



Spatial variability in tropospheric peroxyacetyl nitrate in the tropics from infrared satellite observations in 2005 and 2006

Vivienne H. Payne¹, Emily V. Fischer², John R. Worden¹, Zhe Jiang³, Liye Zhu², Thomas P. Kurosu¹, Susan S. Kulawik⁴

¹Jet Propulsion Laboratory, California Institute of Technology, Pasadena, California, 91109, USA ²Colorado State University, Department of Atmospheric Science, Fort Collins, Colorado, USA ³National Center for Atmospheric Research, Boulder, Colorado, USA ⁴Bay Area Environmental Research Institute, Mountain View, California, USA

Correspondence to: Vivienne H. Payne (vivienne.h.payne@jpl.nasa.gov)





Abstract. Peroxyacetyl nitrate (PAN) plays a fundamental role in the global ozone budget and is the primary reservoir of tropospheric reactive nitrogen over much of the globe. However, large uncertainties exist in how surface emissions, transport and lightning affect the global distribution, particularly in the tropics. We present new satellite observations of free

- 5 tropospheric PAN in the tropics from the Aura Tropospheric Emission Spectrometer. This dataset allows us to test expected spatio-temporal distributions that have been predicted by models but previously not well observed. We compare here with the GEOS-Chem model with updates specifically for PAN. We observe an austral springtime maximum over the tropical Atlantic, a feature that model predictions attribute primarily to lightning. Over Northern Central Africa in December, observations show strong inter-annual variability, despite low variation in fire emissions, that we attribute to the combined
- 10 effects of changes in biogenic emissions and lightning. We observe small enhancements in free tropospheric PAN corresponding to the extreme burning event over Indonesia associated with the 2006 El Nino.





1 Introduction

Peroxyacetyl nitrate (PAN) provides a thermally unstable reservoir for nitrogen oxide radicals (NO_x), facilitating their long-range transport at low temperatures and eventual release in warmer regions of the remote troposphere where they most

5 efficiently contribute to ozone (O₃) production [*Singh and Hanst*, 1981]. PAN chemistry effectively reduces O₃ production in NO_x source regions and increases it in remote regions of the troposphere [*Wang et al.*, 1998, *Fischer et al.*, 2013]. PAN is thought to be the dominant species in the reactive nitrogen budget over much of the globe [*Roberts et al.*, 2007], but is a particularly difficult compound to simulate in models due to the complexity of PAN chemistry and uncertainties in precursor emissions. Comprehensive in situ measurements of PAN are limited for the troposphere, particularly in the tropics [*Maloney*

10 et al., 2001; Singh et al., 1996].

Since PAN abundance can be highly variable in space and time, it is difficult to know if presently available but limited insitu measurements are broadly representative. Satellite measurements offer a new opportunity to place constraints on our understanding, providing global coverage over multiple years. Global measurements of PAN have previously been obtained via thermal-infrared measurements from the limb-viewing Atmospheric Chemistry Experiment Fourier Transform

- 15 Spectrometer (ACE-FTS) and Michelson Interferometer for Passive Atmospheric Sounding (MIPAS) satellite sensors [*Tereszchuk et al.*, 2014; *Moore and Remedios*, 2010; *Wiegele et al.*, 2012; *Pope et al.*, 2016]. Limb sounding measurements of PAN for limited time periods, but at relatively high spatial density, have also been made from the Cryogenic Infrared Spectrometers and Telescopes for the Atmosphere (CRISTA), flown on the Space Shuttle for two separate missions in 1994 and 1997 [*Ungermann et al.*, 2016] These observations provide information in the uppermost troposphere and lower
- 20 stratosphere. Observations in the nadir viewing geometry can provide sensitivity to PAN lower in the troposphere, where its variable stability makes its role in O₃ production more important to understand. PAN is formed rapidly in biomass burning plumes, and isolated cases of elevated PAN in biomass burning plumes in the troposphere have been observed from the MetOp Infrared Atmospheric Sounding Instruments (IASI) and Aura Tropospheric Emission Spectrometer (TES) sensors [*Clarisse et al.*, 2011; *Alvarado et al.*, 2011]. More recently, global measurements of tropospheric PAN from Aura-TES have
- 25 been obtained and are described in *Payne et al.* [2014]. These TES PAN retrievals have so far been utilized in studies of the influence of fires in atmospheric composition in boreal spring [*Zhu et al.*, 2015], the role of PAN in seasonal transport of East Asian pollution [*Jiang et al.*, 2016] and the seasonality and inter-annual variability of PAN in the Eastern Pacific [*Zhu et al.*, 2016]. Here we present new observations of TES PAN in the tropics. We focus on 2005 and 2006 in austral spring (the season of peak biomass burning) and compare these observations with simulations from the GEOS-Chem global chemical
- 30 transport model.

The tropical troposphere plays an important role in global oxidation capacity, and understanding the role of PAN chemistry





is necessary to understand the different contributions to the NO_x reservoir and the O_3 enhancement in the tropical south Atlantic. PAN can be formed within fire plumes because NO_x is co-emitted with large quantities of short-lived non-methane volatile organic compounds (NMVOCs), and it can form when biogenic NMVOCs react with NO_x produced by lightning. Formation of PAN in the cold upper troposphere over this region acts to sequester NO_x and decrease O_3 formation. The

- 5 contribution of biomass burning to the NO_x reservoir and the O₃ enhancement in the tropical south Atlantic remains a long-standing issue [*Anderson et al.*, 1993; *Gregory et al.*,1996; *Jacob et al.*, 1996; *Edwards et al.*, 2003; *Ziemke et al.*, 2009]. Models predict that lightning is the most important source of PAN in the atmosphere of the tropical south Atlantic (*Fischer et al.*, [2014] and references therein). However, this finding is particularly sensitive to the description of boundary layer chemistry, which remains very uncertain [*Hewitt et al.*, 2010]. Implementation of a state of the science isoprene scheme
- 10 [Paulot et al., 2009a, 2009b] reduces the model sensitivity of upper tropospheric PAN over the tropical Atlantic to lightning by changing the fraction of isoprene oxidized outside the boundary layer [Fischer et al., 2014]. Elevated PAN mixing ratios (~500 pptv) were observed in the mid- to upper troposphere over the tropical south Atlantic during the October 1992 TRACE-A aircraft campaign, and an austral spring maximum in this region is predicted by state-of-the-science global chemical transport models [Fischer et al., 2014; Fadnavis et al., 2014]. Limb-viewing satellite observations have shown
- 15 PAN mixing ratios of ~350 pptv at 260 hPa in this region in austral spring [*Moore and Remedios*, 2010; *Glatthor et al.*, 2007]. PAN observations over multiple years, in conjunction with global chemical models, offer potential to shed light on the influence of fire emissions on the inter-annual variability of the tropical south Atlantic O₃ maximum.

Section 2 describes the characteristics of the TES PAN retrievals, while Section 3 provides background on the GEOS-Chem model simulations used in this work. Section 4 describes the features observed by TES in the tropics in austral spring of

20 2005 and 2006. Section 5 presents the relationships between PAN and carbon monoxide (CO) in different regions and discusses model/measurement comparisons. Conclusions are presented in Section 6.

2. TES PAN retrievals

The Tropospheric Emission Spectrometer (TES) has been flying on the Aura satellite since 2004. TES measures nadirviewing spectrally resolved thermal infrared radiances, providing information on numerous trace gases in the troposphere,

- 25 including PAN. The TES PAN retrievals use an optimal estimation approach. An algorithm description is provided in *Payne et al.* [2014]. TES has been shown to be capable of observing PAN with sensitivity to elevated concentrations (greater than ~0.2-0.3 ppbv) in the free troposphere (between ~ 800 mbar and the tropopause). Estimated single-observation errors are 30 50 %. The number of degrees of freedom for signal (DOFS), or independent pieces of information, in the TES PAN retrievals is less than 1.0, meaning that the retrievals are not sensitive to the vertical distribution of PAN in the atmosphere.
- 30 As discussed in *Payne et al.* [2014], TES PAN retrievals are generally insensitive to near-surface variations of PAN and are sensitive primarily to variations in the free troposphere. TES PAN retrievals are being processed routinely for the whole TES dataset and are publicly available in the TES v7 Level 2 product. However, at the time of this work, the v7 product was not





yet available. The TES PAN retrievals shown here were processed using a prototype algorithm for the areas and time periods of interest.

3. GEOS-Chem global chemical transport model

GEOS-Chem is (<u>www.geos-chem.org</u>) a global chemical transport model driven by GEOS assimilated meteorological data

- 5 from the NASA Global Modeling and Assimilation Office (GMAO). GEOS-Chem includes a state-of-the science description of tropospheric oxidant chemistry. We used v9.01.01 with updates specifically for PAN as described in *Fischer et al.* [2014] (and references therein) to explore, analyse and explain the global TES PAN data. GEOS-Chem was driven by NASA GEOS-5 assimilated meteorological data with 0.5° × 0.67° horizontal resolution, 47 vertical levels, and 3 6 hour temporal resolution. We degraded the horizontal resolution to 2° × 2.5°. The simulations for 2005 and 2006 were preceded by a 1-
- 10 year spin-up.

Briefly, the version described in *Fischer et al.* [2014] includes updated budgets of many NMVOC PAN precursors including acetone, ethane and propane, acetaldehyde and methylglyoxal. Terrestrial biogenic emissions of NMVOCs are calculated using the Model of Emissions of Gases and Aerosols from Nature (MEGAN v2.0) [*Guenther et al.*, 2006]. The model

- 15 incorporates a new oxidation schemes for isoprene, and several additional NMVOC tracers (monoterpenes, ethanol, aromatics) that also serve as PAN precursors. Other relevant updates include the treatment of emissions from fires. In particular, the model includes biomass burning emissions of shorter lived NMVOCs (monoterpenes, aromatics), 40% of the biomass burning NO_x is directly emitted as PAN [*Alvarado et al.*, 2010], and 35% of fire emissions are injected into the 10 model layers above the boundary layer [*val Martin et al.*, 2010].
- 20

4. Observations of PAN in the Tropics

Figure 1 shows Aura-TES PAN in the Tropics for October 2006. Figure 1(a) shows individual observations. Volume mixing ratios (VMRs) in Figure 1(a) represent an average between 800 hPa and the tropopause. Figure 1(b) shows the fraction of observations with elevated PAN. This fraction is the ratio of the number of TES targets for which elevated PAN is detected

- 25 with confidence to the number of targets for which the PAN retrieval was attempted. Figure 1(b) was created by calculating the fraction of observations with elevated PAN in $4^{\circ} \times 5^{\circ}$ boxes, then smoothing this field with a two-dimensional boxcar average with a width of two boxes. For October 2006, we see a high density of elevated PAN detections over the tropical south Atlantic and the surrounding landmasses and a high fraction of TES observations with elevated PAN over the tropical south Atlantic. High PAN over the tropical south Atlantic in austral spring is one of the major features in the global PAN
- 30 distribution predicted by the GEOS-Chem [*Fischer et al.*, 2014], and high PAN values over the tropical south Atlantic in the uppermost troposphere (~8-16 km) have previously been observed from MIPAS [*Moore et al.*, 2010; *Glatthor et al.*, 2007; *Pope et al.*, 2016]. The TES observations presented here provide information on the temporal evolution in austral spring





2005 and 2006.

PAN retrievals are not attempted for all TES targets. As discussed in *Payne et al.* [2014], PAN retrievals are not attempted for cases where the water vapor or O_3 from previous retrieval steps did not pass the master quality flags. PAN retrievals are also generally not attempted over sandy or rocky surfaces, such as desert or mountainous regions, due to the presence of a

- 5 silicate feature in the surface emissivity spectra of those surfaces that coincides with the spectral position of the PAN absorption feature. Those surfaces generally show up as white space in Figure 1(a). While this is not an issue for the tropical data, we note that PAN retrievals over icy or snowy surfaces are subject to a high bias, again due to spectral features in the emissivity for these surfaces. Therefore, we recommend screening out data with surface temperature less than 270 K. *Jiang et al.* [2016] performed indirect comparisons of TES PAN with aircraft measurements from the Arctic Research of the
- 10 Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaign, using the GEOS-Chem model as a transfer standard. Results of that study suggest a high bias in TES cases with surface temperatures below ~280 K, where surfaces are not ice- or snow-covered. One possible explanation is that surface temperature is a proxy for the representative temperature of the column, and that the low bias stems from a lack of information on temperature-dependence in the HITRAN 2008 spectroscopic cross-sections used in the TES retrievals. The HITRAN 2012 database includes low
- 15 temperature cross-section information for PAN, and this will be considered for future versions of the TES algorithm. Based on the *Jiang et al.* [2016] comparisons for cases with warmer surfaces/atmospheres, we do not expect strong biases for the tropical data shown here.

We define cases for which elevated PAN is detected with confidence as those that pass basic quality checks and where the DOFS of the retrieval is greater than 0.6. Note that the use of DOFS is not, in itself, a quality flag. Retrievals with DOFS <

- 20 0.6 may converge with a good quality of fit. However, in those cases the retrieved PAN would be strongly affected by the prior constraint chosen for the retrieval. Further justification of the choice of DOFS=0.6 as a threshold can be found in *Payne et al.* [2014]. We express the gridded results in "fraction of observations with elevated PAN", rather than averaged PAN retrieval values, because the Aura-TES PAN retrievals are only possible for elevated PAN values. Therefore, the interpretation of any averaged values would be complicated by the fact that we do not have information on the values at the
- 25 low end of the true distribution.

Figure 2 shows histograms of free-tropospheric average PAN values in different regions of the tropics for September through December 2005 and 2006. Boxes showing the geographical extent of each of these regions are shown in Figure 1(a). Histograms are calculated on a logarithmic scale, in order to better allow examination of differences in the 0.1 to 0.3 ppbv range. Histograms are normalized by the total number of TES observations in each region. Also shown for each region is the

30 total of the histogram for each month and region. This total equates to the fraction of TES observations where elevated PAN was observed. It is clear from Figure 2 that there is considerable variation in free tropospheric PAN between regions and from one month to the next. In general, higher PAN values and higher fractions are observed for the months where peak





biomass burning occurs in those regions.

In all TES retrievals, an effective cloud optical depth is retrieved in order to mitigate the impact of clouds [*Kulawik et al.*, 2006]. The impact of clouds is to reduce the sensitivity of the measured radiance to the target trace gas concentrations. This is accounted for in the averaging kernels and therefore is reflected in the DOFS for the retrieval. As discussed in *Payne et al.*

5 [2014], clouds with optical depth greater than ~0.5 have the potential to obscure PAN signals that are comparable in magnitude to the instrument noise. We would therefore expect that the fractions shown in Figure 1(b) and Figure 2 would be, if anything, an underestimate of the true incidence of elevated PAN in the atmosphere.

For all the months shown here, TES made global survey measurements throughout the tropics, and the sampling is vastly more spatially uniform than could be obtained by any kind of in situ sampling strategy. However, the number of TES

- 10 measurements in the tropics does vary somewhat between the two years shown here, with a greater number of measurements taken in 2006 than 2005. The number of measurements in any given region does also vary from one month to the next, depending on the details of instrument operation. In both 2005 and 2006 there were significantly fewer global survey measurements taken in September than in other months. For example, in the latitude band between 30S and 10N, there were 6665, 10495, 10738 and 9486 TES measurements taken in September, October, November and December 2006 respectively.
- 15 In September 2005, the measurements are distributed earlier in the month, while in September 2006, the measurements are generally later in the month. It is possible that this difference in temporal sampling could account for the observed year-to-year differences in the fraction of elevated PAN over the Amazon region (South America) in September (see Figure 2). For October, November and December, the TES measurements are spread more evenly throughout each month in both years.
- In terms of year-to-year differences, strong differences are observed for Northern Central Africa in December, where 20 December 2005 shows elevated PAN detected with confidence in 45 % of TES observations compared to 30 % in December 2006. The TES CO in this region does not show marked differences between 2005 and 2006 [*Logan et al.*, 2008]. MEGAN (via GEOS-Chem) does show higher monthly mean isoprene emissions over this region in December 2005 versus 2006. The difference in isoprene emissions at specific locations in the orange box in Figure 1a range from 10 – 50%. The total isoprene emissions for the region were ~13% higher in December 2005 than in 2006. *Logan et al.* [2008] also note that there was
- 25 more lightning over much of Africa (including the region considered here) in 2005 compared to 2006. More lightning NO_x in December 2005 than 2006 would also lead to enhanced PAN.

Vertical transport is also a consideration. If the surface emissions were the same, we would expect that stronger convection in a given year would enhance the impact of surface emissions on mid-upper tropospheric PAN. It would not only enable more efficient lofting of fire smoke, but would also allow the same quantity of biogenic NMVOC emissions and/or

30 secondary products to contribute more efficiently to PAN formation aloft for a given amount of lightning NO_x. Either way, stronger convection in a given year would increase the contribution of surface emissions to PAN in the mid-troposphere,





where it can be observed with the nadir-viewing thermal infrared satellite measurements. Previous studies (e.g. *Nassar et al.*, [2009]) have pointed to the difference in convection over Northern Central Africa between these two years, and subsequent differences in O₃. Nassar *et al.* note that convection was stronger in December 2006 than December 2005 in this region. This would act in the opposite direction to the observed year-to-year PAN differences. We did GEOS-Chem simulations without

- 5 convection and found that the amount of PAN above Northern Central Africa is very sensitive to the presence of convection. Figure 4 shows maps of the difference in PAN between GEOS-Chem simulations with and without convection. In a global context, Northern Central Africa is one of the most sensitive regions. However, the enhanced convection in November and December 2006 would have acted to increase mid-tropospheric PAN in this region more in 2006 strongly than in 2005. The year-to-year differences in convection are counter to the difference in PAN. Therefore, we conclude that the December year-
- 10 to-year PAN difference in this region is most likely associated with changes in biogenic emissions and lightning.

A noticeable difference is also observed for Indonesia in October/November, with distinctly higher PAN in 2006 compared to 2005. *Logan et al.* [2008] have previously discussed extreme CO enhancements in October 2006, persisting into November, from intense Indonesian fires, associated with a drought connected to the strong 2006 El Nino. This feature in the CO extended into the upper troposphere and lower stratosphere, as seen by the Aura Microwave Limb Sounder (e.g. *Zhang*

15 et al., [2011]). Given the large CO emissions from these fires, and the evidence of transport of the CO to upper altitudes, we might have expected to also observe elevated PAN over Indonesia in October/November 2006. The relationship between PAN and CO for this region/month is further discussed in Section 5.

5. PAN/CO enhancement and comparisons with GEOS-Chem

In order to further explore the role of biomass burning on the observed PAN, we use coincident TES measurements of 20 carbon monoxide (CO). Figures 5(a) and (c) show scatter plots of TES-retrieved CO versus PAN, for selected regions for October 2005 and 2006. In general, elevated CO in tropical regions can be interpreted as an indication of strong fire emissions. Variability in enhancements in PAN relative to CO (ΔPAN/ΔCO) in fire plumes is driven by the efficiency of PAN formation, mixing [*Yokelson et al.* 2013] and transport. For example, in an evaluation of models at high latitudes, *Arnold et al.* [2015] note that model enhancement ratios show distinct groupings according to the meteorological data used

- 25 to drive the models, which they show is likely linked to differences in vertical transport. Alvarado et al. [2010] discuss aircraft in situ measurements of ΔPAN/ΔCO in fire plumes sampled during the ARCTAS aircraft campaign. In these high-latitude measurements, Alvarado et al. [2010] report lower values of ΔPAN/ΔCO for samples close to the fires, with higher values for samples in the plumes downwind, suggesting PAN formation within the plume. Gray dotted lines show maximum and minimum values of ΔPAN/ΔCO enhancements in the aircraft measurements of boreal fire plumes reported in Alvarado
- 30 *et al.* [2010], and assuming a background mid-tropospheric CO value of 50 ppbv. These lines are shown here primarily to demonstrate the large range of values in aircraft observations of boreal plumes, not for the purposes of quantitative comparison with these tropical satellite observations. The TES measurements shown in Figure 5 have not been specifically





screened to establish fire influence, nor have attempts been made here to categorize the satellite measurements according to distance from fires.

We also compare the TES-retrieved PAN-CO relationships with those from GEOS-Chem. The PAN-CO relationship from GEOS-Chem for October 2005 and 2006 are shown in Figure 5 (b) and (d). When comparing GEOS-Chem modelled PAN

- 5 with Aura-TES observations, we sampled the model fields at the measurement locations and times. The TES averaging kernels and *a priori* were applied to the GEOS-Chem profiles in order to account for the sensitivity of the TES measurements. Both the TES measurements and the GEOS-Chem model show a range of ΔPAN/ΔCO ratios, with a considerable number of points showing ΔPAN/ΔCO enhancements higher than that previously observed in biomass burning plumes in other regions [*Alvarado et al.* 2010]. We hypothesize that high ΔPAN/ΔCO enhancements could also conceivably
- 10 be associated with a strong influence of lightning. Unlike during fires, lightning NO_x is emitted without CO.

The absolute values of PAN are distinctly higher in the measurements than the model. Pope et al. [2016], in a comparison between MIPAS PAN results from two different retrieval algorithms, found significant differences in the tropical PAN fields between the two sets of results and pointed to potential reasons for differences that include differences in the way that PAN cross-section data are interpolated within the forward model used in the retrieval algorithm. They concluded that the MIPAS

15 satellite observations are able to detect realistic spatial variations in PAN, but that further work is needed to evaluate the satellite retrievals in an absolute sense. We acknowledge that this type of further work is also desirable for evaluation of the results from the TES PAN algorithm.

Although the absolute PAN values from TES are higher than those from GEOS-Chem, we note that both model and measurements show features that are qualitatively consistent in terms of the $\Delta PAN/\Delta CO$ relationship. Both model and

- 20 measurements show a distinctive signature associated with the October 2006 Indonesian fires, extremely elevated CO and distinctly low ΔPAN/ΔCO enhancement ratios. The low ΔPAN/ΔCO could be due to two factors: 1) We expect a higher emission ratio of CO relative to NO_x for peat burning compared to both tropical forests and crop residue [*Akagi et al.*, 2011; *Stockwell et al.*, 2014], and 2) these plumes were not directly injected into the free troposphere, promoting the decomposition of PAN [*Tosca et al.*, 2011].
- 25 We used GEOS-Chem to assess the sensitivity of the model to injection height. For October 2005 and 2006, runs were performed both for the default case where 35 % of the of fire emissions are injected into the 10 model layers above the boundary layer and for the case where all fire emissions are injected directly into the planetary boundary layer (PBL). We found that at least over Indonesia, the modelled free tropospheric PAN is not strongly sensitive to the injection height. The difference between the PAN for two runs was 10% at most (see Figure 6). A possible reason for this is that the persistent
- 30 convection in this region enables rapid lofting of PAN to the free troposphere, regardless of the fire injection heights. The





5

model sensitivity result suggests that the higher emission ratio of CO relative to NO_x for peat burning compared to tropical forests/crop residue is the dominant reason for the low $\Delta PAN/\Delta CO$ observed for the Indonesian fires.

When similar runs were performed for December in North Central Africa (not shown), the difference in free tropospheric PAN was up to 40 %, indicating that sensitivity to injection height is stronger in that region. The temperature in the lower atmosphere may also factor into the difference in sensitivity to injection heights between different regions.

6. Conclusions

Our findings can be summarized as follows: We observe elevated free-tropospheric PAN over the Tropical South Atlantic in austral spring, for the two years investigated (2005 and 2006). This feature has been predicted by models, and previously

- 10 observed in MIPAS satellite observations of the uppermost troposphere. The TES observations presented here provide confirmation that this feature is also observed in the nadir view. We see a strong enhancement in PAN over Northern Central Africa (5°S to 10°N) in December 2005 relative to December 2006. Since convection was stronger in December 2006 than December 2005 in this region, we hypothesize that the December year-to-year PAN difference in this region is most likely associated with changes in biogenic emissions and lightning. We observe small enhancements in free tropospheric PAN and
- 15 high enhancements in CO in October/November 2006 compared to 2005, corresponding to the extreme burning event over Indonesia associated with the 2006 El Nino. Comparisons between the TES observations and the GEOS-Chem model show qualitative agreement in observed regional and year-to-year variations in ΔPAN/ΔCO enhancement ratios.

Knowledge of the PAN distribution is key to understanding the reactive nitrogen (NO_y) budget that controls the tropospheric O_3 . These new nadir-viewing satellite observations of PAN, analyzed in conjunction with a global chemical transport model,

20 demonstrate the importance of emissions, chemistry and transport in understanding the large-scale distribution of PAN. TES PAN retrievals will be routinely processed for the entire TES data record, from 2004 to the present, in the TES version 7 data release. We suggest that nadir satellite observations of PAN will complement the existing limb satellite observations and will provide a powerful tool in understanding the reactive nitrogen budget and the global transport of pollution from polluting to receptor regions.

25

Competing interests

The authors declare that they have no conflict of interest.

Acknowledgements:





TES PAN and CO data are archived at the NASA Langley Research Center Atmospheric Science Data Center (https://eosweb.larc.nasa.gov/project/tes/tes_table). The TES products can also be accessed via the NASA Reverb tool (<u>http://reverb.echo.nasa.gov</u>). TES monthly Lite files are also available via the Aura Validation Data Center (<u>http://avdc.gsfc.gov</u>). The PAN dataset used in this work, produced using a prototype algorithm developed prior to the TES

- 5 V07 Level 2 release, may be obtained upon request from the corresponding author (vivienne.h.payne@jpl.nasa.gov). Part of this research was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration. Reference herein to any specific commercial product, process or service by trade name, trademark, manufacturer or otherwise does not constitute or imply its endorsement by the United States Government or the Jet Propulsion Laboratory, California Institute of Technology. This work was supported by NASA
- Award Number NNX14AF14G.
 Copyright 2016. All rights reserved.

References

Akagi, S. K., Yokelson, R. J., Wiedinmyer, C, Alvarado, M. J., Reid, J. S., Karl, T., Krouse, J. D. and Wennberg, P. O.:
Emission factors for open and domestic burning for use in atmospheric models, Atmos. Chem. Phys., 11, 4039-4072, doi:10.5194/acp-11-4039-2011, 2011

- Alvarado, M. J., Logan, J. A., Mao, J., Apel, A., Reimer, D., Blake, D., Cohen, R. C., Min, K-E., Perring, A. E., Browne, E.
 C., Cubison, M. J., Vay, S. A., Weinheimer, A. J., Knapp, D. J., Montzka, D. D., Flocke, F. M., Pollack, I. B., Wennberg, P.
 O., Kurten, A., Crounse, J., Clair, J. M. St., Wisthaler, A., Mikoviny, T., Yantosca, R. M., Carouge, C. C., and Le Sager, P.:
- 20 Nitrogen oxides and PAN in plumes from boreal fires during ARCTAS-B and their impact on ozone: an integrated analysis of aircraft and satellite observations, Atmos. Chem. Phys., 10, 9739-9760, doi:10.5194/acp-10-9739-2010, 2010. Alvarado, M. J., Cady-Pereira, K. E., Xiao, Y., Millet, D. B. and Payne, V. H.: Emission Ratios for Ammonia and Formic Acid and Observations of Peroxy Acetyl Nitrate (PAN) and Ethylene in Biomass Burning Smoke as Seen by the Tropospheric Emission Spectrometer (TES), Atmosphere 2, 633-654, 2011
- 25 Anderson, B. E., Gregory, G. L., Barrick, J. D. W., Collins, J. E., Sachse, G. W., Hudgins, C. H., Bradshaw, G. D. and Sandholm, S. T., Factors influencing dry season ozone distributions over the tropical South Atlantic, J. Geophys. Res., 98(D12), 23491–23500, doi:10.1029/93JD01361, 1993 Arnold, S. R., L. K. Emmons, S. A Monks, K. S. Law, D. A. Ridley, S. Turquety, S. Times, J. L. Thomas, I. Bouarar, J.

Arnoid, S. K., L. K. Emmons, S. A Monks, K. S. Law, D. A. Ridley, S. Turquety, S. Times, J. L. Thomas, I. Bouarar, J. Flemming, V. Huijnene, J. Mao, B. N. Duncan, S. Steenrod, Y. Yoshida, J. Langner and Y. Long, Biomass burning influence

30 on high-latitude tropospheric ozone and reactive nitrogen in summer 2008: a multi-model analysis based on POLMIP simulations, Atmos. Chem. Phys., 15, 6047-6068, doi:10.5194/acp-15-6047-2015, 2015





Gregory, G. L., Fuelberg, H. E., Longmore, S. P., Anderson, B. E., Collins, J. E. and Blake, D. R.: , Chemical characteristics of tropospheric air over the tropical South Atlantic Ocean: Relationship to trajectory history, J. Geophys. Res., *101*(D19), 23957-23972. doi:10.1029/96jd01160, 1996

Clarisse, L., R'Honi, Y., Coheur, P.-F., Hurtmans, D. and Clerbaux, C: Thermal infrared nadir observations of 24 atmospheric gases. Geophys. Res. Lett, *38*, doi:10.1029/2011GL047271, 2011

Edwards, D. P., Lamarque, J-F., Attie, J-L., Emmons, L. K., Richter, A., Cammas, J-P., Gille, J. C., Francis, G. L., Deeter, M. N., Warner, J., Ziskin, D. C., Lyjak, L. V., Drummond, J. R. and Burrows, J. P.:, Tropospheric ozone over the tropical Atlantic: A satellite perspective, *J. Geophys. Res.*, 108, D8, 4237, doi:10.1029/2002JD002927, 2003

Fadnavis, S., Schulz, M. G., Semeniuk, K., Mahajan, A. S., Pozzoli, L., Sonbawne, S., Ghude, S. D., Kiefer, M. and Eckert,

- E.: Trends in peroxyacetyl nitrate (PAN) in the upper troposphere and lower stratosphere over southern Asia during the summer monsoon season: regional impacts, *Atmos. Chem. Phys.*, 14, 12725-12743, doi:10.5194/acp-14-12725-2014, 2014.
 Fischer, E. V., Jacob, D. J., Yantosca, R. M. and Sulprizio, M.:, The effect of peroxyacetyl nitrate (PAN) chemistry on global oxidant distributions, Abstract A23J-06 presented at 2013 Fall Meeting, AGU, San Francisco, CA, 9-13 Dec (2013).
 Fischer, E. V., Jacob, D. J., Yantosca, R. M., Sulprizio, M. P., Millet, D. B., Mao, J., Paulot, F., Singh, H. B., Roiger, A.,
- Ries, L., Talbot, R. W., Dzepina, K. and Pandey Deolal, S.: Atmospheric peroxyacetyl nitrate (PAN): a global budget and source attribution, Atmos. Chem. Phys., *14*(5), 2679-2698,10.5194/acp-14-2679-2014, 2014
 Glatthor., N., von Clarmann, T., Fischer, H., Funke, B., Grabowski, U., Hoepfner, M., Kellmann, S., Linden, A., Milz, M., Steck, T. and Stiller, G. P.,: Global peroxyacetyl nitrate retrieval in the upper troposphere from limb emission spectra of the Michelson Interferometer for Passive Atmospheric Sounding (MIPAS), *Atmos. Chem. Phys.*, 7, 2775-2787, 2007
- 20 González Abad, G., Liu, X., Chance, K., Wang, H., Kurosu, T. P. and Suleiman, R: Updated SAO OMI formaldehyde retrieval, Atmos. Meas. Tech. Discuss., DOI: 10.5194/amtd-7-1-2014, 2014. Guenther, A., Karl, T., Harley, P., Wiedinmyer, C., Palmer, P. I., and Geron, C.: Estimates of global terrestrial isoprene emissions using MEGAN (Model of Emissions of Gases and Aerosols from Nature), Atmos. Chem. Phys., 6, 3181–3210, doi:10.5194/acp-6-3181-2006, 2006
- 25 Hewitt, C. N., Lee, J. D., MacKenzie, A. R., Barkley, M. P., Carslaw, N., Carver, G. D., Chappell, N. A., Coe, H., Collier, C., Commane, R., Davies, F., Davison, B., DiCarlo, P., Di Marco, C. F., Dorsey, J. R., Edwards, P. M., Evans, M. J., Fowler, D., Furneaux, K. L., Gallagher, M., Guenther, A., Heard, D. E., Helfter, C., Hopkins, J., Ingham, T., Irwin, M., Jones, C., Karunaharan, A., Langford, B., Lewis, A. C., Lim, S. F., MacDonald, S. M., Mahajan, A. S., Malpass, S., McFiggans, G., Mills, G., Misztal, P., Moller, S., Monks, P. S., Nemitz, E., Nicolas-Perea, V., Oetjen, H., Oram, D. E., Palmer, P. I.,
- 30 Phillips, G. J., Pike, R., Plane, J. M. C., Pugh, T., Pyle, J. A., Reeves, C. E., Robinson, N. H., Stewart, D., Stone, D., Whalley, L. K., and Yin, X.: Overview: oxidant and particle photochemical processes above a south-east Asian tropical rainforest (the OP3 project): introduction, rationale, location characteristics and tools, Atmos. Chem. Phys., 10, 169-199, doi:10.5194/acp-10-169-2010, 2010





30

Jacob, D. J., Heikes, E. G., Fan, S-M., Logan, J. A., Mauzerall, D. L., Bradshaw, J. D., Singh, H. B., Gregory, G. L., Talbot, R. W., Blake, D. R. and Sachse, G. W.: Origin of ozone and NO_x in the tropical troposphere: A photochemical analysis of aircraft observations over the South Atlantic basin, J. Geophys. Res., *101*(D19), 24235-24250. doi:10.1029/96jd00336, 1996 Kulawik, S. S., Worden, J., Eldering, A., Bowman, K., Gunson, M., Osterman, G. B., Zhang, L., Clough, S., Shephard, M.

5 W. and Beer, R.: Implementation of cloud retrievals for Tropospheric Emission Spectrometer (TES) atmospheric retrievals: part 1. Description and characterization of errors on trace gas retrievals, J. Geophys. Res., 111, D24204,doi:10.1029/2005JD006733, 2006.

Jiang, Z., Worden, J. R., Payne, V. H., Zhu, L., Fischer, E., Walker, T. and Jones, D. B. A.:, Ozone export from East Asia: The role of PAN, J. Geophys. Res., 121, 6555–6563, doi:10.1002/2016JD024952, 2016

- 10 Logan, J. A., Megretskaia, I., Nassar, R., Murray, L. T., Zhang, L., Bowman, K. W., Worden, H. M. and Luo, M.: Effects of the 2006 El Nino on tropospheric composition as revealed by data from the Tropospheric Emission Spectrometer (TES), *Geophys. Res. Lett.*, vol. 35, L03816, doi:10.1029/2007GL031698, 2008 Maloney, J. C., Fuelberg, H. E., Avery, M. A., Crawford, J. H., Blake, D. R., Heikes, B. G., Sachse, G. W., Sandholm, S. T.,
- Singh, H. and Talbot, R. W.: Chemical characteristics of air from different source regions during the second Pacific
 15 Exploratory Mission in the Tropics (PEM-Tropics B), J. Geophys. Res., 106(D23), 32609-32625, doi:10.1029/2001JD900100, 2001
 Moore, D. P., and Remedios, J. J.: Seasonality of Peroxyacetyl nitrate (PAN) in the upper troposphere and lower stratosphere using the MIPAS-E instrument, Atmos. Chem. Phys., 10(13), 6117-6128. doi:10.5194/acp-10-6117-2010, 2010
 Nassar, R., Logan, J. A., Megretskaia, I. A., Murray, L. T., Zhang, L. and Jones, D. B. A.:, Analysis of tropical tropospheric
- ozone, carbon monoxide and water vapor during the 2006 El Niño using TES observations and the GEOS-Chem model, J. Geophys. Res., doi:10.1029/2009JD011760, 2009
 Paulot, F., Crounse, J. D., Kjaergaard, H. G., Kroll, J. H., Seinfeld, J. H. and Wennberg, P. O.:, Isoprene photooxidation: new insights into the production of acids and organic nitrates, Atmos. Chem. Phys., 9(4), 1479-1501. doi:10.5194/acp-9-1479-2009, 2009a
- 25 Paulot, F., Crounse, J. D., Kjaergaard, H. G., Kürten, A., St. Clair, J. M., Seinfeld, J. H. and Wennberg, P. O.:, Unexpected Epoxide Formation in the Gas-Phase Photooxidation of Isoprene, Science, 325(5941), 730-733. doi:10.1126/science.1172910, 2009b

Payne, V. H., Alvarado, M. J., Cady-Pereira, K. E., Worden, J. R., Kulawik, S. S., and Fischer, E. V.: Satellite observations of peroxyacetyl nitrate from the Aura Tropospheric Emission Spectrometer, Atmos. Meas. Tech., 7, 3737-3749, doi:10.5194/amt-7-3737-2014, 2014

Roberts, J. M., Marchewka, M., Bertman, S. B., Sommariva, R., Warneke, C., de Gouw, J., Kuster, W., Goldan, P., Williams, E., Lerner, B. M., Murphy, P. and Fehsenfeld, F. C. : Measurements of PANs during the New England Air Quality Study 2002, J. Geophys. Res. 112, D20306, doi:10.1029/2007JD008667, 2007.





Pope, R. J., Richards, N. A. D., Chipperfield, M. P., Moore, D. P., Monks, S. A., Arnold, S. R., Glatthor, N., Kiefer, M.,
Breider, T. J., Harrison, J. J., Remedios, J. J., Warneke, C., Roberts, J. M., Diskin, G. S., Huey, L. G., Wisthaler, A., Apel, E.
C., Bernath, P. F., and Feng, W.: Intercomparison and evaluation of satellite peroxyacetyl nitrate observations in the upper troposphere–lower stratosphere, Atmos. Chem. Phys., 16, 13541-13559, doi:10.5194/acp-16-13541-2016, 2016.

- 5 Singh, H. B. and Hanst, P. L.:, Peroxyacetyl nitrate (PAN) in the unpolluted atmosphere: an important reservoir for nitrogen oxides, Geophys. Res. Lett., 8, 941-944, doi:10.1029/GL008i008p00941, 1981
 Singh, H. B., Herlth, D., Kolyer, R., Chatfield, R., Viezee, W., Salas, L. J., Chen, Y., Bradshaw, J. D., Sandholm, S. T., Talbot, R., Gregory, G. L., Anderson, B., Sachse, G. W., Browell, E., Bachmeier, A. S., Blake, D. R., Heikes, B., Jacob, D. and Fuelberg H. E.:, Impact of biomass burning emissions on the composition of the South Atlantic troposphere: Reactive
- nitrogen and ozone, J. Geophys. Res., 101(D19), 24203-24219, doi:10.1029/96jd01018, 1996.
 Stockwell, C. E., Yokelson, R. J., Kreidenweis, S. M., Robinson, A. L., Demott, P. J., Sullivan, R. C., Reardon, J., Ryan, K. C., Griffith, D. W. T. and Stevens, L: Trace gas emissions from combustion of peat, crop residue, domestic biofuels, grasses and other fuels: configuration and Fourier transform (FTIR) component of the fourth Fire Lab at Missoula Experiment (FLAME-4), Atmos. Chem. Phys., 14, 9727-9754, doi:10.5194/acp-14-9727-2014, 2014.
- 15 Tereszchuk, K. A., Moore, D. P., Harrison, J. J., Boone, C. D., Park, M., Remedios, J. J., Randel, W. J. and Bernath, P. F: Observations of peroxyacetyl nitrate (PAN) in the upper troposphere by the Atmospheric Chemistry Experiment-Fourier Transform Spectrometer (ACE-FTS), Atmos. Chem. Phys., 13, 5601-5613, doi:10.5194/acp-13-5601-2013, 2013. Tosca, M. G., Randerson, J. T., Zender, C. S., Nelson, D. L., Diner, D. J. and Logan, J. A.: Dynamics of fire plumes and smoke clouds associated with peat and deforestation fires in Indonesia, *J. Geophys. Res.*, 116, D08207,
- 20 doi:10.1029/2010JD015148, 2011. Ungermann, J., Ern, M., Kaufmann, M., Müller, R., Spang, R., Ploeger, F., Vogel, B., and Riese, M.: Observations of PAN and its confinement in the Asian summer monsoon anticyclone in high spatial resolution, Atmos. Chem. Phys., 16, 8389 8403, doi:10.5194/acp-16-8389-2016, 2016.

Val Martin, M., Logan, J. A., Kahn, R. A., Leung, F. Y., Nelson, D. L., and Diner, D. J (2010), Smoke injection heights from

25 fires in North America: analysis of 5 years of satellite observations, Atmos. Chem. Phys., 10, 1491–1510, doi:10.5194/acp-10-1491- 2010, 2010.

Wang, Y., Logan, J. A. and Jacob, D. J.: Global simulation of tropospheric O3-NOx-hydrocarbon chemistry, 2. Model evaluation and global ozone budget, *J. Geophys. Res.* 103, 10727-10755, 1998

Wiegele, A., Glatthor, N., Hoepfner, M., Grabowski, U., Kellmann, S., Linden, A., Stiller, G. and von Clarmann, T.: Global
distributions of C₂H₆, C₂H₂, HCN and PAN retrieved from MIPAS reduced spectral resolution measurements, Atmos. Meas.
Tech., 5, 723-734, doi:10.5194/amt-5-723-2012, 2012

Yokelson, R. J., Andreae, M. O., and Akagi, S. K.: Pitfalls with the use of enhancement ratios or normalized excess mixing ratios measured in plumes to characterize pollution sources and aging, Atmos. Meas. Tech., 6, 2155-2158, doi:10.5194/amt-6-2155-2013, 2013.





Zhang, L., Li, Q. B., Jin, J., Liu, H., Livesey, N., Jiang, J. H., Mao, Y., Chen, D., Luo, M.and Chen, Y.: Impacts of 2006 Indonesian fires and dynamics on tropical upper tropospheric carbon monoxide and ozone, Atmos. Chem. Phys., 11, 10929-10946, doi: 10.5194/acp-11-10929-2011, 2011.

Zhu, L., Fischer, E. V., Payne, V. H., Worden, J. R. and Jiang, Z.:, TES observations of the interannual variability of PAN
over Northern Eurasia and the relationship to springtime fires, *Geophys. Res. Lett.*, 42, 7230–7237, doi:10.1002/2015GL065328, 2015

Zhu, L., Fischer, E. V., Payne, V. H., Walker, T. W., Worden, J. R., Jiang, Z. and Kulawik, S. S.: PAN in the Eastern Pacific Free Troposphere: A Satellite View of the Sources, Seasonality, Interannual Variability and Timeline for Trend Detection, *J. Geophys. Res.*, in review, 2016

10





5

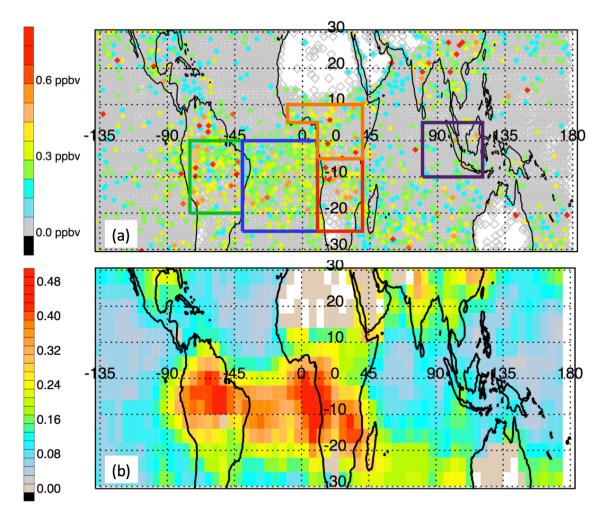


Figure 1: (a) Gray points show locations of TES measurements during October 2006 where PAN retrievals were attempted. Colored points show cases where elevated PAN was measured in the TES spectra, colored according to the average VMR between 800hPa and the tropopause. Values over 0.8 ppbv are colored red. Boxes show regions highlighted in Figures 2 and 5. (b) Fraction of TES measurements where elevated PAN was detected in TES spectra, showing a maximum in the Tropical South Atlantic.





5

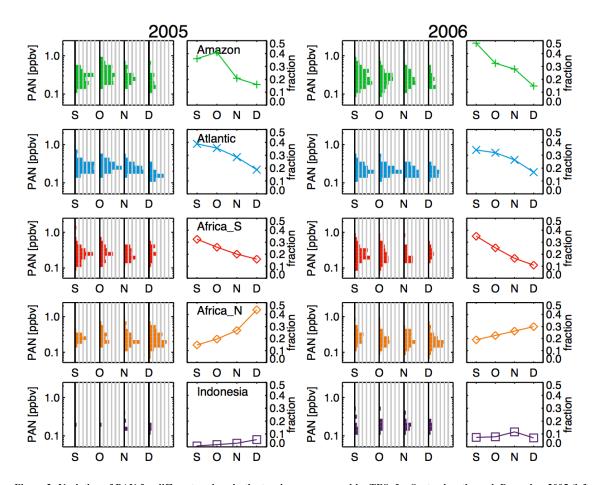


Figure 2: Variation of PAN for different regions in the tropics, as measured by TES, for September through December 2005 (left two columns) and 2006 (right two columns). Two-dimensional histograms show the distribution of PAN values measured by TES for September through December 2005 and 2006 for regions defined by the boxes shown in Figure 1(a) – the Amazon region of South America, the Tropical South Atlantic, Southern Central Africa, Northern Central Africa and Indonesia. Histograms are normalized by the total number of TES observations in that region/month. Line plots show the fraction of TES observations where elevated PAN was detected (colored lines).





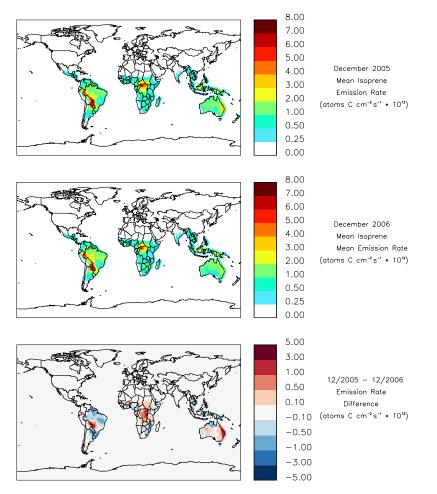


Figure 3. Monthly mean MEGAN biogenic isoprene emission rate for December 2005 (top) and December 2006 (middle). The bottom panel presents the difference in average emission rates between December 2005 and December 2006.

5





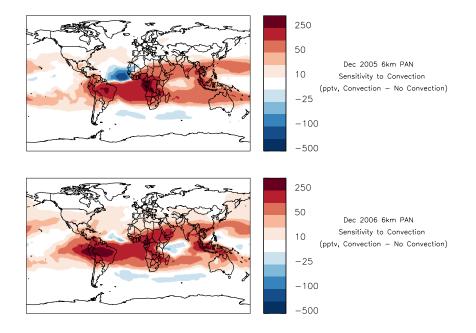


Figure 4. Sensitivity of PAN to convection during December 2005 (top) and December 2006 (bottom) at 6 km calculated as the difference in PAN between a simulation with and without convection.





5

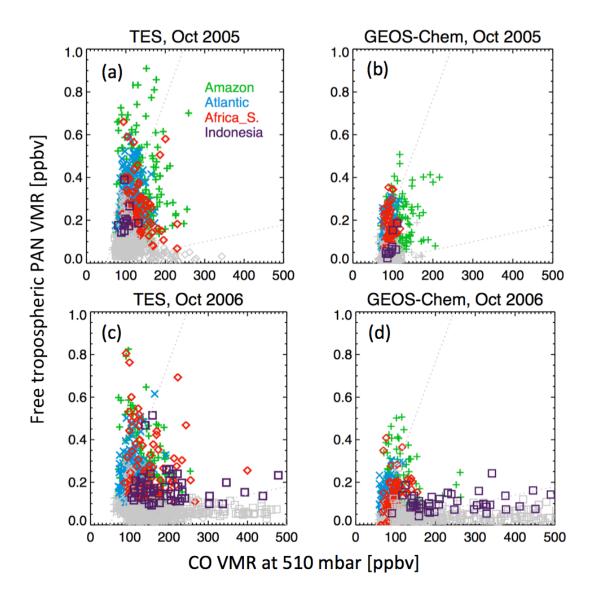


Figure 5. Scatter plots of CO vs PAN, from TES data and from the GEOS-Chem model, sampled at TES times and locations. Colored symbols show points where TES DOFS > 0.6 for selected regions (Green crosses for the Amazon, blue crosses for the tropical south Atlantic, red diamonds for Southern Central Africa and purple squares for Indonesia.) Gray symbols show points within any of the selected regions where TES DOFS < 0.6. Gray dotted lines show maximum and minimum values of DPAN/DCO enhancements in aircraft measurements of boreal fire plumes, as reported in Alvarado et al [2010].





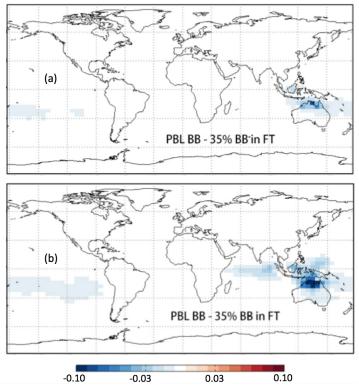


Figure 6. Model sensitivity of free tropospheric PAN to injection height. (a) Difference between a GEOS-Chem simulation where all fire emissions over Indonesia are injected directly into the PBL and a simulation where 35 % of fire emissions over Indonesia
are injected above the PBL, for October 2005. (b) Same, for October 2006. Scales are fractional difference.