

1 **Effects of 20-100 nanometre particles on liquid clouds in the clean**
2 **summertime Arctic**

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27 **Abstract.** Observations addressing the effects of aerosol particles on summertime Arctic clouds
28 are scant. An airborne study, carried out during July, 2014 from Resolute Bay, Nunavut, Canada,
29 as part of the Canadian NETCARE project, provides observations enabling a relatively
30 comprehensive in-situ look into some effects of aerosol particles on liquid clouds (fog, stratus
31 and stratocumulus) in the clean environment of the Arctic summer. Sixty-two cloud-averaged
32 data points with the cloud liquid water content (LWC) restricted to $\geq 0.01 \text{ g m}^{-3}$ were derived
33 from eight flights. Differences in formation suggest separation between low-altitude (LA) cloud
34 (topped below 200 m: 24 points) and higher-altitude (HA) cloud (based above 200 m: 38 points).
35 Corresponding median LWC and cloud droplet number concentrations (CDNC) are 0.05 g m^{-3}
36 and 10 cm^{-3} for the LA clouds and 0.13 g m^{-3} and 101 cm^{-3} for the HA clouds. The lower
37 activation size of aerosol particles is $\leq 50 \text{ nm}$ diameter for about 40% of the points, and the
38 activation of particles as small as 20 nm is suggested for some clouds. Comparisons of the
39 CDNC and cloud condensation nucleus (CCN) concentrations are used to infer average
40 supersaturations (S) of 0.3% and 0.6% for the LA and HA clouds, respectively. Fifteen LA cloud
41 points offer the first observations of aerosol and cloud microphysics within the CCN-limited
42 regime of Mauritsen et al. (2011) in which small increases in CCN are hypothesized to increase
43 LWC and warm the surface. The LWC is found to be positively associated with the CDNC, but
44 there is no association of either the CDNC or LWC with changes in the aerosol. Forty-six points
45 fall in the regime where increased aerosol will more generally cool via the indirect effect, and
46 changes in particles with diameters from 20 nm to 100 nm, which may arise from natural
47 sources, exert a relatively strong influence on the CDNC. A summertime Arctic background
48 CDNC range of 16 cm^{-3} to 160 cm^{-3} (median: 122 cm^{-3}), based on corresponding carbon
49 monoxide below the study median (81 ppbv), offers a reference for the aerosol indirect effect.

50 1. Introduction

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52 Mass concentrations of the atmospheric aerosol in the Arctic are higher during winter and lower
53 during summer due to differences in transport of anthropogenic particles and wet scavenging
54 (e.g. Barrie, 1986; Stohl, 2006). Much of the focus of atmospheric chemistry and aerosol-cloud
55 research in the Arctic has been on the spring period. That transition from winter to summer
56 offers the opportunity to examine the changes in atmospheric chemistry as the sun rises over the
57 polluted polar atmosphere (e.g. Barrie et al., 1988) and to study the impacts of the anthropogenic
58 aerosol on the Arctic solar radiation balance (e.g. Law and Stohl, 2007; Quinn et al., 2008).
59 Greater-than-expected warming of the Arctic (e.g. Christensen et al., 2013) and rapidly
60 diminishing Arctic sea ice extent (e.g. Maslanik et al., 2011) have drawn considerable attention
61 to the role of anthropogenic and biomass burning aerosols as warming agents for the Arctic (e.g.
62 Law and Stohl, 2007; Quinn et al., 2008; Shindell et al., 2008; Brock et al., 2011; Jacob et al.,
63 2010; UNEP, 2011; Stohl et al., 2013). Recent evidence indicates that the net impact of aerosol
64 particles on the Arctic over the past century has been one of cooling rather than warming (Najafi
65 et al., 2015).

66 Low-level liquid water clouds are frequent in the sunlit Arctic summer (e.g. Intrieri et al.,
67 2001), and these clouds can have a net cooling effect (e.g. Brenner et al., 2001; Garret et al.,
68 2004; Lubin and Vogelmann, 2010; Zhao and Garrett, 2015; Zamora et al., 2015). Knowledge of
69 the influence of the atmospheric aerosol on climatic aspects of these clouds is complicated by the
70 relatively large potential differences in the albedo of the underlying surface (e.g. Herman, 1977;
71 Lubin and Vogelmann, 2010) and the fact that the Arctic is relatively free of anthropogenic
72 influence in summer, which means that aerosols from natural sources are potentially the most

73 significant sources of nuclei for cloud droplets. Natural sources lead to a shift of the number
74 distribution towards particles smaller than 100 nm (e.g. Heintzenberg and Leck, 1994; Ström et
75 al., 2003; Heintzenberg et al., 2006; Engvall et al., 2008; Tunved et al., 2013; Leaitch et al.,
76 2013; Heintzenberg et al., 2015). Particles much smaller than 100 nm are sometimes dismissed
77 as being too small to nucleate cloud droplets due to the assumption that the cooling mechanisms
78 are too slow to generate the supersaturations (S) required to activate those particles in Arctic
79 liquid clouds (e.g. Garret et al., 2004; Lubin and Vogelmann, 2010; Browse et al., 2014; Zhao
80 and Garrett, 2015). That assumption may result in reduced estimates from natural feedbacks to
81 climate and increased estimates of aerosol indirect forcing from anthropogenic sources.

82 Lohmann and Leck (2005) hypothesized the need for highly surface-active particles to
83 explain CCN active at S less than 0.3%. However, the cloud S is also strongly constrained by the
84 concentrations of particles larger than 100 nm, and in the clean environment of the summertime
85 Arctic with relatively low concentrations of particles above 100 nm, there is some evidence that
86 higher S may be achieved and smaller particles activated (e.g. Hudson et al., 2010; Korhonen et
87 al., 2010; Leaitch et al., 2013). Further, the suggestion that the minima between 50 nm and 100
88 nm in summertime Arctic particle size distributions results from cloud processing implies
89 consistent activation sizes much less than 100 nm (Heintzenberg et al., 2015). The effect of the
90 background aerosol on liquid clouds has been identified as one of the most important factors for
91 reducing uncertainty in the aerosol cloud albedo effect (Carslaw et al., 2013), and the
92 effectiveness of particles smaller than 100 nm at nucleating cloud droplets represents a
93 significant part in that uncertainty.

94 Effects of pollution on clouds may also lead to warming (e.g. Garrett et al., 2009).
95 Mauritsen et al. (2011) modeled cloud radiative forcing for low clouds using CCN number

96 concentrations derived from shipborne observations made over the Arctic Ocean (Tjernström et
97 al., 2004; Tjernström et al., 2014). They found that the impact from changes in CCN for ultra-
98 low values ($< 10 \text{ cm}^{-3}$), where CCN concentrations are equivalent to the CDNC in the model,
99 will result in a net warming due to associated longwave changes. Above CCN concentrations of
100 10 cm^{-3} , increases in CCN are estimated to produce a net cooling of the atmosphere. The
101 threshold CCN concentration is referred to here as the "Mauritsen limit", and it is noted that the
102 value of 10 cm^{-3} is not universal (Mauritsen et al., 2011). In such clean environments,
103 knowledge of the natural aerosol and its influence on the microphysics of summer clouds is
104 critical to the assessment of aerosol effects on Arctic climate.

105 Past studies of Arctic aerosols and clouds have emphasized the areas of the Beaufort and
106 Chukchi Seas (e.g. Hobbs and Rango, 1998; Curry et al., 2001 and references therein; Lohmann
107 et al., 2001; Peng et al., 2002; Earle et al., 2011; Lance et al., 2011; Jouan et al., 2014; Klingebiel
108 et al., 2014). Most of those studies have focused on the spring when the aerosol can be
109 influenced by anthropogenic or biomass burning aerosols. As well, there has been considerable
110 interest in mixed-phase clouds in the lower Arctic troposphere (e.g. Shupe et al., 2004; Sandvik
111 et al., 2007; Morrison et al., 2012), but a notable lack of in-situ observations of aerosols in
112 combination with liquid water clouds over the Arctic during summer. Among the studies that
113 have considered in-situ measurements of aerosols and Arctic summer clouds, Zamora et al.
114 (2015) examined the efficiency of biomass burning (BB) plumes on indirect forcing, estimating a
115 forcing from these plumes about half of the possible maximum, mostly due to the reduction in
116 cloud-base S by higher concentrations of larger particles that control water uptake. Shupe et al.
117 (2013) discussed some differences among clouds coupled to the surface versus those uncoupled,
118 but did not conduct in-situ observations of the cloud microphysics, and vertical aerosol

119 characterization was constrained to particles >300 nm. Hobbs and Rango (1998) found that low
120 clouds over the Beaufort Sea in June occasionally contained drops as large as 35 μm diameter.
121 They also found that the CDNC in the cloud tops correlated significantly with “aerosols” below
122 the bases. They suggested that cloud-top entrainment did not control the CDNC, although there
123 may be times when entrainment does influence the Arctic CDNC (e.g. Klingebiel et al., 2014).

124 Motivated by the limited knowledge of aerosol effects on cloud in the summer Arctic and
125 the details of particle activation, the Canadian Network on Climate and Aerosols: Addressing
126 Key Uncertainties in Remote Canadian Environments (NETCARE - [http://www.netcare-](http://www.netcare-project.ca/)
127 [project.ca/](http://www.netcare-project.ca/)), conducted airborne observations of aerosols and clouds during July, 2014 in the area
128 around Resolute Bay, Nunavut, Canada. The observations from the study are used here to
129 characterize CDNC, LWC, and the volume-weighted mean droplet diameter (VMD). Further,
130 aerosol particle size distributions (5 nm and larger) and CCN from outside of clouds are
131 compared with CDNC to address the following questions:

- 132 1) Given the scarcity of data, what are the characteristics of clouds in the summertime Arctic,
133 and do clouds near the surface have characteristics different from those aloft? (Sect. 3.1 and
134 3.2)
- 135 2) What are the sizes of particles that act as nuclei for cloud droplets? Will this enable a closer
136 connection to be made between aerosol processes, particle sizes and climate effects? (Sect.
137 3.3)
- 138 3) What is the relationship between droplet size and droplet number? In particular, what is the
139 aerosol influence on cloud below the Mauritsen-limit, and is it possible to assess a
140 background influence of the aerosol on clouds in the Arctic summer? (Sect. 3.4)

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143 **2. Methodologies**

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145 The instrument platform was the Alfred Wegener Institute (AWI) Polar 6 aircraft, a DC-3
146 aircraft converted to a Basler BT-67 (see Herber, A., Dethloff, K., Haas, C., Steinhage, D.,
147 Strapp, J. W., Bottenheim, J., McElroy, T. and Yamanouchi, T.; POLAR 5 - a new research
148 aircraft for improved access to the Arctic, ISAR-1, Drastic Change under the Global Warming,
149 Extended Abstract, pp. 54-57, 2008).

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151 **2.1 Instrumentation**

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153 The following outlines the measurements are relevant to this discussion. Details of the
154 instrument calibrations and evaluations are given in the Supplement (S1).

155 a) Particle number concentrations >5 nm diameter were measured with a TSI 3787 water-
156 based ultrafine condensation particle counter (UCPC), sampling at a flow rate of 0.6 L
157 min^{-1} . Hereafter, the measurements are referred to as N5.

158 b) Aerosol particle size distributions from 20 nm to 100 nm (45 s up scans and 15 s down
159 scans) were measured using a Brechtel Manufacturing Incorporated (BMI) Scanning
160 Mobility System (SMS) coupled with a TSI 3010 Condensation Particle Counter (CPC).
161 The sheath and sample flows were set to 6 L min^{-1} and 1 L min^{-1} . BMI software was used
162 to process the distributions.

- 163 c) Aerosol particle size distributions from 70 nm to 1 μm were measured using a Droplet
164 Measurement Technology (DMT) Ultra High Sensitivity Aerosol Spectrometer (UHSAS)
165 that uses scattering of 1054 nm laser light to detect particles (e.g. Cai et al., 2008).
- 166 d) CCNC(0.6%) were measured using a DMT CCN Model 100 counter operating behind a
167 DMT low pressure inlet at a reduced pressure of approximately 650 hPa. For the nominal
168 water **S** of 1%, the effective **S** at 650 hPa was found to be 0.6%. The **S** was held constant
169 throughout the study to allow for more stability of measurement, improved response, and
170 to examine the hygroscopicity of smaller particles.
- 171 e) Droplet size distributions from 2-45 μm were measured using a Particle Measuring
172 Systems (PMS) FSSP-100. This FSSP-100 had been modified with new tips to reduce
173 shattering artifacts (Korolev et al., 2011), and it was mounted in a canister under the port-
174 side wing. The CDNC, VMD and LWC are calculated from the measured droplet
175 distributions.
- 176 f) Cloud particle images in two dimensions for particles sized from about 50 μm to 800 μm
177 were measured using a PMS 2DC Grey-scale probe. For the present study, these
178 observations are used only to ensure the absence of the ice phase. This 2DC-Grey was
179 also modified with new tips to reduce shattering artifacts (Korolev et al., 2011), and it
180 was mounted in a canister beside the FSSP-100.
- 181 g) Carbon monoxide (CO) is used here as a relative indicator of aerosol influenced by
182 pollution sources and as a potential tracer for aerosol particles entering cloud. The CO
183 was measured with an Aerolaser ultra-fast carbon monoxide monitor model AL 5002
184 based on VUV-fluorimetry, employing the excitation of CO at 150nm. The instrument
185 was modified such that in-situ calibrations could be conducted in flight.

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2.1 State parameters and Winds

State parameters and meteorological measurements were measured with an AIMMS-20, manufactured by Aventech Research Inc. The instrument consists of three modules: 1) an Air Data Probe that measures the three-dimensional aircraft-relative flow vector (true air speed, angle-of-attack, and sideslip), temperature and relative humidity, and includes a three-axis accelerometer pack for turbulence measurement; 2) an Inertial Measurement Unit that consists of three gyros and three accelerometers providing the aircraft angular rate and acceleration; 3) a Global Positioning System for aircraft 3D position and inertial velocity. Horizontal and vertical wind speeds are measured with accuracies of 0.50 and 0.75 m/s, respectively; the vertical resolution was insufficient to measure gusts in the sampled clouds. The accuracy and resolution for temperature measurement are 0.30 and 0.01 C. The accuracy and resolution for relative humidity measurement are 2.0 and 0.1 %. The sampling frequency is 1 Hz.

2.2 Inlets

Aerosol particles were sampled through a shrouded diffuser inlet (diameter of 0.35 cm at intake point), which had been evaluated for larger particle transmission by Leitch et al. (2010). For the airspeeds during this study, transmission of particles by the inlet is approximately unity for particles from 20 nm to <1 μm . The intake was connected inside the cabin to a 1.9 cm OD

209 stainless steel manifold **off of** which sample lines were drawn to the various instrument racks
210 using angled inserts. The total flow at the intake point was approximately isokinetic at 55 L min^{-1}
211 based on the sum of flows drawn by the instrumentation (35 L min^{-1}) and the measured flow at
212 the exhaust of the tube. The flow at the exhaust of the tube was allowed to flow freely into the
213 back of the cabin so that the flow at the intake varied by the aircraft TAS and the manifold was
214 not significantly over pressured.

215 CO was sampled through a separate inlet consisting of a 0.40 cm OD Teflon tube using
216 the forward motion of the aircraft to push air into the line in combination with a rear-facing 0.95
217 cm OD Teflon exhaust line that lowered the line pressure. The continuously measured sample
218 flow was approximately 12 L min^{-1} .

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220 **2.3 Approach to Analysis**

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222 Eleven research flights were conducted from Resolute Bay, Nunavut ($74^{\circ}40'48''\text{N } 94^{\circ}52'12''\text{W}$)
223 beginning July 4 and ending July 21, 2014. The measurements were associated with two
224 relatively distinct weather regimes. During period 1 (July 4-12), the weather conditions around
225 Resolute Bay were affected by **an upper** low (Supplement Fig. S4). The wind speeds at 500 hPa
226 were mostly calm and varying from south to north. The surface (1000 hPa) was dominated by
227 weak high-pressure with generally clear skies, light winds, and occasional scattered to broken
228 stratocumulus. Low-cloud or fog was at times present in association with open water, and the air
229 was relatively clean as discussed below. There was a transition period from July 13-16 when
230 flights were not possible due to fog at Resolute Bay. During period 2 (July 17-21), the area came
231 under the influence of a deep low pressure system to the south (Supplement Fig. S5)

232 accompanied by more wind and higher cloud. The air was not as clean as during period 1, based
233 on the measured aerosol mass and CO concentrations (see Table 1), possibly due in part to
234 transport of BB aerosol from the Northwest Territories; see further discussion in Section 2.3.1.
235 Using the method of bulk Richardson number and a critical bulk Richardson number of 0.5 with
236 data from radiosondes, Aliabadi et al. (2016a,b) estimated boundary-layer heights at 275 m
237 (± 164 m) during the study.

238 A summary of all flight tracks is shown in Fig. 1. Flights mostly consisted of vertical
239 profiles and of low level flying over ice, water and melt ponds that contributed to the formation
240 of low cloud, where low-altitude (LA) cloud is defined here as cloud topped below 200 m above
241 the surface. Higher-altitude (HA) cloud, or cloud with bases above 200 m, was also sampled
242 during the profiles and transits. The polynyas that were sampled over are shown in the top center
243 of each panel of Fig. 2. Cloud was sampled on eight of the 11 flights, more frequently during
244 period 1 due to safer flight conditions associated with better visual contrast between clouds and
245 surrounding surfaces as well as because period 2 marked the presence of the Canadian Coast
246 Guard Ship Amundsen in Lancaster Sound (bottom center of each panel in Fig. 2) when the
247 flight plans were focused towards sampling of the ship's plume (e.g. Aliabadi et al., 2016c).

248 All aerosol number concentrations are given in terms of standard atmospheric pressure
249 and temperature (STP: 1 atm and 15°C). The CDNC are also referenced to STP where
250 comparisons are made with the aerosol number concentrations. Number concentrations of
251 particles greater than 100 nm (N100) are taken from the UHSAS. All data, except for the SMS,
252 are 1 second averages that represent a sampling path length of 60–80 m. The size distributions
253 over 20–100 nm are from the SMS data, which are 1-minute averages. Except for the example
254 shown in Fig. S3, all number concentrations of particles between 20 nm and 100 nm are taken

255 from the SMS. N_x -100 refers to the number concentration within the interval “x-100” where x
256 ranges between 20 and 90. Values of N_x with $x < 100$ are derived from the sum of N_x -100
257 (SMS) + N_{100} (UHSAS).

258 Clouds were sampled when they were present in the area of study, ideally by ascending
259 or descending through them. It was not possible to sample below the base of the LA clouds.
260 Most clouds were liquid phase, and only liquid phase clouds, based on the 2DC-Grey images of
261 cloud particles $>50 \mu\text{m}$, are discussed here. In addition, none of the liquid clouds exhibited
262 detectable precipitation, with the caveat that droplets in a couple of the lowest altitude clouds
263 were very low in number and relatively large in size (30-40 μm). Considering the settling speeds
264 of such large droplets, they may be considered precipitation. The HA clouds were either stratus
265 or stratocumulus. The LA clouds were fog or stratus. Turbulence was most noticeable in the
266 stratocumulus sampled on July 7, but it was still light. Cloud droplet sizes are represented by the
267 volume-weighted mean diameter (VMD), which has the property that the VMD can be used with
268 CDNC to calculate LWC.

269 The pre-cloud aerosols for the HA clouds are mostly derived from averages of values
270 collected within about 50 m of cloud base where a cloud base was clear and achievable; in the
271 July 19 case, the estimated pre-cloud aerosol concentrations included a contribution from above
272 cloud. At 200 m or below, the LA clouds were in the boundary layer, indistinguishable from the
273 surface in flight (possibly fog), and sampling below the cloud was not possible due to proximity
274 to the surface. With the exception of the July 8 case, the pre-cloud aerosol for the LA clouds is
275 estimated from aerosol measurements made in the clear air upwind of the cloud. Details of the
276 pre-cloud aerosol estimated for the HA and LA clouds are given in sections 3.2.1 and 2.3.2,
277 respectively. For the aerosol measurements made with the 1-minute averaged number

278 concentrations from the SMS, values from further below-cloud are necessary in some cases.
279 These values are however consistent with the 1-second aerosol measurements closer to cloud
280 base.

281 A total of 62 liquid water cloud data points were derived from the averages of each cloud
282 penetration with the constraint that the mean LWC is $> 0.01 \text{ g m}^{-3}$. The points are integrations
283 over periods ranging from 11 to 1000 seconds with a median sample time of 65 seconds that is
284 equivalent to a horizontal path length of about four kilometers. Some cloud layers were sampled
285 multiple times.

286 In sections 2.3.1 and 2.3.2, a range of HA and LA examples are used to 1) demonstrate
287 how the pre-cloud aerosol concentrations were assessed for the various points, and 2) note where
288 effects of entrainment may be a factor and how multiple cloud layers are considered. Besides the
289 cloud microphysics, the only in-cloud measurements considered valid inside of cloud are the CO
290 and thermodynamic measurements. For completeness, the aerosol measurements in cloud are
291 included in the figures connected with sections 2.3.1 and 2.3.2, but such measurements,
292 including the CCN, are unreliable due to variability in drying associated with the inlet and a
293 particular instrument as well as droplet shattering on the inlet. The in-cloud aerosol
294 measurements are not used in the subsequent analysis.

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296 2.3.1 Higher Altitude (HA) Cloud Examples

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298 Four examples of profiles through HA clouds are shown in Fig. 3. There are two panels for each
299 profile: the left-hand panel shows CO, CDNC and particle number concentrations (N5, Nx-100,
300 N100, CCNC(0.6%)); the right-hand panel shows temperature, equivalent potential temperature
301 (θ_e), LWC and VMD. The temperatures, θ_e and VMD are scaled as indicated.

302 July 7 Case (Fig. 3 a, b): This is one of several similar profiles through a stratocumulus
303 layer on July 7 sampled during the transits to and from the polynyas north of Resolute Bay. The
304 CDNC (at STP) are relatively constant with altitude and the LWC and VMD both increase
305 steadily with altitude, features common to the formation of cloud by lifting of air and indicating
306 that the cloud droplets were nucleated on particles in air rising from cloud base. The cloud top is
307 capped by a temperature inversion of about 2°C at 2350 m, and the particle profiles along with θ_e
308 and CO are relatively constant below the cloud base. The only indication from the LWC and
309 CDNC profiles is that entrainment reduces the CDNC. In cloud, the number concentrations of
310 larger particles (N100) is reduced due to nucleation scavenging; although such particles are not
311 completely eliminated as smaller droplets can enter the inlet and dry in the sampling lines.
312 Smaller particles can be artificially increased in cloud due to the shattering of larger droplets on
313 the aerosol intake, as indicated by the increase in the N5 higher in the cloud. The in-cloud
314 aerosol measurements are not used in the subsequent analysis. The CDNC range up to 265 cm⁻³
315 and the mean value is 199 cm⁻³. Below cloud base, the N5, N20-100, N30-100, N50-100, N100
316 and CCNC(0.6%) are approximately 235 cm⁻³, 167 cm⁻³, 145 cm⁻³, 94 cm⁻³, 67 cm⁻³ and 117 cm⁻³,
317 respectively. The below-cloud N20 of 234 cm⁻³ approximately equals the N5 providing
318 confidence in the closure of number concentrations. The N30 (N30-100 + N100) compare most
319 closely with the mean CDNC leading to the conclusion that on average cloud droplets nucleated
320 on particles down to about 30 nm. It is possible that particles as small as 20 nm contributed to the
321 CDNC in this cloud based on the maximum CDNC; for 20 nm particles of ammonium sulphate
322 to activate, Köhler equilibrium theory indicates the S in the bases of the clouds would have had
323 to reach above 1.5%.

324 July 17 Case (Fig. 3 c, d): The maximum and mean CDNC (STP), at about 75 cm^{-3} and
325 55 cm^{-3} , respectively, are lower and the VMD peak of $20 \mu\text{m}$ is higher compared with the July 7
326 profile. The LWC are generally similar between July 7 and July 17, but there are more intervals
327 in the July 17 profile with LWC decreasing from a steady LWC increase associated with an
328 adiabatic lifting. Many of those intervals with decreasing LWC are associated with the aircraft
329 passing through the edges of the stratocumulus during the profile. The inversion topping the
330 cloud is weaker and the peak in the LWC occurs further below cloud top compared with the July
331 7 case. That LWC feature in combination with the general increase in CO beginning about 660 m
332 suggests that erosion of the cloud top by entrainment was deeper in the July 17 case. Above
333 660m, the CDNC also decrease; thus the increase in aerosol above cloud did not enhance the
334 CDNC. Continuity from about 100 m below cloud base is indicated by the CO and θ_e profiles,
335 and the N50 approximates the mean CDNC and possibly the maximum CDNC. The
336 CCNC(0.6%) are $30\text{-}40 \text{ cm}^{-3}$ below cloud, indicating a S larger than 0.6%. The contrast of the
337 July 7 and 17 cases is a relatively simple example of the potential importance of smaller particles
338 for the cloud albedo effect.

339 July 19 Case (Fig. 3 e, f): The July 19 profile includes two cloud layers, one from 1200-
340 1400 m and the second from 1400-1500 m. The layer separation appears in the CO
341 concentrations that are approximately uniform through the lower layer and increasing in the
342 upper layer. The CO levels of 100+ ppbv in this case are among the highest observed during the
343 study, and transport patterns suggest BB contributed to this aerosol (Köllner et al., Pollution in
344 the summertime Canadian High Arctic observed during NETCARE 2014: Investigation of origin
345 and composition, in Geophysical Research Abstracts, 17, EGU2015-5951, European
346 Geophysical Union General Assembly 2015, Vienna, Austria, 2015). The mean CDNC (STP) in

347 the lower and upper layers are 239 cm^{-3} and 276 cm^{-3} respectively. The VMD reach $15 \text{ }\mu\text{m}$ in
348 the lower layer. The VMD are overall smaller and decrease with altitude in the upper layer,
349 consistent with the reduced LWC and increased CDNC. In the upper layer, the CDNC increase
350 from bottom to near the top consistent with the increase in aerosol between below the layer and
351 above the layer. The N50 and N100 estimated for the lower (upper) layer are $269 (334) \text{ cm}^{-3}$ and
352 $197 (221) \text{ cm}^{-3}$ respectively, where the upper layer values are an average of the aerosol at 1400 m
353 and just above cloud top. On average, the CDNC in both layers are approximated by the
354 activation of particles sized between 50 nm and 100 nm , and comparison with the maximum
355 CDNC suggests activation of particles down to about 50 nm . The CCNC(0.6%) are slightly
356 below the N100, which would be consistent with reduced hygroscopicity of BB particles.
357 Comparison of CCNC(0.6%) and CDNC suggests cloud S above 0.6%.

358 July 20 Case (Fig. 3 g and h): This is a case of a more complex cloud with variations in
359 the LWC that suggests three cloud layers. The values of the mean CDNC at STP are 45 cm^{-3} , 49
360 cm^{-3} and 65 cm^{-3} in the upper, middle and lower layers respectively. The VMD reach about 20
361 μm in the lower layer and $26 \text{ }\mu\text{m}$ in the upper layer with the lower CDNC. The layers are
362 relatively stable with CO and θ_e increasing slightly from below the cloud to above the top cloud
363 layer. N50 just below the lower layer approximately equals CDNC in that layer. It is more
364 difficult to estimate the pre-cloud aerosol for the middle and upper layers, but particles at least as
365 small as 50 nm were apparently activated. For the summary statistics, the respective pre-cloud
366 N100, N50 and CCNC(0.6%) are estimated at 24 cm^{-3} , 44 cm^{-3} and 24 cm^{-3} for the upper cloud
367 layer, 32 cm^{-3} , 52 cm^{-3} and 32 cm^{-3} for the middle layer and 34 cm^{-3} , 66 cm^{-3} and 35 cm^{-3} for the
368 lower layer. Comparison of the CCNC(0.6%), which are in approximately the same
369 concentration as the N100, and CDNC suggests S in excess of 0.6%.

370 2.3.2 Low-Altitude (LA) Examples

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372 July 5 and July 7 Cases: The two examples in Fig. 4 are for cloud or fog over the two polynyas
373 north of Resolute Bay on July 5 and July 7. Four cloud samples were collected on July 5 at
374 altitudes below 200 m. The time series in Fig. 4a covers the period of collection of the two
375 lowest samples: 16:18:02-16:21:57 at 130 m and 16:39:35-16:40:18 at 88 m. In the air upwind
376 of the cloud or fog, the N100, N30 and CCNC(0.6%) are estimated at 3 cm^{-3} , $10\text{-}14 \text{ cm}^{-3}$ and 5
377 cm^{-3} . The mean values of the CDNC of 2.8 cm^{-3} at 130 m and 0.7 cm^{-3} at 88 m are explained by
378 N100 and an S that is less than 0.6%. The maximum CDNC of 12 cm^{-3} at 130 m suggests the
379 activation of smaller particles, possibly as small as 30 nm with S exceeding 0.6%, perhaps due to
380 some uplift influenced by orographic features north of the north polynya. At 88 m, the mean
381 VMD (not shown) was $29 \mu\text{m}$ and ranged up to $35 \mu\text{m}$ giving those droplets the potential to
382 gravitationally settle over an hour or so, which could result in the transfer of water from the
383 polynya to the downwind ice. On July 7, cloud or fog was present below 120 m and thicker
384 towards the north edge of the north polynya and again to the north over the ice. The CDNC are
385 overall higher with averages of seven samples over the period 16:06-16:29 ranging from 4 cm^{-3}
386 to 13 cm^{-3} . The one-second CDNC are as high as 34 cm^{-3} , and the mean VMD (not shown) range
387 from $19.6 \mu\text{m}$ to $22.8 \mu\text{m}$. The CO mixing ratio is slightly higher within the cloud (81 ppbv) than
388 above (79 ppbv); although this difference may not be significant. In the air nearly free of cloud
389 and below 120 m, the N100 are $4\text{-}5 \text{ cm}^{-3}$, the N50 are $8\text{-}11 \text{ cm}^{-3}$ and the N20 are variable
390 between 17 cm^{-3} and 130 cm^{-3} ; CCN are unavailable for this part of the flight due to instrument
391 problems. Mean values of CDNC/N100 and CDNC/N50 for seven cloud samples are 4.8 and
392 1.0, respectively, indicating that on average particles of about 50 nm were activated in this LA

393 cloud. Based on the overall relationship between CCNC(0.6%) and N50, which is discussed in
394 section 3.3, the mean **S** in the LA cloud of July 7 is estimated at 0.6%. Comparison with the
395 maximum CDNC suggests that particles as small as 20 nm may have participated in the
396 nucleation of droplets.

397 July 8 Case: Fig. 5 shows a time series of altitude, CO, N100, N80-100, N90-100,
398 CCNC(0.6%) and CDNC from the sampling above and in the top of low cloud over Lancaster
399 Sound on July 8. The cloud over the open water of the Sound is visible in the satellite picture in
400 Fig. 2b. **The general wind direction was from east to west along the Sound.** Cloud was also
401 present over the ice to the west, but it was much thinner and reached only to **about 150 m above**
402 **the surface**. Over the water, the cloud was sampled as high as 230 m by descending into it to
403 about 150 m **as shown in Fig. 5 between 17:27 UT and 17:43 UT**. Observations in profiles from
404 two of the five samples are shown in Fig. 6. The cloud deepened as the aircraft approached the
405 ice edge from over the water, and thinned abruptly over the ice with tops below 150 m as shown
406 in Fig. 5 (time 17:47). The thicker cloud was associated with a shift **in wind direction to more**
407 southerly suggesting an influence of the Prince Regent Inlet and surrounding terrain on the **cloud**
408 as well as possibly circulations influenced by the water-ice transition. The cloud layer was
409 relatively stable and the θ_e profiles suggest a surface heat sink (Fig. 6a). The profiles of LWC
410 and VMD in Fig. 6 (b, c) do not show increases with altitude characteristic of vertical mixing,
411 such as for some of the HA clouds (Fig. 3); the change in the VMD per 50 m increase in height is
412 about 1.7 μm for the well mixed cloud of July 7 (Fig. 3 a, b) **and about** 0.2 μm per 50 m for the
413 LA cloud of flight 8 in Fig. 6. The CO mixing ratio shows little variation with time and altitude.
414 The pre-cloud aerosol concentrations are more difficult to assess. Based on concentrations just
415 above the cloud, particles >90 nm explain the CDNC. Based on the concentrations downwind at

416 150 m (approximately 17:47), activation of particles >80 nm is needed to explain the CDNC.
417 The CCNC(0.6%) are about 129 cm⁻³ downwind and between 157 cm⁻³ and 234 cm⁻³ just above
418 cloud. It is concluded that in this case the droplets likely nucleated on particles mostly larger
419 than 80-95 nm and the S in the clouds were less than 0.6%; although chemical processing in the
420 cloud could have increased the size of the apparent residuals. For the purposes of summary
421 statistics discussed next, the N100, N50 and CCNC(0.6%) have been selected as an average of
422 the downwind and immediately above cloud concentrations: 73 cm⁻³, 319 cm⁻³ and 168 cm⁻³,
423 respectively.

424

425 3. Summary Observations and Discussion

426

427 Summary statistics for the cloud and aerosol samples are discussed in 3.1, the microphysics of
428 the LA and HA clouds are contrasted in 3.2, particle activation is summarized in 3.3 and in
429 section 3.4 the relationship between VMD and CDNC is used to consider the transition of
430 aerosol indirect effects from potential warming to potential cooling. All analyses are based on
431 the 62 cloud points (24 LA points and 38 HA points) as discussed in section 2.3.

432

433 3.1 Summary of mean observations

434

435 The mean, median, 5th percentile and 95th percentile values of the microphysical properties of the
436 cloud and pre-cloud aerosols as well as the altitudes and temperatures derived from the 62 cloud
437 samples are given in Table 1, separated between periods 1 and 2. Values of the CDNC and the
438 LWC are given relative to in-situ volumes as well as STP. The number of pre-cloud

439 CCNC(0.6%) samples in Table 1 is limited to 44 due to instrument problems, all of which
440 occurred during the early part of July 7.

441 Cloud liquid water paths (LWP) were estimated for 33 of the samples when a complete
442 profile between cloud base and cloud top was possible. Summary statistics for the LWP are
443 given at the bottom of Table 1. The 33 LWP estimates are all above 200 m, and respective mean
444 and median altitudes are 1380 m and 1440 m. Of the below-200 m samples, the July 8 case LWP
445 (Figs. 5 and 6) was highest. For the minimum altitude reached in that cloud, the LWP ranged
446 from 12 to 25 indicating that the total LWP exceeded 25.

447 During period 1, the median sampling altitude is lower and the temperatures are slightly
448 below freezing compared with just above freezing during period 2. The CO mixing ratios are
449 overall low and at approximately background values during period 1. The median CDNC are
450 higher during period 1 than period 2, but the mean values are similar. The CDNC compare more
451 closely with N50 during period 1, while during period 2 the CDNC are between N50 and N100.
452 The CCNC(0.6%) equate with particles between 50 nm and 100 nm for period 1, and for period
453 2 they are closer to the N100 values. As above, contributions from BB to the aerosol during
454 period 2 may have contributed to the overall reduction in particle hygroscopicity.

455

456 3.2 Comparison of LA and HA cloud

457

458 The LA clouds were close to the surface and associated with open water; some or all may be
459 fogs. They form by advection of warmer moist air over a cooler surface (the July 8 LA cloud that
460 moved from Baffin Bay westward along Lancaster Sound was likely dominated by that process),
461 by radiation cooling or by the passage of very cold air over a warm moist surface. The latter, also
462 known as sea smoke, is the likely explanation for the observed clouds over the polynyas; it is

463 possible that there was an advection component associated with some of the sea smoke as it
464 moved from the polynyas over the ice surfaces. More generally, the LA clouds are associated
465 with low-level horizontal advection and heat and water exchange with the underlying ice or
466 water surface. In contrast, vertical motions are responsible for many, if not all, of the HA clouds,
467 and none of the HA clouds interacted directly with the underlying surface. Due to the differences
468 in formation processes, the characteristics of the LA and HA clouds are considered separately.
469 Table 2 shows the mean, median, 5th percentile and 95th percentile values for the samples
470 separated between LA and HA clouds; the vertical distributions of CDNC, LWC and VMD
471 samples are shown in Supplement Fig. S6. On average, the LA samples have lower CDNC and
472 higher VMD compared with the HA cases, and the LA clouds are activating on larger particles
473 relative to the HA clouds as indicated by CDNC/N50. The values of the CDNC/CCNC(0.6%)
474 indicate that the average S are <0.6% for the LA clouds and close to 0.6% for the HA clouds.

475 Variations in LWC are correlated with those of CDNC for the LA samples (Fig. 7a). The
476 coefficient of determination (R^2) rises from 0.57 to 0.98 if the one LA point at (137, 0.032) is
477 removed. In contrast, the correlation of the LWC with the CDNC for the HA samples is low
478 ($R^2=0.12$). There is no correlation of the LWC with the VMD for the LA points ($R^2=0.04$), and
479 for the HA clouds there is a modest correlation of LWC with MVD ($R^2=0.26$). Variations in
480 LWC with VMD within a cloud system are consistent with lifting of air from below, i.e.
481 nucleation of droplets at cloud base followed by their growth with increasing altitude, such as the
482 case shown in Fig. 3a and 3b. Variations of LWC with VMD can also result from homogeneous
483 mixing (i.e. entrainment of dry air that reduces LWC by partial evaporation of droplets without
484 reducing CDNC). The strong dependence of the variations in LWC with those of the CDNC in
485 the LA clouds may reflect changes in rate of cooling, collision-coalescence or inhomogeneous

486 mixing along the cloud transport pathway. For example, increases in the rate of cooling within or
487 between clouds will increase condensation rates, and potentially S, resulting in increased LWC
488 and CDNC. Changes in collision-coalescence will affect the CDNC and LWC in similar ways:
489 more collision-coalescence, lower CDNC and lower LWC due to precipitation. Inhomogeneous
490 mixing, the entrainment of dry air parcels into a cloud without mixing with the cloud droplets,
491 will reduce the CDNC averaged across the cloud and at the same time reduce the mean LWC.
492 Changes in the aerosol that are interactive with some of the cloud processes may contribute to
493 the CDNC and potentially the LWC through their influence on collision-coalescence. The LWC-
494 CDNC correlations are identifiable not just for the combined LA points, but also for individual
495 LA clouds (see Supplement Fig. S7). Greater temporal and spatial coverage are needed to assess
496 the microphysical processes in these clouds.

497

498 3.3 Particle Activation Sizes

499

500 Here, the sizes and CCN activity of particles that acted as nuclei for cloud droplets are examined.
501 The CDNC are plotted versus N100 in Fig. 8a, separated between LA and HA samples. The
502 CDNC are most often higher than the N100 and more so for the HA samples, which indicates
503 that particles smaller than 100 nm activated in most cases and most often in the HA clouds. The
504 mean and median values of CDNC(STP)/N100 are 2.2 and 1.8 for all 62 samples, and the 30th
505 percentile of the CDNC/N100 is 1.2, which means that in about 70 % of the cases droplets
506 nucleated on particles significantly smaller than 100 nm. Fig. 8a can be compared with the
507 results of Hegg et al. (2012) who showed a linear fit of CDNC to N100 for marine stratocumulus
508 with a slope of 0.72 for which the N100 in 94% of the samples was $>150 \text{ cm}^{-3}$. Here, a slope

509 larger than unity is indicated, and the N100 are $<100 \text{ cm}^{-3}$ in 90% of the samples. The
510 comparison indicates that relationships derived for higher concentration environments do not
511 necessarily apply to those of lower concentration environments. In the clean environment often
512 found in the Arctic during summer, the absence of larger particles may lower water uptake rates
513 during droplet nucleation, which will increase the S, enabling cloud droplets to nucleate on
514 smaller particles; the absence of larger particles may also help increase the concentrations of
515 smaller particles in the Arctic during summer, by promoting new particle formation through a
516 reduced condensation sink (e.g. Strom et al., 2003; Engvall et al., 2008). The CDNC are plotted
517 against the N50 in Fig. 8b showing that the mean activation size of the HA clouds was often
518 close to 50 nm. The median value of CDNC/N50 is 0.78 for all samples indicating that, based on
519 the averaged CDNC, cloud droplets nucleated on particles near or smaller than 50 nm about 40%
520 of the time. That percentage will increase if particle activation is considered relative to the
521 maximum CDNC associated with any cloud sample.

522 The mean and median values of the CCNC(0.6%) associated with all cloud samples (84
523 cm^{-3} and 47 cm^{-3}) are generally consistent with previous Arctic CCNC measurements. For
524 example, during the summer above 85°N , Martin et al. (2011) measured a mean CCNC at 0.73%
525 S of 47 cm^{-3} with a standard deviation of 35 cm^{-3} , Yum and Hudson (2001) measured CCNC at
526 0.8% S below 1700 m over the Beaufort Sea during May, 1998 that ranged from 41 cm^{-3} to 290
527 cm^{-3} , and Radke et al. (1976) measured a mean CCNC at 1% S of 90 cm^{-3} in June near Barrow,
528 Alaska. Considering the median values of CDNC/CCNC(0.6%) for the LA and HA samples
529 (Table 2) and the slopes of linear regressions of CDNC versus CCNC(0.6%) (Fig. 9a), the
530 average S inferred for these HA clouds is about 0.6%, consistent with the overall activation of
531 smaller particles in those clouds. The mean S inferred for the LA clouds is significantly lower

532 than 0.6%. Based on the activation of a 90 nm particle (July 8 case; CCNC(0.6%) of 168 cm^{-3} in
533 Fig. 10a) of low-moderate hygroscopicity, a reasonable estimate is 0.3% for the mean of the LA
534 clouds with some higher values indicated by the points near a CCNC(0.6%) of 25 cm^{-3} in Fig. 9a.
535 The S for these clean clouds are in contrast to polluted marine environments for which estimates
536 for these types of clouds are 0.2% or less (e.g. Modini et al., 2015). Consistent with the present
537 results, Hudson et al. (2010) found that effective S in marine stratus tended to increase with a
538 decrease in the CCNC, and for CCNC lower than about 200 cm^{-3} the effective S ranged between
539 0.3% and 1.2%.

540 Variations in the measured CCNC(0.6%) are explained well by variations in smaller
541 (N50) and larger (N100) particles as shown in Fig. 9b. The slopes of the power-law fits, for
542 which the exponents are both close to unity, indicate that the CCNC(0.6%) on average fall
543 between 50 nm and 100nm.

544

545 3.4 Aerosol Influences on Warming to Cooling

546

547 The relationship between the VMD and CDNC shown in Fig. 10 exhibits a scattered but clear
548 tendency for smaller VMD with increasing CDNC. The solid black curve is a reference line
549 based on the study-mean LWC of 0.12 g m^{-3} (Table 1); points falling above or below the black
550 curve have higher or lower LWC, respectively. The vertical dashed green line represents our best
551 estimate of the Mauritsen limit below which Mauritsen et al. (2011) showed that cloud may
552 produce a net warming for an increase in the CDNC. The net warming is a consequence of an
553 increase in longwave absorption due to an increase in the LWC, where the latter results from a
554 reduction in deposition for the smaller droplets associated with increased CDNC. A value of 16

555 cm^{-3} is our best estimate of the Mauritsen limit for this data set because all points with CDNC
556 below that value fall well below the mean LWC, therefore offering greater potential for changes
557 in the CDNC to increase the LWC. Above the estimated Mauritsen limit, an increase in CDNC
558 may produce a net cooling due to the cloud albedo effect, since at that point the longwave
559 forcing does not change significantly as the effects of deposition are reduced and the cloud
560 effectively behaves as a black body; the LA cloud of July 8 is an example.

561 The aerosol influence on clouds with CDNC below the Mauritsen-limit is considered in
562 section 3.4.1. In section 3.4.2, the potential background influence of the aerosol on clouds with
563 CDNC above the Mauritsen-limit is discussed.

564

565 3.4.1 Below the Mauritsen limit

566

567 Seventeen of the 62 samples fall at or below our best estimate of the Mauritsen limit. Fifteen of
568 those 17 samples are from LA clouds with median pre-cloud N50 and N100 estimates of 8.2 cm^{-3}
569 and 3.0 cm^{-3} respectively. The lower number concentrations contribute to overall larger VMD.
570 Increases in small particles, potentially from particle nucleation or fragmentation (e.g. Leck and
571 Bigg, 1999 and 2010), are hypothesized to increase the CDNC thereby enhancing longwave
572 warming by these clouds, at least until the CDNC reach above the estimated Mauritsen limit. The
573 LA points from the July 5 and the July 7 cases, identified in Fig. 10, offer one insight. The
574 median CDNC for July 5 is six times lower than the July 7 CDNC: 1.3 cm^{-3} and 7.8 cm^{-3} , for
575 July 5 and 7, respectively. The median N50 are 6 cm^{-3} and 8.3 cm^{-3} for July 5 and 7,
576 respectively, and the median N100 are 3 cm^{-3} and 2.2 cm^{-3} for July 5 and July 7, respectively.
577 The CDNC are similar to N50 in the July 7 case, but lower than both the N50 and N100 in the
578 July 5 case indicating the aerosol was not a limiting factor in the July 5 case. Consistent with the

579 discussion in section 3.2, all 15 LA points below the Mauritsen limit show a correlation of LWC
580 with the CDNC ($R^2=0.57$), but correlations of CDNC with N50 and N100 are weak at best:
581 $R^2=0.19$ and 0.06 , respectively. The CCN are not used here because only seven points with
582 CCNC(0.6%) are available; those seven points do correlate with the N50. If the limit of 10 cm^{-3}
583 of Mauritsen et al. (2011) is applied, reducing the number of points to 12, the assessment does
584 not change: the LWC-CDNC correlation improves slightly and the correlations of the CDNC
585 with the N100 and the N50 weaken. The LWC do not correlate with either the N50 or the N100
586 (Supplement Fig. S8), suggesting that small changes in the aerosol alone within the Mauritsen
587 limit do not have a profound effect on the LWC. Larger changes in the aerosol may shift the LA
588 cloud into the region above the Mauritsen limit, which appears to be the case for July 8.

589

590 3.4.2 Background aerosol influence on clouds

591

592 Above the estimated Mauritsen limit, the general reduction in the VMD with the CCNC(0.6%)-
593 associated increase in CDNC reflects the impact of increases in aerosol on clouds. In Fig. 10,
594 samples are identified between those associated with lower CO (green circles; $<81 \text{ ppbv}$, the
595 median CO value of all samples) and those with highest CO (red circles; $>90 \text{ ppbv}$); six samples
596 have no CO measurement and the remaining points have CO falling within $81\text{-}90 \text{ ppbv}$. Five of
597 the seven higher-CO samples are from the July 19 case (e.g. Fig. 3e, 3f) that has been linked with
598 BB (Köllner et al., 2015; reference above), and the highest CDNC point (273 cm^{-3} ; no CO
599 measurement) is also from July 19 and likely influenced by BB. The higher CO samples cover a
600 range of CDNC from 16 cm^{-3} to at least 238 cm^{-3} with CO reaching up to 113 ppbv . Consistent
601 with a BB influence, the higher CO points are associated with nearly three times as many larger

602 particles ($N_{100}=149 \text{ cm}^{-3}$) compared with the lower CO samples ($N_{100}=58 \text{ cm}^{-3}$). The higher
603 CO points fall at the low end of the observations from Zamora et al. (2015), but their CO
604 concentrations are much higher than those measured in this study. The lower-CO samples may
605 be dominated by regional biogenic emissions (Willis et al., 2016). The lower- and higher-CO
606 points overlap over a CDNC range of 16 cm^{-3} to 160 cm^{-3} , consistent with the range of pre-
607 industrial CDNC from global models of 30 cm^{-3} to 140 cm^{-3} (Penner et al., 2006). In this clean
608 environment, the contributions from 20-100 nm particles have a broad impact on the range of
609 CDNC, affirming the large uncertainty associated with estimating a baseline for the cloud albedo
610 effect discussed by Carslaw et al. (2013).

611

612 4. Summary and Conclusions

613

614 Aerosol particle size distributions, CCNC at 0.6% water supersaturation or CCNC(0.6%), carbon
615 monoxide (CO) and cloud microphysics were measured from an airborne platform based out of
616 Resolute Bay, Nunavut from July 4 to July, 21, 2014 as one part of the Canadian NETCARE
617 project. The flights were conducted over ice and water surfaces from about 60 m above the
618 surface to about 6000 m. Sixty-two (62) cloud-averaged points were derived, each constrained
619 for the mean $LWC > 0.01 \text{ g m}^{-3}$: the cloud threshold used here. The analysis separates the cloud
620 samples between 24 low-altitude (LA: tops below 200 m) samples and 38 higher altitude (HA:
621 bases above 200 m) samples as well as situations of lower and higher CO and observations above
622 and below the Mauritsen et al., (2011) CCN limit.

623 The overall median pre-cloud N_{100} of 33 cm^{-3} and the median CO mixing ratio of 81
624 ppbv indicate that the aerosols supporting the sampled clouds were relatively clean, and

625 particularly during the first part of the study many of the aerosol particles may have been derived
626 from regional natural sources (Willis et al., 2016). The median CDNC at STP is 10 cm^{-3} for the
627 LA clouds and 101 cm^{-3} for the HA clouds, which correspond with the median pre-cloud N50 of
628 11 cm^{-3} for the LA samples and 133 cm^{-3} for the HA samples. The lower sizes of particles
629 activated in cloud varied from about 20 nm to above 100 nm. In 40% of cases, the average lower
630 size of activation was 50 nm or smaller. Overall, smaller particles were activated more often in
631 the HA clouds. Variations in particle chemistry will induce some variance in these results, but
632 because activation diameters are estimated starting with larger particles and moving to smaller
633 sizes, changes in chemistry only offer the possibility of activation of particles still smaller than
634 estimated here.

635 From the median values of CDNC/CCNC(0.6%) (1.2 for the HA clouds and 0.6 for the
636 LA clouds) and the linear regression of CDNC and CCNC(0.6%), it is inferred that the average S
637 were approximately 0.6% for the HA clouds and 0.3% for the LA clouds. Higher estimates will
638 be obtained if the maximum CDNC are taken into consideration rather than the mean CDNC.
639 The relatively high S for these clean Arctic stratus and stratocumulus have similarities with the
640 observations of Hudson et al. (2010) for relatively clean stratus off the coast of California.

641 In 17 cases, 15 of which were LA clouds, the CDNC fell at or below the CCN limit
642 discussed by Mauritsen et al. (2011), which is estimated here as 16 cm^{-3} . These are the first
643 collection of simultaneous observations of the microphysics of aerosols and clouds in this unique
644 regime in which the net radiative impact of increases in the CDNC is hypothesized to be
645 warming due to changes in the LWC. The LWC of the points below the Mauritsen limit all fall
646 below the study-mean LWC, and the LWC increases with the CDNC. Neither the CDNC nor the
647 LWC are positively correlated with the pre-cloud aerosol (N50 or N100). In this environment of

648 low cloud or fog and ultra-low CDNC, variations in cloud processes such as mixing or the rate of
649 cooling may be responsible for the **association** of CDNC and LWC.

650 Forty-five cloud samples with CDNC above the Mauritsen limit exhibit a clear influence
651 **of changing aerosol**. The cloud microphysics for the clouds formed in cleaner air (smaller
652 particles and lower CO: <81 ppbv) overlap with the microphysics of clouds **formed in seemingly**
653 **more polluted** air (larger particles and higher CO: >90 ppbv) covering a CDNC range of 16-160
654 cm^{-3} . It is concluded that 20-100 nm particles from natural sources can have a broad impact on
655 the range of CDNC in clean environments, **such as the summertime Arctic**, affirming a large
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956 **Table 1.** Summary of averaged cloud observations with LWC>0.01 g m⁻³ for study periods 1 and
 957 2. CDNC and LWC without parentheses are referenced to ambient volumes and values in
 958 parentheses are referenced to STP. 5;95 are the 5th and 95th percentiles.

<u>Measurement</u>	Period 1 (July 5-11): 35 samples; 1.2 hours in cloud			Period 2 (July 11-21): 27 samples; 0.4 hours in cloud		
	<u>Mean</u>	<u>Median</u>	<u>5;95</u>	<u>Mean</u>	<u>Median</u>	<u>5;95</u>
Altitude (m-msl)	920	178	88;2272	1011	835	97;2608
Temperature (°C)	-1.9	-0.4	-6.5;2.2	+1.2	+2.2	-4.9;3.5
CDNC (STP) (cm ⁻³)	75 (85)	93 (91)	1.1;154 (1.1;185)	73 (83)	52 (55)	13;228 (14;265)
LWC (STP) (g m ⁻³)	0.12 (0.13)	0.10 (0.12)	0.014;0.32 (0.013;0.32)	0.12 (0.13)	0.12 (0.13)	0.025;0.26 (0.024;0.31)
VMD (µm)	17.2	18.7	9.9;30.0	15.0	14.5	9.1;21.4
CCNC(0.6%) (cm ⁻³): (17 P-1; 27 P-2)	90	120	2;168	81	43	18;227
N50 (cm ⁻³)	113	134	4.8;319	126	68	29;334
N100 (cm ⁻³)	35	47	1.3;73	81	31	13.8;274
CDNC(STP)/CCNC(0.6%)	0.75	0.56	0.18;1.50	1.18	1.22	0.47;1.87
CDNC(STP)/N50	0.82	0.90	0.16;1.40	0.73	0.68	0.28;1.08
CDNC(STP)/N100	2.78	2.63	0.28;7.94	1.37	1.25	0.58;2.15
CCNC(0.6%)/N50	0.64	0.63	0.50;0.84	0.64	0.64	0.52;0.87
CCNC(0.6%)/N100	1.92	1.79	0.67;3.11	1.27	1.0	0.75;2.28
CO (ppbv)	79	80	77;81	90	87	81;108
LWP (g m ⁻²); (13 P-1; 20 P-2)	30	27	1.5;67	24	18	1.4;75

Table 2. Summary of averaged observations for low-altitude (LA) and higher-altitude (HA) clouds. Values without parentheses are referenced to ambient volumes and values in parentheses are referenced to STP. 5, 95 are the 5th and 95th percentiles.

<u>Measurement</u>	LA (<200m): 24 samples; 0.89 hours in cloud			HA (>200m): 38 samples; 0.72 hours in cloud		
	<u>Mean</u>	<u>Median</u>	<u>5:95</u>	<u>Mean</u>	<u>Median</u>	<u>5:95</u>
Altitude (m-msl)	129	127	79;178	1485	1481	457;2391
Temperature (°C)	+0.6	+0.2	-2.5;2.9	-1.2	+0.9	-6.5;2.7
CDNC (STP) (cm ⁻³)	31 (30)	11 (10)	1;106 (1;102)	101 (118)	91 (101)	28;211 (31;245)
LWC (STP) (g m ⁻³)	0.10 (0.10)	0.05 (0.05)	0.01;0.34 (0.01;0.33)	0.13 (0.15)	0.13 (0.15)	0.04;0.25 (0.04;0.30)
VMD (µm)	20.7	20.1	14.6;31	13.4	12.5	9.1;19.4
CCNC(0.6%) (cm ⁻³); (16 LA; 28 HA)	74	24	2;184	90	58	21;217
N50 (cm ⁻³)	91	11	4.2;319	136	133	41;334
N100 (cm ⁻³)	26	4	1.3;73	73	47	20;232
CDNC(STP)/CCNC(0.6%)	0.61	0.57	0.18;1.3	1.2	1.2	0.6;1.9
CDNC(STP)/N50	0.61	0.44	0.14;1.5	0.91	0.93	0.5;1.3
CDNC(STP)/N100	2.3	1.4	0.35;9.0	2.1	1.9	0.7;3.7
CCNC(0.6%)/N50	0.66	0.71	0.52;0.7	0.68	0.64	0.5;0.9
CCNC(0.6%)/N100	1.8	1.6	0.96;2.6	1.5	1.1	0.8;3.4
CO (ppbv)	81	80	78;82	86	83	77;107

Figure. Captions

Figure 1. Compilation of the flight tracks. All flights originated from Resolute Bay (74°40'48"N 94°52'12"W).

Figure 2. Satellite images from July 5 when LA clouds were sampled over the two polynyas to the north and from July 8 when LA clouds were sampled along Lancaster Sound (July 8). Lancaster Sound is cloud free on July 5 and mostly covered by cloud on July 8. Resolute Bay is marked with a "X". Images are courtesy of NASA Worldview: <https://earthdata.nasa.gov/labs/worldview/>.

Figure 3. Four examples of profiles through HA clouds. a) Case from July 7 showing CO, CDNC, CCNC(0.6%) and particle number concentrations, where Nx-100, N100 and N5 are for particles sized between "x" nm and 100 nm, >100 nm and >5 nm respectively. b) Case from July 7 showing LWC, VMD, θ_e and temperature, where VMD, θ_e and temperature have been scaled as indicated in the legend. c) As in a), but case from July 17 and without N5. d) As in b), but case from July 17. e) As in a), but case from July 19. f) As in b) but case from July 19. g) As in a) but case from July 20 and without N5. h) as in b), but case from July 20. The CDNC are all referenced to STP, and θ_e is given in degrees Centigrade before scaling.

Figure 4. Time series during the sampling of low (LA) cloud or fog over the polynyas north of Resolute Bay. a) July 5 time series showing CO, CDNC, CCNC(0.6%) and particle number concentrations, where N30-100 is for particles sized between 30 nm and 100 nm and N100 is for particles sized >100 nm. b) July 7 time series showing CO, CDNC and particle number concentrations, where N20-100, N50-100 and N100 are for particles sized between 20 nm and 100 nm, between 50 nm and 100 nm and >100 nm respectively. CCNC(0.6%) measurements are unavailable for this period on July 7. Wind direction and relative position of polynyas are indicated in both panels. CDNC are referenced to STP.

Figure 5. Time series of altitude, CO, N80-100, N90-100, N100, CCNC(0.6%) and CDNC from low cloud (LA) cloud sampling over Lancaster Sound on July 8. The cloud was deeper over the open water of the Sound (see satellite picture in Fig. 2b). Over the ice to the west, the cloud was not as deep and could not be sampled. Segments over water and ice are indicated at the top of the figure.

Figure 6. Profiles down into cloud showing a) θ_e , b) LWC and c) VMDData for periods 17:27-17:29 UT and 17:38-17:39 UT during July 8. d) shows CDNC, N100, CO and CCNC(0.6%) for the 17:27-17:29 UT profile, and e) shows CDNC, N100, CO and CCNC(0.6%) for the 17:38-17:39 UT profile.

Figure 7. The LWC plotted as a function of the CDNC (a) and VMD (b) for the LA (orange) and HA (blue) samples. Linear regressions for each of the LA and HA samples are also plotted, and the coefficients of determination are given in the legends.

Figure 8. Plots of CDNC versus a) N100 and b) N50. Points are identified between LA (yellow) and HA (blue) samples, and the 1:1 lines are for reference.

Figure 9. a) CDNC plotted versus the CCNC(0.6%) measured at 0.6% supersaturation; points are identified between LA (yellow) and HA (blue) samples, and linear regressions through the origin are shown. b) CCNC(0.6%) plotted versus N50 and N100; power law fits to each are provided for reference.

Figure 10. The mean VMD of all cloud samples plotted versus the CDNC. All CDNC are referenced to the in-situ pressure. The dashed vertical green line represents the “CCN-limited” division discussed by Mauritsen et al (2011) and estimated here as 16 cm^{-3} . The solid black line is another reference showing the relationship between VMD and CDNC for a constant LWC: the study mean LWC of 0.12 g m^{-3} (Table 1). Samples with higher CO (>90 ppbv) are identified by the open red circles. Also highlighted for the discussion are LA samples from July 5 (red dots) and July 7 (orange dots). The median CDNC are 1.3 cm^{-3} and 7.8 cm^{-3} , for July 5 and 7, respectively; the N50 are 6 cm^{-3} and 8.3 cm^{-3} for July 5 and 7, respectively; the N100 are 3 cm^{-3} and 2.2 cm^{-3} for July 5 and July 7, respectively.

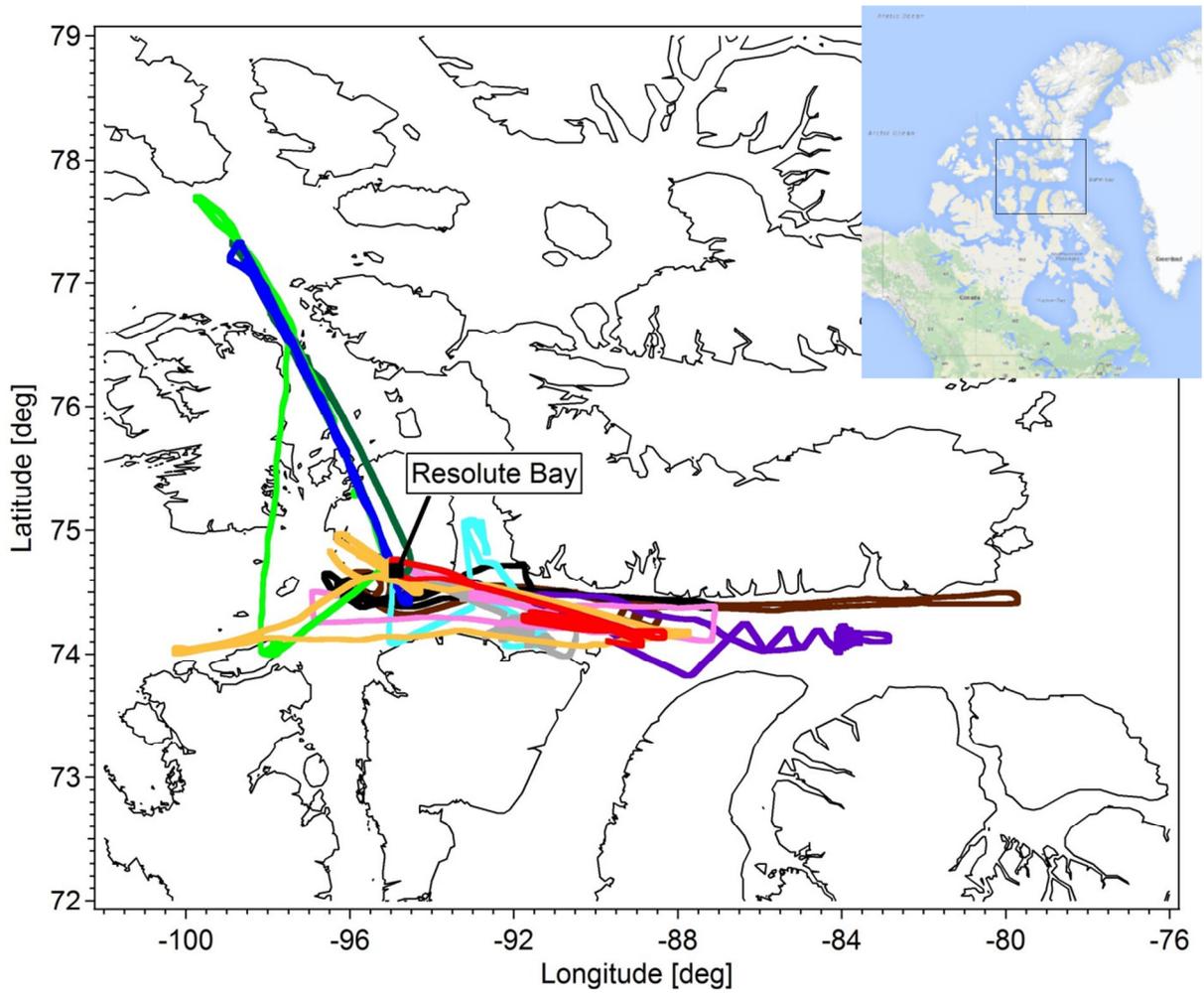


Figure 1. Compilation of the flight tracks. All flights originated from Resolute Bay (74°40'48"N 94°52'12"W).

July 5, 2014



July 8, 2014



Figure 2. Satellite images from July 5 when LA clouds were sampled over the two polynyas to the north and from July 8 when LA clouds were sampled along Lancaster Sound (July 8). Lancaster Sound is cloud free on July 5 and mostly covered by cloud on July 8. Resolute Bay is marked with a “X”. Images are courtesy of NASA Worldview: <https://earthdata.nasa.gov/labs/worldview/>.

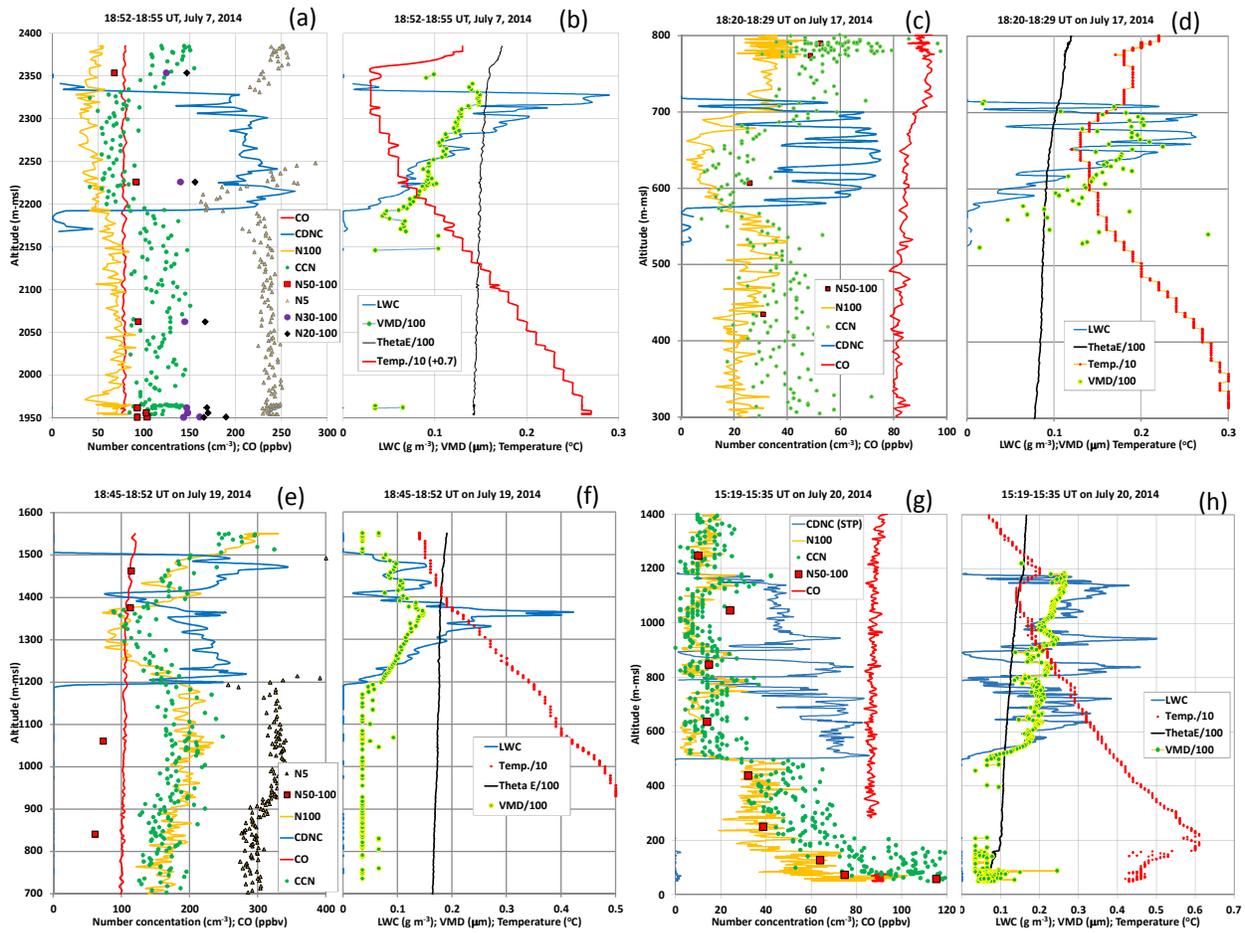


Figure 3. Four examples of profiles through HA clouds. a) Case from July 7 showing CO, CDNC, CCNC(0.6%) and particle number concentrations, where Nx-100, N100 and N5 are for particles sized between “x” nm and 100 nm, >100 nm and >5 nm respectively. b) Case from July 7 showing LWC, VMD, θ_e and temperature, where VMD, θ_e and temperature have been scaled as indicated in the legend. c) As in a), but case from July 17 and without N5. d) As in b), but case from July 17. e) As in a), but case from July 19. f) As in b) but case from July 19. g) As in a) but case from July 20 and without N5. H) as in b), but case from July 20. The CDNC are all referenced to STP, and θ_e is given in degrees Centigrade before scaling.

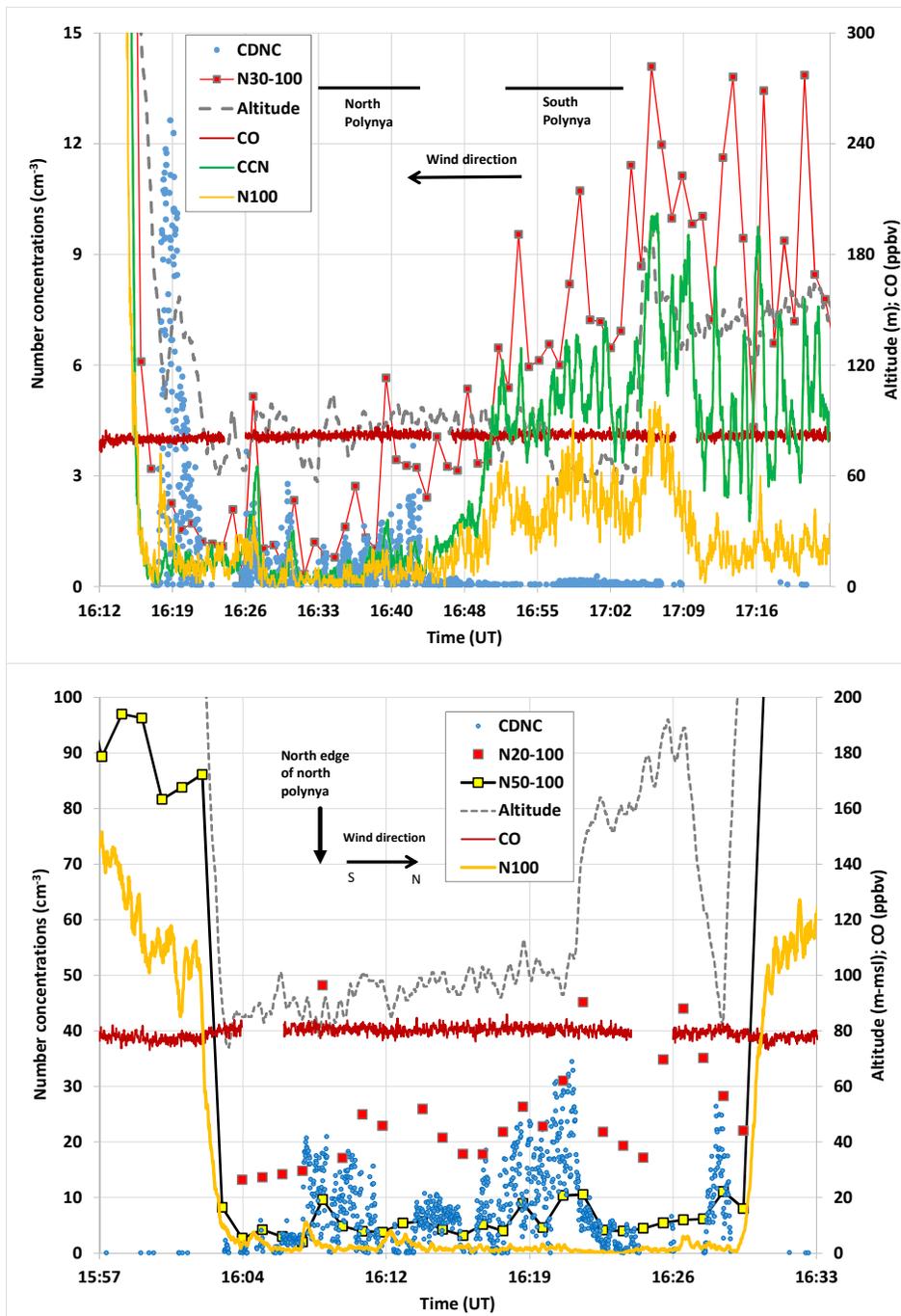


Figure 4. Time series during the sampling of low (LA) cloud or fog over the polynyas north of Resolute Bay. a) July 5 time series showing CO, CDNC, CCNC(0.6%) and particle number concentrations, where N30-100 is for particles sized between 30 nm and 100 nm and N100 is for particles sized >100 nm. b) July 7 time series showing CO, CDNC and particle number concentrations, where N20-100, N50-100 and N100 are for particles sized between 20 nm and 100 nm, between 50 nm and 100 nm and >100 nm respectively. CCNC(0.6%) measurements are unavailable for this period on July 7. Wind direction and relative position of polynyas are indicated in both panels. CDNC are referenced to STP.

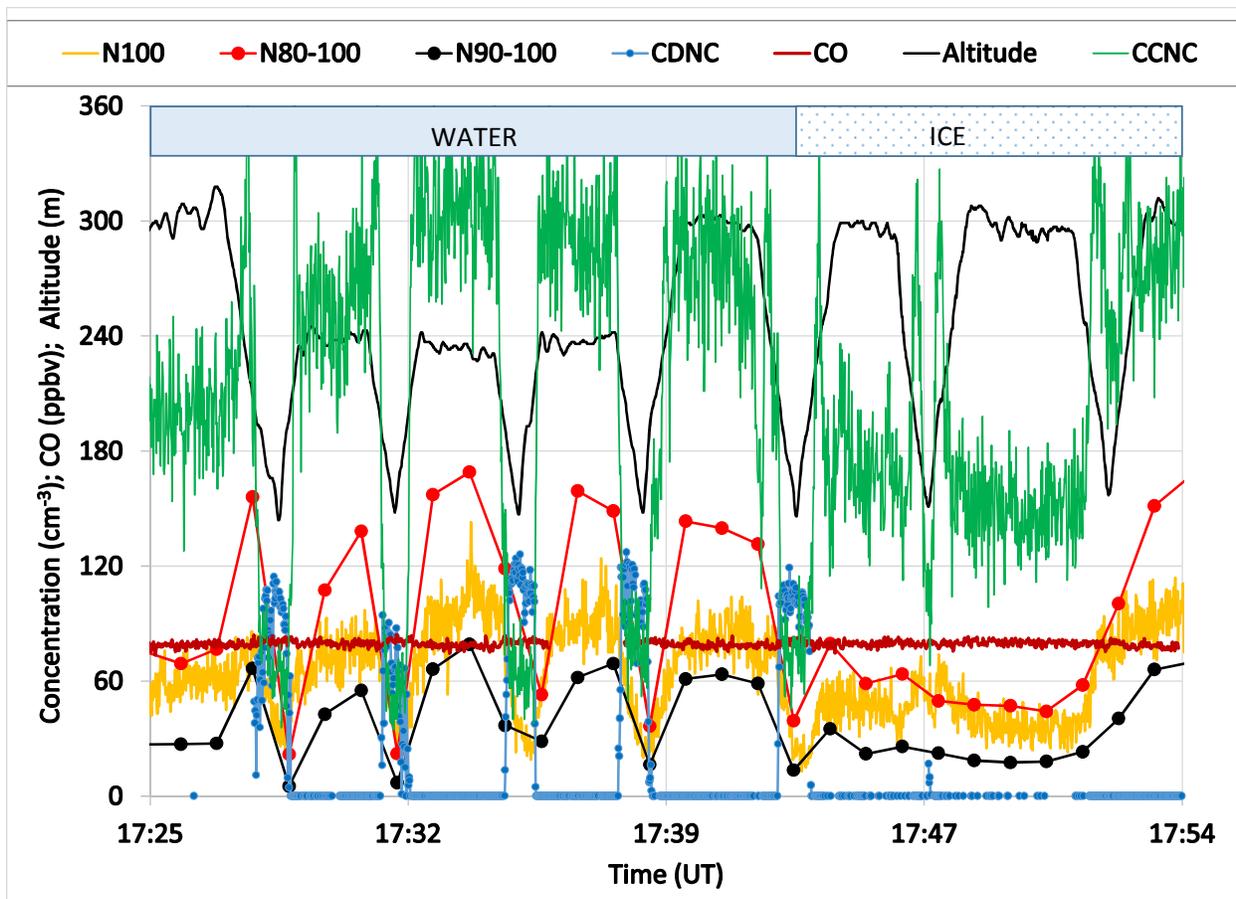


Figure 5. Time series of altitude, CO, N80-100, N90-100, N100, CCNC(0.6%) and CDNC from low cloud (LA) cloud sampling over Lancaster Sound on July 8. The cloud was deeper over the open water of the Sound (see satellite picture in Fig. 2b). Over the ice to the west, the cloud was not as deep and could not be sampled. Segments over water and ice are indicated at the top of the figure.

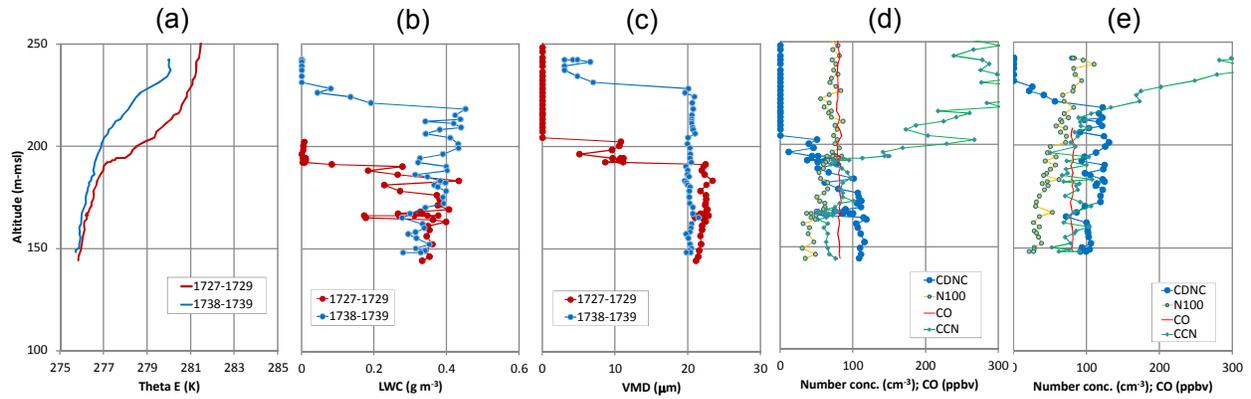


Figure 6. Profiles down into cloud showing a) θ_e , b) LWC and c) VMDData for periods 17:27-17:29 UT and 17:38-17:39 UT during July 8. d) shows CDNC, N100, CO and CCNC(0.6%) for the 17:27-17:29 UT profile, and e) shows CDNC, N100, CO and CCNC(0.6%) for the 17:38-17:39 UT profile.

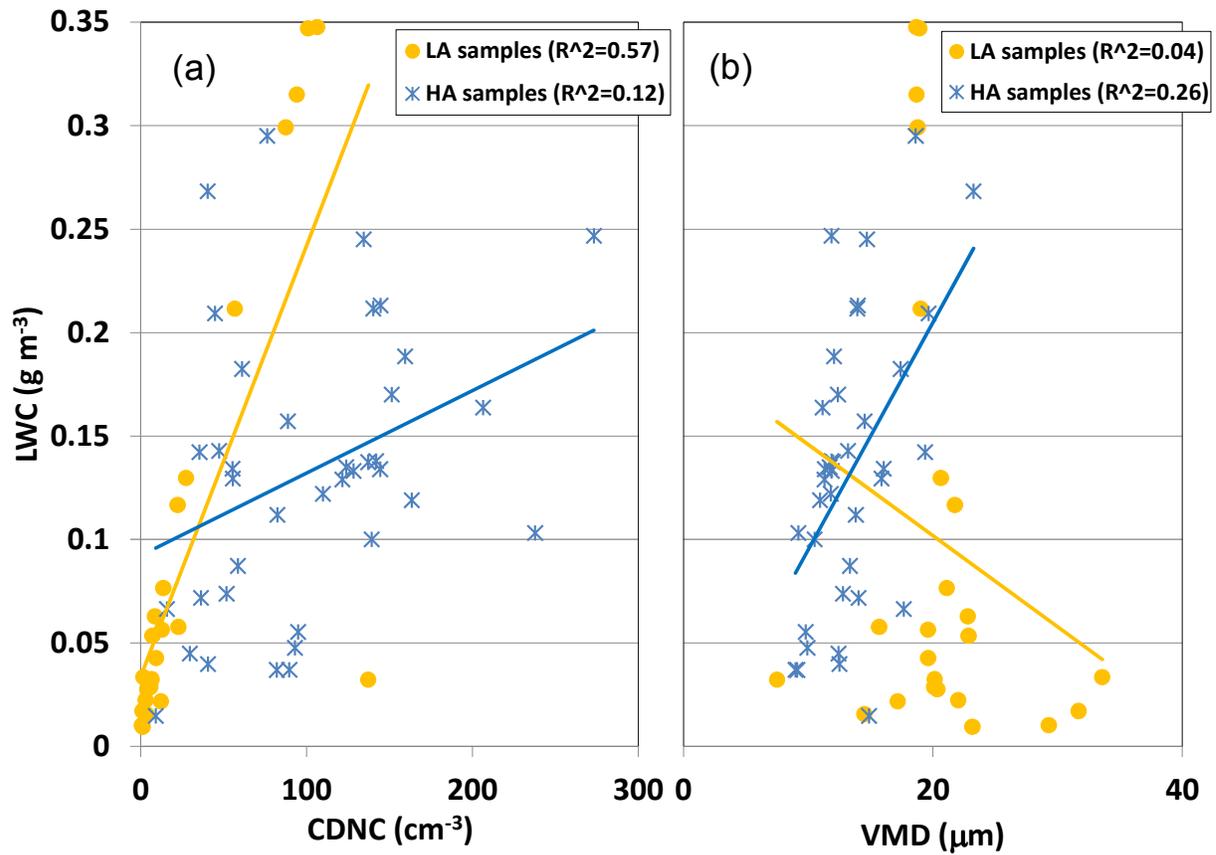


Figure 7. The LWC plotted as a function of the CDNC (a) and VMD (b) for the LA (orange) and HA (blue) samples. Linear regressions for each of the LA and HA samples are also plotted, and the coefficients of determination are given in the legends.

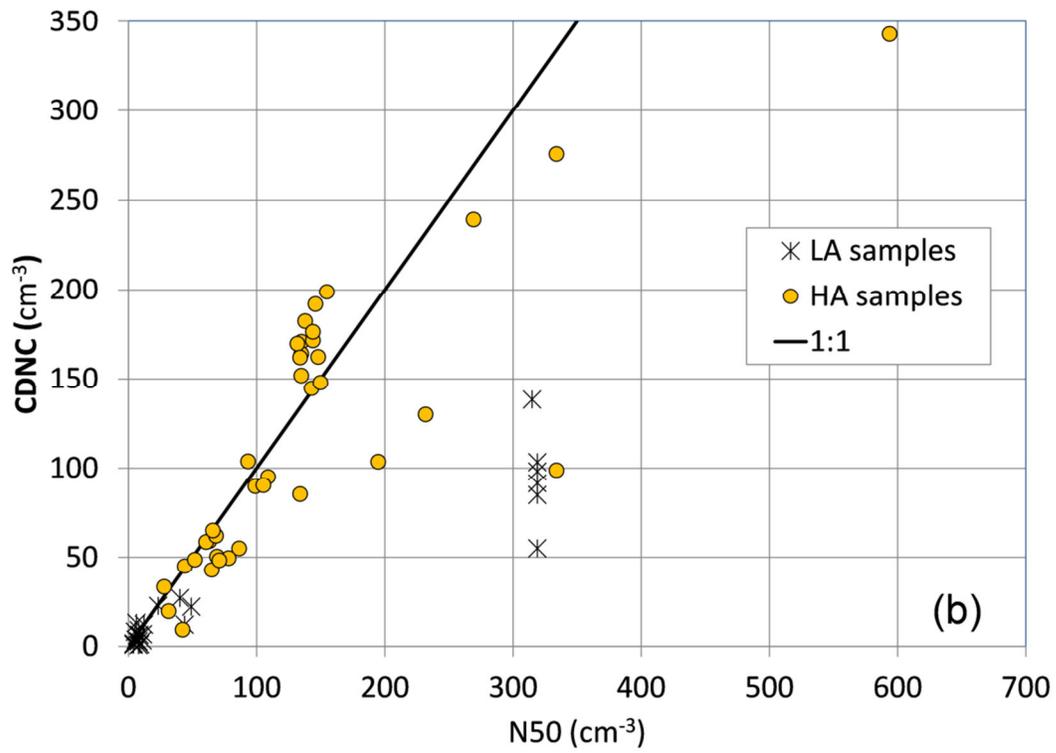
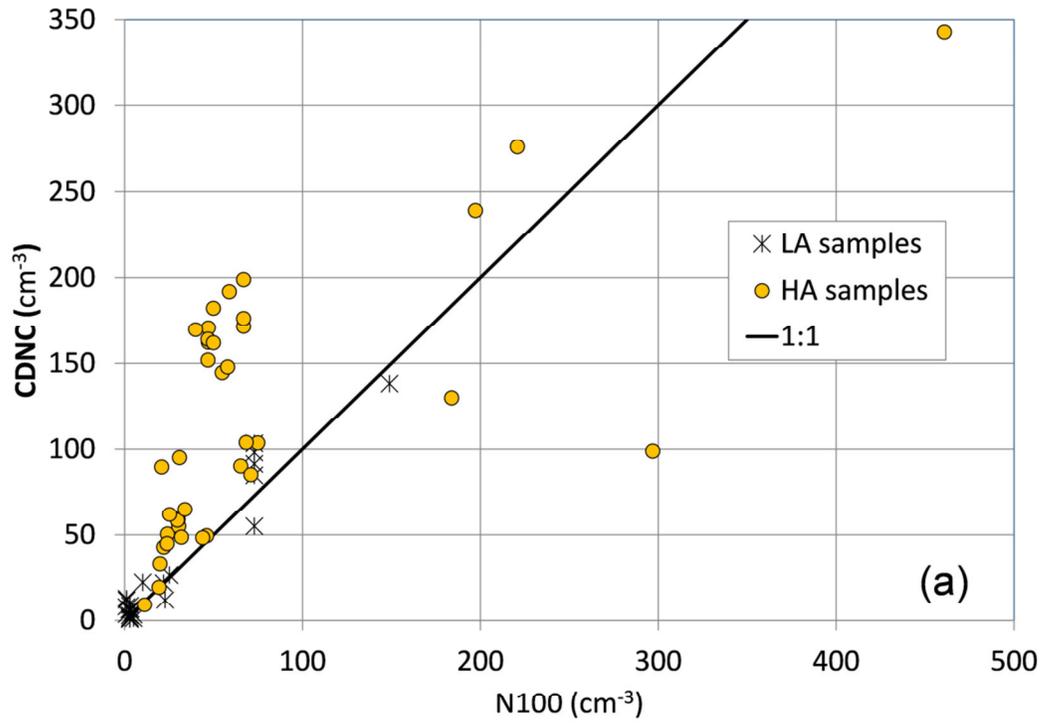


Figure 8. Plots of CDNC versus a) N100 and b) N50. Points are identified between LA (yellow) and HA (black asterisk) samples, and the 1:1 lines are for reference.

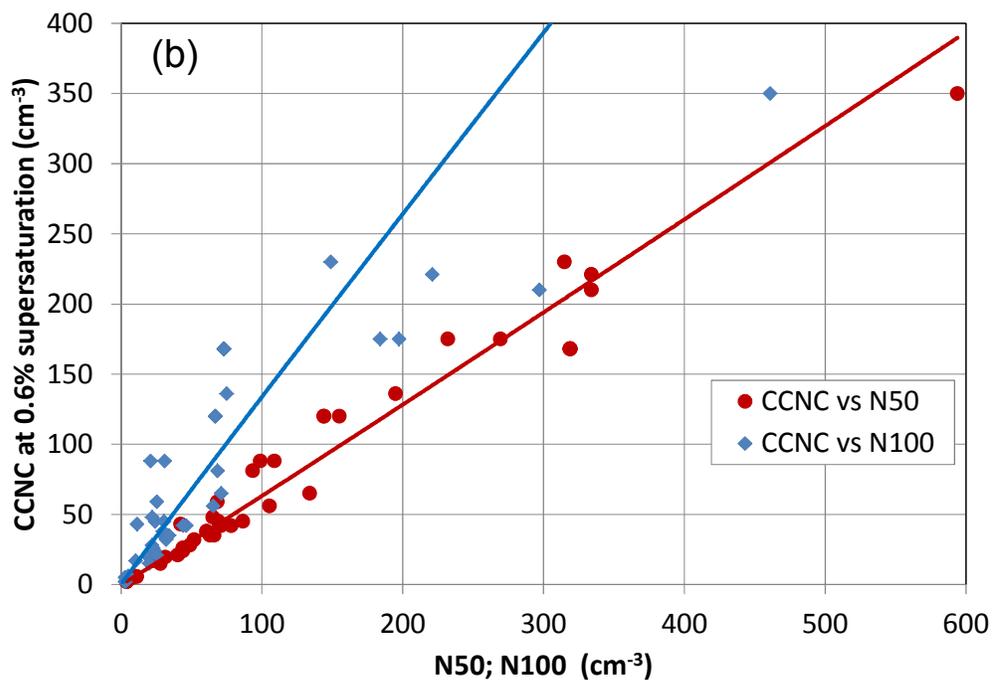
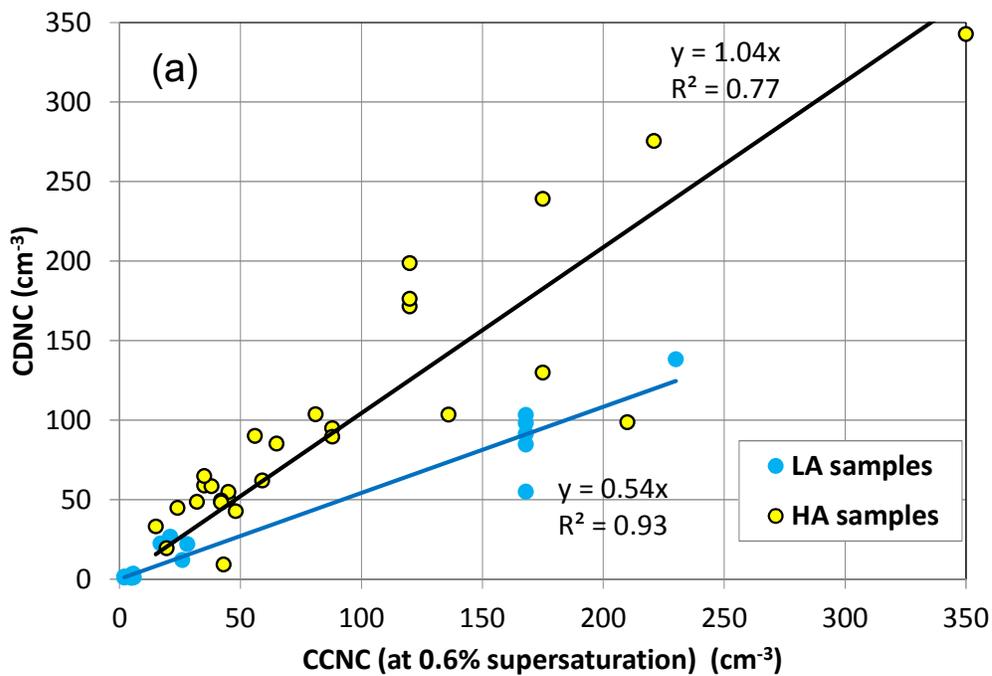


Figure 9. a) CDNC plotted versus the CCNC measured at 0.6% supersaturation; points are identified between LA (yellow) and HA (blue) samples, and linear regressions through the origin are shown; the CCNC(0.6%) points are limited to 44 of the 62 total, due to problems with the CCN measurement; the 44 are split 16 and 28 between LA and HA. b) CCNC(0.6%) (44 points) plotted versus N50 and N100; power law fits to each are provided for reference.

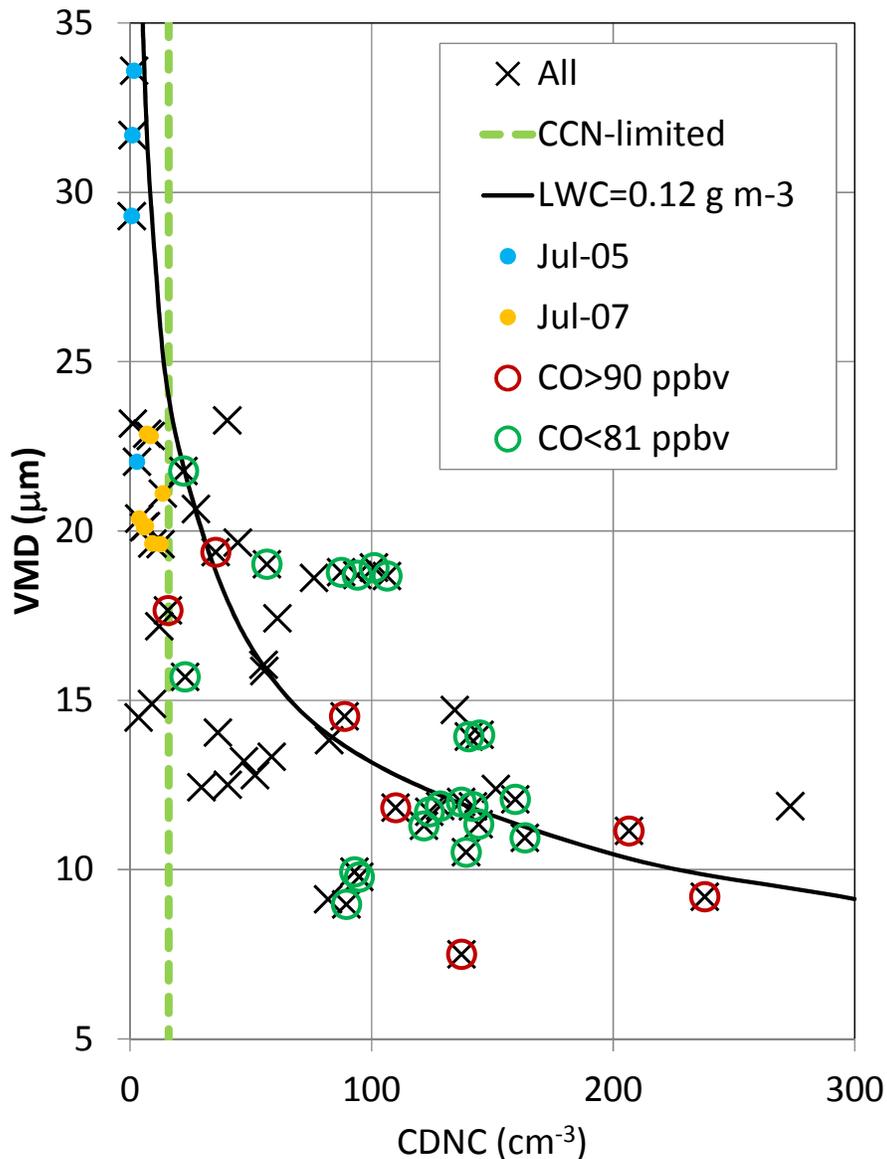


Figure 10. The mean VMD of all cloud samples plotted versus the CDNC. All CDNC are referenced to the ambient pressure. The dashed vertical green line represents the “CCN-limited” division discussed by Mauritsen et al (2011) and estimated here as 16 cm^{-3} . The solid black line is another reference showing the relationship between VMD and CDNC for a constant LWC: the study mean LWC of 0.12 g m^{-3} (Table 1). Samples with higher CO ($>90 \text{ ppbv}$) are identified by the open red circles. Also highlighted for the discussion are LA samples from July 5 (blue dots) and July 7 (orange dots).