1	Mixing state of oxalic acid containing particles in the rural area of Pearl
2	River Delta, China: implications for the formation mechanism of oxalic
3	acid
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42 Abstract:

The formation of oxalic acid and its mixing state in atmospheric particulate 43 matter (PM) were studied using a single particle aerosol mass spectrometer (SPAMS) 44 in the summer and winter of 2014 in Heshan, a supersite in the rural area of the Pearl 45 River Delta (PRD) region in China. Oxalic acid-containing particles accounted for 2.5% 46 and 2.7% in total detected ambient particles in summer and winter, respectively. 47 Oxalic acid was measured in particles classified as elemental carbon (EC), organic 48 49 carbon (OC), elemental and organic carbon (ECOC), biomass burning (BB), heavy metal (HM), secondary (Sec), sodium-potassium (NaK) and dust. Oxalic acid was 50 found predominantly mixing with sulfate and nitrate during the whole sampling 51 period, likely due to aqueous phase reactions. In summer, oxalic acid-containing 52 particle number and ozone concentration followed a very similar trend, which may 53 reflect the significant contribution of photochemical reactions to oxalic acid formation. 54 The HM type particles were the most abundant oxalic acid particles in summer and 55 the diurnal variations of peak area of iron and oxalic acid show opposite trends, which 56 57 suggest a possible loss of oxalic acid through the photolysis of iron oxalato complexes during the strong photochemical activity period. In wintertime, carbonaceous type 58 particles contained a substantial amount of oxalic acid as well as abundant carbon 59 clusters and biomass burning markers. The general existence of nitric acid in oxalic 60 acid-containing particles indicates an acidic environment during the formation process 61 of oxalic acid. The peak areas of nitrate, sulfate and oxalic had similar temporal 62 63 change in the carbonaceous type oxalic acid particles. and the organosulfate-containing oxalic acid particles well correlated with total oxalic acid 64 65 particles during the episode, which suggests the formation of oxalic acid is closely associated with the oxidation of organic precursors in aqueous phase. 66

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Keywords: Oxalic acid; Single particles; Mixing state; Photochemical process;
Aqueous phase reactions.

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71 **1. Introduction**

Organic aerosol, typically a large fraction of fine particles, contains more than 72 thousands of organic compounds and contributes to visibility reduction, 73 74 photochemical smog, climate change and adverse health effects (Novakov and Penner, 1993;Goldstein and Galbally, 2007;Jimenez et al., 2009;Poschl and Shiraiwa, 2015). A 75 significant component of organic aerosol is secondary organic aerosol (SOA) formed 76 77 from the gas phase oxidation of volatile organic compounds (VOCs) followed by partitioning of products into particles or from heterogeneous reactions of VOCs with 78 particles (Hallquist et al., 2009;Zhang et al., 2015). Dicarboxylic acids (DCAs) are 79 abundant and ubiquitous constituents in SOA and can be effective tracers for the 80 oxidative processes leading to the formation of SOA (Kawamura and Ikushima, 81 82 1993; Ervens et al., 2011; Wang et al., 2012; Cheng et al., 2013). DCAs normally have high water solubility and low vapor pressure, so they play important roles in 83 controlling the hygroscopic properties of organic aerosols (Prenni et al., 2003;Ma et 84 al., 2013) and activating cloud condensation nuclei (Booth et al., 2009). The primary 85 emissions of DCAs from anthropogenic sources in urban areas are minor (Huang and 86 87 Yu, 2007; Stone et al., 2010), and they are mainly derived from secondary oxidation of VOCs and subsequent intermediates (Ho et al., 2010; Myriokefalitakis et al., 2011). 88 High concentrations of DCAs have been observed in biomass burning plume (Kundu 89 90 et al., 2010;Kawamura et al., 2013) with more than 70% of DCAs produced from 91 photochemical oxidation of water-soluble organic compounds, and only a small contribution from direct biomass burning emission (van Pinxteren et al., 2014). 92

The production of DCAs through photochemical reactions has been reported in many field studies via the analysis of the diurnal and seasonal variations of DCA(Kawamura and Ikushima, 1993;Kawamura and Yasui, 2005;Aggarwal and Kawamura, 2008;Pavuluri et al., 2010;Ho et al., 2011;Wang et al., 2017), but the mechanism of DCAs formation is still not well understood. Oxalic acid is usually the most abundant DCA observed in the field (Kawamura et al., 2004;Ho et al., 2007;Kawamura et al., 2010). A number of ground based and airborne field studies

100 have found a tight correlation between oxalic acid and sulfate in ambient particles and 101 cloud droplets, relating aqueous phase chemistry to the formation of oxalic acid in aerosols and cloud droplets (Yao et al., 2002;Yao et al., 2003;Yu et al., 102 2005;Sorooshian et al., 2006;Sorooshian et al., 2007a;Sorooshian et al., 103 2007b; Miyazaki et al., 2009; Wonaschuetz et al., 2012; Wang et al., 2016). In recent 104 years, several model and laboratory studies suggested that the aqueous phase 105 oxidation of highly water-soluble organics like glyoxal, methylglyoxal and glyoxylic 106 107 acid can efficiently produce oxalic acid in aerosol particles and cloud droplets (Lim et al., 2010; Myriokefalitakis et al., 2011; Ervens et al., 2014; Yu et al., 2014; McNeill, 108 2015). Recent stable carbon isotope studies and field observations have also suggested 109 that oxalic acid forms through aqueous phase reactions (Wang et al., 2012;Cheng et al., 110 2015). However, the formation process of oxalic acid in ambient aerosols is still 111 112 associated with great uncertainty due to the oxidation rates of precursors and oxidant levels in photochemistry and aqueous phase chemistry, which needs to be further 113 studied. 114

115 Online measurements of the size distribution of oxalic acid-containing particles and the mixing state of oxalic acid with other compounds in aerosols are useful to 116 examine the formation and evolution of oxalic acid and SOA particles. Sullivan and 117 Prather investigated the diurnal cycle and mixing state of DCA-containing particles in 118 119 Asian aerosol outflow using aerosol time-of-flight mass spectrometry (ATOFMS), and proposed the formation of DCA on Asian dust (Sullivan and Prather, 2007a). In 120 addition, Yang et al. (2009) measured oxalic acid particles in Shanghai and proposed 121 that in-cloud processes and heterogeneous reactions on hydrated aerosols contributed 122 to the formation of oxalic acid (Yang et al., 2009). While the formation mechanism of 123 124 oxalic acid especially in urban areas is still not clear, online measurements of the mixing state of oxalic acid provide a powerful tool to better understand the formation 125 of oxalic acid in aerosol particles and cloud droplets. 126

127 The Pearl River Delta (PRD) region has distinct meteorological seasonality 128 under the influence of the Asian monsoon system, which brings air from the ocean in 129 spring and summer, and carries polluted air from northern China in autumn and winter. 130 Strong photochemical activity occurs in summer under the condition of high temperature and relative humidity, and in winter high loadings of particles from 131 northern cities are favorable for the occurrence of haze episode (Bi et al., 2011;Zhang 132 et al., 2013; Zhang et al., 2014). Here we present the seasonal field measurements of 133 the mixing state of oxalic acid-containing particles using a single particle aerosol 134 mass spectrometer (SPAMS) in a rural supersite of the PRD region. The seasonal 135 characteristic of oxalic acid particles and mixing state with secondary species were 136 137 investigated to explore the formation mechanisms of oxalic acid and aging process of SOA. 138

139 **2. Methods**

140 **2.1 Aerosol sampling**

141 Particles were sampled using a single particle aerosol mass spectrometer (SPAMS) at the Guangdong Atmospheric Supersite (22.73N, 112.93E), a rural site at 142 143 Heshan city (Figure S1). The supersite is surrounded by farm land and villages, with 144 no local industrial or traffic emissions. Ambient aerosols were sampled to the SPAMS 145 through a 2.5m long copper tube with 0.5m of the sampling inlet located above the top 146 of the building. The measurement period was from July 18 to August 1 in 2014, and from January 27 to February 8 in 2015. Real-time PM_{2.5} mass concentration was 147 simultaneously measured by a TEOM monitor (series 1405, Thermo scientific), and 148 149 hourly concentrations of O₃ were measured by an O₃ analyzer (model 49i, Thermo scientific). The local meteorological data including temperature, relative humidity and 150 visibility were measured on the rooftop of the building. The average temperature 151 during the field study was 29.5 $^{\circ}$ C in summer and 14.1 $^{\circ}$ C in winter and the average 152 153 relative humidity was 71.7% and 63% in summer and winter, respectively.

154 **2.2 SPAMS**

Real-time measurements of single atmospheric particles has been demonstrated by Prather and co-workers in the 1990s using aerosol time-of-flight mass spectrometry (ATOFMS) (Prather et al., 1994;Noble and Prather, 1996). Based on the same principle, the single particle aerosol mass spectrometer (SPAMS) developed by 159 Guangzhou Hexin Analytical Company was applied to field measurements of single particles in the current work. The details of the SPAMS system have been introduced 160 previously (Li et al., 2011). Briefly, aerosol particles are sampled into the vacuum 161 pumped aerodynamic lens of the SPAMS through an electro-spark machined 80µm 162 critical orifice at a flow rate of 75 ml min⁻¹. The individual particles with a terminal 163 velocity are introduced to the sizing region. The velocity of each single particle is 164 detected by two continuous laser beams (diode Nd:YAG, 532 nm) with a space of 6 165 166 cm. The velocity is then used to calculate the single particle aerodynamic diameter and provide the precise timing of the firing of a 266 nm laser used to induce 167 desorption and ionization (Nd:YAG laser, 266nm). The energy of the 168 desorption/ionization 266 nm laser was 0.6 mJ and the power density was kept at 169 about 1.6×10^8 W/cm² during both sampling periods. The 266 nm laser generates 170 positive and negative ions that are detected by a Z-shaped bipolar time of flight mass 171 spectrometer. The size range of the detected single particles is 0.2 to 2 µm. 172 Polystyrene latex spheres (Nanosphere size standards, Duke Scientific Corp., Palo 173 174 Alto) of 0.22-2.0 µm diameter were used for size calibration.

175 **2.3 Data analysis**

The size and chemical composition of single particles detected by SPAMS were 176 analyzed using the COCO toolkit based on the Matlab software. Particles were 177 clustered into several groups using the neural network algorithm (ART-2a) to group 178 179 particles into clusters with similar mass spectrum features. The ART-2a parameters used in this work were set to a vigilance factor of 0.8, a learning rate of 0.05, and a 180 maximum of 20 iterations. We collected 516,679 and 767,986 particles with both 181 182 positive and negative mass spectra in summer and winter respectively. A standard solution of oxalic acid was prepared with pure oxalic acid ($H_2C_2O_4$, purity: 99.99%, 183 Aladdin Industrial Corporation) and atomized to aerosols. After drying through two 184 silica gel diffusion driers, pure oxalic acid particles were directly introduced into the 185 SPAMS. The positive and negative mass spectra of oxalic acid are shown in Figure S2. 186 187 Based on the mass spectra of pure oxalic acid and previous ambient measurements by ATOFMS (Silva and Prather, 2000;Sullivan and Prather, 2007a;Yang et al., 2009), 188

189 HC_2O_4 (m/z -89) is selected as the ion peak for oxalic acid containing particles. In 190 this work, oxalic acid particles are identified if the peak area of m/z -89 was larger than 0.5% of the total signal in the mass spectrum. With this threshold, 13109 and 191 20504 of oxalic acid-containing particles were obtained in summer and winter 192 separately, accounting for 2.5% and 2.7% of the total detected particles. The 193 percentage of oxalic acid-containing particles in total particles in this work was 194 comparable to the reported value in the urban area of Shanghai (3.4%) (Yang et al., 195 196 2009). However, these percentages are in general much lower than those reported in cleaner environments such as the western Pacific Ocean where oxalic acid was found 197 in up to 1-40% of total particles due to little anthropogenic influences (Sullivan and 198 Prather, 2007a). 199

200 The oxalic acid containing particles are classified into eight types in the following order: elemental carbon (EC), organic carbon (OC), elemental and organic 201 carbon (ECOC), biomass burning (BB), heavy metal (HM), secondary (Sec), 202 sodium-potassium (NaK) and dust. Different type particles are identified according to 203 204 characteristic ion markers and dominant chemical species (Table S1): (1) particles containing abundant carbon clusters like $\pm 12[C]^{+/-}$, $\pm 24[C_2]^{+/-}$, $\pm 36[C_3]^{+/-}$ with relative 205 peak area more than 0.5% are classified as EC type, (2) any remaining particles 206 containing abundant signals of $27[C_2H_3]^+$, $43[C_2H_3O]^+$ and hydrocarbon clusters with 207 relative peak area more than 0.5% are classified as OC type, (3) any remaining 208 particles containing signals of $\pm 12[C]^{+/-}$, $\pm 24[C_2]^{+/-}$, $37[C_3H]^+$ and $43[C_2H_3O]^+$ with 209 relative peak area more than 0.5% are classified as ECOC type, (4) any remaining 210 particles containing abundant signals of $39[K]^+$ (peak area>1500) with relative peak 211 area of $-59[C_2H_3O_2]^-$ and $-73[C_3H_5O_2]^-$ simultaneously more than 0.5% are classified 212 as BB type, (5) any remaining particles containing signals of $55[Mn]^+$, $56[Fe]^+$, 213 $63/65[Cu]^+$, $64[Zn]^+$ and $208[Pb]^+$ with relative peak area more than 0.5% are 214 classified as HM type, (6) any remaining particles containing abundant signals of 215 $18[NH_4]^+$ (peak area>50), -62[NO₃]⁻ (peak area>100) and -97[HSO₄]⁻ (peak area>100) 216 217 are classified as Sec type, (7) any remaining particles containing abundant signals of $23[Na]^+$ (peak area>1500) and related species are classified as NaK type, (8) any 218

remaining particles containing signals of 40[Ca]⁺, 56[CaO]⁺ and related species are classified as dust type. The rules for oxalic acid particles classification in the current work have been reported in previous studies (Sullivan and Prather, 2007b; Yang et al.,

222 2009;Zhang et al., 2013;Li et al., 2014).

223 **2.4 Inorganic ions and in-situ pH** (*pH*_{*is*})

224 Water-soluble inorganic ions and trace gases were determined by an online analyzer for monitoring aerosols and gases (MARGA, model ADI 2080, Applikon 225 226 Analytical B. V. Corp., the Netherlands) with a PM_{2.5} sampling inlet at one hour resolution from July 18 to August 1 in 2014. The principle and instrumental design 227 has been described in detail elsewhere (ten Brink et al., 2007;Du et al., 2011;Behera et 228 al., 2013;Khezri et al., 2013). Standard solutions containing all detected ions were 229 injected into MARGA before and after the field measurement. The liquid water 230 content and the concentration of H⁺ in particles are calculated using the ISORROPIA 231 II model (Nenes et al., 1998, 1999;Fountoukis and Nenes, 2007). We choose stable 232 mode and reverse type in the ISORROPIA model to calculate the concentration of H⁺ 233 234 and the liquid water content in this work. The in-situ pH (pH_{is}) of particles is calculated through the following equation: 235

$$pH_{is} = -\log\alpha_{H^+} = -\log(\gamma_{H^+} \times n_{H^+} \times 1000/V_a)$$
(1)

where n_{H^+} is the concentration of H⁺ (mol m⁻³) and V_a is the volume concentration of the H₂O (cm³ m⁻³), while γ_{H^+} is the activity coefficient of H⁺(Xue et al., 2011;Cheng et al., 2015). The temporal variation of pH_{is} of ambient PM_{2.5} particles is presented in Figure S3, and demonstrated that 97% of particles were acidic in summer.

3. Results and Discussion

242 **3.1 Seasonal variation of oxalic acid containing particles**

The clustered 48 hr back trajectories of air masses arriving in Heshan during the sampling period are shown in Figure S4. In summer, air masses at 500m levels above the ground were mainly from the ocean and rural areas with less influence of human activity, while in winter air masses were directly from urban areas of Guangzhou and 247 Foshan, indicating a strong influence from anthropogenic emissions. The temporal variations of the total detected particles and oxalic acid containing particles in 248 summer and winter are shown in Figure 1. The total particles had similar trends with 249 the mass concentration of ambient PM_{2.5}, suggesting that the counts of total particles 250 detected by SPAMS can be representative of PM_{2.5} mass concentration during the 251 whole sampling periods. The oxalic acid (C2-containing) particles, in general, 252 exhibited distinct diurnal peaks from July 28 to August 1, while they showed different 253 254 temporal trends in winter. The relative abundance of oxalic acid particles in all of the sampled particles (C_2 /total ratio) had the same variation with the abundance of oxalic 255 acid particles in summer, especially in the period of July 28 – August 1 (Figure 1). In 256 winter, however, particle counts and relative abundance of oxalic acid had different 257 temporal changes except Jan 30 and February 5-8, when the count and relative 258 259 abundance of oxalic acid particles simultaneously had a sudden increase.

The oxalic acid-containing particles were clustered into eight groups, and they 260 altogether accounted for 89.6% and 95.1% of total oxalic acid particles in summer and 261 262 winter, respectively. Table 1 shows that in summer heavy metal (HM) type particles contributed 31.3% to total oxalic acid particles, followed by the Sec (19.2%) and BB 263 type (13%). However, in winter BB type particles were the most abundant and 264 accounted for 24.2% of the oxalic acid-containing particles, followed by EC and HM 265 type. Besides, carbonaceous type particles including EC, OC, ECOC and BB 266 accounted for 28.1% of oxalic acid particles in summer and 59.8% in winter, 267 indicating the seasonal different characteristics of oxalic acid particles. The temporal 268 variations of eight groups of oxalic acid particles in summer and winter are illustrated 269 270 in Figure 1. In summer HM type particles (orange color) and total oxalic acid particles 271 exhibited similar diurnal patterns, suggesting a possible connection between the production of oxalic acid and the transition metals (e.g. Fe, Cu) (Zhou et al., 2015). 272 Although Sec, BB and EC type particles showed similar diurnal patterns with total 273 274 oxalic acid particles, the concentrations of these type particles were generally lower 275 than HM type particles. In winter diurnal variation of oxalic acid particles was not obvious but a sharp increase, accompanied by the increase of BB, EC and Sec type 276

277 particles, was observed on February 8.

The averaged positive and negative ion mass spectra of oxalic acid containing 278 particles are shown in Figure 2. The positive ion spectrum of oxalic acid particles in 279 summer was characterized by high fractions of metal ion peaks including 23[Na]⁺, 280 $27[A1]^+$, $39[K]^+$, $55[Mn]^+$, $56[Fe]^+$, $63/65[Cu]^+$, $64[Zn]^+$ and $208[Pb]^+$, and 281 carbonaceous marker ions at m/z $27[C_2H_3]^+$, $36[C_3]^+$, $43[C_2H_3O/C_3H_7]^+$, $48[C_4]^+$ 282 (Figure 2 a). The negative ion spectrum of oxalic acid particles in summer was 283 characterized by the strong intensity of secondary ions including $m/z - 46[NO_2]^2$, 284 $-62[NO_3]^{-}$, $-79[PO_3]^{-}$, $-80[SO_3]^{-}$, $-96[SO_4]^{-}$ and $-97[HSO_4]^{-}$, as well as carbon clusters 285 of $-24[C_2]^-$, $-36[C_3]^-$, $-48[C_4]^-$ and BB markers of $-59[C_2H_3O_2]^-$ and $-73[C_3H_5O_2]^-$ 286 (Figure 2 b) (Zauscher et al., 2013). More carbonaceous clusters, i.e., $27[C_2H_3]^+$, 287 $29[C_2H_5]^+$, $36[C_3]^+$, $37[C_3H]^+$, $43[C_2H_3O]^+$, $48[C_4]^+$, $51[C_4H_3]^+$, $55[C_4H_7]^+$, $60[C_5]^+$, 288 $63[C_5H_3]^+$, $65[C_5H_5]^+$, $74[C_2H_2O_3]^+$, $77[C_6H_5]^+$, were observed in the positive ion 289 spectrum of oxalic acid particles in winter (Figure 2 c) than in summer. The negative 290 ion spectrum of oxalic acid particles in winter (Figure 2 d) contained a large amount 291 292 of secondary ions, similar to those found in summer, and a more intense signal of nitric acid (-125[HNO₃NO₃]⁻), suggesting an acidic nature of oxalic acid particles in 293 winter. 294

The mixing state of oxalic acid particles with sulfate, nitrate and ammonium 295 (SNA) was investigated through the percentage of SNA-containing oxalic acid 296 particles in total oxalic acid particles (Figure 3). Oxalic acid was found to be 297 internally mixed with sulfate and nitrate during both sampling periods with percentage 298 of 93% and 94% in summer respectively, and both 98% in winter (Figure 3 a). 299 However, the NH_4^+ -containing oxalic acid particle (C₂- NH_4^+) only accounted for 18% 300 of total oxalic acid particles in summer but this fraction increased to 71% in winter, 301 and linear correlation between C_2 -NH₄⁺ particles and total oxalic acid particles 302 showed better linear regression ($r^2=0.98$) in winter than summer, indicating a general 303 mixing state of NH_4^+ with oxalic acid in winter. Aqueous phase production of SO_4^{2-} 304 has been studied well and the linear correlation between oxalic acid and SO_4^{2-} has 305 been used to study the production of oxalic acid through aqueous phase reactions (Yu 306

307 et al., 2005;Miyazaki et al., 2009;Cheng et al., 2015). In our work, oxalic acid and 308 C_2 -SO₄²⁻ displayed good correlations in summer and winter (both r²=0.99), which 309 suggests a common production route of oxalic acid and sulfate, likely aqueous phase 310 reactions.

311 Figure 4 shows the unscaled size-resolved number distributions of the eight types of oxalic acid particles. Oxalic acid mainly existed in 0.4 to 1.2 µm particles during 312 the entire sampling period but exhibited different peak modes for each particle type in 313 314 summer and winter. In summer, major types of oxalic acid particles showed distinct peak mode at different size diameter. EC and Sec type particles peaked at 0.5 µm, 315 followed by BB type particles at 0.55 μ m, then HM type particles at 0.6 μ m, and OC 316 type particles at 0.7 µm. The difference of peak mode suggests the possible different 317 chemical evolution process for each type oxalic acid-containing particles. However, in 318 winter, oxalic acid particles showed broader size distribution from 0.5 to 0.8 µm for 319 all particle types. Oxalic acid particles of all types were generally larger in winter than 320 summer, possibly due to condensation and coagulation of particles during aging of 321 322 oxalic acid particles in winter.

323 **3.2 Photochemical production of oxalic acid in summer**

In summer oxalic acid particles showed peaks in the afternoon especially from 324 July 28 to August 1, which was in agreement with the variation pattern of the O_3 325 concentration (Figure 5), indicating a strong association of oxalic acid formation with 326 photochemical reactions. Malonic acid is another product of photochemical oxidation 327 of organic compounds (Kawamura and Ikushima, 1993; Wang et al., 2012; Meng et al., 328 2013; Meng et al., 2014). In our campaign, malonic acid containing particles had 329 330 diurnal trends similar to oxalic acid particles and O₃ concentration. As the dominant particle type, HM particles had identical variation pattern with total oxalic acid 331 particles. They are characterized by highly abundant metal ion peaks like 55[Mn]⁺, 332 $56[Fe]^+$, $63/65[Cu]^+$, $64[Zn]^+$ and $208[Pb]^+$, as well as secondary ion peaks of 333 $-46[NO_2]^2$, $-62[NO_3]^2$, $-80[SO_3]^2$, $-96[SO_4]^2$ and $-97[HSO_4]^2$ in the negative spectrum 334 335 in summer (Figure 6). In order to investigate the photochemical formation of oxalic acid in summer, the diurnal variations of O₃, oxalic acid particles, HM type particles 336

337 and pH_{is} of ambient particles averaged from July 28 to August 1, 2014 are shown in Figure 7. The concentration of O₃ increased after 9:00 and peaked at 17:00, while 338 339 oxalic acid particles and HM type particles both increased after 10:00 and showed two peaks at 15:00 and 19:00. The pH_{is} of ambient particles ranging from -1.42 to 4.01 340 indicated an acidic environment, and the temporal trends of RH, inorganic ions and 341 H^+ (aq) in aerosols are shown in Figure S5. The oxidation of glyoxal and glyoxylic 342 acid by •OH has been identified as an important pathway of oxalic acid production by 343 344 field and laboratory studies (Ervens et al., 2004; Ervens and Volkamer, 2010; Wang et al., 2012; Wang et al., 2015). In summer strong photochemical activity and high O_3 345 concentrations in the afternoon lead to more production of dicarbonyls and aldehydes 346 (e.g. glyoxal and methylglyoxal) from VOCs (Myriokefalitakis et al., 2011), which 347 increases the precursors of oxalic acid. The aqueous phase oxidation of glyoxal can 348 349 take place in both clouds and wet aerosols (Lim et al., 2010). However, the lower yield of oxalic acid from glyoxal in wet aerosols compared to in clouds has been 350 reported in previous chamber experiments due to the formation of substantial amount 351 352 of high molecular weight products such as oligomers in aerosol-related concentrations (Carlton et al., 2007;Tan et al., 2009). These findings may explain the lower peak of 353 oxalic acid particles at 15:00 compared to that at 19:00. Besides, the precursors of 354 oxalic acid such as glyoxylic acid have higher reaction rate with •OH in high pH 355 solutions according to previous studies(Ervens et al., 2003;Herrmann, 2003;Cheng et 356 al., 2015), and in this work the increase of pH_{is} was observed as the enhancement of 357 oxalic acid particles in the afternoon (Figure 7), which suggests an efficient oxalic 358 359 acid production from the oxidation of precursors.

The similar photochemical pattern of HM type particles with O_3 and total oxalic acid particles implies a possible participation of metal ions in the formation process of oxalic acid. The modeling studies from Ervens et al. (2014) suggest that oxalic acid production from glyoxal and glyoxylic acid in aqueous phase significantly depends on •OH availability (Ervens et al., 2014). The main sources of aqueous phase •OH in cloud droplets include direct uptake from the gas phase (Jacob, 1986), ozone photolysis by UV and visible light at the air-water interface (Anglada et al., 2014), and also aqueous phase chemical reactions(Gligorovski et al., 2015). For the last kind of source, •OH radicals could be generated through Fenton or Fenton like reactions and photolysis of H_2O_2 , NO_3^- , NO_2^- , and chromophoric dissolved organic matter (CDOM) (Badali et al., 2015;Ervens, 2015;Herrmann et al., 2015;Tong et al., 2016). Given that SPAMS cannot be used to quantify the concentrations of iron ions and H_2O_2 , we will investigate the relative contribution of different source •OH radicals to the formation of oxalic acid and show results in our follow up studies.

374 The oxalic acid loss through the photolysis of iron oxalato complexes is a significant sink according to field measurements and model simulations (Sorooshian 375 et al., 2013;Weller et al., 2014;Zhou et al., 2015). Considering the high abundance of 376 iron in oxalic acid particles in the current work (Figure 6), the photolysis of iron 377 oxalato complexes could have played an important role in the diurnal variation of 378 oxalic acid particles. Because the mass concentration of Fe (III) and oxalic acid could 379 not be obtained through SPAMS, the diurnal variations of peak area of iron (m/z=56)380 and oxalic acid (m/z=-89) were used to investigate the role of iron on the net 381 382 production of oxalic in the HM type particles from July 28 to August 1, 2014 (Figure 8). Interestingly, the peak area of iron exhibited opposite trend with the peak area of 383 oxalic acid from 4:00 to 11:00. As the peak area of Fe increased from 1565 to 29920 384 from 4:00 to 7:00, the peak area of oxalic decreased from 6052 to 3487 accordingly. 385 From 8:00 to 11:00, the peak area of Fe had a very low value of 1168, but the peak 386 area of oxalic had a very high value of 5538. In addition, the peak area of iron 387 exhibited a high value of 138199 at 14:00, while the peak area of oxalic acid showed a 388 lower peak of 7687 at 14:00 and a higher peak of 11879 at 19:00 with an extremely 389 390 low abundance of iron. Above opposite variation patterns of iron and oxalic acid in 391 iron rich HM type particles during the photochemical activity period from 5:00 to 19:00 strongly indicated that photolysis of iron oxalato complexes could be an 392 efficient sink of oxalic acid. 393

The influence from traffic emission was investigated through the diurnal variations of total EC type particles and NO₂ (Figure S6). The EC type particles increased from 12:00 to 21:00, which had same variation as total oxalic acid, but NO₂ followed the rush hour pattern with two peaks from 5:00 to 8:00 and from 18:00 to 21:00. Traffic emission is not expected to have a large contribution to oxalic acid in this study. The wind speed was low during the whole day (Figure S6), especially between 9:00 and 18:00, which provided a stagnant environment for the increase in oxalic acid produced from photochemical process.

402 **3.3 Formation process of oxalic acid in winter**

Despite lower O₃ concentrations and photochemical activity in winter, oxalic 403 404 acid was still prevalent in carbonaceous particles, especially BB type particles. While oxalic acid was found to be internally mixed with sulfate and nitrate both in summer 405 and winter, the nitric acid was only observed in oxalic acid particles in winter, 406 indicating a strongly acidic nature of oxalic acid particles in winter. Considering a 407 possible connection of oxalic acid production with the acidic environment, the 408 temporal concentrations of oxalic acid, sulfate and nitrate were investigated through 409 their peak areas in the carbonaceous type oxalic acid particles including EC, OC, 410 ECOC and BB type in Figure 9. The peaks of $m/z - 62[NO_3]^-$ and $- 97[HSO_4]^-$ 411 412 represent nitrate and sulfate, respectively. Nitrate, sulfate and oxalic acid showed very similar variation patterns in winter, suggesting a close connection of the formation of 413 oxalic acid with the existence of nitrate and sulfate. Although nitric acid was found in 414 the oxalic acid particles, the acidity of the oxalic acid particles was not estimated 415 416 since the real-time concentration of inorganic ions was not available during the sampling period in winter. Instead the relative acidity ratio (R_{ra}), defined as the ratio 417 of total peak areas of nitrate and sulfate to the peak area of ammonium (m/z 418 $18[NH_4]^+$), was used (Denkenberger et al., 2007;Pratt et al., 2009). The R_{ra} of 419 carbonaceous type oxalic acid particles ranged from 7 to 114 with an average value of 420 421 25 (Figure 9), indicating an intensely acidic environment of carbonaceous type oxalic acid particles in winter. Several studies have reported the formation of oxalic acid 422 through the oxidation of glyoxal and related precursors in acidic aqueous phase 423 (Carlton et al., 2006;Carlton et al., 2007;Tan et al., 2009). Although the influence of 424 425 different particle acidity on the oxidation process of glyoxal still needs evaluation, the moderate acidic environment is favorable for the production of oxalic acid from the 426

oxidation of glyoxal (Herrmann, 2003; Ervens and Volkamer, 2010; Eugene et al., 427 2016). In this work the acidic environment of the carbonaceous type oxalic acid 428 particles and similar variation patterns among oxalic acid, sulfate and nitrate may 429 suggest a relationship between the degradation of organic precursors and the acidic 430 chemical process. However, the temporal change of R_{ra} did not follow a similar trend 431 as the peak area of oxalic acid in most particles, possibly due to the multi-step 432 formation of oxalic acid influenced by many factors such as precursors, liquid water 433 434 content and ion strength (Carlton et al., 2007; Cheng et al., 2013; Cheng et al., 2015).

The sharp increase of oxalic acid particles on February 8, 2015 (Figure 1) was 435 selected as a typical episode to investigate the formation processes of oxalic acid in 436 winter. During the episode, the 48 hr back trajectory analysis showed air masses that 437 originated from the urban areas of Guangzhou and Foshan city (Figure S4), indicating 438 strong influence on organic precursors from anthropogenic emissions. Oxalic acid 439 particle types were dominated by BB (23.2%), followed by EC (22.0%) and Sec 440 (15.1%) type (Table 2). Carbonaceous particles including EC, ECOC, OC, BB 441 442 accounted for 61.6% of the total oxalic acid particles. The mass spectra of oxalic acid particles were characterized by many hydrocarbon clusters of $27[C_2H_3]^+$, $29[C_2H_5]^+$, 443 $37[C_{3}H]^{+}, 43[C_{2}H_{3}O]^{+}, 51[C_{4}H_{3}]^{+}, 55[C_{4}H_{7}]^{+}, 63[C_{5}H_{3}]^{+}, 65[C_{5}H_{5}]^{+}, 74[C_{2}H_{2}O_{3}]^{+},$ 444 $77[C_6H_5]^+$, and carbon clusters of $36[C_3]^+$, $48[C_4]^+$, $60[C_5]^+$ in positive mass spectrum, 445 while the negative mass spectrum was characterized by elemental carbon clusters like 446 $-24[C_2]^{-}$, $-36[C_3]^{-}$, $-48[C_4]^{-}$, biomass burning markers of $-59[C_2H_3O_2]^{-}$ and 447 $-73[C_3H_5O_2]^{-1}$ and secondary species including $-42[CNO]^{-1}$, $-46[NO_2]^{-1}$, $-62[NO_3]^{-1}$, 448 -79[PO₃]⁻, -80[SO₃]⁻, -96[SO₄]⁻ and -97[HSO₄]⁻ (Figure 10 a). 449

As the precursor of oxalic acid, glyoxal has the potential to react with sulfuric acid to produce organosulfates through acid-catalyzed nucleophilic addition according to laboratory and chamber studies(Surratt et al., 2007;Galloway et al., 2009). The negative ion of $-155([C_2H_3O_2SO_4]^-)$ has been identified as the marker ion of organosulfates derived from glyoxal in chamber and field measurements using ATOFMS (Surratt et al., 2008;Hatch et al., 2011). The formation of organosulfates from glyoxal requires an acidic aqueous environment, which can be used as a marker 457 of acidic aqueous phase aging process of organic compounds. The temporal trend of organosulfate-containing oxalic acid particles in winter is shown in Figure S7, which 458 exhibited a similar pattern as the total oxalic acid particles during the whole sampling 459 period in winter. The percentage of organosulfate-containing oxalic acid particles in 460 total oxalic acid particles ranged from 0 to 16.4% with the highest ratio observed in 461 the episode (February 8). The linear regression between total oxalic acid particles and 462 organosulfate-containing oxalic acid particles in the episode is exhibited in Figure 10b, 463 and the robust correlation $(r^2=0.81)$ between them suggests that oxalic acid and 464 organosulfate may share similar formation process. Based on the above discussion, 465 the degradation of carbonaceous species associated with acidic aqueous phase 466 chemical reactions may have an important contribution to the formation of oxalic acid 467 during the episode in winter. Similar particle types and mass spectra of oxalic 468 acid-containing particles during the episode and the whole sampling period in winter 469 were observed, which suggests the acidic aqueous phase chemical processing of 470 organic precursors as a potential source for oxalic acid. 471

472

4. Summary and conclusions

473 Oxalic acid containing particles were measured by a single particle aerosol mass spectrometer (SPAMS) in the summer and winter of 2014 in Heshan, China. They 474 accounted for 2.5% and 2.7% of the total detected ambient particles. In summer heavy 475 476 metal-containing particles were the largest group of particles containing oxalic acid 477 with a fraction of 31.3% followed by Sec type (19.2%), while in winter BB type was the dominant group with a percentage of 24.2%. More than 90% of oxalic acid 478 479 particles were internally mixed with sulfate and nitrate during the whole sampling 480 period. Only 18% of oxalic acid particles contained ammonium in summer, which increased to 71% in winter. In summer oxalic acid and O₃ concentration exhibited 481 482 similar diurnal variations, indicating a substantial contribution of photochemical 483 reactions to oxalic acid formation. The diurnal variations of peak area of iron and oxalic acid in HM type particles indicate a net production of oxalic acid at 15:00 484 lower than at 19:00, likely due to a significant loss of oxalic acid through the 485

photolysis of iron oxalato complexes during the strong photochemical activity period. 486 In winter carbonaceous type particles including EC, OC, ECOC and BB groups 487 accounted for 59.8% of oxalic acid particles and increased to 61.6% in the episode. 488 Nitric acid and organosulfate were found to co-exist in oxalic acid-containing 489 particles in the winter, which suggests a close association with acidic aqueous phase 490 reactions. Acidic aqueous phase chemical processing of organic precursors is a 491 potential contribution for the formation of oxalic acid in winter. The current study 492 493 demonstrates that SPAMS is a unique tool for understanding the mixing states of different components of ambient aerosols, which are useful for exploring the 494 formation and evolution process of SOA. 495

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- **Tables and Figures** 826 827 828 **Table list:** 829 Table 1. Summary of major groups of oxalic acid-containing particles in summer and 830 winter in PRD, China. 831 832 833 Table 2. The abundance of major particle types in total oxalic acid-containing particles during the episode in winter (2/8/2015). 834 835 **Figure caption:** 836 837 Figure 1. Temporal variations of total detected particles and oxalic acid containing 838 particles during whole sampling periods in Heshan, China: (a) hourly variations of 839 PM_{2.5} mass concentration, total detected particle counts, oxalic acid containing 840 particles, ratio of oxalic acid-containing/total particles and major types of oxalic acid 841 842 containing particles; (b) variation patterns of relative abundance of major types of oxalic acid containing particles. 843 844 Figure 2. The averaged positive and negative ion mass spectra of oxalic acid 845 containing particles is investigated in summer and winter: (a) summer positive, (b) 846 summer negative, (c) winter positive, (d) winter negative. The color bars represent 847 each peak area corresponding to specific fraction in individual particles. 848 849 Figure 3. (a) Mixing state of oxalic acid with sulfate, nitrate and ammonium in oxalic 850 acid-containing particles; (b) Linear correlation between NH₄⁺-containing oxalic acid 851 852 particles and the total oxalic acid particles in summer; (c) Linear correlation between NH_4^+ -containing oxalic acid particles and the total oxalic acid particles in winter. 853 Abbreviations: C_2 -NH₄⁺ represents the NH₄⁺-containing oxalic acid particles, and 854 same expressions for C_2 -SO₄²⁻ and C_2 -NO₃⁻. 855
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Figure 4. Unscaled size-resolved number distributions of major types of oxalic acidparticles in summer and winter.

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Figure 5. Temporal variations of O_3 concentrations, oxalic acid particles, malonic acid particles and heavy metal type of oxalic acid particles during the entire sampling period in Heshan, China.

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Figure 6. The averaged digitized positive and negative ion mass spectra of heavy metal type of oxalic acid-containing particles in summer.

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Figure 7. The diurnal variations of O_3 concentration, oxalic acid particles, HM group particles and in-situ pH (*pH*_{is}) from July 28 to August 1 in 2014.

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Figure 8. The diurnal variations of peak area of iron (m/z=56) and oxalic acid (m/z=-89) in the HM type oxalic acid particles from July 28 to August 1, 2014.

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Figure 9. The temporal variations of peak area of nitrate, sulfate and oxalic acid, and the relative acidity ratio (R_{ra}) in carbonaceous type oxalic acid particles in winter.

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Figure 10. The comprehensive study of oxalic acid particles increase on Feb 8, 2015: (a) The digitized positive and negative ion mass spectrum of oxalic acid particles during the episode; (b) Linear regression between total oxalic acid particles and organosulfate-containing oxalic acid particles (m/z -155).

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Summer and winter in TRD, China.											
	Summer(7/	18-8/1, 2014)	Winter(1/27-2/8, 2015)								
Particle type	Count	Percentage, %	Count	Percentage, %							
EC	1473	11.2	3161	15.4							
ECOC	41	0.3	2233	10.9							
OC	473	3.6	1922	9.4							
BB	1702	13.0	4953	24.2							
HM	4104	31.3	3124	15.2							
Sec	2511	19.2	2192	10.7							
NaK	303	2.3	17	0.1							
Dust	1139	8.7	1888	9.2							

Table 1. Summary of major groups of oxalic acid-containing particles in summer and winter in PRD, China.

Abbreviations of major particle types: elemental carbon (EC), elemental and organic carbon (ECOC), organic carbon (OC), biomass burning (BB), heavy metal (HM), secondary (Sec), sodium and potassium (NaK) and dust (Dust).

Table 2. The abundance of major particle types in total oxalic acid-containingparticles during the episode in winter (2/8/2015).

	L		0.01		· · · · · · · · · · · · · · · · · · ·		/ ·		
	EC	ECOC	OC	BB	Sec	HM	Dust	other	
Count	1250	604	326	1320	856	377	814	132	
Percentage, %	22.0	10.6	5.7	23.2	15.1	6.6	14.3	2.3	



Figure 1. Temporal variations of total detected particles and oxalic acid containing particles during whole sampling periods in Heshan, China: (a) hourly variations of PM_{2.5} mass concentration, total detected particle counts, oxalic acid containing particles, ratio of oxalic acid-containing/total particles and major types of oxalic acid containing particles; (b) variation patterns of relative abundance of major types of oxalic acid containing particles. Abbreviations of major particle types: elemental carbon (EC), organic carbon (OC), elemental and organic carbon (ECOC), biomass burning (BB), heavy metal (HM), secondary (Sec), sodium and potassium (NaK) and dust.



Figure 2. The averaged positive and negative ion mass spectra of oxalic acid containing particles is investigated in summer and winter: (a) summer positive, (b) summer negative, (c) winter positive, (d) winter negative. The color bars represent each peak area corresponding to specific fraction in individual particles.



Figure 3. (a) Mixing state of oxalic acid with sulfate, nitrate and ammonium in oxalic acid-containing particles; (b) Linear correlation between NH₄⁺-containing oxalic acid particles and the total oxalic acid particles in summer; (c) Linear correlation between NH4⁺-containing oxalic acid particles and the total oxalic acid particles in winter. Abbreviations: C_2 -NH₄⁺ represents the NH₄⁺-containing oxalic acid particles, and same expressions for C_2 - SO_4^{2-} and C_2 - NO_3^{-} .









Figure 4. Unscaled size-resolved number distributions of major types of oxalic acid particles in summer and winter. Abbreviations of major particle types: elemental carbon (EC), organic carbon (OC), elemental and organic carbon (ECOC), biomass burning (BB), heavy metal (HM), secondary (Sec), sodium and potassium (NaK) and dust.







Figure 5. Temporal variations of O_3 concentrations, oxalic acid particles, malonic acid particles and heavy metal type of oxalic acid particles during the entire sampling period in Heshan, China.





1016 Figure 6. The averaged digitized positive and negative ion mass spectra of heavy1017 metal type of oxalic acid-containing particles in summer.





1022Figure 7. The diurnal variations of O_3 concentration, oxalic acid particles, HM group1023particles and in-situ pH (pH_{is}) from July 28 to August 1 in 2014.



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