photolysis scheme (Wild et al., 2000) in RADM2 simulations and 145 the Madronich FTUV scheme in the MOZART simulation. In WRF-Chem, the Madronich F-TUV photolysis 146 scheme uses climatological O<sub>3</sub> and O<sub>2</sub> overhead columns. The treatment of dry deposition process also differs 147 between RADM2 and MOZART owing to differences in Henry's Law coefficients and diffusion coefficients. The 148 chemical initial and lateral boundary conditions are provided from 6 hourly fields from the Model for Ozone and 149 Related Chemical Tracers (MOZART-4/GEOS5) (http://www.acom.ucar.edu/wrf-chem/mozart.shtml).
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# 151 2.2. Emission inputs

152 This study utilizes three different inventories for the anthropogenic emissions: HTAP, INTEX-B and the 153 SEAC4RS, which are briefly described here. The Hemispheric Transport of Air Pollution (HTAP) inventory 154 (Janssens-Maenhout et al., 2015) for anthropogenic emissions (http://edgar.jrc.ec.europa.eu/htap\_v2 155 /index.php?SECURE=\_123) available for the year 2010 has been used. The HTAP inventory has been developed 156 by complementing various regional emissions with EDGAR data, in which Asian region including India is 157 represented by the Model Intercomparison study for Asia (MICS-Asia) inventory, which is at a horizontal 158 resolution of 0.25° x 0.25° (Carmichael et al., 2008). The resultant global inventory is re-gridded at the spatial resolution of 0.1° x 0.1° and temporal resolution of 1 month. HTAP includes emissions of CO, NO<sub>x</sub>, SO<sub>2</sub>, 159 NM VOCs, PM, BC and OC from power, industry, residential, agriculture, ground transport and shipping sectors. 160 161 The Intercontinental Chemical Transport Experiment-Phase B (INTEX-B) inventory (Zhang et al., 2009), 162 developed to support the INTEX-B field campaign by the National Aeronautics and Space Administration 163 (NASA) in spring 2006, is the second inventory used in this study. It provides total emissions for year 2006 at a 164 horizontal resolution of  $0.5^{\circ} \ge 0.5^{\circ}$ . The emission sectors include power generation, industry, residential and transportation. The Southeast Asia Composition, Cloud, Climate Coupling Regional Study (SEAC4RS) inventory 165 166 (Lu and Streets, 2012), prepared for the NASA SEAC4RS field campaign, is the third inventory used in this study. 167 It provides total emissions for the year 2012 at a spatial resolution of 0.1° x 0.1°. The SEA C4RS and INTEX-B did 168 not cover regions in the north western part of the domain, and therefore we complemented this region (longitude < 169  $75^{\circ}$ E and latitude >  $25^{\circ}$ N) by HTAP emission data. The emissions of CO, NMVOCs and NO<sub>x</sub> emissions among 170 the three emission inventories, as included in the simulations, are shown in Fig. 2. Table 2 provides estimates of 171 total emissions over different regions (as defined in Fig.1) from the three inventories. The total emissions over all 172 regions show that HTAP has about 43% higher and SEAC4RS about 46% higher NO<sub>x</sub> emissions compared to the 173 INTEX-B inventory. Also, HTAP has about 37% higher VOC emissions compared to SEAC4RS and about 49% 174 higher compared to the INTEX-B inventory. Hence SEAC4RS, the most recent inventory of the three, has similar 175 total NO<sub>x</sub> emissions as that in HTAP but the total VOC source is closer to INTEX-B, which is the oldest of the 176 three inventories. Considering the non-linear dependence of O<sub>3</sub> formation on precursors, numerical experiments 177 are necessary to assess the influence of such large differences among the inventories. The emissions from biomass 178 burning are included using the Fire Inventory from NCAR (FINN) version 1.0 (Wiedinmyer et al., 2011). Model 179 of Emissions of Gases and Aerosols from Nature (MEGAN) is used to include the biogenic emissions (Guenther et 180 al., 2006) in the model.

181 The HTAP inventory is available at monthly temporal resolution while INTEX-B and SEAC4RS are available as 182 annual averages; however, seasonal variability in anthropogenic emissions may not have a major effect in this 183 study as we focus here on spring (pre-monsoon), for which monthly emissions are similar to the annual mean 184 (seasonal factor close to unity) (Supplementary material - Fig. S1; also see Fig. 2b in Kumar et al., 2012b). 185 Nevertheless, seasonal influence during spring is strongest for biomass-burning emissions, which have been 186 accounted for. The emissions from all inventories were injected in the lowest model layer. The diurnal profiles of 187 the anthropogenic emissions of ozone precursors, specific to South Asia are not available. A sensitivity simulation 188 implementing the diurnal emission profile available for Europe (Mar et al., 2016 and references therein) showed a 189 little impact on predicted noontime ozone over South Asia (Supplementary material – Fig S2).

# 190 2.3. Simulations

We have conducted 4 different numerical simulations as summarized in Table 3 and briefly described here. Three simulations correspond to three different emission inventories HTAP, INTEX-B and SEAC4RS for the anthropogenic emissions of ozone precursors, employing the RADM2 chemical mechanism. These simulations are named HTAP-RADM2, INTEX-RADM2 and S4RS-RADM2 respectively. The emissions of aerosols have been kept same (HTAP) among these three simulations and aerosol-radiation feedback has been switched off to

- specifically identify the effects of emissions of O<sub>3</sub> precursors on modelled ozone. An additional simulation HTAP-
- 197 MOZ has been conducted to investigate the sensitivity of ozone to the employed chemical mechanism (MOZART
- 198 vs RADM2) by keeping the emissions fixed to HTAP.

# 199 2.4. Observational dataset

- 200 Previous studies have shown that WRF-Chem accurately reproduces the synoptic scale meteorology over the 201 Indian region, justifying its use for atmospheric chemical simulations (e.g. Kumar et al., 2012a). Further, nudging 202 towards reanalysis data limits deviations in simulated meteorology (e. g. Kumar et al., 2012a; Ojha et al., 2016; 203 Girach et al., 2017). Nevertheless, we include an evaluation of model simulated water vapour, temperature and 204 wind speed against radiosonde observations (Supplementary material, Fig. S3). Vertical profiles of the monthly 205 average (April) water vapour mixing ratio (g/Kg), temperature (°C) and horizontal wind speed (m/s) have been 206 obtained from radiosonde data (available at http://weather.uwyo.edu/upperair/sounding.html) for evaluation of 207 modelled meteorology over Delhi (in North India), Bhubaneshwar (in east India) and Ahmedabad (in west India). 208 We find that model simulated meteorology is in good agreement (within 1-standard deviation variability) with the 209 observations.
- 210

211 Surface ozone data is acquired from various studies and sources, as given in Table 4. In general, surface  $O_3$ 212 measurements over these stations have been conducted using the well-known technique of UV light absorption by 213 ozone molecules at about 254 nm, making use of Beer-Lambert's Law. The accuracy of these measurements is 214 reported to be about 5% (Klein mann et al., 1994). The response time of such instruments is about 20 s and 215 instruments have a lower detection limit of 1 ppbv (Ojha et al., 2012). Here we have used the hourly and monthly 216 average data for the model evaluation. The details of instruments and calibrations at individual stations can be 217 found in the references given in the Table 4. It is to be noted that most of the observations are conducted generally 218 inside the campus of universities/ institutes, reasonably away from the direct roadside emissions / exhausts (see 219 references provided in Table 4) and therefore not influenced by concentrated local pollution sources.

- 220 As simultaneous measurements at different stations are very sparse over South Asia, the model evaluation has 221 often to be conducted using observations of the same season/month of a different year (e. g. Kumar et al., 2012b; 222 Kumar et al., 2015; Ojha et al., 2016). However, to minimize the effect of temporal differences we preferentially 223 used measurements of recent years i.e. the observations at the stations used in this study are of the period: 2009-224 2013. For four stations: Delhi (north India), Jabalpur (central India), Pune (west India) and Thumba (south India), 225 the observations and simulations are for the same year (2013). Finally, we investigated the effects of temporal 226 differences on the results and model biases presented here by conducting another simulation for a different year 227 (2010) (Supplementary material, Fig. S4).
- There is also a need to evaluate precursor mixing ratios over the region to further reduce uncertainties in modelled ozone over South Asia. However, very limited data is available for ozone precursors in India and adjacent countries (especially for non-methane volatile organic compounds; NMVOCs). We include an evaluation of modelled NOx, ethane and ethene mixing ratios against several recent observations in the supplementary material (see Section S1 and Table S1-on Pages 1-2). More sensitive techniques (e.g. blue light converter for NO<sub>2</sub>) in future would provide better insights into model performance in reproducing NO<sub>x</sub> over India.
- 234

235 **3.** Effects of emission inventories

# 236 3.1. Spatial distribution of Ozone

237 The spatial distribution of WRF-Chem simulated 24-h monthly average ozone during April is shown in Fig. 3a 238 (upper panel) for the three different emission inventories (HTAP, INTEX, and SEAC4RS). Generally the months 239 of March and May are marked with seasonal transition from winter to summer and summer to monsoon 240 respectively. Hence, the month of April is chosen to represent the pre-monsoon season as it is not influenced by 241 these seasonal transitions, and the observational data is available for a maximum number of stations during this 242 month for the comparison. The 24-h average ozone mixing ratios are found to be 40-55 ppbv over most of the 243 Indian subcontinent for all the three inventories. Model simulated ozone levels over the coastal regions are also 244 similar (30-40 ppbv) among the three inventories. The highest ozone mixing ratios (55 ppbv and higher) predicted 245 in the South Asian region are found over northern India and the Tibetan Plateau. The WRF-Chem simulated 246 spatial distributions of average ozone shown here are in agreement with a previous evaluation study over South 247 Asia (Kumar et al., 2012b). Further, it is found that qualitatively as well as quantitatively the HTAP, INTEX-B 248 and SEAC4RS lead to very similar distributions of 24-h average ozone over most of the South Asian region. The 249 24h monthly average ozone from observations is superimposed on the model results in Fig. 3a for comparison. 250 WRF-Chem simulated distributions of average  $O_3$  are in general agreement with the observational data (Fig. 3a), 251 except at a few stations near coasts (e.g. Kannur and Thumba) and in complex terrain (Pantnagar and Dibrugarh). 252 In contrast to the distribution of 24-h average  $O_3$ , the noontime (1130-1630 IST)  $O_3$  mixing ratios over continental 253 South Asia exhibit significant differences among the three emission inventories (Fig. 3b). HTAP clearly leads to 254 higher noontime  $O_3$  mixing ratios, the difference being up to 10 ppbv over the Indo-Gangetic plain (IGP), 20 ppbv 255 over Central India, and 30 ppbv over Southern India, compared to INTEX-B and SEAC4RS. The mean bias (MB) 256 (model-observation) for 24-h and noontime average ozone at individual stations is provided in the supplementary 257 material - Table S2 and S3. A sensitivity simulation is conducted to reveal the influence of a different cumulus 258 parameterization (Kain-Fritsch scheme) on our conclusions. The differences in the modelled surface ozone mixing 259 ratios over most of the Indian domain are found to be within  $\pm 5\%$  (supplementary material; Figure S5). The 260 relatively large differences over some of the Indian region indicate that the Kain-Fritsch scheme tends to predict 261 higher surface ozone mixing ratios relative to the base run (incorporating Grell 3D Ensemble Scheme), which 262 would only add up to biases in the original runs. Therefore our conclusions are not affected.

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The net photochemical  $O_3$  production rate (ppbv h<sup>-1</sup>) from sunrise to noontime (0630-1230 IST), when most of the 264 265 photochemical build-up of ozone takes place leading to its peak noontime mixing ratio, has been calculated 266 utilizing the chemical tendencies in WRF-Chem (Barth et al., 2012; Girach et al., 2017). A comparison of monthly 267 average  $O_3$  production rates among the three inventories is shown in Fig. 4. As seen also from the  $O_3$  mixing ratios (Fig. 3b), the HTAP emissions result in faster  $O_3$  production (~9 ppbv h<sup>-1</sup>) throughout the IGP region. The highest 268 269 O<sub>3</sub> production rates for INTEX-B and SEAC4RS inventories are simulated only in the East Indian regions 270 including the eastern parts of the IGP. It is noted that the rate of  $O_3$  production is lower (4-8 ppbv h<sup>-1</sup>) over most of 271 the south-western IGP for the INTEX-B and SEAC4RS inventories. Differences are also found over the southern 272 Indian region with stronger ozone production in HTAP, followed by INTEX-B and SEAC4RS.

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Figure 5 provides insight into the spatial distribution of  $O_3$  production regimes estimated through the CH<sub>2</sub>O/NO<sub>y</sub> ratio (Geng et al., 2007; Kumar et al. 2012b) calculated during 0630 – 1230 IST, to help explain the differences in 276 modelled ozone mixing ratios among the three simulations. The metric CH<sub>2</sub>O/NO<sub>v</sub>, as described by Sillman 277 (1995), is suggested to be a useful diagnostic to determine the ozone production regime. Sillman (1995) evaluated 278 the correlation between  $O_3$ -NO<sub>x</sub>-VOC sensitivity predicted by photochemical model and CH<sub>2</sub>O/NO<sub>y</sub> ratio. The 279 correlation has been derived combining results from serial computations with the model by varying the 280 anthropogenic and biogenic emissions, and meteorology. The method has been successfully employed in 281 investigating ozone distribution over the South Asia (Kumar et al., 2012b), East Asia (Geng et al., 2007; Tie et al., 282 2013), and Europe (Mar et al., 2016). Tie et al (2013) reported similarities between the results based on the 283 CH<sub>2</sub>O/NO<sub>v</sub> ratio and those following another method described by Klein mann et al. (2003) over Shanghai. A value 284 of 0.28 for CH2O/NOy ratio is suggested to be the transitional value from VOC limited regime to NOx limited 285 regime. The spatial distribution of regimes in all simulations in the present study is largely consistent with the 286 findings of Kumar et al. (2012b) although the latter performed the analysis for afternoon hours (1130 - 1430 IST). 287 The S4RS-RADM2 simulation predicts the entire IGP to be VOC sensitive whereas in HTAP-RADM2 and 288 INTEX-RADM2 simulations though the northwest IGP and eastern IGP are VOC sensitive, the central IGP is 289 mostly  $NO_x$  limited. The coastal regions are also predicted to be VOC limited in all the three simulations. With the 290 north-western IGP being VOC limited in all simulations, the noontime ozone mixing ratios are found to be higher 291 in this region in HTAP-RADM2 simulation because of high NMVOC emissions in HTAP inventory as evident 292 from figure 2 and table 2. Similar differences are also apparent in southern India.

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294 Some of the ozone precursors ( $NO_x$ /  $NO_y$ , ethane and ethene) are also compared between model and recent 295 measurements over few stations (Table S1). Significant differences are seen in model simulated NO<sub>x</sub> mixing ratios 296 among different emission inventories (e. g. 6.5-30.5 ppbv at Kanpur) over the urban stations in the IGP. Model 297 typically overestimated NO<sub>x</sub> mixing ratios at Delhi except in INTEX-RADM2 simulation, which showed an 298 agreement with observations within 1-standard deviation. Total nitrogen oxides (NO<sub>v</sub>) showed relatively similar 299 levels among different inventories (2.7-3.2 ppbv) at a high-altitude station (Nainital) in north India and were only 300 slightly higher than observed mean  $(1.8\pm1.6 \text{ ppbv})$ . In contrast with the stations in northern India, NO<sub>x</sub> levels over 301 a rural station Udaipur in western India are underestimated by a factor of 4. On the other hand, modelled ethane 302 mixing ratios are underpredicted by a factor of about 2 whereas modelled ethene mixing ratios agree relatively 303 well with observed values at Nainital in INTEX-RADM2 and S4RS-RADM2 as compared to HTAP-RADM2. 304 More in situ observations, especially of ozone precursors, may provide better insights into the performance of the 305 numerical models and employed emission inventories over this region.

- 307 In summary, these results show similar 24-h average ozone distributions but large differences in the ozone build-308 up until noon. The net photochemical ozone production in the morning hours (0630-1230) is shown to be sensitive 309 to the different inventories over this region, which is attributed to differences in total NO<sub>x</sub> and/or NM VOC 310 emissions. We therefore suggest that a focus on 24-h averages only would be insufficient to evaluate the ozone 311 budget and implications for human health and crop yield. Next we compare the modeled diurnal ozone variations 312 from three inventories with in situ measurements over 15 stations across the South Asia.
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306

#### 314 **3.2.** Diurnal variation

A comparison of WRF-Chem simulated diurnal ozone variability with recent in situ measurements over a network
 of 15 stations in the South Asian region is shown in Fig. 6. WRF-Chem is found to successfully reproduce the

317 characteristic diurnal ozone patterns observed over the urban (e.g. Mohali, Delhi, Kanpur, Ahmedabad, 318 Bhubaneswar and Pune) and rural (e.g. Anantpur, Gadanki) stations, indicating strong ozone build-up from sunrise 319 to noontime and the predominance of chemical titration (by NO) and deposition losses during the night. In general, 320 WRF-Chem captures the daily amplitude of  $O_3$  changes at relatively cleaner and high altitude stations, typically 321 showing less pronounced diurnal variability, such as Nainital in the Himalayas, although with differences in 322 timing when model and observations attain minimum ozone mixing ratios, thus leading to relatively low 323 correlation coefficient (see later in the text). The diurnal variability in  $O_3$  indicated by  $\Delta O_3$ , i.e. the difference 324 between diurnal mean and hourly values, is further compared between the model and observations at all the 325 stations (Supplementary material - Fig S6). This comparison intends to focus more on to evaluate the model's 326 ability to reproduce different diurnal patterns over urban, rural and clean chemical environments and minimizing 327 the representation of absolute ozone levels. It is seen that model successfully captures the observed variability in ozone at most of the sites in this region. However, a limitation is noticed in resolving well the stations in the 328 329 vicinity of complex terrain (such as Jabalpur), attributed to the stronger spatial heterogeneity due to forests, hills 330 and mountains within a small area (Sarkar et al., 2015).

331 To briefly evaluate the possible effects due to the difference in meteorological year between model and 332 observations, we repeated the HTAP-RADM2 simulation for a different year (2010) as shown in the 333 Supplementary material - Fig. S4. The effect of changing the meteorological year in the model simulation is 334 generally small (mostly within ±3 ppbv in 3 years), except at a few stations in the north (Nainital and Pantnagar) 335 and east (Bhubaneswar). The effect is seen to vary from 4.8 ppbv to 6 ppbv (in 3 years) at these three stations. 336 These differences are found to be associated with the inter-annual variations in the regional and transported 337 biomass burning emissions, as seen from MODIS fire counts and MOZART/GEOS5 boundary conditions (not 338 shown here).

339 The model ability to reproduce diurnal variations at all stations is summarised using a Taylor diagram (Taylor, 340 2001) in Figure 7. The statistics presented are normalised standard deviation (SD), normalised centred root mean 341 squared difference (RMSD) and the correlation coefficient. The normalisation of both SD and RMSD is done 342 using the standard deviation of the respective observational data. The point indicated as 'REF' represents the 343 observational data against which the model results are evaluated. WRF-Chem simulations show reasonable 344 agreement with observations showing correlation coefficients generally greater than 0.7 for most sites. The 345 locations such as Nainital and Jabalpur for which r values are lower (0.3-0.7) are associated with unresolved 346 complex terrain, as mentioned earlier. Note that the Taylor diagram has been used to present evaluation statistics 347 for a general overview and inter-comparison i.e. how the model reproduces the diurnal variation at different 348 stations, irrespective of the emission inventory.

# 349 4. Effects of chemical mechanism (RADM2 vs MOZART)

Choice of chemical mechanisms in the regional models can also be an important element in the prediction of ozone. Inclusion of additional chemical species along with insufficient information on region-specific speciation factors could induce uncertainties to the predicted ozone. Further, in order to reduce the computational costs most chemical mechanisms in the models make use of lumping approach to reduce the number of chemical reactions thus avoiding treatment of all chemical species (Zaveri et al., 1999; Sarkar et al., 2016). In addition, different reaction rate constants, photolysis and dry deposition schemes used in the mechanisms are some of the factors

- leading to the uncertainties. A recent WRF-Chem evaluation over Europe showed better agreement with in situ
- measurements when the MOZART chemical mechanism was employed, compared to RADM2 (Mar et al., 2016).
  Following up on this, here we compare modelled ozone mixing ratios obtained with these two extensively used
- chemical mechanisms over South Asia: RADM2 (e. g. Michael et al., 2013; Ojha et al., 2016, Girach et al., 2017)
- and MOZART (e. g. Ghude et al., 2014; Ghude et al., 2016), keeping the same input emission inventory (HTAP).
- 361 In the present study, the photolysis rates are calculated using the Fast-J photolysis scheme (Wild et al., 2000) in
- 362 RADM2 simulations and the Madronich FTUV scheme in the MOZART simulation. In WRF-Chem, the
- 363 Madronich F-TUV photolysis scheme uses climatological  $O_3$  and  $O_2$  overhead columns. The treatment of dry
- 364 deposition process also differs between RA DM2 and MOZART owing to differences in Henry's Law coefficients
- and diffusion coefficients. Thus, the following sensitivity analysis is aimed at exploring if the use of the more
  - detailed chemical mechanism of MOZART could improve the model performance.

## 367 4.1. Spatial distribution of surface O<sub>3</sub>

368 The WRF-Chem simulated spatial distributions of 24-h average and noontime average surface ozone are compared 369 in Fig. 8. The monthly values of the 24-h and noontime ozone mixing ratios from measurements are also shown. 370 Overall, the average ozone mixing ratios over South Asia are simulated to be higher with the MOZART chemical 371 mechanism compared to RADM2, which is consistent with the results of Mar et al. (2016) for the European 372 domain. The 24-h average ozone mixing ratios over India simulated with MOZART chemistry are found to be 373 higher than those with RADM2 chemistry, especially over the eastern Indian region (~60 ppbv and more for 374 MOZART compared to ~40-55 ppbv for RADM2). Average ozone levels over the coastal regions are found to be 375 similar between the two mechanisms (30-40 ppbv). MOZART chemistry also predicts high 24-h average ozone 376 mixing ratios (55 ppbv and higher) over the Tibetan Plateau region, similar to RADM2. A striking difference 377 between the two chemical mechanisms is found over the marine regions adjacent to South Asia (Bay of Bengal 378 and northern Indian Ocean), with MOZART predicting significantly higher 24-h average ozone levels (35-50 379 ppbv) compared to the RADM2 (25-40 ppbv). A comparison of noontime average ozone distributions between the 380 two chemical mechanism shows that MOZART predicts higher ozone concentrations than RADM2 over most of 381 the Indian region by about 5-20 ppbv, except over western India. The differences are up to 20 ppbv and more over 382 the Southern Indian region, highlighting the impacts of chemical mechanisms on modelled ozone in this region. 383 The mean bias (MB) values (model-observation) for 24-h and noontime average ozone at individual stations is 384 provided in the supplementary material - Table S2 and S3.

385 Figure 9a shows a comparison of the monthly average chemical  $O_3$  tendency (ppbv h<sup>-1</sup>) from 0630 to 1230 IST. In 386 contrast with average O<sub>3</sub> mixing ratios, which were found to be higher in HTAP-MOZ, the net O<sub>3</sub> production rates 387 at the surface are higher in HTAP-RADM2 over most of the domain, especially in the IGP and central India. The 388 net O<sub>3</sub> production rates at the surface with HTAP-RA DM2 are found to be 6 to 9 ppbv h<sup>-1</sup> and more over the IGP, whereas these values are generally lower in HTAP-MOZ (4-8 ppbv  $h^{-1}$ ), except in the north-eastern IGP (>9 ppbv 389  $h^{-1}$ ). Fig. 9b shows the sum of the chemical tendency and vertical mixing tendency at the surface for the HTAP-390 RADM2 and HTAP-MOZ. Analysis of the vertical mixing tendency revealed that higher surface ozone mixing 391 392 ratios in the MOZART simulation are due to mixing with ozone rich air from aloft. In the HTAP-RADM2 393 simulation, vertical mixing dilutes the effect of strong chemical surface ozone production. Further analysis of vertical distributions of chemical O<sub>3</sub> tendencies reveals stronger photochemical production of ozone aloft with 394 395 MOZART compared to RADM2 (Supplementary material-Fig. S7). This leads to higher ozone mixing ratios aloft

396 in MOZART simulations. A sensitivity simulation is conducted using a different PBL parameterization (Yonsei 397 University Scheme) to examine its influence on our conclusions. Comparison of monthly average (in April) 398 planetary boundary layer heights between the two PBL schemes revealed that the differences are mostly within 399  $\pm 150$  m with Yonsei scheme generally resulting in higher PBL heights over India (Fig. S9). Nevertheless, the chemical tendencies combined with vertical mixing tendencies of surface  $O_3$  are found to be nearly similar with 400 401 Yonsei scheme (Fig. S10) as in the base runs using the MYJ scheme (Fig. 9b) with MOZART still producing 402 higher ozone aloft (not shown) as in the original runs. Thus changing the PBL scheme still results in production of 403 more ozone aloft in MOZART, which is getting mixed with near surface air, which corroborates that our 404 conclusions are not affected.

405 Mar et al. (2016) showed that RADM2 exhibits greater VOC sensitivity than MOZART (i.e., producing higher 406 changes in ozone given a perturbation in VOC emissions) under noontime summer conditions over Europe. This is 407 consistent with our findings as well, that the net surface photochemical ozone production is greater for HTAP-408 RADM2 than for HTAP-MOZART, given the high VOC emissions in the HTAP inventory. At the surface, the 409 MOZART mechanism predicts larger areas of VOC-sensitivity (as diagnosed by the CH<sub>2</sub>O/NO<sub>y</sub> indicator, Figure 410 10) and lower net photochemical ozone production than RADM2. With increasing altitude, both the HTAP-411 RADM2 and HTAP-MOZART simulations show a general increase of CH<sub>2</sub>O/NO<sub>v</sub> over India, i.e. the chemistry 412 tends to exhibit increased NO<sub>x</sub> sensitivity with increasing height (Supplementary material-Figure S11). At model 413 levels above the surface, HTAP-MOZART shows greater net photochemical production of ozone than HTAP-414 RADM2 (Supplementary material-Figure S7), which is what Mar et al. (2016) have also reported for the surface 415 O<sub>3</sub> over Europe. When these effects are combined, mixing leads to higher surface ozone mixing ratios for HTAP-416 MOZART than for HTAP-RADM2. A sensitivity simulation using a different photolysis scheme (Madronich TUV 417 photolysis scheme) with HTAP-RADM2 setup revealed similar surface ozone mixing ratios and chemical 418 tendencies at various model levels with small differences (<5%) over most of the Indian region (not shown). So 419 our results would be similar if we use Madronich TUV scheme instead of Fast-J scheme with RADM2. Further, 420 Mar et al. (2016) used Madronich TUV scheme with RADM2 and Madronich F-TUV scheme with MOZART 421 chemical mechanism and reported that the two different Madronich photolysis schemes had only a small 422 contribution to the differences in the predicted ozone by two chemical mechanisms. The major difference between 423 the two chemical mechanisms was due to differences in inorganic reaction rates (Mar et al, 2016). Hence we 424 conclude that in our study too, the differences over Indian region are primarily due to the choice of the chemical 425 mechanisms irrespective of photolysis scheme used. Also note that the aerosol radiation feedback is turned off, so 426 that the calculated differences mainly result from the representation of gas phase chemistry rather than of aerosols 427 between MOZART and RADM2. Our analysis also shows the importance of chemical regime in understanding 428 differences between the chemical mechanisms, and highlights the significant effects of the employed chemical 429 mechanism on modelled ozone over South Asia.

430

# 431 4.2. Diurnal variation

432 Figure 11 shows a comparison of WRF-Chem simulated ozone variations on diurnal timescales with recent in situ

- 433 measurements over a network of stations across the South Asia for the two chemical mechanisms (MOZART and
- 434 RADM2); again with the same emission inventory (HTAP). Qualitatively, both simulations produce very similar
- 435 diurnal patterns (also see Supplementary material, Fig. S12), however, the absolute O<sub>3</sub> mixing ratios are found to

- 436 differ significantly (Figure 11) between the two chemical mechanisms. Noontime ozone mixing ratios predicted by
- 437 MOZART are either significantly higher (at 9 out of 15 stations) or nearly similar (at 6 stations). MOZART-
- 438 predicted  $O_3$  at Dibrugarh, Kanpur, Jabalpur, Bhubaneshwar, Gadanki and Thumba was found to be higher by ~12

439 ppbv, 5 ppbv, 8 ppbv, 10 ppbv, 11 ppbv and 12 ppbv, respectively, compared to RADM2 (Supplementary 440 material, Table S3). Over several urban and rural stations in India (e.g. Delhi, Ahmedabad, Pune, Kannur and

- 441
- Thumba) MOZART is found to titrate ozone more strongly during the night while resulting in higher or similar 442 ozone levels around noon. The contrasting comparison between noon and night time found at these sites suggests
- 443 that evaluation limited to 24 h averages would not be sufficient, and that model performance on a diurnal time
- 444 scale should be considered to assess the photochemical build-up of O<sub>3</sub>.
- 445

446 The model performance of two chemical mechanisms in reproducing diurnal variation at all stations is summarised using a Taylor diagram in Fig. 12. Both chemical mechanisms show reasonably good agreement (r > 0.7) at most 447 448 of the sites, except one station associated with highly complex terrain (Nainital). On the Taylor diagram, most of 449 the HTAP-RADM2 results are found to be closer to the 'REF', as compared to HTAP-MOZ results, suggesting 450 that the RADM2 chemical mechanism is better suited to simulate diurnal variation of ozone over this region.

451

#### 452 5. Overall evaluation

- 453 In this section, we present a sub-regional evaluation of all simulations by subdividing the domain into five 454 geographical areas, i.e. North, South, East, West and central India, as shown in Fig. 1. The temporal correlation 455 coefficients of diurnally varying O<sub>3</sub>, spatially averaged over each of the five different sub-regions, are found to be 456 reasonably high, generally exceeding 0.7 (Table 5). The r values for individual sub-regions are found to be similar 457 among the four simulations. For example, over north India the r values vary from 0.86 to 0.90. The model 458 performance differs among several sub-regions, with correlations being lower for central India (r = 0.67-0.75). 459 Since the latter is based on only one station associated with complex terrain (Jabalpur), we suggest that 460 observations over additional stations should be conducted to evaluate the model performance in the central Indian 461 region. The mean bias values around noontime are provided in supplementary material (Table S5). These results 462 show that the performance of emission inventories is regionally different, and that these biases should be 463 considered in utilizing model for assessment of air quality and impacts on human health and crop yield.
- 464

465 We finally evaluate the different simulations in the context of the entire south Asian region. Figure 13 shows a 466 comparison of model results and measurements with diurnal box/whisker plots, combining all stations for the four 467 different simulations. It is clearly seen that HTAP-MOZ yields highest noontime surface ozone mixing ratios among all simulations followed by HTAP-RADM2. These results further suggest that assessment of the 468 469 tropospheric ozone budget as well as implications for public health and crop loss are associated with considerable 470 uncertainty, and biases need to be considered. A recent study (Ghude et al., 2016) utilizing MOZART chemistry, 471 for example, subtracted 15 ppbv from the WRF-Chem simulated ozone mixing ratios before deriving premature 472 mortalities over the Indian region. The results of present study are summarized in the form of a polar plot (Fig. 14) 473 showing the monthly mean diurnal variation from all runs for the entire south Asian domain. The noontime 474 normalized mean bias values with respect to observed values are ~9.7% (S4RS-RADM2), ~11.5% (INTEX-475 RADM2), ~20.9% (HTAP-RADM2) and ~34.2% (HTAP-MOZ). It is to be noted that comparison of absolute 476 ozone levels from model with observations has a limitation due to non-consideration of aerosol impacts and the resolution at which the model results are obtained; nevertheless, it provides an estimate about the uncertainties in
model predictions of ozone using different emission inventories. It is interesting to note that the SEAC4RS
inventory (representative of year 2012) yields quite similar domain wide average bias value as the INTEX-B
inventory (representative of year 2006).

481

#### 482 6. Summary and conclusions

In this paper, we compare the WRF-Chem simulated surface ozone over South Asia during the pre-monsoon 483 484 season by employing three different inventories (EDGAR-HTAP, INTEX-B, and SEAC4RS) for anthropogenic 485 emissions with the RADM2 chemical mechanism. WRF-Chem simulated ozone distributions showed highest 486 ozone mixing ratios (~55 ppbv and higher) over northern India and the Tibetan Plateau. In general, modelled 487 average ozone distributions from different inventories are found to be in agreement with previous studies over this 488 region. Evaluation on diurnal time scales demonstrates the ability of the model to reproduce observed  $O_3$  patterns 489 at urban and rural stations, showing strong noontime ozone build-up and chemical titration and deposition loss 490 during the night-time. WRF-Chem also captures the smaller diurnal amplitudes observed over high altitude, 491 relatively pristine stations. However, model showed limitations in capturing ozone mixing ratios in the vicinity of 492 the complex terrain, indicating that even a relatively high horizontal resolution of 12 km x 12 km could not fully 493 resolve the topography induced effects.

494 Overall WRF-Chem simulations show reasonable agreement with observations, with correlation coefficients 495 generally higher than 0.7 for most of the sites. It is found that the HTAP, INTEX-B and SEAC4RS inventories 496 lead to very similar distributions of 24-h average ozone over this region. This is corroborated by the quantitative 497 similarity in simulated surface ozone among the three simulations, for both 24h and noontime (1130-1630 IST) 498 averages at all grids in the domain (supplementary material, table S 6). However, noontime (1130-1630 IST)  $O_3$ 499 mixing ratios over continental South Asia differ significantly among the three inventories. This can also be seen in 500 the quantitative assessment of similarity (Table S6), where the variance of the residual shows that the scatter is 501 greater for the noontime averages than for the 24 h averages. HTAP inventory generally leads to noontime  $O_3$ 502 mixing ratios higher by 10 ppbv over the Indo-Gangetic plain (IGP), 20 ppbv over Central India, and 30 ppbv over 503 Southern India, compared to the INTEX-B and SEAC4RS inventories. A comparison of monthly average O<sub>3</sub> net 504 production rate during 0630-1230 IST among the three inventories shows that the HTAP emissions result in faster 505  $O_3$  production (~9 ppbv h<sup>-1</sup>) throughout the IGP region compared to the other two inventories. Differences are also 506 found over the southern Indian region with stronger ozone production in HTAP, followed by INTEX-B and 507 SEAC4RS. The results show similar 24-h average ozone distributions, but large differences in noontime ozone 508 build up, pointing to the uncertainties in emission inventories over this region.

509 We further investigated the sensitivity of modelled ozone to two extensively used chemical mechanisms, RADM2 510 and MOZART, and maintaining the HTAP emissions. Noontime average surface ozone distributions predicted by 511 MOZART show significant enhancements (10-15 ppbv) with respect to RADM2 over most of the Indian region, 512 except over western India. MOZART predicts higher ozone concentrations than RADM2 by up to 20 ppbv and 513 more over the South Indian region. Monthly average ozone mixing ratios are predicted to be higher by the 514 MOZART chemical mechanism compared to RADM2, as was also found over Europe (Mar et al., 2016). The 515 differences in ozone production between the MOZART and RADM2 chemical mechanisms are mainly attributed 516 to the additional chemical species and reactions, differences in the rate constants for several inorganic reactions,

- and photolysis schemes used. The difference in photolysis rates for  $O^1D$  and  $NO_2$  can be seen in supplementary
- 518 material (Figure S13) for a surface point in the centre of the domain. A comparison of the monthly average 519 chemical  $O_3$  tendency (ppby h<sup>-1</sup>) during 0630-1230 IST shows that in contrast with average  $O_3$  mixing ratios,
- which were found to be higher in MOZART, the net  $O_3$  production rates at the surface are higher with RADM2
- 521 chemistry, especially over the IGP and central India. The net  $O_3$  production rates at the surface with RADM2 are
- 522 found to be 6 to 9 ppbv  $h^{-1}$ , and higher over the IGP, whereas these rates are generally lower with MOZART (4-8
- 523 ppbv  $h^{-1}$ ), except in the northeastern IGP (>9 ppbv  $h^{-1}$ ). Analysis of the vertical mixing tendency revealed that
- 524 higher surface ozone mixing ratios in the MOZART simulation are due to mixing with ozone rich air from aloft.
- 525 Analysis of vertical distributions of chemical O<sub>3</sub> tendencies reveals stronger photochemical production of ozone
- 526 aloft with MOZART compared to RADM2. Our analysis highlights the significant effects of the employed
- 527 chemical mechanism on model predicted ozone over South Asia.
- Qualitatively, RADM2 and MOZART simulations predict similar diurnal patterns. However, over several urban
  and rural stations in India MOZART is found to titrate ozone relatively strongly during the night, while producing
  higher or similar ozone levels during noontime compared to RADM2. The contrasting evaluation results between
  day- (noon) and night-time could counterbalance in evaluation studies limited to 24 h averages, possibly showing
  better agreement and therefore it is pertinent to consider the diurnally resolved model performance.
- 533 Model results averaged over all observation sites encompassing the South Asian region revealed that HTAP-MOZ 534 predicts highest noontime ozone mixing ratios followed by HTAP-RADM2. The noontime normalized mean bias 535 compared to observations is lowest for the SEAC4RS inventory with the RADM2 chemical mechanism (~9.7%), 536 followed by INTEX-B with RADM2 (~11.5%), HTAP with RADM2 (~20.9%), and HTAP with MOZART 537 (~34.2%). These results further suggest that the assessment of the tropospheric ozone budget and consequently its 538 implications on public health and agricultural output should be carried out cautiously by considering the large 539 uncertainties associated with use of emission inventories and chemical mechanism incorporated. As we report 540 considerable differences in the noontime ozone levels among different inventories, further work is needed to 541 account for aerosol feedback, and evaluation of ozone precursors to identify best suited emission inventory for this 542 region. Modelled levels of ozone precursors showed significant differences among simulations employing the 543 three emission inventories with an overestimation of  $NO_x$  levels at urban stations in the IGP. Evaluation of model 544 simulated levels of ozone precursors over a network of observations is highly desirable, as conducted for ozone in 545 this study. It is interesting to note that the SEAC4RS inventory (representative of 2012) yields results comparable 546 to the INTEX-B inventory (for 2006), even though the SECA4RS inventory has about 46% higher NO<sub>x</sub>, 9% higher 547 NMVOC and 15% lower CO emissions compared to INTEX-B.
- 548 Brown carbon aerosol can effectively absorb solar radiation (Alexander et al., 2008; Hecobian et al., 2010; 549 Kirchstetter and Thatcher, 2012; Kirchstetter et al., 2004; Yang et al., 2009; Jo et al., 2016) leading to a reduction 550 in NO<sub>2</sub> photolysis rates and subsequently in surface ozone mixing ratios (Jo et al., 2016). Jo et al. (2016) reported 551 that on an annual average basis, changes in surface ozone mixing ratios related to brown carbon aerosol absorption 552 over South Asia are <5%. Further studies should be taken up in the future to investigate the impact of aerosols on 553 surface ozone, also with regional models like WRF-Chem. The current and other modelling efforts, constrained by 554 limited measurement data, stress the need for more comprehensive observations, e.g. in a network of stations, and 555 making the data available through projects such as TOAR (http://toar-data.fz-juelich.de/). Our study highlights the

need to also evaluate  $O_3$  precursors, similar to that conducted here for ozone, to further reduce uncertainties in modelled ozone over South Asia for the better assessment of implications of surface ozone on public health and crop yield. In order to make better model predictions at further higher resolution (than 12 km), development of finer resolution inventories than the ones used in the current study is also required over the region. So we also recommend preparing high-resolution regional inventories for the anthropogenic emissions of  $O_3$  precursors over South Asia, also accounting for year-to-year changes.

562 **Data availability**: The model output from all the numerical simulations is available at the MPG supercomputer 563 HYDRA (http://www.mpcdf.mpg.de/services/computing/hydra) and would be provided by contacting the 564 corresponding authors. The observed values shown for comparison are from previous papers with complete list of 565 references provided in the Table 4. New observations for Delhi and Pune stations are available from the SAFAR 566 program (http://safar.tropmet.res.in/).

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# 859 Table 1. Abbreviations/Acronym

EDGAR	Emission Database for Global Atmospheric Research
HTAP	Hemispheric Transport of Air Pollution
IGP	Indo Gangetic plain
IST	Indian standard time
INTEX-B	Intercontinental Chemical Transport Experiment Phase B
MB	Mean Bias
MOZART	Model for Ozone and Related Chemical Tracers
NMB	Normalized mean bias
PBL	Planetary boundary layer
RMSD	Centered root mean squared difference
RRTM	Rapid Radiative Transfer Model
SEAC4RS	Southeast Asia Composition, Cloud, Climate Coupling Regional Study
WRF-Chem	Weather research and forecasting model coupled with chemistry

**Table 2.** Sub-regional estimates of anthropogenic emissions (in million mol  $h^{-1}$ ) in the three emission inventories used.

Region	НТАР			INTEX-B			SEAC4RS		
	NO <sub>x</sub>	NMVOC	CO	NO <sub>x</sub>	NMVOC	CO	NO <sub>x</sub>	NMVOC	CO
North	8.1	14.0	110.0	6.3	10.0	96.1	8.7	10.7	86.9
East	5.8	10.1	102.9	6.0	6.9	78.8	6.7	8.2	72.4
West	2.9	4.6	31.0	1.8	2.1	24.7	3.7	2.9	24.3
Central	4.6	4.2	44.6	2.0	2.9	34.7	4.9	3.1	26.2
South	5.4	5.8	37.2	2.7	4.1	46.2	3.5	3.4	28.3
Total	26.8	38.7	325.7	18.8	26.0	280.5	27.5	28.3	238

**Table 3.** A brief description of the different WRF-Chem simulations conducted.

Sr. No.	Simulation name	Emission Inventory	Year of Emission Inventory	Spatial Resolution of Emission Inventory	Chemical Mechanism
1	HTAP-RADM2	HTAP	2010	$0.1^{\circ} x \ 0.1^{\circ}$	RADM2
2	INTEX-RADM2	INTEX-B	2006	$0.5^{\circ} x \ 0.5^{\circ}$	RADM2
3	S4RS-RADM2	SEAC4RS	2012	$0.1^{\circ} x \ 0.1^{\circ}$	RADM2
4	HTAP-MOZ	HTAP	2010	$0.1^{\circ} x \ 0.1^{\circ}$	MOZART-4

Table 4. List of observation sites and data sources used. Site nomenclature in brackets in column 1 is used in figures 1, 5, 6, 9 and 10.

Site	Туре	Latitude	Longitude	Altitude (m.a.s.l)	Data period	Reference
Mohali (MOH)	Urban	30.7°N	76.7°N	310	May 2012	Sinha et al. (2014)
Nainital (NTL)	Highly complex	29.37°N	79.45°E	1958	Apr 2011	Sarangi et. al. (2014)
Pantnagar (PNT)	Urban/ complex	29.0°N	79.5°E	231	Apr 2009-11	Ojha et al. (2012)
Delhi (DEL)	Urban	28.65°N	77.27°E	220	Apr 2013	SAFAR data
Dibrugarh (DBG)	Rural/ complex	27.4°N	94.9°E	111	Apr 2010-13	Bhuyan et al. (2014)
Kanpur (KNP)	Urban	26.46°N	80.33°E	125	Mar-May 2010-13	Gaur et al. (2014)
Udaipur (UDP)	Urban	24.58°N	73.68°E	598	Apr 2010	Yadav et al. (2014)
Jabalpur (JBL)	Complex	23.17°N	79.92°E	411	Apr 2013	Sarkar et al. (2015)
Ahmedabad (ABD)	Urban	23.03°N	72.58°E	53	May 2011	Mallik et al. (2015)
Bhubaneshwar (BBR)	Urban	21.25°N	85.25°E	45	Mar-May 2010	Mahapatra et al. (2012)
Pune (PUN)	Urban	18.54°N	73.81°E	559	Mar-May 2013	SAFAR data; Beig et al. (2007)
Anantapur (ANP)	Rural	14.62°N	77.65°E	331	Apr 2009	Reddy et al. (2010)
Gadanki (GDK)	Rural	13.48°N	79.18°E	375	Mar-May 2010-11	Renuka et al. (2014)
Kannur (KNR)	Rural/ coastal	11.9°N	75.4°E	5	Apr 2010	Nishanth et al. (2012)
Thumba/ Trivendrum (TRI)	Urban/ coastal	8.55°N	77°E	3	Apr 2009	David et al. (2011)

\* At Darjeeling only monthly mean value is available.

Table 5. A comparison of correlation coefficients (r) over different regions for the four simulations

Region	HTAP-RADM2	INTEX-RADM2	S4RS-RADM2	HTAP-MOZ
North	0.90	0.86	0.88	0.90
East	0.98	0.97	0.97	0.98
West	0.99	0.98	0.98	0.99
Central	0.70	0.67	0.69	0.75
South	0.99	0.98	0.97	0.97
Overall	0.98	0.97	0.97	0.99

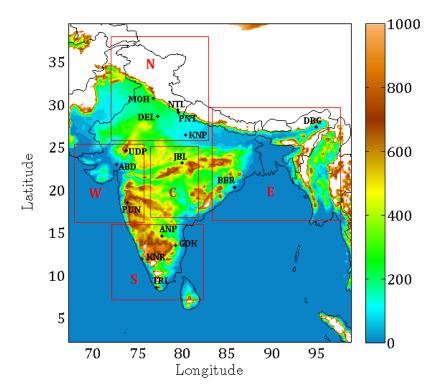


Figure 1. Simulation domain showing terrain height (in metres) and observation sites. White region indicates that the terrain height is equal to or exceeds 1 km. The domain is subdivided into five regions viz. North (N), South (S), East (E), West (W) and central (C) regions, as shown by red rectangles.

- 0.90

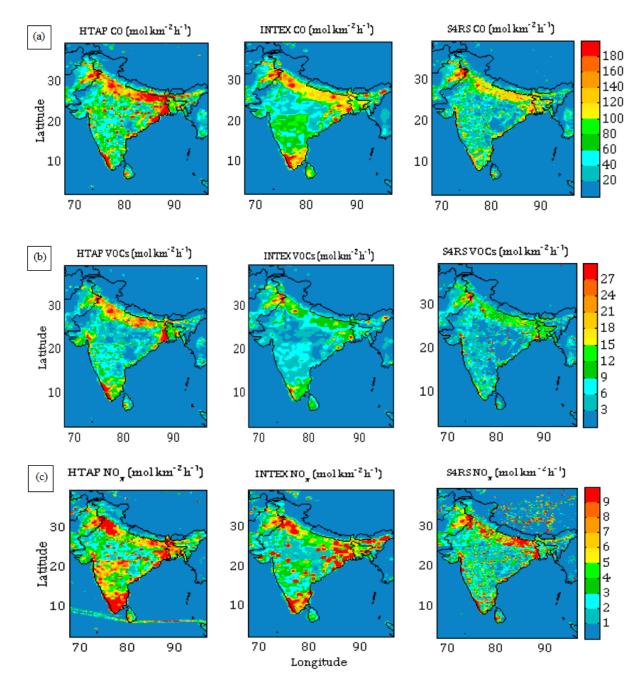
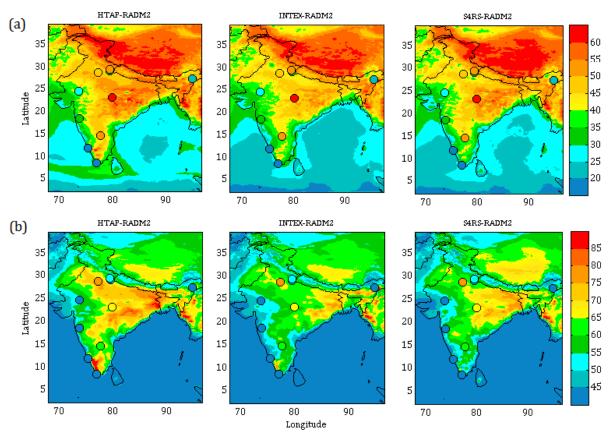




Figure 2. Comparison of (a) CO, (b) NM VOC and (c) NO<sub>x</sub> emissions between the three inventories used (see Section-2.2 for description).



922 Longitude
 923 Figure 3. Monthly (April) average surface ozone calculated for (a) 24 h and (b) noontime (1130-1630 IST). The average ozone mixing ratios (ppbv) from observations are also shown for comparison on the same colour scale. Note the difference in colour scales in the top and bottom rows.

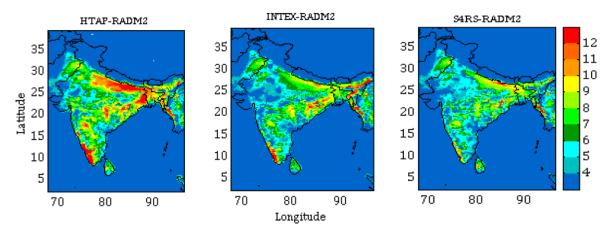
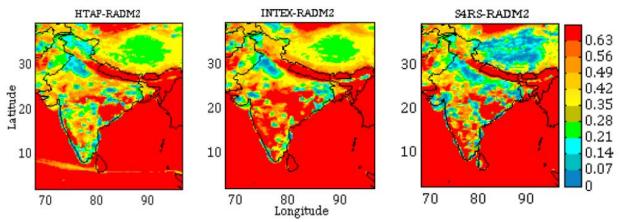


Figure 4. Net daytime surface ozone chemical tendency (in ppbv h<sup>-1</sup>) for the month April during 0630-1230 IST.



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 964 Figure 5. Net daytime surface CH<sub>2</sub>O to NO<sub>y</sub> ratio in simulations with different inventories for the month April during 0630-1230 IST.

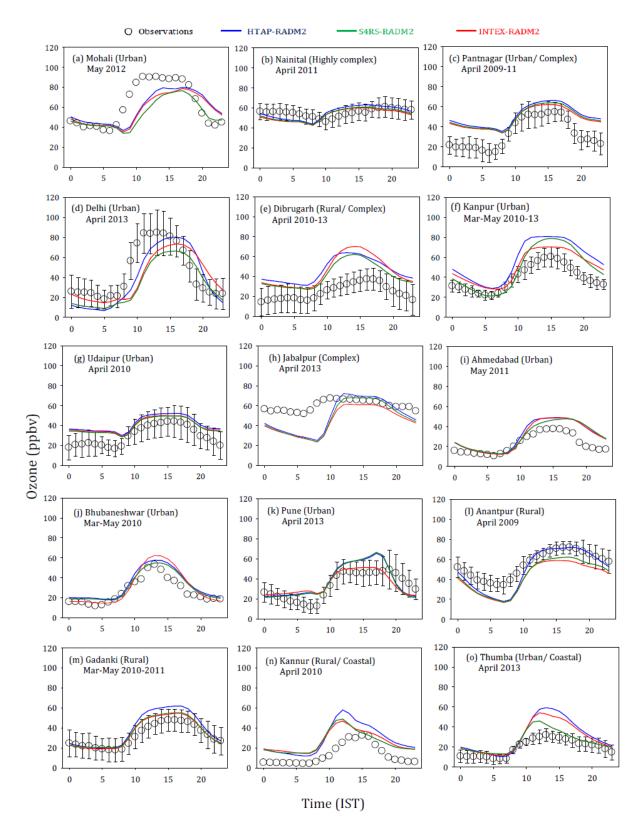
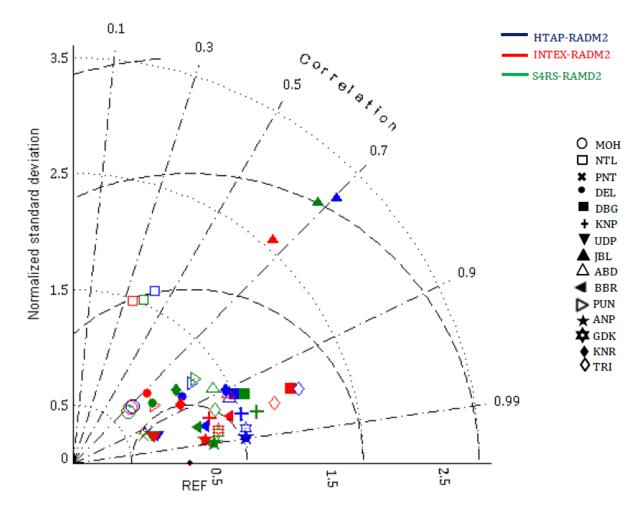


Figure 6. Comparison of monthly average diurnal variation of surface ozone simulated using different emission inventories at various observation sites. The observational data is available for the period indicated in the figure whereas all model simulations are for the year 2013. Error bars represent the temporal standard deviations of the monthly averages. All model simulations are with RADM 2 chemistry.



974 Figure 7. Taylor diagram with summary model statistics (r, normalized standard deviation and RMSD) at all sites. The
975 correlation is the cosine of the angle from the horizontal axis, the root mean square difference is the distance from the reference
976 point (REF) and the standard deviation is the distance from the origin.

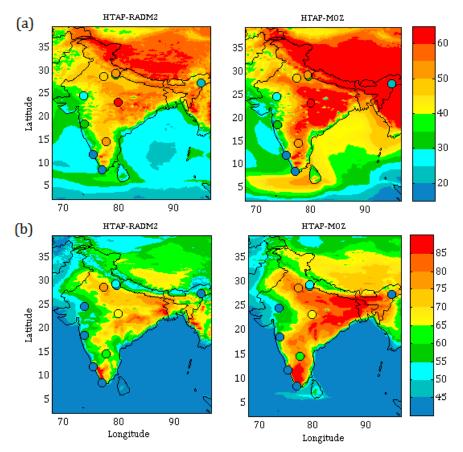
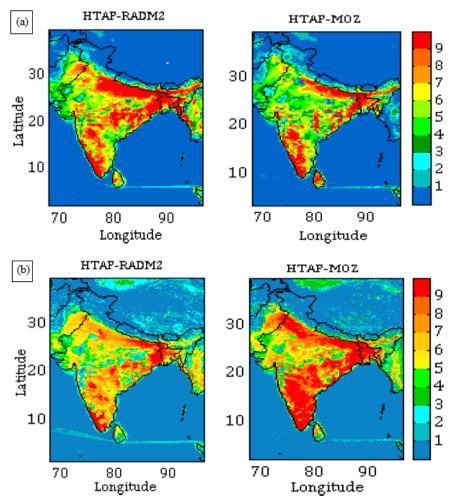
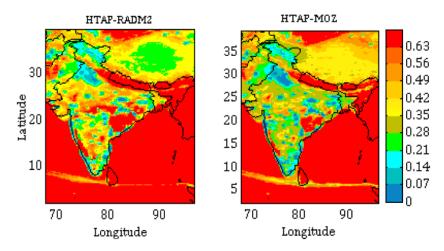


Figure 8. Monthly (April) average surface ozone calculated for (a) 24 h and (b) noontime (1130-1630 IST), comparing the chemical mechanisms (RADM2 and MOZART). The average ozone mixing ratios (ppbv) from observations are also shown for comparison on the same colour scale. Note the difference in colour scales in the top and bottom rows.



**Figure 9.** Average (**a**) net daytime surface ozone chemical tendency (in ppbv  $h^{-1}$ ) (**b**) net daytime surface ozone chemical +vertical mixing tendency (in ppbv  $h^{-1}$ ) for April during 0630-1230 IST



1021
 1022 Figure 10. Net daytime surface CH<sub>2</sub>O to NO<sub>y</sub> ratio in simulations with different chemical mechanisms for the month April during 0630-1230 IST.

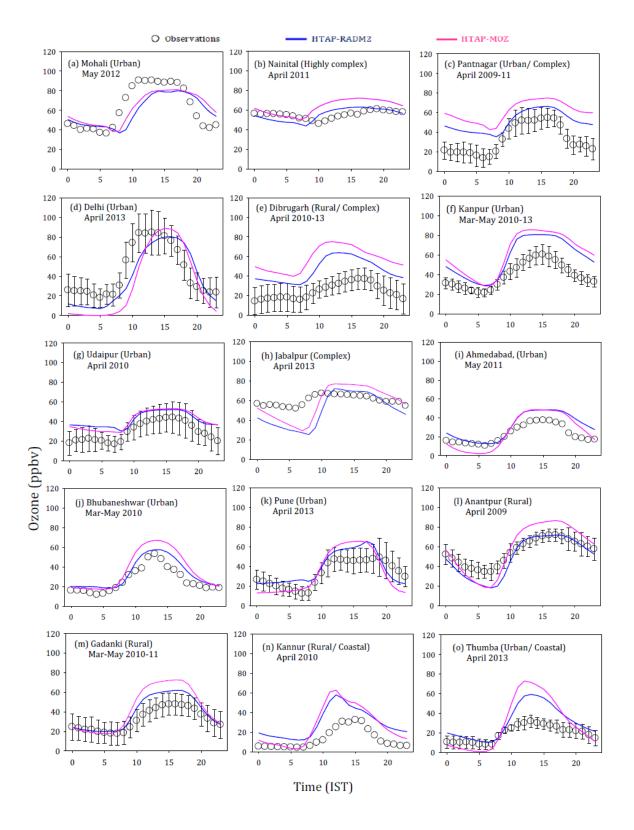
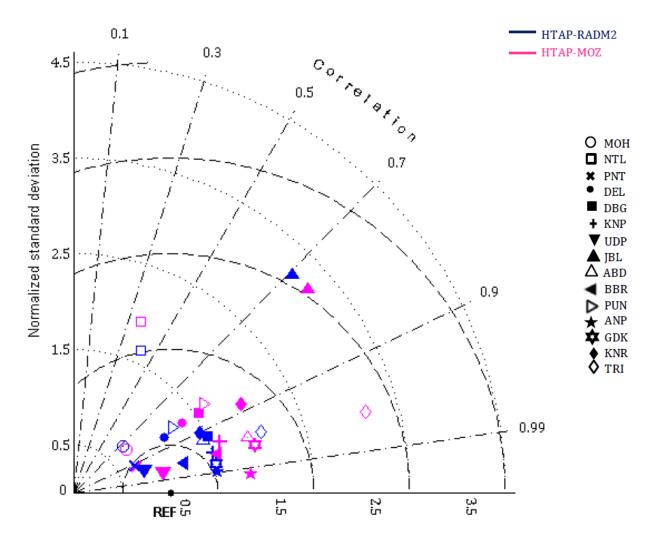


Figure 11. Comparison of monthly average diurnal variation of surface ozone simulated using different chemical mechanisms at various observation sites. The observational data is available for the period indicated in the figure whereas all the model simulations are for the year 2013. Error bars represent the temporal standard deviations of the monthly averages. All model simulations are with the HTAP inventory.



1031 Figure 12. Taylor diagram with summary model statistics (r, normalized standard deviation and RMSD) at all sites. The correlation is the cosine of the angle from the horizontal axis, the root mean square difference is the distance from the reference point (REF) and the standard deviation is the distance from the origin.

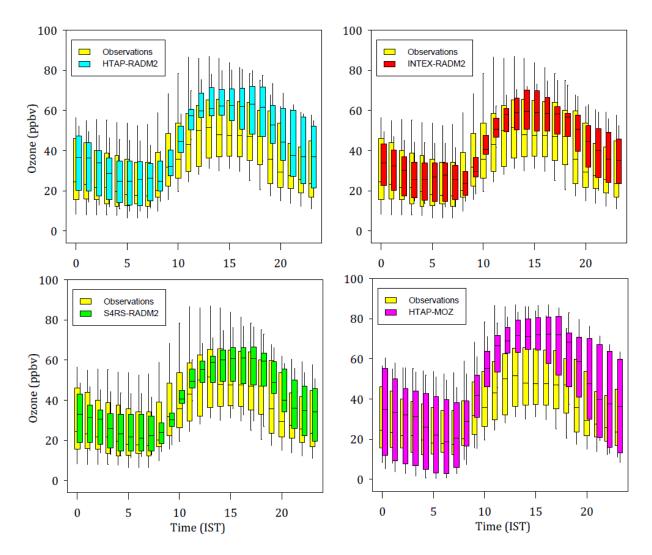
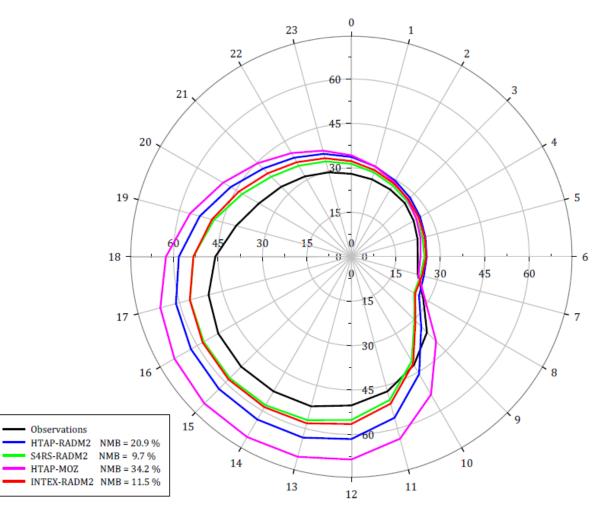


Figure 13. Box/whisker plot comparison of monthly average diurnal variation of surface ozone from model runs and observations over the entire domain (after spatially averaging the results). Upper and lower boundaries of boxes denote the 75th and 25th percentiles and whiskers represent the 95th and 5th percentiles. The line in the box is the median.



1066 Figure 14. Polar plot for monthly mean diurnal variation of surface ozone (in ppbv) from all model simulations and 1067 observations each spatially averaged over all sites. The numbers on the outermost circle represent the hour of the day and the 1068 radial distance from the centre represents surface ozone mixing ratios in ppbv. The normalized mean biases (NMB in %) for 1069 noontime surface ozone are indicated in the caption box.