Replies to Reviser comments/suggestions 1 2 3 4 General comments: The paper is much better elaborated as before, however there are two 5 important issues that I recommend to improve. Reply: The authors wish to thank the reviewer for going through the manuscript again 6 and offering very precise comments/suggestions. We have provided detailed explanation 7 8 to the both the issues raised by the reviewer. 9 1) Figure 7: You wrote: Kindly note that the diagram shown in the figure 6 (now figure 7) is 10 11 a typical structure of the cyclone but not exactly what we observed in the Figures 3 or 4. 12 In that case some references related to Fig. 7 (e.g. adapted from ...) should be added which show the typical structure of a cyclone. Further, the differences between your results and the 13 typical structure of a cyclone have to be discussed. 14 Reply: Thank you very much for the suggestion. We have quoted suitable reference 15 from where we have taken basic idea of this schematic figure as suggested. The below 16

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- 17 figure from chapter 9 figure is 6 18
- 19



A' н Evewall Descending air current Ascending air curre Sea surface

Elements of a severe tropical cyclone. A-A- is schematic. NOAA photograph.

20 This is the NOAA photograph of typical structure of a tropical cyclone with updrafts 21 22 and downdrafts. The top panel is taken as schematic to show the cyclone structure with 23 additional tropopause parameters. Kindly note that the results presented in figure 4 and figure 5 is composite picture of all 16 cyclones. Therefore, it is to be noted that the 24 25 structure of tropical cyclone is not similar in all the cases.

- 26
- 27 2) MLS Data for figures 4 and 5
- 28 In your first comment you wrote on page 3, line 116-118:

- p. 6, line 135: Please add the precise time period for pre- and post-monsoon season andexplain why you exclude the monsoon season.
- 31 *Reply: Added in the revised manuscript. We also included monsoon season.*
- 32 In your second comment your wrote on page 1, line 27-34
- Further, you have added more observations and you have nicely provided background
- 34 information in tables. However, the central figures 3 and 4 seem unchanged. Is this true? Or 35 do you have to update the figures after the inclusion of the new data?
- 36 Reply: Kindly note that we have used same data set in the current version of the manuscript.
- Please go through Table 1 where we have mentioned all the cyclones during our initial
 submission itself. Thus, there is no change in the data set used in the current version and old
- 39 version. Only difference is we show them according to the season in the revised manuscript.
- 40 If I understand you right, the monsoon cases were already used in the Figures 4 and 5 of the
- ACPD version and only the text was imprecisely formulated in the ACPD version. In the
 ACPD version, only tropical cyclones in the pre and post-monsoon season were mentioned in
- 43 the text suggesting that tropical cyclones during monsoon season are not included in Fig. 4
- and 5. Therefore I am wondering that Figure 4 and 5 are the same because I thought in the
 ACPD version tropical cyclones during monsoon season are not considered. Please clarify
- 46 this issue.
- 47 Reply: We are sorry for the confusion. In our first version of the manuscript in ACPD,
 48 we have included the tropical cyclones (TCs) that are formed during monsoon months
- 48 we have included the tropical cyclones (TCs) that are formed during monsoon months 49 also in the name of '*Pre-Monsoon*'. In the revised version we have segregated all the 16
- 50 TCs according with season. We request the revised version we have segregated an the ro
- 51 earlier ACPD version entitled as 'Table 1. Cyclone name, cyclone Intensity (CI), centre
- 52 latitude, centre longitude, estimated central pressure and estimated cross-tropopause mass
- 53 flux with respect to cyclone centre for C1 (NW side), C2 (NE side), C3 (SW side) and C4
- 54 (SE side), respectively'. We have clearly mentioned about the TCs that are occurred 55 during monsoon season (03B, Gonu and Phet). In first version, we added the precise
- time for only pre-monsoon and post monsoon seasons. In the revised version, we have provided the precise time period for each season, therefore we mentioned in the replies as the monsoon season also included.
- Thus, no additional data has been included in the revised version and thus there will not be any change in the figure 4 and 5. The only difference between first version and second version of the manuscript is segregating the same number of 16 cases according to the season wise but number of cases i.e., 16 cyclones remain same. Hope we have clarified this issue now.
- We once again thank the reviewer for going through the manuscript carefully and
 brining out to our notice the errors/mistakes for improving the manuscript content
 significantly.
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76	Effect of tropical cyclones on the Stratosphere-Troposphere Exchange
77	observed using satellite observations over north Indian Ocean
78	
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91 Abstract

92 Tropical cyclones play an important role in modifying the tropopause structure and 93 dynamics as well as stratosphere-troposphere exchange (STE) processes in the Upper 94 Troposphere and Lower Stratosphere (UTLS) region. In the present study, the impact of 95 cyclones that occurred over the North Indian Ocean during 2007-2013 on the STE processes 96 is quantified using satellite observations. Tropopause characteristics during cyclones are 97 obtained from the Global Positioning System (GPS) Radio Occultation (RO) measurements 98 and ozone and water vapor concentrations in the UTLS region are obtained from Aura-99 Microwave Limb Sounder (MLS) satellite observations. The effect of cyclones on the 100 tropopause parameters is observed to be more prominent within 500 km from the centre of 101 the tropical cyclone. In our earlier study, we have observed decrease (increase) in the 102 tropopause altitude (temperature) up to 0.6 km (3K) and the convective outflow level 103 increased up to 2 km. This change leads to a total increase in the tropical tropopause layer 104 (TTL) thickness of 3 km within the 500 km from the centre of cyclone. Interestingly, an 105 enhancement in the ozone mixing ratio in the upper troposphere is clearly noticed within 500 106 km from cyclone centre, whereas the enhancement in the water vapor in the lower 107 stratosphere is more significant on south-east side extending from 500-1000 km away from 108 the cyclone centre. The cross-tropopause mass flux for different intensities of cyclones are 109 estimated and found that the mean flux from the stratosphere to the troposphere for cyclonic storms is 0.05±0.29x10⁻³ kgm⁻² and for very severe cyclonic storms it is 110 $0.5\pm1.07 \times 10^{-3}$ kgm⁻². More downward flux is noticed in the north-west and south-west side of 111 112 the cyclone centre. These results indicate that the cyclones have significant impact in effecting the tropopause structure, ozone and water vapor budget and consequentially the 113 114 STE in the UTLS region.

115 (Keywords: Tropical cyclone, tropopause, ozone, water vapor, STE processes.)

116 **1. Introduction**

117 The tropical cyclones with deep convective synoptic scale systems persisting for a 118 few days to week, play an important role on the mass exchange between the troposphere and 119 the stratosphere, and vice versa (Merril, 1998; Emmanuel, 2005). They transport large 120 amount of water vapor, energy and momentum to the upper troposphere and lower 121 stratosphere (UTLS) region (Ray and Rosenlof, 2007). Cyclones provide favorable conditions 122 for entry of the water vapor-rich and ozone-poor air from surface to the lower stratosphere 123 (LS) and dry and ozone-rich air from the LS to the upper troposphere (UT) leading to the 124 stratosphere-troposphere exchange (STE) (Romps and Kuang 2009; Zhan and Wang, 2012; 125 Vogel et al., 2014). These exchanges occur mainly around the tropopause and change the 126 thermal and chemical structure of the UTLS region. The concentration of the water vapor 127 transported from troposphere to stratosphere is controlled by the cold temperatures present at 128 the tropopause and this is a major factor in the STE (Fueglistaler et al., 2009). As a 129 consequence, the STE events play an important role in controlling the ozone in the UTLS 130 region, which will affect the radiation budget of the Earth atmosphere (Intergovernmental 131 Panel on Climate Change, 1996).

132 Water vapor has major consequences for the radiative balance and heat transport in 133 the atmosphere. Enhanced ozone loss is a secondary effect of increasing water vapor.(Rind 134 and Lonergan, 1995; Forster and Shine, 1999; Dvortsov and Solomon, 2001; Forster and 135 Shine, 2002; Myhre et al., 2007; Intergovernmental Panel on Climate Change, 2007). Even 136 very small changes in lower stratospheric water vapor could affect the surface climate (Riese 137 et al., 2012). Soloman et al. (2010) reported the role of stratospheric water vapor in the global 138 warming.LS water vapor plays an important role on the distribution of ozone in the lower 139 stratosphere (Shindell, 2001). It is important contributor for long-term change in the LS 140 temperatures (Maycock et al., 2014).

In general, most of the air enters into the stratosphere over the tropics (Brewer, 1949; Dobson, 1956). As suggested by Newell and Gould-Stewart (1981), Bay-of-Bengal (BoB) is one of the active regions where troposphere air enters into the stratosphere. It is also one of the active regions for the formation of deep convection associated cyclones which contains strong updrafts. Earlier studies have shown a close relationship between cyclones and moistening of the upper troposphere (Wang et al., 1995; Su et al., 2006; Ray and Rosenlof, 2007).

148 Several studies have been carried out related to water vapor, ozone transport as well 149 as STE processes around the UTLS region during cyclones. Koteswaram (1967) described 150 the thermal and wind structure of cyclones in the UTLS region with the major findings of 151 cold core persisting just above the 15 km and the outflow jets very close to the tropopause. 152 Penn (1965) reported enchantment in ozone and warmer air situated above the tropopause 153 over the eye region during hurricane Ginny. Danielsen (1993) reported on troposphere-154 stratosphere transport and dehydration in the lower tropical stratosphere during cyclone 155 period. Baray et al. (1999) studied the STE during cyclone Marlene and they observed 156 maximum of ozone change at 300 hPa level. Zou and Wu (2005) observed the variations of 157 columnar ozone in different stages of hurricane by using satellite measurements. Bellevue et 158 al. (2007) observed increase in ozone concentration in the upper troposphere during Tropical 159 Cyclone (TC) event. Significant contribution of cyclones on hydration of the UT is reported 160 by Ray and Rosenlof (2007) and injection of tropospheric air into the low stratosphere due to 161 overshooting convection by cyclones is reported by Romps and Kuang (2009). Das (2009) 162 and Das et al. (2016) have studied the stratospheric intrusion into troposphere during the 163 passage of cyclone by using Mesosphere-Stratosphere-Troposphere (MST) Radar observations. Strong enhancement of ozone in the upper troposphere is observed during TCs 164 165 over BoB (Fadnavis et al., 2011). The increased ozone levels in the boundary layer as well as

near surface by as much as 20 to 30 ppbv due to strong downward transport of ozone in the 166 167 tropical convection is also observed (Betts et al., 2002; Sahu and Lal, 2006; Grant et al., 168 2008). Cairo et al. (2008) reported that the colder temperatures are observed in the Tropical 169 Tropopause Layer (TTL) region during cyclone Davina and also reported on the impact of the 170 TCs on the UTLS structure and dynamics at the regional scales. A detailed review on the 171 effect of TCs on the UTLS can be found in same report. Recently, Ravindra Babu et al. 172 (2015) reported the effect of cyclones on the tropical tropopause parameters using 173 temperature profile obtained from Constellation Observing System for Meteorology, 174 Ionosphere and Climate (COSMIC) Global Position System Radio Occultation (GPS-RO) 175 measurements. Many studies have been carried out on the role of extra tropical cyclones on 176 the STE (for example Reutter et al., 2015 and references therein) though the quantitative 177 estimates of STE provided by these case studies varied considerably. However, the vertical 178 and horizontal variation of ozone and water vapor in the UTLS region and cross-tropopause 179 flux quantification during cyclones over north Indian Ocean is not well investigated.

In the present study, we investigate the spatial and vertical variations of ozone and water vapor in the UTLS region for all the cyclones occurred over north Indian Ocean during 2007 to 2013 by using Aura-Microwave Limb Sounder (MLS) satellite observations. The effect of cyclones on the tropopause characteristics is also presented using COSMIC GPS-RO measurements. We also present the cross-tropopause mass flux estimated for each of the cyclones.

186 2. Data and Methodology

In the present study, we used Aura-MLS water vapor and ozone measurements (version 3.3) provided by the Jet Propulsion Laboratory (JPL). The version 3.3 was released in January 2011 and this updated version has change in the vertical resolution. The vertical resolution of the water vapor is in the range 2.0 to 3.7 km from 316 to 0.22 hPa and along track horizontal resolution varies from 210 to 360 km for pressure greater than 4.6 hPa. For ozone, vertical resolution is ~2.5 km and the along track horizontal resolution varies between 300 and 450 km (Livesey et al., 2011). The Aura MLS gives around 3500 vertical profiles per day and it crosses the equator at ~1:40 am and ~1:40 pm local time. For calculating the cross-tropopause mass flux, we used ERA-Interim winds obtained during cyclone period.

196 We have taken the cyclone track information data from India Meteorological Department (IMD) tropical cyclones observed best track data from year 2007-2013. During 197 198 this period, around 50 cyclones have formed over the north Indian Ocean. Due to the 199 considerable variability of cyclone life-cycles, for the present study we selected only 16 200 cyclones that lasted for more than 4 days. The tracks of all the cyclones used for the present 201 study are shown in Figure 1. Table 1 shows the classification of the cyclones over the North 202 Indian Ocean. The TCs over the north Indian ocean are classified in to different categories by 203 IMD based on their maximum sustained wind speed. There are classified as : (1) low pressure 204 when the maximum sustained wind speed at the sea surface is < 17 knots (32) 205 km/hr),(2)depression (D) at 17–27 knots (32–50 km/hr), (3) deep depression (DD) at 28–33 206 knots (51-59 km/hr), (4) cyclonic storm (CS) at 34-47 knots (60-90 km/hr), (5) severe 207 cyclonic storm (SCS) at 48–63 knots (90–110 km/hr), (6) very severe cyclonic storm (VSCS) 208 at 64–119 knots (119–220 km/hr), and (7) super cyclonic storm (SuCS) at > 119 knots (220 209 km/hr) (Pattnaik and Rama Rao, 2008). Table 2 shows the different cyclones used in the 210 present study and their maximum intensity, sustained time, and sustained time for peak 211 intensity period of the each cyclone. The mean sustained time for cyclones that occurred 212 during pre-monsoon, monsoon and post-monsoon seasons is 85.5 ± 52.4 hours, 122 ± 46.5 213 and 112.6 ± 29.47 hours, respectively. Out of the 16 cyclones, 4 cyclones (CS-1, SCS-2 and 214 VSCS-1)formed during pre-monsoon season, 3 cyclones formed during monsoon season (CS-1, VSCS-1 and SuCS-1) and 9 cyclones (CS-1, SCS-2, and VSCS-6) formed during post-215

216 monsoon season (Table 2).Depressions and deep depressions are not considered. The total 217 available MLS profiles for each cyclone that are used in the present study are listed in Table 218 2. We have 94 ± 21 mean MLS profiles for each cyclone used in the present study and when 219 segregated season wise, there are 108 ± 6 , 99 ± 21 and 88 ± 23 during monsoon, pre-monsoon 220 and post-monsoon season, respectively. The available total MLS profiles for each cyclone 221 vary with respect to sustained period of the cyclone and overall we have 1517 MLS profiles 222 within 1000 km from the cyclone centre from all the16 cyclones (Figure 2b). Since there are 223 (temporal) limitations in the satellite measurements, mean cross-tropopause flux is estimated 224 only for those cases of the cyclones that lasted for more than 4 days. However, our 225 quantification of the cross-tropopause flux will not be affected by this limitation as earlier 226 studies revealed that the maximum STE occurs during mature to peak stage of cyclone. 227 Details on the selection of 16 cyclones are presented in Ravindra Babu et al. (2015). In Figure 228 1, different colors indicate different categories of the cyclones.

229

2.1. Tropopause characteristics observed during cyclones

230 As mentioned earlier, in the tropical region the amount of water vapor transported into 231 the lower stratosphere from the troposphere is controlled by the cold tropical tropopause 232 temperatures (Fueglistaler et al., 2009).Large convection around the eye of the cyclone and 233 strong updrafts near the eye-walls transports large amount of water vapor into the lower 234 stratosphere through the tropopause. In this way, cyclones will affect the tropopause structure 235 (altitude/temperature). Thus, before quantification of STE, we show the tropopause 236 characteristics observed during the TCs. We used post-processed products of level 2 dry 237 temperature profiles with vertical resolution around 200 m provided by the COSMIC Data 238 Analysis and Archival Center (CDAAC) for estimating the tropopause parameters during 239 cyclones period from 2007-2013. COSMIC GPS-RO is a constellation of six microsatellites equipped with GPS receivers (Anthes et al., 2008). We also used CHAllenging Minisatellite 240

Payload (CHAMP) GPS-RO data that are available between the years 2002 to 2006 and
COSMIC data from 2007-2013 for getting background climatology of tropopause parameters
over the north Indian Ocean.

244 Climatological mean of all the tropopause parameters are obtained by combining 245 GPS-RO measurements obtained from CHAMP and COSMIC (2002-2013). The tropopause 246 parameters include cold-point tropopause altitude (CPH) and temperature (CPT), lapse rate 247 tropopause altitude (LRH) and temperature (LRT) and the thickness of the tropical 248 tropopause layer (TTL), defined as the layer between convective outflow level (COH) and 249 CPH and are calculated for each profile of GPS-RO collected during the above mentioned 250 period. First, we separated the available RO profiles with respect to distance away from the 251 cyclone centre around 1000 km for individual cyclone for each day of the respective cyclone. 252 After separating, we calculated the tropopause parameters as mentioned above for each RO 253 profile. Total number of occultations used in the present study is shown in Figure 2(a). Then 254 we separated the tropopause parameters with respect to the different cyclone intensity. After 255 estimating the tropopause parameters for all the 16 TCs with respect to different intensity, cyclone-centre composite of all tropopause parameters is obtained. After careful analysis, it is 256 257 found that there is no much variation in the tropopause parameters observed between D and 258 DD, and between CS and SCS, and thus they are combined to DD and CS, respectively. To 259 quantify the effect of the TCs on the tropopause characteristics, the climatological mean is 260 removed from the individual tropopause parameters. The climatological mean tropopause 261 parameters is estimated from the temperature profiles obtained by using GPS-RO data from 262 2002-2013. We also calculated the difference of tropopause parameters for different cyclone 263 intensities (Figures are not shown). Figure 3shows the cyclone centered – composite of mean 264 difference in the tropopause parameters (CPH, LRH, CPT, LRT, COH and TTL thickness) between climatological mean (2002-2013) and individual tropopause parameters observed 265

266 during cyclones (irrespective of cyclone intensity) and the more detailed results on effect of 267 TCs on the tropopause variations and mean temperature structure in UTLS region during TCs 268 can be found in Ravindra Babu et al. (2015). We have reported that the CPH (LRH) is 269 lowered by 0.6 km (0.4 km) in most of the areas within the 500 km radius from the cyclone 270 centre and the temperature (CPT/LRT) is more or less colder or equal to the climatological 271 values from the area around 1000 km from the cyclone centre. Note that effect of cyclone can 272 be felt up to 2000 km but since the latitudinal variation also comes into picture when we 273 consider 2000 km radius, we restrict our discussion related to variability within 1000 km 274 from the cyclone centre. COH (TTL thickness) has increased (reduced) up to 2 km within 500 275 km from the cyclones and in some areas up to 1000 km. Note that this decrease in TTL 276 thickness is not only because of pushing up of the COH but also due to decrease of CPH. 277 From the above results, we concluded that the tropical tropopause is significantly affected by 278 the cyclones and the effect is more prominent within 500 km from the cyclone centre. These 279 changes in the tropopause parameters are expected to influence water vapor and ozone 280 transport in the UTLS region during cyclones.

281 3. Results and discussion

282 3.1. Ozone variability in the UTLS region during cyclones

283 To see the variability and the transport of ozone during the passage of cyclones, we 284 investigate the spatial and vertical variability of ozone in the UTLS region using MLS 285 satellite observations. As mentioned in Section 2.1, we also separated the MLS profiles based 286 on the distance from the TC centre for each day of the individual cyclone. From all the 16 287 cyclones cases, we separated the available MLS profiles with respect to distance from the 288 cyclone centre around 1000 km and also we separated the MLS profiles with respect to 289 different intensities of the cyclones. Figure 4shows the normalized cyclone centered composite of mean ozone mixing ratio (OMR) observed during cyclones (irrespective of 290

291	cyclone intensity) at 82hPa, 100hPa, 121hPa, and 146 hPa pressure levels during 2007-2013.
292	Note that we have reasonable number of MLS profiles (1517) from 16 cyclones to generate
293	the meaningful cyclone-centre composite of ozone. Black circles are drawn to show distances
294	250 km, 500 km, 750 km and 1000 km away from cyclone center. Since large variability in
295	OMR is noticed from one pressure level to other, we normalized the values to the highest
296	OMR value at a given pressure level. The highest OMR values at 82 hPa, 100 hPa, 121 hPa
297	and 146 hPa pressure levels is 0.38 ppmv, 0.28 ppmv, 0.19 ppmv and 0.13 ppmv,
298	respectively. Large spatial variations in the OMR are observed with respect to the cyclone
299	centre. At 82 hPa, higher OMR (~0.4 ppmv) in the South-West (SW) side up to 1000 km and
300	comparatively low OMR values (~0.2 ppmv) are noticed in the north of the cyclone centre.
301	At 100 hPa, an increase in the OMR (~0.2 ppmv) near the cyclone centre within 500 km is
302	clearly observed. This enhancement in OMR extends up to 146 hPa and is more prominent
303	slightly in the western and eastern side of the cyclone. In general, the large subsidence
304	located at the top of the cyclone centre is expected to bring lower stratospheric ozone to the
305	upper troposphere. This might be the reason for the enhancement of ozone in the cyclone
306	centre within 500 km. Earlier several studies have reported that the intrusion of the
307	stratospheric air in to the troposphere due to the subsidence in the eye region (Penn, 1965;
308	Baray et al., 1999; Das et al., 2009; Das et al., 2015). The present results also support this
309	aspect that the detrainment of ozone reached to the 146 hPa might be due to strong
310	subsidence. Interestingly, an enhancement in OMR in south east side at 121 hPa but not
311	either at 100 hPa or at 146 hPa can be noticed which need to be investigated further. Thus, in
312	general, higher ozone concentrations are observed in cyclone centre within 500 km and
313	slightly aligned to the western side of the cyclone centre.

314 In order to quantify the impact of cyclones on UTLS ozone more clearly we have 315 obtained anomalies by subtracting the mean cyclone-centered ozone observed during 316 cyclones from the background climatology of UTLS ozone that is calculated by using the 317 total available MLS profiles from 2007-2013. Figure 4(e-h) shows the normalized mean 318 difference of cyclone-centered ozone obtained after removing the background climatology 319 values for different pressure levels shown in Figure 4(a-d). The maximum difference in OMR 320 for corresponding normalized value at 82 hPa, 100 hPa, 121 hPa and 146 hPa pressure levels 321 is -0.089 ppmv, -0.19 ppmv, -0.09 ppmv and -0.06 ppmv, respectively. Enhancement in the 322 OMR (~0.1 ppmv) up to 1000 km from the cyclone centre is observed at 82 hPa. 323 Interestingly, at 100 hPa OMR is more or less uniform throughout 1000 km from the cyclone 324 centre except ~500 km radius from the centre where significant increase of OMR (~0.2 325 ppmv) is observed. This increase in the OMR is within 500 km from cyclone centre and 326 extends up to 121 hPa. However, enhancement in OMR at 146 hPa extends up to 1000 km 327 but distributed towards eastern and western sides of cyclone centre. Thus, it is clear that the 328 detrainment of lower stratospheric ozone will reach up to 146 hPa during cyclone period due 329 to presence of strong subsidence in the cyclone centre. We also calculated the cyclone-centre 330 composite of ozone based on different cyclone intensities such as DD, SCS and VSCS. After 331 carefully going through them, we have found that this detrainment of ozone reaching up to 332 146 hPa is more in the higher intensity period of the TCs. We do not know what happens 333 below this pressure level due to limitation in the present data, however, studies (Das et al., 334 2015; Jiang et al., 2015) have shown that LS ozone can reach as low as boundary layer during 335 cyclones. It will be interesting to see the variability in the water vapor as large amount of it is 336 expected to cross the tropopause during the cyclone period and reach lower stratosphere.

337 3.2. Water vapor variability in the UTLS region during cyclones

As mentioned earlier, enormous amount of water vapor is expected to be pumped from lower troposphere to the upper troposphere and even it can penetrate into the lower stratosphere during cyclones. To see the linkage between tropopause variability and the 341 transport of water vapor during cyclones, we investigated the horizontal and vertical 342 variability of water vapor in the UTLS region using MLS satellite observations. Figure 343 5shows the normalized cyclone centered – composite of mean water vapor mixing ratio 344 observed during cyclones (irrespective of cyclone intensity) at 82hPa, 100hPa, 121hPa, and 345 146 hPa pressure levels observed by MLS during 2007-2013. Black circles are drawn to 346 shown the 250 km, 500 km, 750 km and 1000 km away from cyclone center. The highest Water Vapor Mixing Ratio (WVMR)values for corresponding normalized value at 82 hPa, 347 348 100 hPa, 121 hPa, and 146 hPa pressure levels is 4.44 ppmv, 4.49 ppmv, 6.9 ppmv and 16.03 349 ppmv, respectively. Significantly higher WVMR values are noticed extending from 500 km 350 up to 1000 km from the cyclone centre at 121 (~6.5 ppmv), 146 hPa (~15 ppmv) levels with 351 more prominence in the eastern side of the cyclon ecentre. Comparatively low values are 352 noticed in the centre of the cyclone, especially at 121 hPa. These results are comparing well 353 with higher WVMR observed in the eastern side of cyclones over Atlantic and Pacific Oceans 354 (Ray and Rosenlof, 2007). These results also compare well with those reported by Ravindra 355 Babu et al. (2015) where they used GPS-RO measured relative humidity and found 356 enhancement in RH in the eastern side of the centre in the upper troposphere (10-15 km) over 357 north Indian Ocean. The higher WVMR values are observed in the eastern side of the cyclone 358 centre might be due to the upper level anti-cyclonic circulation over the cyclones. It is 359 interesting to note that high WVMR lies not at the centre but extend from 500 to 1000 km 360 from the centre of cyclone. The WVMR show high at 121 and 146 hPa than at 100 and 82 361 hPa. It seems less water vapor has been transported to 100 and 82 hPa from below. As we 362 know, water vapor mostly origin from lower troposphere and decreasing with height. So 363 vertical transport of water vapor from the lower troposphere to the UTLS may lead to water vapor enhanced at 121 and 146 hPa and some time it reaches to higher altitudes. The higher 364

WVMR presented at 100 and 82 hPa levels show the signature of the tropospheric air entering even in to the lower stratosphere during cyclones.

367 In order to quantify the impact of cyclones on the UTLS water vapor more clearly, we 368 have obtained anomalies by subtracting the mean cyclone-centered water vapor observed 369 during cyclones from the background climatology mean of UTLS water vapor. Figure 5(e-h) 370 shows the normalized mean difference of the cyclone-centered WVMR obtained after 371 removing the background climatology values for different pressure levels shown in Figure 372 5(a-d). The maximum difference in WVMR for corresponding normalized values at 82 hPa, 373 100 hPa, 121 hPa, and 146 hPa pressure levels is -0.44 ppmv, -0.81 ppmv, -2.55 ppmv and -374 9.09 ppmv, respectively. More than 7 ppmv differences are observed at 146 hPa within the 375 1000 km from the centre and at 121 hPa difference of ~ 2 ppmv is noticed extending up to 376 2000 km (figure not shown) in the eastern side of the centre. At 100 hPa and 82 hPa levels, 377 the increase in the WVMR is ~ 0.8 and ~ 0.6 ppmv, respectively, and the enhancement is more 378 observed in the NE side of the cyclone centre. Thus, a clear STE is evident during the 379 cyclone over north Indian Ocean where a clear enhancement in the water vapor (ozone) in the 380 lower stratosphere (upper troposphere) is observed. For quantifying the amount of STE, we 381 calculated the cross-tropopause mass flux for each cyclone by considering the spatial extent 382 within the 500 km from the cyclone centre and results are presented in the following sub-383 section.

384 3.3. Cross tropopause flux observed during cyclones

We adopted method given by Wei (1987) to estimate the cross tropopause mass flux, *F. F* is defined as:

387
$$F = \frac{1}{g} \left(-\omega + V_h \cdot \nabla P_{tp} + \frac{\partial P_{tp}}{\partial t} \right) = \left(-\frac{\omega}{g} + \frac{1}{g} V_h \cdot \nabla P_{tp} \right) + \frac{1}{g} \frac{\partial P_{tp}}{\partial t} = F_{AM} + F_{TM}$$
(1)

where ω is the vertical pressure-velocity, V_h is the horizontal vector wind, P_{tp} is the pressure at the tropopause, g is the acceleration due to gravity, F_{AM} is the air mass exchange due to horizontal and vertical air motions, F_{TM} is the air mass exchange due to tropopause motion.

391 The wind information is taken from ERA-Interim, and the tropopause temperature and 392 pressure within 500 km from the cyclone centre is estimated from COSMIC GPS-RO 393 measurements (Ravindra Babu et al., 2015). These values are considered for the maximum 394 intensity day for each of the 16 cyclones and the respective cross tropopause flux is 395 estimated. Since the above mentioned results showed that the higher OMR values are 396 observed in the west and NW side and more water vapor is located at the eastern side of the 397 cyclone centre, we separated the area into 4 sectors with respect to cyclone centre as C1 (NW 398 side), C2 (NE side), C3 (SW side), and C4 (SE side), respectively as shown in Figure 4(a). List of cyclones used in the present study with their names, cyclone intensity (CI), centre 399 400 latitude, centre longitude, minimum estimated central pressure on their peak intensify day are 401 provided in Table 3. The total flux F (equation 1) depends on the air mass exchange due to 402 horizontal and vertical air motion (F_{AM}) , and the air mass exchange due to tropopause motion 403 itself (F_{TM}). Since number of COSMIC GPS-RO measurements are not sufficient to estimate the second term (F_{TM}) for each event, we calculated only the first part of the equation (F_{AM}) 404 405 individually for each of cyclone with respect to different sectors mentioned above and the 406 values are presented in Table 3. However, we roughly estimated the contribution of second 407 term by assuming change in the tropopause pressure by 0.5 hPa increase (decrease) within 6 hr and could see cross-tropopause flux for CS is $0.25\pm0.07 \times 10^{-3} \text{ kgm}^{-2}\text{s}^{-1}$ (-0.36±0.07×10⁻³ 408 kgm⁻²s⁻¹) and for VSCS it is $-0.24\pm0.3 \times 10^{-3}$ kgm⁻²s⁻¹ ($-0.85\pm0.3 \times 10^{-3}$ kgm⁻²s⁻¹). If there is 409 change in the tropopause pressure by 1 hPa increase (decrease), the flux for CS is 410 0.55±0.07x10⁻³ kgm⁻²s⁻¹ (-0.66±0.07x10⁻³ kgm⁻²s⁻¹) and for VSCS it is 0.06±0.3x10⁻³ kgm⁻²s⁻¹ 411 $(-1.16\pm0.3 \times 10^{-3} \text{ kgm}^{-2} \text{s}^{-1}).$ 412

Figure 6 shows the cross-tropopause flux estimated in each sector from the centre of 413 414 the cyclone for the different cyclone intensities (estimated based on the cyclone centre 415 pressure). Red lines show the best fit. It clearly shows that the downward flux is always more 416 in C1 and C3 sectors, whereas C2 sector show more upward flux. The flux itself varies with 417 the cyclone intensity and it is found that the increase in downward flux as the cyclone centre 418 pressure decreases particularly forC1 and C3 sectors. Whereas, in C4 sector, increase in the 419 upward flux is seen as the cyclone intensity increases but always upward in C2 sector, 420 irrespective of the cyclone intensity. The second term (in equation 1) itself corresponds the 421 air mass exchange from the tropopause motion and generally during cyclone period there is 422 an ~400 m difference in tropopause altitude (LRH) within 500 km from the centre of the 423 cyclone (Figure 3). Thus, the spatial and temporal variation of the tropopause during the 424 cyclones itself is very important for to decide the flux as downward or upward. Interestingly, 425 C1 and C3 sectors of cyclone show dominant downward mean flux and C2 and C4 sectors show dominant upward mean flux with the values of $0.4\pm0.4\times10^{-3}$ kgm⁻², $1.2\pm1.0\times10^{-3}$ kgm⁻², 426 $0.2\pm0.1\times10^{-3}$ kgm⁻² and $0.12\pm0.3\times10^{-3}$ kgm⁻², respectively. These results strongly support our 427 428 findings of higher ozone in the NW and SW sides and higher water vapor in the NE side of the cyclone centre. The mean flux is observed to vary with the intensity of the cyclone. Mean 429 flux for the severe cyclonic storms (CS) is $-0.05\pm0.29\times10^{-3}$ kgm⁻² whereas for very severe 430 cyclonic storms (VSCS) it is $-0.5\pm1.07\times10^{-3}$ kgm⁻². Reutter et al. (2015) reported the upward 431 432 and downward mass fluxes across the tropopause are more dominant in a deeper cyclones 433 compared to a less intense cyclones over the North Atlantic. Our results are comparable with their results with the averaged mass flux of the stratosphere to troposphere as 0.3×10^{-3} kgm⁻² 434 s^{-1} (340 kgkm⁻² s⁻¹) in the vicinity of cyclones over the North Atlantic Ocean. They also 435 436 reported that the more transport across the tropopause occurred in the west side of the

437 cyclone centre during intensifying and mature stages of the cyclones over the North Atlantic438 region.

439 4. Summary and conclusions

440 In this study, we have investigated the vertical and spatial variability of ozone and 441 water vapor in the UTLS region during the passage of cyclones occurred between 2007 and 442 2013 over the North Indian Ocean by using Aura-MLS satellite observations. In order to 443 make quantitative estimate of the impact of cyclones on the ozone and water vapor budget in 444 the UTLS region, we removed the mean cyclone-centre ozone and water vapor from the 445 climatological mean calculated using MLS data from 2007 to 2013. We estimated the mean 446 cross- tropopause flux for each of the cyclones on their peak intensity day. The main findings 447 are summarized below.

- Lowering of the CPH (0.6 km) and LRH (0.4 km) values with the coldest CPT and
 LRT (2–3 K) within a 500 km radius from the cyclone centre is noticed. Higher (2
 km) COH leading to the lowering of TTL thickness (~3 km) is clearly observed
 (Ravindra Babu et al., 2015).
- 452 2. The impact of cyclones on ozone and the tropopause (altitude/temperature) is more
 453 prominent within 500 km from the cyclone centre, whereas it is high from 500 km to
 454 1000km in case of water vapor.
- 3. Detrainment of ozone is highest in the cyclone centre (within 500 km from the centre)
 due to strong subsidence over top of the cyclone centre and this detrained ozone
 reaches as low as 146 hPa level (~13-14 km).
- 4584. The detrainment of ozone is more in the higher intensity period (SCS or VSCS) of the459459 cyclone compared to the low intensity (D or DD).
- 460 5. Interestingly, significant enhancement in the lower stratospheric (82 hPa) water vapor
 461 is noticed in the east and southeast side from the cyclone centre.

6. Dominant downward [upward] cross-tropopause flux is observed in C1 (NW) and C3 463 (SW) [C2 (NE) and C4 (SE)] sectors of the cyclone.

464 Figure 7 shows the typical structure (not to scale) of the TC along with convective towers,* updrafts, downdrafts which above mentioned tropopause variability with respect to cyclone 465 466 centre in the form of the schematic diagram. This figure is re-drawn from the basic idea 467 given in Chapter 9 and figure 6 of www.geology.sdsu.edu. The results presented in Figure 4 468 and Figure 5 is composite picture of all 16 cyclones. Therefore, it is to be noted that the 469 structure of tropical cyclone is not similar in all the cases. The tropopause altitude (CPH) is 470 lowered by 0.6 km within 500 km from the centre of the cyclone. The convective out flow 471 level (COH) slightly pushes up (~ 2 km) with in 500 km from the centre of the cyclone but not 472 exactly in the centre. Thus, a decrease of about 3 km in the TTL thickness is observed within 473 the 500 km from the cyclone centre. Cyclone includes eye that extends from few km to 10's 474 of kilometers. Strong convective towers with strong updrafts extending up to the tropopause 475 in the form of spiral bands extending from 500 to 1000 km are present. Strong water vapor 476 transport in to the lower stratosphere (82 hPa) while pushing up the COH is observed around 477 these spiral bands in the present study. Between these spiral bands equal amount of 478 subsidence is expected with strong subsidence existing at the centre of the cyclone. 479 Significant detrainment of ozone present above or advected from the surroundings is 480 observed reaching as low as 146 hPa at the cyclones centre. Thus, it is clear that ozone 481 reaches upper troposphere from lower stratosphere through the centre of the cyclone, whereas 482 water vapor transport in to the lower stratosphere will happen from the 500 to 1000 km from 483 the cyclones centre. Since more intense cyclones are expected to occur in a changing climate 484 (Kuntson et al., 2010), the amount of water vapor and ozone reaching to the lower 485 stratosphere and upper troposphere, respectively, is expected to increase thus affecting complete tropospheric weather and climate. Future studies should focus on these trends. 486

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487 Acknowledgements: We would like to thank COSMIC Data Analysis and Archive Centre 488 (CDAAC) for providing GPS-RO data used in the present study through their FTP site 489 (http://cdaac-www.cosmic.ucar.edu/cdaac/products.html). The provision of tropical cyclone 490 best track data used in the present study by IMD through their website (http://www.imd.gov.in/section/nhac/dynamic/cyclone.htm) and Aura-MLS observations 491 492 obtained from the GES DISC through their ftp site (https://mls.jpl.nasa.gov/index-eos-493 mls.php) is highly acknowledged. This work is supported by Indian Space Research 494 Organization (ISRO) through CAWSES India Phase-II Theme 3programme. The authors 495 would like to thank the Editor Dr. Rolf Müller, and two anonymous reviewers whose 496 comments helped considerably in improving the quality of this paper

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639 Figure captions:

Figure 1. Tropical cyclone tracks of different categories (cyclonic storm (CS, blue color),
severe cyclonic storm (SCS, orange color), very severe cyclonic storm (VSCS, red color)
and super cyclonic storm (SuCs, magenta color)) that occurred over North Indian Ocean
during 2007 - 2013.

Figure 2. Cyclone-centred composite of total available (a) COSMIC GPS RO occultationsand (b) MLS profiles obtained from all the 16 cyclones that are used in the present study.

Figure 3. Cyclone centered – composite of mean difference in the tropopause parameters
between climatological mean (2002-2013) and individual tropopause parameters observed
during cyclones(irrespective of cyclone intensity) in (a) CPH (km), (b) LRH (km), (c) CPT
(K), (d) LRT (K), (e) COH (km) and (f) TTL thickness (km). Black circles are drawn to
show the 250 km, 500 km, 750 km and 1000 km away from cyclone center.

Figure 4. Normalized cyclone centered – composite of mean ozone mixing ratio observed during cyclones (irrespective of cyclone intensity) at (a) 82hPa, (b) 100hPa, (c) 121hPa, (d) 146 hPa levels by MLS during 2007-2013. (e) to (h) same as (a) to (d) but for normalized mean difference in the ozone mixing ratio between climatological mean (2007-2013) and individual events. Black circles are drawn to show the 250 km, 500 km, 750 km and 1000 km away from cyclone center. Sectors showing C1 (NW), C2 (NE), C3 (SW) and C4 (SE) are also shown in (a).

Figure 5. Same as Fig. 4, but for water vapor mixing ratio.

Figure 6. Cross-tropopause flux estimated in the (a) C1 (NW), (b) C2 (NE), (c) C3 (SW), and
(d) C4 (SE) sectors from the centre of cyclone for different cyclone intensities (estimated
based on cyclone centre pressure). Red lines show the best fit.

Figure 7. Schematic diagram showing the variability of CPH (brown color line) and COH
 (magenta color line) with respect to the centre of cyclone. Spiral bands of convective

towers reaching as high as COH are shown with blue color lines. Light blue (red) color up
(down) side arrow shows the up drafts (downdrafts/subsidence). Thickness of the arrows
indicates the intensity.

667

668 Table captions:

669 **Table1**.Classification of cyclonic systems over the north Indian Ocean.

670 Table 2. Tropical cyclones occurred during different seasons, cyclone name, cyclone

671 Intensity (CI), cyclone period, total sustained time, Sustained time with maximum intensity

and total number of available MLS profiles

Table 3. Cyclone name, cyclone Intensity (CI), centre latitude, centre longitude, estimated
 central pressure and estimated cross-tropopause mass flux with respect to cyclone centre

675 for C1 (NW side), C2 (NE side), C3 (SW side) and C4 (SE side), respectively.

Tables:

Intensity of the system	Maximum sustained surface winds (knots)				
	at sea (1 knot =0.5144 m/s)				
Low pressure area	<17				
Depression	17–27				
Deep depression (DD)	28–33				
Cyclonic storm (CS)	34-47				
Severe cyclonic storm (SCS)	48-63				
Very severe cyclonic storm (VSCS)	64–119				
Super cyclonic storm (SuCS)	>119				

Table 2. Tropical cyclones occurred during different seasons, cyclone name, cyclone
Intensity (CI), cyclone period, total sustained time, Sustained time with maximum intensity
and total number of available MLS profiles.

				Sustained		
		Cyclone Intensity	Cyclon e Period (days)	Total Sustained time	Time with maximum intensity	Total available MLS profiles
Season	Cyclone Name	(CI)		(hours)	(hours)	
	03B(2007)	CS	>4	75	6	104
Monsoon	PHET (2010)	VSCS	>4	168	42	116
(JJA)	Gonu (2007)	ScCS	>4	123	72	105
	Mahasen(2013)	CS	>4	24	24	119
Pre-	Aila (2009)	SCS	4	72	9	79
Monsoon	Laila (2010)	SCS	4	96	27	82
(MAM)	Nargis (2008)	VSCS	>4	150	87	118
	Nilam (2012)	CS	>4	102	36	52
	Jal (2010)	SCS	4	99	30	75
Post-	Helen (2013)	SCS	4	78	30	72
Monsoon	Giri (2010)	VSCS	4	66	15	65
(SON)	Phailin (2013)	VSCS	>4	147	66	111
	Leher (2013)	VSCS	>4	114	36	111
	SIDR (2007)	VSCS	>4	138	72	114
Winter	Madi (2013)	VSCS	>4	150	36	104
(DJF)	Thane (2011)	VSCS	>4	120	36	90

Table 3. Cyclone name, cyclone Intensity (CI), centre latitude, centre longitude, estimated
central pressure and estimated cross-tropopause mass flux with respect to cyclone centre
for C1 (NW side), C2 (NE side), C3 (SW side) and C4 (SE side), respectively.

Cyclone	CI	Centre Latitude	Centre Longitude	Estimated Central Pressure (hPa)	Cl	C2	C3	C4
03B	CS	23.5	66	986 (25Jun2007)	-0.013	0.661	-0.603	-0.258
Aila	SCS	22	88	968 (25May2009)	1.90E-04	0.191	-0.299	-0.072
Helen	SCS	16.1	82.7	990 (21Nov2013)	0.025	0.216	-0.095	-0.11
Jal	SCS	11	84	988(6Nov2010)	0.025	0.384	-0.4	-0.218
Laila	SCS	14.5	81	986 (19May2010)	-0.012	0.123	-0.352	-0.299
Mahasen	CS	18.5	88.5	990 (15May2013)	-0.006	0.354	-0.473	-0.256
Nilam	CS	11.5	81	990 (31Oct2012)	0.016	0.313	-0.274	-0.097
Nargis	VSCS	16	94	962 (2May2008)	-0.828	0.094	-1.946	0.384
Giri	VSCS	19.8	93.5	950 (22Oct2010)	-0.518	0.022	-0.823	0.032
Gonu	SuCS	20	64	920 (4Jun2007)	-0.502	0.123	-2.563	0.37
Lehar	VSCS	13.2	87.5	980 (26Nov2013)	-0.55	0.119	-2.019	0.411
Madi	VSCS	13.4	84.7	986 (10Dec2013)	-0.375	0.054	-1.449	0.352
Phailin	VSCS	18.1	85.7	940 (11Oct2013)	-0.9	0.179	-2.576	0.479
Phet	VSCS	18	60.5	964 (2Jun2010)	-1.058	0.203	-2.698	0.559
SIDR	VSCS	19.5	89	944 (15Nov2007)	-0.493	0.066	-0.926	0.231
Thane	VSCS	11.8	80.6	970 (29Dec2011)	-1.272	0.356	-2.979	0.558

703 Figures:



Figure 1. Tropical cyclone tracks of different categories (cyclonic storm (CS, blue color),
severe cyclonic storm (SCS, orange color), very severe cyclonic storm (VSCS, red color)
and super cyclonic storm (SuCs, magenta color)) that occurred over North Indian Ocean
during 2007 - 2013.



713 Figure 2. Cyclone-centred composite of total available (a) COSMIC GPS RO occultations

and (b) MLS profiles obtained from all the 16 cyclones that are used in the present study.



Figure 3. Cyclone centered – composite of mean difference in the tropopause parameters
between climatological mean (2002-2013) and individual tropopause parameters observed
during cyclones (irrespective of cyclone intensity) in (a) CPH (km), (b) LRH (km), (c) CPT
(K), (d) LRT (K), (e) COH (km) and (f) TTL thickness (km). Black circles are drawn to
show the 250 km, 500 km, 750 km and 1000 km away from cyclone center (taken from
Ravindra Babu et al., ACP, 2015).





Figure 4. Normalized cyclone centered – composite of mean ozone mixing ratio observed during cyclones (irrespective of cyclone intensity) at (a) 82hPa, (b) 100hPa, (c) 121hPa, (d) 146 hPa levels by MLS during 2007-2013. (e) to (h) same as (a) to (d) but for normalized mean difference in the ozone mixing ratio between climatological mean (2007-2013) and individual events. Black circles are drawn to show the 250 km, 500 km, 750 km and 1000 km away from cyclone center. Sectors showing C1 (NW), C2 (NE), C3 (SW) and C4 (SE) are also shown in (a).



Figure 5. Same as Fig. 4, but for water vapor mixing ratio.







Figure 7. Schematic diagram showing the variability of CPH (brown color line) and COH
(magenta color line) with respect to the centre of cyclone. Spiral bands of convective
towers reaching as high as COH are shown with blue color lines. Light blue (red) color up
(down) side arrow shows the up drafts (downdrafts/subsidence). Thickness of the arrows
indicates the intensity. This figure is re-drawn from the basic idea given in figure 6 of
www.geology.sdsu.edu/visualgeology/naturaldisasters/Chapter9Cyclones.pdf.