

Response to RC1

General Comments

This manuscript presents 4-year data set of CO₂ exchange for a high elevation grassland on the southeast margin of Tibetan Plateau. The statistic model HOS is used to partition the inter-annual variability in net ecosystem exchange between climatic variability and functional change. The annual patterns and inter-annual variability of NEE were showed in this study too. Many studies have revealed the relationship between the climate variables and the CO₂ exchange. This paper means to discuss the biophysical effects on inter-annual variation in CO₂ exchange. It is supposed to give us some new understandings. However, the authors just partition the climatic and biotic effects and give more analysis on the climatic effects. The key point should be focus on the biophysical effects on the inter-annual variability in NEE. The authors should make more effort on revising this manuscript.

Response: We would like to thank anonymous Referee #1 for his more detail valuable comments on this manuscript. It is very helpful to improve this paper. The manuscript is revised to focus on the biophysical effects on the inter-annual variability in NEE. Responses to all the points raised by the referee are in the following.

Specific Comments

1. Page 2 Introduction There are some flaws in the consistency in this section. The English writing should be improved attentively. Though the manuscript is understandable, it reads awkwardly in some sentences due to the structure or the chosen word. The authors should make more effort English writing for the entire paper.

Response: The English writing has be improved for the entire paper.

2. Page 3 The site is in Yunnan Province, locates on the southeast of the Tibetan Plateau. The climate condition, such as annual precipitation and mean air temperature, is quite different with Tibetan Plateau. This alpine meadow has limited similarity with the grasslands on Tibetan Plateau. Thus, the site location should be described more specific in the title.

Response: The site location is described in the abstract (L14). The title seems too long if the specific site location is given in the title.

3. Page 2 line 48 The phrase (global warming) appears abruptly here. The author should explain unambiguously what they want to express.

Response: The phrase (global warming) has been deleted.

4. Page 6 line 170 There were many study on grasslands in Tibetan Plateau. The author can compare the study with other results of different alpine grasslands on Plateau. Line 174 I think the authors mean the ecosystem became a carbon sink

when daily NEE was negative. The date when the ecosystem started to absorb CO₂ was much earlier than the date when the negative value of daily NEE appeared. The expression in this paper should be more precise.

Response: More results of different alpine grasslands on the Plateau have been added in the revised manuscript (section 4.3). The expression in this paper has been revised to be more precise.

5. Page 7 The HOS model was interpreted in detail in Hui's paper which was published in 2003. However, I think the models and the abbreviation (SSf, SSi, SSs, SSe) should be briefly and clearly introduced in this paper. Otherwise, the readers must find out Hui's paper and figure out what the models and abbreviations mean. The variation of RE_{diff} 2014-2012 was quite different with the other RE_{diff}. How do the authors explain this result?

Response: The introductions of the models and the abbreviation have been added in the revised manuscript (section 4.3). The RE in 2014 was the largest because both the soil temperature and Q₁₀ in 2014 were larger than the other years.

Response to RC2:

The authors studied the interannual variation in carbon dioxide exchanges and its controls over an alpine meadow site in the Tibetan Plateau. They found that the GPP were mainly related with T_a during the wet season, and seasonal variation of climatic factors largely affect the GPP variability. The manuscript may require substantial improvements before possible publications. Some specific comments are as follows.

1. The paper is mainly about investigating the environmental controls of the GPP. What does the "Biophysical effects" in the title mean here?

Response: Biophysical effects in the title mean the controls of the biological and environmental variables.

2. Although the author made large efforts in explaining the experiments and analyzing the data, they need to elaborate and highlight the key innovation of the study (e.g., new concepts, ideas, methods, or data)?

3. The method used in this study is largely empirical, and most of the findings in the study are already well-known to the scientific community as the effects of temperature on GPP have been well simulated in land surface models. The author may need to consider what makes the study be different from existing studies given a special alpine meadow site.

Response: The Lijiang alpine meadow is a new site on the southeast margin of the Tibetan Plateau. The annual precipitation for this site is much larger than other sites on the Tibetan Plateau. The data tested how the CO_2 exchange of alpine meadow change when the annual precipitation increases from around 600 to over 1000 mm yr^{-1} in China (Figure 10).

4. The authors only used 4-year data from an individual site. Could the data be representative of the alpine meadow in the Tibetan Plateau? And if other scientists want to reproduce their results, are there any ways to obtain the data?

Response: The Lijiang alpine meadow is located on the southeast margin of the Tibetan Plateau. However, the CO_2 exchange of this meadow is different from some other sites on the Tibetan Plateau, such as the Haibei alpine meadow (Kato et al. 2006) and the Damxung site (Fu et al., 2009). The inter-site comparison will help other scientists to reproduce the results.

5. It needs more explanations on the processing of the NDVI data. It seems to me that there are no considerations for cloud-contaminated data or BRDF-affected data.

Response: More explanations on the processing of the NDVI data have been added (L125).

6. Although the general structure of the paper is clear, the manuscript requires large

improvements on the texts. There are apparent grammar errors that need to be corrected.

Response: The manuscript has been improved on the texts.

Biophysical effects on the interannual variation in carbon dioxide exchange of an alpine meadow on the Tibetan Plateau

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Abstract. Eddy covariance measurements from 2012 to 2015 were used to investigate the interannual variation in carbon dioxide exchange and its control over an alpine meadow on the southeast margin of the Tibetan Plateau. The annual net ecosystem exchange (NEE) in the four years from 2012 to 2015 was -114.2, -158.5, -159.9 and -212.6 g C m⁻² yr⁻¹, respectively, and generally decreased with the mean annual air temperature (MAT). An exception occurred in 2014, which had the highest MAT. This was attributed to higher ecosystem respiration (RE) and similar gross primary production (GPP) in 2014 because the GPP increased with the MAT, but became saturated due to the limit in photosynthesis capacity-limit. In the spring (March to May) of 2012, a lower air temperature (T_a) and drought events delayed grass germination and reduced GPP. In the late wet season (September to October) of 2012 and 2013, the lower T_a in September and its negative effects on vegetation growth caused earlier grass senescence and significantly lower GPP. This indicates that the seasonal pattern of T_a greatly-affected-has a substantial effect on the annual total GPP, which is consistent with the results obtained using-of the homogeneity-of-slopes (HOS) model. The model results showeds that the climatic seasonal variation explained 48.6% of the GPP variability, and-while the percentages of-explained by climatic interannual variation and the ecosystem functional change were 9.7% and 10.6%, respectively.

Keywords: Carbon dioxide exchange; Interannual-interannual variation; Alpine alpine meadow; Tibetan Plateau

1 Introduction

In the last decade, the carbon dioxide exchange in grassland ecosystems has attracted much attention (Aires et al., 2008; Baldocchi, 2008; Hunt et al., 2004; Suyker et al., 2003) because grasslands cover 32% of the global land surfaces and ~~may make contribute a substantial contribution greatly~~ to the carbon cycle on a global scale (Parton et al., 1995). The annual ~~total~~-net ecosystem exchange (NEE) ~~for-of~~ grasslands has a large range from $-650 \text{ g C m}^{-2} \text{ year}^{-1}$ to $160 \text{ g C m}^{-2} \text{ year}^{-1}$ due to climate variability and land use changes (Gilmanov et al., 2007; Wang et al., 2016a). The climatic factors ~~of-controlling~~ CO_2 exchange also vary under ~~various-different~~ climate conditions (Du and Liu, 2013; Fu et al., 2009; Xu and Baldocchi, 2004). Most ~~of~~-previous studies have focused on ~~the~~ low-lying grasslands (Gilmanov et al., 2010).

~~The-alpine~~Alpine meadows in China ~~is-are~~ the primary grassland type of the nation and ~~is-are~~ mainly distributed in the Qinghai-Tibetan plateau (DAHV and CISNR, 1996; Liu et al., 2008). The warming trend in high-altitude areas, such as the Tibetan Plateau and its southeast margin, has been observed to be more pronounced, ~~such as the Tibetan Plateau and its southeast margin~~ (Fan et al., 2011; Liu and Chen, 2000). Several studies of CO_2 exchange on the Qinghai-Tibetan plateau have been performed, where the mean annual air temperature (T_a) is approximately 0°C (Gu et al., 2003; Kato et al., 2006; Shi et al., 2006; Zhao et al., 2006). The daily CO_2 fluxes of the alpine meadow-steppe in Damxung, Tibet were shown to be jointly affected by T_a air temperature and soil moisture (Fu et al., 2009), while the daily CO_2 fluxes of an alpine shrubland at Haibei, Qinghai were found to be sensitive to T_a air temperature (Zhao et al., 2006). On an annual scale, the measurements at the Haibei alpine meadow revealed that the annual CO_2 uptake was increased by the earlier onset of the growing season, which was caused by higher T_a air temperature (Kato et al., 2006). The Lijiang alpine meadow is located in a much warmer area (the mean annual T_a is 12.7°C). A spring drought event and relatively low soil moisture was shown to significantly ~~delayed~~ the start time of grass germination and ~~reduced~~ the annual CO_2 uptake (Wang et al., 2016b). How the annual CO_2 exchange responds to the mean annual T_a air temperature (global warming) has not been ~~is not~~ clear for ~~the~~ alpine meadow ecosystems.

~~As previous~~Previous studies ~~proposed, have attributed~~ year-to-year changes in CO_2 exchange ~~are attributed~~ to climatic variability (Hui et al., 2003; Xu and Baldocchi, 2004). Fluxes may directly respond to climatic drivers or be indirectly affected by ~~the~~

69 functional changes or ~~the~~ changes in the flux-climate relationships (Polley et al.,
70 2008). Statistical al models have been used to partition the interannual variation (IAV) of
71 the CO₂ exchange (Hui et al., 2003; Richardson et al., 2007; Teklemariam et al., 2010).
72 For example, Shao et al. (2014) found that 77% of the observed variation in NEE was
73 explained by functional changes in the moist grassland in USA, while variations ~~of~~ in
74 climatic variables could better explain the IAV of NEE ~~for~~ of a meadow in Denmark
75 and (Jensen et al., 2017) and mixed-grass prairies in the semiarid area of USA (Polley
76 et al., 2008). The relative importance of the direct and indirect effects of the climatic
77 variables on the interannual variations in CO₂ exchange for ~~the~~ alpine meadows in
78 China has not been quantified.

79 The CO₂ exchange between the atmosphere and the Lijiang alpine meadow was
80 measured using an eddy covariance technique from 2012 to 2015. The objectives of
81 this study ~~include~~ were to: (1) ~~to~~ examine the seasonal and interannual variation in
82 NEE, gross primary production (GPP), ecosystem respiration (RE), and the
83 parameters of ecosystem photosynthesis and ~~respiration~~ RE; (2) ~~to~~ investigate the main
84 environmental controls of the total GPP, RE and NEE on ~~the~~ seasonal and annual
85 scales; and (3) ~~to~~ partition the interannual variation in GPP, RE, and NEE into
86 climatic variability and vegetation growth.

88 2 Observation site and methods

89 2.1 Observation site

90 The observation site (27°10'N, 100°14'E, 3,560 m a.s.l.) is located at Maoniuping
91 ~~of~~ in the Yulong Snow Mountains, to the north of Lijiang City on the southeast margin
92 of the Tibetan Plateau, China. The study area ~~is under the~~ has a plateau monsoon
93 climate, which is influenced by the southwest and southeast monsoons. There are
94 distinct wet and dry seasons ~~are clear, and the~~ with a wet season ~~is~~ from June to
95 October. The 30-year mean annual total precipitation (1981-2010) at Lijiang City
96 (2,400 m a.s.l.) is 980.3 mm, and 85% of the precipitation is concentrated in the wet
97 season. The 30-year mean annual air temperature (MAT) is 12.6°C (data from the
98 Lijiang Meteorology Bureau). The ~~dominate~~ dominant species ~~of~~ in this alpine
99 meadow are *Kobresia Willd* grass, with a maximum height of 20 cm, and *Berberis*
100 *Linn* shrub, with a maximum height of more than 60 cm. The surface is covered by
101 green vegetation, litter and bare soil. The soil type is ~~loamy-a loam, soil~~
102 brown color, which has a lower reflectance than the grass canopy (Guo et al., 2009).

103

104 2.2 Field measurements and normalized difference vegetation index (NDVI)

105 The eddy covariance (EC) system was used to measure 3-D wind speed and the
106 H₂O and CO₂ concentrations at a height of 2.5 m, with a 10 Hz frequency. The system
107 ~~consists-consisted~~ of a three-dimensional sonic anemometer (CSAT3, Campbell
108 ~~Scientific, Logan, UT,~~ USA) and an open-path CO₂/H₂O infrared gas analyzer
109 (LI-7500A, LI-COR, ~~Lincoln, NE,~~ USA). The low response measurements (1/3 Hz
110 frequency) ~~performed-made~~ in this study ~~include-were~~ T_aair temperature and relative
111 humidity at a height of 2.5 m close to the EC system (HMP45C, Campbell,~~USA~~
112 ~~Scientific~~). Net radiation (including shortwave and longwave radiation, CNR4,
113 Kipp&Zonen, ~~Delft,~~ Netherlands) and photosynthetically active radiation (PAR)
114 (LI190SB, LI-COR,~~USA~~) were measured at 1.5 m. Soil temperature (109-L,
115 Campbell,~~USA Scientific~~) and soil water content (SWC) (CS616, Campbell,~~USA~~
116 ~~Scientific~~) were measured at a depth of 5 cm below the ground. The precipitation
117 (including solid precipitation in the winter) was measured using a weighing bucket
118 precipitation gauge (T-200B, Geonor, ~~Eiksmarka,~~ Norway). All measurements were
119 controlled by a data logger (CR3000, Campbell Scientific,~~USA~~), and the data were
120 stored on a 2-GB CF card.

121 Four points around the flux tower were selected to investigate the variations in
122 vegetation growth. The 250×250 m² gridded NDVI data at 16-day intervals (product
123 name: MOD13Q1) for the four points were obtained from the Moderate Resolution
124 Imaging Spectrometer (MODIS) on the EOS-1Terra satellite and were averaged to
125 represent the meadow ~~in-at~~ this observation site. ~~Observations affected by clouds were~~
126 ~~removed during this process, and the gaps were filled linearly.~~

127

128 2.3 Flux calculation and quality control

129 EddyPro software (version 5.1, LI-COR,~~USA~~) was used to calculate the
130 half-hourly CO₂ flux based on the 10 Hz raw data. After a spike detection (Vickers
131 and Mahrt, 1997), the sector-wise planar fit method was used to transform the
132 coordinate system due to a terrain slope of approximately 10° (Wilczak et al., 2001).
133 ~~Other corrections for~~The CO₂ flux ~~include-was also subjected to a~~ spectral loss
134 correction (Moore 1996,) and density correction (WPL correction) (Webb et al.,
135 1980).

136 Stationary and integral turbulence characteristics tests were used for flux quality

137 control (Foken and Wichura, 1996). When u^* was less than 0.1 m s^{-1} , the CO_2 flux
138 was dependent on u^* and was discarded. ~~Since-Because~~ there ~~is-was~~ a coniferous
139 forest approximately 350 m to the north of the site, an analytical footprint model was
140 used to determine whether the half-hourly CO_2 flux ~~is-was~~ influenced by the forest
141 and ~~needs-needed~~ to be removed (Kormann and Meixner, 2001).

142 After quality control, approximately 70% of the CO_2 fluxes were subjected to
143 further analysis. Linear interpolation was used to fill ~~the~~ flux gaps of less than ~~2-two~~
144 hours. To fill gaps of longer than ~~2-two~~ hours, marginal distribution sampling, an
145 improved ‘look up table’ method, was used (Falge et al., 2001; Lloyd and Taylor,
146 1994).

147

148 2.4 Data analysis

149 Using the homogeneity-of-slopes (HOS) model (Hui et al., 2003), the control of the
150 CO_2 exchanges (NEE, GPP and RE) was statistically partitioned into four components:
151 the interannual variation of environmental variables (SS_i), the seasonal variation of
152 environmental variables (SS_s), variations of biological variables (SS_f , NDVI in this
153 study), and random error (SS_e , resulting from measurement and analysis random
154 error). To identify the significant control variables, a multiple stepwise regression
155 analysis of the CO_2 exchanges with environmental variables was conducted using
156 SPSS 12.0 for Windows (SPSS Inc., Chicago, IL, USA). The environmental variables
157 that were significantly correlated with fluxes were submitted for further HOS analysis,
158 while the others were excluded from the analysis. To minimize errors, the daily NEE,
159 GPP, and RE was excluded from regressions if more than 50% of the data points in
160 the daytime ($R_n > 5 \text{ W m}^{-2}$) were missing. More details of the HOS model are provided
161 in Hui et al. (2003).

162 The relationship between daytime NEE (NEE_{daytime}) and PAR was described by the
163 Michaelis-Menten model (Falge et al., 2001):

$$164 \quad NEE_{\text{daytime}} = \frac{\alpha NEE_{\text{sat}} PAR}{\alpha PAR + NEE_{\text{sat}}} + RE_{\text{bulk}} \quad (1)$$

165 where NEE_{sat} is the NEE at the saturated light level, α is the apparent quantum yield
166 ($\mu\text{mol CO}_2 \mu\text{mol}^{-1} \text{ photons}$), and RE_{bulk} is the bulk estimated RE.

167 The Van't Hoff equation was used to evaluate the relationship between the
168 nighttime NEE ($NEE_{\text{nighttime}}$, $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) and soil temperature at a depth of 5
169 cm (T_s , °C) (Aires et al., 2008):

170 $NEE_{nighttime} = a \exp(bT_s)$ (2)

171 where a and b are the regression parameters. The temperature sensitivity coefficient
172 (Q_{10}) of RE was determined using the following equation.

173 $Q_{10} = \exp(10b)$ (3)

174 The partitioning of NEE into GPP and RE was based on the assumption that the
175 sensitivity of RE to soil temperature was the same during the day and at night (Falge
176 et al., 2001). The regression parameters derived from the nighttime data were
177 extrapolated to the daytime to calculate the daytime RE and the daily RE. The daily
178 GPP was calculated as follows:-

179 $GPP = RE - NEE$ (4)

180

181 3 Results

182 3.1 Weather conditions and NDVI

183 The daily integrated solar radiation (S_{in}) varied from 1.15 to 32.40 MJ m⁻² d⁻¹
184 (Figure 1a). The mean S_{in} in spring (March to May) was 17.0 to 19.93 MJ m⁻² d⁻¹ and
185 was ~~obviously clearly~~ larger than ~~those~~ in other seasons. In the wet season, the mean
186 S_{in} was 9.99 to 11.05 MJ m⁻² d⁻¹.

187 The ~~mean annual air temperature (T_a)~~ MAT was 5.92 to 6.32 °C (Table 1). The daily
188 mean T_a ranged from 0.41 to 14.96 °C in the wet season and decreased to ~~the a~~
189 minimum value of -9.06 °C in the winter. In contrast, the soil temperature never
190 decreased below 0 °C, and the maximum value was 16.48 °C (Figure 1b). The vapor
191 pressure deficit (VPD) reached its maximum value of 1.07 kPa before the wet season
192 (Figure 1c). The VPD decreased to near 0 kPa, and the mean VPD for the wet season
193 was 0.125 to 0.166 kPa.

194 The annual precipitation from 2012 to 2015 ranged from 1066.1 to 1257.4 mm. The
195 precipitation ~~for during~~ the wet season ranged from 906.1 to 1092.6 mm, accounting
196 for 85% to 91% of the annual total precipitation (Table 1). The mean annual ~~soil water~~
197 ~~content (SWC)~~ SWC had a small interannual variability, from 0.227 to 0.233 m³ m⁻³.
198 In the wet season, ~~the~~ SWC reached ~~its a~~ maximum value of approximately 0.35 m³
199 m⁻³, and the minimum SWC was 0.15 m³ m⁻³ (Figure 1d).

200 The NDVI ~~for of~~ this alpine meadow ~~showed displayed a~~ clear seasonal and
201 interannual variation (Figure 1e). The NDVI exceeded 0.4 at the end of April or ~~in~~ late
202 May, depending on the amount and distribution of precipitation in the spring (March

203 | to May). The maximum NDVI for each year ~~was 0.72 (2013) to~~ ranged from 0.60
204 | (2012) to 0.72 (2013). In all four years, the NDVI decreased below 0.4 at the end of
205 | October.

206

207 | **3.2 Seasonal and interannual variations in NEE_{sat} , α and Q_{10}**

208 | The daytime NEE and PAR were averaged, with ~~the~~ PAR bins of $100 \mu\text{mol m}^{-2} \text{s}^{-1}$
209 | to avoid random errors. For each month in the wet season, the daytime NEE decreased
210 | with PAR until ~~reaching~~ a critical PAR was reached. Above the critical PAR, the
211 | daytime NEE increased and the CO_2 uptake was depressed (Figure 2a). To derive
212 | NEE_{sat} and α , the NEE and PAR data were used only when PAR was below the critical
213 | value. NEE_{sat} showed a clear seasonal variation (Table 2). The mean NEE_{sat} values
214 | for each month showed ed that NEE_{sat} began to increase ~~starting~~ in June ($-11.59 \mu\text{mol}$
215 | $\text{m}^{-2} \text{s}^{-1}$) and reached its a maximum ~~value~~ in August ($-20.14 \mu\text{mol m}^{-2} \text{s}^{-1}$). The highest
216 | NEE_{sat} during the whole observation period occurred in August of 2014 ($-23.75 \mu\text{mol}$
217 | $\text{m}^{-2} \text{s}^{-1}$). NEE_{sat} then declined with grass senescence in September and October. The
218 | NEE_{sat} in October ($-9.36 \mu\text{mol m}^{-2} \text{s}^{-1}$) was less than half that in August. The
219 | interannual variations in NEE_{sat} ~~was~~ were also large. For example, NEE_{sat} in
220 | September 2015 ($-21.44 \mu\text{mol m}^{-2} \text{s}^{-1}$) was almost twice that in September 2013
221 | ($-11.43 \mu\text{mol m}^{-2} \text{s}^{-1}$; Table 2). On ~~the a~~ monthly scale, 81% of the variation in NEE_{sat}
222 | ~~can~~ could be explained by the mean NDVI (Figure 2b). Over this meadow, NEE_{sat} did
223 | not significantly correlate with SWC ~~significantly~~ because the soil water conditions
224 | were always good in the wet season.

225 | At monthly intervals, there were large random errors in the regression between RE
226 | and T_{soil} . For example, the R^2 for each month of the wet season in 2012 ~~was~~ ranged
227 | from 0.04 to 0.12. Thus, in 2012, the data in the wet and dry season were combined to
228 | fit the regression (Figure 3a). The Q_{10} in the wet seasons was similar, approximately
229 | 3.45 (Table 3), which was in the normal range of previous studies (1.2 to 3.7; Falge et
230 | al., 2001). These values were ~~obviously~~ clearly higher than those for temperate
231 | grasslands (1.99 to 3.07; Wang et al., 2016a), Mediterranean grasslands (1.22 to 2.36;
232 | Airst et al., 2008) and the Haibei alpine meadow (1.50 to 2.27; Kato et al., 2004). Q_{10}
233 | was ~~obviously~~ lower in the dry season than in the wet season.

234

235 | **3.3 Seasonal and interannual variation in NEE, GPP_e and RE**

236 | The ecosystem started to absorb CO_2 (negative value of NEE) on DOY 165 in 2012,

237 | DOY 137 in 2013, DOY 116 in 2014, and DOY 104 in 2015; ~~then, and then~~ NEE
238 | decreased (Figure 4). The minimum daily NEE for each year occurred in July or
239 | August ($-3.52 \text{ g C m}^{-2} \text{ d}^{-1}$ on DOY 196 in 2012, $-3.35 \text{ g C m}^{-2} \text{ d}^{-1}$ on DOY 218 in 2013,
240 | $-3.43 \text{ g C m}^{-2} \text{ d}^{-1}$ on DOY 243 in 2014, and $-4.16 \text{ g C m}^{-2} \text{ d}^{-1}$ on DOY 210 in 2015).
241 | NEE increased significantly ~~starting~~ in September and became positive on DOY 293
242 | in 2012, DOY 305 in 2013, DOY 295 in 2014 and DOY 297 in 2015. The maximum
243 | difference in the start time of CO₂ uptake was 61 days while the difference in the end
244 | time was 12 days. The CO₂ uptake period was much shorter in 2012 (129 days) than
245 | in 2013 (169 days), 2014 (180 days) and 2015 (194 days).

246 | The daily GPP increase started earlier than CO₂ uptake. The seasonal pattern ~~in-of~~
247 | daily GPP was similar to that of NEE, ~~and-although~~ the amplitude of GPP variations
248 | was larger than that of NEE variations. The maximum daily GPP for each year was
249 | 6.02, 5.47, 6.23 and 5.95 $\text{g C m}^{-2} \text{ d}^{-1}$ for the four years from 2012 to 2015,
250 | respectively. Compared with the NEE and GPP, the seasonal variation in RE was
251 | smaller during the wet season. In particular, RE varied only slightly from June to
252 | August.

253 | The annual GPP in 2014 and 2015 was ~~obviously-clearly~~ higher than in 2012 and
254 | 2013, ~~due to as indicated by~~ the larger NDVI (Figure 5; Table 1, 4). In contrast, the RE
255 | in 2014 was the highest ~~in 2014 among the of all~~ four years because, ~~it had~~
256 | ~~similar-although the~~ Q₁₀ value was similar to the other years, ~~but-it had~~ the highest
257 | T_a air temperature (Table 1). Therefore, the annual NEE in 2014 was similar to that in
258 | 2013, but lower than that in 2015, although the GPP was similar in 2014 and 2015.
259 | The spring drought ~~produced-resulted in~~ a significantly lower NDVI in 2012 than in
260 | the other years; consequently, the annual GPP in 2012 was the lowest of all four years.
261 | The annual NEE for the four years followed the order of 2015<2014<2013<2012
262 | (Table 4), which is consistent with the length of the CO₂ uptake period.

263

264 | 4 Discussion

265 | 4.1 Partitioning the interannual variation in CO₂ exchange

266 | The ~~homogeneity-of-slopes~~HOS model was used to partition the interannual
267 | variation (IAV) in CO₂ exchange into climatic variability and ecosystem functional
268 | change, which ~~is-was~~ reflected by the variability of the flux-climate relationship
269 | among years (Hui et al., 2003). During the wet season, the daily NEE, GPP and RE
270 | were mainly related ~~with-to~~ T_a (Figure 6). The effect of PAR on NEE and GPP was

271 very weak, with R values of -0.05 and 0.08, respectively.

272 A separate-slopes model was constructed for each year, and the multiple regression
273 model was based on data from the observational period. Compared with the multiple
274 regression model, the separate-slopes model substantially improved the NEE
275 estimation ~~substantially~~, with R^2 increasing from 0.69 in the multiple regression
276 model to 0.79 in the separate-slopes model. This means that the separate-slopes model
277 accounted for 10.3% more variation in the observed NEE than the multiple regression
278 model, which is-was attributed to the functional change (SS_f). The other 89.7% of the
279 variation in the observed NEE was partitioned to interannual climatic variability (SS_i ,
280 7.7%), seasonal climatic variation (SS_s , 37.7%), and random error (SS_e , 44.3%) (Table
281 5). Therefore, most of the IAV in NEE, GPP and RE was attributable to the variation
282 in climatic variables, in particular, climatic seasonal variation. This is in line with the
283 findings reported for a *Skjern* meadow in Denmark and a temperate ombrotrophic bog
284 in Canada (Jensen et al., 2017; Teklemariam et al., 2010). In contrast, Braswell et al.
285 (1997) and Shao et al. (2014) found that functional change, rather than the direct
286 effects of IAV in climate, accounted for more IAV in fluxes ~~than did direct effects of~~
287 ~~IAV in climate~~. Moreover, the contributions of different drivers to the IAV in GPP was
288 similar to that of NEE, while the functional change in RE was twice that of NEE and
289 GPP. The R^2 values for NEE, GPP and RE in the multiple regression model was-were
290 0.44, 0.53 and 0.59, respectively. It was considered reasonable that the largest random
291 error was recorded for of NEE ~~was the largest~~.

292

293 4.2 Control of the interannual variation in the CO₂ exchange

294 To examine the interannual variation in CO₂ exchange, the cumulative NEE, GPP,
295 and RE in 2013, 2014 and 2015 were-was compared with those in the corresponding
296 values in 2012 (Figure 7). The cumulative NEE_{diff} (the difference in NEE) values for
297 2014-2012 and 2015-2012 increased rapidly in spring and autumn. In summer, the
298 differences among 2012, 2014, and 2015 varied slightly. ~~Starting in April, the~~ The
299 cumulative NEE_{diff} for 2013-2012 increased from April until early August. These
300 patterns were similar to those for GPP_{diff} . However, the annual cumulative GPP_{diff}
301 (24.3 to 147.2 g C m⁻² yr⁻¹) was relatively larger than the annual cumulative NEE_{diff}
302 (-44.2 to -98.3 g C m⁻² yr⁻¹). The cumulative RE_{diff} decreased from DOY 1 and then
303 increased in spring. The cumulative RE_{diff} for 2013-2012 and 2015-2012 reached its
304 maximum at the end of June, while the cumulative RE_{diff} for 2014-2012 increased

305 throughout the entire year, and was the largest of all the periods considered.

306 The daily CO₂ uptake over this meadow ecosystem has previously been shown to
307 increases with T_a (Wang et al., 2016). Especially in the spring (March to May), the
308 temperature ~~environment~~-affected the vegetation growth and GPP. From March to
309 May, the cumulative T_a was 592.3, 577.1, 633.1, and 647.6 °C in the four years from
310 2012 to 2015, respectively. Consequently, the cumulative GPP in the spring increased
311 in the order of 2015, >2014 and >2013. The exception was that the spring of 2012 had
312 a higher T_a, but a lower GPP than the spring of 2013. Compared with the GPP in 2013,
313 the GPP in 2012 increased more significantly due to the higher T_a from March to
314 April. However, the drought in May 2012 delayed vegetation growth and reduced GPP.
315 The difference in GPP_{cum} ~~in-for~~ 2013-2012, 2014-2012 and 2015-2012 at the end of
316 May was 20.0, 63.1 and 83.3 g C m⁻², representing 82.6%, 42.9% and 59.7% of the
317 difference for the entire year, respectively.

318 From July to October, the NEE, GPP, and RE were all strongly correlated with T_a
319 on a monthly scale (R²=0.84, 0.86 and 0.73, respectively) (Figures 9a, b, c). The slope
320 of the relationship between GPP and T_a was much larger than for that between RE and
321 T_a, indicating that when T_a increased, the alpine meadow ecosystem absorbed more
322 CO₂. The monthly GPP in July and August varied slightly among the four years, while
323 the interannual variability of the GPP in September was the largest because the
324 monthly mean T_a in September for 2012 (8.6 °C) and 2013 (8.8 °C) was significantly
325 lower than those for 2014 (9.7 °C) and 2015 (10.0 °C). Consequently, the difference in
326 GPP_{cum} ~~in-for~~ 2014-2012 and 2015-2012 from September to October was 55.2 and
327 48.2 g C m⁻², representing 37.5% and 34.6% of the difference for the entire year.

328 On the annual scale, the annual total NEE decreased with the MAT in (2012, 2013,
329 and 2015) ~~with mean annual T_a (MAT)~~ and then increased when the MAT was ~~the~~
330 highest in 2014. The reason for this was that the annual total RE increased linearly
331 with the MAT (R²=0.97), while the relationship between the GPP and MAT was
332 non-linear (Figure 9d). The GPP became saturated ~~with increasing as the~~ MAT
333 increased. In contrast, the annual NEE increased with the MAT ~~at-in~~ the Haibei alpine
334 meadow, although which is in line with previous studies showing that the annual NEE
335 ~~was-is~~ comprehensively controlled by the temperature environment (Kato et al.,
336 2006).

338 4.3 Comparison of annual CO₂ exchange with other sites

339 The annual GPP at the study site was much larger than that reported in semiarid
340 grasslands in Tibet and Canada (Flanagan et al., 2002; Yu et al., 2006), but much
341 lower than that reported in moist grasslands in low-lying areas in Europe (Table 7). In
342 China, the annual GPP for semiarid grasslands and the Haibei alpine meadow
343 increased tightly with the annual precipitation ($R^2=0.94$) (Figure 10). With similar
344 annual precipitation, the annual GPP of the Damxung site was much lower than the
345 Haibei site possibly because of higher elevation. When the annual precipitation
346 increase further to over 1000 mm yr⁻¹, the annual GPP stayed steadily (Figure 10).
347 The annual GPP for the grassland ecosystems in China was always below 700 g C m⁻²
348 yr⁻¹.

349 In addition to temperature effects, the daily RE was also correlated with the daily
350 GPP ($RE=0.44GPP+0.63$, $R^2=0.82$) during the wet season from 2012 to 2015. Due to
351 the high elevation and low soil temperature in the summer, ~~The~~ the percentage of RE
352 to GPP for this meadow site was lower than ~~those~~ ~~offor~~ Mediterranean grasslands
353 ($RE=0.53GPP+0.72$, $R^2=0.85$, Aires et al., (2008); $RE=0.47GPP+1.33$, $R^2=0.85$, Xu
354 and Baldocchi, (2004)). The lower-low level of RE ~~caused~~ ~~resulted in a~~ similar or
355 even lower annual NEE (mean value: -161 g C m⁻² yr⁻¹) at Lijiang than ~~the~~ ~~in~~ moist
356 grasslands with a low elevation (Table 7). For example, the mean annual NEE for ~~the~~
357 a meadow in Denmark (annual precipitation: 809 mm) was -156 g C m⁻² yr⁻¹, while
358 the mean annual NEE for ~~the~~ ~~a~~ C3/C4 grassland in Japan (annual precipitation: 1156
359 mm) was -17 g C m⁻² yr⁻¹. The ratio of RE to GPP ranged from 0.69 to 0.79 over the
360 Lijiang alpine meadow, which was lower than the Haibei alpine meadow (Table 7).
361 This is the reason why the annual NEE of the Lijiang site was on ~~the~~ average 25%
362 lower than at the Haibei site. In general, ~~the~~ ~~lower~~ ~~low~~ RE/GPP ratios occurred in
363 high-altitude and moist areas. The alpine meadow ecosystem (Lijiang and Haibei) had
364 a lower RE/GPP ratio than most ~~of the~~ low-lying grasslands. Compared with semiarid
365 grasslands (RE/GPP: approximately 1.0), the RE/GPP ratios reported in ~~the~~ moist
366 grasslands ~~was~~ ~~are~~ much lower, e. g. ~~the~~ ~~a~~ sown grassland in The Netherlands (0.60)
367 and ~~the~~ ~~a~~ natural grassland in Italy (0.59) (Gilmanov et al., 2007).

369 **5 Conclusions**

370 The 4four-year EC data from 2012 to 2015 were used to investigate the interannual
371 variation in the NEE, GPP, and RE. The key parameters for ecosystem photosynthesis
372 and respiration were determined for the different seasons of each year. The vegetation

373 growth (NDVI) controlled NEE_{sat} on a monthly scale, and the interannual variation in
374 Q_{10} for the wet and dry seasons was small. The seasonal variation in CO_2 exchange
375 was affected by the seasonal pattern of T_a and the soil moisture in the spring. In the
376 spring, low T_a and drought events delayed the start time of CO_2 uptake. In the late wet
377 season, the higher T_a in 2014 and 2015 resulted in later grass senescence and CO_2
378 release. The annual NEE decreased with the length of the CO_2 uptake period, but its
379 relationship with the NDVI was not significant. ~~Over-For~~ this alpine meadow, the
380 HOS model suggests that most of the IAV in NEE, GPP and RE was attributed to the
381 seasonal variation in ~~the~~ climatic variables. On an annual scale, the annual RE
382 increased linearly with the MAT, while the annual GPP became saturated when the
383 MAT increased from $6.16^\circ C$ to $6.32^\circ C$. Thus, the annual NEE decreased and then
384 increased with the MAT. The low RE/GPP ratio at the study site was responsible for
385 the lower annual NEE compared with some other grassland ecosystems with a larger
386 GPP.

387

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392 their help in the maintenance of the measurements.

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505

506 Table 1 The average value of daily solar radiation (S_{in} , $MJ\ m^{-2}\ d^{-1}$), the mean annual air
 507 temperature (T_a , $^{\circ}C$), the mean annual vapor pressure deficit (VPD, kPa), the mean annual soil
 508 water content (SWC, $m^3\ m^{-3}$), the total amounts of precipitation (PPT, mm) for the whole year and
 509 the wet season, and the maximum value of NDVI for each year from 2011 to 2015

| variables | 2012 | 2013 | 2014 | 2015 |
|------------------|--------|--------|--------|--------|
| S_{in} | 14.23 | 14.40 | 14.44 | 14.59 |
| T_a | 5.93 | 5.92 | 6.32 | 6.16 |
| VPD | 0.32 | 0.30 | 0.32 | 0.30 |
| SWC | 0.232 | 0.227 | 0.232 | 0.233 |
| PPT (whole year) | 1190.4 | 1066.1 | 1204.8 | 1257.4 |
| PPT (wet season) | 1086.5 | 906.1 | 1092.6 | 1067.1 |
| $NDVI_{max}$ | 0.60 | 0.68 | 0.72 | 0.72 |

510

511

512 Table 2 The ecosystem photosynthesis parameters using equation (1) (NEE_{sat} : $\mu\text{mol m}^{-2} \text{s}^{-1}$, α :
513 $\mu\text{mol m}^{-2} \text{s}^{-1}$, R^2) and NDVI for each month during the wet seasons from 2012 to 2015. The
514 regression was based on the average values of $NEE_{daytime}$ and PAR with PAR bins of $100 \mu\text{mol m}^{-2}$
515 s^{-1} . $NEE_{sat}^{(a)}$ represents the mean value and the standard deviation, $NEE_{sat}^{(b)}$ and $NEE_{sat}^{(c)}$
516 represent the maximum and minimum values of NEE_{sat} for each month.

| Month | NEE_{sat}^a | NEE_{sat}^b | NEE_{sat}^c | α | RE_{bulk} |
|-----------|---------------|---------------|---------------|--------------|-------------|
| June | -11.59±2.45 | -9.69 | -15.08 | -0.037±0.009 | 3.59±0.52 |
| July | -19.67±1.54 | -17.46 | -21 | -0.050±0.009 | 3.75±0.83 |
| August | -20.14±3.52 | -15.43 | -23.75 | -0.055±0.016 | 4.15±0.74 |
| September | -16.44±4.56 | -11.43 | -21.44 | -0.051±0.017 | 3.70±1.04 |
| October | -9.36±1.62 | -7.08 | -10.9 | -0.031±0.005 | 2.45±0.37 |

517

518

519 Table 3 The ecosystem respiration parameters using equation (2, 3) (a: $\mu\text{mol m}^{-2} \text{s}^{-1}$, b, Q_{10} , R^2) for
 520 the wet and dry seasons from 2012 to 2015. The regression was based on the average values of RE
 521 and T_{soil} with T_{soil} bins of 1°C

| Season | year | a | b | Q_{10} | R^2 |
|------------|------|-------|-------|----------|-------|
| Wet season | 2012 | 0.437 | 0.125 | 3.48 | 0.98 |
| | 2013 | 0.374 | 0.124 | 3.46 | 0.94 |
| | 2014 | 0.442 | 0.126 | 3.51 | 0.98 |
| | 2015 | 0.433 | 0.123 | 3.43 | 0.98 |
| Dry season | 2012 | 0.338 | 0.081 | 2.25 | 0.78 |
| | 2013 | 0.202 | 0.096 | 2.60 | 0.74 |
| | 2014 | 0.283 | 0.115 | 3.15 | 0.99 |
| | 2015 | 0.313 | 0.104 | 2.82 | 0.70 |

522

523

524 Table 4 The annual total NEE, GPP and RE ($\text{g C m}^{-2} \text{ year}^{-1}$) for each year from 2012 to 2015

| | 2012 | 2013 | 2014 | 2015 |
|-----|--------|--------|--------|--------|
| NEE | -114.2 | -158.5 | -159.9 | -212.6 |
| GPP | 522.3 | 546.5 | 669.4 | 661.8 |
| RE | 412.1 | 393.6 | 515.2 | 456.7 |

525

526

527 Table 5 The percentage of the contributions of the seasonal climatic variation (SS_s), interannual
528 climatic variability (SS_i), the ecosystem functional change (SS_f), and random error (SS_e) to the
529 interannual variations in NEE, GPP and RE

| | SS_s | SS_i | SS_f | SS_e |
|-----|--------|--------|--------|--------|
| NEE | 37.7% | 7.7% | 10.3% | 44.3% |
| GPP | 48.6% | 9.7% | 10.7% | 31.0% |
| RE | 48.6% | 15.6% | 21.2% | 14.6% |

530

531

532 Table 6 The GPP_{diff} for 2013-2012, 2014-2012 and 2015-2012 during the periods from March to
 533 May, June, from June to July, from August to September

| Periods | GPP_{diff} | | |
|----------------------|--------------|------------|--------------|
| | 2013-2012 | 2014-2012 | 2015-2012 |
| March to May | 20.0 | 63.1 (43%) | 83.3 (60%) |
| June | 28.7 | 13.4 (9%) | 23.7 (17%) |
| July to August | -8.7 | 14.2 (10%) | -18.5 (-13%) |
| September to October | -12.0 | 55.2 (38%) | 48.2 (35%) |
| Entire year | 24.2 | 147.1 | 139.5 |

534

535

Table 7 Comparison of mean annual temperature (MAT, °C), mean annual precipitation (MAP, mm yr⁻¹), NEE (g C m⁻² yr⁻¹), GPP, RE and RE/GPP between this study and previous grassland studies

| References/ Location | Ecosystem Description | Latitude | Longitude | Altitude | MAT | MAP | NEE | GPP | RE | RE/GPP |
|---------------------------------------|----------------------------|----------|-----------|-----------------|----------------|----------------|------------------------|---------------------------|---------------------------|-------------------------|
| This study/ Lijiang, China | Alpine meadow/shrub | 27°10'N | 100°14'E | 3560 | 6.1 | 1180 | -161 (-213 to -114) | 600 (522 to 669) | 444 (394 to 515) | 0.74 (0.69 to 0.79) |
| Yu et al., (2006)/ Damxung, China | Alpine meadow | 30°51'N | 90°05'E | 4250 | 2.1 | 520 | 28 (16 and 39) | 167 (144 and 190) | 195 (183 and 206) | 1.16 (1.08 and 1.27) |
| Kato et al., (2006)/ Haibei, China | Alpine shrub | 37°37'N | 101°18'E | 3250 | -1.0 | 566 | -121 (-193 to -79) | 634 (575 to 681) | 514 (489 to 556) | 0.81 (0.72 to 0.86) |
| Shimoda et al., (2005)/ Japan | C3/C4 grassland | 36°06'N | 140°06'E | 27 | 13.9 | 1156 | -17 (-78 to 17) | 2365 (2285 to 2426) | 2348 (2303 to 2392) | 0.99 (0.97 to 1.01) |
| Aires et al., (2008)/ Portugal | Mediterranean grassland | 38°28'N | 8°01'E | 140 | 15.5 | 669 | -71 (-190 and 49) | 893 (524 and 1261) | 822 (573 and 1071) | 0.92 (0.85 and 1.09) |
| Jensen et al., (2017)/ Denmark | Meadow | 55°55'N | 8°24'E | 0 | 8.7 | 809 | -156 (-356 to -18) | 1349 (1147 to 1570) | 1193 (1069 to 1406) | 0.88 (0.75 to 0.98) |
| Gilmanov et al., (2007)/ Europe | Multiple (19 sites) | - | - | -0.7 to 1770 | 3.9 to 14.6 | 387 to 1816 | -150 (-653 to 171) | 1261 (467 to 1874) | 1111 (493 to 1622) | 0.90 (0.59 to 1.14) |
| Xu and Baldocchi, (2004)/ USA | Mediterranean grassland | 38°24'N | 120°57'E | 129 | 16.3 | 559 | -52 (-132 and 29) | 798 (729 and 867) | 747 (735 and 758) | 0.94 (0.85 and 1.04) |
| Flanagan et al., (2002)/ Canada | Temperate grassland | 49°26'N | 112°34'E | 951 | - | 378 | -2 (-21 and 18) | 280 (272 and 287) | 278 (267 and 290) | 1.0 (0.93 and 1.07) |

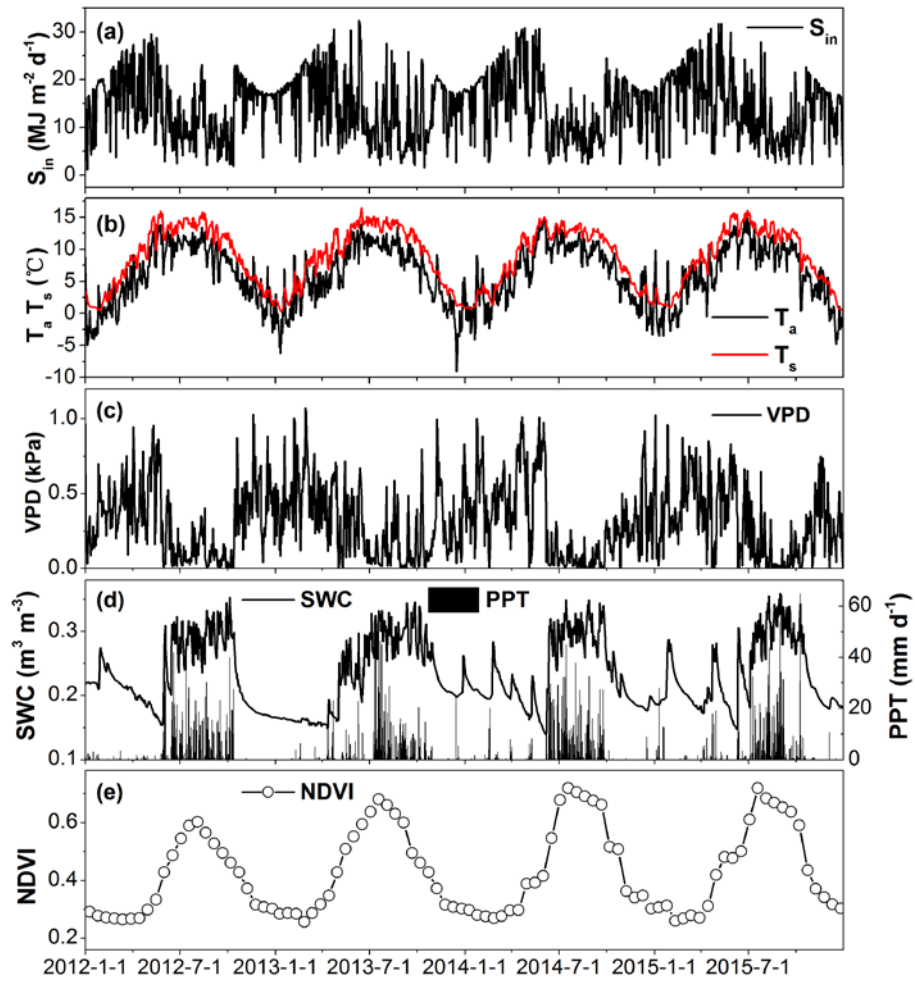


Figure 1 (a) daily sum of solar radiation (S_{in}), daily mean (b) air temperature (T_a), soil temperature (T_s), (c) vapor pressure deficit (VPD, 5 cm) and (d) soil water content (SWC, 5 cm), daily total precipitation (PPT), (e) 16-day average normalized difference vegetation index (NDVI) from 2012 to 2015.

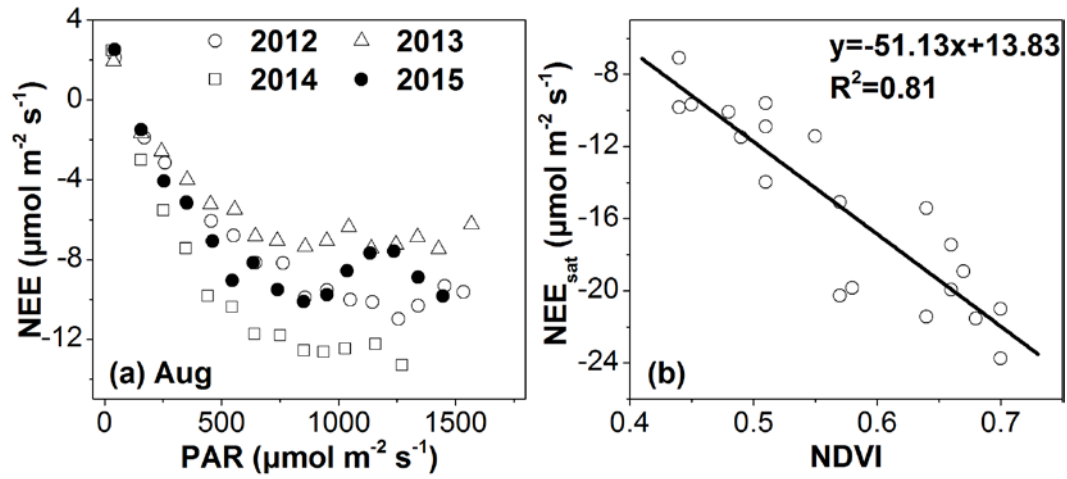


Figure 2 The relationship between daytime NEE and PAR (a) for August from 2012 to 2015. The NEE and PAR data were averaged with PAR bins of $100 \mu\text{mol m}^{-2} \text{s}^{-1}$. (b) the relationship between NEE_{sat} and NDVI on a monthly scale.

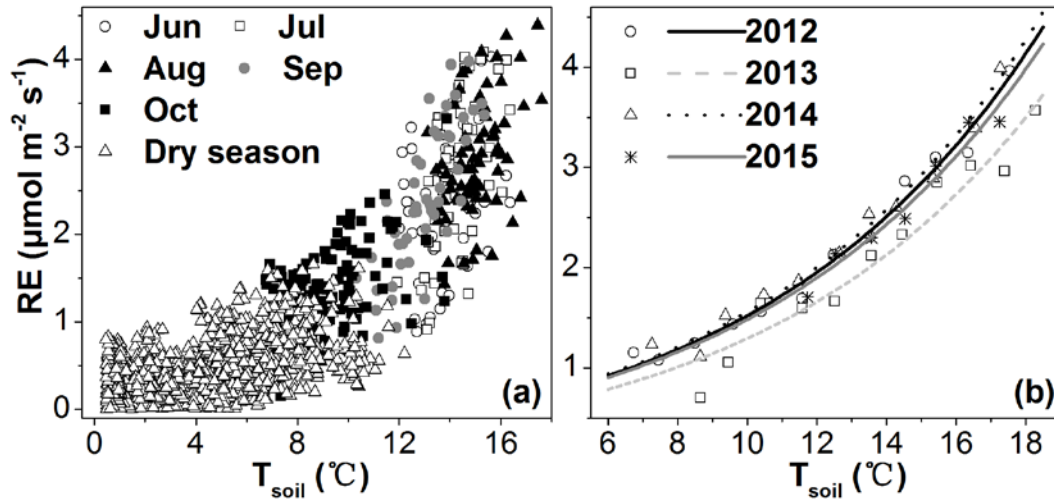


Figure 3 (a) relationship between RE and T_{soil} in 2012; (b) relationship between RE and T_{soil} for the wet season from 2012 to 2015; RE and T_{soil} were averaged with T_{soil} bins of 1 $^{\circ}\text{C}$.

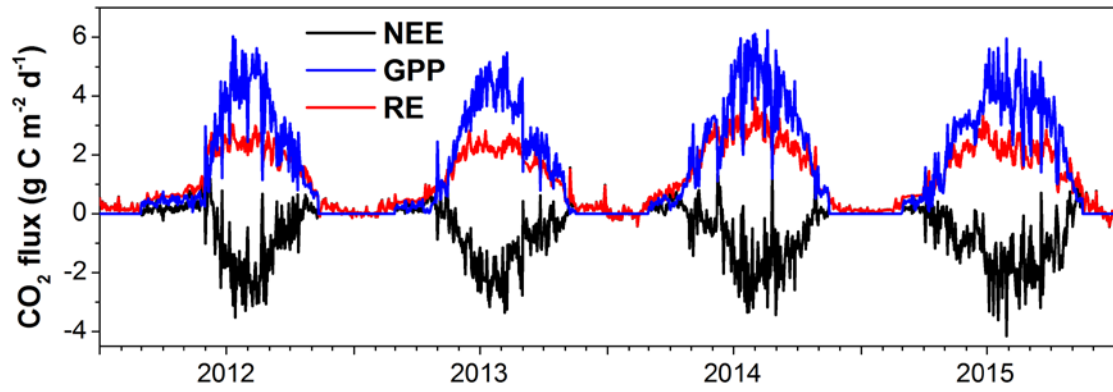


Figure 4 The daily mean NEE, GPP and RE from 2012 to 2015

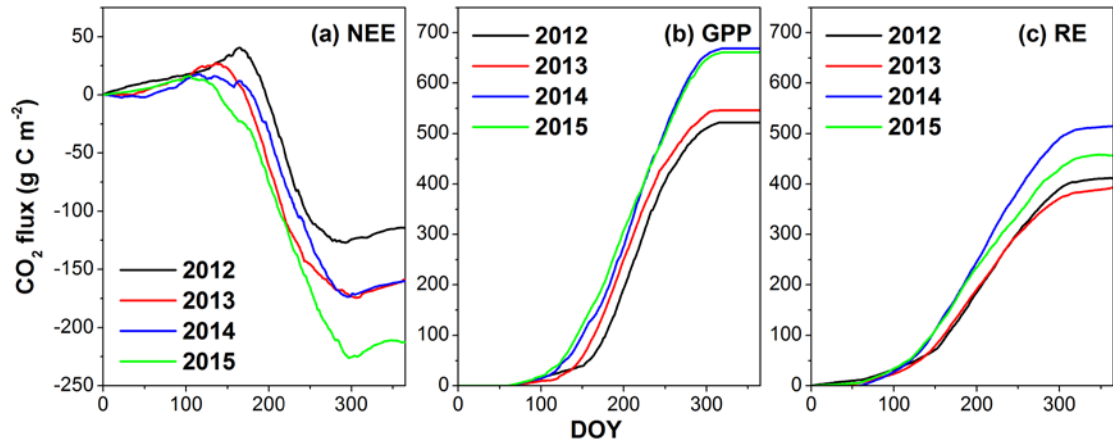


Figure 5 The cumulative NEE, GPP and RE from 2012 to 2015

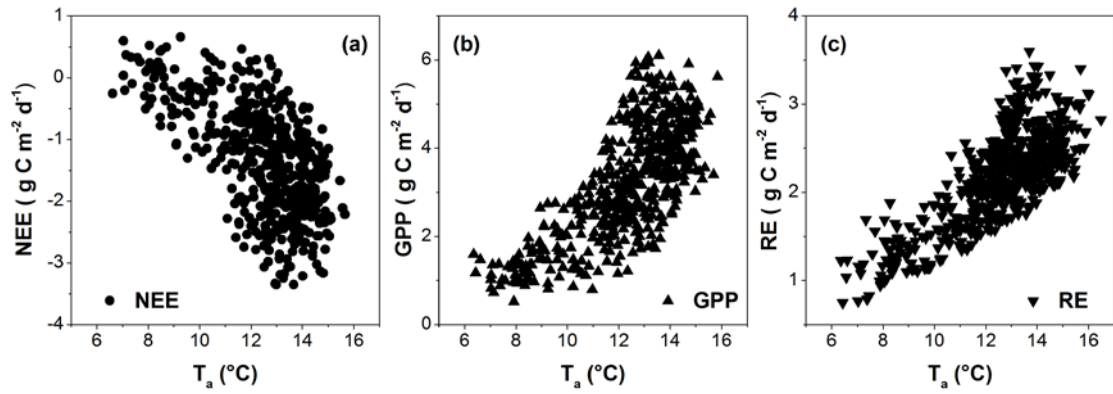


Figure 6 Relationships between (a) NEE and T_a , (b) GPP and T_a , and (c) RE and T_a for the wet seasons from 2012 to 2015

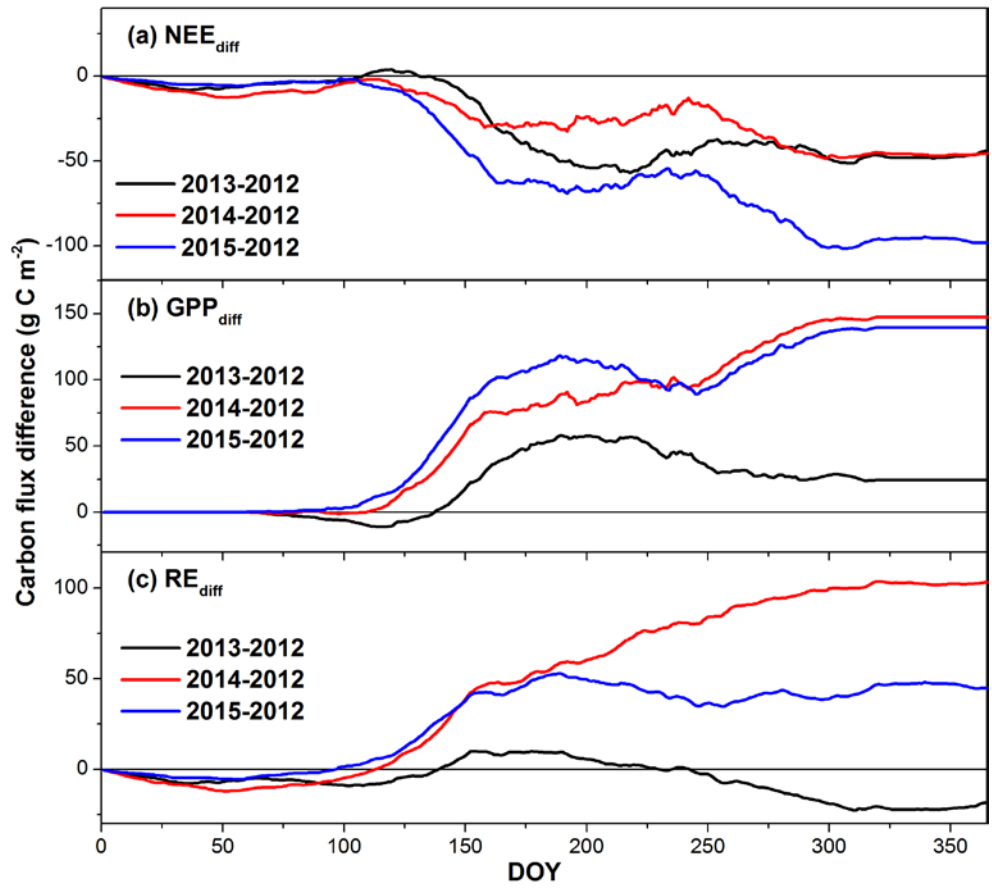


Figure 7 Seasonal variations in the differences of (a) NEE, (b) GPP and (c) RE from 2013 to 2012, from 2014 to 2012 and from 2015 to 2012

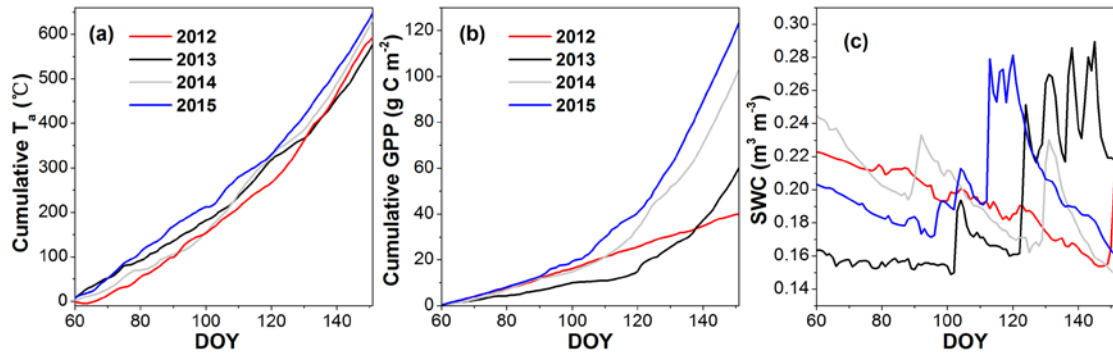


Figure 8 Cumulative (a) T_a and (b) GPP, and (c) the daily mean SWC from March to May (DOY60 to 151) for 2012, 2013, 2014 and 2015

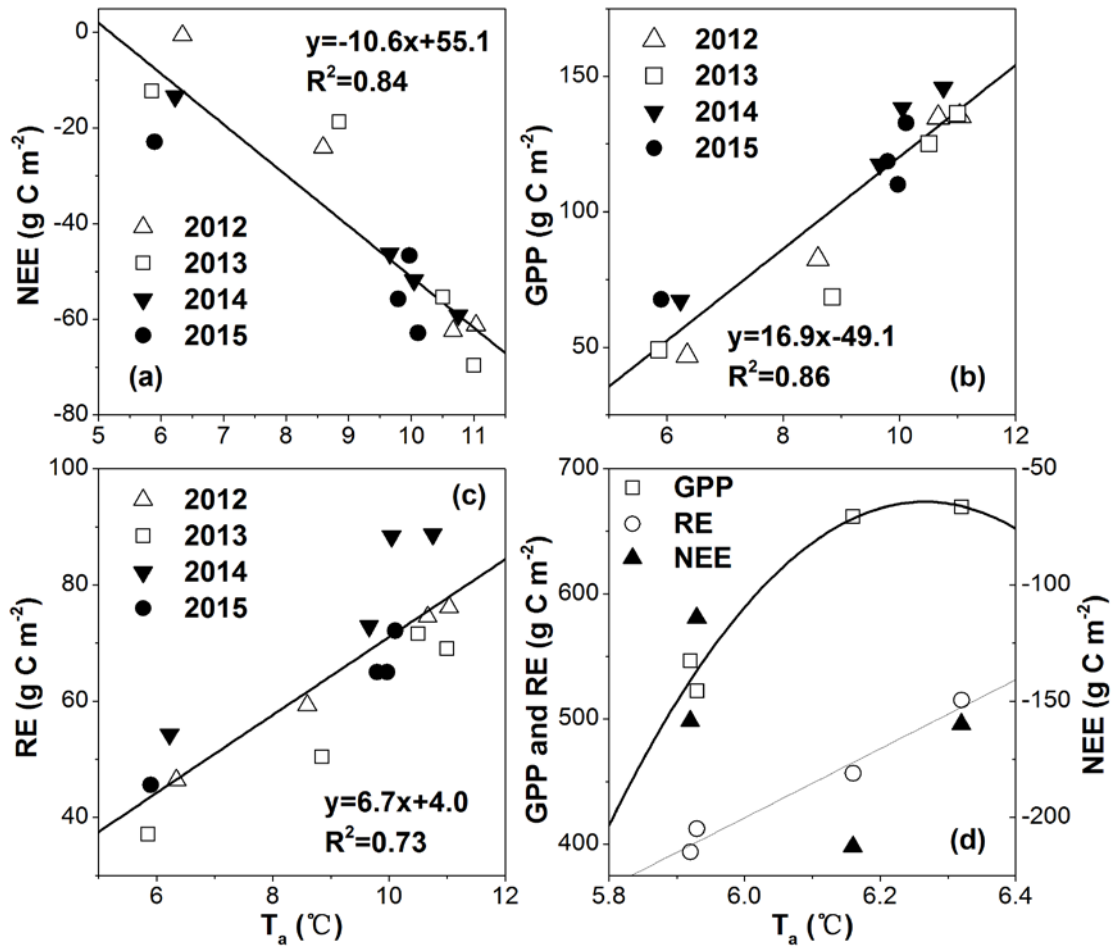


Figure 9 Relationships between (a) NEE and T_a ; (b) GPP and T_a ; (c) RE and T_s from July to October at a monthly scale, and (d) relationship between the annual total CO₂ exchange fluxes and the mean annual T_a , which were $GPP = -1191T_a^2 + 14930T_a - 46102$, $R^2 = 0.97$, and $RE = 276T_a - 1235$, $R^2 = 0.97$

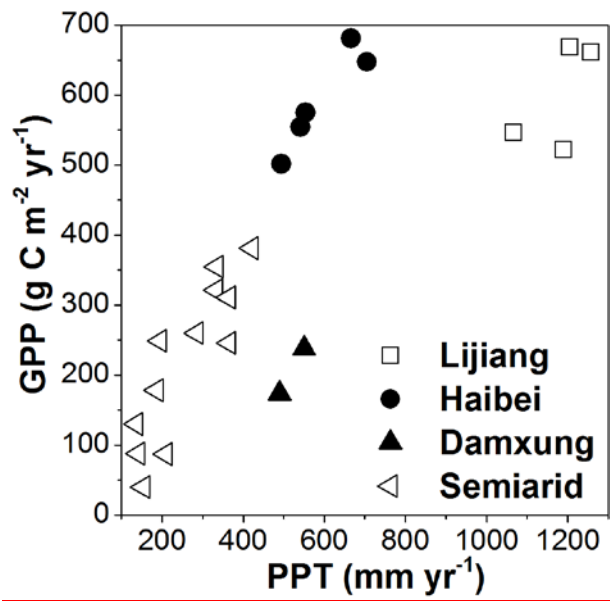


Figure 10 Relationships between the annual GPP and precipitation (PPT) for this Lijiang site, the Haibei site (Kato et al., 2006; Fu et al., 2009), the Damxung site (Fu et al., 2009), and the semiarid grassland sites (Fu et al., 2009; Du and Liu, 2013; Yang and Zhou, 2013)