

**Authors' comments in reply to the anonymous referee for "Evaluation of ACCMIP ozone simulations using a multi-constituent chemical reanalysis" by K. Miyazaki and K. Bowman**

We want to thank the referee for the helpful comments. We have revised the manuscript according to the comments, and hope that the revised version is now suitable for publication. Below are the referee comments in italics, with our replies in normal font.

***Reply to Referee #1***

*General remarks:*

*The paper would benefit from a more stringent focus on the ozone sonde sampling biases and its impact on the evaluation because this is the actual novelty aspect of the paper. The ACCMIP models have been evaluated. So only the differences of the new evaluation approach with previous work is of interest. I would recommend to add to the title "- focus on ozone sonde sampling biases" or similar. The sampling biases should be mentioned and discussed in abstract and introduction more clearly.*

The title has been revised as follows:

"Evaluation of ACCMIP ozone simulations and ozonesonde sampling biases using a satellite-based multi-constituent chemical reanalysis."

The abstract and introduction have been modified to mention the sampling biases.

*To get a better understanding of the sampling biases, i.e. the difference in the mean over area averages using all grid points at regular intervals or only the stations locations at the time of the observations, it is recommended to show the sampling biases not only for the differences between ACCMIP models and the reanalysis but also for the Re-analysis and the model runs, including the control run itself. It would be interesting to see to what extent they differ as the reanalysis may also be effected by the "sampling biases" of the assimilated observations. A strong sampling biases for model result will help to convince modellers to use reanalysis data for model evaluation.*

Table 9 has been added to discuss the impacts of the sampling biases in the reanalysis and control run comparisons. These results are discussed in Section 5.1 as follows:

"Further, ozonesonde sampling bias is evaluated for the control run and reanalysis comparisons. As summarized in Table 9, at 500 hPa, there are large differences (> 30 %) between the two evaluations in

many regions, especially in the NH mid latitude regions in winter and in the tropics throughout the year, as also found in the ACCMIP models and reanalysis comparisons (Table 8). The analysis increments introduced by data assimilation vary with space and time, reflecting the changes in coverage and uncertainty of assimilated measurements as well as in model errors. Nevertheless, observational information was propagated globally and integrated with time through forecast steps during the data assimilation cycles. This is true for ozone because of its relatively long lifetime in the free troposphere. Therefore, the spatial distribution is well constrained by data assimilation, and we do not expect large variations in the reanalysis quality within each analysis region.”

*The authors should aim to provide a better understanding of the reasons of the sampling biases. Do they come more from spatial heterogeneity or the variable temporal sampling. The latter can be estimated by comparing re-analysis means at a 2 hourly resolution or only at the ozone sonde observing times. For the sake of consistency the quantification of the sampling biases should be carried out for one set of latitude bands in the same way as in the more regional analysis presented in section 5.*

Table 8 has been revised to discuss the influences of temporal and spatial sampling errors separately. The following sentences have been added in Section 5.1:

“Our analysis using monthly reanalysis fields sampled at the ozonesonde locations (brackets in Table 8) suggests a greater impact of the spatial sampling bias than the temporal sampling bias for the NH polar east in DJF.”

“At 500 hPa over Canada, the relative importance of the spatial and temporal sampling biases varies with season: the spatial (temporal) sampling bias is dominant in DJF (JJA), whereas both of them are important in MAM.”

“Over the Western Pacific and East Indian Ocean, the sampling bias is not reduced by using monthly mean reanalysis fields (sampled at the ozonesonde locations) in DJF and JJA. This suggests that ozone varies with time and space in a complex manner, and a dense (in both space and time) network would be required to capture the regional and seasonally representative model biases in this region.”

“The temporal sampling bias mostly dominates the difference in the SH high latitudes in MAM and JJA, whereas the spatial sampling bias is also important in the SH mid latitudes in DJF and MAM.”

Table 8 has been modified to describe the sampling biases for four latitude bands. The following sentences have been added in Section 5.1:

“Table 8 also shows the model evaluation results for four latitudinal bands at 500 hPa. The observations used are shown in bold in Table 2. The differences between the two evaluations are small in the NH extratropics (30-90N) in all seasons, because of the relatively large number of observations. There are

large differences in the tropics of both hemispheres: the ozonesonde network reveals a large negative sampling bias in the model evaluation in the NH tropics (Eq-30N) in SON (-9 % in the complete sampling and -16 % in the ozonesonde sampling) and in the SH tropics (30S-Eq) in MAM (-14 % and -21 %) and a large positive sampling bias in the NH tropics in JJA (-7 % and -3 %). Large sampling biases (> 60 %) also exist in the SH extratropics (90-30S) in DJF and MAM due to the sparse ozonesonde network.”

*Section 5 “Impact of Sampling on model evaluation” discusses the regional biases and the general problem in a lot of detail but sections 4.2 and 4.3 discuss already the sampling biases for the latitude bands. I recommend moving the introduction of the sampling biases to an earlier section (2).*

The introduction and methodology of the sampling biases have been moved to Section 2.4 (Section title: Ozonesonde sampling bias estimation).

*The discussions section, in particular 6.3, does not discuss the direct results of the paper but gives an outlook on other potential aspect of the usefulness of the evaluation with chemical re-analyses. However, the positive impact on species not directly assimilated has not be demonstrated in the paper. Also, the four year comparison is not long enough to infer trends and longer re-analysis of atmospheric composition are likely to suffer from temporal artefacts because of the changing observational system. I would therefore not discuss in detail these aspects in the paper as there is not enough evidence given to support them.*

Because this is the first study to use chemical reanalysis for model evaluation, it is worthwhile discussing its possible application in future studies. The positive impacts on non-assimilated species have been discussed in our previous studies, and this is described in the revised manuscript as follows:

“Miyazaki et al. (2012b, 2015) demonstrated that the multiple-species assimilation results in a strong influence on both assimilated and non-assimilated species.”

The limitation of the evaluations using the five-year (2005-2009) reanalysis is discussed as follows in Section 5.1 of the revised manuscript:

“The five-year reanalysis (2005-2009) may cause biases in the estimated model errors in the evaluation of the 2000 decade ACCMIP simulations that used decadal-averaged SST boundary conditions and biomass-burning emissions averaged over 1997--2006 (Lamarque et al., 2010). It may neglect the influences of interannual and decadal changes in both anthropogenic and biomass emissions and meteorology. Longer-term reanalysis and time-consistent validation are required to obtain more robust

error estimations.

To discuss remaining issues with a longer-term reanalysis, the following sentences have been added in Section 6.3:

“However, any discontinuities in the availability and coverage of the assimilated measurement will affect the quality of the reanalysis and estimated interannual variability, which limit the usability of a long term reanalysis for model evaluation, as discussed in Miyazaki et al (2015) for chemical reanalyses and in Thorne and Vose (2010) for climate reanalyses. This also requires a bias-correction procedure for each assimilated measurement, in order to improve the reanalysis quality (Inness et al, 2013).”

*The used ozone sondes observations need to be clearer identified and their sampling discussed. A table of the used ozone sondes, their sampling frequency and outage in the period and mean should be summarised in a table not only for the regional areas but also for the latitude bands. It should be made clear which stations are used for global/hemispheric stratification in Figures 1 to 7 and the more regional stratification Figure 9-10.*

Table 2 has been added.

*Specific remarks,*

*PL1: 5 Please “the” before instrument names*

Added.

*PIL5: Please add a sentence on the advantages of using a 3D re-analysis rather than ozone sondes for the model evaluation.*

The following sentence has been added:

“The reanalysis provides comprehensive information on the weakness of the models, whereas we consider that the spatial and temporal coverage of individual measurements, such as ozonesonde measurements, is insufficient to capture the temporally and spatially representative model bias.”

*PIL6: Please ad here or at L 12 the problem of the ozone sampling biases*

*PIL12: Please state more clearly the differences in the evaluation results when using the re-analysis as complete field and on the the ozone sonde observation locations and times only.*

The following sentence has been added:

“The ozonesonde sampling bias in the evaluated model bias for the seasonal mean concentration is 40-50 % over the Western Pacific and East India and reaches 110 % over the equatorial Americas in the middle troposphere.”

*P1L24: better “transport”*

Replaced.

*P2L8: Please add some references for these evaluation studies*

Added.

*P2L13: there is a “First” and a “Third” (L19) but I did not find a “Second”*

Corrected.

*P2L18: The sentence starting with “However, . . .” is a strong motivation for the paper. Please elaborate and also mention that the climatologies do not capture the temporal variability of the observed ozone.*

The sentences have been rewritten as follows:

“However, the climatological data does not provide information on the temporal variability of the observed ozone. In addition, the current ozonesonde network does not cover the entire globe and is not homogeneously distributed between the hemispheres, ocean and land, and urban and rural areas, and its sampling interval is typically a week or longer. Model errors are also expected to vary greatly in time and space at various scales.”

*P2L30: Please consider citing overview papers such as Bocquet et al. (ACP 2015) or Sandu et al. (Atmosphere, 2011)*

Added.

*P4L4: Please comment how this is related to resolution of the evaluated ACCMIP models.*

The following sentence has been added:

“The horizontal model resolution is comparable to the resolution of ACCMIP models (ranging from 1.24° to 5°).”

*P4 L22: Please explain how the ensemble is constructed, i.e. what parameters are varied to get a different ensemble members in the EnKF. This information is important because you later use the ensemble spread partially as indicator of the analysis error.*

The following sentence has been added:

“The ensemble perturbations were introduced to all the state vector variables as described below.”

*P4 L23: “satellite retrieval operator”? This implies radiances i.e. Level 1 were assimilated, which is perhaps not the case. Please clarify.*

The sentence has been rewritten as:

“and an operator that converts the model fields into retrieval space”

*P5L4: Please mention if it could be shown that the modulation of the lifetimes was an improvement.*

The following sentence has been added:

“Miyazaki et al. (2015) demonstrated that the Northern/Southern Hemisphere OH ratio became closer to an observational estimate of Patra et al (2014) due to the multiple-species assimilation.”

*P5 L14: Please provide a table with the assimilated retrievals and additional information such as assimilated height range, temporal data coverage and an indication of observation errors statistics.*

Table 1 has been added.

*P5L21: Please elaborate on the period and the meteorological input for this time-slice setup. It is important to know what sort of realism can be expected from the simulation if they are compared against observations.*

The following sentences have been added:

“The number of years that the ACCMIP models simulated for the 2000 decadal simulation mostly varied between 4 and 12 years for each model. Each model simulation was averaged over the simulated years.”

“Meteorological fields were obtained from analyses in CICERO-OsloCTM2 and from climate model fields in MOCAGE. UM-CAM and STOC-HadAM3 simulated meteorological and chemical fields, but chemistry did not affect climate. In all other models, simulated chemical fields were used in the radiation calculations and hence provide a forcing effect on the general circulation of the atmosphere. Lamarque et al. (2013) indicated that most models overestimate global annual precipitation and have a cold bias in the lower troposphere.”

*P6L9: Please clarify which station were used for the comparison. The ones listed in table 4 ? If so mention it here. Provide information about station numbers and individual temporal coverage as this may vary greatly and contribute to the ozone sonde sampling bias.*

The sentences have been rewritten as:

“All available data from the WOUDC database are used for the evaluation of reanalysis data (Section 3), as listed in Table 2. For the evaluation of ACCMIP models and ozonesonde sampling biases (Section 4 and 5), we use the ozonesonde sampling based on the compilation by Tilmes et al. (2012), which is shown in bold in Table 2. Because there is no observation after 2003 in Scoresbysund, this location has been removed from the compilation in this study.”

Table 2 has been added to summarize the ozonesonde measurements.

*P6 L17-23: This description of the model changes may better put in the model description section.*

Moved.

*P6L30: Please clarify what the differences in the assimilated observations are between this data set and the previous one.*

The following sentence has been added:

“MLS retrievals have been updated from v3.3 in Miyazaki et al. (2015) to v4.2 in this study.”

*P7L10: Please clarify what temporal averaging the temporal correlation is based on (i.e. monthly means, annual means, instantaneous values etc.). It is good practise to de-seasonalize the time series to get a more meaningful information about the temporal correlation. On the other hand, 5 years might be too short to obtain a robust information about seasonality and year-to-year variability.*

The sentence has been rewritten as follow:

“The tropospheric concentrations show distinct seasonal and year-to-year variations, for which the temporal correlation based on the monthly and regional mean concentrations is increased by the data assimilation globally, except at high latitudes in the lower troposphere.”

Because the seasonal pattern varies with year especially in the tropics, we did not apply de-seasonalization.

The limitations of the five-year reanalysis data are discussed in the revised manuscript as follow:

“The reanalysis can be extended to a longer-term validation that will provide more information on seasonality and year-to-year variability.”

*The numbers in figure 1 indicate a very good reduction in biases but far less so for variability measures. (The reduction in RMSE seems dominated by the bias component and temporal correlation is less improved). This seems to contradict the theoretical basis of data assimilation, which is meant to reduce the error variance assuming bias free model. A further discussion would be helpful.*

We confirmed that both the bias and RMSE are largely reduced compared with assimilated measurements (e.g., TES) due to data assimilation, as demonstrated in our previous study (Miyazaki et al., 2015). In the comparison against independent ozonesonde measurements in this study, spatial gaps between the model/analysis and observations (i.e., representativeness error) result in large RMSE even after data assimilation.

The relevant sentence has been rewritten as follows:

“Root-Mean-Square-Errors (RMSEs) are also reduced above the middle troposphere, although the reduction rate is relatively small compared to the bias, probably due to representativeness errors between the ozonesonde measurements and data assimilation analysis.”

*P7L14: As you also show the ozone sondes in the sections on seasonal variation and hemispheric gradient, it seems odd not to show the ozone sondes observations in Figure 3. Please add a further panel with colour dots at the station location.*

Added.

*P7L18: It is confusing that you choose a different latitude bands for table 1 and table 2. Please use the*



*same selection of latitude bands through the paper.*

Corrected.

*P7L18: Please, clarify how spatial  $r$  was calculated (only using the 5 year mean, based on lat-long grid points or area-weighted grid-points etc. Consider filtering small scale noise by averaging over areas corresponding to the resolution of the reanalysis.*

The sentence has been rewritten as:

“As summarized in Table 4, the global spatial distributions are similar between the five-year mean reanalysis field and the ensemble mean when estimated at  $2^{\circ}\times 2.5^{\circ}$  spatial resolution, with a spatial correlation ( $r$ ) greater than...”.

In addition, the following sentence has been added in Section 2.2:

“Both the ACCMIP models and chemical reanalysis are interpolated to at  $2^{\circ}\times 2.5^{\circ}$  spatial resolution and 67 levels, following Bowman et al. (2013), and then compared each other. Spatial correlations are computed with consideration of weighting for the latitude.”

*The spatial correlation coefficient presented here seems less suited to express agreement in spatial patterns, which would be meaningful for the understanding of the model performance. Spatial  $r$  might be too much effected by the underlying spatial variability of the actual fields, thereby penalizing fields with greater more random variability i.e. standard deviation.*

Because all model and reanalysis fields were interpolated into the same spatial resolution ( $2^{\circ}\times 2.5^{\circ}$ ) before the comparisons, the estimated spatial correlation can provide information on the model performance on the spatial pattern at that spatial scale. Although more thorough evaluations would be required for more careful discussions of the spatial pattern, the present evaluation method has been widely used and is valid.

*P7L19: The lower spatial correlation coefficient at  $p=500$  hPa in NH could simply be caused by a different transport patterns (winds) and larger heterogeneity than in SH. Good correlation at the surface could be simply because a good match of emission patterns. High correlation at 200hPa in extra-tropics could mean that the transition in to the stratosphere agreed reasonably well. So are the different spatial  $r$  really helpful to distinguish model performance?*

The different reasons for each region raised by the reviewer are discussed in the manuscript. Because the spatial correlation varies significantly among the models as discussed in Section 4.1, it is a useful diagnostic of model performance. Please also see our reply above.

*P8L4: Please clarify again how the statistical variables shown in the Taylor diagram were computed. Given my scepticism about the meaning of the spatial correlation, I would consider omitting Figure 4 and shortening the discussion.*

The following sentence has been added in Section 2.2:

“Both the ACCMIP models and chemical reanalysis are interpolated to at  $2^{\circ}\times 2.5^{\circ}$  spatial resolution and 67 levels, following Bowman et al. (2013), and then compared each other. Spatial correlations are computed with consideration of weighting for the latitude.”

We think the Taylor diagram plots are useful to measure the general performance of each model and are widely used in the climate model evaluation. Please also see our reply on the spatial correlation estimates above.

*P9L6: Please clarify how the seasonal amplitude was calculated. How was made sure that “noise”, i.e. unstructured variability, was not attributed to the seasonal amplitude.*

The seasonal amplitude was estimated from the difference between maximum and minimum monthly mean concentrations, which could reflect noise in the seasonal variation. This is described in the revised manuscript.

*P9L12: In section5 you discuss the sampling bias with respect to the regional areas. You should also discuss the sampling biases w.r.t to the selected latitude bands. This is needed because you also discuss model performance for the latitude bands. As mentioned in the general remarks, please also indicate the difference between the model results sampled at ozone locations and observation times and the area averages.*

Please see our reply above.

*P9L13: Please confirm that the average is area weighted and not based simply on lat-long grid boxes, which decrease in size towards the poles.*

The average is area weighted, as described in the revised manuscript.

*P10L8: Please clarify how you exactly calculate the hemispheric gradient both for the gridded fields and for the ozone sonde observations.*

The following sentence has been added:

“For the estimation of the gradient using the ozonesonde observations, we made a gridded dataset from the ozonesonde observations based on the completion by Tilmes et al (2012) at 2°x2.5° spatial resolution, and then calculated area-weighted hemispheric mean concentrations using the gridded data.”

*P10L15: add missing “At” before “Around ”*

Added.

*P10L18: How do these value compare to values from the literature?*

To the best of our knowledge, there is no literature that shows an inter-hemispheric ozone gradient for different altitudes of the troposphere.

*P10L26: As you already discuss sampling biases it a bit inconsistent to put the section at this place. This very good introduction to sampling biases (p10L27 – p11L15) should come earlier in the paper, i.e. in the part when you discuss the methods (section2)*

Moved to Section 2.4.

*P10L15: The sampling biases depends on the averaging area and the selection of ozone sondes. The sampling biases estimated by using your re-analysis should be presented for the Tilmes regions as well as for the latitude bands (choose one set only) in and uniform way. As model results are often evaluated for the latitude bands, this information would be very interesting for the scientific community.*

Table 8 has been modified to include the results for four latitudinal bands.

*P10L24 Please add also the stations used for the latitude bands averages in table 3.*

This is mentioned in Section 2.3 and Table 2 in the revised manuscript.

*P12L1: I think there is would be very good to compute the sampling biases also directly for the re-analysis i.e. the difference between the re-analysis sampled as ozone and as area-time averages. This information would be in my opinion of more general meaning than the values for the ACCMIP error.*

Table 7 has been added to discuss the sampling bias for the reanalysis fields. The following discussion, regarding this table, has been added in Section 5:

“Table 7 demonstrates the regional and seasonal mean differences of the reanalysis concentrations between the complete sampling and the ozonesonde sampling. The ozonesonde sampling results have higher concentrations (by about 3 %) in the two NH polar regions for most cases, whereas the difference is smaller in NH polar west than in NH polar east. Among the NH mid-latitude regions, a large difference (about 14 %) exists between the two cases over the eastern United States in June-August (JJA), where the comparison using monthly reanalysis fields sampled at the ozonesonde locations (brackets in Table 7) suggests that the sampling bias is dominated by temporal variations. The tropical and subtropical regions exhibit large sampling biases, 4-12.3 % over the NH subtropics, -3.2-5.0 % over the Western Pacific and East Indian Ocean, 0--7.8 % over the equatorial Americas, and -3.8-7.5 % over the Atlantic Ocean and Africa. In most of the tropical and subtropics regions, both the spatial and temporal sampling biases are important, because of large spatial and temporal variability of ozone and the sparse observation network. For the global tropics, the sampling bias reaches 13 % in the NH (Eq-30N) and 8 % in the SH (30S-Eq). Thus, the ozonesonde network has a major limitation when it comes to capturing ozone concentrations that are representative of seasonal and regional means for the entire tropical region. The sampling bias may not be negligible even in the SH (0.3-3.9 % in the SH mid-latitudes and 0.8-4.2 % in the SH high latitudes), and it is large (up to 13 %) when estimations are done for a large area (90-30S). The large sampling bias in 90-30S is primarily attributed to spatial variability. The impact of the sampling bias on the model evaluation is discussed in the following section.”

*P12L1: Why does table 5 show the median whereas otherwise only the ensemble mean is discussed or shown. (Using only the median would be perhaps a better option overall)*

We present mean values in other estimates because we also discuss the standard deviation to the mean. Medians are shown only in this table (Table 8 in the revised manuscript), in order to provide more robust estimates of the model error and sampling bias for each region. The following sentence has been added to clarify this point:

“The sampling bias is evaluated using the median of the multiple models to provide robust estimates of the model performance.”

*P13L8: The ozone network in SH high-latitudes is actually quite high because of the need to monitor the ozone hole. The launch frequency varies for some stations a lot because more sondes are launched during the ozone hole season.*

Yes, I agree. However, because only three stations were considered in the comparison following Tilmes et al. (2012), the ozonesonde network is not sufficient to capture the ozone variations. Our results suggest that the temporal sampling bias mainly causes the sampling bias in the SH high latitudes in MAM and JJA. This is discussed in the revised manuscript.

*P13L24: Please add also the sampling biases for the latitude bands.*

Added in Table 10. The following sentences have been added to discuss the results:

“Because the seasonal variations differ among different regions, the seasonal amplitude estimated for the entire NH extratropics (30-90N) is largely different between the two estimates throughout the troposphere.”

“The sampling bias in the seasonal amplitude estimated for the entire tropics is larger than 60 % throughout the troposphere both in the NH (Eq-30N) and SH (30S-Eq).”

*P14 L14: Please clarify how much of the analysis ensemble spread depends on some-times arbitrary choices to cause spread between the ensemble members.*

The following sentence has been added:

“Note that the data assimilation setting influences the analysis uncertainty estimation in the reanalysis. In particular, the analysis spread was found to be sensitive to the choice of ensemble size (Miyazaki et al., 2012b). A large ensemble size is essential to capture the proper background error covariance structure (i.e., analysis uncertainty).”

*P14L15: Please clarify to what extent the analysis uncertainty is controlled by the uncertainty of the assimilated observations.*

The sentences have been rewritten as follows:

“Miyazaki et al (2015) investigated that the analysis spread is caused by errors in the model input data, model processes, and assimilated measurements, and it is reduced if the analysis converges to a true state. The analysis spread is smaller in the extratropical lower stratosphere than in the tropical upper

troposphere at 200 hPa, because of the high accuracy of the MLS measurements. In contrast, in the middle troposphere, the analysis spread is generally smaller in the tropics than the extratropics because of the higher sensitivities in the TES retrievals.”

*P14L21: How does the ensemble spread relate to the spread of the ensemble in the EnKF. Could the ACCMIP ensemble spread be used to verify the EnKF ensemble spread?*

The simultaneous enhancement of the analysis uncertainty and the model spread indicates low robustness of the validation results, as discussed in the manuscript. Verification of EnKF ensemble spread using ACCMIP ensemble model spread would be an interesting research topic but requires careful discussion and is clearly out of the scope of the present study.

*P15L3: Please see my general comment on this chapter. Improvement on species not directly assimilated needs to be demonstrated. Long-term reanalysis could suffer from artificial jumps because of the change in the observing system (for example degradation of TES after 2010).*

Corrections have been made. Please see our reply above.

*P15L29: I don't understand this conclusion at all. Re-analysis are only valid for present day conditions when observations are available. They cannot be used to for pre- industrial estimates nor the differences with today's values.*

Assuming that the persistent systematic bias from the pre-industrial to present day can be attributed to time-independent model errors in chemical and transport processes, as suggested by previous studies (e.g., Young et al., 2013), the validation results using the reanalysis for the present day has the potential to evaluate preindustrial to present-day ozone radiative forcing. This is discussed in the manuscript.

*P16L4: Please mention that you (only) consider ozone sondes as reference in this paper.*

The following sentence has been added:

“The evaluation results are also used to quantify the ozonesonde network sampling bias.”

*P16L6: Please mention the advantage of using a re-analysis, i.e. a gridded field. Please mention that the biases of the re-analysis against ozone sondes are small.*

The sentences have rewritten as follows:

“The reanalysis product provides comprehensive and unique information on global ozone distributions for the entire troposphere and on the weakness of the individual models and multi-model mean. Validation of the chemical reanalysis using global ozonesondes shows good agreement throughout the free troposphere and lower stratosphere for both seasonal and year-to-year variations.”

*P16L17: Please add a statement if these finding are consistent with other evaluation studies, i.e. the Young et al. paper.*

The following sentence has been added:

“The performance of the ACCMIP model when compared with the reanalysis is qualitatively similar for most cases from that shown by Young et al. (2013) using the ozonesonde measurements but quantitatively different because of the ozonesonde network sampling bias.”

*P16L20-30: Please give some numbers for the sampling biases. Also include the sampling bias w.r.t to latitude bands.*

The following sentences have been added:

“For the global tropics, the ozonesonde sampling bias is largely negative by 80 % in the NH (Eq-30N) in SON and by 50 % in the SH (30S-Eq) in MAM.”

“Large sampling biases (> 60 %) exist in the SH extratropics (90-30S) in DJF and MAM”

*p17L4: Please add a statement that it will be a challenge to combine all these observations in a consistent way in a more long term re-analysis.*

The following sentence has been added:

“Combining many observations requires a bias correction procedure for each assimilated measurement to improve the reanalysis quality but needs to be carefully checked.”

**Authors' comments in reply to the anonymous referee for "Evaluation of ACCMIP ozone simulations using a multi-constituent chemical reanalysis" by K. Miyazaki and K. Bowman**

We want to thank the referee for the helpful comments. We have revised the manuscript according to the comments, and hope that the revised version is now suitable for publication. Below are the referee comments in italics, with our replies in normal font.

***Reply to Referee #2***

*Specific Comments:*

*Abstract: Please mention that a 4-year reanalysis data is used to compare ACCMIP time-slice simulations, and that the evaluation itself can be biased because of this inconsistency.*

The abstract has been rewritten to describe the time period of the reanalysis and ACCMIP simulations.

*P1L17: Insert anthropogenic between "...important greenhouse.."*

Inserted.

*P2L1: There are several studies highlighting the use of CTMs/CCMs to assess the radiative impacts of tropospheric ozone prior to Bowman et al (2013). Please acknowledge those.*

Several studies are cited in the following sentence.

*P2: 2nd and 3rd paragraphs discuss uncertainties in measurements for evaluating chemistry-climate models. I think they can be combined and modified for clarity.*

Combined and modified.

*P3L12: Please provide motivation for evaluating only tropospheric ozone and not its precursors (e.g., NO<sub>2</sub>, CO). Presumably biases in ozone are driven by biases in its precursors.*

The following sentences have been added:

"Model errors in precursors can also be evaluated using the reanalysis product, and this could help



identify error sources in tropospheric ozone simulations. However, because no other study has shown the potential of reanalysis ozone for model evaluation, this study focuses on tropospheric ozone only.”

*P4. How is photolysis calculated in the forecast model? Does the model represent methane - prescribed concentrations or emissions? Since the ACCMIP models used different emissions inventory for ozone precursors compared to what is used in this reanalysis product, how would the comparison be affected by this difference?*

The following sentences have been added:

“The radiative transfer scheme considers absorption within 37 bands, scattering by gases, aerosols, and clouds, and the effect of surface albedo. Detailed radiation calculations are used for photolysis calculation. Methane concentrations were scaled on the basis of present-day values with reference to the surface concentration.”

Because the surface emissions of NO<sub>x</sub> and CO are optimized using data assimilation in the chemical reanalysis, the difference in the emission inventory should not affect the comparison. In our previous studies (Miyazaki et al., 2012, 2015, 2017), it was confirmed that the a priori emissions do not largely influence the a posteriori emissions through long data assimilation cycles.

*P4L13: What is the convection scheme of MIROC-AGCM? Please describe it in a sentence.*

The sentence has been rewritten as follows:

“Lightning NO<sub>x</sub> (LNO<sub>x</sub>) sources in MIROC-Chem were calculated based on the relationship between lightning activity and cloud top height (Price and Rind, 1992) and using the convection scheme of MIROC-AGCM developed based on the scheme presented by Arakawa and Schubert (1974).”

*P5L7: What is DOMINO data? What does the acronym stand for?*

DOMINO stands for Dutch OMI NO<sub>2</sub>. This is described in the revised manuscript.

*P5L21: According to Lamarque et al (2013), models were run for several years (up to 10 years) for each time slice. How are the model results for 2000 time-slice handled for comparison with the reanalysis?*

The following sentence has been added:

“The number of years that the ACCMIP models simulated for the 2000 decadal simulation mostly varied between 4 and 12 years for each model. Each model simulation was averaged over the simulated years.”

To discuss the limitation of the short period of the chemical reanalysis used for the validation, the following sentences have been added in Section 6.1:

“The five-year reanalysis (2005-2009) may cause biases in the estimated model errors in the evaluation of the 2000 decade ACCMIP simulations that used decadal-averaged SST boundary conditions and biomass burning emissions averaged over 1997-2006 (Lamarque et al., 2010). It may neglect the influences of interannual and decadal changes in anthropogenic and biomass emissions and meteorology. Longer-term reanalysis and time-consistent validation are required to obtain more robust error estimations.”

*P5L29: Young et al. (2013) note that although the models used the same anthropogenic and biomass burning emissions, model-to-model diversity in the implemented chemical scheme resulted in differences in precursor (especially VOCs) emissions across the models. So, the statement “same emissions were used in all the models” is not accurate. Please modify.*

Removed.

*P6L7: Please provide details on how the ACCMIP model monthly ozone concentrations were interpolated to 2 hour temporal resolution? What diagnostics from the reanalysis were used to compare with observations - monthly or hourly ozone? Some clarification is needed here.*

The sentences have been rewritten as:

“The two-hourly reanalysis and forecast model (i.e., control run) fields were linearly interpolated to the time and location of each measurement, with a bin of 25 hPa, and then compared with the measurements. For the ACCMIP models, the monthly model outputs were compared with the measurements at the location of each measurement.”

*P6L29: Can you please elaborate on the model setting that caused this significant degradation of the representation of ozone in the UTLS?*

The clause has been rewritten as follows:

“because of different model settings, such as the upper boundary conditions of NO<sub>y</sub>, Cly, and Bry”

*P7L3: The terminology to refer to model output is somewhat confusing making it difficult to keep track of observations versus model output. Suggest referring to output from the forecast model and ACCMIP as “forecast model output” and “ACCMIP model output”, respectively, and observations as “data”.*

Corrected throughout the paper.

*P7L22-24: The simulation of the “wave-1” pattern by ACCMIP models has already been highlighted by Young et al. (2013). This reference needs to be cited.*

Cited.

*P7L32: It is not clear what “common reported” means here. Please clarify.*

Replaced by “Young et al. (2013) consistently revealed the positive bias in the NH and negative bias in the SH using OMI/MLS tropospheric ozone column measurements.”

*P8L10: Which region is “In this region. . .” referring to?*

Replaced by “In the NH extratropics in the lower and middle troposphere”.

*P8L14-15: These results are consistent with those discussed in Young et al. (2013).*

Young et al. (2013) is cited.

*P8L24: The differences could also be associated with the way biomass burning emissions are handled across models - whether they are emitted at the surface layer or distributed vertically in the model, as a larger role for biomass burning in the tropical mid to upper troposphere has been suggested recently (Anderson et al., 2016).*

The following sentence has been added:

“For instance, biomass burning emissions are handled differently across the models, which may lead to differences in ozone simulations in the tropics (Anderson et al., 2016).”

*P8L33-34: Why are results from a specific model highlighted? Is it because model 8 is driving the large model diversity at 200hPa? Please provide a figure to support this statement if this is indeed the case.*

The sentence has been removed.

*P9L3: It took me a while to understand the meaning of “reanalysis concentrations from the ozonesonde sampling”. Please rephrase this to indicate that reanalysis output is sampled at ozonesonde sites instead of averaging the reanalysis at all grid cells.*

Replaced.

*P929-31: Here again the results are consistent with Young et al. (2013) - see their Figure 4 and its discussion.*

Cited.

*P10L23-25: Please clarify what is meant by “radiative heating distribution in chemistry-climate simulations are largely uncertain.” Are you referring to the radiative heating due to tropospheric ozone? Please elaborate on how the O3 NH/SH ratio provides information on the radiative heating distribution.*

The sentence has been replaced by

“The large systematic error in the NH/SH ratio suggests that, for instance, the inter-hemispheric distribution of radiative heating due to tropospheric ozone in chemistry--climate simulations are largely uncertain in most models”

*P11L17: replace “completion” with compilation.*

Replaced.

*P11L19: By coarse resolution, do you mean the coarse horizontal resolution?*

Yes. Replaced.

*P11L29: Did you mean - “...less variabilities in the SH than in the NH”?*

Yes. Corrected.

*P12L9-11: Please quantify large in “Large negative model biases...” and larger in “...errors are larger than those. . .”*

The sentences have been rewritten as:

“Large negative model biases against the ozonesonde observations have been reported by Young et al. (2013) for 250 hPa (by about -13 % for the NH polar west and -18 % for the NH polar east for the annual mean concentration), whereas results from this study suggest that these errors based on the ozonesonde sampling (by -14 % for the NH polar west and -18 % for the NH polar east in DJF in our estimates) are larger than those from regional and seasonally representative model bias (by -3 % and +5 %, respectively).”

*Section 5.1 Please elaborate on how the comparisons discussed here may be influenced by inconsistency in the time period of the reanalysis and the ACCMIP simulations. The reanalysis output is for the 2005-2009 whereas the ACCMIP simulations are representative of 2000. Additionally, the precursor emissions used in ACCMIP simulations were decadal means and not specific to the year of simulation. For example, year 2000 biomass burning emissions were calculated as average over 1997–2006 (Lamarque et al., 2010), so they encompass the high emissions over Southeast Asia in 1998, an El Nino year. One would expect that biases due to sampling in time would occur similar to the biases due to sampling in space. How significant are the biases due to spatial sampling errors (highlighted here) compared to temporal sampling errors. The issue of temporal and spatial sampling was recently highlighted by Lin et al. (2016) in the context of tropospheric ozone trends.*

The limitation of the evaluation based on the 2005-2009 reanalysis fields is discussed in the revised manuscript. Please see our reply above.

The spatial and temporal sampling errors are separately evaluated in the revised paper, and the results are presented in Table 7 and discussed in Section 5.1. Lin et al. (2016) is cited in the revised paper.

*P14L24: Replace biogenetic with biogenic.*

Replaced.

*P15L6: I am not sure if the 2005-2009 can be considered a “long-record” Are the authors referring to*

*the possibility of a long-record reanalysis sometime in the future when observations have accumulated in time.*

In this sentence, we are referring to the possibility in the future. The sentence has been replaced by “A long-record of the reanalysis will allow....”

*P15L7-9: From Lamarque et al. (2013): “This averaging was designed to reduce the effect of interannual variability and therefore provide optimal conditions from which average composition changes and associated forcings can be more readily computed.” The ACCMIP simulations were designed to remove interannual variability, therefore, it is unjustified to state that “the influence of ENSO was not well-simulated in ACCMIP. . .”*

The sentence has been replaced by

“It is noted that the influence of ENSO was not included...”

*P33 Figure 5. Please provide statistics such as mean bias and correlation for the comparisons here.*

These statistics are provided in Table 4.

1 Evaluation of ACCMIP ozone simulations and ozonesonde sampling biases using a satellite-  
2 based multi-constituent chemical reanalysis

削除: and ozonesonde sampling biases

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3  
4 \begin{abstract}

5  
6 The Atmospheric Chemistry Climate Model Intercomparison Project (ACCMIP) ensemble  
7 ozone simulations for the present-day from the 2000 decade simulation results are evaluated  
8 by a state-of-the-art multi-constituent atmospheric chemical reanalysis that ingests multiple  
9 satellite data including the Tropospheric Emission Spectrometer (TES), the Microwave Limb  
10 Sounder (MLS), the Ozone Mapping Instrument (OMI), and the Measurements of Pollution in  
11 the Troposphere (MOPITT) for 2005--2009. Validation of the chemical reanalysis against  
12 global ozonesondes shows good agreement throughout the free troposphere and lower  
13 stratosphere for both seasonal and year-to-year variations, with an annual mean bias of less  
14 than 0.9 ppb in the middle and upper troposphere at the tropics and mid-latitudes. The  
15 reanalysis provides comprehensive information on the weakness of the models, whereas we  
16 consider that the spatial and temporal coverage of individual measurements, such as  
17 ozonesonde measurements, is insufficient to capture the temporally and spatially  
18 representative model bias. The model evaluation using the reanalysis reveals that the  
19 ensemble mean overestimates ozone in the northern extratropics by 6--11 ppb while  
20 underestimating by up to 18 ppb in the southern tropics over the Atlantic in the lower  
21 troposphere. Most models underestimate the spatial variability of the annual mean  
22 concentration in the extratropics of both hemispheres in the lower troposphere. The ensemble  
23 mean also underestimates the seasonal amplitude by 25--70 \% in the northern extratropics  
24 and overestimates the inter-hemispheric gradient by about 30 \% in the lower and middle  
25 troposphere. A part of the discrepancies can be attributed to the five-year reanalysis data for  
26 the decadal model simulations. However, these differences are less evident with the current  
27 sonde network, which is shown to provide biased regional and monthly ozone statistics,  
28 especially in the tropics. The ozonesonde sampling bias in the evaluated model bias for the  
29 seasonal mean concentration is 40--50 \% over the Western Pacific and East Indian Ocean and  
30 reaches 110 \% over the equatorial Americas in the middle troposphere. These systematic  
31 biases have implications for ozone radiative forcing and the response of chemistry to climate  
32 that can be further quantified as the satellite observational record extends to multiple decades.

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1

2 \end{abstract}

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5

6 \introduction

7

8 Tropospheric ozone is one of the most important air pollutants and the third most important  
 9 [anthropogenic](#) greenhouse gases in the atmosphere (Forster et al., 2007; HTAP, 2010; Myhre  
 10 et al., 2013; Stevenson et al., 2013) while also playing a crucial role in the tropospheric  
 11 oxidative capacity through production of hydroxyl radicals ( $\text{OH}$ ) by photolysis in the  
 12 presence of water vapor (Logan et al., 1981; Thompson, 1992). Global tropospheric ozone is  
 13 formed from secondary photochemical production of ozone precursors including  
 14 hydrocarbons or carbon monoxide ( $\text{CO}$ ) in the presence of nitrogen oxides  
 15 ( $\text{NO}_x$ ) modulated by additional processes including in-situ chemical loss, deposition  
 16 to the ground surface, and inflow from the stratosphere. These ozone precursors are largely  
 17 controlled by anthropogenic and natural emissions, e.g., [transport](#), industry, lightning,  
 18 biomass burning sources. Representation of tropospheric ozone in chemical transport models  
 19 (CTMs) and chemistry climate models (CCMs) is also important in estimating its impact on  
 20 the atmospheric radiative budget. [A number of chemical transport models \(CTMs\) and](#)  
 21 [chemistry climate models \(CCMs\) have been developed and used to study variations in](#)  
 22 [atmospheric environment and its impacts on climate \(e.g. \[Bowman et al., 2013\]\(#\); Shindell et al,](#)  
 23 [2006, 2013; Stevenson et al., 2006, 2013; Wild, 2007, Kawase et al., 2011; Young et al.,](#)  
 24 [2013\).](#) However, current tropospheric ozone simulations still have large uncertainties because  
 25 of the incomplete representation of model processes, as well as the large uncertainty in  
 26 precursor emissions. These in turn increase uncertainty in CCM projections.

27

28 Climate model evaluation has primarily been achieved by comparisons with observed  
 29 concentrations or related variables, which requires a precise description of their geographical,  
 30 vertical, and temporal variations. Various measurements have been employed for evaluating

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削除: ([Bowman et al., 2013](#))

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1 simulated fields (e.g., Huijnen et al., 2010; Parrish et al., 2014; Stevenson et al., 2006, 2013;  
2 Young et al., 2013). However, information obtained from individual measurements is limited,  
3 and evaluation of global ozone fields with a suite of satellite measurements and in situ  
4 measurements is challenging because of limited vertical sensitivity profiles that differ among  
5 measurements, different overpass times, and mismatches in spatial and temporal coverage  
6 between the instruments. First, surface measurements have a spatial representativeness that is  
7 much smaller than that of global models over polluted areas. Second, ozone climatology data  
8 sets have been established based on ozonesonde measurements for use in model evaluation  
9 (Logan et al., 1999; Considine et al., 2008). Tilmes et al. (2012) generated an ozone  
10 climatology using ozonesonde measurements obtained between 1995 and 2011, which mostly  
11 consists of the same station data described by Logan (1999) and Thompson et al (2003), but  
12 covering a longer time period. Using the compiled data of Tilmes et al. (2012), Young et al.  
13 (2013) conducted an intensive validation of tropospheric ozone from multiple model  
14 simulations in the Atmospheric Chemistry and Climate Model Inter-comparison Project  
15 (ACCMIP). However, the climatological data does not provide information on the temporal  
16 variability of the observed ozone. In addition, the current ozonesonde network does not cover  
17 the entire globe and is not homogeneously distributed between the hemispheres, ocean and  
18 land, and urban and rural areas, and its sampling interval is typically a week or longer. Model  
19 errors are also expected to vary greatly in time and space at various scales. Therefore, we  
20 consider that the spatial and temporal coverage of the ozonesonde network is insufficient to  
21 capture the temporally and spatially representative model bias. Third, satellite-retrieved  
22 measurements such as those from the Tropospheric Emission Spectrometer (TES) (Herman  
23 and Kulawik, 2013) and the Infrared Atmospheric Sounding Interferometer (IASI) (Clerbaux  
24 et al., 2009) have great potential for evaluating global ozone fields (e.g., Aghedo et al., 2011).  
25 However, information obtained from currently available satellite measurements are still  
26 limited. Their vertical sensitivity is not enough to resolve detailed vertical structures in the  
27 troposphere as appeared in current global models, and they measure at only a particular  
28 overpass time, thus the diurnal variation information is missing. Meanwhile, the  
29 characteristics of each measurement, such as observational error, vary with observational  
30 condition, but their influence is rarely taken into consideration in model evaluations.

31

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1 Data assimilation is a technique for combining different observational data sets with a model,  
2 with consideration of the characteristics of individual measurements (e.g., Kalnay, 2003;  
3 Lahoz and Schneider, 2014). Advanced data assimilation allows the propagation of  
4 observational information in time and space and from a limited number of observed species to  
5 a wide range of chemical components, and provides global fields that are physically and  
6 chemically consistent and in agreement with individual observations (Sandu and Chai, 2011;  
7 Bocquet et al., 2015). Various studies have demonstrated the capability of data assimilation  
8 techniques in the analysis of chemical species in the troposphere and stratosphere (e.g. Stajner  
9 and Wargan, 2004; Jackson, 2007; Parrington et al., 2009; Kieseewetter et al., 2010; Flemming  
10 et al., 2011; Coman et al., 2012; Inness et al., 2013; Emili et al., 2014; Miyazaki et al., 2012a,  
11 2012b, 2013, 2014, 2015, 2016; van der A et al., 2015; Gaubert et al., 2016).

12

13 Reanalysis is a systematic approach to creating a long-term data assimilation product.  
14 Meteorological reanalyses have been established at operational centers for many years and are  
15 widely used in climate and meteorological research (e.g., Hartmann et al., 2013).  
16 Tropospheric chemical reanalysis, however, is relatively new. Inness et al. (2013) performed  
17 an eight-year reanalysis of tropospheric chemistry for 2003--2010 using the integrated  
18 forecasting system with modules for atmospheric composition (C-IFS) with observations  
19 sensitive primarily to the upper troposphere, and highlighted the importance of estimating  
20 surface emissions. This chemical reanalysis is recently updated by Flemming et al. (2017).  
21 Miyazaki et al. (2015) simultaneously estimated concentrations and emissions for an eight-  
22 year tropospheric chemistry reanalysis for 2005--2012 obtained from an assimilation of multi-  
23 constituent satellite measurements, which had greater lower tropospheric sensitivity, using an  
24 ensemble Kalman filter (EnKF). Chemical reanalysis using the EnKF has been used to  
25 provide comprehensive information on atmospheric composition variability and elucidate  
26 variations in precursor emissions and to evaluate bottom-up emission inventories (Miyazaki et  
27 al., 2014, 2015, 2016).

28

29 In this study, we explore the new potential of chemical reanalysis for evaluation of  
30 tropospheric ozone profiles in multi-model chemistry climate simulations from ACCMIP  
31 (Lamarque et al., 2013). Model errors in precursors can also be evaluated using the reanalysis  
32 product, and this could help identify error sources in tropospheric ozone simulations.

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1 However, because no other study has shown the potential of reanalysis ozone for model  
2 evaluation, this study focuses on tropospheric ozone only. ACCMIP models have been used  
3 to calculate historic and future radiative and chemically important species and their coupling  
4 with the broader climate system (Bowman et al., 2013; Lee et al., 2013; Naik et al., 2013;  
5 Stevenson et al., 2013; Shindell et al., 2013; Voulgarakis et al., 2013; Young et al., 2013). We  
6 characterize ACCMIP models in simulating global distributions and the seasonal variation of  
7 ozone from the lower troposphere to the lower stratosphere. We further discuss the limitation  
8 of the current ozonesonde network for evaluating temporally and spatially representative  
9 model errors. To the best of our knowledge, this is the first study to apply chemical reanalysis  
10 to the evaluation of global chemistry-climate models and consequently offers a similar  
11 potential as meteorological reanalysis for evaluation of climate models (Ana4MIPS,  
12 <https://esgf.nccs.nasa.gov/projects/ana4mips/Background>).

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13  
14 \section{Methodology}

15  
16 \subsection{Chemical data assimilation system}

17  
18 The data assimilation system is constructed based on a global CTM MIROC-Chem  
19 (Watanabe et al. 2011) and an EnKF described in Miyazaki et al. (2016), which can be  
20 consulted for more detailed information. We use the two-hourly global chemical reanalysis  
21 data for the period 2005--2009 when tropospheric ozone fields are strongly constrained by  
22 TES tropospheric ozone measurements. The availability of TES measurements is strongly  
23 reduced after 2010, which led to a degradation of the reanalysis performance, as demonstrated  
24 by Miyazaki et al. (2015).

25  
26 A major update from the system used in Miyazaki et al. (2015) to the system used in this  
27 study is the replacement of forecast model from CHASER (Sudo et al., 2002) to MIROC-  
28 Chem (Watanabe et al., 2011), which caused substantial changes in the a priori field and thus  
29 the data assimilation results of various species. Microwave Limb Sounder (MLS) retrievals  
30 have been updated from v3.3 in Miyazaki et al. (2015) to v4.2 in this study. In addition, we  
31 attempt to optimize the surface  $\text{NO}_x$  emission diurnal variability using data

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1 [assimilation of multiple  \$\text{NO}\_2\$  satellite retrievals obtained at different overpass times](#)  
2 [in the updated system \(Miyazaki et al., 2016\).](#)

3  
4 \subsubsection{Forecast model}

5  
6 The forecast model, MIROC-Chem (Watanabe et al., 2011), considers detailed  
7 photochemistry in the troposphere and stratosphere by simulating tracer transport, wet and dry  
8 deposition, and emissions, and calculates the concentrations of 92 chemical species and 262  
9 chemical reactions (58 photolytic, 183 kinetic, and 21 heterogeneous reactions). Its  
10 tropospheric chemistry considers the fundamental chemical cycle of  $\text{O}_x$ -  
11  $\text{NO}_x$ - $\text{HO}_x$ - $\text{CH}_4$ - $\text{CO}$  along with oxidation of non-  
12 methane volatile organic compounds (NMVOCs) to properly represent ozone chemistry in the  
13 troposphere. Its stratospheric chemistry simulates chlorine and bromine containing  
14 compounds, CFCs, HFCs, OCS,  $\text{N}_2\text{O}$ , and the formation of polar stratospheric  
15 clouds (PSCs) and associated heterogeneous reactions on their surfaces. [The radiative transfer](#)  
16 [scheme considers absorption within 37 bands, scattering by gases, aerosols, and clouds, and](#)  
17 [the effect of surface albedo. Detailed radiation calculations are used for photolysis calculation.](#)  
18 [Methane concentrations were scaled on the basis of present-day values with reference to the](#)  
19 [surface concentration.](#) MIROC-Chem has a T42 horizontal resolution ( $2.8^\circ$ ) with 32  
20 vertical levels from the surface to 4.4 hPa. [The horizontal model resolution is comparable to](#)  
21 [the resolution of ACCMIP models \(ranging from  \$1.24^\circ\$  to  \$5^\circ\$ \).](#) It is coupled to  
22 the atmospheric general circulation model MIROC-AGCM version 4 (Watanabe et al., 2011).  
23 The simulated meteorological fields were nudged toward the six-hourly ERA-Interim (Dee et  
24 al., 2011) to reproduce past meteorological fields.

25  
26 The a priori values for surface emissions of  $\text{NO}_x$  and  $\text{CO}$  were obtained  
27 from bottom-up emission inventories. Anthropogenic  $\text{NO}_x$  and  $\text{CO}$   
28 emissions were obtained from the Emission Database for Global Atmospheric Research  
29 (EDGAR) version 4.2 (EC-JRC, 2011). Emissions from biomass burning were based on the  
30 monthly Global Fire Emissions Database (GFED) version 3.1 (van der Werf et al., 2010).  
31 Emissions from soils were based on monthly mean Global Emissions Inventory Activity

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1 (GEIA) (Graedel et al., 1993). Lightning  $\text{NO}_x$  ( $\text{LNO}_x$ ) sources in  
2 MIROC-Chem were calculated based on the relationship between lightning activity and cloud  
3 top height (Price and Rind, 1992) and using the convection scheme of MIROC-AGCM  
4 developed based on the scheme presented by Arakawa and Schubert (1974). For black  
5 carbon (BC) and organic carbon (OC) and other precursor gases, surface and aircraft  
6 emissions are specified from the emission scenarios for Greenhouse Gas and Air Pollution  
7 Interactions and Synergies (GAINS) model developed by International Institute for Applied  
8 System Analysis (IIASA) (Klimont et al., 2009; Akimoto et al., 2015).

9  
10 \subsubsection{Data assimilation method}

11  
12 Data assimilation used here is based upon on an EnKF approach (Hunt et al., 2007). The  
13 EnKF uses an ensemble forecast to estimate the background error covariance matrix and  
14 generates an analysis ensemble mean and covariance that satisfy the Kalman filter equations  
15 for linear models. In the forecast step, a background ensemble,  $\vec{x}^b_i$  ( $i=1,\dots,k$ ), is  
16 obtained from the evolution of an ensemble model forecast, where  $\vec{x}$  represents the  
17 model variable,  $b$  is the background state, and  $k$  is the ensemble size (i.e., 32 in this  
18 study). The ensemble perturbations were introduced to all the state vector variables as  
19 described below. The background ensemble is then converted into the observation space,  
20  $\vec{y}^b_i = H(\vec{x}^b_i)$ , using the observation operator  $H$  which is composed of a  
21 spatial interpolation operator and an operator that converts the model fields into retrieval  
22 space, which can be derived from an a priori profile and an averaging kernel of individual  
23 measurements (e.g., Eskes and Boersam, 2003; Jones et al, 2003). Using the covariance  
24 matrices of observation and background error as estimated from ensemble model forecasts,  
25 the data assimilation determines the relative weights given to the observation and the  
26 background, and then transforms a background ensemble into an analysis ensemble,  
27  $\vec{x}^a_i$  ( $i=1,\dots,k$ ). The new background error covariance is obtained from an ensemble  
28 forecast with the updated analysis ensemble.

29  
30 In the data assimilation analysis, a covariance localization is applied to neglect the covariance  
31 among unrelated or weakly related variables, which has the effect of removing the influence

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1 of spurious correlations resulting from the limited ensemble size. The localization is also  
2 applied to avoid the influence of remote observations that may cause sampling errors. The  
3 state vector includes several emission sources (surface emissions of  $\text{NO}_x$  and  
4  $\text{CO}$ , and  $\text{LNO}_x$  sources) as well as the concentrations of 35 chemical  
5 species. The emission estimation is based on a state augmentation technique, in which the  
6 background error correlations determines the relationship between the concentrations and  
7 emissions of related species for each grid point. Because of the simultaneous assimilation of  
8 multiple-species data and because of the simultaneous optimization of the concentrations and  
9 emission fields, the global distribution of various species, including  $\text{OH}$ , is modified  
10 considerably in our system. [Miyazaki et al. \(2015\) demonstrated that the Northern/Southern](#)  
11 [Hemisphere  \$\text{OH}\$  ratio became closer to an observational estimate of Patra et al \(2014\)](#)  
12 [due to the multiple-species assimilation.](#) This propagates the observational information  
13 between various species and modulates the chemical lifetimes of many species (Miyazaki et  
14 al., 2012b; 2015; 2016).

15

16  $\text{\subsubsection{Assimilated measurements}}$

17

18 Assimilated observations were obtained from multiple satellite measurements ([Table 1](#)).  
19 Tropospheric  $\text{NO}_2$  column retrievals used are the version-2 [Dutch Ozone](#)  
20 [Monitoring Instrument \(OMI\)](#),  [\$\text{NO}\_2\$  \(DOMINO\)](#) data product (Boersma et al., 2011)  
21 and version 2.3 TM4NO2A data products for Scanning Imaging Absorption Spectrometer for  
22 Atmospheric Cartography (SCIAMACHY) and Global Ozone Monitoring Experiment-2  
23 (GOME-2) (Boersma et al., 2004) obtained through the TEMIS website (www.temis.nl). The  
24 TES ozone data and observation operators used are version 5 level 2 nadir data obtained from  
25 the global survey mode (Bowman et al, 2006; Herman and Kulawik, 2013). This data set  
26 consists of 16 daily orbits with a spatial resolution of 5--8 km along the orbit track. The [MLS](#)  
27 data used are the version 4.2 ozone and  $\text{HNO}_3$  level 2 products (Livesey et al.,  
28 2011). We used data for pressures of less than 215 hPa for ozone and 150 hPa for  
29  $\text{HNO}_3$ . The Measurement of Pollution in the Troposphere (MOPITT) CO data used  
30 are version 6 level 2 TIR products (Deeter et al., 2013).

31

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削除: for Ozone Monitoring Instrument (OMI)

削除: Microwave Limb Sounder (MLS)

1 \subsection{ACCMIP models}

2

3 The Atmospheric Chemistry Climate Model Intercomparison Project (ACCMIP) focuses on  
4 chemistry-climate interactions needed to compute the proper climate forcing for Climate  
5 Model Intercomparison Project (CMIP5) climate simulations (Taylor et al., 2012) as well as  
6 the impact of climate change on chemical species. The ACCMIP consists of a series of time  
7 slice experiments for the long-term changes in atmospheric composition between 1850 and  
8 2100, as described by Lamarque et al. (2013). The experimental design was based on decadal  
9 time-slice experiments driven by decadal mean sea surface temperatures (SST). This study  
10 uses the 2000 decade simulation results from 15 models (1. CESM-CAM, 2. CICERO-  
11 OsloCTM2, 3. CMAM, 4. EMAC, 5. GEOSCCM, 6. GFDL-AM3, 7. GISS-E2-R, 8. GISS-  
12 E2-TOMAS, 9. HadGEM2, 10. LMDzOR-INCA, 11. MIROC-CHEM, 12. MOCAGE, 13.  
13 NCAR-CAM3.5, 14. STOC-HadAM3, 15. UM-CAM). The number of years that the  
14 ACCMIP models simulated for the 2000 decadal simulation mostly varied between 4 and 12  
15 years for each model. Each model simulation was averaged over the simulated years.

16

17 Meteorological fields were obtained from analyses in CICERO-OsloCTM2 and from climate  
18 model fields in MOCAGE. UM-CAM and STOC-HadAM3 simulated meteorological and  
19 chemical fields, but chemistry did not affect climate. In all other models, simulated chemical  
20 fields were used in the radiation calculations and hence provide a forcing effect on the general  
21 circulation of the atmosphere. Lamarque et al. (2013) indicated that most models overestimate  
22 global annual precipitation and have a cold bias in the lower troposphere.

23

24 Different models vary greatly in complexity. The calculated chemical species vary from 16 to  
25 120 species. Photolysis rates are computed with offline or online methods, depending on the  
26 model. Many models include a full representation of stratospheric ozone chemistry and the  
27 heterogeneous chemistry of polar stratospheric clouds, but several models specify  
28 stratospheric ozone. Methane concentration is prescribed for the surface or over the whole  
29 atmosphere in many models. Ozone precursor emissions from anthropogenic and biomass  
30 burning sources were taken from those compiled by Lamarque et al. (2010). Natural emission  
31 sources such as isoprene emissions, and lightning and soil  $\text{NO}_x$  sources were not

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1 specified and were accounted for differently between models. There is a large range in soil  
2  $\text{NO}_x$  emissions from 2.7 to 9.3  $\text{TgNyr}^{-1}$  and in  $\text{LNO}_x$  sources  
3 from 1.2 to 9.7  $\text{TgNyr}^{-1}$  for the 2000 conditions. The range of natural emissions is  
4 a significant source of model-to-model ozone differences (Young et al. 2013). A complete  
5 description of the models along with the experiment design can be found in Lamarque et al.  
6 (2013).

7  
8 Both the ACCMIP models and chemical reanalysis are interpolated to at  $2^\circ$   
9  $\times 2.5^\circ$  spatial resolution and 67 levels, following Bowman et al. (2013), and then  
10 compared each other. Spatial correlations are computed with consideration of weighting for  
11 the latitude.

12  
13 subsubsection{Ozonesonde data}

14  
15 Ozonesonde observations were taken from the World Ozone and Ultraviolet Radiation Data  
16 Center (WOUDC) database (available at <http://www.woudc.org>). All available data from the  
17 WOUDC database are used for the evaluation of reanalysis data (Section 3), as listed in Table  
18 2. For the evaluation of ACCMIP models and ozonesonde sampling biases (Section 4 and 5),  
19 we use the ozonesonde sampling based on the compilation by Tilmes et al. (2012), which is  
20 shown in bold in Table 2. Because there is no observation after 2003 in Scoresbysund, this  
21 location has been removed from the compilation in this study. The accuracy of the  
22 ozonesonde measurement is about  $\pm 5\%$  in the troposphere (Smit and Kley, 1998).

23  
24 To compare ozonesonde measurements with the data assimilation and ACCMIP models, all  
25 ozonesonde profiles have been interpolated to a common vertical pressure grid, with a bin of  
26 25 hPa. The two-hourly reanalysis and forecast model (i.e., control run) fields were linearly  
27 interpolated to the time and location of each measurement, with a bin of 25 hPa, and then  
28 compared with the measurements. For the ACCMIP models, the monthly model outputs were  
29 compared with the measurements at the location of each measurement. The averaged profile  
30 is computed globally and for four latitudinal bands, SH extratropics ( $90\text{--}30^\circ\text{S}$ ), SH

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1 tropics (30°S--Equator), NH tropics (Equator--30°N), and NH  
2 extratropics (30--90°N).

4 \subsection{Ozonesonde sampling bias estimation}

6 The current ozonesonde network does not cover the entire globe and is not homogeneously  
7 distributed between the hemispheres, ocean and land, and urban and rural areas. Also, the  
8 sampling interval of ozonesonde observations is typically a week or longer, which does not  
9 reflect the influence of diurnal and day-to-day variations. Model errors are also expected to  
10 vary greatly in time and space at various scales. Therefore, the implications of model  
11 differences at ozonesonde locations to regional and seasonal processes is uncertain. Thus, we  
12 evaluate how changes in evaluated model performance could be obtained by using the  
13 complete sampling chemical reanalysis fields instead of the existing ozonesonde network on  
14 simulated regional ozone fields.

16 Sampling bias is an error in a computed quantity that arises due to unrepresentative (i.e.,  
17 insufficient or inhomogeneous) sampling, which induces spurious features in the average  
18 estimates (e.g., Aghedo et al., 2011; Foelsche et al 2011; Toohey et al., 2013; Sofieva et al.,  
19 2014) and long-term trends (Lin et al., 2016). Sampling bias may occur when the atmospheric  
20 state within the time-space domain over which the average is calculated is not uniformly  
21 sampled. In regions where variability is dominated by short-term variations, limited sampling  
22 may lead to a random sampling error. The primary technique for sampling bias estimation is  
23 to subsample model or reanalysis fields based on the sampling patterns of the measurements  
24 and then to quantify differences between the mean fields based on the measurement sampling  
25 and those derived from the complete fields. Sampling bias cannot be negligible, even for  
26 satellite measurements (Aghedo et al. 2011; Toohey et al., 2013; Sofieva et al., 2014).

28 To estimate sampling biases of the ozonesonde network in the ACCMIP model evaluation,  
29 two evaluation results of mean model bias are compared using the chemical reanalysis. The  
30 first evaluation was conducted based on the complete sampling; the second evaluation used  
31 the ozonesonde sampling (in both space and time) that is based on the compilation by Tilmes

削除: SH high latitudes (55°S--90°S), SH mid-latitudes (15°S--55°S), tropics (15°S--15°N), NH mid-latitudes (15°N--55°N), and NH high latitudes (55°N--90°N)

1 [et al. \(2012\)](#). [By using the two-hourly reanalysis fields, we can address possible biases due to](#)  
2 [the limited model sampling \(i.e., monthly ACCMIP model outputs were used\)](#). Note that the  
3 [relatively coarse horizontal resolution of the reanalysis may lead to an underestimation of the](#)  
4 [sampling bias in the model evaluation, because the variability of a sampled field depends on](#)  
5 [the resolution of the measurement](#). [Tilmes et al. \(2012\)](#) stated that [regional aggregates of](#)  
6 [individual ozonesonde measurements with similar characteristics are more representative for](#)  
7 [larger regions; however, this may not mean that evaluation results using the compiled data](#)  
8 [generate model errors that are representative of actual monthly mean for a surrounding area](#).

9  
10 \section{Consistency between chemical reanalysis and ozonesonde observations}

11  
12 Miyazaki et al. (2015) validated an older version of the reanalysis  
13 (<http://www.jamstec.go.jp/res/ress/kmiyazaki/reanalysis/>) and showed good agreement with  
14 independent observations such as ozonesonde and aircraft measurements on regional and  
15 global scales and for both seasonal and year-to-year variations from the lower troposphere to  
16 the lower stratosphere for the 2005-2012 period. The mean bias against the ozonesonde  
17 measurements in the older dataset is -3.9 ppb at the NH high-latitudes ( $55\text{--}90^{\circ}\text{N}$ ), -  
18 0.9 ppb at the NH mid-latitudes ( $15\text{--}55^{\circ}\text{N}$ ), 2.8 ppb in the tropics ( $15^{\circ}\text{S--}$   
19  $15^{\circ}\text{N}$ ), -1.0 ppb at the SH mid-latitudes ( $55\text{--}15^{\circ}\text{S}$ ), -1.7 ppb at the SH  
20 high-latitudes ( $90\text{--}55^{\circ}\text{S}$ ) between 850 and 500 hPa (Miyazaki et al., 2015). Since  
21 the updated reanalysis ozone fields used in this study have not yet been validated in any  
22 publication, we first present the evaluation results of the chemical reanalysis using global  
23 ozonesonde observations for 2005--2009.

24  
25 Figs 1 and 2 compare the reanalysis and the global ozonesonde observations, and the  
26 comparison result is summarized in Table 3. In order to confirm improvements in the  
27 reanalysis, results from a model simulation without any chemical data assimilation (i.e., a  
28 control run) is also shown. The control run shows systematic biases, such as positive biases in  
29 the upper troposphere and lower stratosphere (UTLS) throughout the globe and negative  
30 biases in the lower and middle troposphere in the extratropics of both hemispheres. The  
31 positive bias in the UTLS is larger in the Southern Hemisphere (SH) than in the Northern

削除: A major update from the system used in Miyazaki et al. (2015) to the system used in this study is the replacement of forecast model from CHASER (Sudo et al., 2002) to MIROC-Chem (Watanabe et al., 2011), which caused substantial changes in the a priori field and thus the data assimilation results of various species. In addition, we attempt to optimize the surface  $\text{chem}\{\text{NO}_x\}$  emission diurnal variability using data assimilation of OMI, SCIAMACHY, and GOME-2 retrievals in the updated system (Miyazaki et al., 2016).

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1 Hemisphere (NH). The a priori systematic bias in this study is larger than that in our previous  
2 study (Miyazaki et al., 2015) in the UTLS, because of different model settings, such as the  
3 upper boundary conditions of  $\text{NO}_y$ ,  $\text{Cl}_y$ , and  $\text{Br}_y$ . However, the  
4 reanalysis fields were less sensitive to the a priori profiles in the UTLS than in the lower and  
5 middle troposphere because of strong constraints by MLS measurements and long chemical  
6 lifetime of ozone in the UTLS.

7  
8 The reanalysis shows improved agreements with the ozonesonde observations over the globe  
9 for most cases. The data assimilation removed most of the positive bias in the UTLS  
10 throughout the year and reduced the negative bias in the lower and middle troposphere in the  
11 extratropics. In the NH extratropics in the lower and middle troposphere, the data assimilation  
12 reduced the annual mean negative bias of the forecast model by 55%, which is attributed to  
13 the reduced bias in boreal spring--summer. The mean bias in the new reanalysis dataset is  
14 smaller than that in the older reanalysis dataset (Miyazaki et al., 2015) for most cases (e.g.,  
15 from -3.9 to -2.9 ppb at the NH high-latitudes (55--90 $^{\circ}$ N), -0.9 to -0.1 ppb at the  
16 NH mid-latitudes (15--55 $^{\circ}$ N), -1.0 to -0.1 ppb at the SH mid-latitudes (55--  
17 15 $^{\circ}$ S) between 850 and 500 hPa). The mean bias in the new dataset is less than 0.9  
18 ppb at the tropics and mid-latitudes between 500 and 200 hPa (not shown). The simultaneous  
19 optimization of concentrations and emissions played important roles in improving the lower  
20 tropospheric ozone analysis, associated with the pronounced ozone production caused by  
21  $\text{NO}_x$  increases, as demonstrated by Miyazaki et al. (2015). This advantage increases  
22 the ability of the chemical reanalysis to evaluate the simulated tropospheric ozone profiles,  
23 including the lower tropospheric ozone concentrations. Root-Mean-Square-Errors (RMSEs)  
24 are also reduced above the middle troposphere, although the reduction rate is relatively small  
25 compared to the bias, probably due to representativeness errors between the ozonesonde  
26 measurements and data assimilation analysis. The tropospheric concentrations show distinct  
27 seasonal and year-to-year variations, for which the temporal correlation based on the monthly  
28 and regional mean concentrations is increased by the data assimilation globally, except at high  
29 latitudes in the lower troposphere (Table 3). The reanalysis can be extended to a longer-term  
30 validation that will provide more information on seasonality and year-to-year variability.

31  
32 \section{Evaluation of ACCMIP models}

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1

2 \subsection{Global distribution}

3

4 We use the global chemical reanalysis to evaluate the global ozone profiles in ACCMIP  
 5 simulations. Fig. 3 compares the global distribution of the annual mean ozone concentration  
 6 between the [five-year mean](#) reanalysis and the ensemble mean of the ACCMIP models. The  
 7 average over the multiple models can be expected to improve the robustness of the model  
 8 simulation results, because some parts of the model errors may cancel each other out. As  
 9 summarized in Table 4, the global spatial distributions are similar between the [five-year mean](#)  
 10 reanalysis [field](#) and the ensemble mean [when estimated at 2.5° spatial](#)  
 11 [resolution](#), with a spatial correlation (r) greater than 0.94 from the lower troposphere to the  
 12 lower stratosphere, except for the NH extratropical middle troposphere (r=0.57). The  
 13 reanalysis and multi-model mean commonly reveal distinct inter-hemispheric differences,  
 14 associated with a stronger downwelling across the tropopause and stronger emission sources  
 15 of ozone precursors in the NH. The wave-1 pattern in the zonal ozone distribution in the  
 16 tropics, with a minimum over the Pacific Ocean and maximum over the Atlantic (Thompson  
 17 et al., 2003; Bowman et al, 2009; Ziemke et al., 2011), can also be commonly found in the  
 18 reanalysis and the multi-model mean [and was also suggested by Young et al. \(2013\)](#).

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19

20 Large errors between the reanalysis and the multi-model mean in the troposphere are found in  
 21 the NH extratropics and SH tropics (right panel in Fig. 3). The multi-model mean  
 22 overestimates the zonal and annual mean concentrations by 6--11 ppb at 800 hPa and by 2--9  
 23 ppb at 500 hPa in the NH extratropics. The overestimation is larger over the oceans than over  
 24 land at the NH mid-latitudes at 800 hPa. Both the mean RMSE and bias are larger at 800 hPa  
 25 than at 500 hPa in the NH extratropics, whereas they are larger at 500 hPa in the NH tropics  
 26 (Table 4). In the SH tropics, the multi-model mean underestimates the concentration over the  
 27 eastern Pacific by up to 9 ppb, over the Atlantic by up to 18 ppb, and over the Indian Ocean  
 28 by up to 8 ppb at 500 hPa. These negative biases are larger in the middle troposphere than in  
 29 the lower troposphere for most places and also for the zonal means in the SH tropics (-15 %  
 30 in the middle troposphere and -10 % in the lower troposphere) (Table 4). [Young et al. \(2013\)](#)  
 31 [consistently revealed the](#) positive bias in the NH and negative bias in the SH using OMI/MLS  
 32 tropospheric ozone column measurements. At 200 hPa, the multi-model mean underestimates

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1 the zonal mean concentration by 20--30 ppb at high latitudes in both hemispheres, with a  
2 larger error in the SH than in the NH (Table 4).

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3

4 Fig. 4 shows the Taylor diagram of the ACCMIP models against the reanalysis for three  
5 latitudinal bands for three levels. The relevant statistics at 500 hPa are summarized in Table 5,

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6 for which the tropics is separated into two hemispheres. In the NH extratropics at 800 hPa,  
7 most models reproduced the spatial distribution ( $r = 0.8\text{--}0.95$ ), while underestimating the  
8 spatial standard deviation (SD) by up to 50 %. Three exceptional models (1, 7, 8) show  
9 relatively poor agreements ( $r = 0.45\text{--}0.6$  and SD underestimations by 50--60 %). At 500 hPa,  
10 there is a large diversity in the agreement. Only a few models (2, 4, 9, 11) show close  
11 agreement with the reanalysis ( $r > 0.8$ , SD error  $< 20$  %). Notably, two models (12, 15)  
12 reveal too large spatial variabilities (SD error  $> 80$  %), and five models (1, 6, 7, 8, 12) reveal  
13 small spatial correlation ( $r < 0.15$ ). The regional mean bias is largely positive ( $> 10$  ppb) in  
14 several models (7, 8, 12) (Table 5). **In the NH extratropics in the lower and middle**

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15 **troposphere,** ozone distributions are modified by various processes, including vertical  
16 transport by convection and along conveyor belts, inflow from the stratosphere, long-range  
17 transports, and photochemical production (e.g. Lelieveld and Dentine, 2000; Oltmans et al.,  
18 2006; Sudo and Akimoto, 2007; Jonson et al., 2010). The evaluation results indicate that these  
19 processes occur differently among models. At 200 hPa, all the models simulate well the  
20 spatial distribution ( $r > 0.95$ ), whereas the spatial variability differs between the models (SD  
21 error ranges from -50 % to +30 %). There is relatively large variation in the stratospheric  
22 concentration, which results in the diversity in the UTLS, **as also discussed by Young et al.**  
23 **(2013).**

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24

25 In the tropics, the spatial correlation is greater than 0.8 at all levels for most models (except  
26 for 12, 15), as they capture the wave-1 structure. When dividing the tropics into two  
27 hemispheres (Table 5), only a few models (4, 12) reveal low spatial correlation ( $r < 0.8$ ) for  
28 the SH tropics (30°S--EQ) at 500 hPa. The spatial correlation in the tropics is lower at  
29 500 hPa than at 800 hPa for most models. The SD error is less than 40 % for all the models at  
30 800 and 500 hPa, while mostly overestimating the spatial variability at 800 hPa by up to 30  
31 %. The mean bias is negative for most models at 500 hPa in the tropics in both hemispheres,  
32 with larger negative biases in the SH tropics (Table 5). Young et al (2013) noted that

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1 correlations between the biases for the NH and SH tropical tropospheric columns are strong.  
2 Similarly, our analysis using the reanalysis reveal a high correlation (0.91) between the NH  
3 and SH tropical biases at 500 hPa, suggests that similar processes are producing the model  
4 biases in the tropical middle troposphere between the hemispheres. For instance, biomass  
5 burning emissions are handled differently across the models, which may lead to differences in  
6 ozone simulations in the tropics (Anderson et al., 2016). At 200 hPa in the tropics, the SD  
7 error differs among models, which could primarily be associated with the different  
8 representations of convective transports and ozone production by  $\text{LNO}_x$  sources  
9 (e.g., Lelieveld and Crutzen, 2007; Wu et al., 2007).

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10  
11 In the SH extratropics at 800 hPa, most models reproduce the spatial distribution ( $r > 0.9$ ),  
12 while underestimating the SD by 15--70 %, except for model 15. The model performance is  
13 similar between 800 hPa and 500 hPa, with a smaller SD error at 500 hPa for most models.  
14 These high spatial correlations may be related to a lack of local precursor emissions in the SH.  
15 At 500 hPa, a majority of the models underestimate the mean concentration (Table 5), with  
16 large negative biases ( $< -8$  ppb) in several models (1, 2, 12, 14). At 200 hPa, the SD error  
17 varies from -80 % to + 65 %. The large diversity at 200 hPa may be related to the different  
18 representation of the tropopause and stratosphere--troposphere exchange (STE) among  
19 models.

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削除: The poor agreement in model 8 is attributed to too-high concentrations at SH mid-latitudes and too-low concentrations at SH high latitudes in austral spring.

20  $\backslash$ subsection{Seasonal variation}

21  
22 Fig. 5 compares the seasonal variation of zonal mean ozone concentration between the  
23 ACCMIP models, the reanalysis, and ozonesonde observations. The comparison between the  
24 reanalysis concentrations sampled at ozonesonde sites/time (black dashed line) and the  
25 ozonesonde observations (blue solid line) shows that the reanalysis is in close agreement with  
26 the ozonesonde observations over the globe, as described in Sec. 3. However, in the NH  
27 extratropics at 800 hPa, the reanalysis concentration is too low from boreal spring to summer  
28 by up to 4 ppb, which leads to an underestimation of the seasonal amplitude (as estimated  
29 from the difference between maximum and minimum monthly mean concentrations). In the  
30 NH tropics at 500 hPa, the reanalysis overestimates the concentration except in April. In the  
31 SH tropics at 500 and 800 hPa, the reanalysis slightly overestimates the concentrations  
32 throughout the year by up to 5 ppb. In the SH extratropics at 800 hPa, the reanalysis

削除: from the ozonesonde sampling

1 concentration is too low by up to 5 ppb from austral autumn to winter. The reanalysis  
2 concentration and seasonal variation differs largely between the complete sampling (black  
3 bold line, where the concentrations were averaged over all grid points) and the ozonesonde  
4 sampling (black dashed line) for the globe. The impact of using the reanalysis instead of the  
5 ozonesonde network in characterizing the ozone seasonal variation is discussed in Section 5.

6

7 The global ozone concentrations averaged over all grid points **with area weights** are compared  
8 between the ACCMIP models and the reanalysis (black solid line vs. red solid line for the  
9 multi-model mean and thin colored lines for individual models). There is considerable  
10 interannual variability in both the reanalysis and the ACCMIP models. We confirmed that the  
11 ACCMIP ensemble mean is mostly within the standard deviation (i.e., year-to-year variation)  
12 of the reanalysis (not shown). In the NH extratropics, the multi-model mean overestimates the  
13 monthly mean concentrations by 6--9 ppb at 800 hPa and by 3--6.5 ppb at 500 hPa. The  
14 multi-model mean reproduces the seasonal variation, whereas there is large diversity among  
15 the models. The increase from winter to spring differs among models at 500 hPa, which is  
16 probably associated with different representations of downwelling from the stratosphere. Fig.  
17 6 compares the seasonal amplitude. Most models overestimate the seasonal amplitude in the  
18 NH lower and middle troposphere, with a mean overestimation of 50--70 % at 800 hPa and  
19 25--40 % at 500 hPa at NH high latitudes. At 200 hPa, the multi-model annual mean  
20 concentration is in good agreement with that of the reanalysis, whereas the seasonal amplitude  
21 is underestimated by most models at NH high latitudes, with a mean underestimation of 15--  
22 25 %.

23

24 In the NH tropics at 500 hPa, the multi-model mean underestimates the concentration by 1--4  
25 ppb throughout the year, which can be attributed to the anomalously low concentrations in  
26 several models. There is a large diversity among the models in this region. In the SH  
27 subtropics, the multi-model mean is lower by up to 5 ppb at 800 hPa and by up to 11 ppb at  
28 500 hPa, with the largest errors occurring in austral spring. A majority of models overestimate  
29 the seasonal amplitude in the NH subtropics at 800 hPa (by about 10--40 %), whereas they  
30 mostly underestimate the amplitude in the SH tropics at 800 and 500 hPa. In the tropical  
31 upper troposphere in both hemispheres, a few models reveal anomalously high or low  
32 concentrations. Both the ozonesondes and reanalysis reveal a sharp increase in ozone between

1 March and April in the NH subtropics, which is not captured in the multi-model mean, as  
2 [suggested by Young et al. \(2013\)](#).

3  
4 In the SH extratropics, the multi-model mean and the reanalysis are in good agreement at 800  
5 hPa, whereas it largely underestimates the peak concentration in austral winter--spring at 500  
6 hPa (by up to 7 ppb) and 200 hPa (by up to 35 ppb). The large diversity among the models  
7 and the large underestimation in the multi-model mean at 500 hPa in spring could be  
8 attributed to the differing influence of stratospheric air. The seasonal amplitude is  
9 overestimated at 800 and 200 hPa by most models at SH high-latitudes.

10  
11 \subsection{Inter-hemispheric gradient}

12  
13 Fig. 7 compares the inter-hemispheric gradient (NH/SH ratio) of the annual mean ozone  
14 concentration. We calculated the gradient [of area-weighted ozone concentrations](#) across the  
15 equator; however, recognize a more careful definition of the boundary between two  
16 hemispheres would be required to isolate air masses originated from each hemisphere (e.g.,  
17 Hamilton et al., 2008). [For the estimation of the gradient using the ozonesonde observations,](#)  
18 [we made a gridded dataset from the ozonesonde observations based on the completion by](#)  
19 [Tilmes et al \(2012\) at  \$2.5^\circ \times 2.5^\circ\$  spatial resolution, and then calculated area-](#)  
20 [weighted hemispheric mean concentrations using the gridded dataset.](#) The gradient is similar  
21 between the ozonesonde observations (blue solid line) and the reanalysis concentration from  
22 the ozonesonde sampling (black dashed line) throughout the troposphere. In these estimates,  
23 the NH mean concentration is higher than the SH mean by 60--70 \% in the lower troposphere,  
24 by 30--40 \% in the middle troposphere, and by 55--60 \% around 200 hPa. Near the surface,  
25 the reanalysis slightly overestimates the NH/SH ratio, mainly because of overestimated  
26 concentrations at the NH mid-latitudes.

27  
28 By taking a complete sampling in the reanalysis (i.e., averaging over all model grid points for  
29 each hemisphere) (black solid line), the NH/SH ratio becomes smaller by about 25--30 \%, 7--  
30 10 \%, and 15--25 \% in the lower troposphere, the middle troposphere, and around 200 hPa,  
31 respectively, compared to the average at the ozonesonde sampling sites (black dashed line).



1 The difference is a consequence of ozonesonde stations located near large cities at NH mid-  
2 latitudes, and therefore tend to observe higher ozone concentration than the hemispheric  
3 average. At around 200 hPa, the difference could also be attributed to the presence of  
4 atmospheric stationary waves and Asian monsoon circulation in the NH, which result in  
5 substantial spatial ozone variations in the UTLS (e.g., Wirth, 1993; Park et al., 2008) (c.f., Fig.  
6 3). The annual mean NH/SH ratio based on the global reanalysis field estimated at the surface,  
7 800 hPa, 500 hPa, and 200 hPa are 1.36, 1.42, 1.30, and 1.35, respectively.

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8  
9 Most models overestimate the NH/SH ratio compared with the reanalysis, with a mean  
10 overestimation (black solid line vs. red solid line) of 34 % at the surface and 22--30 % in  
11 the free troposphere, attributing to both too-high concentrations in the NH extratropics and  
12 too-low concentrations in the SH subtropics in most models (c.f., Figs. 3 and 5). The multi-  
13 model mean reveals annual mean NH/SH ratios of 1.71, 1.73, 1.54, and 1.49 at the surface,  
14 800 hPa, 500 hPa, and 200 hPa, respectively. The large systematic error in the NH/SH ratio  
15 suggests that, for instance, the inter-hemispheric distribution of radiative heating due to  
16 tropospheric ozone in chemistry--climate simulations are largely uncertain in most models,  
17 and such comprehensive information for different altitudes in the troposphere cannot be  
18 obtained using any individual measurements, as is further discussed in Section 6.3.

削除: distribution

19  
20 \section{Impact of sampling on model evaluation}

21  
22 As presented in the previous section, the chemical reanalysis provides comprehensive  
23 information on global ozone distributions for the entire troposphere which is useful for  
24 validating global model performance. It was also demonstrated that the inter-hemispheric  
25 gradient of ozone measured with the ozonesonde and complete sampling method produced  
26 different results, and the model-reanalysis difference strongly depended on the choice of the  
27 sampling method. As these networks have been the primary basis for CCM evaluation (e.g.,  
28 Stevenson et al., 2006; Huijnen et al., 2010; Young et al., 2013), the implications of this  
29 sampling bias need to be quantified.

1 This section evaluates how changes in evaluated model performance could be obtained by  
2 using the complete sampling chemical reanalysis fields instead of the existing ozonesonde  
3 network on simulated regional ozone fields.

削除: The current ozonesonde network does not cover the entire globe and is not homogeneously distributed between the hemispheres, ocean and land, and urban and rural areas. Also, the sampling interval of ozonesonde observations is typically a week or longer, which does not reflect the influence of diurnal and day-to-day variations. Model errors are also expected to vary greatly in time and space at various scales. Therefore, the implications of model differences at ozonesonde locations to regional and seasonal processes is uncertain.

4  
5  
6 The model evaluation results are shown for the 11 regions illustrated in Fig. 8 and  
7 summarized in Table 6. Japan was excluded from the evaluation because data from only one  
8 station was available for the reanalysis period. The 11 areas surrounding the ozonesonde  
9 stations were considered for complete atmospheric sampling (rectangles in Fig. 8), for which  
10 small margins were considered around the stations to prevent overestimation of the  
11 ozonesonde network limitation. It was confirmed that the discrepancy between the two  
12 evaluations generally increases with the size of the area. In contrast, for the SH mid- and high  
13 latitudes, the defined areas cover the entire range of longitudes, because of generally less  
14 variabilities in the SH than in the NH. Four latitude bands (90--30°S, 30°S--Equator, Equator--30°N, 30--90°N) were also considered  
15 in the sampling bias evaluation.  
16

削除: Sampling bias is an error in a computed quantity that arises due to unrepresentative (i.e., insufficient or inhomogeneous) sampling, which induces spurious features in the average estimates (e.g., Aghedo et al., 2011; Foelsche et al 2011; Toohey et al., 2013; Sofieva et al., 2014). Sampling bias may occur when the atmospheric state within the time-space domain over which the average is calculated is not uniformly sampled. In regions where variability is dominated by short-term variations, limited sampling may lead to a random sampling error. The primary technique for sampling bias estimation is to subsample model or reanalysis fields based on the sampling patterns of the measurements and then to quantify differences between the mean fields based on the measurement sampling and those derived from the complete fields. Sampling bias cannot be negligible, even for satellite measurements (Aghedo et al. 2011; Toohey et al., 2013; Sofieva et al., 2014).

削除: To estimate sampling biases of the ozonesonde network in the ACCMIP model evaluation, two evaluation results of mean model bias are compared using the chemical reanalysis. The first evaluation was conducted based on the complete sampling; the second evaluation used the ozonesonde sampling (in both space and time) that is based on the completion by Tilmes et al. (2012). By using the two-hourly reanalysis fields, we can address possible biases due to the limited model sampling (i.e., monthly model outputs were used). Note that the relatively coarse resolution of the reanalysis may lead to an underestimation of the sampling bias in the model evaluation, because the variability of a sampled field depends on the resolution of the measurement. Tilmes et al. (2012) stated that regional aggregates of individual ozonesonde measurements with similar characteristics are more representative for larger regions; however, this may not mean that evaluation results using the compiled data generate model errors that are representative of actual monthly mean for a surrounding area.

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17  
18 The reality of the reanalysis fields is important for reasonable estimates of the true sampling  
19 bias of the real atmosphere. As discussed in Section 3, there is good agreement in the  
20 evaluated model performance using the reanalysis and the ozonesonde measurements at the  
21 ozonesonde sampling, except for the lower troposphere. This result supports the use of the  
22 reanalysis data at the ozonesonde locations. The performance of the ACCMIP model as  
23 compared with the ozonesonde measurements is mostly consistent with that shown by Young  
24 et al. (2013), although the ozonesonde data periods differ -- 1997-2011 was used by Young et  
25 al (2013) and 2005-2009 was used in this study.

26  
27 Table 7 demonstrates the regional and seasonal mean differences of the reanalysis  
28 concentrations between the complete sampling and the ozonesonde sampling. The  
29 ozonesonde sampling results have higher concentrations (by about 3 %) in the two NH polar  
30 regions for most cases, whereas the difference is smaller in NH polar west than in NH polar  
31 east. Among the NH mid-latitude regions, a large difference (about 14 %) exists between the

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1 two cases over the eastern United States in June--August (JJA), where the comparison using  
2 monthly reanalysis fields sampled at the ozonesonde locations (brackets in Table 7) suggests  
3 that the sampling bias is dominated by temporal variations. The tropical and subtropical  
4 regions exhibit large sampling biases, 4--12.3 \% over the NH subtropics, -3.2--5.0 \% over  
5 the Western Pacific and East Indian Ocean, 0--7.8 \% over the equatorial Americas, and -3.8--  
6 7.5 \% over the Atlantic Ocean and Africa. In most of the tropical and subtropics regions,  
7 both the spatial and temporal sampling biases are important, because of large spatial and  
8 temporal variability of ozone and the sparse observation network. For the global tropics, the  
9 sampling bias reaches 13 \% in the NH (Eq--30{\degree}\,N) and 8 \% in the SH  
10 (30{\degree}\,S--Eq). Thus, the ozonesonde network has a major limitation when it comes to  
11 capturing ozone concentrations that are representative of seasonal and regional means for the  
12 entire tropical region. The sampling bias may not be negligible even in the SH (0.3--3.9 \% in  
13 the SH mid-latitudes and 0.8--4.2 \% in the SH high latitudes), and it is large (up to 13 \%)  
14 when estimations are done for a large area (90--30{\degree}\,S). The large sampling bias in  
15 90--30{\degree}\,S is primarily attributed to spatial variability. The impact of the sampling  
16 bias on the model evaluation is discussed in the following section.

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17  
18 \subsection{Mean error and its distribution}

19  
20 The model evaluation results differ greatly for many regions between the complete sampling  
21 and the ozonesonde sampling, as shown by Fig. 9 and summarized in Table 8. The sampling  
22 bias is evaluated using the median of the multiple models to provide robust estimates of the  
23 model performance. For the NH Polar Regions, Tilmes et al. (2012) stated that separating the  
24 regions into eastern and western sectors reduces the variability in ozone within each region  
25 because long-range transports of pollution from low and mid-latitudes into high latitudes  
26 shows longitudinal variations in the NH (e.g., Stohl, 2006). Comparisons further suggest that,  
27 except for the UTLS in winter (December--February (DJF)), the evaluated model  
28 performance using the ozonesonde measurements are representative of the surrounding  
29 regional and seasonal mean model performance. For the two NH polar regions at 200 hPa in  
30 DJF, the validation based on the ozonesonde sampling reveals a large negative sampling bias  
31 in the model bias as compared with regional and monthly means. Large negative model biases  
32 against the ozonesonde observations have been reported by Young et al. (2013) for 250 hPa

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1 (by about -13 \% for the NH polar west and -18 \% for the NH polar east for the annual mean  
2 concentration), whereas results from this study suggest that these errors based on the  
3 ozonesonde sampling (by -14 \% for the NH polar west and -18 \% for the NH polar east in  
4 DJF in our estimates) are larger than those from regional and seasonally representative model  
5 bias (by -3 \% and +5 \%, respectively). At 500 hPa, the ozonesonde network reveals a  
6 negative sampling bias for the NH polar east in DJF. Thus, the positive bias reported in  
7 Young et al. (2013) for the NH polar east at 500 hPa may be lower than regional and  
8 seasonally representative model biases. Our analysis using monthly reanalysis fields sampled  
9 at the ozonesonde locations (brackets in Table 8) suggests a greater impact of the spatial  
10 sampling bias than the temporal sampling bias for the NH polar east in DJF. The large  
11 discrepancy between the two estimates in the UTLS model performance can be attributed to  
12 the large variability of ozone distribution and associated model errors on a regional and  
13 seasonal scale.

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14  
15 For Canada, large differences (>30 \%) exist in the two evaluations in the lower troposphere  
16 and for the UTLS in DJF and for the middle troposphere in March--May (MAM). The  
17 ozonesonde measurements reveal a large negative sampling bias in the model evaluation in  
18 DJF at 200 hPa (-4 \% in the complete sampling and -25 \% in the ozonesonde sampling),  
19 while they reveal a negative sampling bias (by about 50 \%) at 500 hPa in MAM. At 500 hPa  
20 over Canada, the relative importance of the spatial and temporal sampling biases varies with  
21 season: the spatial (temporal) sampling bias is dominant in DJF (JJA), whereas both of them  
22 are important in MAM. Similar differences between the two evaluations are found for  
23 Western Europe at 500 hPa and at 200 hPa in DJF. These results suggest that, for instance, the  
24 positive bias for Western Europe estimated by Young et al (2013) may be lower than regional  
25 and seasonally representative model bias, even for such a small area. The smaller discrepancy  
26 between the two estimates for Western Europe as compared for Canada for most cases could  
27 be associated with the better coverage of the ozonesonde measurements for Western Europe.  
28 Even for the small area of the eastern United States, the two validations differ largely in the  
29 UTLS (e.g., -9 \% in the ozonesonde sampling and +6 \% in the complete sampling at 200 hPa  
30 in MAM) and at 500 hPa in MAM, JJA, and September--November (SON). In the NH  
31 subtropics, the two evaluations disagree largely in the middle and upper troposphere in JJA  
32 and SON.

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2 The tropical stations were separated into the three sub-regions: Western Pacific and East  
3 Indian Ocean, equatorial America, and the Atlantic Ocean and Africa. These regions reflect  
4 the different dominant tropical processes including biomass burning and lightning over the  
5 Atlantic and Africa. The large variability of tropical ozone and its associated model error,  
6 together with the sparse ozonesonde network in these regions, results in large discrepancies  
7 between the two evaluations in the tropical regions. At 500 hPa, the ozonesonde  
8 measurements reveal a large (by 40--50 %) negative sampling bias in MAM, and a positive  
9 sampling bias in DJF over the Western Pacific and East Indian Ocean, whereas it shows a  
10 large negative sampling bias (by 110 %) in MAM over the equatorial Americas. Over the  
11 Western Pacific and East Indian Ocean, the sampling bias is not reduced by using monthly  
12 mean reanalysis fields (sampled at the ozonesonde locations) in DJF and JJA. This suggests  
13 that ozone varies with time and space in a complex manner, and a dense (in both space and  
14 time) network would be required to capture the regional and seasonally representative model  
15 biases in this region. The probability distribution function (PDF) estimated using monthly  
16 mean reanalysis and model fields also differs largely between the two samplings (Fig. 10).  
17 Over the Western Pacific and East Indian Ocean in SON at 500 hPa, the multi-model mean  
18 shows a sharp peak around 54--58 ppb, in contrast to the broad distribution seen in the  
19 reanalysis with two peaks around 65 ppb and 35--45 ppb for the complete sampling (left  
20 bottom panel in Fig. 10). This information is useful to characterize model errors and for  
21 process-oriented model validation. On the other hand, the validation based on the ozonesonde  
22 sampling (left top panel) does not show any clear pattern and does not support model  
23 evaluation. Note that the influence of inter-annual variability was not considered in the  
24 analysis because the monthly climatological data were used by averaging over ten years for  
25 the models and five years for the reanalysis.

26

27 Although the variability of ozone is generally smaller in the SH than in the NH because of  
28 smaller local precursor emissions, large sampling biases exist even at SH mid- and high-  
29 latitudes due to the sparse ozonesonde network. In the SH mid latitudes, for example, the sign  
30 of the evaluated bias is opposite between the two cases at 200 hPa in DJF (-2.8 ppb in the  
31 complete sampling and +25.1 ppb in the ozonesonde sampling). In the SH high latitudes,  
32 evaluation results differ largely throughout the year in the middle troposphere. The temporal

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1 sampling bias mostly dominates the difference in the SH high latitudes in MAM and JJA,  
2 whereas the spatial sampling bias is also important in the SH mid latitudes in DJF and MAM.  
3 Based on the complete sampling, the ozone PDF is broadly distributed with a peak around 38  
4 ppb at 500 hPa in SON at the SH high latitudes (right bottom panel in Fig. 10), while the  
5 multi-model mean underestimates high concentrations (>47 ppb) and shows a sharp peak of  
6 about 35 ppb. The PDF generated by the ozonesonde sampling does not provide a strong  
7 information on the distribution of the ozone (right top panel). These results highlight the  
8 advantage of using the reanalysis data for evaluating regional and seasonally representative  
9 model performance, and for characterizing these distributions.

10  
11 Table 8 also shows the model evaluation results for four latitudinal bands at 500 hPa. The  
12 observations used are shown in bold in Table 2. The differences between the two evaluations  
13 are small in the NH extratropics (30--90°N) in all seasons, because of the relatively  
14 large number of observations. There are large differences in the tropics of both hemispheres:  
15 the ozonesonde network reveals a large negative sampling bias in the model evaluation in the  
16 NH tropics (Eq--30°N) in SON (-9 % in the complete sampling and -16 % in the  
17 ozonesonde sampling) and in the SH tropics (30°S--Eq) in MAM (-14 % and -21  
18 %) and a large positive sampling bias in the NH tropics in JJA (-7 % and -3 %). Large  
19 sampling biases (> 60 %) also exist in the SH extratropics (90--30°S) in DJF and  
20 MAM due to the sparse ozonesonde network.

21  
22 Further, ozonesonde sampling bias is evaluated for the control run and reanalysis comparisons.  
23 As summarized in Table 9, at 500 hPa, there are large differences (> 30 %) between the two  
24 evaluations in many regions, especially in the NH mid latitude regions in winter and in the  
25 tropics throughout the year, as also found in the ACCMIP models and reanalysis comparisons  
26 (Table 8). The analysis increments introduced by data assimilation vary with space and time,  
27 reflecting the changes in coverage and uncertainty of assimilated measurements as well as in  
28 model errors. Nevertheless, observational information was propagated globally and integrated  
29 with time through forecast steps during the data assimilation cycles. This is true for ozone  
30 because of its relatively long lifetime in the free troposphere. Therefore, the spatial  
31 distribution is well constrained by data assimilation, and we do not expect large variations in  
32 the reanalysis quality within each analysis region.

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2 \subsection{Seasonal variation}

3

4 The seasonal cycle of tropospheric ozone is determined by various factors such as local  
 5 photochemical production and atmospheric transport (e.g., Monks, 2000). Carslaw (2005),  
 6 Bloomer et al. (2010), and Parrish et al. (2013) found multi-decadal changes in the amplitude  
 7 and phase of the seasonal cycle at NH mid-latitudes. It was suggested that these changes can  
 8 be attributed to changes in atmospheric transport patterns combined with spatial and temporal  
 9 changes in emissions. CTMs have been used to explore the causal mechanisms; however, they  
 10 failed to simulate several important features of the observed seasonal cycles (e.g., Ziemke et  
 11 al., 2006; Stevenson et al., 2006; Parrish et al. 2014; Young et al., 2013). Accurate validation  
 12 of the seasonal cycle is thus important for evaluating general model performance.

13

14

15 Table 10 compares the relative error in the seasonal amplitude obtained from the multi-mean  
 16 model with that of the reanalysis for the complete and ozonesonde samplings. The evaluation  
 17 based on the ozonesonde sampling results in a larger overestimation of the seasonal amplitude  
 18 in the NH lower troposphere for most regions (+13.4--63.4 % in the sonde sampling and -  
 19 19.0--40.2 % in the complete sampling). The large discrepancies can be attributed to large  
 20 spatial variability in the seasonal variations of ozone and its model errors within each defined  
 21 region and also the existence of short-term variability that is not completely captured by the  
 22 ozonesonde sampling. For the Eastern US and Western Europe at 800 hPa, the sign of the bias  
 23 is opposite between the two estimates. In contrast, at 200 hPa in the NH, results between the  
 24 two evaluations are similar, suggesting spatial homogeneity in the seasonal cycle and its  
 25 model errors within each region in the NH. Because the seasonal variations differ among  
 26 different regions, the seasonal amplitude estimated for the entire NH extratropics, (30--  
 27 90{\degree}\N) is largely different between the two estimates throughout the troposphere.

28

29 In the tropics, the estimated errors of the seasonal amplitude largely differ between the two  
 30 samplings throughout the troposphere, suggesting that information obtained from the sparse  
 31 ozonesonde network cannot be applied to characterize regional model errors in the seasonal

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1 cycle, even within the small defined area. The sampling bias in the seasonal amplitude  
2 estimated for the entire tropics is larger than 60 % throughout the troposphere both in the NH  
3 (Eq--30{\degree}\,N) and SH (30{\degree}\,S--Eq). Because of the large spatial variability,  
4 detailed validations using the chemical reanalysis (e.g., for each grid point) would be helpful.  
5 Also, in the SH high latitudes, large disagreements in the seasonal amplitude exist at 800 and  
6 200 hPa.

7  
8 \section{Discussions}

9  
10 \subsection{Reanalysis uncertainty}

11  
12 Although the reanalysis dataset provides comprehensive information for global model  
13 evaluations, its performance still needs to be improved, especially for the lower troposphere,  
14 as also discussed by Miyazaki et al. (2015). Performance can be improved by ingesting more  
15 datasets including meteorological sounders such as IASI (Clerbaux et al., 2009), AIRS  
16 (Chahine et al., 2006), and CrIS (Glumb et al., 2002). Application of a bias correction  
17 procedure for multiple measurements, which is common in numerical weather prediction (e.g.,  
18 Dee, 2005), is needed to improve reanalysis accuracy. Recently developed retrievals with  
19 high sensitivity to the lower troposphere (e.g. Deeter et al., 2013; Fu et al., 2016) and the  
20 optimization of additional precursor emissions would be helpful to improve analysis of the  
21 lower troposphere. The relatively coarse resolution of the model could cause large differences  
22 between the simulated and observed concentrations at urban sites and may degrade the  
23 reanalysis.

24  
25 The statistical information obtained from the reanalysis and the multi-model simulations can  
26 be used to suggest further developments for the models and observations. The analysis  
27 ensemble spread from EnKF can be regarded as uncertainty information about the analysis  
28 mean fields, indicating requirements for additional observational constraints. As shown in Fig.  
29 11 (left panels), the relative reanalysis uncertainty is large over the tropical areas of the  
30 oceans at 800 hPa (>20 %), over the Southern Ocean at 500 hPa (10--20 %), and over the  
31 tropics of the Pacific Ocean and the Antarctic at 200 hPa (>16 %). Conversely, the reanalysis



1 uncertainty is small from the tropics to mid-latitudes in both hemispheres at 500 hPa (<11 %).  
2 Miyazaki et al (2015) investigated that the analysis spread is caused by errors in the model  
3 input data, model processes, and assimilated measurements, and it is reduced if the analysis  
4 converges to a true state. The analysis spread is smaller in the extratropical lower stratosphere  
5 than in the tropical upper troposphere at 200 hPa, because of the high accuracy of the MLS  
6 measurements. In contrast, in the middle troposphere, the analysis spread is generally smaller  
7 in the tropics than the extratropics because of the higher sensitivities in the TES retrievals.  
8 Note that the data assimilation setting influences the analysis uncertainty estimation in the  
9 reanalysis. In particular, the analysis spread was found to be sensitive to the choice of  
10 ensemble size (Miyazaki et al., 2012b). A large ensemble size is essential to capture the  
11 proper background error covariance structure (i.e., analysis uncertainty).

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12  
13 The five-year reanalysis (2005--2009) may cause biases in the estimated model errors in the  
14 evaluation of the 2000 decade ACCMIP simulations that used decadal-averaged SST  
15 boundary conditions and biomass burning emissions averaged over 1997--2006 (Lamarque et  
16 al., 2010). It may neglect the influences of interannual and decadal changes in both  
17 anthropogenic and biomass emissions and meteorology. Longer-term reanalysis and time-  
18 consistent validation are required to obtain more robust error estimations.

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19  
20 \subsection{Model uncertainty}

21  
22 The variability across the ensemble models (i.e., ensemble spread) identifies where the  
23 models are most consistent or uncertain (center panels in Fig. 11). As discussed by Young et  
24 al. (2013), the relative spread among the ACCMIP models is large over the tropical areas of  
25 the oceans in the lower and middle troposphere, a reflection of the important differences  
26 among the models in various processes such as convective processes, lightning sources,  
27 biogenic emission sources with related chemistry. The large relative spread (>20%) at the  
28 NH mid-latitudes and in the SH at 200 hPa may be associated with the different  
29 representations of the tropopause and STE among models. In contrast, the relative spread is  
30 small around 20--40% at 500 hPa (< 10 %). The simultaneous enhancement of the  
31 analysis uncertainty (c.f., Section 6.1), together with the model spread, indicates low

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1 robustness of the validation results for some tropical regions over the oceans in the lower  
2 troposphere, and over the tropics in the Pacific Ocean as well as the Antarctic at 200 hPa. On  
3 the other hand, the ACCMIP model standard deviation with respect to the reanalysis could be  
4 used to identify the averaged uncertainty of ACCMIP models (right panels in Fig. 11). The  
5 standard deviation is large at NH high latitudes and over the tropical ocean areas at 800 hPa,  
6 over the SH tropics at 500 hPa, and in the SH extratropics at 200 hPa (> 25 %).

7

8 \subsection{Implications into model improvements and climate studies}

9

10 Numerous studies have identified decadal-scale changes in global tropospheric ozone using  
11 observations, such as the shift in the seasonal cycle at NH mid-latitudes and trends observed  
12 over many regions (e.g., Parrish et al., 2014; Cooper et al., 2014). A long-record of the  
13 reanalysis will allow detailed structures in simulated inter-annual and long-term variations to  
14 be evaluated in association with changes in human activities and natural processes. However,  
15 any discontinuities in the availability and coverage of the assimilated measurement will affect  
16 the quality of the reanalysis and estimated interannual variability, which limit the usability of  
17 a long term reanalysis for model evaluation, as discussed in Miyazaki et al (2015) for  
18 chemical reanalyses and in Thorne and Vose (2010) for climate reanalyses. This also requires  
19 a bias-correction procedure for each assimilated measurements, in order to improve the  
20 reanalysis quality (Inness et al, 2013). It is noted that the influence of ENSO was not  
21 considered in ACCMIP due to a decadal-averaged SST boundary condition, which limits the  
22 evaluation of inter-annual variations and could lead to bias in the ACCMIP models and  
23 reanalysis comparisons.

24

25 Process-oriented validations using the reanalysis would be useful for understanding the  
26 uncertainty in simulated ozone fields and associated mechanisms. The ACCMIP models  
27 reveal large variations in short-lived species such as {OH} and ozone precursors (Naik  
28 et al., 2013; Voulgarakis et al., 2013), whereas information obtained from direct in-situ  
29 measurements cannot be applied for investigating global distributions because of the limited  
30 coverage of the measurements and the large spatial variability of concentrations. Miyazaki et  
31 al. (2012b, 2015) demonstrated that the multiple-species assimilation results in a strong

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1 [influence on both assimilated and non-assimilated species](#). Validation of various species using  
2 the chemical reanalysis product can be used to identify potential sources of error in the  
3 simulated ozone fields. Meanwhile, the global monthly products of precursor emissions from  
4 the chemical reanalysis calculations (Miyazaki et al., 2012a, 2014, 2016) can be used to  
5 validate emission inventories and  $\text{LNO}_x$  source parameterizations used in model  
6 simulations. As changes in tropospheric ozone burden associated with different future  
7 scenarios show a broadly linear relation to changes in  $\text{NO}_x$  emissions (Stevenson et  
8 al., 2006), evaluations using up-to-date estimated emissions (Miyazaki et al., 2016) may  
9 prove useful to partly validate emissions for each scenario.

10

11 The performance of the simulated radiative forcing is largely influenced by representation of  
12 ozone in model simulations (Bowman et al., 2013; Shindell et al., 2013; Stevenson et al.,  
13 2013). Bowman et al (2013) suggested that overestimation of the OLR in the tropical seas of  
14 the east Atlantic Ocean and over Southern Africa is associated with model ozone errors, a  
15 persistent feature in all ACCMIP models, which was also found in this study using the  
16 reanalysis. Validation of short-lived species is also important for evaluating the radiative  
17 forcing because simulated  $\text{OH}$  fields influence simulated climates through for  
18 instance their influences on methane (Voulgarakis et al., 2013). Thus, detailed information on  
19 model errors in ozone and other short-lived species could be used to improve estimates of  
20 radiative forcing in climate studies. Meanwhile, model biases for present-day ozone may be  
21 correlated with biases in other time periods. Young et al. (2013) showed that ACCMIP  
22 models with high, present day ozone burdens also had high burdens for the other periods of  
23 time, including the preindustrial period. Thus, the validation of present-day ozone fields using  
24 the reanalysis [has](#) the potential to evaluate preindustrial to present day ozone radiative forcing.

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25

26 \conclusions

27

28 We conducted a [eight-year tropospheric chemistry reanalysis by assimilating multiple](#)  
29 chemical species from the OMI, MLS, TES, MOPITT, SCIAMACHY, and GOME-2 to  
30 provide a gridded, chemically consistent estimate of concentrations and precursor emissions.  
31 This study explores the potential of atmospheric chemical reanalysis to evaluate global

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1 tropospheric ozone of multi-model chemistry-climate model simulations. The evaluation  
2 results are also used to quantify the ozonesonde network sampling bias. Validation of the  
3 chemical reanalysis using global ozonesondes shows good agreement throughout the free  
4 troposphere and lower stratosphere for both seasonal and year-to-year variations.

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5  
6 The reanalysis product provides comprehensive and unique information on global ozone  
7 distributions for the entire troposphere and on the weakness of the individual models and  
8 multi-model mean. We found that the ACCMIP multi-model mean overestimates ozone  
9 concentration in the NH extratropics throughout the troposphere (by 6--11 ppb and 800 hPa  
10 and by 2--9 ppb at 500 hPa for the zonal and annual mean concentration), and underestimates  
11 it in the SH tropics in the lower and middle troposphere by about 9 ppb over the eastern  
12 Pacific, by up to 18 ppb over the Atlantic, and by up to 8 ppb over the Indian Ocean. Most  
13 models underestimate the spatial variability of the annual mean concentration in the NH  
14 extratropics at 800 hPa (by up to 50 %) and in the SH extratropics at 800 and 500 hPa (by up  
15 to 70 %). The multi-model mean overestimates the seasonal amplitude in the NH by 50--70  
16 % in the lower troposphere and by 25--40 % in the middle troposphere, whereas the seasonal  
17 amplitude is underestimated by 15--25 % at 200 hPa in the NH extratropics. The seasonal  
18 amplitude in the NH extratropics shows great diversity among models. The NH/SH ratio is  
19 overestimated by 22--30 % in the free troposphere in the multi-model mean; this can be  
20 attributed to both a concentration high bias in the NH and a concentration low-bias in the SH  
21 in most models. The performance of the ACCMIP model when compared with the reanalysis  
22 is qualitatively similar for most cases from that shown by Young et al. (2013) using the  
23 ozonesonde measurements but quantitatively different because of the ozonesonde network  
24 sampling bias.

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25  
26 We quantified the ozonesonde network sampling bias and how reanalysis can help extend the  
27 range of that network as a kind of "transfer standard". For instance, the ozonesonde sampling  
28 bias in the evaluated model bias is largely negative (positive) in MAM (in DJF) by 40--50 %  
29 over the Western Pacific and East Indian Ocean and largely negative by 110 % in MAM over  
30 the equatorial Americas at 500 hPa. For the global tropics, the ozonesonde sampling bias is  
31 largely negative by 80 % in the NH (Eq--30{\degree}\,N) in SON and by 50 % in the SH  
32 (30{\degree}\,S--Eq) in MAM. Although the spatial and temporal variability is generally

1 smaller in the SH than in the NH, the ozonesonde sampling bias cannot be negligible for  
2 capturing the regionally and monthly representative model errors even in the SH. Large  
3 sampling biases (> 60 %) exist in the SH extratropics (90--30{\degree}\S) in DJF and MAM.  
4 The evaluation of the seasonal cycle of tropospheric ozone is also largely limited by the  
5 ozonesonde sampling bias. The evaluation based on the ozonesonde sampling introduces a  
6 larger overestimation of the seasonal amplitude than that based on the complete sampling for  
7 most of the surrounding areas in the NH lower troposphere, whereas the two estimates are  
8 largely different for the entire tropical regions. Therefore, there is an advantage of the  
9 reanalysis data for evaluating actual regionally and seasonally representative model  
10 performance required for model improvements. However, the network provides critical  
11 independent validation of the reanalysis, which can provide a much broader spatial constraint  
12 on chemistry-climate model performance.

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13  
14 The proposed model validation approach provides regionally and temporally representative  
15 model performance; this could ensure more accurate predictions for the chemistry--climate  
16 system. In future studies, validation of multiple species concentrations and precursor  
17 emissions from reanalysis would be useful in identifying error sources in model simulations.  
18 In particular, the response of tropospheric composition to changing emissions over decadal  
19 time scales is still not captured in CCMs relative to a few remote sites (Parrish et al, 2014).  
20 Recent increases in emissions from China have been linked to changes in tropospheric ozone  
21 concentrations (Verstraeten et al, 2015). Over the next decade, a new constellation of of low  
22 Earth Orbiting sounders, e.g., IASI, AIRS, CrIS, Sentinel-5p (TROPOMI), Sentinel-5 and  
23 geostationary satellites (Sentinel-4, GEMS, and TEMPO) will provide even more detailed  
24 knowledge of ozone and its precursors (Bowman, 2013). Assimilating these datasets into a  
25 decadal chemical reanalysis will be a more direct means of quantifying the response of  
26 atmospheric composition to emissions at climate relevant time scales, which should be a more  
27 direct test on chemistry-climate change scenarios. Combining many observations requires a  
28 bias correction procedure for each assimilated measurement to improve the reanalysis quality  
29 but needs to be carefully checked. We also plan to apply the proposed evaluation approach to  
30 a more recent model inter-comparison project, the Chemistry-Climate Model Initiative  
31 (CCMI).

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1 \begin{acknowledgements}

2 We acknowledge the use of data products from the NASA AURA and EOS Terra satellite  
3 missions. We also acknowledge the free use of tropospheric  $\text{NO}_2$  column data from  
4 the SCIAMACHY, GOME-2, and OMI sensors from www.temis.nl. We would also like to  
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7 Coordination Funds for Promoting AeroSpace Utilization by MEXT, JAPAN.

8 \end{acknowledgements}

9

10 Table 1: Measurements used for data assimilation in the chemical reanalysis.

11

12 Table 2: Ozone-sonde observation sites used in this study. All the data are used for the  
13 evaluation of reanalysis data (Section 3), whereas selected observations (shown in bold) based  
14 on the compilation by Tilmes et al. (2012) are used for the evaluation of ACCMIP models and  
15 to investigate ozone-sonde sampling biases (Section 4 and 5).

16

17 Table 3: Chemical reanalysis (or control run in brackets) minus ozone-sonde comparisons of  
18 mean ozone concentrations in 2005--2009. RMSE is the root-mean-square error. Units of bias  
19 and RMSE are ppb. T-Corr is the temporal correlation.

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21 Table 4: ACCMIP model mean minus reanalysis comparisons of the mean ozone  
22 concentrations. Units of bias and RMSE are ppb. S-Corr is the spatial correlation coefficient.

23

24 Table 5: ACCMIP models minus reanalysis comparisons of the mean ozone concentrations at  
25 500 hPa. Units of bias are ppb.

26

27 Table 6: Regions and observation sites used in model evaluation in Section 5. The 11 regions  
28 are defined following Tilmes et al. (2012). See also Fig. 8.

29

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1 Table 7: The reanalysis ozone concentration differences between the ozonesonde sampling  
2 (for both time and space using two-hourly reanalysis fields) and the complete sampling at 500  
3 hPa (in \% relative to the ~~complete sampling~~): Results using monthly reanalysis fields  
4 sampled at the ozonesonde locations are also shown in brackets.

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6 Table 8: Median of the ACCMIP models minus reanalysis at 500 hPa (in \% relative to the  
7 reanalysis concentrations). Results presented include the regional averages (Regional), for the  
8 ozonesonde temporal/spatial sampling using two-hourly reanalysis fields (Sonde), and for the  
9 ozonesonde spatial sampling using monthly reanalysis fields (in brackets). Relative  
10 differences between the two estimates larger than 30 \% are shown in bold.

12 Table 9: The control run minus reanalysis comparison of the mean ozone concentration at 500  
13 hPa (in \% relative to the reanalysis concentrations). Results are the regional averages  
14 (Regional) and at the ozonesonde temporal/spatial sampling (Sonde). Relative differences  
15 between the two estimates larger than 30 \% are shown in bold.

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17 Table 10: ACCMIP multi-model mean minus reanalysis comparisons of the seasonal  
18 amplitude of regional mean ozone concentration (in \%) for the regional average (Regional)  
19 and at the ozonesonde sampling (Sonde). The seasonal amplitude is estimated as a difference  
20 between maximum and minimum monthly mean concentrations.

22 Figure 1: Comparison of vertical ozone profiles from ozonesondes (black), control run (blue),  
23 and reanalysis (red) averaged for the period 2005--2009. Top row shows mean profile; middle  
24 and bottom rows show mean difference and RMSE between control run and observations  
25 (blue) and between the reanalysis and the observations (red). From left to right, results are  
26 shown for SH extratropics (30--90<sup>o</sup>S), SH tropics (30<sup>o</sup>S--Eq), NH tropics (Eq--  
27 30<sup>o</sup>N), and NH extratropics (30--90<sup>o</sup>N). All ozonesonde observations taken  
28 from the WOUDC database were used in the comparison.

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- 删除: mid-latitudes
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1 Figure 2: Time series of monthly mean ozone concentrations obtained from ozonesondes  
2 (black), control run (blue), and reanalysis (red) averaged between 850 and 500 hPa (top), 500  
3 and 200 hPa (middle), and 200 and 90 hPa (bottom) for 2005--2009. From left to right the  
4 results are shown for [SH extratropics \(30--90°S\)](#), [SH tropics \(30°S--Eq\)](#), [NH](#)  
5 [tropics \(Eq--30°N\)](#), and [NH extratropics \(30--90°N\)](#).

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latitudes (15--55°S), tropics (15°S--  
15°N), NH mid-latitudes (15--55°N), and NH  
high latitudes (55--90°N)

7 Figure 3: Global distributions of annual mean ozone concentrations obtained from reanalysis  
8 (left), ACCMIP model mean ([2nd left](#)), difference between ACCMIP model mean and  
9 reanalysis ([3rd left](#)), and the ozonesonde measurements used for the evaluation of ACCMIP  
10 [models and ozonesonde sampling biases \(right\)](#). From top to bottom, results are shown for  
11 global distributions at 200 hPa, 500 hPa, and 800 hPa. Units are ppb.

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13 Figure 4: Taylor diagrams showing standard deviation normalized with respect to that of  
14 reanalysis (x-axis) and spatial correlation coefficient (y-axis) for the comparison of annual  
15 mean ozone concentrations between ACCMIP models and reanalysis for SH extratropics  
16 (90°S--30°S, left), tropics and subtropics (30°S--30°N, center),  
17 and NH extratropics (30°N--90°N, right) at 200 hPa (top), 500 hPa (middle),  
18 and 800 hPa (bottom).

20 Figure 5: Comparison of seasonal variation of ozone concentration between the reanalysis  
21 (black lines), individual ACCMIP models (thin colored lines), ACCMIP ensemble mean (red  
22 solid line), and ozonesonde observations (blue solid line) averaged between 90°S--  
23 30°S (1st column from left), 30°S--Eq (2nd column), Eq--30°N (3rd  
24 column), and 30°N--90°N (4th column). From top to bottom, results are shown  
25 for concentrations at 200 hPa, 500 hPa, and 800 hPa. Individual model results are shown by  
26 colored thin lines. The reanalysis result is shown for the average over all model grid points  
27 (black solid line) and over the ozonesonde sampling sites/time (black dashed line).

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28 Figure 6: Seasonal amplitude (peak-to-peak difference) estimated from the reanalysis (black  
29 solid line) and ACCMIP models (thin colored lines). The  $\pm 1\sigma$  deviation among  
30 ACCMIP models (i.e., model spread) is shown in pink. The seasonal amplitude derived from  
31 the multi-model mean fields (red solid line) and the multi-model mean of the seasonal

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1 amplitude from each model (red dashed line) are also shown. From top to bottom, results are  
2 shown for 200 hPa, 500 hPa, and 800 hPa.

3

4 Figure 7: Vertical profile of inter-hemispheric gradient of annual mean ozone concentrations  
5 estimated from the reanalysis (black lines), ACCMIP ensemble mean (red solid line),  
6 ACCMIP models (thin colored lines), and ozonesonde observations (blue solid line). The  
7 reanalysis result is shown for the average over all model grid points (black solid line) and  
8 over the ozonesonde samplings (black dashed line). The  $\pm 1 \sigma$  deviation among the  
9 ACCMIP models is shown in pink.

10

11 Figure 8: Regions and observation sites used in model evaluation. The 11 regions are defined  
12 following Tilmes et al. (2012). See also Table 3.

13

14 Figure 9: Box plots of relative model--reanalysis difference for seasonal mean concentration  
15 for DJF (left) and MAM (right) at 200 hPa (top), 500 hPa (middle), and 800 hPa (bottom).  
16 Results are shown for ACCMIP model simulations for 11 regions (c.f., Table 3 and Fig. 8).  
17 Black box shows model minus reanalysis difference for regional mean concentration  
18 (averaged over all model grid points); red box shows model minus reanalysis at the  
19 ozonesonde samplings.

20

21 Figure 10: Probability distribution functions (PDFs) of ozone concentration obtained from the  
22 ACCMIP multi-model mean (blue) and the reanalysis (red) at 500 hPa for W. Pacific/E. India  
23 in SON (left) and for the SH high latitudes in MAM (right). The plots are shown for all model  
24 and reanalysis grid point (bottom) and for the ozonesonde sampling (top) within each defined  
25 region.

26

27 Figure 11: Global distributions of relative value (in %) of reanalysis uncertainty (left),  
28 standard deviation among the ACCMIP models (center), and ACCMIP model standard  
29 deviation with respect to the reanalysis for the annual mean concentration (right). From top to  
30 bottom, results are shown for global distributions at 200 hPa, 500 hPa, and 800 hPa.