Anonymous Referee #1

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This manuscript describes a detailed sensitivity simulation of CH_4/CO_2 in Asia with respect to horizontal resolution employing two different versions of the LMDzINCA model. This kind of study can be expected to contribute significantly to improving performance of data assimilation and accuracy of inverse modeling as the authors emphasize. The overall text is well written, and the authors very carefully discuss the results. However, most of the descriptions in this paper appear to be too detailed and sometime tedious although they may be needed to convey useful information to the data assimilation procedure. The subject of this paper seems to be appropriate to the ACP. However, I would like the authors to consider my questions and revise the manuscript before I recommend the publication of this paper. Details of my comments will be found in the following.

[Response] Thank you very much for your careful review and comments. Following the reviewers' suggestions, we launched new simulations with 39 vertical layers (L39) for both standard and zoom models, as compared to the previous simulations with only 19 vertical layers (L19). We updated the biomass burning emissions to the latest GFEDv4.1 for both CH₄ and CO₂ simulations. For CH₄, we also ran sensitivity test simulations, in which anthropogenic and wetland emissions are prescribed with the latest EDGARv4.3.2 and model outputs from ORCHIDEE. For CO₂, sensitivity test simulations are also performed with daily and 3-hourly biomass burning emissions from GFEDv4.1 (Table R1). We have rewritten most part of the results, discussions and conclusions accordingly. We also replied to your major and minor comments in the following, and hopefully our responses and revision adequately address all your comments and questions.

Major Comments:

M1: For "abstract" and "conclusions" section, I'm not convinced about conclusions of this manuscript. The authors state that the finer horizontal resolution version improves Asian CH_4/CO_2 simulation only moderately. Are you saying that enhancing horizontal resolution is not that useful (not beneficial)? I think you could more clearly express the message/implication of this study at least in abstract and conclusions parts.

[Response] Not really. The model's capability to represent the CH_4 or CO_2 variability at stations does not only depend on model resolution. In this paper we would like to more emphasize that, with finer model resolution, the model performance is more sensitive to accuracy of the prescribed surface fluxes, particularly distribution of sources/sinks at fine scales and their short-term variabilities. The sensitivity test simulations we launched for the revised paper also show importance of the flux data quality in model performance and thus benefits of improved model resolution. Following your suggestion, we revised the manuscript and clarify it in Abstract and Conclusion.

M2: This study just showed that a finer horizontal resolution more or less contributes to improvement of CH_4/CO_2 simulation for Asia. But it is very unclear whether this improvement is really significant or meaningful in terms of regional budget and flux estimate. I think the authors should check the impacts of other factors (at least vertical resolution or NEE) on the simulation as well as horizontal resolution for more clearly appealing the advantages of your zoomed method in the LMDzINCA modeling framework.

[Response] As we stated in Introduction, the number of regional ground stations in South and East Asia has increased during the recent decades. Observations from these stations will provide useful constraints on regional flux estimates, if gradients between stations and their variabilities can be well represented in transport models. Compared to the global transport model with rather coarse model resolution, the zoomed transport model used in our study has the potential to better capture the observed spatial and temporal variations at regional stations due to the reduced representation errors. The impact of model resolution on regional budget and flux estimate should be addressed by inverse modeling, which is beyond the scope of this study. Following your suggestion, we launched new simulations with 39 vertical layers (L39) for both standard and zoom models, as compared to the previous simulations, in which anthropogenic and wetland emissions are prescribed with the latest EDGARv4.3.2 and model outputs from ORCHIDEE (Table R1). Detailed results and discussions are presented in Section 3 in the revised manuscript.

M3: For the moderate improvement with ZASIA, I do not yet understand the reason for it. The authors give several potential candidates like matching between the model's grid and observation site, different transport, etc. But how much do they contribute? Or what is the most possible reason for the improvement?

[Response] With the zoomed model, the explanation for the improved model performance on CH₄ mean annual gradients really depends on different stations. As mentioned in Section 3.1.1, the better performance at SDZ (117.12°E, 40.65°N, 293m a.s.l.) is more related to the detailed description of source distribution around the station; for the two coastal stations PON (79.86°E, 12.01°N, 30m a.s.l.) and CRI (73.83°E, 15.08°N, 66m a.s.l.), the improved model performance is related to the better characterization of the complex terrain (coastal topography) as well as the fluxes.

M4: The authors stated that the ZASIA version does not deteriorate the performance of CH_4/CO_2 outside the zoomed area (L383). But they seem to be looking only at the sites displayed in Figure 1 (mostly in Japan). How about the impacts on performance for other sites like in EU, US, Africa, and the southern hemisphere? This point should be clarified in the main text with an additional figure as supplementary material.

[Response] Following your suggestions, we further included several global/regional stations in Europe (the stations JFJ and MHD), North America (the stations ALT, BRW, NWR and

MLO), and the southern hemisphere (the stations AMS, CGO, and SPO) in this study (Table 2). Analyses show that the zoom versions do not deteriorate model performance outside the zoomed region compared to the standard versions. For example, the CH_4 and CO_2 annual gradients between HLE and these added stations can be well captured by both standard and zoom model versions (see open circles in Figure 2). Detailed results and discussions are presented in Section 3 and the supplementary material.

Minor Comments:

L158 to L173: How do you represent diurnal variation in OH?

[**Response**] As described in Section 2.1.1, we used climatological monthly OH concentration fields in this study and didn't consider the diurnal variation in OH fields. According to Patra et al. (2009), the CH₄ chemical lifetime in the troposphere is much longer than the dynamical residence time due to atmospheric transport, and accounting for OH diurnal cycle is not crucial for simulating seasonal, synoptic, and diurnal variations in CH₄ concentration fields.

L177 "The spin-up time of 6 years": Don't you have any trend or drift of global mean CH_4 concentration during these 6 years?

[Response] Take the global background station Mauna Loa as an example, Figure R1 presents time series of the simulated and observed CH₄ concentrations over the period 2000-2013, as well as the corresponding long term trends extracted from the data using the CCGVU curve fitting routine (Thoning et al., 1989). During the 6-year spin-up period (2000– 2005), the simulated CH₄ concentrations decreased for the first three years and then levelled off. Drift of the global mean is found for both standard and zoom models, equivalent to around -12 ppb over this period. The model-observation disagreement in trend and global mean CH₄ concentrations results from the imperfect surface emissions and OH fields prescribed in the simulations. As we reply to the Reviewer #2 (Specific comments, Line 163), in this paper we are more focusing on the improvement gained from refinement of model grids rather than accurately reproducing the observed CH₄ concentrations and their interannual variations. Furthermore, all the traits and metrics we have considered to evaluate the model performance (i.e., annual mean gradient, seasonal cycle, synoptic variability, diurnal cycle and vertical gradient) give "relative" values that are not affected by the absolute CH₄ concentrations. Therefore the trend and drift of global mean CH₄ during the spin-up period will not have significant impact on comparison of performance between the standard and zoom models.

L179 "already realistic": What do you mean by "realistic"? You should explain more about the initial conditions for CH₄.

[Response] In the revised paper, the initial CH_4 concentration field we used for the updated simulations is defined based on the optimized initial state from a CH_4 inversion that assimilates observations from 50+ global background stations over the period 2006–2012

(Locatelli, 2014; Locatelli et al., 2015). The optimized initial CH_4 concentration field for the year 2006 was rescaled to the levels of the year 2000 and used as the initial state in our simulations. As the initial condition for CH_4 is optimized with observations, we assume it to be "realistic". Following your suggestion, we revised Section 2.1.1 accordingly to clarify the setup of initial condition for CH_4 .

L395 "better description of the surface fluxes and/or transport": Given the fact that CO_2 simulation is not improved by ZASIA, the improvement seen in CH_4 seems to be resulting from non-transport process (surface fluxes?).

[**Response**] Here we mean that, with ZASIA, the model improvement on the CH_4 annual gradient <u>at the stations SDZ</u>, <u>PON and CRI</u> may "result from a reduction in representation error with a higher model horizontal resolution in the zoomed region, through a better description of the surface fluxes and/or transport around these stations". In fact, we also found improved model performance on the CO_2 annual gradients at the three stations, although not as significant as it is for CH_4 (Table R2). Therefore the model improvement may result from better characterization of either surface fluxes or transport processes or both.

L435: There appears no explanation for the abbreviation of "NEE".

[**Response**] Following your suggestions, we provide the full name (net ecosystem exchange) when the abbreviation is used for the first time.

L500 "rather coarse (19 layers)": How do you get the model concentrations at the elevation of the observational site? The model layers are linearly interpolated?

[**Response**] As described in Section 2.3, the modelled concentrations are sampled at the nearest gridpoint and vertical level to each station.

Tables

| Simulation Code | Version | Anthrop. Emis. | Wetland Emis. |
|-----------------|-------------------------------|----------------|---------------|
| ST19_ED42 | 144×142 Standard, 19 layers | EDGAR4.2FT2010 | KAPLAN |
| ZA19_ED42 | 144×142 Asian Zoom, 19 layers | | climatology |
| ST39_ED42 | 144×142 Standard, 39 layers | | |
| ZA39_ED42 | 144×142 Asian Zoom, 39 layers | | |
| ST39_ED432 | 144×142 Standard, 19 layers | EDGAR4.3.2 | |
| ZA39_ED432 | 144×142 Asian Zoom, 19 layers | | |
| ST39_ED432ORC | 144×142 Standard, 39 layers | | ORCHIDEE |
| ZA39_ED432ORC | 144×142 Asian Zoom, 39 layers | | climatology |

Table R1 Model setups for different simulations.

Table R2 The observed and simulated mean annual gradient of CH_4 (**a**) and CO_2 (**b**) between HLE and two stations (CRI, PON and SDZ) within the zoomed region. The bias reduction rates (in percentage) by using ZA compared to ST are also given for both 19- and 39-layer simulations.

|) | | | | | | | |
|-----------------|--------------|---------------|---------------|-------------------|----------------|---------------|-------------------|
| CH ₄ | OBS (ppb) | ST19 (ppb) | ZA19 (ppb) | Bias reduction | ST39 (ppb) | ZA39 (ppb) | Bias reduction |
| CRI | 17.5±12.7 | 9.3±4.1 | 20.2±7.1 | 66.6% | 8.6±3.0 | 23.0±6.7 | 38.8% |
| PON | 32.4±12.4 | 2.5±11.6 | 31.1±7.7 | 95.6% | $0.4{\pm}11.9$ | 34.1±7.8 | 94.7% |
| SDZ | 90.0±15.4 | 125.1±18.8 | 86.8±16.0 | 91.0% | 128.5±19.3 | 100.4±22.4 | 73.0% |
| b) | | | | | | | |
| 00 | OBS | ST19 | ZA19 | Bias | ST39 | ZA39 | Bias |
| CO_2 | (ppm) | (ppm) | (ppm) | reduction | (ppm) | (ppm) | reduction |
| CRI | 4.6±0.9 | 1.2 ± 0.1 | 2.0±0.3 | 25.5% | $1.4{\pm}0.1$ | 2.2±0.2 | 25.2% |
| PON | 2.7±1.6 | 1.3±0.3 | 1.8 ± 0.5 | 35.2% | 1.5±0.3 | 1.9±0.5 | 37.0% |
| SDZ | 6.8±0.5 | 8.8±1.3 | 7.7±1.9 | 57.9% | 9.3±1.5 | 8.1±2.3 | 48.1% |

a)

Figures

Figure R1 Time series of observed and simulated CH_4 concentrations at Mauna Loa (MLO, 19.54°N, 155.58°W, 3397) during the period 2000–2013. The simulated CH_4 concentrations are based on outputs from both standard (ST39ED42, blue circles) and zoom models (ZA39ED42, red circles). The solid lines indicate the corresponding long-term trends extracted from the data using the CCGVU curve-fitting routine (Thoning et al., 1989).



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Anonymous Referee #2

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This study presents a detailed comparison between CO_2 and CH_4 simulations from the LMDzINCA model and the available measurements over South East Asia. It is meant as a first step in preparation for flux inversions, to identify observed signals pointing to short-comings in the a priori fluxes or transport model uncertainty, in support of the inversion setup. To this end a comparison is made between different model versions, and the added value of increased model resolution is assessed. The manuscript is well written, and the difference between model and measurements is carefully assessed. However, there should be a more efficient way to arrive it the main conclusions, e.g. by summarizing the performance in only a few key figures. This would also help to make the final conclusions more quantitative. In its current form, the scientific message is not so clear. In my opinion, publication in ACP would require more than just model performance documentation. Therefore, additional effort is needed to strengthen the scientific significance of this work.

[Response] Thank you very much for your careful review and comments. Following the reviewers' suggestions, we launched new simulations with 39 vertical layers (L39) for both STs and ZAs, as compared to the previous simulations with only 19 vertical layers (L19). We updated the biomass burning emissions to the latest GFEDv4.1 for both CH₄ and CO₂ simulations. For CH₄, we also ran sensitivity test simulations, in which anthropogenic and wetland emissions are prescribed with the latest EDGARv4.3.2 and model outputs from ORCHIDEE. For CO₂, sensitivity test simulations are also performed with daily and 3-hourly biomass burning emissions from GFEDv4.1 (Table R1). Following your suggestions, we have rewritten most part of results, discussions and conclusions in the manuscript accordingly. We also replied to your major and minor comments in the following, and hopefully our responses and revision adequately address all your comments and questions.

GENERAL COMMENTS

The conclusions describe the performance of the two model versions in qualitative, and sometimes rather vague, terms such as 'generally capable', 'moderately improves', 'fairly well', etc. Some key numbers are needed quantifying the performance, and the significance of performance differences. For example, one would expect improved resolution to pay out more on performance metrics addressing short-term variability, or at sites that are more influenced by small scale variability in the sources and sinks. Different temporal scales are addressed separately, but, to improve the scientific significance, the relation between them could be addressed in further detail.

[Response] Following your suggestion, we have rewritten the conclusions and implications. Key numbers are given with respect to the model improvement with finer horizontal resolution. We also claim that the performance of high resolution transport model is more sensitive to errors in meteorological forcings and surface fluxes, especially when short-term variabilities or stations close to source regions are examined. This emphasizes importance of accurate a priori CH₄ surface fluxes in high resolution transport modelling and inverse studies, particularly regarding locations and magnitudes of emission hotspots. Please refer to Section 4 for more details.

The idea to compare CO_2 and CH_4 is interesting, however, it is difficult to compare the model performance between the two. It is like comparing apples and oranges, since the spatiotemporal scales that are influenced by the emissions of these tracers in relation to variations due to transport are so different. It is suggested that the emission uncertainty is more important for CO_2 than for CH_4 , but because of the correlation between flux and transport uncertainties (e.g. the rectifier in case of CO_2) it is not possible to really separate these influences. If without this problem, the question remains what it means for the potential of the inversion to contribute to our understanding of the fluxes. The results suggest that this potential is better for CO_2 , whereas I don't think this can really be objectively quantified just from forward simulations.

[Response] We agree with Reviewer #2 that it's difficult to compare the model performance between CO_2 and CH_4 , and that the correlation between flux and transport uncertainty is not possible to be really separated. In the revised paper we no longer suggest the emission uncertainty is more important for CO_2 than for CH_4 . In fact, the emission uncertainty is important for both gases, yet in different ways. For CH_4 , we highlight importance of uncertainty regarding the magnitudes and distribution of emission hotspots; while with respect to CO_2 , we more focus on uncertainties related to the spatio-temporally varying NEE fluxes. We rephrased the conclusions and implications in Section 4 and removed statements about the potential of inversions to contribute to our understanding of CO_2 or CH_4 fluxes. However, in a few places we kept comparisons between CO_2 and CH_4 at specific stations. For example, in Section 3.2, the strong contrast in model performance between CO_2 and CH_4 seasonal cycles at BKT does suggest inaccurate seasonal variations in the prescribed CO_2 surface fluxes such as NEE.

I see the value of assessing the benefits of improving model resolution. The trouble here, however, is the limiting vertical resolution. The conclusion that this resolution needs to be improved seems quite obvious to me, to the extent that I even wonder why this was not done from the start. It seems a necessary prerequisite for assessing the benefits of improved model resolution.

[Response] Following your suggestion, we launched new simulations with 39 vertical layers (L39) for both STs and ZAs, as compared to the previous simulations with only 19 vertical layers (L19). The detailed model setups for control simulations and sensitivity tests prescribed with different surface fluxes are shown in Table R1. In brief, increasing model vertical resolution does not have as much impact on model performance as increasing the horizontal resolution at any temporal scale, although in several cases the combination of finer

horizontal and vertical resolution tends to further increase the simulated amplitudes of variations (not necessarily improve the model performance). More detailed results and discussions are presented in Section 3.

Why has the vertical profile comparison to CONTRAIL been limited to CO_2 ? It is true that CH_4 was measured only on a small subset of samples, but to include this could nevertheless be important to separate the influence of diurnal variations in emissions and PBL mixing. To me it seems that there is also some unexplored potential comparing diurnal cycle mismatches between CH_4 (PBL mixing controlled) and CO_2 (PBL mixing and flux variation controlled).

[Response] We agree that the model-data comparison of vertical profiles for both CO_2 and CH_4 would be important to separate the influence of diurnal variations in surface fluxes and PBL mixing. The question here is that the vertical profiles from the CONTRAIL project are only limited to CO_2 measurements that are made by on-board continuous measurement equipment (CME). Measurements for CH_4 are also available, but they are only flask samples in the high troposphere and stratosphere. Please refer to Machida et al. (2008) for further information about the project and the dataset.

Since the aim was to prepare for inversions, what are the implications of this study for the inversion setup? I mean, the implications that are mention don't seem to have any practical consequences (except for the need for improved vertical resolution).

[Response] There are three implications for inversion setup, which we have elaborated in Section 4. <u>First</u>, the performance of high resolution transport model is more sensitive to accuracy of the prescribed surface fluxes, especially regarding locations and magnitudes of emission hotspots for CH_4 . Therefore, one should be cautious when choosing an emission map as a priori for inversions. In particular, the unrealistic emission hotspots close to a station (as shown for UUM in Section 3.3.1) should be corrected, otherwise the inverted surface fluxes are likely to be strongly biased.

<u>Second</u>, as current bottom-up estimates of CH_4 sources and sinks still suffer from large uncertainties at fine scales, caution should be taken when one attempts to assimilate observations not realistically simulated by the high resolution transport model. These observations should be either removed from inversions or allocated with large uncertainties.

<u>Third</u>, representation of short-term variabilities is limited by model's ability to simulate boundary layer mixing and mesoscale transport in complex terrains. The recent implementation of new sub-grid physical parameterizations in LMDz is able to significantly improve simulation of the daily maximum during nighttime and thus diurnal cycles of tracer concentrations (Locatelli et al., 2015). To fully take advantage of high-frequency CH_4 or CO_2 observations at stations close to source regions, it is highly recommended to implement the new boundary layer physics in the current transport model, in addition to refinement of model horizontal and vertical resolutions. The current transport model with old planetary boundary physics is not capable to capture diurnal variations at continental or mountain stations, therefore only observations that are well represented should be selected and kept for inversions (e.g. afternoon measurements for continental stations and nighttime measurements for mountain stations).

SPECIFIC COMMENTS

Line 163: How is the OH scaling done? A single scaling factor?

[**Response**] In the revised paper, we relaunch CH₄ simulations with different model versions, using OH fields regridded from outputs of a full chemistry INCA with model grids of $96 \times 95 \times 39$. We don't scale the OH fields as did before to match the simulated global CH₄ growth rate with the observed one, as we are more focusing on the improvement gained from finer model resolutions rather than accurately reproducing the observed CH₄ concentrations and their interannual variations. Furthermore, all the traits and metrics we have considered to evaluate the model performance (i.e., annual mean gradient, seasonal cycle, synoptic variability, diurnal cycle and vertical gradient) give "relative" values that are not affected by the absolute CH₄ concentrations. Therefore the influences of the OH fields on the model improvement are assumed to be very small, and we don't scale them in the current CH₄ simulations. We revised the description of the OH fields accordingly in Section 2.1.1.

Line 194: Given the inter-annual variability of biomass burning, wouldn't it be better to use a climatological mean emission distribution for the extrapolated years?

[**Response**] In the updated simulations, we used GFEDv4.1 for emissions from biomass burning that are available over the whole running period (2000–2013). We revised the description of the prescribed surface fluxes accordingly in Section 2.1.2.

Section 2.2: Differences between calibration scales are mentioned but except for AMY CH_4 it is not clear how these differences have been accounted for.

[**Response**] As we described in Section 2.2, the CH_4 measurements at AMY are reported on the KRISS scale and they are not traceable to the WMO scale. For analyses of the CH_4 annual gradients between stations, we discard AMY because calibrations scales for different stations (i.e. AMY and HLE in this case) should be consistent for the calculation of gradients between them. For the analyses of seasonal cycle, synoptic variability and diurnal cycle, since the calibration scale doesn't significantly impact the results, we keep this station in these analyses.

Line 364: Is this after subtracting longer term components?

[**Response**] Yes. When we evaluated the model performance on CH_4 and CO_2 diurnal cycle, for each station daily means are subtracted from the raw data to remove any influence of

interannual, seasonal or even synoptic variations. We revised Section 2.4.4 in the manuscript to clarify it.

Line 408: Given the short regional transport times it is unclear how errors in OH could play a role.

[**Response**] The main sink of CH₄ is oxidation by OH in the troposphere. Although we agree that the regional transport time is much shorter compared to the CH₄ lifetime, the spatial (both horizontally and vertically) and seasonal distribution of OH can influence the model performance on CH₄ annual gradients between stations and seasonal cycles. Here in the paper, the CH₄ annual gradient between TAP and HLE is significantly overestimated by both STs and ZAs. The overall poor performance at this station suggests the prescribed surface emissions are probably overestimated over the station's footprint area (also shown by overestimation of seasonal amplitude at TAP), yet errors in OH distribution may also play a role – although we are not clear about the magnitude. To address the question we need an inverse system that can optimized the OH fields by assimilating observations of a tracer with well-known fluxes (e.g., methylchloroform), which is beyond the scope of this study.

Line 627: Would the improvements in PBL dynamics that are mentioned work in the right direction?

[Response] Yes. In Locatelli et al. (2015) the authors evaluated the impact of new physical parameterizations recently implemented in LMDz on representation of trace gas transport and chemistry. These development and modification on physical parameterization are to improve simulation of vertical diffusion, mesoscale mixing by thermal plumes in the planetary boundary layer (PBL), and deep convection in the troposphere. Regarding the PBL dynamics, the thermal plume model is developed and combined with Yamada (1983) diffusion scheme to improve representation of the diurnal cycles of thermodynamical and dynamical variables of the boundary layer and of shallow cumulus clouds (Hourdin et al., 2002; Rio et al., 2008). Locatelli et al. (2015) showed that implementing this new PBL physics in LMDz significantly improves representation of the dialy peak values of ²²²Rn concentrations at continental stations compared to the old model version (see Figure 3 in their paper), and the simulated diurnal cycles can agree very well with the observed one at a few tested stations (e.g. Heidelberg, as shown in Figure 4 in their paper). So far we haven't implemented the new PBL physics in our current model simulations, we will explore its potential in representation diurnal cycle of CO₂ and CH₄ in future studies.

Table 1: How about the seasonal variation in anthropogenic CH_4 emissions? (why are they taken into account for CO_2 but not for CH_4 ?). How about the temporal variability of biomass burning? It seems relevant to make use of available information regarding its sub-monthly variability, in particular when assessing the impact of improved resolution is an important goal.

[Response] For the first question, we have considered the seasonal variation in anthropogenic CH_4 emissions from rice cultivation based on Matthews et al. (1991), as described in Section 2.1.2 and Table 1. The seasonal variations for other emission sectors are much smaller compared to those from rice paddies, and monthly sector-specific dataset is currently not available for the whole study period. Therefore we didn't considered seasonal variations in CH_4 emissions from those sectors. We revised Section 2.1.2 to further clarify it.

For the second question, in this study we used monthly biomass burning dataset from the GFEDv4.1 product. We agree that including its sub-monthly variability would be relevant when assessing the impact of increased resolution on model performance, especially for those stations that are potentially influenced by episodic large biomass burning events. Following your suggestion, we launched sensitivity test simulations for CO_2 using daily and 3-hourly biomass burning emissions for the year 2013, and evaluate the model performance on synoptic variation and diurnal cycle at a tropical station located in western Indonesia BKT (100.32°E, 0.20°S, 869m a.s.l.). Results show that simulations prescribed with daily or 3-hourly variability of biomass burning do not always improve representation of CO_2 diurnal cycle at BKT – sometimes could be worse, which again emphasizes uncertainties in prescribed surface fluxes (including uncertainties in temporal variability) as one of major factors that influence the model performance.

TECHNICAL CORRECTIONS

Line 132: 'representthe'

[Response] We corrected it.

Line 612: 'Here', where?

[**Response**] We rewrote the whole section. Please refer to Section 3.4.1.

S4: Why does the legend show blue colors? It would be better to leave this part out given that positive hotspots are in blue also.

[Response] Following your suggestion, we corrected the legend in Figure S4.

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Tables

| Simulation Code | Version | Anthrop. Emis. | Wetland Emis. |
|-----------------|-------------------------------|----------------|---------------|
| ST19_ED42 | 144×142 Standard, 19 layers | EDGAR4.2FT2010 | KAPLAN |
| ZA19_ED42 | 144×142 Asian Zoom, 19 layers | | climatology |
| ST39_ED42 | 144×142 Standard, 39 layers | | |
| ZA39_ED42 | 144×142 Asian Zoom, 39 layers | | |
| ST39_ED432 | 144×142 Standard, 19 layers | EDGAR4.3.2 | |
| ZA39_ED432 | 144×142 Asian Zoom, 19 layers | | |
| ST39_ED432ORC | 144×142 Standard, 39 layers | | ORCHIDEE |
| ZA39_ED432ORC | 144×142 Asian Zoom, 39 layers | | climatology |

 Table R1 Model setups for different simulations.

Simulating CH₄ and CO₂ over South and East Asia using the zoomed chemistry transport model LMDzINCA

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26 Abstract

The increasing availability of atmospheric measurements of greenhouse gases (GHGs) from 27 surface stations can improve the retrieval of their fluxes at higher spatial and temporal 28 29 resolutions by inversions, provided that transport models are able to properly represent the 30 variability of concentrations observed at different stations. South and East Asia (SEA) is a 31 region with large and very uncertain emissions of carbon dioxide (CO₂) and methane (CH₄), 32 the most potent anthropogenic GHGs. Monitoring networks have expanded greatly during the 33 past decade in this region, which should contribute to reducing uncertainties in estimates of 34 regional GHG budgets. In this study, we simulate concentrations of CH₄ and CO₂ using a 35 zoomed version (abbreviated as 'ZAs') of the global chemistry transport model LMDzINCA, which has fine horizontal resolutions of $\sim 0.66^{\circ}$ in longitude and $\sim 0.51^{\circ}$ in latitude over SEA 36 37 and coarser resolutions elsewhere. The concentrations of CH_4 and CO_2 simulated from ZAs are compared to those from the same model but with standard model grids of 2.50° in 38 longitude and 1.27° in latitude (abbreviated as 'STs'), both prescribed with the same natural 39 40 and anthropogenic fluxes. Model performance is evaluated for each model version at multi-41 annual, seasonal, synoptic and diurnal scales, against a unique observation dataset including 39 global and regional stations over SEA and around the world. Results show that ZAs 42 43 improve the overall representation of CH₄ annual gradients between stations in SEA, with 44 reduction of RMSE by 16–20% compared to STs. The model improvement mainly results 45 from reduction in representation error at finer horizontal resolutions and thus better 46 characterization of the CH₄ concentration gradients related to scatterly distributed emission 47 sources. However, the performance of ZAs at a specific station as compared to STs is more sensitive to errors in meteorological forcings and surface fluxes, especially when short-term 48 49 variabilities or stations close to source regions are examined. This emphasizes importance of 50 accurate a priori CH₄ surface fluxes in high resolution transport modelling and inverse studies, particularly regarding locations and magnitudes of emission hotspots. Model performance for 51 52 CO_2 suggests that the CO_2 surface fluxes have not been prescribed with sufficient accuracy 53 and resolution, especially the spatio-temporally varying carbon exchange between land surface and atmosphere. Besides, representation of the CH₄ and CO₂ short-term variabilities 54 55 is also limited by model's ability to simulate boundary layer mixing and mesoscale transport in complex terrains, emphasizing the need to improve sub-grid physical parameterizations in 56 57 addition to refinement of model resolutions.

58 **1 Introduction**

Despite attrition in the global network of greenhouse gas (GHG) monitoring stations 59 60 (Houweling et al., 2012), new surface stations have been installed since the late 2000s in the 61 northern industrialized continents such as Europe (e.g., Aalto et al., 2007; Biraud et al., 2000; 62 Haszpra, 1995; Levin et al., 1995; Lopez et al., 2015; Popa et al., 2010), North America (e.g., Bakwin et al., 1998; Dlugokencky et al., 1995; Miles et al., 2012), and Northeast Asia (e.g., 63 64 Fang et al., 2014; Sasakawa et al., 2010; Wada et al., 2011; Winderlich et al., 2010). In particular, the number of continuous monitoring stations over land has increased (e.g., Aalto 65 et al., 2007; Bakwin et al., 1998; Lopez et al., 2015; Winderlich et al., 2010) given that more 66 stable and precise instruments are available (e.g., Yver Kwok et al., 2015). These 67 observations can be assimilated in inversion frameworks that combine them with a chemistry 68 69 transport model and prior knowledge of fluxes to optimize GHG sources and sinks (Berchet et al., 2015; Bergamaschi et al., 2010, 2015, Bousquet et al., 2000, 2006; Bruhwiler et al., 70 71 2014; Gurney et al., 2002; Peters et al., 2010; Rödenbeck et al., 2003). Given the increasing 72 observation availability, GHG budgets are expected to be retrieved at finer spatial and 73 temporal resolutions by atmospheric inversions if the atmospheric GHG variability can be 74 properly modeled at theses scales. A first step of any source optimization is to evaluate the 75 ability of chemistry transport models to represent the variabilities of GHG concentrations, as 76 transport errors are recognized as one of the main uncertainties in atmospheric inversions 77 (Locatelli et al., 2013).

Many studies have investigated regional and local variations of atmospheric GHG 78 79 concentrations using atmospheric chemistry transport models, with spatial resolutions ranging 100-300 km for global models (e.g., Chen and Prinn, 2005; Feng et al., 2011; Law et al., 80 1996; Patra et al., 2009a, 2009b) and 10-100 km for regional models (e.g., Aalto et al., 2006; 81 82 Chevillard et al., 2002; Geels et al., 2004; Wang et al., 2007). Model intercomparison 83 experiments showed that the atmospheric transport models with higher horizontal resolutions 84 are more capable of capturing the observed short-term variability at continental sites (Geels et 85 al., 2007; Law et al., 2008; Maksyutov et al., 2008; Patra et al., 2008; Saeki et al., 2013), due 86 to reduction of representation errors (point measured versus gridbox-averaged modeled 87 concentrations), improved model transport, and more detailed description of surface fluxes 88 and topography (Patra et al., 2008). However, a higher horizontal model resolution also

demands high-quality meteorological forcings and prescribed surface fluxes as boundary
conditions (Locatelli et al., 2015a).

91 Two main approaches have been deployed, in an Eulerian modeling context, to address the 92 need for high-resolution transport modeling of long-lived GHGs. The first approach is to 93 define a high-resolution grid mesh in a limited spatial domain of interest, and to nest it within 94 a global model with varying degrees of sophistication to get boundary conditions for the 95 GHGs advected inside/outside the regional domain (Bergamaschi et al., 2005, 2010; Krol et 96 al., 2005; Peters et al., 2004). The second approach is to stretch the grid of a global model 97 over a specific region (the so-called 'zooming') while maintaining all parameterizations 98 consistent (Hourdin et al., 2006). For the former approach, several nested high-resolution 99 zooms can be embedded into the same model (Krol et al., 2005) to focus on different regions. 100 The 'zooming' approach has the advantage to avoid the nesting problems (e.g., tracers 101 discontinuity, transport parameterization inconsistency) at the boundaries between a global 102 and a regional model. In this study, we use the zooming capability of the LMDz model 103 (Hourdin et al., 2006).

104 South and East Asia (hereafter 'SEA') has been the largest anthropogenic GHG emitting 105 region since the mid 2000s due to its rapid socioeconomic development (Boden et al., 2015; 106 Olivier et al., 2015; Le Quéré et al., 2015; Tian et al., 2016). Compared to Europe and North 107 America where sources and sinks of GHGs are partly constrained by atmospheric 108 observational networks, the quantification of regional GHG fluxes over SEA from 109 atmospheric inversions remains uncertain because of the low density of surface observations 110 (e.g., Patra et al., 2013; Swathi et al., 2013; Thompson et al., 2014, 2016). During the past 111 decade, a number of new surface stations have been deployed (e.g., Fang et al., 2016, 2014; 112 Ganesan et al., 2013; Lin et al., 2015; Tiwari and Kumar, 2012), which have the potential to 113 provide new and useful constraints on estimates of GHG fluxes in this region. However, modeling GHG concentrations at these stations is challenging since they are often located in 114 115 complex terrains (e.g. coasts or mountains) or close to large local sources of multiple origins. 116 To fully take advantage of the new surface observations in SEA, forward modeling studies 117 based on high-resolution transport models are needed to evaluate the ability of the inversion 118 framework to assimilate such new observations.

119 In this study, we apply the chemistry transport model LMDzINCA (Folberth et al., 2006; 120 Hauglustaine et al., 2004; Hourdin et al., 2006; Szopa et al., 2013) zoomed down to a 121 horizontal resolution of ~50km over SEA to simulate the variations of CH₄ and CO₂ during 122 the period 2006–2013. The model performance is evaluated against observations from 39 123 global and regional stations inside and outside the zoomed region. The variability of the 124 observed or simulated concentrations at each station is decomposed for evaluation at different 125 temporal scales, namely: the annual mean gradients between stations, the seasonal cycle, the synoptic variability and the diurnal cycle. For comparison, a non-zoomed standard version of 126 127 the same transport model is also run with the same set of surface fluxes and the same vertical 128 pressure levels, in order to estimate the improvement brought by the zoomed configuration. 129 The detailed description of the observations and the chemistry transport model is presented in 130 Section 2, together with the prescribed CH₄ and CO₂ surface fluxes that force the simulations, as well as the metrics used to quantify the model performance. An evaluation of the 131 132 simulations performed is presented and discussed in Section 3, showing capabilities of the 133 transport model to represent the annual gradients between stations, and the seasonal, synoptic, 134 and diurnal variations. Conclusions and implications drawn from this study are given in 135 Section 4.

136 **2 Data and Methods**

137 **2.1 Model description**

138 2.1.1 LMDzINCA

139 The LMDzINCA model couples a general circulation model developed at the Laboratoire de 140 Météorologie Dynamique (LMD; Hourdin et al., 2006), and a global chemistry and aerosol 141 model INteractions between Chemistry and Aerosols (INCA; Folberth et al., 2006; 142 Hauglustaine et al., 2004). A more recent description of LMDzINCA is presented in Szopa et al. (2013). To simulate CH₄ and CO₂ concentrations, we run a standard version of the model 143 with a horizontal resolution of 2.5° (i.e., 144 model grids) in longitude and 1.27° (i.e., 142 144 145 model grids) in latitude (hereafter this version is abbreviated as 'STs') and a zoomed version with the same number of grid boxes, but a resolution of $\sim 0.66^{\circ}$ in longitude and $\sim 0.51^{\circ}$ in 146 147 latitude in a region of 50-130°E and 0-55°N centered over India and China (hereafter this 148 version is abbreviated as 'ZAs') (Figure 1; see also Wang et al., 2014, 2016). It means that, in 149 terms of the surface area, a gridcell from STs roughly contains 9 grid-cells from ZAs within the zoomed region. Both model versions are run with 19 and 39 sigma-pressure layers, thus 150 rendering four combinations of horizontal and vertical resolutions (i.e., ST19, ZA19, ST39, 151 152 ZA39). Vertical diffusion and deep convection are parameterized following the schemes of 153 Louis (1979) and Tiedtke (1989), respectively. The simulated horizontal wind vectors (u and 154 v) are nudged towards the 6-hourly European Center for Medium Range Weather Forecast 155 (ECMWF) reanalysis dataset (ERA-I) in order to simulate the observed large scale advection 156 (Hourdin and Issartel, 2000).

157 The atmospheric concentrations of hydroxyl radicals (OH), the main sink of atmospheric CH₄, are produced from a simulation at a horizontal resolution of 3.75° in longitude (i.e., 96 model 158 grids) and 1.9° in latitude (i.e., 95 model grids) with the full INCA tropospheric 159 photochemistry scheme (Folberth et al., 2006; Hauglustaine et al., 2004, 2014). The OH 160 161 fields are climatological monthly data, and are regridded to the standard and zoomed model 162 grids, respectively. It should be noted that the spatiotemporal distributions of the OH concentrations have large uncertainties and vary greatly among different chemical transport 163 164 models, therefore the choice of the OH fields may affect the evaluation for CH₄ (especially in terms of the annual gradients between stations and the seasonal cycles). In this study, as we 165 166 focus more on the improvement of performance gained from refinement of the model 167 resolution rather than model-observation misfits and model bias in CH₄ growth rates, the 168 influences of OH variations on model improvement are assumed to be very small given that 169 the OH fields for both ZAs and STs are regridded from a lower model resolution and thus 170 don't show much difference between the two model versions.

171 The CH₄ and CO₂ concentrations are simulated over the period 2000–2013 with both STs and 172 ZAs. The first six years (2000–2005) of the simulations are considered as model spin-up, 173 thus we only compared the simulated CH₄ and CO₂ concentrations with observations during 174 2006–2013. The initial CH₄ concentration field is defined based on the optimized initial state 175 from a CH₄ inversion that assimilates observations from 50+ global background stations over the period 2006–2012 (Locatelli, 2014; Locatelli et al., 2015c). The optimized initial CH₄ 176 177 concentration field for the year 2006 is rescaled to the levels of the year 2000 and used as the initial state in our simulations.. The time step of model outputs is hourly. 178

179 2.1.2 Prescribed CH₄ and CO₂ surface fluxes

180 The prescribed CH₄ and CO₂ surface fluxes used as model inputs are presented in Table 1. 181 We simulate the CH_4 concentration fields using a combination of the following datasets: (1) 182 the interannually varing anthropogenic emissions obtained from the Emission Database for 183 Global Atmospheric Research (EDGAR) v4.2 FT2010 product (http://edgar.jrc.ec.europa.eu), 184 including emissions from rice cultivation with the seasonal variations based on Matthews et 185 al. (1991) imposed to the original yearly data; (2) climatogical wetland emissions based on 186 the scheme developed by Kaplan et al. (2006); (3) interannually and seasonally varying 187 biomass burning emissions from Global Fire Emissions Database (GFED) v4.1 product 188 (Randerson et al., 2012; Van Der Werf et al., 2017; http://www.globalfiredata.org/), (4) climatological termite emissions (Sanderson, 1996), (5) climatological ocean emissions 189 190 (Lambert and Schmidt, 1993), and (6) climatological soil uptake (Ridgwell et al., 1999). Note 191 that for anthropogenic emissions from sectors other than rice cultivation, the seasonal 192 variations are much smaller, and monthly sector-specific dataset is currently not available for 193 the whole study period. Therefore we do not consider seasonal variations in CH₄ emissions 194 from those sectors. Based on these emission fields, the global CH₄ emissions in 2010 are 550 195 TgCH₄/yr, and 194 TgCH₄/yr over the zoomed region. For the years over which CH₄ 196 anthropogenic emissions (namely, the years 2011–2013) were not available from the data 197 sources when the simulations were performed, we use emissions for the year 2010.

198 The prescribed CO_2 fluxes used to simulate the concentration fields are based on the 199 following datasets: (1) three variants (hourly, daily, and monthly means) of interannually 200 varying fossil fuel emissions produced by the Institut für Energiewirtschaft und Rationelle 201 Energieanwendung (IER), Universität Stuttgart on the basis of EDGARv4.2 product 202 (hereafter IER-EDGAR, http://carbones.ier.uni-stuttgart.de/wms/index.html) (Pregger et al., 203 2007); (2) interannually and seasonally varying biomass burning emission from GFEDv4.1 204 (Randerson et al., 2012; Van Der Werf et al., 2017; http://www.globalfiredata.org/); (3) 205 interannually and hourly varying terrestrial biospheric fluxes produced from outputs of the 206 Organizing Carbon and Hyrology in Dynamic EcosystEm (ORCHIDEE) model; and (4) 207 interannually and seasonally varying air-sea CO₂ gas exchange maps developed by NOAA's 208 Pacific Marine Environmental Laboratory (PMEL) and Atlantic Oceanographic and 209 Meteorological Laboratory (AOML) groups (Park et al., 2010). Here ORCHIDEE runs with 210 available the trunk version r1882 (source code at 211 https://forge.ipsl.jussieu.fr/orchidee/browser/trunk#ORCHIDEE with the revision number of r1882), using the same simulation protocol as the SG3 simulation in MsTMIP project 212 213 (Huntzinger et al., 2013). The climate forcing data are obtained from CRUNCEP v5.3.2, 214 while the yearly land use maps, soil map and other forcing data (e.g., monthly CO₂ 215 concentrations) are as described in Wei et al. (2014). The sum of global net CO₂ surface 216 fluxes in 2010 are 6.9 PgC/yr, and 3.9 PgC/yr over the zoomed region. For the CO₂ fossil fuel 217 emissions, the IER-EDGAR product is only available until 2009. To generate the emission 218 maps for the years 2010–2013, we scaled the emission spatial distribution in 2009 using the 219 global totals for these years based on the EDGARv4.2FT2010 datasets. The detailed 220 information for each surface flux is listed in Table 1.

221 **2.2 Atmospheric CH₄ and CO₂ observations**

222 The simulated CH_4 and CO_2 concentrations are evaluated against observations from 20 flask 223 and 13 continuous surface stations within and around the zoomed region (Figure 1), operated 224 by different programs and organizations (Table 2). The stations where flask observations are 225 published (12 stations) mainly belong to the cooperative program organized by the NOAA 226 Earth System Research Laboratory (NOAA/ESRL, available at ftp://aftp.cmdl.noaa.gov/data/trace_gases/). We also use flask obervations from stations 227 228 operated by China Meterological Administration (CMA, China) (the JIN, LIN and LON 229 stations, see also Fang et al., 2014), Commonwealth Scientific and Research Organization 230 (CSIRO, Australia) (the CRI station, Bhattacharya et al., 2009, available at 231 http://ds.data.jma.go.jp/gmd/wdcgg/), Indian Institute of Tropical Meteorology (IITM, India) 232 (the SNG station, see also Tiwari et al., 2014), and stations from the Indo-French cooperative 233 research program (the HLE, PON and PBL stations, Lin et al., 2015; Swathi et al., 2013). All 234 the CH₄ (CO₂) flask measurements are reported on or linked to the NOAA2004 235 (WMOX2007) calibration scale, which guarantees comparability between stations in terms of 236 annual means.

The continuous CH_4 and CO_2 measurements are obtained from 13 stations operated by Korea Meteorological Administration (KMA, Korea) (the AMY<u>and</u> GSN stations), Aichi Air Environment Division (AAED, Japan) (the MKW station), Japan Meteorological Agency (JMA) (the MNM, RYO and YON stations), National Institute for Environmental Studies (NIES, Japan) (the COI and HAT stations), Agency for Meteorology, Climatology and 242 Geophysics (BMKG, Indonesia) and Swiss Federal Laboratoires for Materials Testing and 243 Research (Empa, Switzerland) (the BKT station). These datasets are available from the World 244 Data Center for Greenhouse Gases (WDCGG, http://ds.data.jma.go.jp/gmd/wdcgg/). Besides, 245 continuous CH₄ and CO₂ measurements are also available from HLE and PON that have been 246 maintained by the Indo-French cooperative research program between LSCE in France and 247 IIA and CSIR4PI in India (Table 2). All the continuous CH₄ (CO₂) measurements used in this 248 study are reported on or traceable to the NOAA2004 (WMOX2007) scale except AMY, COI 249 and HAT. The CO₂ continuous measurements at COI are reported on the NIES95 scale, 250 which is 0.10 to 0.14 ppm lower than WMO in a range between 355 and 385 ppm (Machida 251 et al., 2009). The CH₄ continuous measurements at COI and HAT are reported on the NIES 252 scale, with a conversion factor to WMO scale of 0.9973 (JMA and WMO, 2014). For AMY, 253 the CH₄ measurements over most of the study period are reported on the KRISS scale but 254 they are not traceable to the WMO scale (JMA and WMO, 2014); therefore, we discarded 255 this station from the subsequent analyses of the CH₄ annual gradients between stations. Note that most of the stations where continuous observations are available are located on the east 256 257 part of the zoomed region, with the exception of HLE, PON and BKT. The stations used in this study span a large range of geographic locations (marine, coastal, mountain or 258 259 continental) with polluted and non-polluted environments. Both flask and continuous 260 measurements are used to evaluate the model's ability in representing the annual gradient 261 between stations, the seasonal cycle and the synoptic variability for CH₄ and CO₂. The 262 continuous measurements are also used to analyze the diurnal cycle for these two gases.

To evaluate the model performance with regards to vertical transport, we also use 263 observations of the CO₂ vertical profiles from passenger aircraft from the Comprehensive 264 Observation Network for TRace gases by AIrLiner (CONTRAIL) project (Machida et al., 265 266 2008, http://www.cger.nies.go.jp/contrail/index.html). This dataset provides high-frequency CO₂ measurements made by on-board continuous CO₂ measuring equipments (CMEs) during 267 268 commercial airflights between Japan and other Asian countries. The CONTRAIL data are 269 reported on the NIES95 scale, which is 0.10 to 0.14 ppm lower than WMO in a range 270 between 355 and 385 ppm (Machida et al., 2009). In this study, we select from the 271 CONTRAIL dataset all the CO₂ vertical profiles over SEA during the ascending and 272 descending flights for the period 2006-2011, which provided 1808 vertical profiles over a 273 total of 32 airports (Figure S1 and S2).

274 **2.3 Sampling methods and data processing**

275 The model outputs are sampled at the nearest gridpoint and vertical level to each station for 276 both <u>STs</u> and ZAs. For flask stations, the model outputs are extracted at the exact hour when 277 each flask sample was taken. For continuous stations below 1000 m.a.s.l., since both STs and 278 ZAs cannot reproduce accurately the nighttime CH₄ and CO₂ accumulation near the ground 279 as in most transport models (Geels et al., 2007), only afternoon (12:00-15:00 LST) data are 280 retained for further analyses of the annual gradients, the seasonal cycle and the synoptic 281 variability. For continuous stations above 1000 m.a.s.l. (only HLE in this study), nighttime 282 (00:00-3:00 LST) data are retained, to avoid sampling local air masses advected by upslope 283 winds from nearby valleys. During daytime, the local valley ascendances and the complex 284 terrain mesoscale circulations cannot be captured by a global transport model.

285 The curve-fitting routine (CCGvu) developed by NOAA Climate Monitoring and Diagnostic 286 Laboratory (NOAA/CMDL) is applied to the modelled and observed CH₄ and CO₂ time series to extract the annual means, monthly smoothed seasonal cycles and synoptic variations 287 288 (Thoning et al., 1989). For each station, a smoothed function is fitted to the observed or 289 modelled time series, which consists of a first-order polynomial for the growth rate, two 290 harmonics for the annual cycle (Levin et al., 2002; Ramonet et al., 2002), and a low-pass 291 filter with 80 and 667 days as short-term and long-term cutoff values, respectively (Bakwin et 292 al., 1998). The annual means and the mean seasonal cycle are calculated from the smoothed 293 curve and harmonics, while the synoptic variations are defined as the residuals between the 294 original data and the smoothed fitting curve. Note that we have excluded the observations 295 lying beyond three standard deviations of the residuals around the fitting curve, which are 296 likely to be outliers that are influenced by local fluxes. More detailed descriptions about the 297 curve-fitting procedures and the set-up of parameters can be found in Section 2.3 of Lin et al. 298 (2015).

For the CO₂ vertical profiles from the CONTRAIL passenger aircraft programme, since CO₂ data have been continuously taken every 10 seconds by the onboard CMEs, we average the observed and corresponding simulated CO₂ time series into altitude bins of 1km from the surface to the upper troposphere. We also divide the whole study area into four major subregions for which we group all available CONTRAIL CO₂ profiles (Figure S1), namely East Asia (EAS), the Indian sub-continent (IND), Northern Southeast Asia (NSA) and 305 Southern Southeast Asia (SSA). Given that there are model-observation discrepancies in CO_2 306 growth rates as well as misfits of absolute CO₂ concentrations, the observed and simulated 307 CONTRAIL time series have been detrended before comparisons of the vertical gradients. To 308 this end, over each subregion, we detrend for each altitude bin the observed and simulated 309 CO_2 time series, by applying the respective linear trend fit to the observed and simulated CO_2 310 time series of the altitude bin 3-4 km. This altitude bin is thus chosen as reference due to 311 greater data availability compared to other altitudes, and because this level is outside the 312 boundary layer where aircraft CO₂ data are more variable and influenced by local sources 313 (e.g. airports and nearby cities). The detrended CO_2 (denoted as ΔCO_2) referenced to the 3-4 314 km altitude are seasonally averaged for each altitude bin and each subregion, and the 315 resulting vertical profiles of ΔCO_2 are compared between simulations and observations.

316 **2.4 Metrics**

In order to evaluate the model performance to represent observations at different time scales (annual, seasonal, synoptic, diurnal), following Cadule et al. (2010), we define a series of metrics and corresponding statistics for each time scale. All the metrics, defined below, are calculated for both observed and simulated CH_4 (CO₂) time series between 2006 and 2013.

321 2.4.1 Annual gradients between stations

322 As inversions use gradients to optimize surface fluxes, it is important to have a metric based 323 upon cross-site gradients. We take Hanle in India (HLE - 78.96°N, 32.78°E, 4517 m a.s.l., 324 Figure 1, Table 2) as a reference and calculate the mean annual gradients by subtracting CH₄ 325 (CO₂) at HLE from those of other stations. HLE is a remote station in the free troposphere 326 within SEA and is located far from any important source/sink areas for both CH_4 and CO_2 . 327 These characteristics make HLE an appropriate reference to calculate the gradients between 328 stations. Concentration gradients to HLE are calculated for both observations and model 329 simulations using the corresponding smoothed curves fitted with the CCGvu routine (see 330 Section 2.3). The ability of ZAs and <u>STs</u> to represent the observed CH_4 (CO₂) annual gradients across all the available stations is quantified by the mean bias (MB, Eq. 1) and the 331 332 root-mean-square deviation (RMSE, Eq. 2). In Eq. 1 and Eq. 2, m_i and o_i indicate respectively the modelled and observed CH₄ (CO₂) mean annual gradient relative to HLE for 333 334 a station *i*.

335
$$MB = \frac{\sum_{i=1}^{N} (m_i - o_i)}{N}$$
(1)

336
$$RMSE = \sqrt{\frac{\sum_{i=1}^{N} (m_i - o_i)^2}{N}}$$
(2)

337 2.4.2 Seasonal cycle

Two metrics of the model ability to reproduce the observed CH₄ (CO₂) seasonal cycle are considered, the phase and the amplitude. For each station, the seasonal phase is evaluated by the Pearson correlation between the observed and simulated harmonics extracted from the original time series, whereas the seasonal cycle amplitude is evaluated by the ratio of the modelled to the observed seasonal peak-to-peak amplitudes based on the harmonics $({}^{Am}/{}_{A_0})$.

343 2.4.3 Synoptic variability

For each station, the performance of ZAs and STs to represent the phase (timing) of the 344 345 synoptic variability is evaluated by the Pearson correlation coefficient between the modelled 346 and observed synoptic deviations (residuals) around the corresponding smoothed fitting curve 347 (see Section 2.3), whereas the performance for the amplitude of the synoptic variability is 348 quantified by the ratio of standard deviations of the residual concentration variability between 349 the model and observations (i.e., Normalized Standard Deviation, NSD, Eq. 3). Further, the 350 overall ability of a model to represent the synoptic variability of CH₄ (CO₂) at a station is 351 quantified by the RMSE (Eq. 4), a metric that can be represented with the Pearson correlation 352 and the NSD in a Taylor diagram (Taylor, 2001). In Eq. 3 and Eq. 4, m_i (o_i) indicates the 353 modelled (observed) synoptic event *j*, whereas \overline{m} (\overline{o}) indicates the arithmetic mean of all the 354 modelled (observed) synoptic events over the study period. Note that for the flask 355 measurements, *j* corresponds to the time when a flask sample was taken, whereas for the 356 continuous measurements, *j* corresponds to the early morning (00:00-03:00LST, for 357 mountain stations) or afternoon (12:00-15:00LST, for coastal or island stations) period of 358 each sampling day.

359
$$NSD = \frac{\sqrt{\frac{\sum_{j=1}^{N} (m_j - \overline{m})^2}{N}}}{\sqrt{\frac{\sum_{j=1}^{N} (o_j - \overline{o})^2}{N}}}$$
(3)

360
$$RMSE = \sqrt{\frac{\sum_{j=1}^{N} (m_j - o_j)^2}{N}}$$
 (4)

361 2.4.4 Diurnal cycle

For each station, the model's ability to reproduce the mean CH₄ (CO₂) diurnal cycle phase in a month is evaluated by the correlation of the hourly mean composite modelled and observed values, whereas model performance on the diurnal cycle amplitude is evaluated by the ratio of the modelled to the observed peak-to-peak amplitudes $({}^{A_m}/{}_{A_o})$. For each station, daily means are subtracted from the raw data to remove any influence of interannual, seasonal or even synoptic variations.

368 **3 Results and discussions**

369 **3.1 Annual gradients**

370 3.1.1 CH₄ annual gradients

371 The annual mean gradient between a station and the HLE reference station relates to the time integral of transport of sources/sinks within the regional footprint area of the station on top of 372 373 the background gradient caused by remote sources. For CH₄, Figure 2a,b shows the 374 scatterplot of the simulated and observed mean annual gradients to HLE for all stations. In 375 general, all the four model versions capture the observed CH₄ gradients with reference to HLE, and the simulated gradients roughly distribute around the identity line (Figure 2a,b). 376 377 Compared to stardard versions (STs), the zoom versions (ZAs) better represent the CH₄ 378 gradients for stations within the zoomed region (closed circles in Figure 2a,b), with RMSE 379 decreasing by 20% and 16% for 19- and 39-layer models (Figure 2a,b and Table S1a). Note that increasing vertical resolution does not much impact the overall model performance, but 380 381 the combination with the zoomed grid (i.e. ZA39) may inflate the model-observation misfits 382 at a few stations with strong sources nearby (e.g. TAP and UUM in Table S2a). The better

performance of <u>ZAs</u> within <u>the zoomed region</u> is also found for <u>different</u> seasons (Figure S3).
Outside the zoomed region (open circles in Figure 2a,b), the performance of ZAs does not
<u>significantly deteriorate</u> despite <u>the coarser</u> resolution.

386 When looking into the model performance for different station types, ZAs generally better 387 capture the gradients at coastal and continental stations within the zoomed region, given the 388 substantial reduction of RMSE compared to STs (Table S1). For example, significant model improvement is found at Shangdianzi (SDZ - 117.12°E, 40.65°N, 293m a.s.l.) and 389 390 Pondicherry (PON – 79.86°E, 12.01°N, 30m a.s.l.) (Figure 2a,b), each having an average bias 391 reduction of 28.1 (73.0%) and 30.3 (94.7%) ppb respectively compared to STs for the 39-392 layer model (Table S2). This improvement mainly results from reduction in representation 393 error with higher model horizontal resolutions in the zoomed region, through better 394 description of surface fluxes and/or transport around the stations. Particularly, given the 395 presence of large CH₄ emission hotspots within the zoomed region (Figure S4), ZAs makes 396 the simulated CH₄ fields more heterogeneous around emission hotspots (e.g., North China in 397 Figure S5), having the potential to better represent stations nearby on an annual basis if the 398 surface fluxes are prescribed with sufficient accuracy (see Figure S6 for SDZ).

399 However, finer resolutions may enhance model-data misfits due to inaccurate meteorological forcings and/or surface flux maps. For example, for the coastal station Tae-ahn Peninsula 400 401 (TAP – 126.13°E, 36.73°N, 21m a.s.l.) with significant emission sources nearby (Figure S6), both ZAs and STs overestimate the observed CH₄ gradients by $\geq +15$ ppb, and ZA39 perform 402 403 even worse than other versions (Table S2). The poor model performance at TAP suggests that 404 the prescribed emission sources are probably overestimated within the station's footprint area 405 (also see the marine station GSN, Figure S6), and higher model resolutions (whether in 406 horizontal or in vertical) tend to inflate the model-observation misfits in this case. Besides, as 407 stated in several previous studies (Geels et al., 2007; Law et al., 2008; Patra et al., 2008), for 408 a station located in a complex terrain (e.g. coastal or mountain sites), the selection of an 409 appropriate gridpoint and/or model level to represent an observation is challenging. In this 410 study we sample the gridpoint and model level nearest to the location of the station, which 411 may not be the best representation of data sampling selection strategy (e.g. marine sector at 412 coastal stations or strong winds) and could contribute to the model-observation misfits.

413 3.1.2 CO₂ annual gradients

Both ZAs and STs can generally capture the CO₂ annual gradients between stations, although
not as well as for CH₄ (Figure 2c,d). In contrast with CH₄, ZAs does not significantly
improve representation of CO₂ gradients for stations within the zoomed region, with the mean
bias and RMSE close to those of STs (Table S1b). At a few stations (e.g., TAP), ZAs even
degrade model performance (Figure S8, Table S2b), possibly related to misrepresentation of
CO₂ sources in the prescribed surface fluxes and transport effects. Again increasing model
vertical resolution does not much impact the overall model performance.

421 With finer horizontal resolution, the model improvement to represent the annual gradients is 422 more apparent for CH₄ than for CO₂. One of the reasons may point towards the quality of CO₂ surface fluxes, especially natural ones. They are spatially more diffuse than those of CH₄, 423 424 and temporally more variable in response to weather changes (Parazoo et al., 2008; Wang et 425 al., 2007). Therefore, the regional variations of net ecosystem exchange (NEE) not captured 426 by the terrestrial ecosystem model (e.g. ORCHIDEE in this paper) may explain the worse 427 model performance on the CO₂ annual gradients compared to CH₄, and less apparent model 428 improvement. Further, the spatial resolution of the prescribed surface flux may also account 429 for the difference in model improvement between CO₂ and CH₄ (e.g. the spatial resolution of 430 anthropogenic emissions is 1° for CO₂ and 0.1° for CH₄). Therefore, with <u>current</u> setup of 431 surface fluxes (Table 1), ZAs is more likely to resolve the spatial heterogeneity of CH₄ fields, 432 and its improvement over STs is more apparent than that for CO_2 .

433 **3.2 Seasonal cycles**

434 $3.2.1 \text{ CH}_4$ seasonal cycles

435 The model performance for the seasonal cycle depends on quality of seasonal surface fluxes, 436 atmospheric transport, and chemistry (for CH₄ only). For CH₄, both ZAs and STs very well 437 capture the seasonal phases at most stations within the zoomed region (Figure 3a), and model 438 resolutions (in both horizontal and vertical) do not significantly impact the simulated timing 439 of seasonal maximum and minimum. The seasonal phases at Plateau Assy (KZM - 77.87°E, 440 43.25°N, 2524m a.s.l.), Waliguan (WLG – 100.90°E, 36.28°N, 3890m a.s.l.) and Ulaan Uul (UUM - 111.10°E, 44.45°N, 1012m a.s.l.) are not well represented, probably related to 441 442 unresolved seasonally varying sources around these stations. The sensitivity test simulations prescribed with wetland emissions from ORCHIDEE outputs show much better modelobservation agreement in seasonal phases (Figure S9). For stations ouside the zoomed region,
the performance of ZAs is not degraded despite the coarser horizontal resolutions (Figure S10).

447 With respect to the seasonal amplitude, the performance of STs and ZAs shows significant difference at stations influenced by large emission sources. For example, the seasonal 448 amplitudes of AMY and TAP are strongly overestimated by STs ($A_m/A_a = 2.99$ and 449 $A_m/A_a = 5.11$ for the 39-layer model; Figure 3a), while ZAs substantially decrease the 450 simulated amplitudes at these two stations with improved model-observation agreement 451 $({}^{A_m}/_{A_o} = 2.24 \text{ and } {}^{A_m}/_{A_o} = 2.80 \text{ for the 39-layer model; Figure 3a). However, at SDZ the$ 452 seasonal amplitude is even more exaggerated by ZAs, especially when higher vertical 453 resolution is applied $({}^{A_m}/{}_{A_o} \equiv 1.70 \text{ and } {}^{A_m}/{}_{A_o} \equiv 2.03 \text{ for ST39 and ZA39; Figure 3a)}$. The two 454 455 contrasting cases suggest that increasing horizontal resolution does not necessarily better 456 represent CH₄ seasonal cycle, and model improvement/degradation depends on other factors such as accuracy of the temporal and spatial variations of prescribed fluxes, OH fields and 457 meteorological forcings. Besides, as it is found for annual CH₄ gradients, we note that the 458 459 simulated seasonal amplitudes at stations in East Asia (AMY, TAP, GSN and SDZ) are 460 consistently higher than the observed ones (Figure 3a), implying that the prescribed CH₄ 461 emissions are probably overestimated in this region.

462 3.2.2 CO₂ seasonal cycles

The CO₂ seasonal cycle mainly represents the seasonal cycle of NEE from ORCHIDEE 463 convoluted with atmospheric transport. Figure 3b illustrates that both ZAs and STs well 464 465 capture the CO₂ seasonal phases at most stations, and a high correlation (Pearson correlation 466 R>0.8) between the simulated and observed CO₂ harmonics is found for <u>14</u> out of <u>20</u> stations 467 within the zoomed region. However, the simulated onset of CO₂ uptake in spring or timing of the seasonal minima tend to be earlier than observations. This shift in phase can be as large 468 469 as >1 month for several stations (e.g. HLE, JIN and PON in Figure 3b), yet cannot be reduced by solely refining model resolutions. At BKT in western Indonesia, the shape of the CO2 470

471 seasonality is not well captured (R=0.27 and R=0.30 for ST39 and ZA39; Figure 3b). Given 472 that representation of the CH₄ seasonal phase at BKT is very good (R=0.97 for ST39 and 473 ZA39; Figure 3a), the unsatisfactory model performance for CO₂ suggests inaccurate 474 seasonal variations in the prescribed surface fluxes such as NEE and/or fire emissions. As for 475 CH₄, the performance of ZAs is not degraded outside the zoomed region despite the coarser 476 horizontal resolutions (Figure S11).

- 477 With respect to the CO_2 seasonal amplitude, 10 out of 20 stations within the zoomed region are underestimated by more than 20%, most of which are mountain and continental stations 478 479 (Figure 3b). The underestimation of CO₂ seasonal amplitudes at these stations is probably due 480 to the underestimated carbon uptake in northern mid-latitudes by ORCHIDEE, which is the 481 case for most land surface models currently available (Peng et al., 2015). Another reason may 482 be related to the misrepresentation of CO₂ seasonal rectifier effect (Denning et al., 1995), 483 which means that the covariance between carbon exchange (through photosynthesis and 484 respiration) and vertical mixing may not be well captured in our simulations even with finer 485 model resolutions.

3.3 Synoptic variability 486

487 3.3.1 CH₄ synoptic variability

488 The day-to-day variability of CH₄ and CO₂ residuals are influenced by the regional 489 distribution of fluxes and atmospheric transport at the synoptic scale. For CH₄, as shown in 490 Figure 4a, both STs and ZAs fairly well capture the phases of synoptic variability at most 491 stations within the zoomed region, with 15 out of 18 stations showing model-observation correlation r>0.3. Increasing horizontal resolution can more or less impact model 492 493 performance, yet the direction of change is station-dependent. In general, ZAs improve 494 correlation in phases for most marine and coastal stations compared to STs (e.g., CRI and 495 HAT; Figure 4a), while degradation in model performance is mostly found for mountain and 496 continental stations (e.g. KZM and SDZ; Figure 4a). With increased horizontal resolution, 497 better characterization of the phases would require accurate representation of short-term 498 variability in both meteorological forcings and emission sources at fine scales. This presents 499 great challenges on data quality of boundary conditions, especially for mountain stations 500 located in complex terrains or continental stations surrounded by highly heterogeneous yet 501 uncertain emission sources.

Regarding the amplitudes of CH₄ synoptic variability, 12 out of 18 stations have NSDs within 502 503 the range of 0.6–1.5, and ZAs generally give higher NSD values than STs for most of these 504 stations (Figure 4b). For stations with NSDs>1.5, ZAs tend to simulate smaller amplitudes and slightly improve model performance (e.g., GSN, HLE and SDZ; Figure 4b). One 505 506 exception is UUM. Given the presence of a wrong emission hotspot near the station in the 507 EDGARv4.2FT2010 dataset, ZAs greatly inflate the model-observation misfits (Figure S13). 508 The sensitivity test simulations prescribed with an improved data version EDGARv4.3.2 509 show much better agreement with observations, although the simulated amplitudes are still 510 too high. Besides, it is interesting to note that stations in East Asia generally have NSDs>1.5 (e.g., GSN, TAP, SDZ, and UUM; Figure 4b), again suggesting overestimation of the 511 512 prescribed CH₄ emissions in this region.

513 3.3.2 CO₂ synoptic variability

514 For CO₂, as shown in Figure 4c and 4d, <u>12 out of 20 stations within the zoomed region have</u> 515 model-observation correlation r>0.3, whereas 14 out of 20 stations have NSDs within the range of 0.5–1.5. With finer model resolution, significant model improvement (whether 516 517 regarding phases or amplitudes of CO_2 synoptic variability) is mostly found at marine, coastal and continental stations (e.g., AMY, DSI, and SDZ; Figure 4c,d); for mountain stations, on 518 519 the contrary, phase correlation is not improved and representation of amplitudes is even 520 degraded (e.g. HLE, LLN and WLG; Figure 4c,d). As mentioned above for CH₄ synoptic 521 variablity, the model degradation at mountain stations may arise from errors in mesoscale 522 meteorology and regional distribution of sources/sinks over complex terrains, probably as 523 well as unresolved vertical processes.

524 When we examine model performance for CO₂ versus CH₄ by stations, there are stations at 525 which phases of synoptic variability are satisfactorily captured for CH_4 but not for CO_2 (e.g., 526 BKT, PBL, PON; Figure 4a,c). At PON, a tropical station on the southeast coast of India, the 527 simulated CO₂ synoptic variability is even out of phase with observations all year around and 528 during different seasons (Figure S15; Table S3). The poor model performance should be 529 largely attributed to the imperfect prescribed CO₂ surface fluxes. As noted by several 530 previous studies (e.g., Patra et al., 2008), CO₂ fluxes with sufficient accuracy and resolution 531 are indispensable for <u>realistic simulation of</u> CO_2 synoptic variability. In this study, the daily 532 to hourly NEE variability does not seem to be well represented in ORCHIDEE, especially in

the tropics. Further, <u>for</u> stations influenced by <u>large</u> fire emissions (e.g., BKT), <u>using</u> the monthly averaged biomass burning emissions may not <u>be able to</u> realistically simulate CO_2 synoptic variability due to <u>episodic biomass burning events</u>. Besides, the prescribed CO_2 ocean fluxes <u>have a</u> rather coarse <u>spatial resolution</u> ($4^{\circ} \times 5^{\circ}$), which may additionally account for the poor model performance, especially for marine and coastal stations.

538 **3.4 Diurnal cycle**

539 $3.4.1 \text{ CH}_4$ diurnal cycle

540 The diurnal cycles of trace gases are mainly controlled by the co-variations between local 541 surface fluxes and atmospheric transport. To illustrate model performance on diurnal cycles, 542 we take a few stations with continuous measurements as examples. For CH₄, as shown in 543 Figure 5a, the mean diurnal cycles can be reasonably well represented at the marine/coastal 544 stations GSN and PON for the specific study periods (also see Table S4), although monthly 545 fluxes are used to prescribe the models. Compared to STs, the diurnal cycles simulated by 546 ZAs agree much better with observations (Figure 5a), possibly due to more realistic 547 representation of coastal topography, land-sea breeze, and/or source distribution at finer grids. However, there are also periods during which the CH₄ diurnal cycles are not satisfactorily 548 represented by both model versions, or model performance is degraded with higher 549 horizontal/vertical resolutions (Table S4). The model-observation mismatch may be 550 551 explained by the following reasons. First, the prescribed monthly surface fluxes are probably 552 not adequate to resolve the short-term variability at stations strongly influenced by local and 553 regional sources, especially during the seasons when emissions from wetlands and rice paddies are active and temporally variable with temperature and moisture. Second, the sub-554 555 grid scale parameterizations in the current model we used are not able to realistically simulate 556 the diurnal cycles of boundary layer mixing. Recently new physical parameterizations have 557 been implemented in LMDz to better simulate vertical diffusion and mesoscale mixing by 558 thermal plumes in the boundary layer (Hourdin et al., 2002; Rio et al., 2008), which can 559 significantly improve simulation of the daily peak values during nighttime and thus diurnal cycles of tracer concentrations (Locatelli et al., 2015b). 560

561 <u>Representation of the CH₄ diurnal cycle at mountain stations can be even more complicated,</u>
 562 given that the mesoscale atmospheric transports such as mountain-vally circulations and
 563 terrain-induced up-down slope circulations cannot be resolved in global transport models

564 (Griffiths et al., 2014; Pérez-Landa et al., 2007; Pillai et al., 2011). At BKT, a mountain station located on an altitude of 869 m a.s.l., the CH₄ diurnal cycle is not reasonably 565 566 represented when model ouputs are sampled at the levels corresponding to this altitude (Level 567 3 and Level 4 for 19-layer and 39-layer models). The simulated CH₄ diurnal cycles sampled 568 at a lower model level (Level 2 for both 19-layer and 39-layer models) agree much better 569 with the observed ones (Figure 5a). This suggests that the current model in use is not able to 570 resolve mesoscale circulations in complex terrains, even with the zoomed grids (~50 km over 571 the focal area) and 39 model layers.

572 $3.4.2 \text{ CO}_2$ diurnal cycle

573 For CO₂, as shown in Figure 5b, the simulated diurnal cycles at GSN and PON correlate 574 fairly well with the observed ones for their specific study periods (also see Table S5). The 575 amplitudes of diurnal cycles are greatly underestimated, although this can be more or less 576 improved with finer horizontal resolutions (Figure 5b). As for CH₄, the model-observation 577 discrepencies mainly result from underestimated NEE diurnal cycles from ORCHIDEE and/or unresolved processes in the planetary boundary layer. Particularly, neither ZAs nor 578 579 STs are able to adequately capture the CO₂ diurnal rectifier effect (Denning et al., 1996). For stations strongly influenced by local fossil fuel emissions, underestimation of the amplitudes 580 581 may be additionally attributed to fine-scale sources not resolved at current horizontal 582 resolutions. This is the case for PON, a coastal station 8 km north of the city of Pondicherry 583 in India with a population of around 750,000 (Lin et al., 2015), where the amplitudes of 584 diurnal cycles are underestimated for both CO₂ and CH₄ (Figure 5a,b). Again at BKT, as 585 noted for CH₄, <u>a</u> better model-observation agreement <u>is found</u> for the CO₂ diurnal cycle <u>when</u> 586 model outputs are sampled at the surface layer rather than the one corresponding to the 587 station altitude (Figure 5b). Note that even the simulated diurnal cycles at the surface level 588 are smaller compared to the observed ones by \sim 50%, suggesting that the diurnal variations of 589 both NEE fluxes and terrain-induced circulations are probably not satisfactorily represented 590 in the current simulations.

591 **3.5 Evaluation against the CONTRAIL CO₂ vertical profiles**

592 Figure <u>6</u> shows the simulated and observed CO_2 vertical profiles averaged for different 593 seasons and over different regions. Over East Asia (EAS; Figure <u>6</u>a and Figure S1), both ZA<u>s</u> 594 and <u>STs</u> reasonably reproduce the shape of the observed CO_2 vertical profiles <u>above 2 km</u>, 595 while below 2 km the magnitude of ΔCO_2 is significantly underestimated by up to 5 ppm. 596 The simulated CO₂ vertical gradients between planetary boundary layer (BL) and free 597 troposphere (FT) are lower than the observations by 2-3 ppm during winter (Figure 7a). The 598 model-observation discrepancies are possibly due to stronger vertical mixing in LMDz 599 (Locatelli et al., 2015a; Patra et al., 2011) as well as flux uncertainty. Note that as most 600 samples (79%) are taken over the Narita International Airport (NRT) and Chubu Centrair 601 International Airport (NGO) in Japan located outside the zoomed region (Figure S1), STs 602 slightly better <u>capture</u> the BL-FT gradients than ZAs.

603 Over the Indian sub-continent (IND, Figure <u>6</u>b), there is large underestimation of the 604 magnitude of ΔCO_2 near the surface by <u>up to 8</u> ppm during <u>April–June (AMJ)</u>, July– 605 September (JAS) and October–December (OND). Accordingly, the BL-FT gradients <u>are also</u> 606 underestimated <u>by up to 3–4ppm</u> for these periods (Figure <u>7</u>b). The model-observation 607 discrepancies are probably <u>due</u> to vertical mixing processes <u>not realistically simulated in the</u> 608 <u>current model (including deep convection)</u>, <u>as well as the</u> imperfect representation of <u>CO₂</u> 609 surface fluxes <u>strongly influenced by</u> the Indian monsoon system.

The CO₂ vertcial profiles over Southeast Asia (including Northern Southeast Asia (NSA) and Southern Southeast Asia (SSA)) are generally well reproduced <u>(Figure 6c,d)</u>. However, both ZA<u>s</u> and <u>STs</u> fail to reproduce the BL-FT gradient of \sim 3 ppm in April for NSA (Figure 7c). Apart from errors <u>due to</u> vertical transport and/<u>or</u> prescribed NEE, inaccurate estimates of biomass burning emissions could also contribute to this model-observation mismatch.

615 Overall, the CO₂ vertical profiles in free troposphere are well simulated by both STs and ZAs over SEA, while significant underestimation of the BL-FT gradients is found for East Asia 616 617 and the Indian sub-continent. The model-observation mismatch is due to misrepresentation of 618 both vertical transport and prescribed surface fluxes, and can not be significantly reduced by 619 solely refining the horizontal/vertical resolution, as shown by the very similar CO_2 vertical 620 profiles simulated from ZAs and STs. New physical parameterization as shown in Locatelli et 621 al., (2015a) should be implemented in the model to assess its potential to improve simulation 622 of the vertical profiles of trace gases (especially the BL-FT gradients).

623 4 Conclusions and implications

In this study, we assess the capability of a global transport model (LMDzINCA) to simulate CH₄ and CO₂ variabilities over South and East Asia (SEA). Simulations have been performed with configurations of different horizontal (standard (STs) versus Asian zoom (ZAs)) and vertical (19 versus 39) resolutions. Model performance to represent trace gas variabilities is evaluated for each model version at multi-annual, seasonal, synoptic and diurnal scales, against flask and continuous measurements from a unique dataset of 39 global and regional stations inside and outside the zoomed region. The evaluation at multiple temporal scales and comparisons between different model resolutions and trace gases have informed us of both advantages and challenges relating to high resolution transport modelling. Main conclusions and implications for possible model improvement and inverse modeling are summarized as follows.

First, ZAs improve the overall representation of CH_4 annual gradients between stations in SEA, with reduction of RMSE by 16–20% compared to STs. The model improvement mainly results from reduction in representation error with finer horizontal resolutions over SEA, through better characterization of CH_4 surface fluxes, transport, and/or topography around stations. Particularly, the scatterly distributed CH_4 emission sources (especially emission hotspots) can be more precisely defined with the Asian zoom grids, which makes the simulated concentration fields more heterogeneous, having the potential to improve representation of stations nearby on an annual basis.

However, as the model resolution increases, the simulated CH_4 concentration fields are more sensitive to possible errors in boundary conditions. Thus the performance of ZAs at a specific station as compared to STs depends on the accuracy and data quality of meteorological forcings and/or surface fluxes, especially when we examine short-term variabilities (synoptic and diurnal variations) or stations influenced by significant emission sources around. One example is UUM, at which ZAs even greatly degrade representation of synoptic variability due to presence of a wrong emission hotspot near the station in the EDGARv4.2FT2010 dataset. A sensitivity test prescribed with the improved emission dataset EDGARv4.3.2 show much better agreement with observations. This emphasizes importance of accurate a priori CH_4 surface fluxes in high resolution transport modelling and inversions, particularly regarding locations and magnitudes of emission hotspots. Any unrealistic emission hotspot With respect to CO₂, model performance and the limited model improvement with finer grids suggest that the CO₂ surface fluxes have not been prescribed with sufficient accuracy and resolution. One major component is NEE simulated from the terrestrial ecosystem model ORCHIDEE. For example, the smaller CO₂ seasonal amplitudes simulated at most inland stations in SEA mainly result from underestimated carbon uptake in northern mid-latitudes by ORCHIDEE, while the misrepresentation of synoptic and diurnal variabilities (especially for tropical stations like BKT and PON) is related to the inability of ORCHIDEE to satisfactorily capture sub-monthly to daily profiles of NEE. More efforts should be made to improve simulation of carbon exchange between land surface and atmosphere at various spatial and temporal scales.

Furthermore, apart from data quality of the prescribed surface fluxes, representation of the CH_4 and CO_2 short-term variabilities is also limited by model's ability to simulate boundary layer mixing and mesoscale transport in complex terrains. The recent implementation of new sub-grid physical parameterizations in LMDz is able to significantly improve simulation of the daily maximum during nighttime and thus diurnal cycles of tracer concentrations (Locatelli et al., 2015b). To fully take advantage of high-frequency CH_4 or CO_2 observations at stations close to source regions, it is highly recommended to implement the new boundary layer physics in the current transport model, in addition to refinement of model horizontal and vertical resolutions. The current transport model with old planetary boundary physics is not capable to capture diurnal variations at continental or mountain stations, therefore only observations that are well represented should be selected and kept for inversions (e.g. afternoon measurements for continental stations and nighttime measurements for mountain stations).

Lastly, the model-observation comparisons at multiple temporal scales <u>can give</u> us
 <u>information</u> about the magnitude of sources and sinks in the studied region. For example, at

685 GSN, TAP and SDZ, all of which located in East and Northeast Asia, the CH₄ annual gradients as well as the amplitudes of seasonal and synoptic variability are consistently 686 687 overestimated, suggesting overestimation of CH₄ emissions in East Asia. Therefore 688 atmospheric inversions that assimilate information from these stations are expected to 689 decrease emissions in East Asia, which agree with several recent global or regional studies 690 from independent inventories (e.g., Peng et al., 2016) or inverse modeling (Bergamaschi et al., 691 2013; Bruhwiler et al., 2014; Thompson et al., 2015). Further studies are needed in the future 692 to estimate CH₄ budgets in SEA by utilizing high resolution transport models that are capable 693 to represent regional networks of atmospheric observations.

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1146 **Tables**

I

1147 **Table 1** The prescribed CH₄ and CO₂ surface fluxes used as model input. For each trace gas,

1148 magnitudes of different types of fluxes are given for the year 2010. $Total_{global}$ and $Total_{zoom}$

1149 indicate the total flux summarized over the globe and the zoomed region, respectively.

| Type of CH ₄ fluxes | Type of CH ₄ fluxes Temporal resolution | | Total _{global} (TgCH ₄ /yr) | Total _{zoom} (TgCH ₄ /yr) | Data source | |
|---|--|--------------------|--|--|--|--|
| Anthropogenic – rice Monthly, interannual | | 0.1° | 38 | 32 | EDGARv4.2FT2010 + Matthews et al (1991) | |
| Anthropogenic - others | thropogenic – others Yearly, interannual | | 320 | 131 | EDGARv4.2FT2010 | |
| Wetland | Monthly, climatological | 1° | 175 | 29 | Kaplan et al. (2006) | |
| Biomass burning | Monthly, interannual | 0.5° | 19 | 3 | GFED v <u>4.1</u> | |
| Termite | Monthly, climatological | 1° | 19 | 3 | Sanderson et al. (1996) | |
| Soil | Monthly, climatological | 1° | -38 | -7 | Ridgwell et al. (1999) | |
| Ocean Monthly, climatological | | 1° | 17 | 3 | Lambert & Schmidt (1993) | |
| Total, TgCH ₄ /yr | | • | 550 | 194 | | |
| Type of CO ₂ fluxes | Temporal resolution | Spatial resolution | Total _{global} (PgC/yr) | Total _{zoom} (PgC/yr) | Data source | |
| Anthropogenic | Monthly, interannual | 1° | | | | |
| Anthropogenic | Daily, interannual | 1° | 8.9 | 3.6 | IER-EDGAR product | |
| Anthropogenic | Hourly, interannual | 1° | | | | |
| Biomass burning | Monthly, interannual | 0.5° | 2.0 | 0.2 | GFED v <u>4</u> .1 | |
| Land flux (NEE) | Monthly, interannual | 0.5° | | | OCHIDEE outputs from | |
| Land flux (NEE) | Daily, interannual | 0.5° | -2.7 | 0.1 | trunk version r1882 | |
| Land flux (NEE) | Hourly, interannual | 0.5° | | | uulik veisioli 11882 | |
| Ocean flux Monthly, interannual | | 4°×5° | -1.3 | 0.1 | NOAA/PMEL & AOML product; Park et al. (2010) | |
| Total, PgC/yr | | | 6.9 | 3.9 | | |

1150

| | Code | Station | LON (°) | LAT (°) | A <u>LT</u> (masl) | Contributor | Туре | <u>Time periods</u> used in this study | Zoom | CH ₄ | CO ₂ |
|------------|------------|----------------------------------|----------------|---------------|-----------------------|--------------------------------------|-----------------|---|------|-----------------|-----------------|
| <u>1</u> | ALT | Alert, Canada | <u>-62.52</u> | <u>82.45</u> | <u>210</u> | NOAA/ESRL | <u>coastal</u> | Flask: 2006–2013 | | <u>Y</u> | <u>Y</u> |
| <u>2</u> | AMS | Amsterdam Island, France | <u>77.54</u> | <u>-37.80</u> | <u>70</u> | LSCE | marine | Flask: 2006–2013 | | <u>Y</u> | <u>Y</u> |
| <u>3</u> | AMY | Anmyeon-do, Korea | 126.32 | 36.53 | 133 | KMA | coastal | Continuous: 2006–2013 | Y | Y | |
| 4 | ВКТ | Bukit Kototabang, Indonesia | 100.32 | -0.20 | 869 | BMKG, Empa, NOAA/ <u>E</u> SRL | mountain | Flask: 2006–2013 CH ₄ continuous: 2009–2013 CO ₂ continuous: 2010–2013 | Y | Y | Y |
| <u>5</u> | BRW | Barrow, USA | <u>-156.60</u> | 71.32 | <u>11</u> | NOAA/ESRL | coastal | Continuous: 2006–2013 | | <u>Y</u> | <u>Y</u> |
| <u>6</u> | CGO | Cape Grim, Australia | <u>144.68</u> | <u>-40.68</u> | <u>94</u> | NOAA/ESRL | marine | Flask: 2006–2013 | | <u>Y</u> | <u>Y</u> |
| <u>7</u> | COI | Cape Ochi-ishi, Japan | 145.50 | 43.16 | 94 | NIES | coastal | <u>Continuous:</u> 2006–2013 | | Y | |
| <u>8</u> | CRI | Cape Rama, India | 73.83 | 15.08 | 66 | CSIRO | coastal | Flask: 2009–2013 | Y | Y | Y |
| <u>9</u> | DDR | Mt. Dodaira, Japan | 139.18 | 36.00 | 840 | Saitama | <u>mountain</u> | <u>Continuous:</u> 2006–2013 | | | Y |
| <u>10</u> | DSI | Dongsha Island, Taiwan, China | 116.73 | 20.70 | 8 | National Central Univ., NOAA/ESRL | marine | Flask: 2010-2013 | Y | Y | Y |
| <u>11</u> | GMI | Mariana Island, Guam | 144.66 | 13.39 | 5 | Univ. of Guam, NOAA/ESRL | marine | Flask: 2006–2013 | | Y | Y |
| <u>12</u> | GSN | Gosan, Korea | 126.12 | 33.15 | 144 | NIER | marine | Continous: 2006–2011 | Y | Y | Y |
| <u>13</u> | HAT | Hateruma, Japan | 123.81 | 24.06 | 47 | NIES | marine | Continous: 2006–2013 | Y | Y | |
| 14 | HLE | Hanle, India | 78.96 | 32.78 | 4517 | LSCE, CSIR4PI, IIA | mountain | Flask: 2006–2013 CH ₄ continuous: 2012–2013 CO ₂ continuous: 2006–2013 | Y | Y | Y |
| <u>15</u> | <u>JFJ</u> | Jungfraujoch, Switzerland | <u>7.99</u> | <u>46.55</u> | <u>3580</u> | <u>Empa</u> | <u>mountain</u> | $\frac{\text{CH}_{4} \text{ continuous: } 2006-2013}{\text{CO}_{2} \text{ continuous: } 2010-2013}$ | | <u>Y</u> | <u>Y</u> |
| 1 <u>6</u> | JIN | Jinsha, China | 114.20 | 29.63 | 750 | СМА | continental | <u>Flask:</u> 2006–2011 | Y | | Y |
| 1 <u>7</u> | KIS | Kisai - Saitama | 139.55 | 36.08 | 13 | Saitama | continental | Continous: 2006–2013 | | | Y |
| 1 <u>8</u> | KZD | Sary Taukum, Kazakhstan | 75.57 | 44.45 | 412 | KSIEMC, NOAA/ESRL | continental | <u>Flask:</u> 2006–2009 | Y | Y | Y |
| 1 <u>9</u> | KZM | Plateau Assy, Kazakhstan | 77.87 | 43.25 | 2524 | KSIEMC, NOAA/ESRL | mountain | <u>Flask:</u> 2006–2009 | Y | Y | Y |
| <u>20</u> | LIN | Lin'an, China | 119.72 | 30.30 | 139 | СМА | continental | Flask: 2006–2011 | Y | | Y |
| <u>21</u> | LLN | Lulin, Taiwan, China | 120.87 | 23.47 | 2867 | LAIBS, NOAA/ESRL | mountain | Flask: 2006-2013 | Y | Y | Y |
| <u>22</u> | LON | Longfengshan, China | 127.60 | 44.73 | 331 | СМА | continental | Flask: 2006–2011 | Y | | Y |
| <u>23</u> | MHD | Mace Head, Ireland | <u>-9.90</u> | <u>53.33</u> | <u>8</u> | NOAA/ESRL | <u>coastal</u> | Flask: 2006–2013 | | Y | Y |
| 24 | MKW | Mikawa-Ichinomiya, Japan | 137.43 | 34.85 | 50 | Aichi | continental | <u>Continous:</u> 2006–2011 | Y | | Y |

Table 2 Stations used in this study. For the column 'Zoom', 'Y' indicates a station within the zoomed region.

| <u>25</u> | MLO | <u>Mauna Loa, USA</u> | <u>-155.58</u> | <u>19.54</u> | <u>3397</u> | NOAA/ESRL | <u>mountain</u> | Continuous: 2006–2013 | | <u>Y</u> | <u>Y</u> |
|------------|------------|--------------------------|----------------|---------------|-------------|-------------------------------------|-----------------|--|---|----------|----------|
| <u>26</u> | MNM | Minamitori-shima, Japan | 153.98 | 24.28 | 28 | JMA | marine | <u>Continuous:</u> 2006–2013 | | Y | Y |
| <u>27</u> | <u>NWR</u> | Niwot Ridge, USA | <u>-105.59</u> | <u>40.05</u> | <u>3523</u> | NOAA/ESRL | <u>mountain</u> | Flask: 2006–2013 | | <u>Y</u> | <u>Y</u> |
| 2 <u>8</u> | PBL | Port Blair, India | 92.76 | 11.65 | 20 | LSCE, CSIR4PI, ESSO/NIOT | marine | Flask: 2009–2013 | Y | Y | Y |
| 2 <u>9</u> | PON | Pondicherry, India | 79.86 | 12.01 | 30 | LSCE, CSIR4PI, Pondicherry Univ. | coastal | Flask: 2006–2013 CH ₄ continuous: 2011–2013 CO ₂ continuous: 2011–2013 | Y | Y | Y |
| <u>30</u> | RYO | Ryori, Japan | 141.82 | 39.03 | 280 | JMA | continental | <u>Continuous:</u> 2006–2013 | | Y | Y |
| <u>31</u> | SDZ | Shangdianzi, China | 117.12 | 40.65 | 293 | CMA, NOAA/ESRL | continental | Flask: 2009–2013 | Y | Y | Y |
| <u>32</u> | SEY | Mahe Island, Seychelles | 55.53 | -4.68 | 7 | SBS, NOAA/ESRL | marine | Flask: 2006–2013 | | Y | Y |
| <u>33</u> | SNG | Sinhagad, India | 73.75 | 18.35 | 1600 | IITM | mountain | CH ₄ flask: 2010–2013 CO ₂ flask: 2009–2013 | Y | Y | Y |
| <u>34</u> | <u>SPO</u> | South Pole, USA | <u>-24.80</u> | <u>-89.98</u> | <u>2810</u> | NOAA/ESRL | <u>mountain</u> | Flask: 2006-2013 | | <u>Y</u> | <u>Y</u> |
| <u>35</u> | TAP | Tae-ahn Peninsula, Korea | 126.13 | 36.73 | 21 | KCAER, NOAA/ESRL | coastal | Flask: 2006–2013 | Y | Y | Y |
| <u>36</u> | UUM | Ulaan Uul, Mongolia | 111.10 | 44.45 | 1012 | MHRI, NOAA/ESRL | continental | Flask: 2006–2013 | Y | Y | Y |
| <u>37</u> | WIS | Negev Desert, Israel | 30.86 | 34.79 | 482 | WIS, AIES, NOAA/ESRL | continental | Flask: 2006–2013 | | Y | Y |
| <u>38</u> | WLG | Mt. Waliguan, China | 100.90 | 36.28 | 3890 | CMA, NOAA/ESRL | mountain | Flask: 2006–2013 | Y | Y | Y |
| <u>39</u> | YON | Yonagunijima, Japan | 123.02 | 24.47 | 50 | JMA | marine | Continuous: 2006–2013 | Y | Y | Y |

1152

1153 Abbreviations:

- 1154 Aichi Aichi Air Environment Division, Japan
- 1155 AIES Arava Institute for Environmental Studies, Israel
- 1156 BMKG Agency for Meteorology, Climatology and Geophysics, Indonesia
- 1157 CMA China Meteorological Administration, China
- 1158 CSIR4PI Council of Scientific and Industrial Research Fourth Paradigm Institute, India
- 1159 CSIRO Commonwealth Scientific and Industrial Research Organisation, Australia
- 1160 Empa Swiss Federal Laboratories for Materials Testing and Research, Switzerland
- 1161 ESSO/NIOT Earth System Sciences Organisation/National Institute of Ocean Technology, India
- 1162 IIA Indian Institute of Astrophysics, India
- 1163 IITM Indian Institute of Tropical Meteorology, India
- 1164 JMA Japan Meteorological Agency, Japan
- 1165 KCAER Korea Centre for Atmospheric Environment Research, Republic of Korea
- 1166 KMA Korea Meteorological Administration, Republic of Korea
- 1167 KSIEMC Kazakh Scientific Institute of Environmental Monitoring and Climate, Kazakhstan

- 1168 LAIBS Lulin Atmospheric Background Station, Taiwan
- 1169 LSCE Laboratoire des Sciences du Climat et de l'Environnement, France
- 1170 MHRI Mongolian Hydrometeorological Research Institute, Mongolia
- 1171 NIER National Institute of Environmental Research, South Korea
- 1172 NIES National Institute for Environmental Studies, Japan
- 1173 NIWA National Institute of Water and Atmospheric Research, New Zealand
- 1174 NOAA/ESRL National Oceanic and Atmospheric Administration/Earth System Research Laboratory
- 1175 Saitama Center for Environmental Science in Saitama
- 1176 SBS Seychelles Bureau of Standards, Seychelles
- 1177 WIS Weizmann Institute of Science, Israel

1178 Figures

1179Figure 1 Map of locations of stations within and around the zoomed region. The zoomed grid1180of the LMDz-INCA model is plotted with the NASA Shuttle Radar Topographic Mission1181(SRTM) 1km digital elevation data (DEM) as background (http://srtm.csi.cgiar.org). The grey1182shaded area indicates the region with a horizontal resolution of $\simeq 0.66^{\circ} \times \simeq 0.51^{\circ}$. The red1183close circle (blue cross) represents the atmospheric station where flask (continuous)1184measurements are available and used in this study.



1185 1186 1187Figure 2 Scatterplots of the simulated and observed mean annual gradients of CH_4 (a, b) and1188 CO_2 (c, d) between HLE and other stations. In each panel, the simulated CH_4 or CO_2 1189gradients are based on model outputs from STs (blue circles) and ZAs (red circles),1190respectively. The black dotted line indicates the identity line, whereas the blue and red dotted1191lines indicates the corresponding linear fitted lines. The closed and open circles represent1192stations inside and outside the zoomed region.



1196Figure 3 The observed and simulated mean seasonal cycles of CH_4 (a) and CO_2 (b) for1197stations within the zoomed region. In each panel, the simulated mean seasonal cycles are1198based on model outputs from STs (blue lines) and ZAs (red lines), respectively. The text1199shows statistics between the simulated and observed seasonal cycles for 39-layer models.

1200

<u>(a)</u>



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1204Figure 4 The correlations and normalized standard deviations between the simulated and1205observed synoptic variability for CH_4 (a,b) and CO_2 (c,d) at stations within the zoomed1206region. For each station, the synoptic variability is calculated from residuals from the1207smoothed fitting curve.



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- Figure 5 The observed and simulated mean diurnal cycles (in UTC time) of CH₄ (a) and CO₂
- (b) at three stations within the zoomed region. For BKT, the simulated diurnal cycles at lower
- model levels are also presented.



1220 Figure <u>6</u> Seasonal mean observed and simulated CO_2 vertical profiles over (a) East Asia 1221 (EAS), (b) the Indian sub-continent (IND), (c) Northern Southeast Asia (NSA) and (d) 1222 Southern Southeast Asia (SSA). The observed vertical profiles are based on CO₂ continuous 1223 measurements onboard the commercial air flights from the CONTRAIL project during the 1224 period 2006-2011. For each 1-km altitude bin and each subregion, the observed and 1225 simulated time series are detrended (denoted as ΔCO_2) and seasonally averaged during 1226 January-March (JFM), April-June (AMJ), July-September (JAS) and October-December 1227 (OND).





- 1233 | Figure 7 Monthly mean observed and simulated CO₂ gradient between 1 and 4km over (a)
- 1234 East Asia (EAS), (b) the Indian sub-continent (IND), (c) Northern Southeast Asia (NSA) and
- 1235 (d) Southern Southeast Asia (SSA). For each subregion, the monthly CO_2 gradients are
- 1236 calculated by averaging over all the vertical profiles the differences in CO_2 concentrations
- 1237 between 1 and 4km.

