

1 Quantifying the contribution of land use change to surface 2 temperature in the lower reaches of Yangtze River

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4 **Xueqian Wang^{1,2}, Weidong Guo^{1,2,*}, Bo Qiu^{1,2}, Ye Liu^{1,2}, Jianning Sun^{1,2}, Aijun Ding^{1,2}**

5 ¹ CMA-NJU Joint Laboratory for Climate Prediction Studies, Institute for Climate and Global Change
6 Research, School of Atmospheric Sciences, Nanjing University, Nanjing, China.

7 ²Joint International Research Laboratory of Atmospheric and Earth System Sciences, Nanjing, China.

8 * CMA-NJU Joint Laboratory for Climate Prediction Studies, Institute for Climate and Global Change
9 Research, School of Atmospheric Sciences, Nanjing University, Nanjing, China.

10 *Correspondence to:* Weidong Guo (guowd@nju.edu.cn)

11 **Abstract**

12 Anthropogenic land use has significant impact on climate change. Located in the typical East Asian
13 monsoon region, the land-atmosphere interaction in the lower reaches of Yangtze River is even more
14 complicated due to intensive human activities and different types of land use in this region. To better
15 understand these effects on microclimate change, we compare differences in land surface temperature
16 (T_s) for three land types around Nanjing from March to August, 2013, and then quantify the
17 contribution of land surface factors to these differences (ΔT_s) by considering the effects of surface
18 albedo, roughness length, and evaporation respectively. The atmospheric background contribution to
19 ΔT_s is also considered based on differences in air temperature (ΔT_a). It is found that the cropland
20 cooling effect decreases T_s by -1.76°C and urban heat island effect increases T_s by 1.25°C . They have
21 opposite impacts but are both significant in this region. Various changes in surface factors affect
22 radiation and energy distribution and eventually modify T_s . It is the evaporative cooling effect that plays
23 the most important role in this region and accounts for -1.40°C of the crop cooling and 2.29°C of the
24 urban warming. Besides, the background atmospheric circulation is also an indispensable part in
25 land-atmosphere feedback induced by land use change and reinforces both these two effects.

1 **1 Introduction**

2 Land use/Land cover change (LULCC) has been widely investigated in the past few decades, and it has
3 been found that more than half of the land surface on Earth has been exploited by human (Baldocchi,
4 2014). Robust evidences indicate that the impact of LULCC on temperature is obvious and this impact
5 depends on different types of land surface transform. Deforestation usually has a warming effect at
6 lower latitudes and a cooling effect at mid- to high latitudes (Lee et al., 2011). Global deforestation may
7 result in cooling (Pitman et al., 2009;Davin and Noblet-Ducoudré, 2010;Betts et al., 2007) and amplify
8 diurnal temperature variance (Alkama and Cescatti, 2016). The urban heat island (UHI) is one of the
9 most significant human-induced phenomena and it usually results in apparent warming in urban area
10 compared to the surrounding rural areas. The UHI effect depends on latitude, climate regime, urban area
11 size, and time of the season (Kalnay and Cai, 2003;McCarthy et al., 2010;Zhao et al., 2014;Basara et al.,
12 2008;Lin et al., 2016). Agriculture often leads to cooling temperature in different patterns, and the
13 cooling effect can usually be magnified when it comes to irrigation (Campra et al., 2008;Kueppers et al.,
14 2007;Lobell et al., 2006;Zhang et al., 2011). Thereby analyzing different types of land use plays an
15 important role not only in evaluating the climate change on different spatial scale (Alkama and Cescatti,
16 2016;Baldocchi and Ma, 2013;Huang et al., 2008;Wang et al., 2010;Hari et al., 2015), but also in
17 improving the predictive capacity of models (Huang et al., 2015;Niu et al., 2011;Zhang et al., 2015).
18 Although there have been many studies concentrating on LULCC, they rarely compare the differences
19 in the mechanisms behind the land-atmosphere interaction with different types of land use.
20 The effects of anthropogenic land use on local climate are complicated with a series of stabilizing and
21 reinforcing feedbacks (Baldocchi, 2014). Although the surface albedo change has been widely analyzed
22 as the strongest climate forcing (Campra et al., 2008), IPCC (2013) emphasizes that it is not the only
23 effect of LULCC because LULCC also causes other changes that don't affect the radiative process but
24 can also significantly influence the surface temperature (T_s). These changes such as surface roughness
25 (Davin and Noblet-Ducoudré, 2010;Kanda, 2007) and evapotranspiration changes (Pitman et al., 2009)
26 are more uncertain and difficult to quantify, whereas they exert essential influences on the radiative
27 process and energy redistribution on the land surface (Baldocchi and Ma, 2013;Campra et al.,

1 2008;Yang et al., 2014), and thereby cause obvious differences in T_s over various land surface types
2 under different climate backgrounds (Biggs et al., 2008;Luysaert et al., 2014).

3 To understand the influence of LULCC, it is important to quantify the contributions of different surface
4 factors for each type of land use. Juang (2007) proposed the method to decompose the observed change
5 in T_s based on surface energy balance, and this method was refined later by Luysaert et al. (2014). Lee
6 et al. (2011) presented a new metric and attributed the change in T_s to radiation, convection and
7 evaporation. Chen and Dirmeyer (2016) added the atmospheric background effect to the metric
8 proposed by Lee et al.. This method can be used to calculate each factor's contribution to T_s in areas
9 with different vegetation cover (Bright et al., 2014;Li et al., 2015) as well as urban area (Zhao et al.,
10 2014).

11 The lower reaches of Yangtze River Valley, which is located in the typical East Asian monsoon region,
12 is one of the regions with the most intensive human activities around the world. Rapid urbanization,
13 industrialization, expansion of farmland, animal husbandry, deforestation and afforestation are common
14 features in this region. In monsoon region, LULCC affects climate not only by influencing local
15 convection through radiation and surface heat fluxes, but also by influencing the monsoon onset and
16 weakening related precipitation (Hsu and Liu, 2003;Xue et al., 2004). However, both flux observations
17 and characteristic analyses are very limited in the lower reaches of Yangtze River Valley, let alone
18 quantitative analysis (Gao, 2003;Bi et al., 2007). In this study, the contributions of different surface land
19 factors to surface temperature are calculated based on analysis of data collected at several sites, where
20 the land use type includes crop, grass and urban area respectively (Guo et al., 2016). We first
21 quantitatively compare the influences of several different surface factors on T_s over different types of
22 managed land, and then demonstrate that the Bowen ratio effect dominates the feedback of land use
23 change to surface temperature in this region, while other factors play a secondary role.

1 **2. Data and methods**

2 **2.1 Observation Sites and data**

3 The measurements used in this study were collected at three sites in the lower reaches of Yangtze River.
4 The urban site, where the average building height is 19.7m, is located at Dangxiao, the central urban
5 area of Nanjing (32°2'24"N, 118°47'24"E). The other two sites are both located at around (31°43'08"N,
6 118°58'51"E) in Lishui county and classified as a grassland site and a cropland site, respectively. The
7 grass height is about 60cm. Rice grows in the summer (mid June to early November) and wheat grows
8 in the winter (from mid- to late November to early June of next year) nearby the cropland site, with the
9 largest plant height of 75cm.

10 In this study, sensible and latent heat fluxes are measured at 30-min intervals by the eddy covariance
11 system (EC3000, Campbell) deployed at 3 m height over the grass site and crop site, and at 36.5 m
12 height above the 22 m high building at the urban site. The sampling frequency is 10Hz for
13 measurements by the Data acquisition (CR5000). We have applied strict corrections such as coordinate
14 rotation correction(Wilczak et al., 2001), frequency response correction(Moore, 1986), WPL
15 correction(Webb et al., 1980), and quality control (Foken et al., 2004) to all the flux measurements. The
16 measurements contain micro-meteorological elements of air temperature (HMP45C-L, Vaisala),
17 precipitation (TE525MM-L, Texas Electronics), and surface radiation fluxes including downward and
18 upward short-wave (CM21, Kipp & Zonen) and long-wave (CG4, Kipp & Zonen) fluxes at half-hour
19 intervals. Additional information about both the observations and sites such as the location and spatial
20 distribution of sites can be found in the previous study (Guo et al., 2016).

21 The analysis focuses on March to August in 2013. This is because the eddy covariance method is
22 assumed to work well only when turbulence can fully develop. To quantify the different contributions to
23 ΔT_s more accurately, we use Integrated Turbulence Characteristics (ITC) proposed by Foken (Foken
24 and Wichura, 1996) to remove the data with low quality. Such standard was also adopted by FLUXNET
25 program (Foken et al., 2004).

26 **2.2 Methodology**

27 In an ideal state, the surface energy balance can be expressed as:

$$1 \quad R_n + AH = H + LE + G \quad (1)$$

2 Where R_n is the net radiation calculated from $R_n = DSR + DLR - USR - ULR$, DSR, DLR, USR and
 3 ULR are the daily downward shortwave radiation, downward longwave radiation, upward shortwave
 4 radiation and upward longwave radiation, respectively. Anthropogenic heat (AH) flux is more obvious
 5 in urban areas than in rural areas but it is difficult to accurately measure. H and LE are the daily average
 6 sensible and latent heat flux. G includes the heat flux at the surface of soil or buildings and the thermal
 7 storage in the canopy and it's relatively small. In this paper, we only discuss the differences between R_n ,
 8 LE and H on the basis of the observations at the urban area of Nanjing and the countryside.

9 Following the method proposed by Lee et al. (2011) and refined by Chen and Dirmeyer (2016), the
 10 biophysical mechanism can be expressed as a temperature change and decomposed into three direct
 11 factors, i.e. radiation balance, aerodynamic resistance and evaporation, and one indirect factor of air
 12 temperature on larger scale. Therefore, ignoring AH and G in urban area, the daily surface temperature
 13 change can be approximated by:

$$14 \quad \Delta T_s \approx \frac{\lambda_0}{1+f} \Delta S + \frac{-\lambda_0}{(1+f)^2} R_n^* \Delta f_1 + \frac{-\lambda_0}{(1+f)^2} R_n^* \Delta f_2 + \Delta T_a \quad (2)$$

15 with

$$f = \frac{\lambda_0 \rho C_p}{r_a} \left(1 + \frac{1}{\beta}\right)$$

$$16 \quad \Delta f_1 = \frac{-\lambda_0 \rho C_p}{r_a} \left(1 + \frac{1}{\beta}\right) \frac{\Delta r_a}{r_a}$$

$$\Delta f_2 = \frac{-\lambda_0 \rho C_p}{r_a} \frac{\Delta \beta}{\beta^2}$$

17 Where ΔT_s is the difference in the surface temperature between other managed sites and natural grass
 18 site. $\lambda_0 = 1/4\varepsilon\sigma T^3$ is the local climate sensitivity, f is the energy redistribution factor, $S = DSR - USR$ is
 19 net shortwave radiation, ΔS is the difference between managed site and grass site.
 20 $R_n^* = (1-\alpha)DSR + DLR - (1-\varepsilon)DLR - \varepsilon\sigma T_a^4$ is the apparent net radiation, $\alpha = USR/DSR$ is albedo,
 21 ε is the surface emissivity, σ is the Stefan-Boltzmann constant. DSR and USR are the daily averages of

1 these solar radiations at half-hour intervals during the period from 06:00 to 18:00 LST. T_a is the air
2 temperature at reference height.

3 We regard the grass site, with local native vegetation, as the base site. The terms on the right-hand side
4 of Eq. (2) shows that the contributions to ΔT_s are from radiation change (term 1), aerodynamic
5 resistance change (term 2) related to aerodynamic resistance (r_a) which represents the surface
6 roughness effect, and evaporation change (term 3) related to Bowen ratio ($\beta = H / LE$). Term 2 and
7 term 3 are the two components associated with the energy redistribution.

8 In the sites covered by vegetation, the aerodynamic resistance can be expressed as (Verhoef and De
9 Bruin, 1997):

$$10 \quad r_a = \frac{1}{\kappa u_*} \left[\ln \frac{z_m - d}{z_{0m}} + \ln \frac{z_m}{z_{0h}} - \Psi_h(\zeta) \right] \quad (3)$$

11 Where Z_{0m} is the aerodynamic roughness length, which can be given by the independent method
12 (Chen et al., 1993); $\Psi_h(\zeta)$ is the stability correction function for temperature; and

$$13 \quad \ln \frac{z_{0m}}{z_{0h}} = 0.13 \left(\frac{z_{0m} u_*}{\nu} \right)^{0.45} \quad (\text{Zeng and Dickinson, 1998}),$$

14 where ν is the viscosity coefficient with a value
15 of $1.46 \times 10^{-5} \text{m}^2 \text{s}^{-1}$. But in urban area, because the wind profile is not applicable well, we calculate the
aerodynamic resistance from:

$$16 \quad r_a = \frac{\rho C_p (T_s - T_a)}{H} \quad (4)$$

17 **3. Results**

18 **3.1 Differences in surface temperature**

19 Due to the East Asian monsoon anomaly and decreased moisture convergent, 2013 is an extremely
20 drought year in southern China, where the summer precipitation decreased by more than 78% of the
21 average amount and broke the historical record over the past 50 years (Yuan et al., 2016). The drought
22 in 2013 was especially severe in the mid- to lower reaches of Yangtze River. Under the same dry
23 condition, different land use types cause different feedbacks to surface temperature (T_s) and other

1 surface characteristics. To compare the influence of different land use type on microclimate, the surface
2 temperature change (ΔT_s) from grassland to cropland and to urban area are quantified.
3 Monthly variations of T_s differences (ΔT_s) between crop and grass sites and between urban and grass
4 sites are presented in Figure 1. During the entire growing season, cropland had an obvious cooling
5 effect, which was strengthened when it came to irrigation (Kueppers et al., 2007; Lobell et al., 2006). The
6 extremely large differences between crop and grass sites were -1.75°C in April and -2.46°C in August
7 (Figure 1a) with less precipitation in these months (Guo et al., 2016). However, the cooling effect of
8 only -0.34°C in June was relatively small because wheat harvest and straw burning increased T_s in the
9 cropland site. On the contrary, the urban heat island (UHI) effect resulted in at least 1°C higher
10 temperature at the urban site than at the rural sites in each month of the growing season. The extremely
11 warm and dry condition in April and July was more evident in urban area than at the grassland site (Guo
12 et al., 2016), with the maximum value of 1.95°C higher temperature in April and 2.17°C in July.
13 Comparing different land types, it is clear that land use influences the local T_s to a large extent and
14 makes it more complicated. Cropland cooling and UHI effects are both obvious in East Asian monsoon
15 region.

16 **3.2 Variations and differences in land surface factors**

17 The characteristics of physical processes at different surface types can be represented by surface factors,
18 including albedo, Bowen ratio, surface roughness and aerodynamic resistance. These factors reflect the
19 momentum, heat and moisture exchanges between land and atmosphere (Baldocchi and Ma,
20 2013; Bright et al., 2015; IPCC, 2013). Figure 2 shows the monthly variation and differences of these
21 factors by averaging their daily values across the crop, urban and grass sites. Error bar is given as 1 s.d.
22 for the monthly averages of daily T_s . Different land types with different surface colour, permeable rate,
23 heat content and surface roughness have different properties and impacts in the land-atmosphere
24 interactions. Human modifications in the urban area make it more obviously different from grassland
25 and cropland. Except for the extremely low albedo in cropland from May to June, the differences in
26 albedo, Bowen ratio and surface roughness between crop site and grass site are opposite to the
27 differences between urban site and grass site.

1 Monthly variation of surface albedo shows that the albedo in grassland gradually decreased from March
2 to June but slightly increased in July and August because of the drought. Due to a series of agricultural
3 activities including wheat harvest, straw burning and rice irrigation from early May to mid June, the
4 albedo at cropland decreased quickly and reached the minimum value in June due to the burning, and
5 then increased when rice started growing. Thereby the difference in albedo ($\Delta\alpha$) between the crop and
6 grass site was negative from May to July, with the extreme value of -0.06 in June. Monthly $\Delta\alpha$ between
7 urban and grass site remained negative during the whole growing season (Figure 2b). Bowen ratio is a
8 measurement of dry and wet condition of the surface to a certain degree. Sufficient soil water content
9 benefit for the energy exchange in the way of higher LE and lower Bowen ratio. The largest differences
10 occurred in March, with a value of 2.8 at the urban site and -1.24 at the crop site. With the lack of
11 precipitation in August, the increase in β obviously occurred at the grassland site but not at the other
12 two managed land sites (Figure 2c). The Bowen ratio at the crop site was always low in the growing
13 season because of sufficient water supply.

14 Besides, Figure 2e and 2f present that the urban surface roughness (Z_{0m}) is much higher than that at the
15 lands with vegetation cover. The average surface roughness length at the urban area is 2.82m higher
16 than at the suburban area. When it comes to the sites with vegetation cover, it is shown that Z_{0m} at the
17 grassland site was a little higher than that at the cropland site and the extreme difference was -0.05m in
18 June due to the wheat harvest. Contrary to the differences in Z_{0m} , the aerodynamic resistance at the
19 urban site was obviously lower than that at other sites during the entire growing season. The grass site
20 and crop site had a similar trend of aerodynamic resistance in the spring but a relatively large difference
21 in the summer. Different to the Z_{0m} variation, the aerodynamic resistance in grassland was much higher
22 than that in urban area but a little lower than that in cropland. The largest differences in aerodynamic
23 resistance between urban area and grassland and that between cropland and grassland both occurred in
24 August with values of -44.36 s/m and 29.08 s/m respectively.

25 **3.3 Attribution of the differences in micrometeorological elements**

26 In the land-atmosphere interaction process under the same climate background, different types of land
27 use with different surface factors can affect the radiation budget and redistribution of surface sensible

1 and latent heat flux, and eventually affect local surface temperature. Figure 3 shows the attribution of
2 ΔT_s to both direct surface factors and indirect atmospheric effect at the crop and urban sites. The ΔT_s
3 attributed to roughness was calculated by aerodynamic resistance. Thus negative value means high
4 roughness and cooling effect. It is clear that the dominant modification was caused by the evaporation
5 represented by Bowen ratio, the value of which was even comparable to the observed ΔT_s in the lower
6 reaches of Yangtze River. While the ΔT_s driven by surface roughness and evaporation were of opposite
7 sign at the crop site and the urban site, contributions of the two factors are both strengthened from the
8 spring to summer. Even though the low vegetation height with low Z_{0m} at the crop site was favorable for
9 higher ΔT_s , evaporation based on sufficient water supply reduced the Bowen ratio and cooled T_s
10 efficiently in the summer.

11 Averages of observed ΔT_s in the growing season were -1.79°C at the crop site and 2.01°C at the urban
12 site. At the crop site, the calculated ΔT_s was -1.76°C , albedo and aerodynamic resistance contributions
13 were 0.09°C and 0.47°C , respectively, but Bowen ratio cooling effect decreased ΔT_s by -1.40°C . At the
14 urban site, the calculated ΔT_s was 1.25°C and the difference between the observed and calculated values,
15 which was larger in the summer, was partly derived from the ignorance of heat storage and
16 anthropogenic heating. Even if radiation and surface roughness cooling existed, the limited evaporation
17 reduced the partitioning of R_n to latent turbulent heat flux and warmed the urban area by 2.29°C .

18 Atmospheric feedback is also important. It not only can change the cloud distribution due to water and
19 heat differences or aerosol effects and impact solar radiation (Yang et al., 2012; Betts et al., 2007; Biggs
20 et al., 2008), but also can affect circulations or the variation of vegetation physical properties such as
21 albedo and evaporation (Niu et al., 2011; Yang et al., 2014) and subsequently affect T_s . The atmospheric
22 background effects of T_a were relatively stable and could not be neglected during the whole growing
23 season. It had an average contribution of -0.93°C to the cropland cooling effect and 0.54°C to the urban
24 heat island effect respectively and enlarged the difference in surface temperature induced by land use.

4 Conclusions and Discussions

Our study presented the first-handed observational evidences to verify the model results. Located in East Asian monsoon region, the lower reaches of Yangtze River has experienced the most intensive land use changes around the world, which has significant impacts on the local and regional climate. However, these impacts may not be easy to quantify due to the lack of observations in this region and uncertainties in modelling results. We used in-situ data to quantify the contributions of two main land use types here, the irrigated cropland and the rapid urbanization, to the microclimate change. It shows that the crop cooling and UHI were both obvious. The differences in T_s were larger in the months with low precipitation and the monthly maximum values at both sites are even larger than 2°C .

For the study of LULCC effects on regional climate, more attention should be paid to nonradiative forces and the feedbacks from the background circulation. Although the surface albedo change caused by LULCC has been considered to be the strongest climate forcing and its effect has been widely and quantitatively estimated, other non-radiative modifications induced by LULCC including the roughness and evaporation are also important. Our results shows that the alteration of radiation, aerodynamic resistance, evaporation and air temperature all contributed to ΔT_s (Figure 3). The contributions of aerodynamic roughness and Bowen ratio, which are related to energy redistribution, are largely more than that of the net solar radiation. Despite the negative contributions of net solar radiation and aerodynamic resistance, the positive contribution of Bowen ratio controlled both the cropland cooling effect and urban heat island effect which have been enlarged by the influence of background atmospheric circulation.

These results demonstrate that evaporative cooling effect is the most important factor that modifies the surface temperature change in the lower reaches of Yangtze River valley, and the temperature change induced by this effect is even comparative to the total value of ΔT_s . There has been some studies based on the field data of North America and western Europe They indicate that the effects of evaporation and convection usually dominates the land-atmosphere feedback of deforestation and urbanization in the mid-lower latitudes (Chen and Dirmeyer, 2016;Zhao et al., 2014). But in higher latitudes, the radiative forcing contributes more to the surface temperature change associated with the deforestation of Boreal region in North America (Lee et al. 2011) and Norway (Bright et al., 2014). Although the evaporative

1 cooling and surface roughness both are important in land-atmosphere interaction, even more than
2 albedo changes in some regions at lower latitudes, their effects usually cannot be revealed accurately by
3 models (IPCC, 2013) and the studies of these surface factors effects are still insufficient, especially in
4 some regions with scarce in-situ observations such as in the lower reaches of Yangtze River. To better
5 understand the local and regional climate change and the possible large scale feedback, for example the
6 feedback between land use change and the East Asian monsoon system, more observational data and
7 accurate modelling studies of the physical mechanisms between the land surface and the atmosphere are
8 needed for further theoretical analysis.

9 **Acknowledgments**

10 This research is jointly sponsored by Natural Science Foundation of China (Grant No. 41475063,
11 91544231), the National Science and Technology Support Program (2014BAC22B04). This work is
12 also supported by the Jiangsu Collaborative Innovation Center for Climate Change. For data used in our
13 study, please contact the corresponding author: Weidong Guo (guowd@nju.edu.cn).

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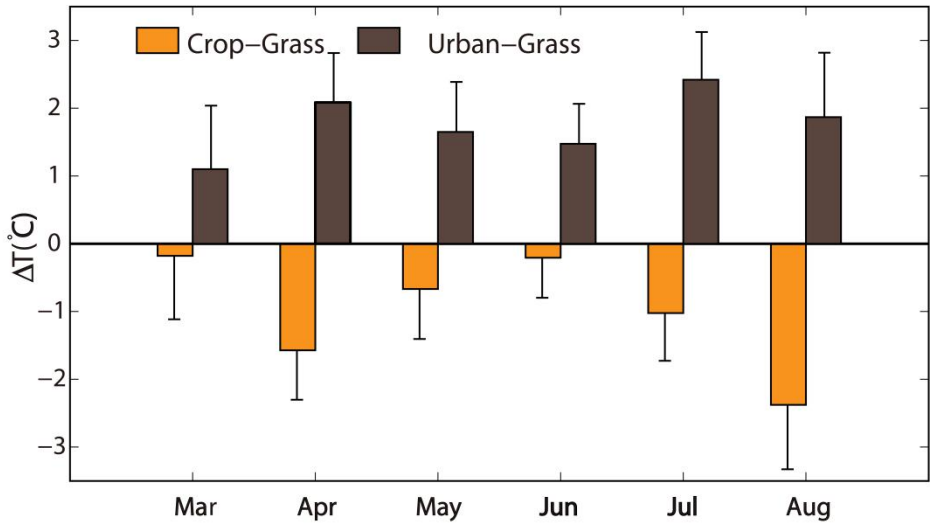
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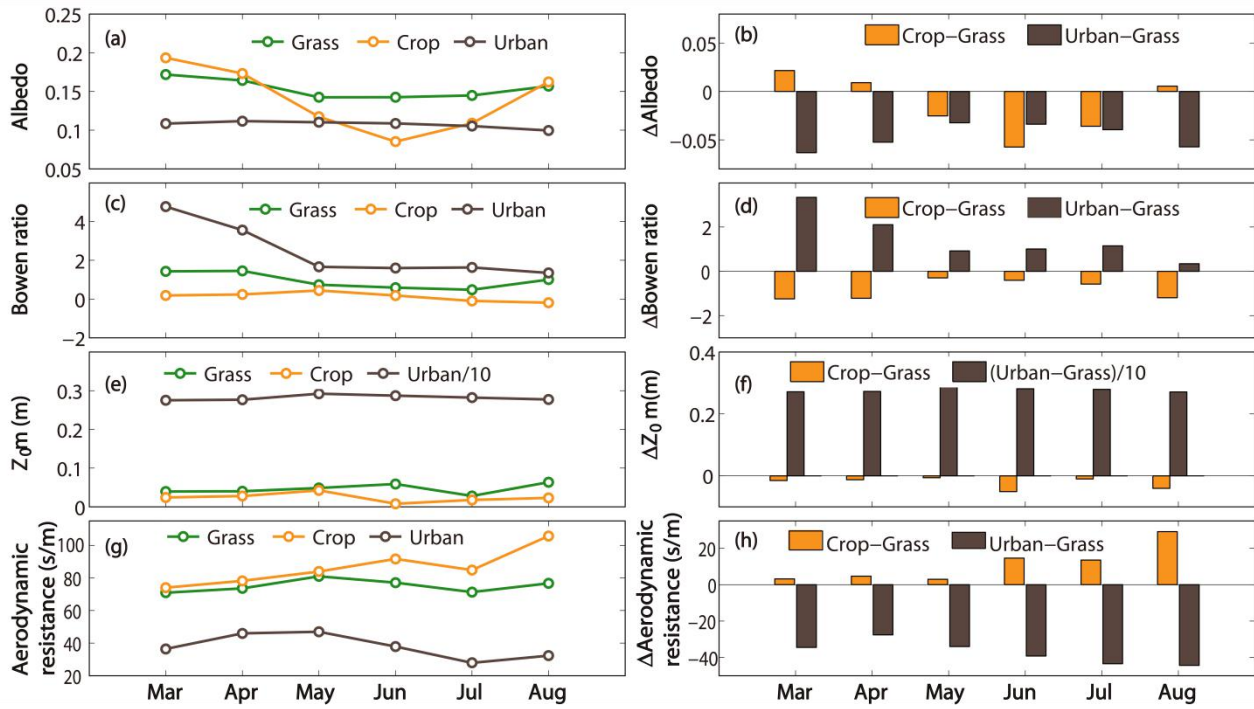
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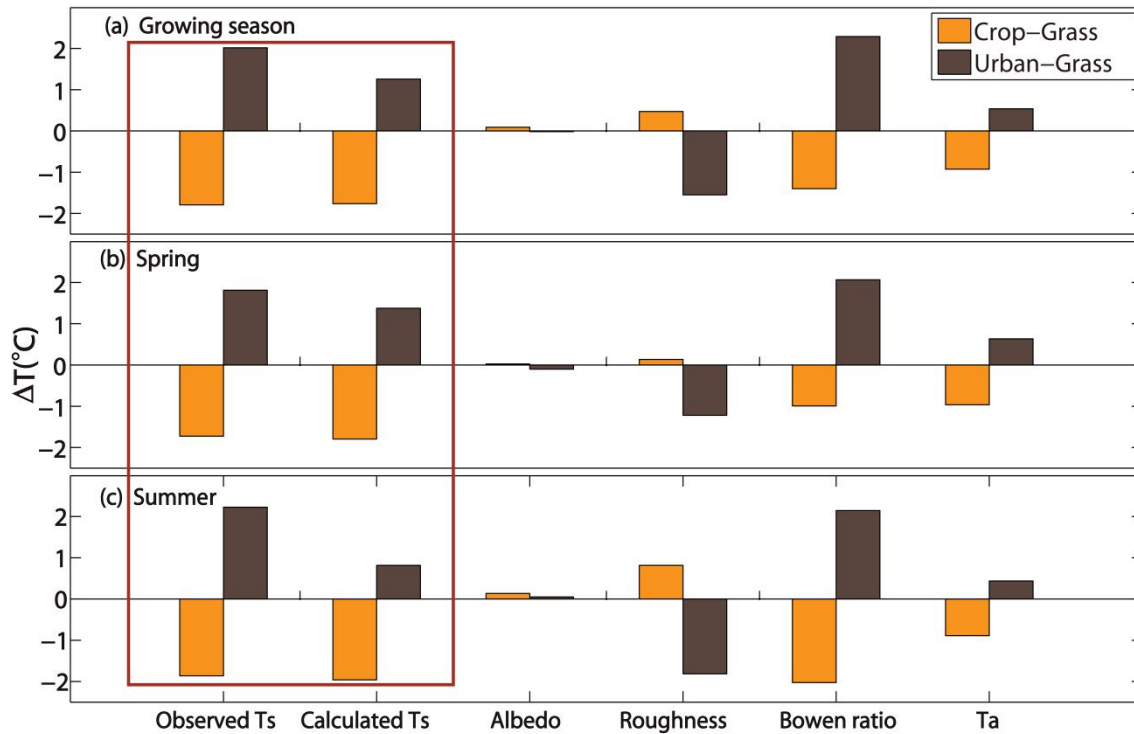
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Figure 1: Differences in surface temperature between different sites in Nanjing from March to August 2013. Error bars represent 1s.d. for each month.



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Figure 2: Monthly variations of different factors at the three sites and the differences between the other two sites and the grass site in Nanjing from March to August 2013: (a,b) albedo, (c,d) Bowen ratio, (e,f) surface roughness, and (g,h) aerodynamic resistance.



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 2 **Figure 3: Contributions to the differences in surface temperature between urban and cropland**
 3 **sites and the grassland site due to radiation, aerodynamic resistance, evaporation, and air**
 4 **temperature (Ta) in (a) growing season, (b) spring and (c) summer, 2013.**

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