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A biogenic CO₂ flux adjustment scheme for the mitigation of large-scale biases in global atmospheric CO₂ analyses and forecasts

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Abstract

Forecasting atmospheric CO₂ daily at the global scale with a good accuracy like it is done for the weather is a challenging task. However, it is also one of the key areas of development to bridge the gaps between weather, air quality and climate models. The challenge stems from the fact that atmospheric CO₂ is largely controlled by the CO₂ fluxes at the surface, which are difficult to constrain with observations. In particular, the biogenic fluxes simulated by land surface models show skill in detecting synoptic and regional-scale disturbances up to sub-seasonal time-scales, but they are subject to large seasonal and annual budget errors at global scale, usually requiring a posteriori calibration. This paper presents a scheme to diagnose and mitigate model errors associated with biogenic fluxes within an atmospheric CO₂ forecasting system. The scheme is an adaptive calibration referred to as Biogenic Flux Adjustment Scheme (BFAS) and it can be applied automatically in real time throughout the forecast. The BFAS method improves the continental budget of CO₂ fluxes in the model by combining information from three sources: (1) retrospective fluxes estimated by a global flux inversion system, (2) land-use information, (3) simulated fluxes from the model. The method is shown to produce enhanced skill in the daily CO₂ 10-day forecasts without requiring continuous manual intervention. Therefore, it is particularly suitable for near-real-time CO₂ analysis and forecasting systems.

1 Introduction

Earth-observing strategies focusing on carbon cycle systematic monitoring from satellites and in situ networks (Ciais et al., 2014; Denning et al., 2005) are leading to an increasing number of near-real-time observations available to systems such as those developed in the framework of the European Union Copernicus Atmosphere Monitoring Service (CAMS). CAMS uses the Numerical Weather Prediction (NWP) Integrated Forecasting system (IFS) of the European Centre for Medium range Weather Forecasts

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(ECMWF) to produce near-real-time global atmospheric composition analysis and forecasts, including CO₂ (Agustí-Panareda et al., 2014) along with other environmental and climate relevant tracers (Flemming et al., 2009; Morcrette et al., 2009; Massart et al., 2014).

The present monitoring of global atmospheric CO₂ relies on observations of atmospheric CO₂ from satellites – e.g. Greenhouse Gases Observing Satellite (GOSAT, www.gosat.nies.go.jp); Orbiting Carbon Observatory 2 (OCO-2, oco.jpl.nasa.gov) – and in situ networks – e.g. National Oceanic and Atmospheric Administration Earth System Research Laboratory (NOAA/ESRL, www.esrl.noaa.gov/gmd); Integrated Carbon Observation System (ICOS, icos-atc.lsce.ipsl.fr); Environment Canada (www.ec.gc.ca/mges-ghgm) – which are assimilated by global tracer transport models to infer changes in atmospheric CO₂ (e.g. Massart et al., 2015) or by flux inversion systems (e.g. Peylin et al., 2013) to estimate the large-scale surface fluxes of CO₂.

The current CAMS CO₂ analysis is produced by assimilating CO₂ data retrieved from GOSAT by the University of Bremen (Heymann et al., 2015), as well as all the meteorological data that is routinely assimilated in the operational meteorological analysis at ECMWF. Massart et al. (2015) have shown that the atmospheric data assimilation system alone cannot completely remove the biases in the background atmospheric CO₂ associated with the accumulation of errors in the CO₂ fluxes from the model. This happens because currently the CO₂ surface fluxes in the IFS data assimilation system cannot be constrained by observations. In this paper, we present a method to reduce the atmospheric CO₂ model biases by adjusting the CO₂ surface fluxes in a near-real-time CO₂ analysis/forecasting system, such as the one used by CAMS at ECMWF.

Many different methods already exists to adjust CO₂ fluxes by using observations of atmospheric CO₂ within flux inversion systems (Rödenbeck et al., 2003; Gurney et al., 2003; Peters et al., 2007). However, these are not all suitable for the CAMS real-time monitoring system. Flux inversion systems adjust the fluxes by either inferring the model parameters in Carbon Cycle Data Assimilation Systems also known as CCDAS (Rayner et al., 2005; Scholze et al., 2007; Rayner et al., 2011), or the fluxes

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must cover more than 50% of the grid box. Model grid points with less than 50% vegetation cover are not used. The comparison of the modelled NEE with the optimised NEE fluxes is done by computing 10-day budgets for each of the 16 vegetation types (see Table 1) and 9 different regions (see Fig. 3).

2.2 Reference NEE budget

The residual NEE from optimised fluxes provides the reference for the flux adjustment scheme. Currently, there is no operational centre providing CO₂ optimised fluxes at global scale in near-real time. We have chosen to use the MACC optimised fluxes (Chevallier et al., 2010) which are delivered around September each year for the previous year. The MACC optimised CO₂ fluxes are regularly improved and their high quality has been recently shown by Kulawik et al. (2015). Chevallier (2013) provides an evaluation of the inverted CO₂ fluxes for 2010.

The computation of the residual is done by subtracting the prescribed fluxes used in the CAMS CO₂ forecast over land from the total optimised flux. The prescribed CO₂ fluxes from biomass burning and anthropogenic emissions in the CO₂ forecast are not the same as the ones used as prior fluxes in the MACC flux inversion system. Not only they are from different sources, but they are also used at different resolutions. This means that there might be fires represented in one and not the other, or with different emission intensities, as it is the case for anthropogenic hotspots at high versus low resolutions. Thus, in order to avoid the transfer of inconsistencies between the prescribed and prior fluxes into the NEE residual, the regions with very high anthropogenic emissions (larger than $3 \times 10^6 \text{ g C m}^{-2} \text{ s}^{-1}$) and fires are filtered out.

A climatology of these reference NEE fluxes is created using the last 10 available years and it is updated every time a new year is available. Thus, allowing for slow decadal variations in the NEE reference. Figure 4 shows a comparison of the optimised flux budget in 2010 and its climatology for the crop vegetation type in North America. The inter-annual variability of the optimised flux budget is depicted by the standard deviation around the 10-year climatology. The reference NEE climatology is then adjusted

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to account for the inter-annual variability of the land sink fluxes as follows:

$$f^O = f^{Oclim} + \gamma \sigma \left(f^{Oclim} \right), \quad (2)$$

where f is the 10-day NEE budget for a specific region and vegetation type, f^O is the reference budget, f^{Oclim} and $\sigma(f^{Oclim})$ are the climatological mean and standard deviation of the optimised flux budget respectively from 2004 to 2013, and γ is the corresponding standardised anomaly of the NEE budget from the model with respect to the same period. γ can be positive or negative. It represents the inter-annual variability factor used to adjust the reference climatological NEE budget and it is given by

$$\gamma = \frac{f^M - f^{Mclim}}{\sigma(f^{Mclim})} \quad (3)$$

where f^M is the model NEE budget, f^{Mclim} is the climatological mean budget from the model and $\sigma(f^{Mclim})$ is the standard deviation of the model NEE budget denoting the typical amplitude of its inter-annual variability for the same period as the climatology of the optimised flux budget (i.e. 2004 to 2013).

The γ inter-annual variability factor is multiplied by the standard deviation of the optimised residual NEE budget – representing the typical amplitude of inter-annual variability – in order to offset the reference climatological NEE budget. In this way, the inter-annual variability of the reference NEE follows the inter-annual variability of the model NEE with the same anomaly sign, while keeping its amplitude constrained by the standard deviation of the optimised flux budget.

The computation of γ requires a model climate consistent with the forecast (i.e. same meteorological analysis, same model version and same resolution). Producing a consistent model climate is not a trivial requirement, because both the operational model version and analysis system can change frequently with new updates and new observations, and high resolution forecasts spanning a period of 10 years (i.e. 2004 to

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2013) are expensive. A feasible solution has been found where the standardised NEE anomaly from the model is computed using the operational Ensemble Prediction System (ENS) forecasts and hindcasts which are part of the ECMWF monthly forecasting system (Vitart et al., 2008; Vitart, 2013, 2014). Every Monday and Thursday the operational ENS is not only run for the actual date, but also for the same calendar day of the past 20 years. These hindcasts have the same resolution and model version as the ENS forecasts and they constitute a valuable data set used for the post-processing and calibration of the NWP forecasts from the medium-range (10 days) up to one month lead times (Hagedorn et al., 2012). The ensemble of forecasts is made of 5 members (10 members since 2015) using perturbed initial conditions (Lang et al., 2015) and stochastic physics in order to represent forecast uncertainty (Palmer et al., 2009).

As the hindcasts are not performed daily, it is not possible to aggregate consecutive 1-day forecasts into a 10-day period to compute a mean budget as shown in Fig. 2. In order to circumvent this, the mean budget is computed by averaging the 1-day forecast NEE from all the ensemble members available in the hindcasts. This is done for each year from 2004 to 2013 to preserve consistency with the NEE climatology from the optimised fluxes. The model climate f^{Mclim} given by the 10-year mean budget and its typical inter-annual variability $\sigma(f^{\text{Mclim}})$ can then be obtained by calculating the mean value and standard deviation respectively over that period. Similarly, the model budget f^{M} is calculated from the NEE ensemble mean of the ENS forecast for the current date using the same number of ensemble members as the ENS hindcasts. The standardised anomaly γ is finally obtained by subtracting the 10-year mean budget from the current budget and dividing the anomaly by the standard deviation. Since the hindcasts are available every Monday and Thursday, γ is only updated twice a week. These updates are routinely monitored during the forecast (see Sect. 4).

2.3 Partition of NEE adjustment

The final stage in the flux adjustment is the attribution of the NEE correction to the different biogenic fluxes in the model. The residual NEE from optimised fluxes only provides information on the total flux from the land ecosystem exchange. While in land vegetation models, NEE is the combination of two opposing fluxes: Gross Primary Production (GPP) and the ecosystem respiration (R_{eco}). Given that we have no information on whether the NEE error is associated with the GPP or the R_{eco} fluxes, a strategy has to be defined in order to partition the NEE correction into GPP and R_{eco} . The underlying strategy used here is to have the smallest flux adjustment possible. Namely, the scaling factors should be as close to 1 as possible.

The first step is to distinguish between the positive and negative values of the NEE scaling factor (α). A positive NEE scaling factor implies the budget of the NEE in the model has the correct sign but the wrong magnitude. In that case, the scaling of the flux will be smallest if the dominant component of NEE is scaled. That is to say, the flux correction will be applied to GPP during the growing season and to R_{eco} during the senescence period. Whereas if the scaling factor is negative – i.e. the modelled NEE has the wrong sign – only the flux with smallest magnitude is corrected (GPP or R_{eco}) to ensure the scaling factor of the modelled fluxes is always positive.

The scaling factor α is then converted into a scaling factor for the dominant component of the NEE flux. If the magnitude of GPP is larger than the magnitude of R_{eco} , then the scaling factor for GPP and R_{eco} are defined as follows:

$$\alpha_{\text{GPP}} = \frac{\alpha \text{NEE} - R_{\text{eco}}}{\text{GPP}}$$
$$\alpha_{R_{\text{eco}}} = 1.0 \tag{4}$$

in the horizontal, and 60 vertical levels. They are initialised daily at 00:00 UTC with ECMWF operational analysis, while the atmospheric CO₂ is cycled from one forecast to the next, as in a free run. The simulations span the period from 1 January to 31 December 2010. This period has been selected because of the large variety of observations available to evaluate the BFAS performance on the atmospheric CO₂ forecasts. The CO₂ initial conditions on 1 January 2010 are from the atmospheric CO₂ analysis using GOSAT CO₂ retrievals (Heymann et al., 2015).

4 Monitoring the flux adjustment

The flux adjustment is monitored by plotting time series of the flux scaling factors for each vegetation type and region. For example, Fig. 5 shows the GPP and R_{eco} scaling factors for the crop vegetation type which is present in all regions. The values range from 0.5 to 6. These coefficients are computed daily before the beginning of each forecast and they are kept constant throughout the forecast. Generally, there is a slow variation of the coefficients from one day to the next. This is expected since the coefficients are obtained from large-scale budgets computed over a 10-day period. The map of the GPP and R_{eco} scaling factors applied to adjust the modelled biogenic fluxes on 15 March 2010 is shown in Fig. 6. These maps can be very useful to monitor the flux adjustment because they can provide alerts on the regions with largest biases to model developers.

The effect of the flux adjustment on the NEE budget is shown in Fig. 7. The adjusted biogenic fluxes should always lead to an NEE budget close to the budget of the optimised NEE climatology. However, the fit will also depend on the degree of inter-annual variability of the model determined by parameter γ in Eq. (3). Figure 8 displays the monitoring of γ given by the standardised NEE anomaly of the model. Positive values mean the CO₂ source is larger than normal and/or the CO₂ sink is lower than normal with respect to the 10-year mean budget of the model, covering the same period as the reference climatology. Conversely, negative values correspond to a smaller than normal

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The use of a climatology also precludes the correction of the inter-annual variability in the model.

The aggregation criteria of budget errors can be very challenging because the error can originate from different aspects of the model. Clearly, errors in model parameters associated with vegetation type are a good candidate. However, in the future errors in climate forcing, errors in LAI, missing processes and other potential sources of error should also be considered.

The partition of the NEE flux adjustment into the modelled biogenic fluxes (GPP and R_{eco}) is currently ad-hoc, leading to the transfer of errors from GPP to R_{eco} and vice-versa. This problem could be addressed by using other independent datasets of GPP and R_{eco} (e.g. Jung et al., 2011) that contain additional information on how to partition the NEE adjustment.

6.2 BFAS for model development

BFAS can run in both online and offline modes. Thus, it can provide a tool to diagnose regions that contribute to the errors in the global budget resulting in large-scale errors of atmospheric CO_2 . The maps of biogenic flux scaling factors can be used to compute maps of flux adjustment (e.g. adjusted NEE – original NEE) which can then be used to diagnose model errors. The synthesis of the mean adjustments into monthly model biases for different vegetation types can then guide the effort to develop the carbon model further. For example, in regions where the bias is consistent between different months, the corrected NEE could be used to re-tune model parameters such as the reference ecosystem respiration or the mesophyll conductance, previously optimised by Boussetta et al. (2013) using a subset of FLUXNET data. Specific vegetation types can be identified where model improvements could be achieved by using information from BFAS. For instance, crops have the same large R_{eco} scaling (> 1.5) over all the northern hemisphere regions during winter months when the ecosystem respiration is the dominant component of NEE. This underestimation in the ecosystem respiration can be addressed by modifying the value of the reference respiration parameter used

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observations) it might be possible to obtain an optimal estimate of more local scaling factors, while still respecting the global mass constraint. The possibility of optimising the scaling factors in the DA system within the weak constraint framework (Trémolet, 2006, 2007) also needs to be explored in the future.

7 Summary

A new biogenic flux adjustment scheme (BFAS) has been developed at ECMWF to reduce large-scale biases of the ecosystem fluxes modelled by the CTESSEL carbon model. This is achieved by a simple scaling of the 10-day NEE budgets for different vegetation types and regions using a climatology of the MACC optimised fluxes (Chevallier et al., 2010) as a reference, adjusted to preserve the model inter-annual variability.

This paper shows that BFAS has a positive impact on the atmospheric CO₂ forecast by greatly reducing the atmospheric CO₂ biases in background air and improving the synoptic variability in continental regions affected by ecosystem fluxes. The improvement in the synoptic skill of the forecast is associated with underlying changes in the large-scale gradient of the NEE fluxes where optimised fluxes provide information. Because of its simplicity, adaptability to model changes and beneficial impact, BFAS has been recently implemented in the CAMS operational CO₂ forecast and analysis system. As a diagnostic tool, BFAS has also potential for model development. The use of BFAS in the data assimilation framework will be explored in the future.

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The NOAA/ESRL Global Monitoring Division data from the baseline observatories at Barrow (Alaska, USA), Mauna Loa (Hawaii, USA), American Samoa (USA), South Pole (Antarctica), as well as the tall towers at Argyle (Maine, USA), Park Falls (Wisconsin, USA) and West Branch (Iowa, USA) were obtained from ftp://aftp.cmdl.noaa.gov/data/greenhouse_gases/co2.

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Table 3. List of TCCON stations used in Fig. 10 ordered by latitude from North to South.

Site	Latitude [degrees]	Longitude [degrees]	Altitude [m a.s.l]	Reference
Sodankylä	67.37	26.63	190.0	Kivi et al. (2014)
Białystok	53.23	23.02	160.0	Deutscher et al. (2014)
Lamont	36.60	-97.49	320.0	Wennberg et al. (2014)
Wollongong	-34.41	150.88	30.0	Griffith et al. (2014)

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Table 4. Correlation coefficient of different forecast (FC) experiments (see Table 2) with observations at three NOAA/ESRL tall towers for daily mean dry molar fraction of atmospheric CO₂ in March 2010. The dash symbol means the correlation is not significant.

NOAA/ESRL Tower site (ID)	Latitude, Longitude, Altitude	Sampling level [m]	BFAS FC	CTRL FC	OPT FC	OPT-CLIM FC
Park Falls, Wisconsin (LEF)	45.95° N, 90.27° W, 472 m	30	0.843	0.338	0.794	0.797
		122	0.931	0.508	0.893	0.883
		396	0.919	–	0.875	0.881
West Branch, Iowa (WBI)	41.72° N, 91.35° W, 242 m	31	0.748	0.496	0.590	0.590
		99	0.833	0.436	0.767	0.720
		379	0.851	0.356	0.887	0.876
Argyle, Maine (AMT)	45.03° N, 68.68° W, 50 m	12	0.857	0.839	0.808	0.893
		30	0.875	0.835	0.816	0.938
		107	0.861	0.668	0.816	0.927

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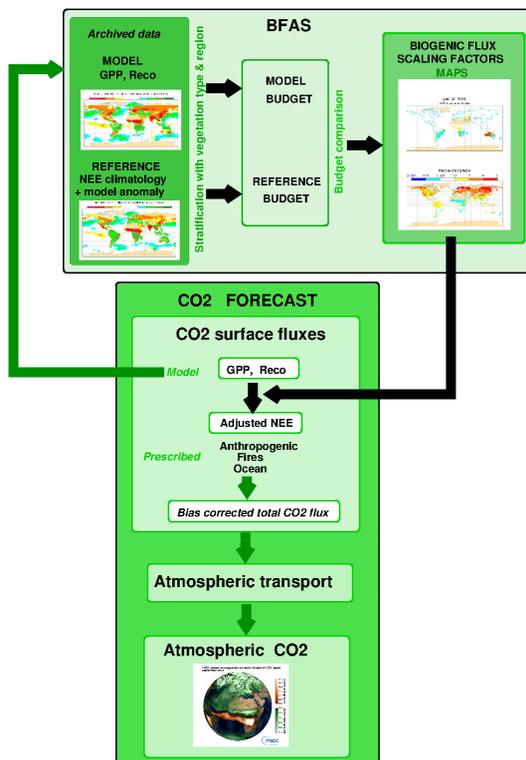


Figure 1. Schematic showing how BFAS fits in the atmospheric CO₂ forecasting system. BFAS is called before each forecast to compute the scaling factors for the model NEE (i.e. $GPP + R_{eco}$) based on the past archived forecasts. The maps of the scaling factors are then passed to the model which applies the adjustment to the output biogenic CO₂ fluxes from the land surface model. After combining the adjusted NEE fields with the other prescribed CO₂ fluxes, the resulting bias corrected fluxes are passed to the transport model to produce the atmospheric CO₂ forecast.

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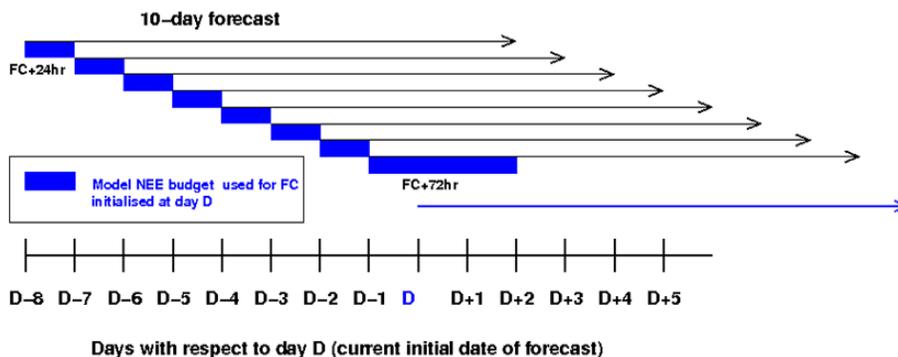


Figure 2. Schematic to illustrate how the 10-day NEE budget from the model is computed in BFAS for the forecast at day D by retrieving the past forecasts of accumulated NEE. Note that the retrieved NEE (computed by adding GPP and R_{eco}) has not been corrected by BFAS. The computation uses a set of 7 previous 1-day forecasts (initialised at $D - 8, D - 7, D - 6, \dots$ until $D - 2$) together with the latest 3-day forecast from the previous day (i.e. $D - 1$) as shown by the blue boxes.

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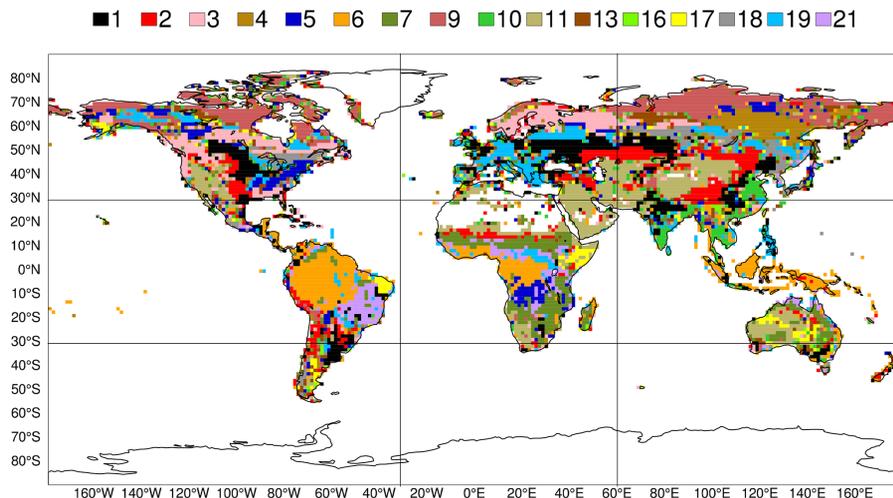


Figure 3. Dominant vegetation types based on the BATS classification used in the IFS and extended to include the tropical savanna subtype (in purple, as defined by the Olson (1994a) classification) within the interrupted forest type (in light blue). The vegetation type codes are described in Table 1. The nine regions used in the computation of the NEE budget are delimited by the black lines.

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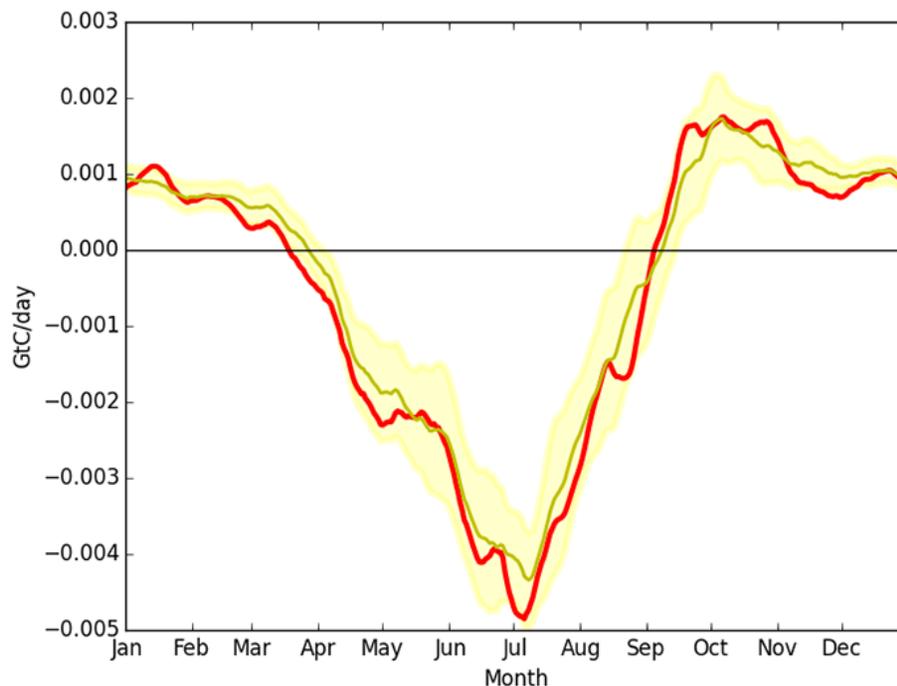


Figure 4. Time series of 10-day mean NEE budget [GtC/day] associated with the crop vegetation type in North America from the MACC-13R1 optimised flux data set in 2010 (red line) compared to its climatology (2004–2013) (yellow line). The yellow shading represents the standard deviation of the optimised flux budget (for the same period) used to compute the inter-annual variability adjustment applied to the reference climatology. Positive/negative values correspond to a source/sink of CO₂.

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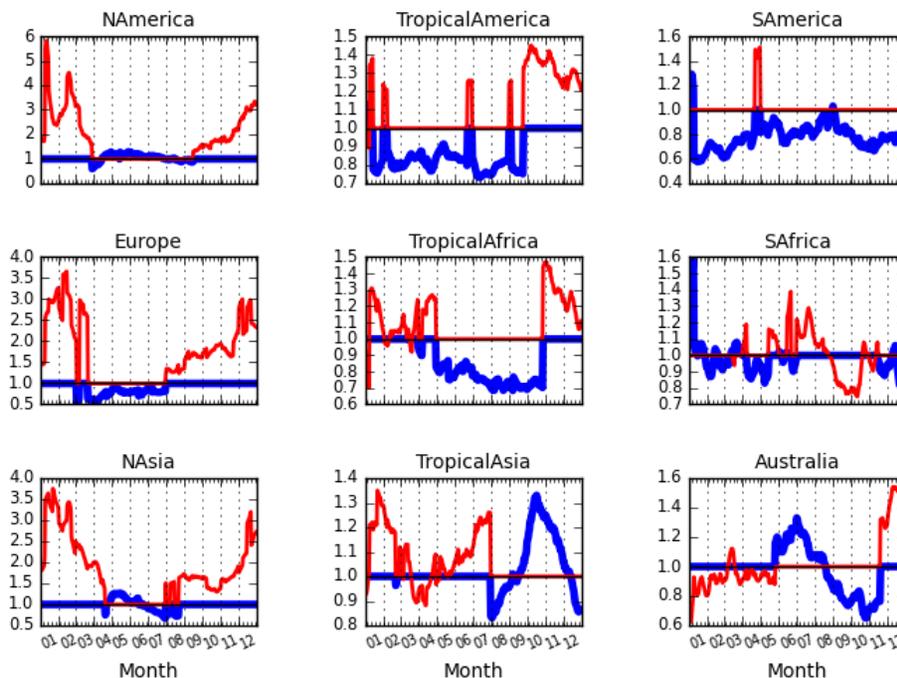


Figure 5. Time series of GPP and R_{eco} flux scaling factors in blue and red lines respectively for the crop vegetation type in 2010 in the different regions (see map in Fig. 3 depicting the extent of the crops within each region).

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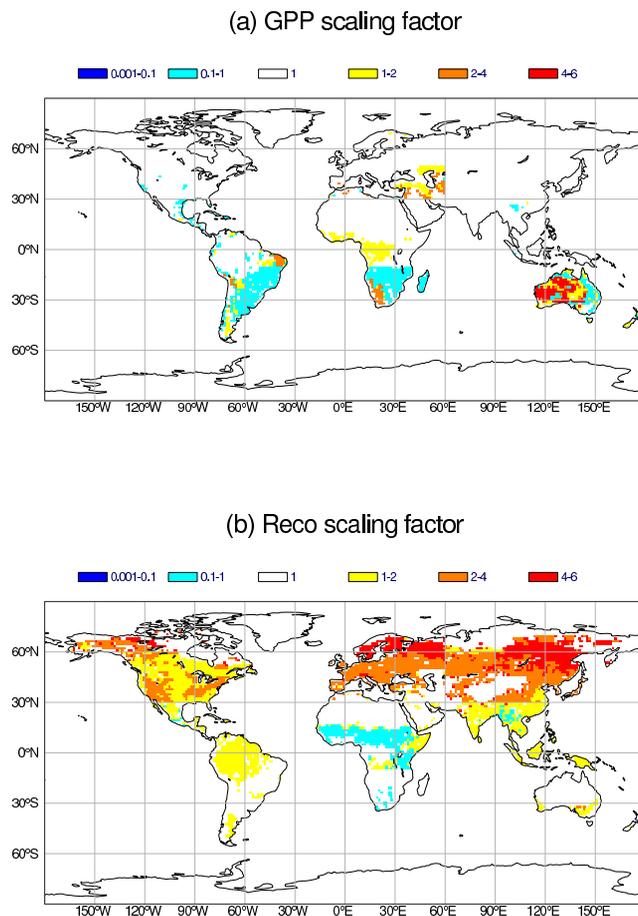


Figure 6. Map of scaling factors for (a) GPP and (b) R_{eco} on 15 March 2010.

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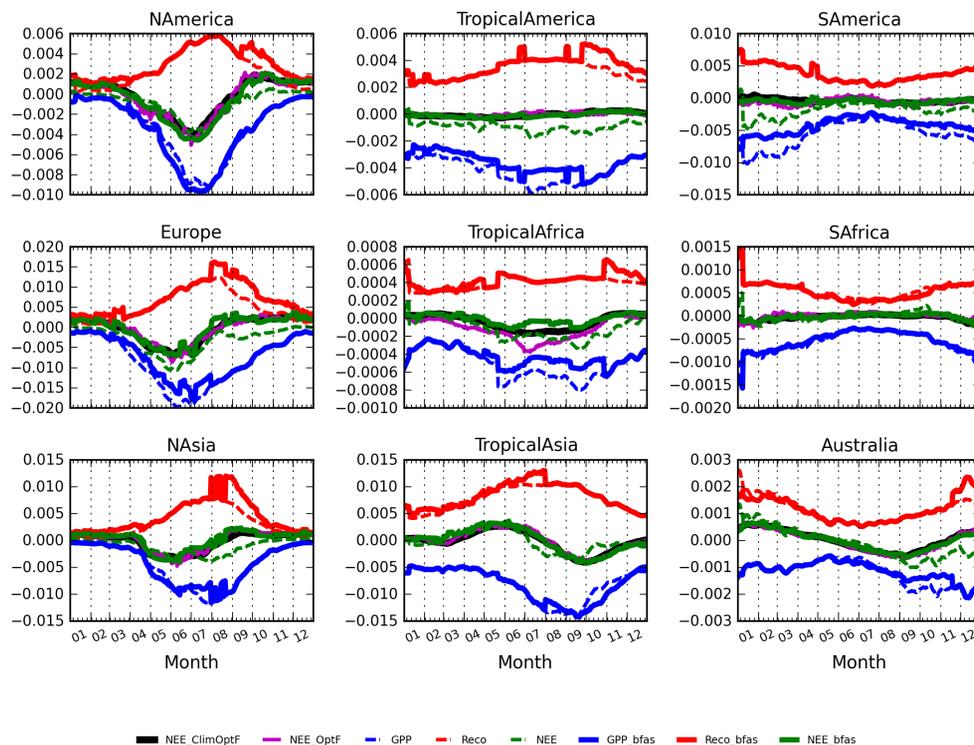


Figure 7. Time series of GPP (in blue), R_{eco} (in red) and NEE (in green) daily budget [GtC/day] before and after the flux adjustment (see dashed lines and solid lines respectively) for crops in 2010 in the different regions. The reference budget provided by the climatology of MACC-13R1 optimised fluxes (2004–2013) and the MACC-13R1 optimised fluxes for 2010 are depicted by the black and magenta lines respectively. Positive/negative values correspond to a source/sink of CO₂.

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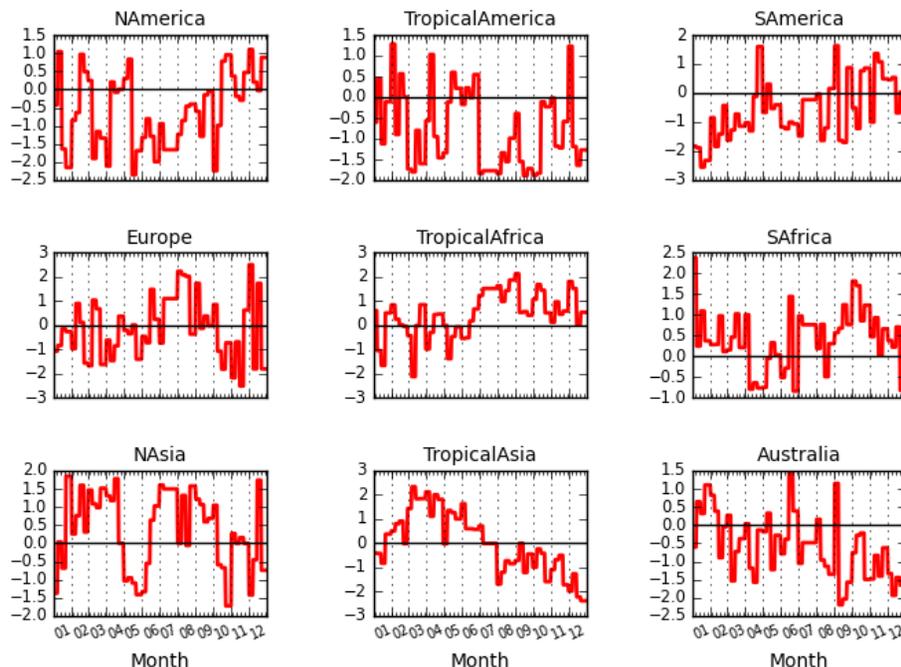


Figure 8. Time series of the standardised anomaly of the modelled NEE budget (γ in Eq. 3) for crops in 2010 in the different regions. Positive values indicate larger/smaller CO₂ sources/sinks than normal based on the mean climatological budget; whereas negative values correspond to smaller/larger CO₂ sources/sinks than normal.

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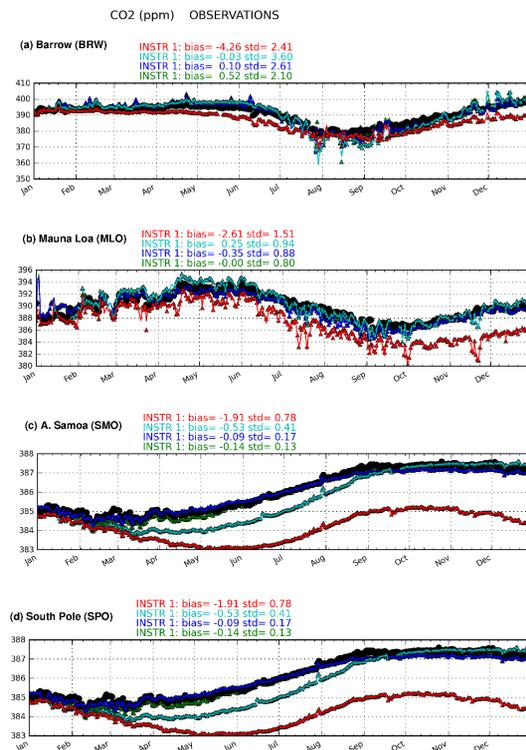


Figure 9. Daily mean atmospheric CO₂ dry molar fraction [ppm] from NOAA/ESRL continuous baseline stations (black circles) at **(a)** Barrow, Alaska, USA (71.32° N, 156.61° W), **(b)** Mauna Loa, Hawaii, US (19.54° N, 155.58° W), **(c)** Tutuila, American Samoa, USA (14.25° S, 170.56° W), **(d)** South Pole, Antarctica (89.98° S, 24.8° W) and the different forecast experiments: BFAS (cyan), CTRL (red), OPT (green) and OPT-CLIM (blue). See Table 2 for a description of the different experiments. The mean (bias) and standard deviation (SD) of the model errors are shown at the top of each panel.

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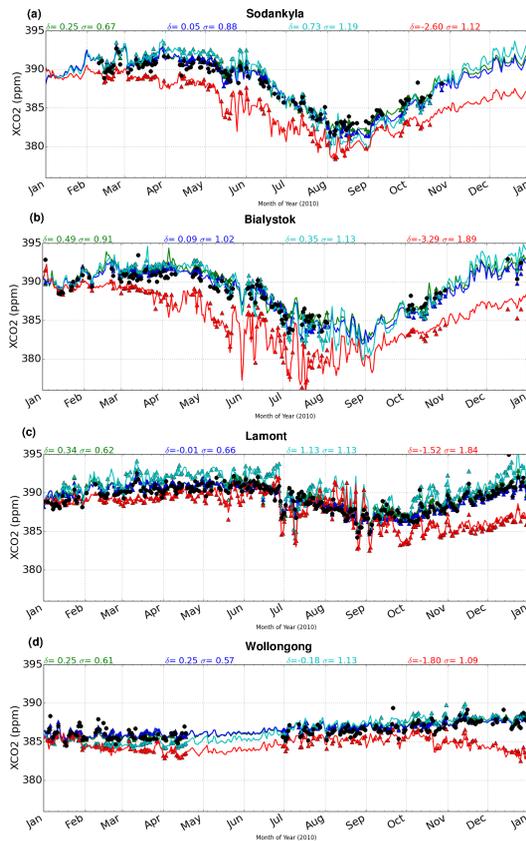


Figure 10. Daily mean atmospheric CO₂ column-average dry molar fraction [ppm] observed at four TCCON stations (see Table 3) as shown by the black circles, and simulated by the different forecast experiments: BFAS (cyan), CTRL (red), OPT (green) and OPT-CLIM (blue). See Table 2 for a description of the different experiments. The mean (δ) and standard deviation (σ) of the model errors are shown at the top of each panel.

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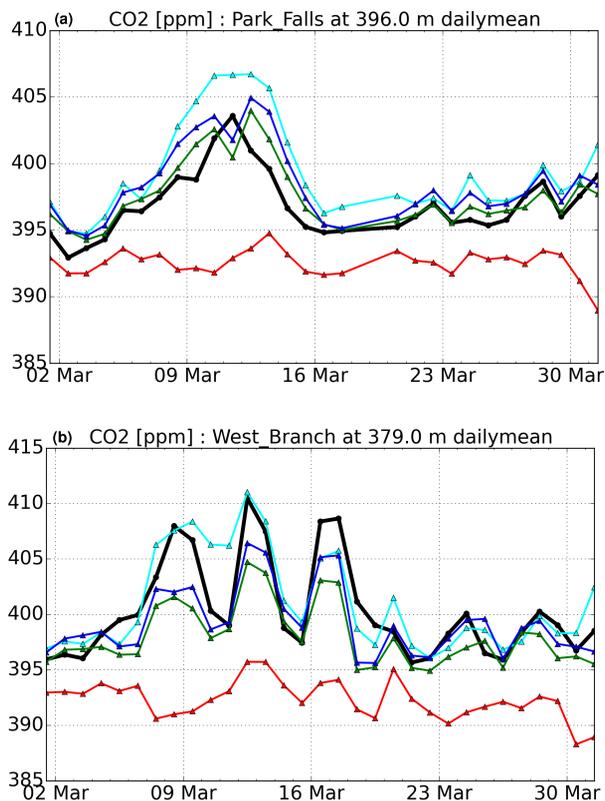


Figure 11. Daily mean atmospheric CO₂ dry molar fraction [ppm] in March 2010 from NOAA/ESRL tall towers (black circles) at **(a)** Park Falls (Wisconsin, USA, 45.95° N, 90.27° W) and **(b)** West Branch (Iowa, USA, 41.72° N, 91.35° W) and the different forecast experiments: BFAS (cyan), CTRL (red), OPT (green) and OPT-CLIM (blue) (see Table 2 for a description of the different experiments).

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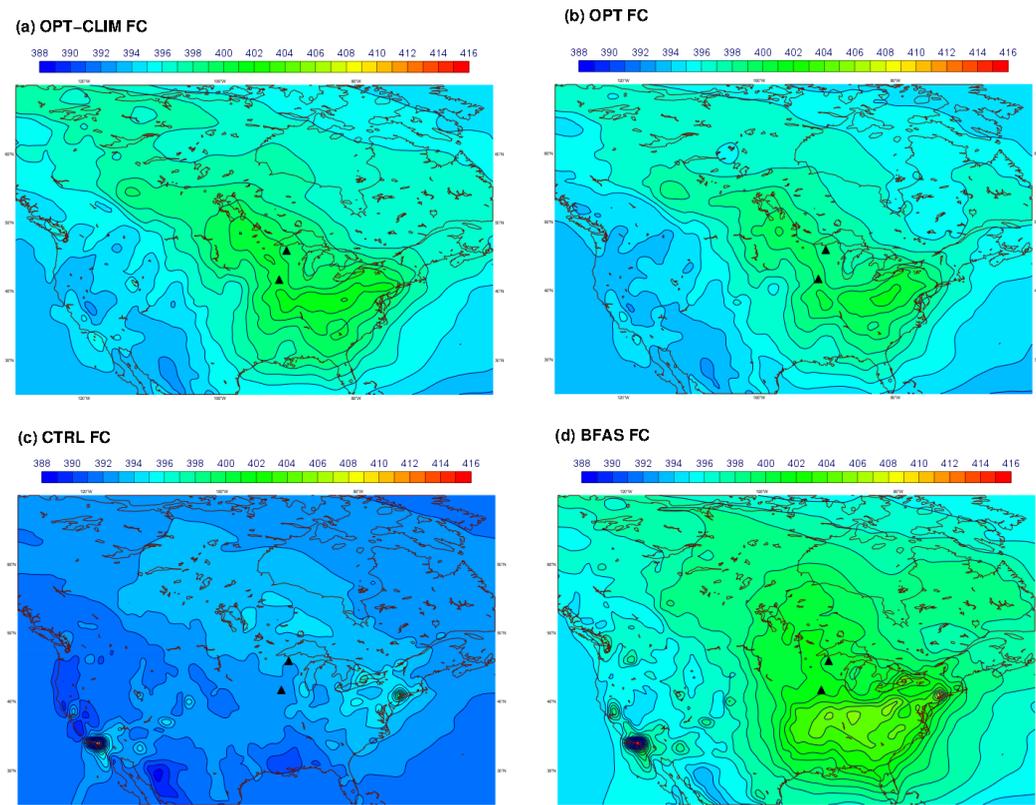


Figure 12. Monthly mean atmospheric CO₂ dry molar fraction [ppm] at the model level approximately corresponding to the highest sampling height of the Park Falls and West Branch NOAA/ESRL tall towers (see black triangles) in March 2010 from **(a)** OPT-CLIM, **(b)** OPT, **(c)** CTRL and **(d)** BFAS experiments (see Table 2 for a description of the different experiments).

Biogenic flux adjustment scheme for CO₂ analysis and forecasting system

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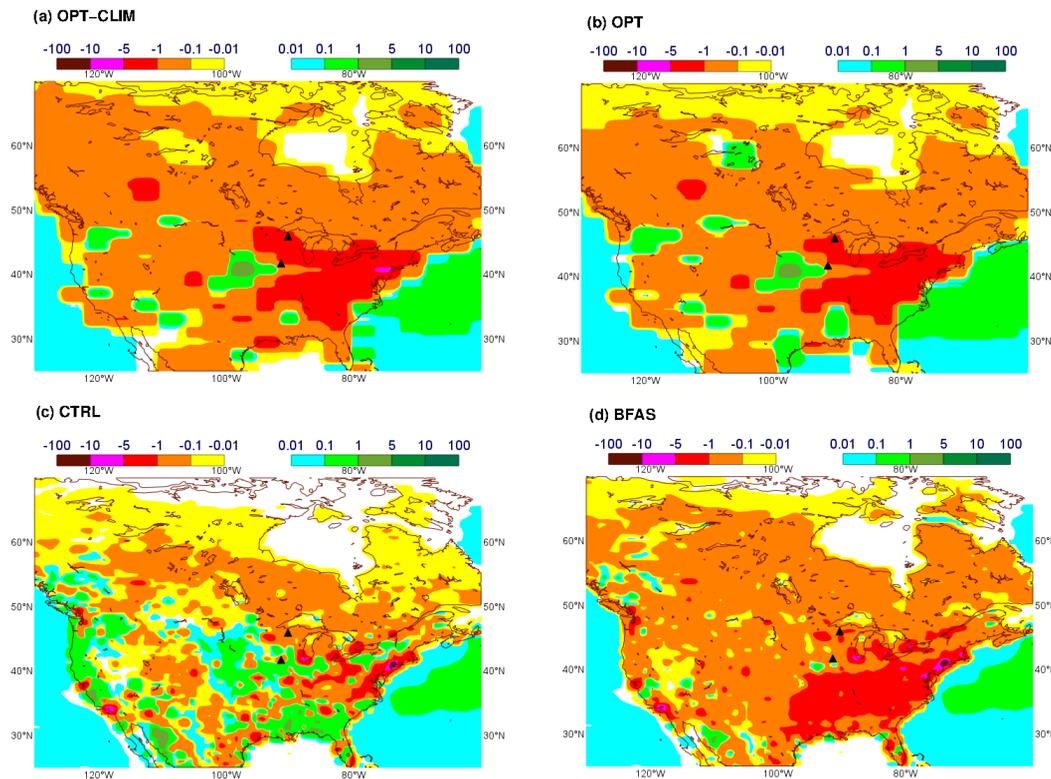


Figure 13. Monthly mean total CO₂ flux [$\mu\text{mol m}^{-2} \text{s}^{-1}$] in March 2010 from (a) OPT-CLIM, (b) OPT, (c) CTRL and (d) BFAS experiments (see Table 2 for a description of the different experiments). The black triangles depict the location of the NOAA/ESRL tall towers plotted in Fig. 11.