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7 **Effect of tropical cyclones on the Stratosphere-Troposphere Exchange**
8 **observed using satellite observations over north Indian Ocean**
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22 **Abstract**

23 Tropical cyclones play an important role in modifying the tropopause structure and
24 dynamics as well as stratosphere-troposphere exchange (STE) processes in the Upper
25 Troposphere and Lower Stratosphere (UTLS) region. In the present study, the impact of
26 cyclones that occurred over the North Indian Ocean during 2007-2013 on the STE processes
27 is quantified using satellite observations. Tropopause characteristics during cyclones are
28 obtained from the Global Positioning System (GPS) Radio Occultation (RO) measurements
29 and ozone and water vapor concentrations in the UTLS region are obtained from Aura-
30 Microwave Limb Sounder (MLS) satellite observations. The effect of cyclones on the
31 tropopause parameters is observed to be more prominent within 500 km from the centre of
32 the tropical cyclone. In our earlier study, we have observed decrease (increase) in the
33 tropopause altitude (temperature) up to 0.6 km (3K) and the convective outflow level
34 increased up to 2 km. This change leads to a total increase in the tropical tropopause layer
35 (TTL) thickness of 3 km within the 500 km from the centre of cyclone. Interestingly, an
36 enhancement in the ozone mixing ratio in the upper troposphere is clearly noticed within 500
37 km from cyclone centre, whereas the enhancement in the water vapor in the lower
38 stratosphere is more significant on south-east side extending from 500-1000 km away from
39 the cyclone centre. The cross-tropopause mass flux for different intensities of cyclones are
40 estimated and found that the mean flux from the stratosphere to the troposphere for cyclonic
41 storms is $0.05 \pm 0.29 \times 10^{-3} \text{ kgm}^{-2}$ and for very severe cyclonic storms it is
42 $0.5 \pm 1.07 \times 10^{-3} \text{ kgm}^{-2}$. More downward flux is noticed in the north-west and south-west side of
43 the cyclone centre. These results indicate that the cyclones have significant impact in
44 effecting the tropopause structure, ozone and water vapor budget and consequentially the
45 STE in the UTLS region.

46 **(Keywords:** Tropical cyclone, tropopause, ozone, water vapor, STE processes.)

47 **1. Introduction**

48 The tropical cyclones with deep convective synoptic scale systems persisting for a
49 few days to week, play an important role on the mass exchange between the troposphere and
50 the stratosphere, and vice versa (Merril, 1998; Emmanuel, 2005). They transport large
51 amount of water vapor, energy and momentum to the upper troposphere and lower
52 stratosphere (UTLS) region (Ray and Rosenlof, 2007). Cyclones provide favorable conditions
53 for entry of the water vapor-rich and ozone-poor air from surface to the lower stratosphere
54 (LS) and dry and ozone-rich air from the LS to the upper troposphere (UT) leading to the
55 stratosphere-troposphere exchange (STE) (Romps and Kuang 2009; Zhan and Wang, 2012;
56 Vogel et al., 2014). These exchanges occur mainly around the tropopause and change the
57 thermal and chemical structure of the UTLS region. The concentration of the water vapor
58 transported from troposphere to stratosphere is controlled by the cold temperatures present at
59 the tropopause and this is a major factor in the STE (Fueglistaler et al., 2009). As a
60 consequence, the STE events play an important role in controlling the ozone in the UTLS
61 region, which will affect the radiation budget of the Earth atmosphere (Intergovernmental
62 Panel on Climate Change, 1996).

63 Water vapor has major consequences for the radiative balance and heat transport in
64 the atmosphere. Enhanced ozone loss is a secondary effect of increasing water vapor.(Rind
65 and Lonergan, 1995; Forster and Shine, 1999; Dvortsov and Solomon, 2001; Forster and
66 Shine, 2002; Myhre et al., 2007; Intergovernmental Panel on Climate Change, 2007). Even
67 very small changes in lower stratospheric water vapor could affect the surface climate (Riese
68 et al., 2012). Solomon et al. (2010) reported the role of stratospheric water vapor in the global
69 warming.LS water vapor plays an important role on the distribution of ozone in the lower
70 stratosphere (Shindell, 2001). It is important contributor for long-term change in the LS
71 temperatures (Maycock et al., 2014).

72 In general, most of the air enters into the stratosphere over the tropics (Brewer, 1949;
73 Dobson, 1956). As suggested by Newell and Gould-Stewart (1981), Bay-of-Bengal (BoB) is
74 one of the active regions where troposphere air enters into the stratosphere. It is also one of
75 the active regions for the formation of deep convection associated cyclones which contains
76 strong updrafts. Earlier studies have shown a close relationship between cyclones and
77 moistening of the upper troposphere (Wang et al., 1995; Su et al., 2006; Ray and Rosenlof,
78 2007).

79 Several studies have been carried out related to water vapor, ozone transport as well
80 as STE processes around the UTLS region during cyclones. Koteswaram (1967) described
81 the thermal and wind structure of cyclones in the UTLS region with the major findings of
82 cold core persisting just above the 15 km and the outflow jets very close to the tropopause.
83 Penn (1965) reported enrichment in ozone and warmer air situated above the tropopause
84 over the eye region during hurricane Ginny. Danielsen (1993) reported on troposphere-
85 stratosphere transport and dehydration in the lower tropical stratosphere during cyclone
86 period. Baray et al. (1999) studied the STE during cyclone Marlene and they observed
87 maximum of ozone change at 300 hPa level. Zou and Wu (2005) observed the variations of
88 columnar ozone in different stages of hurricane by using satellite measurements. Bellevue et
89 al. (2007) observed increase in ozone concentration in the upper troposphere during Tropical
90 Cyclone (TC) event. Significant contribution of cyclones on hydration of the UT is reported
91 by Ray and Rosenlof (2007) and injection of tropospheric air into the low stratosphere due to
92 overshooting convection by cyclones is reported by Romps and Kuang (2009). Das (2009)
93 and Das et al. (2016) have studied the stratospheric intrusion into troposphere during the
94 passage of cyclone by using Mesosphere-Stratosphere-Troposphere (MST) Radar
95 observations. Strong enhancement of ozone in the upper troposphere is observed during TCs
96 over BoB (Fadnavis et al., 2011). The increased ozone levels in the boundary layer as well as

near surface by as much as 20 to 30 ppbv due to strong downward transport of ozone in the tropical convection is also observed (Betts et al., 2002; Sahu and Lal, 2006; Grant et al., 2008). Cairo et al. (2008) reported that the colder temperatures are observed in the Tropical Tropopause Layer (TTL) region during cyclone Davina and also reported on the impact of the TCs on the UTLS structure and dynamics at the regional scales. A detailed review on the effect of TCs on the UTLS can be found in same report. Recently, Ravindra Babu et al. (2015) reported the effect of cyclones on the tropical tropopause parameters using temperature profile obtained from Constellation Observing System for Meteorology, Ionosphere and Climate (COSMIC) Global Position System Radio Occultation (GPS-RO) measurements. Many studies have been carried out on the role of extra tropical cyclones on the STE (for example Reutter et al., 2015 and references therein) though the quantitative estimates of STE provided by these case studies varied considerably. However, the vertical and horizontal variation of ozone and water vapor in the UTLS region and cross-tropopause flux quantification during cyclones over north Indian Ocean is not well investigated.

In the present study, we investigate the spatial and vertical variations of ozone and water vapor in the UTLS region for all the cyclones occurred over north Indian Ocean during 2007 to 2013 by using Aura-Microwave Limb Sounder (MLS) satellite observations. The effect of cyclones on the tropopause characteristics is also presented using COSMIC GPS-RO measurements. We also present the cross-tropopause mass flux estimated for each of the cyclones.

2. Data and Methodology

In the present study, we used Aura-MLS water vapor and ozone measurements (version 3.3) provided by the Jet Propulsion Laboratory (JPL). The version 3.3 was released in January 2011 and this updated version has change in the vertical resolution. The vertical resolution of the water vapor is in the range 2.0 to 3.7 km from 316 to 0.22 hPa and along

track horizontal resolution varies from 210 to 360 km for pressure greater than 4.6 hPa. For ozone, vertical resolution is ~2.5 km and the along track horizontal resolution varies between 300 and 450 km (Livesey et al., 2011). The Aura MLS gives around 3500 vertical profiles per day and it crosses the equator at ~1:40 am and ~1:40 pm local time. For calculating the cross-tropopause mass flux, we used ERA-Interim winds obtained during cyclone period.

We have taken the cyclone track information data from India Meteorological Department (IMD) tropical cyclones observed best track data from year 2007-2013. During this period, around 50 cyclones have formed over the north Indian Ocean. Due to the considerable variability of cyclone life-cycles, for the present study we selected only 16 cyclones that lasted for more than 4 days. The tracks of all the cyclones used for the present study are shown in Figure 1. Table 1 shows the classification of the cyclones over the North Indian Ocean. The TCs over the north Indian ocean are classified in to different categories by IMD based on their maximum sustained wind speed. There are classified as : (1) low pressure when the maximum sustained wind speed at the sea surface is < 17 knots (32 km/hr), (2) depression (D) at 17–27 knots (32–50 km/hr), (3) deep depression (DD) at 28–33 knots (51–59 km/hr), (4) cyclonic storm (CS) at 34– 47 knots (60–90 km/hr), (5) severe cyclonic storm (SCS) at 48–63 knots (90–110 km/hr), (6) very severe cyclonic storm (VSCS) at 64–119 knots (119–220 km/hr), and (7) super cyclonic storm (SuCS) at > 119 knots (220 km/hr) (Pattnaik and Rama Rao, 2008). Table 2 shows the different cyclones used in the present study and their maximum intensity, sustained time, and sustained time for peak intensity period of the each cyclone. The mean sustained time for cyclones that occurred during pre-monsoon, monsoon and post-monsoon seasons is 85.5 ± 52.4 hours, 122 ± 46.5 and 112.6 ± 29.47 hours, respectively. Out of the 16 cyclones, 4 cyclones (CS-1, SCS-2 and VSCS-1) formed during pre-monsoon season, 3 cyclones formed during monsoon season (CS-1, VSCS-1 and SuCS-1) and 9 cyclones (CS-1, SCS-2, and VSCS-6) formed during post-

monsoon season (Table 2). Depressions and deep depressions are not considered. The total available MLS profiles for each cyclone that are used in the present study are listed in Table 2. We have 94 ± 21 mean MLS profiles for each cyclone used in the present study and when segregated season wise, there are 108 ± 6 , 99 ± 21 and 88 ± 23 during monsoon, pre-monsoon and post-monsoon season, respectively. The available total MLS profiles for each cyclone vary with respect to sustained period of the cyclone and overall we have 1517 MLS profiles within 1000 km from the cyclone centre from all the 16 cyclones (Figure 2b). Since there are (temporal) limitations in the satellite measurements, mean cross-tropopause flux is estimated only for those cases of the cyclones that lasted for more than 4 days. However, our quantification of the cross-tropopause flux will not be affected by this limitation as earlier studies revealed that the maximum STE occurs during mature to peak stage of cyclone. Details on the selection of 16 cyclones are presented in Ravindra Babu et al. (2015). In Figure 1, different colors indicate different categories of the cyclones.

2.1. Tropopause characteristics observed during cyclones

As mentioned earlier, in the tropical region the amount of water vapor transported into the lower stratosphere from the troposphere is controlled by the cold tropical tropopause temperatures (Fueglistaler et al., 2009). Large convection around the eye of the cyclone and strong updrafts near the eye-walls transports large amount of water vapor into the lower stratosphere through the tropopause. In this way, cyclones will affect the tropopause structure (altitude/temperature). Thus, before quantification of STE, we show the tropopause characteristics observed during the TCs. We used post-processed products of level 2 dry temperature profiles with vertical resolution around 200 m provided by the COSMIC Data Analysis and Archival Center (CDAAC) for estimating the tropopause parameters during cyclones period from 2007-2013. COSMIC GPS-RO is a constellation of six microsatellites equipped with GPS receivers (Anthes et al., 2008). We also used CHALLENGING Minisatellite

Payload (CHAMP) GPS-RO data that are available between the years 2002 to 2006 and COSMIC data from 2007-2013 for getting background climatology of tropopause parameters over the north Indian Ocean.

Climatological mean of all the tropopause parameters are obtained by combining GPS-RO measurements obtained from CHAMP and COSMIC (2002-2013). The tropopause parameters include cold-point tropopause altitude (CPH) and temperature (CPT), lapse rate tropopause altitude (LRH) and temperature (LRT) and the thickness of the tropical tropopause layer (TTL), defined as the layer between convective outflow level (COH) and CPH and are calculated for each profile of GPS-RO collected during the above mentioned period. First, we separated the available RO profiles with respect to distance away from the cyclone centre around 1000 km for individual cyclone for each day of the respective cyclone. After separating, we calculated the tropopause parameters as mentioned above for each RO profile. Total number of occultations used in the present study is shown in Figure 2(a). Then we separated the tropopause parameters with respect to the different cyclone intensity. After estimating the tropopause parameters for all the 16 TCs with respect to different intensity, cyclone-centre composite of all tropopause parameters is obtained. After careful analysis, it is found that there is no much variation in the tropopause parameters observed between D and DD, and between CS and SCS, and thus they are combined to DD and CS, respectively. To quantify the effect of the TCs on the tropopause characteristics, the climatological mean is removed from the individual tropopause parameters. The climatological mean tropopause parameters is estimated from the temperature profiles obtained by using GPS-RO data from 2002-2013. We also calculated the difference of tropopause parameters for different cyclone intensities (Figures are not shown). Figure 3 shows the cyclone centered – composite of mean difference in the tropopause parameters (CPH, LRH, CPT, LRT, COH and TTL thickness) between climatological mean (2002-2013) and individual tropopause parameters observed

during cyclones (irrespective of cyclone intensity) and the more detailed results on effect of TCs on the tropopause variations and mean temperature structure in UTLS region during TCs can be found in Ravindra Babu et al. (2015). We have reported that the CPH (LRH) is lowered by 0.6 km (0.4 km) in most of the areas within the 500 km radius from the cyclone centre and the temperature (CPT/LRT) is more or less colder or equal to the climatological values from the area around 1000 km from the cyclone centre. Note that effect of cyclone can be felt up to 2000 km but since the latitudinal variation also comes into picture when we consider 2000 km radius, we restrict our discussion related to variability within 1000 km from the cyclone centre. COH (TTL thickness) has increased (reduced) up to 2 km within 500 km from the cyclones and in some areas up to 1000 km. Note that this decrease in TTL thickness is not only because of pushing up of the COH but also due to decrease of CPH. From the above results, we concluded that the tropical tropopause is significantly affected by the cyclones and the effect is more prominent within 500 km from the cyclone centre. These changes in the tropopause parameters are expected to influence water vapor and ozone transport in the UTLS region during cyclones.

3. Results and discussion

3.1. Ozone variability in the UTLS region during cyclones

To see the variability and the transport of ozone during the passage of cyclones, we investigate the spatial and vertical variability of ozone in the UTLS region using MLS satellite observations. As mentioned in Section 2.1, we also separated the MLS profiles based on the distance from the TC centre for each day of the individual cyclone. From all the 16 cyclones cases, we separated the available MLS profiles with respect to distance from the cyclone centre around 1000 km and also we separated the MLS profiles with respect to different intensities of the cyclones. Figure 4 shows the normalized cyclone centered – composite of mean ozone mixing ratio (OMR) observed during cyclones (irrespective of

cyclone intensity) at 82hPa, 100hPa, 121hPa, and 146 hPa pressure levels during 2007-2013. Note that we have reasonable number of MLS profiles (1517) from 16 cyclones to generate the meaningful cyclone-centre composite of ozone. Black circles are drawn to show distances 250 km, 500 km, 750 km and 1000 km away from cyclone center. Since large variability in OMR is noticed from one pressure level to other, we normalized the values to the highest OMR value at a given pressure level. The highest OMR values at 82 hPa, 100 hPa, 121 hPa and 146 hPa pressure levels is 0.38 ppmv, 0.28 ppmv, 0.19 ppmv and 0.13 ppmv, respectively. Large spatial variations in the OMR are observed with respect to the cyclone centre. At 82 hPa, higher OMR (~0.4 ppmv) in the South-West (SW) side up to 1000 km and comparatively low OMR values (~0.2 ppmv) are noticed in the north of the cyclone centre. At 100 hPa, an increase in the OMR (~0.2 ppmv) near the cyclone centre within 500 km is clearly observed. This enhancement in OMR extends up to 146 hPa and is more prominent slightly in the western and eastern side of the cyclone. In general, the large subsidence located at the top of the cyclone centre is expected to bring lower stratospheric ozone to the upper troposphere. This might be the reason for the enhancement of ozone in the cyclone centre within 500 km. Earlier several studies have reported that the intrusion of the stratospheric air in to the troposphere due to the subsidence in the eye region (Penn, 1965; Baray et al., 1999; Das et al., 2009; Das et al., 2015). The present results also support this aspect that the detrainment of ozone reached to the 146 hPa might be due to strong subsidence. Interestingly, an enhancement in OMR in south east side at 121 hPa but not either at 100 hPa or at 146 hPa can be noticed which need to be investigated further. Thus, in general, higher ozone concentrations are observed in cyclone centre within 500 km and slightly aligned to the western side of the cyclone centre.

In order to quantify the impact of cyclones on UTLS ozone more clearly we have obtained anomalies by subtracting the mean cyclone-centered ozone observed during

cyclones from the background climatology of UTLS ozone that is calculated by using the total available MLS profiles from 2007-2013. Figure 4(e-h) shows the normalized mean difference of cyclone-centered ozone obtained after removing the background climatology values for different pressure levels shown in Figure 4(a-d). The maximum difference in OMR for corresponding normalized value at 82 hPa, 100 hPa, 121 hPa and 146 hPa pressure levels is -0.089 ppmv, -0.19 ppmv, -0.09 ppmv and -0.06 ppmv, respectively. Enhancement in the OMR (~0.1 ppmv) up to 1000 km from the cyclone centre is observed at 82 hPa. Interestingly, at 100 hPa OMR is more or less uniform throughout 1000 km from the cyclone centre except ~500 km radius from the centre where significant increase of OMR (~0.2 ppmv) is observed. This increase in the OMR is within 500 km from cyclone centre and extends up to 121 hPa. However, enhancement in OMR at 146 hPa extends up to 1000 km but distributed towards eastern and western sides of cyclone centre. Thus, it is clear that the detrainment of lower stratospheric ozone will reach up to 146 hPa during cyclone period due to presence of strong subsidence in the cyclone centre. We also calculated the cyclone-centre composite of ozone based on different cyclone intensities such as DD, SCS and VSCS. After carefully going through them, we have found that this detrainment of ozone reaching up to 146 hPa is more in the higher intensity period of the TCs. We do not know what happens below this pressure level due to limitation in the present data, however, studies (Das et al., 2015; Jiang et al., 2015) have shown that LS ozone can reach as low as boundary layer during cyclones. It will be interesting to see the variability in the water vapor as large amount of it is expected to cross the tropopause during the cyclone period and reach lower stratosphere.

3.2. Water vapor variability in the UTLS region during cyclones

As mentioned earlier, enormous amount of water vapor is expected to be pumped from lower troposphere to the upper troposphere and even it can penetrate into the lower stratosphere during cyclones. To see the linkage between tropopause variability and the

transport of water vapor during cyclones, we investigated the horizontal and vertical variability of water vapor in the UTLS region using MLS satellite observations. Figure 5 shows the normalized cyclone centered – composite of mean water vapor mixing ratio observed during cyclones (irrespective of cyclone intensity) at 82 hPa, 100 hPa, 121 hPa, and 146 hPa pressure levels observed by MLS during 2007-2013. Black circles are drawn to show the 250 km, 500 km, 750 km and 1000 km away from cyclone center. The highest Water Vapor Mixing Ratio (WVMR) values for corresponding normalized value at 82 hPa, 100 hPa, 121 hPa, and 146 hPa pressure levels is 4.44 ppmv, 4.49 ppmv, 6.9 ppmv and 16.03 ppmv, respectively. Significantly higher WVMR values are noticed extending from 500 km up to 1000 km from the cyclone centre at 121 (~6.5 ppmv), 146 hPa (~15 ppmv) levels with more prominence in the eastern side of the cyclone centre. Comparatively low values are noticed in the centre of the cyclone, especially at 121 hPa. These results are comparing well with higher WVMR observed in the eastern side of cyclones over Atlantic and Pacific Oceans (Ray and Rosenlof, 2007). These results also compare well with those reported by Ravindra Babu et al. (2015) where they used GPS-RO measured relative humidity and found enhancement in RH in the eastern side of the centre in the upper troposphere (10-15 km) over north Indian Ocean. The higher WVMR values are observed in the eastern side of the cyclone centre might be due to the upper level anti-cyclonic circulation over the cyclones. It is interesting to note that high WVMR lies not at the centre but extend from 500 to 1000 km from the centre of cyclone. The WVMR show high at 121 and 146 hPa than at 100 and 82 hPa. It seems less water vapor has been transported to 100 and 82 hPa from below. As we know, water vapor mostly origin from lower troposphere and decreasing with height. So vertical transport of water vapor from the lower troposphere to the UTLS may lead to water vapor enhanced at 121 and 146 hPa and some time it reaches to higher altitudes. The higher

WVMR presented at 100 and 82 hPa levels show the signature of the tropospheric air entering even in to the lower stratosphere during cyclones.

In order to quantify the impact of cyclones on the UTLS water vapor more clearly, we have obtained anomalies by subtracting the mean cyclone-centered water vapor observed during cyclones from the background climatology mean of UTLS water vapor. Figure 5(e-h) shows the normalized mean difference of the cyclone-centered WVMR obtained after removing the background climatology values for different pressure levels shown in Figure 5(a-d). The maximum difference in WVMR for corresponding normalized values at 82 hPa, 100 hPa, 121 hPa, and 146 hPa pressure levels is -0.44 ppmv, -0.81 ppmv, -2.55 ppmv and -9.09 ppmv, respectively. More than 7 ppmv differences are observed at 146 hPa within the 1000 km from the centre and at 121 hPa difference of ~ 2 ppmv is noticed extending up to 2000 km (figure not shown) in the eastern side of the centre. At 100 hPa and 82 hPa levels, the increase in the WVMR is ~ 0.8 and ~ 0.6 ppmv, respectively, and the enhancement is more observed in the NE side of the cyclone centre. Thus, a clear STE is evident during the cyclone over north Indian Ocean where a clear enhancement in the water vapor (ozone) in the lower stratosphere (upper troposphere) is observed. For quantifying the amount of STE, we calculated the cross-tropopause mass flux for each cyclone by considering the spatial extent within the 500 km from the cyclone centre and results are presented in the following sub-section.

3.3. Cross tropopause flux observed during cyclones

We adopted method given by Wei (1987) to estimate the cross tropopause mass flux, F . F is defined as:

$$F = \frac{1}{g} \left(-\omega + V_h \cdot \nabla P_{tp} + \frac{\partial P_{tp}}{\partial t} \right) = \left(-\frac{\omega}{g} + \frac{1}{g} V_h \cdot \nabla P_{tp} \right) + \frac{1}{g} \frac{\partial P_{tp}}{\partial t} = F_{AM} + F_{TM} \quad (1)$$

where ω is the vertical pressure-velocity, V_h is the horizontal vector wind, P_{tp} is the pressure at the tropopause, g is the acceleration due to gravity, F_{AM} is the air mass exchange due to horizontal and vertical air motions, F_{TM} is the air mass exchange due to tropopause motion.

The wind information is taken from ERA-Interim, and the tropopause temperature and pressure within 500 km from the cyclone centre is estimated from COSMIC GPS-RO measurements (Ravindra Babu et al., 2015). These values are considered for the maximum intensity day for each of the 16 cyclones and the respective cross tropopause flux is estimated. Since the above mentioned results showed that the higher OMR values are observed in the west and NW side and more water vapor is located at the eastern side of the cyclone centre, we separated the area into 4 sectors with respect to cyclone centre as C1 (NW side), C2 (NE side), C3 (SW side), and C4 (SE side), respectively as shown in Figure 4(a). List of cyclones used in the present study with their names, cyclone intensity (CI), centre latitude, centre longitude, minimum estimated central pressure on their peak intensify day are provided in Table 3. The total flux F (equation 1) depends on the air mass exchange due to horizontal and vertical air motion (F_{AM}), and the air mass exchange due to tropopause motion itself (F_{TM}). Since number of COSMIC GPS-RO measurements are not sufficient to estimate the second term (F_{TM}) for each event, we calculated only the first part of the equation (F_{AM}) individually for each of cyclone with respect to different sectors mentioned above and the values are presented in Table 3. However, we roughly estimated the contribution of second term by assuming change in the tropopause pressure by 0.5 hPa increase (decrease) within 6 hr and could see cross-tropopause flux for CS is $0.25 \pm 0.07 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$ ($-0.36 \pm 0.07 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$) and for VSCS it is $-0.24 \pm 0.3 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$ ($-0.85 \pm 0.3 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$). If there is change in the tropopause pressure by 1 hPa increase (decrease), the flux for CS is $0.55 \pm 0.07 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$ ($-0.66 \pm 0.07 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$) and for VSCS it is $0.06 \pm 0.3 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$ ($-1.16 \pm 0.3 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$).

Figure 6 shows the cross-tropopause flux estimated in each sector from the centre of the cyclone for the different cyclone intensities (estimated based on the cyclone centre pressure). Red lines show the best fit. It clearly shows that the downward flux is always more in C1 and C3 sectors, whereas C2 sector show more upward flux. The flux itself varies with the cyclone intensity and it is found that the increase in downward flux as the cyclone centre pressure decreases particularly for C1 and C3 sectors. Whereas, in C4 sector, increase in the upward flux is seen as the cyclone intensity increases but always upward in C2 sector, irrespective of the cyclone intensity. The second term (in equation 1) itself corresponds the air mass exchange from the tropopause motion and generally during cyclone period there is an ~400 m difference in tropopause altitude (LRH) within 500 km from the centre of the cyclone (Figure 3). Thus, the spatial and temporal variation of the tropopause during the cyclones itself is very important for to decide the flux as downward or upward. Interestingly, C1 and C3 sectors of cyclone show dominant downward mean flux and C2 and C4 sectors show dominant upward mean flux with the values of $0.4 \pm 0.4 \times 10^{-3} \text{ kg m}^{-2}$, $1.2 \pm 1.0 \times 10^{-3} \text{ kg m}^{-2}$, $0.2 \pm 0.1 \times 10^{-3} \text{ kg m}^{-2}$ and $0.12 \pm 0.3 \times 10^{-3} \text{ kg m}^{-2}$, respectively. These results strongly support our findings of higher ozone in the NW and SW sides and higher water vapor in the NE side of the cyclone centre. The mean flux is observed to vary with the intensity of the cyclone. Mean flux for the severe cyclonic storms (CS) is $-0.05 \pm 0.29 \times 10^{-3} \text{ kg m}^{-2}$ whereas for very severe cyclonic storms (VSCS) it is $-0.5 \pm 1.07 \times 10^{-3} \text{ kg m}^{-2}$. Reutter et al. (2015) reported the upward and downward mass fluxes across the tropopause are more dominant in a deeper cyclones compared to a less intense cyclones over the North Atlantic. Our results are comparable with their results with the averaged mass flux of the stratosphere to troposphere as $0.3 \times 10^{-3} \text{ kg m}^{-2} \text{ s}^{-1}$ ($340 \text{ kg km}^{-2} \text{ s}^{-1}$) in the vicinity of cyclones over the North Atlantic Ocean. They also reported that the more transport across the tropopause occurred in the west side of the

cyclone centre during intensifying and mature stages of the cyclones over the North Atlantic region.

4. Summary and conclusions

In this study, we have investigated the vertical and spatial variability of ozone and water vapor in the UTLS region during the passage of cyclones occurred between 2007 and 2013 over the North Indian Ocean by using Aura-MLS satellite observations. In order to make quantitative estimate of the impact of cyclones on the ozone and water vapor budget in the UTLS region, we removed the mean cyclone-centre ozone and water vapor from the climatological mean calculated using MLS data from 2007 to 2013. We estimated the mean cross- tropopause flux for each of the cyclones on their peak intensity day. The main findings are summarized below.

1. Lowering of the CPH (0.6 km) and LRH (0.4 km) values with the coldest CPT and LRT (2–3 K) within a 500 km radius from the cyclone centre is noticed. Higher (2 km) COH leading to the lowering of TTL thickness (~3 km) is clearly observed (Ravindra Babu et al., 2015).
2. The impact of cyclones on ozone and the tropopause (altitude/temperature) is more prominent within 500 km from the cyclone centre, whereas it is high from 500 km to 1000km in case of water vapor.
3. Detrainment of ozone is highest in the cyclone centre (within 500 km from the centre) due to strong subsidence over top of the cyclone centre and this detrained ozone reaches as low as 146 hPa level (~13-14 km).
4. The detrainment of ozone is more in the higher intensity period (SCS or VSCS) of the cyclone compared to the low intensity (D or DD).
5. Interestingly, significant enhancement in the lower stratospheric (82 hPa) water vapor is noticed in the east and southeast side from the cyclone centre.

6. Dominant downward [upward] cross-tropopause flux is observed in C1 (NW) and C3 (SW) [C2 (NE) and C4 (SE)] sectors of the cyclone.

Figure 7 shows the typical structure (not to scale) of the TC along with convective towers, updrafts, downdrafts which above mentioned tropopause variability with respect to cyclone centre in the form of the schematic diagram. This figure is re-drawn from the basic idea given in Chapter 9 and figure 6 of www.geology.sdsu.edu. The results presented in Figure 4 and Figure 5 is a composite picture of all 16 cyclones. Because each individual cyclone is different, this composite picture will differ somewhat from the typical structure shown in Figure 7. The tropopause altitude (CPH) is lowered by 0.6 km within 500 km from the centre of the cyclone. The convective out flow level (COH) slightly pushes up (~2 km) within 500 km from the centre of the cyclone but not exactly in the centre. Thus, a decrease of about 3 km in the TTL thickness is observed within the 500 km from the cyclone centre. Cyclone includes eye that extends from few km to 10's of kilometers. Strong convective towers with strong updrafts extending up to the tropopause in the form of spiral bands extending from 500 to 1000 km are present. Strong water vapor transport in to the lower stratosphere (82 hPa) while pushing up the COH is observed around these spiral bands in the present study. Between these spiral bands equal amount of subsidence is expected with strong subsidence existing at the centre of the cyclone. Significant detrainment of ozone present above or advected from the surroundings is observed reaching as low as 146 hPa at the cyclones centre. Thus, it is clear that ozone reaches upper troposphere from lower stratosphere through the centre of the cyclone, whereas water vapor transport in to the lower stratosphere will happen from the 500 to 1000 km from the cyclones centre. Since more intense cyclones are expected to occur in a changing climate (Kuntson et al., 2010), the amount of water vapor and ozone reaching to the lower stratosphere and upper troposphere, respectively, is expected

to increase thus affecting complete tropospheric weather and climate. Future studies should focus on these trends.

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Figure captions:

Figure 1. Tropical cyclone tracks of different categories (cyclonic storm (CS, blue color), severe cyclonic storm (SCS, orange color), very severe cyclonic storm (VSCS, red color) and super cyclonic storm (SuCs, magenta color)) that occurred over North Indian Ocean during 2007 - 2013.

Figure 2. Cyclone-centred composite of total available (a) COSMIC GPS RO occultations and (b) MLS profiles obtained from all the 16 cyclones that are used in the present study.

Figure 3. Cyclone centered – composite of mean difference in the tropopause parameters between climatological mean (2002-2013) and individual tropopause parameters observed during cyclones(irrespective of cyclone intensity) in (a) CPH (km), (b) LRH (km), (c) CPT (K), (d) LRT (K), (e) COH (km) and (f) TTL thickness (km). Black circles are drawn to show the 250 km, 500 km, 750 km and 1000 km away from cyclone center.

Figure 4. Normalized cyclone centered – composite of mean ozone mixing ratio observed during cyclones (irrespective of cyclone intensity) at (a) 82hPa, (b) 100hPa, (c) 121hPa, (d) 146 hPa levels by MLS during 2007-2013. (e) to (h) same as (a) to (d) but for normalized mean difference in the ozone mixing ratio between climatological mean (2007-2013) and individual events. Black circles are drawn to show the 250 km, 500 km, 750 km and 1000 km away from cyclone center. Sectors showing C1 (NW), C2 (NE), C3 (SW) and C4 (SE) are also shown in (a).

Figure 5. Same as Fig. 4, but for water vapor mixing ratio.

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597 (down) side arrow shows the up drafts (downdrafts/subsidence). Thickness of the arrows
598 indicates the intensity.

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600 **Table captions:**

601 **Table1.**Classification of cyclonic systems over the north Indian Ocean.

602 **Table 2.** Tropical cyclones occurred during different seasons, cyclone name, cyclone
603 Intensity (CI), cyclone period, total sustained time, Sustained time with maximum intensity
604 and total number of available MLS profiles

605 **Table 3.** Cyclone name, cyclone Intensity (CI), centre latitude, centre longitude, estimated
606 central pressure and estimated cross-tropopause mass flux with respect to cyclone centre
607 for C1 (NW side), C2 (NE side), C3 (SW side) and C4 (SE side), respectively.

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609 **Tables:**

610 **Table1.**IMD classification of cyclonic systems over the north Indian Ocean.

Intensity of the system	Maximum sustained surface winds (knots) at sea (1 knot =0.5144 m/s)
Low pressure area	<17
Depression	17–27
Deep depression (DD)	28–33
Cyclonic storm (CS)	34-47
Severe cyclonic storm (SCS)	48-63
Very severe cyclonic storm (VSCS)	64–119
Super cyclonic storm (SuCS)	>119

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623 **Table 2.** Tropical cyclones occurred during different seasons, cyclone name, cyclone
624 Intensity (CI), cyclone period, total sustained time, Sustained time with maximum intensity
625 and total number of available MLS profiles.

Season	Cyclone Name	Cyclone Intensity (CI)	Cyclone Period (days)	Total Sustained time (hours)	Sustained Time with maximum intensity (hours)	Total available MLS profiles
Monsoon (JJA)	03B(2007)	CS	>4	75	6	104
	PHET (2010)	VSCS	>4	168	42	116
	Gonu (2007)	ScCS	>4	123	72	105
Pre-Monsoon (MAM)	Mahasen(2013)	CS	>4	24	24	119
	Aila (2009)	SCS	4	72	9	79
	Laila (2010)	SCS	4	96	27	82
	Nargis (2008)	VSCS	>4	150	87	118
Post-Monsoon (SON)	Nilam (2012)	CS	>4	102	36	52
	Jal (2010)	SCS	4	99	30	75
	Helen (2013)	SCS	4	78	30	72
	Giri (2010)	VSCS	4	66	15	65
	Phailin (2013)	VSCS	>4	147	66	111
	Leher (2013)	VSCS	>4	114	36	111
	SIDR (2007)	VSCS	>4	138	72	114
Winter (DJF)	Madi (2013)	VSCS	>4	150	36	104
	Thane (2011)	VSCS	>4	120	36	90

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629 **Table 3.** Cyclone name, cyclone Intensity (CI), centre latitude, centre longitude, estimated
 630 central pressure and estimated cross-tropopause mass flux with respect to cyclone centre
 631 for C1 (NW side), C2 (NE side), C3 (SW side) and C4 (SE side), respectively.

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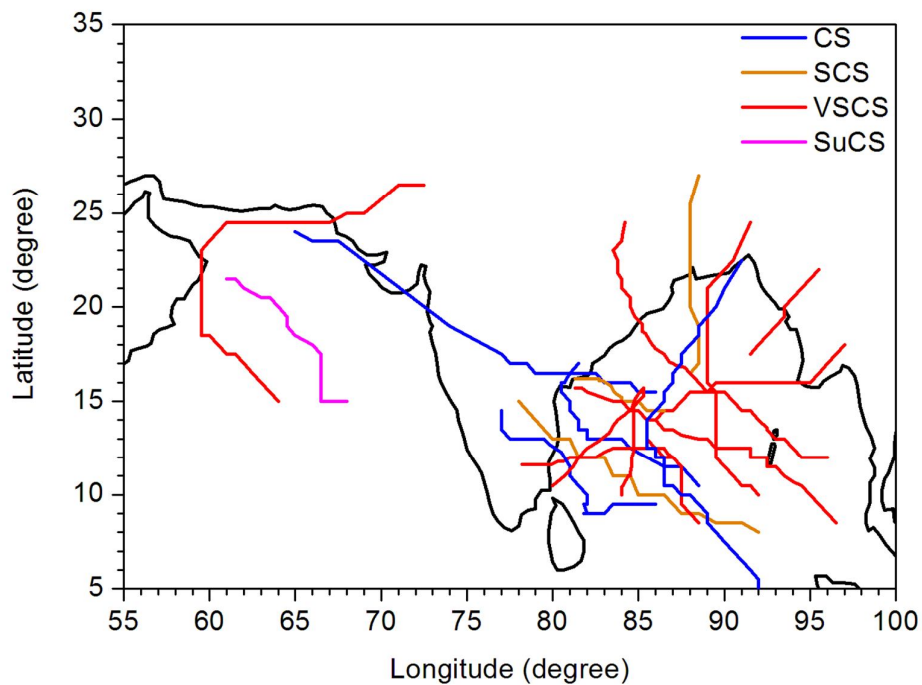
					Flux @500km			
Cyclone	CI	Centre Latitude	Centre Longitude	Estimated Central Pressure (hPa)	C1	C2	C3	C4
03B	CS	23.5	66	986 (25Jun2007)	-0.013	0.661	-0.603	-0.258
Aila	SCS	22	88	968 (25May2009)	1.90E-04	0.191	-0.299	-0.072
Helen	SCS	16.1	82.7	990 (21Nov2013)	0.025	0.216	-0.095	-0.11
Jal	SCS	11	84	988(6Nov2010)	0.025	0.384	-0.4	-0.218
Laila	SCS	14.5	81	986 (19May2010)	-0.012	0.123	-0.352	-0.299
Mahasen	CS	18.5	88.5	990 (15May2013)	-0.006	0.354	-0.473	-0.256
Nilam	CS	11.5	81	990 (31Oct2012)	0.016	0.313	-0.274	-0.097
Nargis	VSCS	16	94	962 (2May2008)	-0.828	0.094	-1.946	0.384
Giri	VSCS	19.8	93.5	950 (22Oct2010)	-0.518	0.022	-0.823	0.032
Gonu	SuCS	20	64	920 (4Jun2007)	-0.502	0.123	-2.563	0.37
Lehar	VSCS	13.2	87.5	980 (26Nov2013)	-0.55	0.119	-2.019	0.411
Madi	VSCS	13.4	84.7	986 (10Dec2013)	-0.375	0.054	-1.449	0.352
Phailin	VSCS	18.1	85.7	940 (11Oct2013)	-0.9	0.179	-2.576	0.479
Phet	VSCS	18	60.5	964 (2Jun2010)	-1.058	0.203	-2.698	0.559
SIDR	VSCS	19.5	89	944 (15Nov2007)	-0.493	0.066	-0.926	0.231
Thane	VSCS	11.8	80.6	970 (29Dec2011)	-1.272	0.356	-2.979	0.558

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635 **Figures:**

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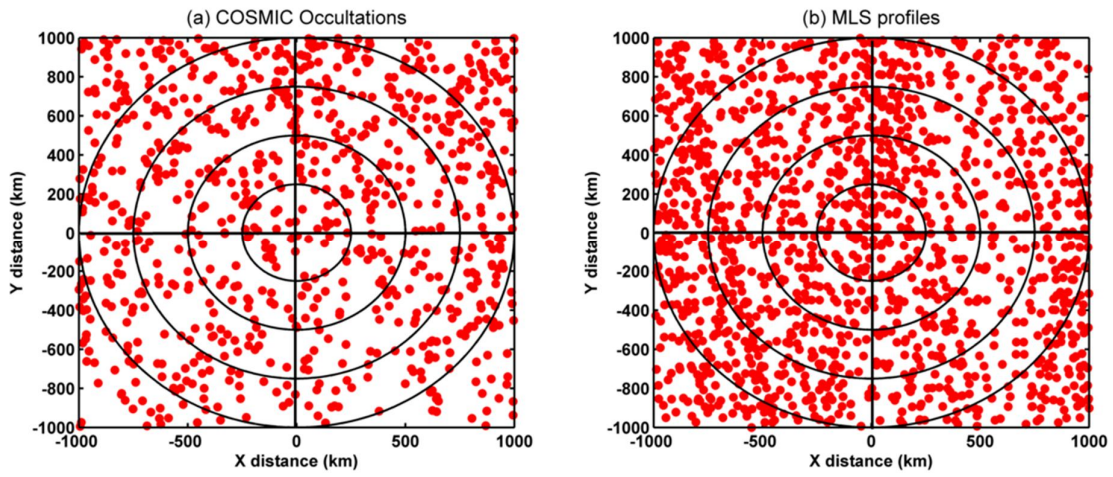


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641 during 2007 - 2013.

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646 and (b) MLS profiles obtained from all the 16 cyclones that are used in the present study.

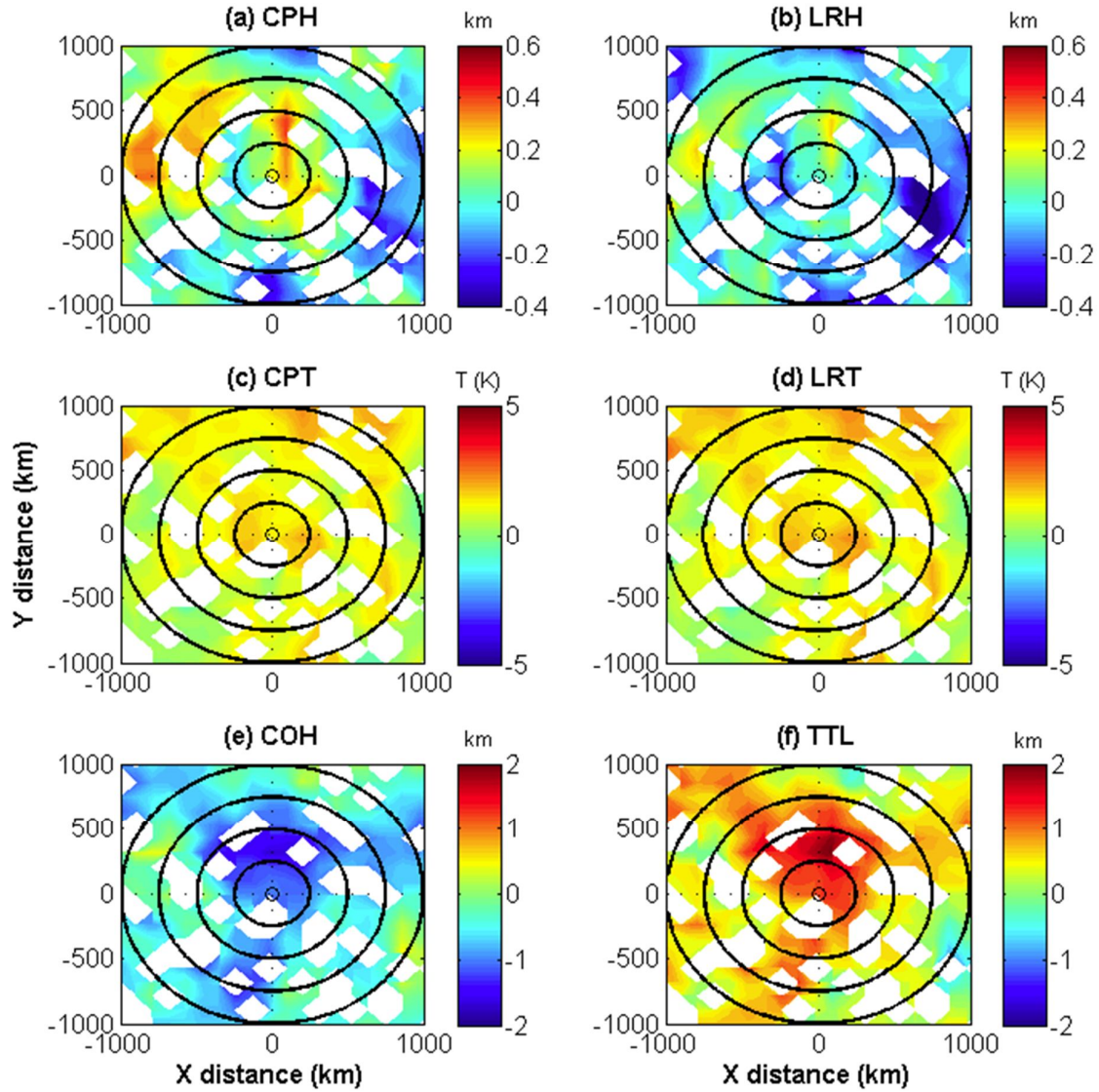


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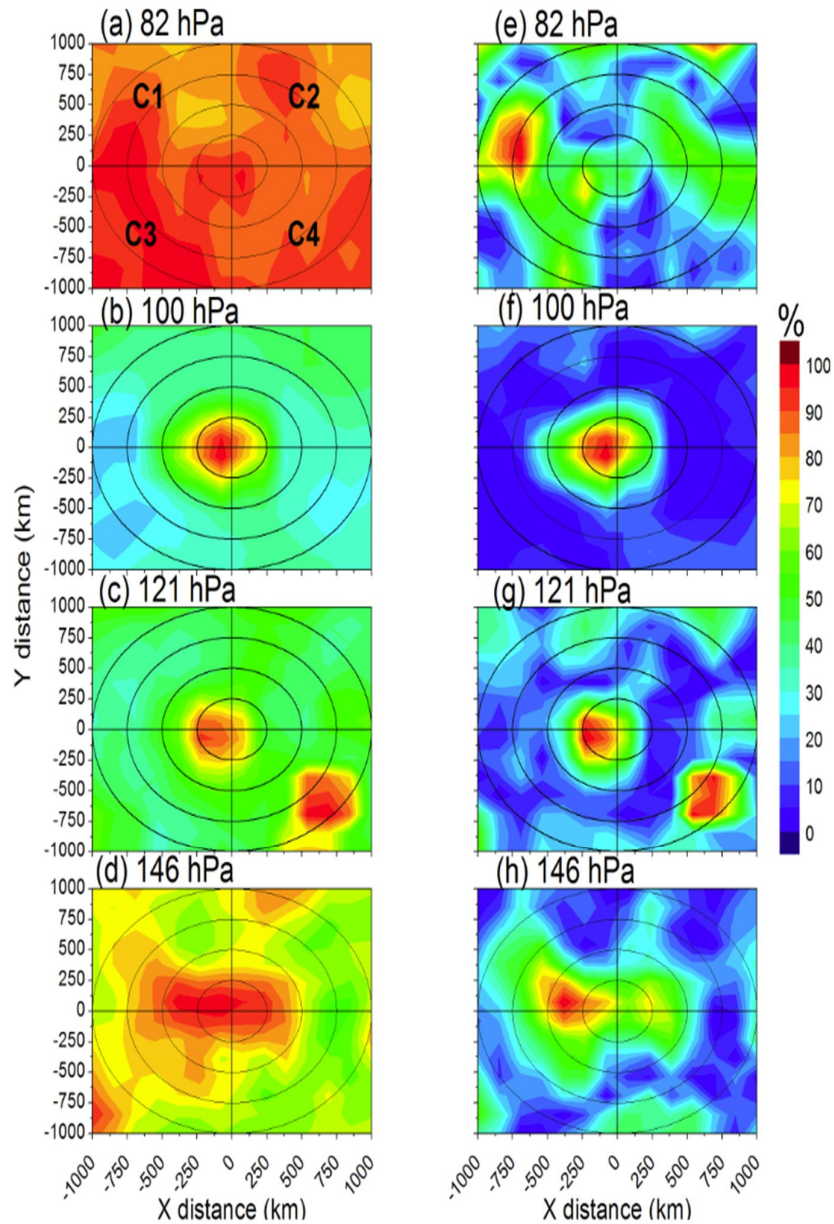


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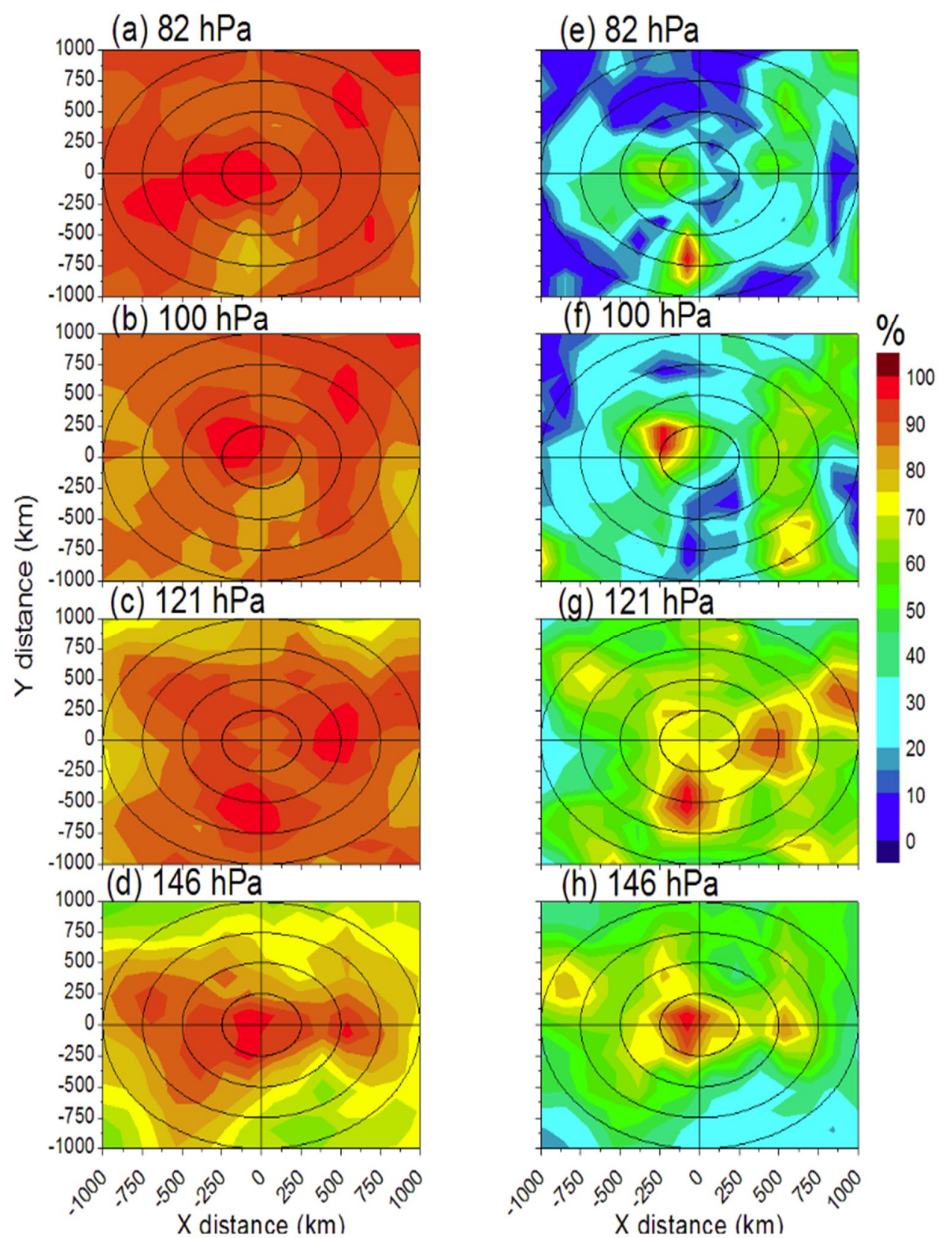
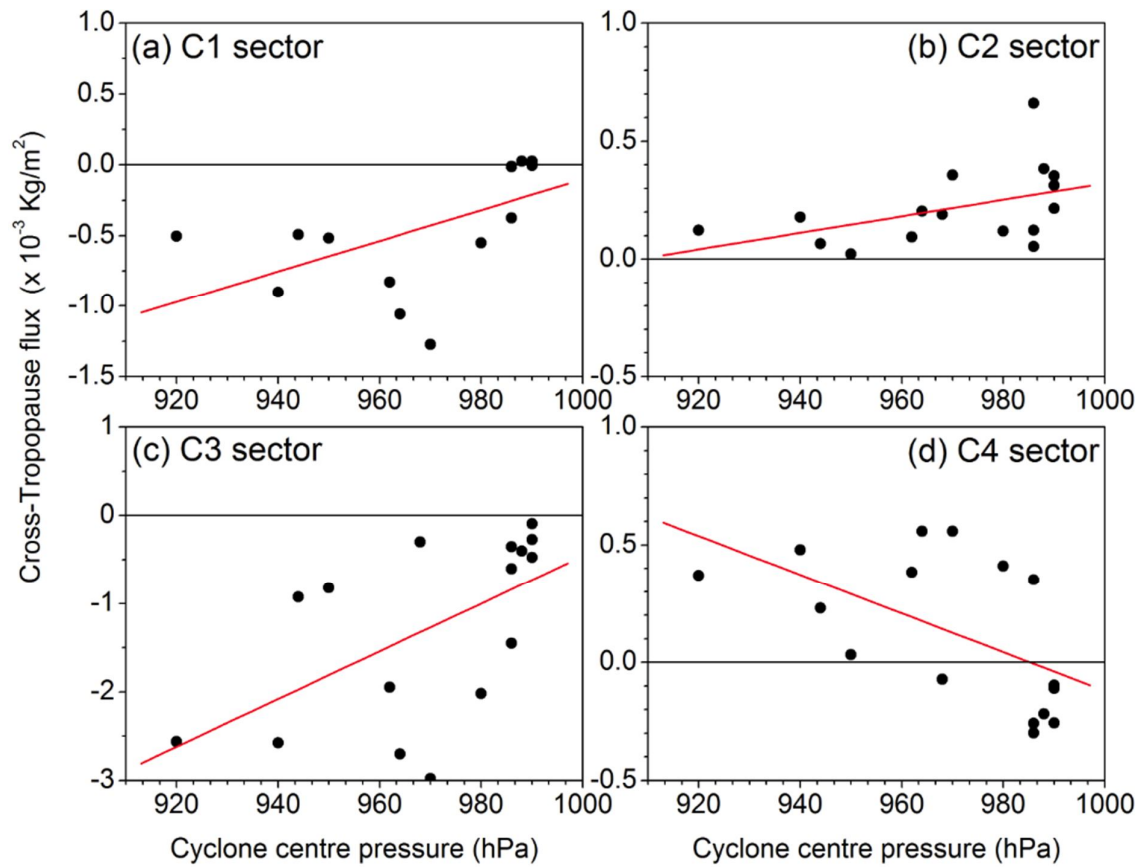


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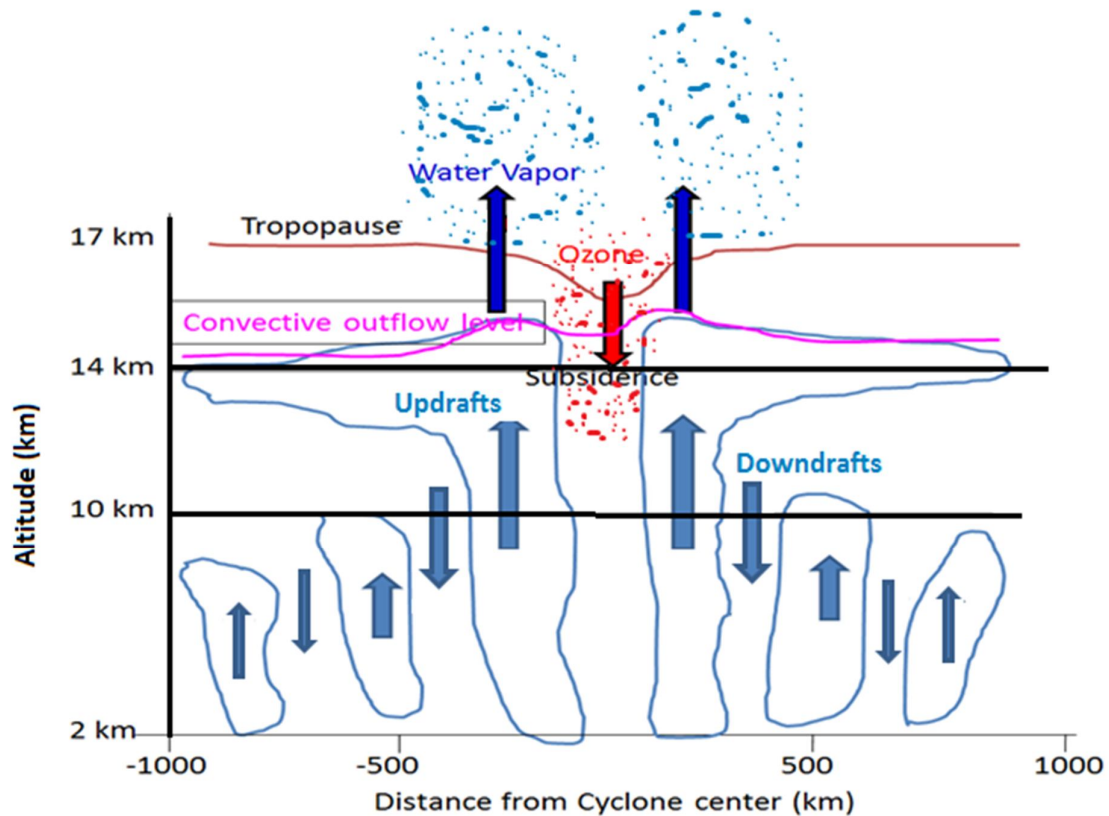


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