

Response to Anonymous Referee # 1

We thank Referee # 1 for the comments and address each below. Our author responses are denoted in blue texts.

Interactive comment on “Assessing the impact of anthropogenic pollution on isoprene-derived secondary organic aerosol formation in PM_{2.5} collected from the Birmingham, Alabama ground site during the 2013 Southern Oxidant and Aerosol Study” by W.Rattanavaraha et al.

Anonymous Referee # 1

Received and published: 16 February 2016

General comments:

The focus of this manuscript is on the relationships between isoprene SOA markers and anthropogenic parameters such as sulfate and NO_x during a field campaign conducted in Birmingham (BHM), Alabama, an urban site in the southeastern USA, where regional isoprene emissions from deciduous trees and local anthropogenic emissions (SO₂ and NO_x) are substantial. The study reveals the complexity and potential multitude of chemical pathways leading to isoprene SOA formation. It is interesting to learn that ozone also plays a role in forming isoprene SOA. It is evident from this field study that the IEPOX-related SOA markers contributed by far the most, while the methacrolein-related tracers were rather minor. It is also shown that acidity is not a limiting factor for isoprene SOA formation at the BHM site. Understanding the formation mechanisms of biogenic SOA, especially with regard to anthropogenic emissions, is indeed important for the development of more accurate models that are needed for PM_{2.5} control abatement strategies. I only have some specific issues (mostly minor), which would profit from clarification.

Specific comments:

Lines 229-230: The EIC of m/z 199 was used to quantify the MAE/HMML-derived OS. It has been quite well established that the major MAE-derived OS that is present in ambient fine aerosol is 3-sulfooxy-2-hydroxy-2-methyl propanoic acid with a terminal sulfooxy group (Gómez-González et al., 2010). There is, to my knowledge, no chemical evidence for a HMML-derived OS containing a terminal hydroxymethyl group. Therefore, it would be best to be more conservative here and write: “..... to quantify the MAE-derived OS,.....”

Ref.: Gómez-González, Y., Surratt, J. D., Cuyckens, F., Szmigielski, R., Vermeylen, R., Jaoui, M., Lewandowski, M., Offenberg, J. H., Kleindienst, T. E., Edney, E. O., Blockhuys, F., Van Alsenoy, C., Maenhaut, W., and Claeys, M.: Characterization of organosulfates from the photooxidation of isoprene and unsaturated fatty acids in ambient aerosol using liquid chromatography/(-) electrospray ionization mass spectrometry, *J. Mass Spectrom.*, 43, 371–382, 2008.

We agree that only MAE-derived OS can be quantified by the authentic MAE-derived OS synthesized in-house. Thus, we remove the term HMML in the experimental section.

We have revised the sentence as follows on Page 11, Lines 242-243:

“EICs of m/z 215, 333 and 199 were used to quantify the IEPOX-derived OS, IEPOX-derived dimer OS and the MAE-derived OS, respectively (Surratt et al., 2007a).”

We have also revised the sentence as follows on Page 11, Lines 247-249:

“The MAE-derived OS was quantified using an authentic MAE-derived OS standard synthesized in-house by a procedure to be described in a forthcoming publication (¹H NMR trace, Figure S2).

However, as described in the introduction section, recent studies (Surratt et al., 2006; Surratt et al., 2010; Lin et al., 2013a; Nguyen et al., 2015) have shown that isoprene is oxidized under high-NO_x conditions to yield methacrolein, which is then further oxidized by OH radicals in the presence of NO₂ to yield methacryloylperoxynitrate (MPAN). When MPAN is oxidized by OH it

yields at least two SOA precursors, including methacrylic acid epoxide (MAE) and hydroxymethyl-methyl- α -lactone (HMML). MAE and HMML can yield 2-methylglyceric acid (2-MG) and its OS derivative (Lin et al., 2013a; Nguyen et al., 2015). As a result, the MAE-derived OS tracer we measured could likely be derived from both MAE and HMML, even though the existence for HMML has only been indirectly measured by Nguyen et al. (2015). As a result, we will continue using the terminology MAE/HMML-derived OS in the subsequent sections of the manuscript to remind readers about the potential contribution from both MAE and HMML pathways. To be clearer on this issue, we added the following sentences on Pages 11-12, Lines 249-256:

“Although the MAE-derived OS (Gómez-González et al., 2008), which is more formally called 3-sulfoxy-2-hydroxy-2-methyl propanoic acid, has been chemically verified from the reactive uptake of MAE on wet acidic sulfate aerosol (Lin et al., 2013a), the term MAE/HMML-derived OS will be used hereafter to denote the two potential precursors (MAE and HMML) contributing to this OS derivative as recently discussed by Nguyen et al. (2015). It should be noted that Nguyen et al. (2015) provided indirect evidence for the possible existence of HMML. As a result, further work is needed to synthesize this compound to confirm its structure and likely role in SOA formation from isoprene oxidation.”

Line 238: Mention is also made here of glyoxal-, methylglyoxal and hydroxyacetone-derived OS, but earlier in the introduction nothing is said about the chemical pathways leading to these products. For completeness, I suggest to briefly provide some background information about these pathways.

We agree with the referee’s comment. We have added the following information into the introduction section on Pages 5-6, Lines 111-117:

“In addition to MACR, other key oxidation products of isoprene, including glycolaldehyde, methylglyoxal, and hydroxyacetone, can undergo multiphase chemistry to yield their respective OS derivatives (Olsen et al., 2011; Schindelka et al. 2013; Shalamzari et al., 2013; Noziere et al., 2015). However, the contribution of isoprene on the glyoxal-, methylglyoxal-, and hydroxyacetone-derived OS mass concentrations in the atmosphere remains unclear since these

SOA tracers can also be formed from a wide variety of biogenic and anthropogenic precursors (Galloway et al., 2009, Liao et al., 2015)."

Lines 335-337: It is mentioned that the IEPOX-derived SOA tracers comprised 92.5% of the total detected SOA tracer mass. To arrive at this value the 2-methyltetrols should not be counted twice, since they are also formed from IEPOX OS in the analytical GC/MS procedure by hydrolysis of the corresponding OS. How was this value of 92.5% estimated?

We agree with the point suggested by the referee. Thus, we did additional quality control experiments to investigate the exact impact of the IEPOX-derived OS during the GC/MS procedure and analysis. We directly injected known concentrations (i.e., 1, 5, 10, and 25 ppmv) of the IEPOX-derived OS standard into the GC/MS following trimethylsilylation. We found the signals of 2-methylthreitol and 2-methylerythritol derived from the GC/MS analysis of IEPOX-derived OS were small (1.69% and 2.42%, respectively). We corrected for this effect in the estimation of IEPOX-derived SOA to the total detected SOA tracer mass in our manuscript. The revised estimation is now 92.45%, which did not significantly change from the original value of 92.5%.

However, we decided to use the revised estimation and added this information in the main text as follows in Section 2.2.2, Pages 10-11, Lines 226-231:

"To investigate the effect of IEPOX-derived OS hydrolysis/decomposition during GC/EI-MS analysis, known concentrations (i.e., 1, 5, 10, and 25 ppmv) of the authentic IEPOX-derived OS standard (Budisulistiorini et al., 2015)) were directly injected into the GC/MS following trimethylsilylation. Ratios of detected 2-methyltetrols to the IEPOX-derived OS were applied to estimate the total IEPOX-derived SOA tracers in order to avoid double counting when combining the GC/MS and UPLC/ESI-HR-QTOFMS SOA tracer results."

We also added the following clarifying information in Section 3.2, Pages 16-17, Lines 357-363:

"Our investigation for the potential of OS hydrolysis/decomposition during GC/EI-MS analysis

demonstrated that only 1.7% of 2-methylthreitol and 2.4% of 2-methylerythritol could be derived from the IEPOX-derived OSs. In order to accurately estimate the mass concentrations of the IEPOX-derived SOA tracers, we took this effect into account. Together, the IEPOX-derived SOA tracers, which represent SOA formation from isoprene oxidation predominantly under the low-NO_x pathway, comprised 92.45% of the total detected isoprene-derived SOA tracer mass at the BHM site.”

Line 340: See comment about MAE/HMML-OS above.

As described above, we decided to use the terminology “MAE/HMML-OS” in the Results and Discussion section to emphasize its formation pathway. We did this as follows on Pages 11-12, Lines 249-256:

“Although the MAE-derived OS (Gómez-González et al., 2010), which is more formally called 3-sulfoxy-2-hydroxy-2-methyl propanoic acid, has been chemically verified from the reactive uptake of MAE on wet acidic sulfate aerosol (Lin et al., 2013a), the term MAE/HMML-derived OS will be used hereafter to denote the two potential precursors (MAE and HMML) contributing to this OS derivative as recently discussed by Nguyen et al. (2015). It should be noted that Nguyen et al. (2015) provided indirect evidence for the possible existence of HMML. As a result, further work is needed to synthesize this compound to confirm its structure and likely role in SOA formation from isoprene oxidation.”

Lines 359-361: It is not clear what the authors want to say by writing: “The low isoprene SOA/OM ratio is consistent with the low WSOC/OC reported in section 3.1, suggesting an increased contribution of primary OA or secondary OM to the total OM at BHM”. Some clarification should be given about the secondary OM; perhaps this should be better described as “hydrophobic secondary OM”, originating from anthropogenic emissions.

We agree with the referee. The sentence has been revised as follows on Pages 17-18, Lines 385-387:

“The low isoprene SOA/OM ratio is consistent with the low WSOC/OC reported in Section 3.1, suggesting an increased contribution of primary OA or hydrophobic secondary OM originating

from anthropogenic emissions to the total OM at BHM.”

Lines 386-408: Throughout this section, MAE/HMML-OS is mentioned several times. See comment about MAE/HMML-OS above.

Since this comment was already raised above by the reviewer, please refer to our replies above in how we exactly dealt with this reviewer comment.

Section 3.3.2 Effect of O₃: It is very interesting to learn that O₃ has an effect on 2-methyltetrol formation, but not on C₅-alkene triol formation, consistent with a recent study by Riva et al. (2016). What I am missing here is some brief mechanistic information about 2-methyltetrol formation from isoprene by the action of O₃, so that the interested reader does not have to look up the original article. I am also curious to know whether there was an intercorrelation between the 2-methyltetrols and the C₅-alkenetriols; a strong intercorrelation would support that they both are formed through the IEPOX multiphase pathway.

We agree with the referee's comment. We have added brief mechanistic information about 2-methyltetrol formations from isoprene ozonolysis as follows on Pages 22-23, Lines 491-501:

“Previous studies (Nguyen et al., 2010; Inomata et al., 2014) proposed that SOA formation from isoprene ozonolysis occurs from stabilized Criegee intermediates (sCIs) that can further react in the gas phase to form higher molecular weight products that subsequently partition to the aerosol phase to make SOA. Recent work by Riva et al. (2016) systematically demonstrated that isoprene ozonolysis in the presence of wet acidic aerosol yields 2-methyltetrols and organosulfates unique to this process. Notably, no C₅-alkene triols were observed, which are known to form simultaneously with 2-methyltetrols if IEPOX multiphase chemistry is involved (Lin et al., 2012). Riva et al. (2016) tentatively proposed that hydroperoxides formed in the gas phase from isoprene ozonolysis potentially partition to wet acidic sulfate aerosols and hydrolyze to yield 2-methyltetrols as well as the unique set of organosulfates observed (Riva et al., 2016). Additional work using authentic hydroperoxide standards is needed to validate this tentative hypothesis.”

Regarding an intercorrelation between the 2-methyltetrols and the C₅-alkene triols, we observed

a strong correlation ($R^2 = 0.84$) during nighttime suggesting that they both are formed through the IEPOX multiphase pathway. However, a mild correlation ($R^2 = 0.55$) is observed during daytime suggesting that O_3 may contribute some fraction on 2-methyltetrols formation which is in agreement with the key finding from isoprene ozonolysis by Riva et al., 2016.

Technical corrections:

Line 35: coupled to electrospray ionization high-resolution quadrupole time-of-flight massspectrometry.....

We made the correction as the referee suggested on Page 2, Lines 33-37:

“Sample extracts were analyzed by gas chromatography/electron ionization-mass spectrometry (GC/EI-MS) with prior trimethylsilylation and ultra performance liquid chromatography coupled to electrospray ionization high-resolution quadrupole time-of-flight mass spectrometry (UPLC/ESI-HR-QTOFMS) to identify known isoprene SOA tracers.”

Line 148:.....to measure known.....or.....to determine quantities of..... .

We made the correction as the referee suggested on Page 7, Lines 152 - 156:

“The analysis of $PM_{2.5}$ was conducted in order to determine quantities of known isoprene SOA tracers and using collocated air quality and meteorological measurements to investigate how anthropogenic pollutants including NO_x , SO_2 , aerosol acidity (pH), $PM_{2.5}$ sulfate (SO_4^{2-}), and O_3 affect isoprene SOA formation.”

Line 176:..... and NO_x were forecast by.....

We made the correction as the referee suggested on Pages 8-9, Lines 180-184:

“The intensive sampling schedule was conducted on days when high levels of isoprene, SO_4^{2-} and NO_x were forecast by the National Center for Atmospheric Research (NCAR) using the Flexible Particle dispersion model (FLEXPART) (Stohl et al., 2005) and Model for Ozone and Related Chemical Tracers (MOZART) (Emmons et al., 2010) simulations.”

Line 254:..... baked at 500 degrees C for.....(space before degrees C)

We made the correction as the referee suggested on Pages 12-13, Lines 273-275:

“To maintain low background carbon levels, all glassware used was washed with water, soaked in 10% nitric acid, and baked at 500 °C for 5 h and 30 min prior to use.”

Line 258: The abbreviation “PTFE” needs to be introduced here.

We made the correction as the referee suggested on Page 13, Lines 278-279:

“Extracts were then passed through a 0.45 μm polytetrafluorethylene (PTFE) filter to remove insoluble particles.”

Line 352:..... based on recent studies.....

We made the correction as the referee suggested on Page 17, Lines 377-379:

“Isoprene SOA contribution to total OM was estimated by assuming the OM/OC ratio 1.6 based on recent studies (El-Zanan et al., 2009; Simon et al., 2011; Ruthenburg et al., 2014; Blanchard et al., 2015).”

Line 419:.....NO₃-initiated oxidation.....

We made the correction as the referee suggested on Page 21, Lines 457-459:

“However, Schwantes et al. (2015) demonstrated that NO₃-initiated oxidation of isoprene yields isoprene nitrooxy hydroperoxides (INEs) through nighttime reaction of RO₂ + HO₂, which upon further oxidation yielded isoprene nitrooxy hydroxyepoxides (INHEs).”

References: titles of journal articles should not be capitalized; some still are capitalized and should be corrected.

We made the correction as the referee suggested in the reference section.

Line 807:..... trans-3-methyl-3,4-dihydroxytetrahydrofuran,.....

We made the correction as the referee suggested on Pages 37-38, Lines 884-887:

“Zhang, Z., Lin, Y.-H., Zhang, H., Surratt, J., Ball, L., and Gold, A.: Technical Note: Synthesis of isoprene atmospheric oxidation products: isomeric epoxydiols and the rearrangement products *cis*- and *trans*-3-methyl-3,4-dihydroxytetrahydrofuran, *Atmos. Chem. Phys.*, 12, 8529-8535, 2012”

Figure 2–panel (d): the trace for MAE-OS in light purple/rose color is hardly visible.

We made the correction as the referee suggested for Figure 2 panel (d). The colors in panel (d) were improved for better visibility.

References

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Response to Anonymous Referee # 4

We thank Referee # 4 for the comments and address each one below. Our author responses are denoted in blue text.

Interactive comment on “Assessing the impact of anthropogenic pollution on isoprene-derived secondary organic aerosol formation in PM_{2.5} collected from the Birmingham, Alabama ground site during the 2013 Southern Oxidant and Aerosol Study” by W.Rattanavaraha et al.

Anonymous Referee # 4

Received and published: 15 February 2016

This paper presents novel data from solvent-extracted filter-collected aerosol in the southeastern United States during the SOAS campaign in summer 2013, which has been analyzed to understand the distribution of isoprene oxidation products, as well as correlated against other measurements to elucidate formation mechanisms of these species. This is a good contribution to understanding of anthropogenic effects on SOA formation from isoprene, and I recommend publication after minor corrections & consideration of a few questions.

Questions

- 1) On p.16 you describe the slightly lower contribution of the low-NO_x pathway tracers at your BHM urban site ~93% compared to 97-98% at the more rural sites. Do I understand this contribution analysis correctly to imply that at all 3 sites the overwhelming majority of isoprene SOA tracers are from the “low-NO_x” pathway? Given that you site is urban, does this suggest that you think of the “high-NO_x”/“low-NO_x” split of these tracers is in order? Or, how do you understand the fact that in an urban center with 3-15 ppb NO_x, only 7% of the isoprene SOA tracers appear to be “high-NO_x” products?

Yes, at all three sites the overwhelming majority of isoprene SOA tracers are from the low-NO_x pathway (~93% at urban BHM and 97-98% at rural LRK and YRK). Approximately 2-3% of quantified isoprene SOA tracers appear to be “high-NO_x” products at LRK (Budisulistiorini et al., 2015) and YRK (Lin et al., 2013b). The MAE/HMML-derived OS and 2-MG may be formed upwind and transported to the rural sampling sites. As stated

below in our response to the reviewer comment # 2, a recent study at CTR demonstrated with the FIGAERO-CIMS that isoprene-derived SOA is effectively nonvolatile, so this material is likely long-lived in PM. This can result in it being transported to our sampling site. Since the vast majority of isoprene is emitted upwind, it is likely that the oxidation products formed outside of the city under lower NO conditions come into contact with urban aerosols (which includes the sulfate aerosol) to form this low-volatility isoprene SOA. Furthermore, At the BHM, 7% of the isoprene SOA tracers are high-NO_x products (~3%), GA sulfate (~3%), methylglyoxal-derived OS (~0.3%), and other isoprene-derived OSs (~0.7%) as shown in Table 3. GA sulfate is observed as high as a likely “high-NO_x” product, since it could have additional sources other than isoprene such as anthropogenic VOCs (Galloway et al., 2009; Liao et al., 2015). The contribution of GA sulfate in this study was consistent with the level of GA sulfate measured by the airborne NOAA Particle Analysis Laser Mass Spectrometer (PALMS) over the continental U.S. during the Deep Convection Clouds and Chemistry Experiment and SEAC4RS (Liao et al., 2015). However, GA sulfate and methylglyoxal-derived OS can form from biogenic and anthropogenic emissions other than isoprene (Galloway et al., 2009; Liao et al., 2015). For this reason, GA sulfate and methylglyoxal-derived OS are not further discussed in this study.

- 2) The lack of diurnal variation between avg daytime and nighttime concentrations of isoprene-SOA tracers is interesting. Do you think this is mainly because they are long-lived and formed upwind? Or do you think there might be some offsetting daytime higher source strength and nighttime temperature-driven higher particle partitioning? Maybe add a bit of discussion of this around line 374. As I mention later, I also think the diurnal cycle/day-night comparison supplemental figures should go in the main paper.

We agree with the referee’s comments. We have moved the diurnal cycle/day night comparison figures (now Figures 3-5) from supplemental information into the main text as the referee suggested.

We have also added some discussions as follows on Pages 18-19, Lines 401-414:

“Figure 3 shows no difference for the average day and night concentration of isoprene-derived SOA tracers, suggesting that the majority of isoprene SOA tracers are potentially long-lived and formed upwind. A recent study by Lopez-Hilfiker et al. (2016) at the CTR site

during the 2013 SOAS demonstrated that isoprene-derived SOA was comprised of effectively nonvolatile material, which could allow for this type of SOA to be long-lived in the atmosphere. Although 2-MG and MAE-derived OS are known to form under high-NO_x conditions (Lin et al., 2013a), no correlation between 2-MG and MAE-derived OS with NO_x (Table 4) is observed at the BHM. This supports that isoprene SOA tracers likely formed at upwind locations and subsequently transported to the sampling site. Higher isoprene emissions during the daytime and cooler nighttime temperatures do not appear to cause any differences between daytime and nighttime isoprene-derived SOA tracer concentrations. Figures 4 and 5 show the variation of isoprene-derived SOA tracers during intensive sampling periods. The highest concentrations were usually observed in samples collected from 4 pm – 7 pm, local time; however, no statistical significance were observed between intensive periods.”

- 3) Couldn't the NO_x/NO_y plume age correlation with O₃ you mention at the beginning of 3.3.1 be just be a consequence of the relative diurnal variations you mentioned previously in NO_x and O₃? Thus, plume age could be actually not changing much... suggest thinking about this in your discussion. Related question pertaining to the negative correlation of plume age and 2-MG mentioned at the top of page 19: do you see a typical diurnal cycle of “plume age”, or is the variation mostly in the day to day differences? (Also related: are we looking at intensives data here or just day/night samples?) I'm wondering if this could just be saying that 2-MG has a pretty consistent diurnal cycle, with a peak in the afternoon after NO_x has decreased.

We agree with the referee's suggestion. Please note that we are here looking at the overall data including day, night, and intensive samples together. Only the typical diurnal cycle of “plume age” is observed for comparison.

We added some revised text in Section 3.3.1 as follows on Pages 19-20, Lines 425-433:

“Plume age, as a ratio of NO_x:NO_y, in this study was highly correlated with O₃ ($r^2 = 0.79$, $n = 120$) which is consistent with the relative diurnal variation of NO_x, NO_y, and O₃ as discussed in Section 3.1. This correlation might be also explained by the photolysis of NO₂, which is abundant due to traffic at the urban ground site, resulting in formation of

tropospheric O₃. A negative correlation coefficient ($r^2 = 0.22$, $n = 120$) between plume age and 2-MG abundance was found as a consequence of relative diurnal variations. The peak of 2-MG was observed in the afternoon after NO_x has decreased. This correlation leads to the hypothesis that the formation of 2-MG may be associated with ageing of air masses; however, further investigation is warranted.”

- 4) Towards the middle and bottom of p.19 you are talking about both NO₂ and NO₃ enhanced MAE/HMML derived SOA formation. You seem to be assuming that these might have similar structures – my first question: is there a known mechanism for MAE/HMML from NO₃+isoprene? Because usually NO₃ initiated chemistry retains the NO₃ group, I would expect it to make different products than these. Further down in that paragraph that goes on to the next page: I don't think it's at all obvious that high-NO_x SOA tracers would be the same as NO₃ chemistry tracers – the nitrate group is at a different position in the molecule when formed via isop RO₂+NO vs. NO₃+isoprene chemistry.

To our knowledge, the mechanism for MAE/HMML from NO₃ + isoprene is still unknown. We agree with the referee's suggestion that high-NO_x SOA tracers would not be the same as NO₃ chemistry tracers. Thus, we only reported the correlation we have observed at the site in this study and note that further work is needed to examine the potential role of nighttime NO₃ radicals in forming MAE/HMML-derived SOA tracers.

Minor suggestions/edits:

- 1) **Line 52:** “indicates that” => “is consistent with the observation that”

We edited the sentence at the referee suggested as follows on Page 3, Lines 51-55:

“Lack of correlation between aerosol acidity and isoprene-derived SOA is consistent with the observation that acidity is not a limiting factor for isoprene SOA formation at the BHM site as aerosols were acidic enough to promote multiphase chemistry of isoprene-derived epoxides throughout the duration of the study.”

- 2) **Line 54:** “the reports” =>“previous studies suggesting”?

We edited the sentences as the referee suggested as follows on Page 3, Lines 54-55:

“All in all, these results confirm previous studies suggesting that anthropogenic pollutants enhance isoprene-derived SOA formation.”

- 3) **Line 61:** remove “potential”?

We removed “potential” in front of “human health risk” as the referee suggested as follows on Page 3, Line 61-62:

“In addition to climatic effects, $PM_{2.5}$ has been demonstrated to pose a human health risk through inhalation exposure (Pope and Dockery, 2006; Hallquist et al., 2009).”

- 4) **Lines 72-73:** add mention of biogenic sources of VOCs here too

We edited the sentences as the referee suggested as follows on Page 4, Lines 72-76:

“Processes such as natural plant growth, biomass burning and combustion also yield volatile organic compounds (VOCs), which have high vapor pressures and can undergo atmospheric oxidation to form secondary organic aerosol (SOA) through gas-to-particle phase partitioning (condensation or nucleation) with subsequent particle-phase (multiphase) chemical reactions (Grieshop et al., 2009).”

- 5) **Lines 90-91:** phrase “isomeric isoprene epoxydiols” is a bit confusing-maybe “multiple isomers of isoprene epoxydiols”?

We edited the sentences as the referee suggested as follows on Page 4, Lines 90-92:

“Under low- NO_x conditions, such as in a pristine environment, multiple isomers of isoprene epoxydiols (IEPOX) have been demonstrated to be critical to the formation of isoprene SOA.”

- 6) **Line 111:** “considerable” doesn’t sound quantitative-maybe “large”?

We edited the sentences as the referee suggested as follows on Page 6, Lines 118-119:

“Due to the large emissions of isoprene, an SOA yield of even 1% would contribute

significantly to ambient SOA (Carlton et al., 2009; Henze et al., 2009)."

- 7) **Line 120:** "estimates" => "estimated"

We edited the sentences as the referee suggested as follows on Pages 6, Lines 125-128:

"The individual ground sites corroborate recent aircraft-based measurements made in the Studies of Emissions and Atmospheric Composition, Clouds, and Climate Coupling by Regional Surveys (SEAC4RS) aircraft campaign, which estimated an IEPOX-SOA contribution of 32% to OA mass in the southeastern U.S. (Hu et al., 2015)."

- 8) **Lines 122-123:** I think it hadn't yet been stated that IEPOX is necessarily formed in the particle phase—a brief explanation somewhere before this conclusive statement would be good.

We thank the referee for this point. The particle-phase formation of IEPOX has been mentioned earlier on Pages 4-5, Lines 90-101, where all prior references were cited:

"Under low-NO_x conditions, such as in a pristine environment, multiple isomers of isoprene epoxydiols (IEPOX) have been demonstrated to be critical to the formation of isoprene SOA. On advection of IEPOX to an urban environment and mixing with anthropogenic emissions of acidic sulfate aerosol, SOA formation is enhanced (Surratt et al., 2006; Lin et al., 2012; Lin et al., 2013b). This pathway has been shown to yield 2-methyltetrols as major SOA constituents of ambient PM_{2.5} (Claeys et al., 2004; Surratt et al., 2010; Lin et al., 2012). Further work has revealed a number of additional IEPOX-derived SOA tracers, including C₅-alkene triols (Wang et al., 2005; Lin et al., 2012), cis- and trans-3-methyltetrahydrofuran-3,4-diols (3-MeTHF-3,4-diols) (Lin et al., 2012; Zhang et al., 2012), IEPOX-derived organosulfates (OSs) (Lin et al., 2012), and IEPOX-derived oligomers (Lin et al., 2014). Some of the IEPOX-derived oligomers have been shown to contribute to aerosol components known as brown carbon that absorb light in the near ultraviolet (UV) and visible ranges (Lin et al., 2014)."

- 9) **Around lines 129-130:** does this addition only increase accuracy of isoprene SOA prediction, or total SOA prediction more generally?

It improves both. We have revised the sentence as follows on Pages 6-7, Lines 135-140:

“Recent work demonstrates that incorporating the specific chemistry of isoprene epoxide precursors into models increases the accuracy and amount of isoprene SOA predictions (Pye et al., 2013; Karambelas et al., 2014; McNeill., 2015), suggesting that understanding the formation mechanisms of biogenic SOA, especially with regard to the effects of anthropogenic emissions, such as NO_x and SO₂, will be key to more accurate models.”

10) **Lines 133-136:** a little unclear– I think what you mean to say here is in order to develop feasible control strategies, not in order to understand?

By writing on Page 7, Lines 140-143: *“More accurate models are needed in order to devise cost-effective control strategies for reducing PM_{2.5} levels. Since isoprene is primarily biogenic in origin, and therefore not controllable, the key to understanding the public health and environmental implications of isoprene SOA lies in resolving the effects of anthropogenic pollutants.”*, we intend to understand the roles of isoprene SOA formation associated with uncontrollable biogenic emissions and controllable anthropogenic emissions, so that the control strategies will be developed in the future based on the anthropogenic emissions.

11) **Line 145:** mention here that you’re talking about filter collected also in BHM (right?), not just as part of SOAS.

We introduced, in general, that primary purpose of SOAS campaign was to examine, in greater detail, the formation mechanism, composition, and properties of biogenic SOA, including the effects of anthropogenic emissions. However, this study pertains specifically to the results from the BHM site, which also served the primary purpose of the SOAS campaign and was apart of the SOAS study. We were funded by EPRI to have filters collected during SOAS as this site.

12) **Lines 146-148:** you’ve already introduced these acronyms, so I think you can just use the abbreviations here.

We already introduced the GC/EI-MS and UPLC/ESI-HR-QTOFMS in the abstract. Thus, as the referee suggested, we edited the sentences on Page 7, Line 151-152 as follows:

“The results presented here focus on analysis of PM_{2.5} collected on filters during the campaign by GC/EI-MS and UPLC/ESI-HR-OTOFMS.”

- 13) **Line 188:** suggest to add a bit more details here which (relevant) trace gases were measured, and that they were measured continuous as well.

We added some additional information as the referee suggested as follows on Page 9, Lines 191-195:

“In addition to filter sampling of PM_{2.5}, SEARCH provided a suite of additional instruments at the site that measured meteorological and chemical variables, including temperature, relative humidity (RH), trace gases (i.e., CO, O₃, SO₂, NO_x, and NH₃), and continuous PM monitoring. The exact variables measured with their respective instrumentation are summarized in Table S1 of the Supplement”

- 14) **Around line 199:** suggest adding a brief discussion here of the target functional group of the derivatization – what chemical conversion are you doing, and what class of compounds does it enable quantifying?

We added some information as the referee suggested as follows on Page 9, Lines 203-206:

“The dried residues were immediately trimethylsilylated by reaction with 100 μ L of BSTFA + TMCS (99:1 v/v, Supelco) and 50 μ L of pyridine (anhydrous, 99.8 %, Sigma-Aldrich) at 70 $^{\circ}$ C for 1 hour. Trimethylsilyl derivatives of carbonyl and hydroxyl function groups were measurable by our GC/MS method.”

- 15) **Around line 220-221:** Are you analyzing derivatized or not in this case? It’s unclear from the way you reference section 2.2.1. Also I think you mean to refer to section 2.2.2.

We meant to refer to Section 2.2.2 for the filter extraction procedure. We corrected the reference section as the referee suggested as follows on Page 11, Lines 233-239:

“A 37-mm diameter circular punch from each quartz filter was extracted following the same procedure as described in Section 2.2.2 for the GC/EI-MS analysis. However, after drying,

the dried residues were instead reconstituted with 150 µl of a 50:50 (v/v) solvent mixture of methanol (LC-MS CHROMASOVL-grade, Sigma-Aldrich) and high-purity water (Milli-Q, 18.2 MΩ). The extracts were immediately analyzed by the UPLC/ESI-HR-QTOFMS (6520 Series, Agilent) operated in the negative ion mode. Detailed operating conditions have been described elsewhere (Riva et al., 2016). Mass spectra were acquired at a mass resolution 7000-8000.”

16) **Line 290:** omit “~” in front of temperature

We removed “~” in front of temperature as the referee suggested as follows on Page 14, Lines 310-311:

“Temperature during this period ranged from a high of 32.6 °C to a low of 20.5 °C, with an average of 26.4 °C.”

17) **Line 299:** do you mean to again compare intensive days to regular days with the “lower”? If so, I recommend mentioned also the averages for intensive days, with parallel structure to the sentence above: “on intensive days, compared to..., ...and... on regular sampling days.” Or, if you actually meant to compare to the concentration of O₃ itself, I don’t understand why.

We only want to present the order of magnitudes of trace gases. Thus, the comparison here was generally made among different trace gases without pointing at any specific sampling time. To be clear, we removed the word “lower” in front of “were averaging 7.8” as follows on Page 15, Lines 317-320:

“The average concentration of carbon monoxide (CO), a combustion byproduct, was 208.7 ppbv. The mean concentration of O₃ was significantly higher (t-test, p-value < 0.05) on intensive sampling days (37.0 ppbv) compared to regular sampling days (25.2 ppbv). Campaign average concentrations of NO_x, NH₃, and SO₂ were 7.8, 1.9, and 0.9 ppbv, respectively.”

18) **Line 307-308:** don’t you have a direct measurement of OC that you could also compare to the Budis and Hu2015 references’ values to confirm your hypothesis here?

Unfortunately, only WSOC/OC are reported in previous publications (Budisulistiorini et al.,

2015; Hu et al., 2015) used here for the comparison.

19) **Line 312:** remove hyphen in “High-NO_x” since it’s not used as an adjective here.

We removed the hyphen in “High-NO_x” as the referee suggested as follows on Page 15, Lines 333-335:

“High NO_x levels were found in the early morning and decreased during the course of the day (Figure S4c), most likely due to forming NO_x sinks (e.g., RONO₂, ROONO₂, and HNO₃) as well as possibly due to increasing planetary boundary layer (PBL) heights.”

20) **Line 313:** “most likely in conjunction with rising O₃ levels”: what does this mean? are you suggesting the major NO_x loss is to reaction with O₃? I think rather you’re making RONO₂/ROONO₂/HNO₃ and also the BL height is increasing-and NO_x emissions peak at rush hour, while O₃ production cranks along all day driven by radiation. So, NO₂ goes down while O₃ goes up, but in my opinion, "in conjunction with" suggests a direct chemical connection that isn’t likely the major reason they show the opposite trend.

We have revised as the referee suggested as outlined in our response above to comment # 19.

21) **Line 323:** the referred to AMS here was at CTR, correct? Suggest you say so.

Yes, it referred to AMS at CTR. We have revised this as follows on Page 16, Lines 341-345:

“However, the diurnal trend of isoprene levels might be similar to the data at the CTR site (Xu et al., 2015), which is only 61 miles away from BHM. Xu et al. (2015) observed the highest levels of isoprene (~ 6 ppb) at CTR in the mid-afternoon (3 pm local time) and its diurnal trend was similar to isoprene-OA measured by the Aerodyne Aerosol Mass Spectrometer (AMS) during the SOAS campaign at the CTR site.”

22) **Line 354:** suggest “ranging up to”

We agree with the referee’s suggestion. We added the wording as the referee suggested on Page 17, Lines 379-381:

“On average, isoprene-derived SOA tracers (sum of both IEPOX- and MAE/HMML-derived

SOA tracers) contributed ~7% (ranging up to ~ 20% at times) of the total particulate OM mass.”

23) **Line 359:** “was” => “were”

We agree with the referee’s suggestion. We corrected the wording as the referee suggested on Page 17, Lines 384-385:

“..., while tracer estimates in the two earlier studies were based on online ACSM/AMS measurements.”

24) **Line 360:** “ an increased” => “a larger”

We agree with the referee’s suggestion. We corrected the wording as the referee suggested on Pages 17-18, Lines 385-387:

“The low isoprene SOA/OM ratio is consistent with the low WSOC/OC reported in Section 3.1, suggesting a larger contribution of primary OA or hydrophobic secondary OM originating from anthropogenic emissions to the total OM at BHM.”

25) **Line 365-366:** “of that...OM mass.” awkward phrasing–suggest rewording.

We revised wording as the referee suggested on Page 18, Lines 391-393:

“Unfortunately, an Aerodyne ACSM or AMS was not available at the BHM site to support the confirmation that IEPOX-derived SOA mass at BHM might account for 14% (on average) of the total OM mass.”

26) **Line 367:** start a new paragraph at “Levoglucosan...”?

We agree with the referee’s suggestion. We made a new paragraph on Page 18, Lines 394-397:

“Levoglucosan, a biomass-burning tracer, averaged 1% of total OM with spikes up to 8%, the same level measured for 2-methylthreitol and (E)-2-methylbut-3-ene-1,2,4-triol (Table 3). The ratio of average levoglucosan at BHM relative to CTR was 5.4, suggesting significantly

more biomass burning impacting the BHM site.”

- 27) **Line 369-370:** more BB influence at the urban site! This surprises me—why do you think this would be the case? Is there any other confirmatory evidence of this? Or are there other possible sources in an urban area? I would have thought rural areas would have more BB contributions, because of regional crop burning....

Although BHM is an urban site, but it's surrounded by terrestrial forests and only 61 miles away from the rural CTR sampling site. It might be possible that the BHM is affected by biomass burning around the area. The wind rose (Figure 1) illustrated that majority of the wind during the campaign came from southwest and west of the site related to terrestrial forests. An increased biomass-burning tracer at the BHM might be influenced by human activities including cooking and burning. However, investigating the sources of biomass burning is out of scope of this study.

- 28) **Line 375:** remove “also”

We agree with the referee's suggestion. We removed as suggested.

- 29) **Line 378:** now you are talking about there BEING some diurnal variation, where the beginning of this paragraph talks about no difference day/night. I suggest reworking the text to clarify—I guess you're looking at different sets of samples, but it's confusing as written.

We agree with the referee's suggestion. The revised sentences are shown as follows on Pages 18-19, Lines 401-414:

“Figure 3 shows no difference for the average day and night concentration of isoprene-derived SOA tracers, suggesting that the majority of isoprene SOA tracers are potentially long-lived and formed upwind. A recent study by Lopez-Hilfiker et al. (2016) at the CTR site during the 2013 SOAS demonstrated that isoprene-derived SOA was comprised of effectively nonvolatile material, which could allow for this type of SOA to be long-lived in the atmosphere. Although 2-MG and MAE-derived OS are known to form under high-NO_x conditions (Lin et al., 2013a), no correlation between 2-MG and MAE-derived OS with NO_x (Table 4) is observed at the BHM. This supports that isoprene SOA tracers likely formed at upwind locations and subsequently transported to the sampling site. Higher isoprene

emissions during the daytime and cooler nighttime temperatures do not appear to cause any differences between daytime and nighttime isoprene-derived SOA tracer concentrations. Figures 4 and 5 show the variation of isoprene-derived SOA tracers during intensive sampling periods. The highest concentrations were usually observed in samples collected from 4 pm – 7 pm, local time; however, no statistical significance were observed between intensive periods.”

- 30) **Line 379:** do you mean no stat.sig. DIFFERENCE between periods? And, do you mean between different times of day within the intensives, or between different 2-day intensive sampling periods? (I have the same question in some of the SI captions)

We mean no significantly difference among intensive 1, 2, 3, and 4.

- 31) **Line 393:** first report of an “r” instead of r^2 ... makes comparison sticky. Maybe just keep as r^2 but mention the correlation is negative?

We agree with the referee’s suggestion. The revised sentences are shown as follows on Pages 19-20, Lines 429-431:

“A negative correlation coefficient ($r^2 = 0.22$, $n = 120$) between plume age and 2-MG abundance was found as a consequence of relative diurnal variations.”

- 32) **Line 404:** concentration would only increase with lowering PBL height if isoprene continues to be emitted at night. Is it?

No isoprene emits at night, but the remaining isoprene from daytime can carry to nighttime and will be concentrated with lowering PBL.

- 33) **Line 406:** if MPAN oxidation is responsible for 2-MG formation, you’d need to see the NO_2 correlation, which you don’t, correct?

We don’t see the correlation between 2-MG formation and NO_2 at the site, which is why we hypothesized that 2-MG might be formed upwind and transported to the site.

- 34) **Line 419:** “initiated” (spelling error)

We corrected a spelling error as the referee suggested.

35) **Lines 424-428:** this isn't super clear : are you saying that Ng 2008 didn't see this correlation because they didn't have RO_2+HO_2 reactions, and you're attributing your observation of a weak correlation to those RO_2+HO_2 rxns and not RO_2+RO_2 or RO_2+NO_3 , which Ng would have observed exclusively? Suggesting reworking the text.

We revised the text as the referee suggested as follows Page 21, Lines 462-467:

“The work of Ng et al. (2008), which only observed SOA as a consequence of the $\text{RO}_2 + \text{RO}_2$ and $\text{RO}_2 + \text{NO}_3$ reactions dominating the fate of the RO_2 radicals, does not explain the weak association between IEPOX-derived SOA tracers and $P[\text{NO}_3]$ we observe in this study. It is now thought that $\text{RO}_2 + \text{HO}_2$ should dominate the fate of RO_2 radicals in the atmosphere (Paulot et al., 2009; Schwantes et al., 2015).”

36) **Line 452:** suggest “putative” => “potential”

We corrected the wording as the referee suggested.

37) **Line 475:** briefly explain “salting-in” chemistry

We added a briefly explanation of salting-in in the text as follows on Pages 23-24, Lines 521-525:

“Another potential pathway for SO_4^{2-} levels to enhance isoprene SOA formation is through salting-in effects, which the solubility of polar organic compounds would be increased in aqueous solution with increasing salt concentration (Xu et al., 2015). However, systematic investigations of this effect are lacking and further studies are warranted.”

38) **Lines 485-486:** “may stem from... campaign”: add, or the fact that it was always plenty acidic and thus not at all pH-limited! (not just that it was relatively constant)

We agree with the referee's suggestion. We added the information in the text as follows on Page 24, Lines 533-535:

“However, it is important to point out that the lack of correlation between SOA tracers and acidity may stem from the small variations in aerosol acidity and the fact that aerosols are

very acidic throughout the campaign.”

- 39) **Lines 498/499:** depending on how you end up discussing this high-NO_x/low-NO_x idea, consider reminding the reader here of which products correspond to which NO_x regime.

We added the information the referee suggested as follows on Page 25, Lines 547-550:

“IEPOX-derived SOA (isoprene SOA produced under low-NO_x conditions) was predominant at all three sites during the SOAS campaign, while MAE/HMML-derived SOA (isoprene SOA produced under high-NO_x conditions) constituted a minor contribution.”

- 40) **Line 504:** you mean specifically, without the intermediate of IEPOX, right? If so, say so.

We added the information the referee suggested as follows on Page 25, Lines 553-557:

“Riva et al. (2016) recently demonstrated that only 2-methyltetrols can be formed via isoprene ozonolysis in the presence of acidic sulfate aerosol. The detailed mechanism explaining isoprene ozonolysis is still unclear, but acid-catalyzed heterogeneous reaction with organic peroxides or H₂O₂ was considered to be possible routes for 2-methyltetrol formation.”

- 41) **Line 522:** “effect”=>“affect”

We corrected the word as the referee suggested on Page 26, Lines 573-575:

“Differences in the relative contributions of IEPOX- and MAE/HMML-derived SOA tracers at BHM and the rural CTR and LRK sites (Budisulistiorini et al., 2015) during the 2013 SOAS campaign, support suggestions that anthropogenic emissions affect isoprene SOA formation.”

- 42) **Lines 534-536:** “in addition... regimes.” Seems to be introducing some new ideas –be sure you say something about this above in the main text.

We agree with the referee’s suggestion. We removed the following statement because we didn’t discuss about 2-methyltetrols and nighttime NO_x in the main text:

“In addition, nighttime 2-methyltetrol levels in the urban atmosphere deviate from the conventional understanding of isoprene SOA formation in terms of segregated NO_x dependent regimes.”

- 43) **Lines 439-545:** “In this study ... (Riva et al., 2015).” I think the bulk of this text should go above in 3.3.2. with just a summary here - seems like you’re presenting some new correlations here in the conclusions section.

We have already discussed these correlations in Section 3.3.2.

- 44) **Lines 555-556:** again, nearly invariant and ALWAYS very acidic is the key I think you’re trying to present here.

We emphasized that aerosols are very acidic in this revised text on Page 27, Lines 603-607:

“The absence of a correlation of aerosol acidity with MAE/HMML- and IEPOX-derived SOA tracers indicates that acidity is not the limiting variable that controls formation of these compounds. Because the aerosols are acidic (campaign average aerosol pH of 1.8), the lack of correlation between SOA tracers and acidity may stem from the nearly invariant aerosol acidity throughout the campaign.”

- 45) **Line 560:** “since urban emissions are directly present” => “in the presence of fresh urban emissions”

We agree with the referee’s suggestion. We revised the sentences as follows on Page 27, Lines 611-612:

“Future work should examine how well current models can predict the isoprene SOA levels observed during this study, especially in the presence of fresh urban emissions.”

- 46) **Table 1:** the periods for the intensive aren’t clear to me- the 4 sampling periods suggest coverage of 2 days, but these periods list 3 days-?

The 4 sampling periods suggest coverage of 2 days, and these schedules are run for 3 days. Detailed examples of intensive periods during June 10 – 12 and regular (day/night) on June 13 are consecutively illustrated in this table to aid in understanding the sampling schedule:

Sampling period	Sampling start	Sampling stop
Intensive 1	06/10/2013, 8 am	06/10/2013, 12 pm
Intensive 2	06/10/2013, 1 pm	06/10/2013, 3 pm
Intensive 3	06/10/2013, 4 pm	06/10/2013, 7 pm
Intensive 4	06/10/2013, 8 pm	06/11/2013, 7 am (next day)
Intensive 1	06/11/2013, 8 am	06/11/2013, 12 pm
Intensive 2	06/11/2013, 1 pm	06/11/2013, 3 pm
Intensive 3	06/11/2013, 4 pm	06/11/2013, 7 pm
Intensive 4	06/11/2013, 8 pm	06/12/2013, 7 am (next day)
Intensive 1	06/12/2013, 8 am	06/12/2013, 12 pm
Intensive 2	06/12/2013, 1 pm	06/12/2013, 3 pm
Intensive 3	06/12/2013, 4 pm	06/12/2013, 7 pm
Intensive 4	06/12/2013, 8 pm	06/13/2013, 7 am (next day)
Regular daytime	06/13/2013, 8 am	06/13/2013, 7 pm
Regular nighttime	06/13/2013, 8 pm	06/14/2013, 7 am (next day)

This information is already summarized concisely in Table 1 of the main text.

47) **In table 4:** I assume the bold lines are aggregated tracers for MAE/HMML vs. IEPOX?

Explain in the caption

We added the following footnotes in Table 4 as the referee suggested to explain this:

“*Summed tracers for MAE/HMML-derived SOA”

“**Summed tracers for IEPOX-derived SOA”

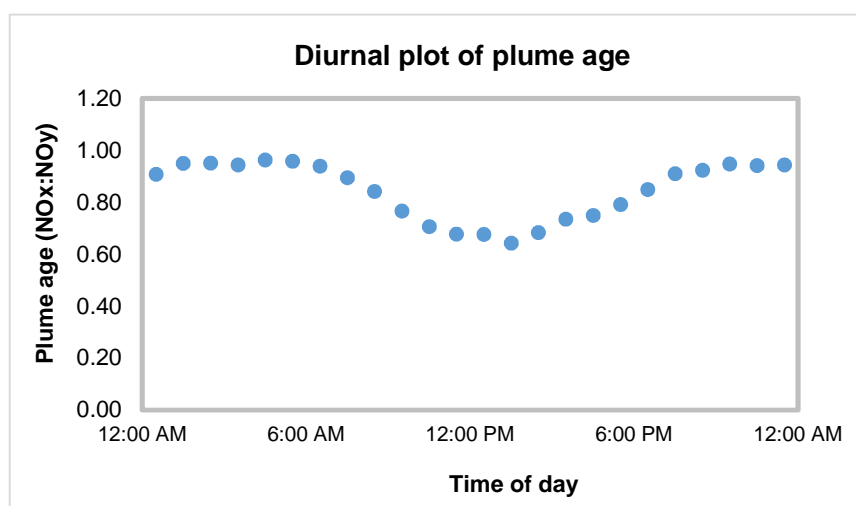
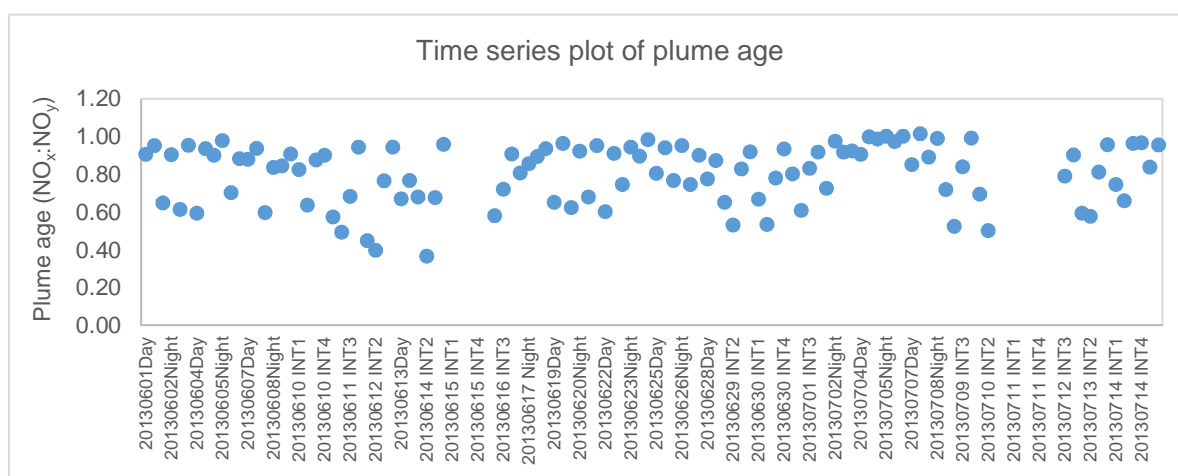
48) **Table5:** “average amount detected tracers” => “average fraction of detected tracers”

We corrected the column titles in Table 5 as the referee suggested here.

49) **Fig.2:** Looks like NO_x peaks are mostly during fires, based on CO spikes concurrent? Does this affect any of the plume age analysis? It’s quite hard to discern any day/night patterns here - maybe average day & night values, with SD bars, for some key metrics would be a good figure to include in the main body text? Also, add your plume age calc here to the time

series? I'm curious how much it varies over the campaign vs. has a typical diurnal pattern.

CO correlated with NO_x ($r^2 = 0.39$) suggesting the sources of combustion including fires and tailpipe emissions. This effect wasn't included in the plume age analysis in this study. The diurnal plots of key parameters in Figure 2 have been shown separately for better visibility in Figure 3-5 and Figure S4 in SI. The plume ages ($\text{NO}_x:\text{NO}_y$) were 0.37 – 1.02 over the course of sampling period. The authors decided not to include plume ages in time series plot for simplicity as plume age didn't provide major information for the analysis. However, we are providing the times series and diurnal plot here for the referee's information.



50) **In caption of Fig. 4:** say something about this being a smaller fraction than Fig.3 –because significantly weaker correlation.

We added the information to the caption as the referee suggested. Please note that the figure

number changed from 4 to 7 because we moved some figures from SI to the main body of the paper.

“Figure 7. Correlation of IEPOX-derived SOA tracers with (a) daytime NO₂, (b) daytime O₃, (c) daytime P[NO₃], (d) nighttime NO₂, (e) nighttime O₃, and (f) nighttime P[NO₃]. Nighttime P[NO₃] correlation suggests that NO₃ radical chemistry could explain some fraction of the IEPOX-derived SOA tracer concentrations. The contribution of nighttime P[NO₃] to IEPOX-derived SOA would be smaller than MAE/HMML-derived SOA due to the weaker correlation.”

SI: I would put S5-S7 in the main body of the paper. Also, in those captions, when you say there is "no significant variation was observed amongst intensive samples", do you mean to compare different date periods where you did the 4 time chunks, or do you mean between the 4 time chunks over all of the date periods where you did that finer time resolution, or both? Please clarify an SI figure with a couple key structures & corresponding acronyms would be nice (MAE,HMML,etc.)

Figures S5-S7 have been moved to the main body of the paper as the referee suggested. “No significant variation was observed amongst intensive samples” means between the time chunks over all of the date periods where we did that finer time resolution. All figures in SI have been revised for the key structures & corresponding acronyms.

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1 **Assessing the impact of anthropogenic pollution on isoprene-derived secondary organic**
2 **aerosol formation in PM_{2.5} collected from the Birmingham, Alabama ground site during the**
3 **2013 Southern Oxidant and Aerosol Study**

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23

24 **Abstract**

25 In the southeastern U.S., substantial emissions of isoprene from deciduous trees undergo
26 atmospheric oxidation to form secondary organic aerosol (SOA) that contributes to fine particulate
27 matter (PM_{2.5}). Laboratory studies have revealed that anthropogenic pollutants, such as sulfur
28 dioxide (SO₂), oxides of nitrogen (NO_x), and aerosol acidity, can enhance SOA formation from
29 the hydroxyl radical (OH)-initiated oxidation of isoprene; however, the mechanisms by which
30 specific pollutants enhance isoprene SOA in ambient PM_{2.5} remain unclear. As one aspect of an
31 investigation to examine how anthropogenic pollutants influence isoprene-derived SOA
32 formation, high-volume PM_{2.5} filter samples were collected at the Birmingham, Alabama (BHM)
33 ground site during the 2013 Southern Oxidant and Aerosol Study (SOAS). *Sample extracts were*
34 *analyzed by gas chromatography/electron ionization-mass spectrometry (GC/EI-MS) with prior*
35 *trimethylsilylation and ultra performance liquid chromatography coupled to electrospray*
36 *ionization high-resolution quadrupole time-of-flight mass spectrometry (UPLC/ESI-HR-*
37 *QTOFMS) to identify known isoprene SOA tracers.* Tracers quantified using both surrogate and
38 authentic standards were compared with collocated gas- and particle-phase data as well as
39 meteorological data provided by the Southeastern Aerosol Research and Characterization
40 (SEARCH) network to assess the impact of anthropogenic pollution on isoprene-derived SOA
41 formation. Results of this study reveal that isoprene-derived SOA tracers contribute a substantial
42 mass fraction of organic matter (OM) (~7 to ~20%). Isoprene-derived SOA tracers correlated with
43 sulfate (SO₄²⁻) (r² = 0.34, n = 117), but not with NO_x. Moderate correlation between methacrylic
44 acid epoxide and hydroxymethyl-methyl- α -lactone (MAE/HMML)-derived SOA tracers with
45 nitrate radical production (P[NO₃]) (r² = 0.57, n = 40) were observed during nighttime, suggesting
46 a potential role of NO₃ radical in forming this SOA type. However, the nighttime correlation of

47 these tracers with nitrogen dioxide (NO₂) ($r^2 = 0.26$, $n = 40$) was weaker. Ozone (O₃) correlated
48 strongly with MAE/HMML-derived tracers ($r^2 = 0.72$, $n = 30$) and moderately with 2-methyltetrols
49 ($r^2 = 0.34$, $n = 15$) during daytime only, suggesting that a fraction of SOA formation could occur
50 from isoprene ozonolysis in urban areas. No correlation was observed between aerosol pH and
51 isoprene-derived SOA. *Lack of correlation between aerosol acidity and isoprene-derived SOA is*
52 *consistent with the observation that acidity is not a limiting factor for isoprene SOA formation at*
53 *the BHM site as aerosols were acidic enough to promote multiphase chemistry of isoprene-derived*
54 *epoxides throughout the duration of the study. All in all, these results confirm previous studies*
55 *suggesting that anthropogenic pollutants enhance isoprene-derived SOA formation.*

56 **1. Introduction**

57 Fine particulate matter, suspensions of liquid or solid aerosol in a gaseous medium that are
58 less than or equal to 2.5 μm in diameter (PM_{2.5}), play a key role in physical and chemical
59 atmospheric processes. They influence climate patterns both directly, through the absorption and
60 scattering of solar and terrestrial radiation, and indirectly, through cloud formation (Kanakidou et
61 al., 2005). *In addition to climatic effects, PM_{2.5} has been demonstrated to pose a human health risk*
62 *through inhalation exposure (Pope and Dockery, 2006; Hallquist et al., 2009).* Despite the strong
63 association of PM_{2.5} with climate change and environmental health, there remains a need to more
64 fully resolve its composition, sources, and chemical formation processes in order to develop
65 effective control strategies to address potential hazards in a cost-effective manner (Hallquist et al.,
66 2009; Boucher et al., 2013; Nozière et al., 2015).

67 Atmospheric PM_{2.5} are comprised in a large part (up to 90% by mass in some locations),
68 of organic matter (OM) (Carlton et al., 2009; Hallquist et al., 2009). OM can be derived from many
69 sources. Primary organic aerosol (POA) is emitted from both natural (e.g., fungal spores,

70 vegetation, vegetative detritus) and anthropogenic sources (fossil fuel and biomass burning) prior
71 to atmospheric processing. As a result of large anthropogenic sources, POA is abundant largely in
72 urban areas. *Processes such as natural plant growth, biomass burning and combustion also yield*
73 *volatile organic compounds (VOCs), which have high vapor pressures and can undergo*
74 *atmospheric oxidation to form secondary organic aerosol (SOA) through gas-to-particle phase*
75 *partitioning (condensation or nucleation) with subsequent particle-phase (multiphase) chemical*
76 *reactions (Grieshop et al., 2009).*

77 At around 600 Tg emitted per year into the atmosphere, isoprene (2-methyl-1,3-butadiene,
78 C₅H₈) is the most abundant volatile non-methane hydrocarbon (Guenther et al., 2012). The
79 abundance of isoprene is particularly high in the southeastern U.S. due to emissions from broadleaf
80 deciduous tree species (Guenther et al., 2006). Research over the last decade has revealed that
81 isoprene, via hydroxyl radical (OH)-initiated oxidation, is a major source of SOA (Claeys et al.,
82 2004; Edney et al., 2005; Kroll et al., 2005 ; Kroll et al., 2006; Surratt et al., 2006; Lin et al., 2012;
83 Lin et al., 2013a). In addition, it is known that SOA formation is enhanced by anthropogenic
84 emissions, namely oxides of nitrogen (NO_x) and sulfur dioxide (SO₂), that are a source of acidic
85 aerosol onto which photochemical oxidation products of isoprene are reactively taken up to yield
86 a variety of SOA products (Edney et al., 2005; Kroll et al., 2006; Surratt et al., 2006; Surratt et al.,
87 2007b; Surratt et al., 2010; Lin et al., 2013b;).

88 Recent work has begun to elucidate some of the critical intermediates of isoprene oxidation
89 that lead to SOA formation through acid-catalyzed heterogeneous chemistry (Kroll et al., 2005;
90 Surratt et al., 2006). *Under low-NO_x conditions, such as in a pristine environment, multiple*
91 *isomers of isoprene epoxydiols (IEPOX) have been demonstrated to be critical to the formation of*
92 *isoprene SOA. On advection of IEPOX to an urban environment and mixing with anthropogenic*

93 *emissions of acidic sulfate aerosol, SOA formation is enhanced (Surratt et al., 2006; Lin et al.,*
94 *2012; Lin et al., 2013b). This pathway has been shown to yield 2-methyltetrols as major SOA*
95 *constituents of ambient PM_{2.5} (Claeys et al, 2004; Surratt et al., 2010; Lin et al., 2012). Further*
96 *work has revealed a number of additional IEPOX-derived SOA tracers, including C₅-alkene triols*
97 *(Wang et al., 2005; Lin et al., 2012), cis- and trans-3-methyltetrahydrofuran-3,4-diols (3-MeTHF-*
98 *3,4-diols) (Lin et al., 2012; Zhang et al., 2012), IEPOX-derived organosulfates (OSs) (Lin et al.,*
99 *2012), and IEPOX-derived oligomers (Lin et al., 2014). Some of the IEPOX-derived oligomers*
100 *have been shown to contribute to aerosol components known as brown carbon that absorb light in*
101 *the near ultraviolet (UV) and visible ranges (Lin et al., 2014). Under high-NO_x conditions, such*
102 *as encountered in an urban environment, isoprene is oxidized to methacrolein and SOA formation*
103 *occurs via the further oxidation of methacrolein (MACR) (Kroll et al., 2006; Surratt et al., 2006)*
104 *to methacryloyl peroxyxynitrate (MPAN) (Chan et al., 2010; Surratt et al., 2010; Nguyen et al.,*
105 *2015). It has recently been shown that when MPAN is oxidized by OH it yields at least two SOA*
106 *precursors, methacrylic acid epoxide (MAE) and hydroxymethyl-methyl- α -lactone (HMML)*
107 *(Surratt et al., 2006; Surratt et al., 2010; Lin et al., 2013a; Nguyen et al., 2015). Whether SOA*
108 *precursors are formed under high- or low-NO_x conditions, aerosol acidity is a critical parameter*
109 *that enhances the reaction kinetics through acid-catalyzed reactive uptake and multiphase*
110 *chemistry of either IEPOX or MAE/HMML (Surratt et al., 2007b; Surratt et al., 2010; Lin et al.,*
111 *2013b). In addition to MACR, other key oxidation products of isoprene, including glycolaldehyde,*
112 *methylglyoxal, and hydroxyacetone, can undergo multiphase chemistry to yield their respective*
113 *OS derivatives (Olsen et al., 2011; Schindelka et al. 2013; Shalamzari et al., 2013; Noziere et al.,*
114 *2015). However, the contribution of isoprene on the glyoxal-, methylglyoxal-, and hydroxyacetone-*
115 *derived OS mass concentrations in the atmosphere remains unclear since these SOA tracers can*

116 *also be formed from a wide variety of biogenic and anthropogenic precursors (Galloway et al.,*
117 *2009, Liao et al., 2015).*

118 *Due to the large emissions of isoprene, an SOA yield of even 1% would contribute*
119 *significantly to ambient SOA (Carlton et al., 2009; Henze et al., 2009).* This conclusion is
120 supported by measurements showing that up to a third of total fine OA mass can be attributed to
121 IEPOX-derived SOA tracers in Atlanta, GA (JST) during summer months (Budisulistiorini et al.,
122 2013; Budisulistiorini et al., 2015). A recent study in Yorkville, GA (YRK), similarly found that
123 IEPOX-derived SOA tracers comprised 12-19% of the fine OA mass (Lin et al., 2013b). Another
124 SOAS site at Centreville, Alabama (CTR) revealed IEPOX-SOA contributed 18% of total OA
125 mass (Xu et al., 2015). *The individual ground sites corroborate recent aircraft-based*
126 *measurements made in the Studies of Emissions and Atmospheric Composition, Clouds, and*
127 *Climate Coupling by Regional Surveys (SEAC4RS) aircraft campaign, which estimated an IEPOX-*
128 *SOA contribution of 32% to OA mass in the southeastern U.S. (Hu et al., 2015).*

129 It is clear from the field studies discussed above that particle-phase chemistry of isoprene-
130 derived oxidation products plays a large role in atmospheric SOA formation. However, much
131 remains unknown regarding the exact nature of its formation, limiting the ability of models to
132 accurately account for isoprene SOA (Carlton et al., 2010b; Foley et al., 2010). Currently,
133 traditional air quality models in the southeastern U.S. do not incorporate detailed particle-phase
134 chemistry of isoprene oxidation products (IEPOX or MAE/HMML) and generally under-predict
135 isoprene SOA formation (Carlton et al., 2010a). *Recent work demonstrates that incorporating the*
136 *specific chemistry of isoprene epoxide precursors into models increases the accuracy and amount*
137 *of isoprene SOA predictions (Pye et al., 2013; Karambelas et al., 2014; McNeill., 2015),*
138 *suggesting that understanding the formation mechanisms of biogenic SOA, especially with regard*

139 *to the effects of anthropogenic emissions, such as NO_x and SO₂, will be key to more accurate*
140 *models. More accurate models are needed in order to devise cost-effective control strategies for*
141 *reducing PM_{2.5} levels. Since isoprene is primarily biogenic in origin, and therefore not*
142 *controllable, the key to understanding the public health and environmental implications of*
143 *isoprene SOA lies in resolving the effects of anthropogenic pollutants.*

144 This study presents results from the 2013 Southeastern Oxidant and Aerosol Study
145 (SOAS), where several well-instrumented ground sites dispersed throughout the southeastern U.S.
146 made intensive gas- and particle-phase measurements from June 1 – July 16, 2013. The primary
147 purpose of this campaign was to examine, in greater detail, the formation mechanisms,
148 composition, and properties of biogenic SOA, including the effects of anthropogenic emissions.
149 This study pertains specifically to the results from the BHM ground site, where the city's ample
150 urban emissions mix with biogenic emissions from the surrounding rural areas, creating an ideal
151 location to investigate such interactions. *The results presented here focus on analysis of PM_{2.5}*
152 *collected on filters during the campaign by GC/EI-MS and UPLC/ESI-HR-QTOFMS. The analysis*
153 *of PM_{2.5} was conducted in order to determine quantities of known isoprene SOA tracers and using*
154 *collocated air quality and meteorological measurements to investigate how anthropogenic*
155 *pollutants including NO_x, SO₂, aerosol acidity (pH), PM_{2.5} sulfate (SO₄²⁻), and O₃ affect isoprene*
156 *SOA formation.* These results, along with the results presented from similar studies during the 2013
157 SOAS campaign, seek to elucidate the chemical relationships between anthropogenic emissions
158 and isoprene SOA formation in order to provide better parameterizations needed to improve the
159 accuracy of air quality models in this region of the U.S.

160 **2. Methods**

161 **2.1. Site description and collocated data**

162 Filter samples were collected in the summer of 2013 as part of the SOAS field campaign
163 at the BHM ground site (33.553N, 86.815W). In addition to the SOAS campaign, the site is also
164 part of the Southeastern Aerosol Research and Characterization Study (SEARCH) (Figure S1 of
165 the Supplement), an observation and monitoring program initiated in 1998. SEARCH and this site
166 are described elsewhere in detail (Hansen et al., 2003; Edgerton et al., 2006). The BHM site is
167 surrounded by significant transportation and industrial sources of PM. West of BHM are US-31
168 and I-65 highways. To the north, northeast and southwest of BHM several coking ovens and an
169 iron pipe foundry are located (Hansen et al., 2003).

170 **2.2. High-Volume filter sampling and analysis methods**

171 **2.2.1. High-Volume filter sampling**

172 From June 1 – July 16, 2013, PM_{2.5} samples were collected onto Tissuquartz™ Filters
173 (8 x 10 in, Pall Life Sciences) using high-volume PM_{2.5} samplers (Tisch Environmental) operated
174 at 1 m³ min⁻¹ at ambient temperature described in detail elsewhere (Budisulistiorini et al. 2015;
175 Riva et al., 2016). All quartz filters were pre-baked prior to collection. The procedure consisted of
176 baking filters at 550 °C for 18 hours followed by cooling to 25 °C over 12 hours.

177 The sampling schedule is given in Table 1. Either two or four samples were collected per
178 day. The regular schedule consisted of two samples per day, one during the day, the second at
179 night, each collected for 11 hours. On intensive sampling days, four samples were collected, with
180 the single daytime sample being subdivided into three separate periods. *The intensive sampling
181 schedule was conducted on days when high levels of isoprene, SO₄²⁻ and NO_x were forecast by the
182 National Center for Atmospheric Research (NCAR) using the Flexible Particle dispersion model
183 (FLEXPART) (Stohl et al., 2005) and Model for Ozone and Related Chemical Tracers (MOZART)*

184 *(Emmons et al., 2010) simulations*. Details of these simulations have been summarized in
185 Budisulistiorini et al. (2015); however, these model data were only used qualitatively to determine
186 the sampling schedule. The intensive collection frequency allowed enhanced time resolution for
187 offline analysis to examine the effect of anthropogenic emissions on the evolution of isoprene SOA
188 tracers throughout the day.

189 In total, 120 samples were collected throughout the field campaign with a field blank filter
190 collected every 10 days to identify errors or contamination in sample collection and analysis. All
191 filters were stored at -20 °C in the dark until extraction and analysis. *In addition to filter sampling*
192 *of PM_{2.5}, SEARCH provided a suite of additional instruments at the site that measured*
193 *meteorological and chemical variables, including temperature, relative humidity (RH), trace*
194 *gases (i.e., CO, O₃, SO₂, NO_x, and NH₃), and continuous PM monitoring. The exact variables*
195 *measured with their respective instrumentation are summarized in Table S1 of the Supplement.*

196 **2.2.2. Isoprene-derived SOA analysis by GC/EI-MS**

197 SOA collected in the field on quartz filters was extracted and isoprene tracers quantified
198 by GC/EI-MS with prior trimethylsilylation. A 37-mm diameter circular punch from each filter
199 was extracted in a pre-cleaned scintillation vial with 20 mL of high-purity methanol (LCMS
200 CHROMASOLV-grade, Sigma-Aldrich) by sonication for 45 minutes. The extracts were filtered
201 through PTFE syringe filters (Pall Life Science, Acrodisc®, 0.2-µm pore size) to remove insoluble
202 particles and residual quartz fibers. The filtrate was then blown dry under a gentle stream of N₂ at
203 room temperature. *The dried residues were immediately trimethylsilylated by reaction with 100*
204 *µL of BSTFA + TMCS (99:1 v/v, Supelco) and 50 µL of pyridine (anhydrous, 99.8 %, Sigma-*
205 *Aldrich) at 70 °C for 1 hour. Trimethylsilyl derivatives of carbonyl and hydroxyl functional groups*
206 *were measurable by our GC/EI-MS method.* Derivatized samples were analyzed within 24 hours

207 after trimethylsilylation using a Hewlett-Packard (HP) 5890 Series II Gas Chromatograph coupled
208 to a HP 5971A Mass Selective Detector. The gas chromatograph was equipped with an *Econo-*
209 *Cap®-EC®-5* Capillary Column (30 m x 0.25 mm i.d.; 0.25- μ m film thickness) to separate
210 trimethylsilyl derivatives before MS detection. 1 μ L aliquots were injected onto the column.
211 Operating conditions and procedures have been described elsewhere (Surratt et al., 2010).

212 Extraction efficiency was assessed and taken into account for the quantification of all SOA
213 tracers. Efficiency was determined by analyzing 4 pre-baked filters spiked with 50 ppmv of 2-
214 methyltetrols, 2-methylglyceric acid, levoglucosan, and *cis-* and *trans*-3-MeTHF-3,4-diols.
215 Extraction efficiency was above 90% and used to correct the quantification of samples. Extracted
216 ion chromatograms (EICs) of *m/z* 262, 219, 231, 335 were used to quantify the *cis-/trans*-3-
217 MeTHF-3,4-diols, 2-methyltetrols and 2-methylglyceric acid, C₅-alkene triols, and IEPOX-
218 dimers, respectively (Surratt et al., 2006).

219 2-Methyltetrols were quantified using an authentic reference standard that consisted of a
220 mixture of racemic diastereoisomers. Similarly, 3-MeTHF-3,4-diol isomers were also quantified
221 using authentic standards; however, 3-MeTHF-3,4-diol isomers were detected in few field
222 samples. 2-Methylglyceric acid was also quantified using an authentic standard. Procedures for
223 synthesis of the 2-methyltetrols, 3-MeTHF-3,4-diol isomers, and 2-methylglyceric acid have been
224 described elsewhere (Zhang et al., 2012; Budisulistiorini et al., 2015). C₅-alkene triols and IEPOX-
225 dimers were quantified using the average response factor of the 2-methyltetrols.

226 *To investigate the effect of IEPOX-derived OS hydrolysis/decomposition during GC/EI-MS*
227 *analysis, known concentrations (i.e., 1, 5, 10, and 25 ppbv) of the authentic IEPOX-derived OS*
228 *standard (Budisulistiorini et al., 2015) were directly injected into the GC/MS following*

229 *trimethylsilylation. Ratios of detected 2-methyltetrols to the IEPOX-derived OS were applied to*
230 *estimate the total IEPOX-derived SOA tracers in order to avoid double counting when combining*
231 *the GC/MS and UPLC/ESI-HR-QTOFMS SOA tracer results.*

232 **2.2.3. Isoprene-derived SOA analysis by UPLC/ ESI-HR-QTOFMS**

233 *A 37-mm diameter circular punch from each quartz filter was extracted following the same*
234 *procedure as described in Section 2.2.2 for the GC/EI-MS analysis. However, after drying, the*
235 *dried residues were instead reconstituted with 150 μ l of a 50:50 (v/v) solvent mixture of methanol*
236 *(LC-MS CHROMASOVL-grade, Sigma-Aldrich) and high-purity water (Milli-Q, 18.2 M Ω). The*
237 *extracts were immediately analyzed by the UPLC/ESI-HR-QTOFMS (6520 Series, Agilent)*
238 *operated in the negative ion mode. Detailed operating conditions have been described elsewhere*
239 *(Riva et al., 2016). Mass spectra were acquired at a mass resolution 7000-8000.*

240 *Extraction efficiency was determined by analyzing 3 pre-baked filters spiked with propyl*
241 *sulfate and octyl sulfate (electronic grade, City Chemical LLC). Extraction efficiencies were in the*
242 *range 86 – 95%. EICs of m/z 215, 333 and 199 were used to quantify the IEPOX-derived OS,*
243 *IEPOX-derived dimer OS and the MAE-derived OS, respectively (Surratt et al., 2007a). EICs were*
244 *generated with a \pm 5 ppm tolerance. Accurate masses for all measured organosulfates were within*
245 *\pm 5 ppm. For simplicity, only the nominal masses are reported in the text when describing these*
246 *products. IEPOX-derived OS and IEPOX-derived dimer OS were quantified by the IEPOX-*
247 *derived standard synthesized in-house (Budisulistiorini et al., 2015). The MAE-derived OS was*
248 *quantified using an authentic MAE-derived OS standard synthesized in-house by a procedure to*
249 *be described in a forthcoming publication (1 H NMR trace, Figure S2). Although the MAE-derived*
250 *OS (Gómez-González et al., 2008), which is more formally called 3-sulfooxy-2-hydroxy-2-methyl*
251 *propanoic acid, has been chemically verified from the reactive uptake of MAE on wet acidic sulfate*

252 *aerosol (Lin et al., 2013a), the term MAE/HMML-derived OS will be used hereafter to denote the*
253 *two potential precursors (MAE and HMML) contributing to this OS derivative as recently*
254 *discussed by Nguyen et al. (2015). It should be noted that Nguyen et al. (2015) provided indirect*
255 *evidence for the possible existence of HMML. As a result, further work is needed to synthesize*
256 *this compound to confirm its structure and likely role in SOA formation from isoprene oxidation.*

257 EICs of of m/z 155, 169 and 139 were used to quantify the glyoxal-derived OS,
258 methylglyoxal-derived OS, and the hydroxyacetone-derived OS, respectively (Surratt et al.,
259 2007a). In addition, EICs of m/z 211, 260 and 305 were used to quantify other known isoprene-
260 derived OSs (Surratt et al., 2007a). Glycolic acid sulfate synthesized in-house was used as a
261 standard to quantify the glyoxal-derived OS (Galloway et al., 2009) and propyl sulfate, was used
262 as a surrogate standard to quantify the remaining isoprene-derived OSs.

263 **2.2.4. OC and WSOC analysis**

264 A 1.5 cm² square punch from each quartz filter was analyzed for total organic carbon (OC)
265 and elemental carbon (EC) by the thermal-optical method (Birch and Cary, 1996) on a Sunset
266 Laboratory OC/EC instrument (Tigard, OR) at the National Exposure Research Laboratory
267 (NERL) at the U.S. Environmental Protection Agency, Research Triangle Park, NC. The details
268 of the instrument and analytical method have been described elsewhere (Birch and Cary, 1996). In
269 addition to the internal calibration using methane gas, four different mass concentrations of sucrose
270 solution were used to verify the accuracy of instrument during the analysis.

271 Water-soluble organic carbon (WSOC) was measured in aqueous extracts of quartz fiber
272 filter samples using a total organic carbon (TOC) analyzer (Sievers 5310C, GE Water & Power)
273 equipped with an inorganic carbon remover (Sievers 900). *To maintain low background carbon*
274 *levels, all glassware used was washed with water, soaked in 10% nitric acid, and baked at 500 °C*

275 *for 5 h and 30 min prior to use.* Samples were extracted in batches that consisted of 12-21 PM_{2.5}
276 samples and field blanks, one laboratory blank, and one spiked solution. A 17.3 cm² filter portion
277 was extracted with 15 mL of purified water (> 18 MΩ, Barnstead Easypure II, Thermo Scientific)
278 by ultra-sonication (Branson 5510). *Extracts were then passed through a 0.45 μm*
279 *polytetrafluorethylene (PTFE) filter to remove insoluble particles.* The TOC analyzer was
280 calibrated using potassium hydrogen phthalate (KHP, Sigma Aldrich) and was verified daily with
281 sucrose (Sigma Aldrich). Samples and standards were analyzed in triplicate; the reported values
282 correspond to the average of the second and third trials. Spiked solutions yielded recoveries that
283 averaged (\pm one standard deviation) 96 ± 5 % (n = 9). All ambient concentrations were field blank
284 subtracted.

285 **2.2.5. Estimation of aerosol pH by ISORROPIA**

286 Aerosol pH was estimated using a thermodynamic model, ISORROPIA-II (Nenes et al.,
287 1998). SO₄²⁻, nitrate (NO₃⁻), and ammonium (NH₄⁺) ion concentrations measured in PM_{2.5}
288 collected from BHM, as well as relative humidity (RH), temperature and gas-phase ammonia
289 (NH₃) were used as inputs into the model. These variables were obtained from the SEARCH
290 network at BHM, which collected the data during the period covered by the SOAS campaign. The
291 ISORROPIA-II model estimates particle hydronium ion concentration per unit volume of air (H⁺,
292 μg m⁻³), aerosol liquid water content (LWC, μg m⁻³), and aqueous aerosol mass concentration (μg
293 m⁻³). The model-estimated parameters were used in the following formula to calculate the aerosol
294 pH:

$$295 \quad \text{Aerosol pH} = -\log_{10} a_{H^+} = -\log_{10} \left(\frac{H_{air}^+}{LMASS} \times \rho_{aer} \times 1000 \right)$$

296 where a_{H^+} is H^+ activity in the aqueous phase (mol L^{-1}), $LMASS$ is total liquid-phase aerosol mass
297 ($\mu\text{g m}^{-3}$) and ρ_{aer} is aerosol density. Details of the ISORROPIA-II model and its ability to predict
298 pH, LWC, and gas-to-particle partitioning are not the focus of this study and are discussed
299 elsewhere and (Fountoukis et al., 2009).

300 **2.2.6. Estimation of nighttime NO_3**

301 Nitrate radical (NO_3) production ($P[\text{NO}_3]$) was calculated using the following equation:

$$302 \quad P[\text{NO}_3] = [\text{NO}_2][\text{O}_3]k$$

303 where $[\text{NO}_2]$ and $[\text{O}_3]$ correspond to the measured ambient NO_2 and O_3 concentrations (mol
304 cm^{-3}), respectively, and k is the temperature-dependent rate constant (Herron and Huie, 1974;
305 Graham and Johnston, 1978). Since no direct measure of NO_3 radical was made at this site during
306 SOAS, $P[\text{NO}_3]$ was used as a proxy for NO_3 radicals present in the atmosphere to examine if there
307 is any association of it with isoprene-derived SOA tracers.

308 **3. Results and Discussion**

309 **3.1. Overview of the study**

310 The campaign extended from June 1 through July 16, 2013. *Temperature during this period*
311 *ranged from a high of 32.6 °C to a low of 20.5 °C, with an average of 26.4 °C.* RH varied from
312 37-96% throughout the campaign, with an average of 71.5%. Rainfall occurred intermittently over
313 2-3 day periods and averaged 0.1 inches per day. Wind analysis reveals that air masses approached
314 largely from the south-southeast at an average wind speed of 2 m s^{-1} . Summaries of meteorological
315 conditions as well as wind speed and direction during the course of the campaign are given in
316 Table 2 and illustrated in Figures 1 and 2.

317 *The average concentration of carbon monoxide (CO), a combustion byproduct, was 208.7*
318 *ppbv. The mean concentration of O₃ was significantly higher (t-test, p-value < 0.05) on intensive*
319 *sampling days (37.0 ppbv) compared to regular sampling days (25.2 ppbv). Campaign average*
320 *concentrations of NO_x, NH₃, and SO₂ were 7.8, 1.9, and 0.9 ppbv, respectively.* On average, OC
321 and WSOC levels were 7.2 (n = 120) and 4 $\mu\text{g m}^{-3}$ (n = 100), respectively. The largest inorganic
322 component of PM_{2.5} was SO₄²⁻, which averaged 2 $\mu\text{g m}^{-3}$ with excursions between 0.4 and 4.9 μg
323 m^{-3} during the campaign. NH₄⁺ and NO₃⁻ were present at low levels, averaging 0.66 and 0.14 μg
324 m^{-3} , respectively. Time series of gas and PM_{2.5} components are shown in Figure 2. WSOC
325 accounted for 35% of OC mass (Figure S3a), and was smaller than that recently reported in rural
326 areas during SOAS (Budisulistiorini et al., 2015; Hu et al., 2015), but consistent with previous
327 observations at the BHM site (Ding et al., 2008). WSOC/OC ratios are commonly lower in urban
328 than rural areas, as a consequence of higher primary OC emissions; thus, PM at BHM probably
329 contains increased OC.

330 Diurnal variation of meteorological parameters, trace gases, and PM_{2.5} components are
331 shown in Figure S4 of the Supplement. Temperature dropped during nighttime, and reached a
332 maximum in the afternoon (Figure S4a). Conversely, RH was low during day and high at night.
333 *High NO_x levels were found in the early morning and decreased during the course of the day*
334 *(Figure S4c), most likely due to forming NO_x sinks (e.g., RONO₂, ROONO₂, and HNO₃) as well as*
335 *possibly due to increasing planetary boundary layer (PBL) heights.* O₃ reached a maximum
336 concentration between 12 - 3 pm due to photochemistry (Figure S4b). SO₂ was slightly higher in
337 the morning (Figure S4c), but decreased during the day most likely as a result of PBL dynamics.
338 NH₃ remained fairly constant throughout the day (Figure S4c). No significant diurnal variation
339 was found in the concentration of inorganic PM_{2.5} components, including SO₄²⁻, NO₃⁻, and NH₄⁺

340 (Figure S4d). Unfortunately, a measurement of isoprene could not be made at BHM during the
341 campaign. *However, the diurnal trend of isoprene levels might be similar to the data at the CTR*
342 *site (Xu et al., 2015), which is only 61 miles away from BHM. Xu et al. (2015) observed the highest*
343 *levels of isoprene (~ 6 ppb) at CTR in the mid-afternoon (3 pm local time) and its diurnal trend*
344 *was similar to isoprene-OA measured by the Aerodyne Aerosol Mass Spectrometer (AMS) during*
345 *the SOAS campaign at the CTR site.*

346 **3.2 Characterization of Isoprene SOA**

347 Table 3 summarizes the mean and maximum concentrations of known isoprene-derived
348 SOA tracers detected by GC/EI-MS and UPLC/ESI-HR-QTOFMS. Levoglucosan was also
349 analyzed as a tracer for biomass burning. Among the isoprene-derived SOA tracers, the highest
350 mean concentration was for 2-methyltetrols (376 ng m^{-3}), followed by the sum of C₅-alkene triols
351 (181 ng m^{-3}) and the IEPOX-derived OS (165 ng m^{-3}). The concentrations account for 3.8%, 1.8%
352 and 1.6%, respectively, of total OM mass. Noteworthy is that maximum concentrations of 2-
353 methylerythritol (a 2-methyltetrol isomer; 1049 ng m^{-3}), IEPOX-derived OS (865 ng m^{-3}) and (E)-
354 2-methylbut-3-ene-1,2,4-triol (879 ng m^{-3}) were attained during the intensive sampling period 4-7
355 pm local time on June 15, 2013, following five consecutive days of dry weather (Figure 2a and
356 2d) when high levels of isoprene, SO_4^{2-} , and NO_x were forecast.

357 *Our investigation for the potential of OS hydrolysis/decomposition during GC/EI-MS*
358 *analysis demonstrated that only 1.7% of 2-methylthreitol and 2.4% of 2-methylerythritol could be*
359 *derived from the IEPOX-derived OSs. In order to accurately estimate the mass concentrations of*
360 *the IEPOX-derived SOA tracers, we took this effect into account. Together, the IEPOX-derived*
361 *SOA tracers, which represent SOA formation from isoprene oxidation predominantly under the*
362 *low- NO_x pathway, comprised 92.45% of the total detected isoprene-derived SOA tracer mass at*

363 *the BHM site*. This contribution is slightly lower than observations reported at rural sites located
364 in Yorkville, GA (97.50%) and Look Rock, Tennessee (LRK) (97%) (Lin et al., 2013b;
365 Budisulistiorini et al., 2015).

366 The sum of MAE/HMML-OS and 2-MG, which represent SOA formation from isoprene
367 oxidation predominantly under the high-NO_x pathway, contributed 3.25% of the total isoprene-
368 derived SOA tracer mass, while the OS derivative of glycolic acid (GA sulfate) contributed 3.3%.
369 The contribution of GA sulfate was consistent with the level of GA sulfate measured by the
370 airborne NOAA Particle Analysis Laser Mass Spectrometer (PALMS) over the continental U.S.
371 during the Deep Convective Clouds and Chemistry Experiment and SEAC4RS (Liao et al., 2015).
372 However, the contribution of GA sulfate to the total OM at BHM (0.3%) is lower than aircraft-
373 based measurements made by Liao et al. (2015) near the ground in the eastern U.S. (0.9%). GA
374 sulfate can form from biogenic and anthropogenic emissions other than isoprene, including
375 glyoxal, which is thought to be a primary source of GA sulfate (Galloway et al., 2009). For this
376 reason, GA sulfate will not be further discussed in this study.

377 *Isoprene SOA contribution to total OM was estimated by assuming the OM/OC ratio 1.6*
378 *based on recent studies (El-Zanan et al., 2009; Simon et al., 2011; Ruthenburg et al., 2014;*
379 *Blanchard et al., 2015). On average, isoprene-derived SOA tracers (sum of both IEPOX- and*
380 *MAE/HMML-derived SOA tracers) contributed ~7% (ranging up to ~ 20% at times) of the total*
381 *particulate OM mass*. The average contribution is lower than measured at other sites in the S.E.
382 USA, including both rural LRK (Budisulistiorini et al., 2015; Hu et al., 2015) and urban Atlanta,
383 GA (Budisulistiorini et al., 2013). The contribution of SOA tracers to OM in the current study was
384 estimated on the basis of offline analysis of filters, *while tracer estimates in the two earlier studies*
385 *were based on online ACSM/AMS measurements. The low isoprene SOA/OM ratio is consistent*

386 *with the low WSOC/OC reported in Section 3.1, suggesting a larger contribution of primary OA*
387 *or hydrophobic secondary OM originating from anthropogenic emissions to the total OM at BHM.*
388 However, it should be noted that total IEPOX-derived SOA mass at BHM may actually be closer
389 to ~14% since recent measurements by the Aerodyne ACSM at LRK indicated that tracers could
390 only account for ~50% of the total IEPOX-derived SOA mass resolved by the ACSM
391 (Budisulistiorini et al., 2015). *Unfortunately, an Aerodyne ACSM or AMS was not available at the*
392 *BHM site to support the confirmation that IEPOX-derived SOA mass at BHM might account for*
393 *14% (on average) of the total OM mass.*

394 *Levoglucosan, a biomass-burning tracer, averaged 1% of total OM with spikes up to 8%,*
395 *the same level measured for 2-methylthreitol and (E)-2-methylbut-3-ene-1,2,4-triol (Table 3). The*
396 *ratio of average levoglucosan at BHM relative to CTR was 5.4, suggesting significantly more*
397 *biomass burning impacting the BHM site.*

398 IEPOX- and MAE/HMML-derived SOA tracers accounted for 18% and 0.4% of the
399 WSOC mass, respectively (Figure S3b), lower than the respective contributions of 24% and 0.7%
400 measured at LRK (Budisulistiorini et al., 2015).

401 *Figure 3 shows no difference for the average day and night concentration of isoprene-*
402 *derived SOA tracers, suggesting that the majority of isoprene SOA tracers are potentially long-*
403 *lived and formed upwind. A recent study by Lopez-Hilfiker et al. (2016) at the CTR site during the*
404 *2013 SOAS demonstrated that isoprene-derived SOA was comprised of effectively nonvolatile*
405 *material, which could allow for this type of SOA to be long-lived in the atmosphere. Although 2-*
406 *MG and MAE-derived OS are known to form under high-NO_x conditions (Lin et al., 2013a), no*
407 *correlation between 2-MG and MAE-derived OS with NO_x (Table 4) is observed at the BHM. This*

408 *supports that isoprene SOA tracers likely formed at upwind locations and subsequently*
409 *transported to the sampling site. Higher isoprene emissions during the daytime and cooler*
410 *nighttime temperatures do not appear to cause any differences between daytime and nighttime*
411 *isoprene-derived SOA tracer concentrations. Figures 4 and 5 show the variation of isoprene-*
412 *derived SOA tracers during intensive sampling periods. The highest concentrations were usually*
413 *observed in samples collected from 4 pm – 7 pm, local time; however, no statistical significance*
414 *were observed between intensive periods.* This observation illustrates the importance of the higher
415 time-resolution of the tracer data during intensive sampling periods over course of the campaign
416 (Table S2-S6). An additional consequence of the intensive sampling periods was resolution of a
417 significant correlation between isoprene SOA tracers and O₃ to be discussed in more detail in
418 Section 3.3.2.

419 **3.3 Influence of anthropogenic emissions on isoprene-derived SOA**

420 **3.3.1 Effects of reactive nitrogen-containing species**

421 During the campaign, no isoprene-derived SOA tracers, including MAE/HMML-derived
422 OS and 2-MG, correlated with NO_x or NO_y ($r^2 = 0$, $n = 120$). This is inconsistent with the current
423 understanding of SOA formation from isoprene oxidation pathways under high-NO_x conditions,
424 which proceeds through uptake of MAE (Lin et al., 2013a), and, as recently suggested, HMML
425 (Nguyen et al., 2015), to yield 2-MG and its OS derivative. *Plume age, as a ratio of NO_x:NO_y, in*
426 *this study was highly correlated with O₃ ($r^2 = 0.79$, $n = 120$) which is consistent with the relative*
427 *diurnal variation of NO_x, NO_y, and O₃ as discussed in Section 3.1. This correlation might be also*
428 *explained by the photolysis of NO₂, which is abundant due to traffic at the urban ground site,*
429 *resulting in formation of tropospheric O₃. A negative correlation coefficient ($r^2 = 0.22$, $n = 120$)*
430 *between plume age and 2-MG abundance was found as a consequence of relative diurnal*

431 *variations. The peak of 2-MG was observed in the afternoon after NO_x has decreased. This*
432 *correlation leads to the hypothesis that the formation of 2-MG may be associated with ageing of*
433 *air masses; however, further investigation is warranted.* A previous study supported a major role
434 for NO₃ in the nighttime chemistry of isoprene (Ng et al., 2008). Correlation of IEPOX- and
435 MAE/HMML-derived SOA with nighttime NO₂, O₃, and P[NO₃] were examined in this study
436 (Figures 6 and 7). As shown in Figure 6f, a moderate correlation between MAE/HMML-derived
437 SOA and nighttime P[NO₃] ($r^2 = 0.57$, $n = 40$) was observed. The regression analysis revealed a
438 significant correlation at the 95% confidence interval (p -value < 0.05) (Table S7). This finding
439 suggests that some MAE/HMML-derived SOA may form locally from the reaction of isoprene
440 with NO₃ radical at night. A field study reported a peak isoprene mixing ratio in early evening
441 (Starn et al., 1998) as the PBL height decreases at night. As a result, lowering PBL heights could
442 concentrate the remaining isoprene, NO₂, and O₃ that can continue to react during the course of
443 the evening. 2-MG formation has been reported to be NO₂-dependent via the formation and further
444 oxidation of MPAN (Surratt et al., 2006; Chan et al., 2010). Hence, decreasing PBL may be related
445 to nighttime MAE/HMML-derived SOA formation through isoprene oxidation by both P[NO₃]
446 and NO₂.

447 Although P[NO₃] depends on both NO₂ and O₃ levels, O₃ correlates moderately with
448 MAE/HMML-derived SOA tracers during day ($r^2 = 0.48$, $n = 75$), but not at night ($r^2 = 0.08$, $n =$
449 45). The effect of O₃ on isoprene-derived SOA formation during daytime will be discussed further
450 in Section 3.3.2. NO₂ levels correlate only weakly with MAE/HMML-derived SOA tracers ($r^2 =$
451 0.26 , $n = 45$), indicating that NO₂ levels alone do not explain the moderate correlation of P[NO₃]
452 with these tracers. To our knowledge, correlation of P[NO₃] with high-NO_x SOA tracers has not

453 been observed in previous field studies, indicating that further work is needed to examine the
454 potential role of nighttime NO_3 radicals in forming these SOA tracers.

455 As shown in Figure 7f, IEPOX-derived SOA was weakly correlated ($r^2 = 0.26$, $n = 40$) with
456 nighttime $\text{P}[\text{NO}_3]$. The correlation appears to be driven by the data at the low end of the scale and
457 could therefore be misleading. *However, Schwantes et al. (2015) demonstrated that NO_3 -initiated*
458 *oxidation of isoprene yields isoprene nitrooxy hydroperoxides (INEs) through nighttime reaction*
459 *of $\text{RO}_2 + \text{HO}_2$, which upon further oxidation yielded isoprene nitrooxy hydroxyepoxides (INHEs).*
460 The INHEs undergo reactive uptake onto acidic sulfate aerosol to yield SOA constituents similar
461 to those of IEPOX-derived SOA. The present study raises the possibility that a fraction of IEPOX-
462 derived SOA comes from NO_3 -initiated oxidation of isoprene at night. *The work of Ng et al.*
463 *(2008), which only observed SOA as a consequence of the $\text{RO}_2 + \text{RO}_2$ and $\text{RO}_2 + \text{NO}_3$ reactions*
464 *dominating the fate of the RO_2 radicals, does not explain the weak association between IEPOX-*
465 *derived SOA tracers and $\text{P}[\text{NO}_3]$ we observe in this study. It is now thought that $\text{RO}_2 + \text{HO}_2$*
466 *should dominate the fate of RO_2 radicals in the atmosphere (Paulot et al., 2009; Schwantes et al.,*
467 *2015).*

468 3.3.2 Effect of O_3

469 During the daytime, O_3 was moderately correlated ($r^2 = 0.48$, $n = 75$) with total
470 MAE/HMML-derived SOA (Figure 6b). This correlation was stronger ($r^2 = 0.72$, $n = 30$, p -value
471 < 0.05 , Table S7) when filters taken during regular daytime sampling periods are considered,
472 suggesting that formation of MACR (a precursor to MAE and HMML) (Lin et al., 2013b; Nguyen
473 et al., 2015) was enhanced by oxidation of isoprene by O_3 (Kamens et al., 1982). O_3 was not
474 correlated ($r^2 = 0.08$, $n = 45$) with MAE/HMML-derived SOA at night (Figure 6e). The latter
475 finding is consistent with the absence of photolysis to drive the production of O_3 . However,

476 residual O₃ may play an important role at night to form MAE/HMML-derived SOA via the P[NO₃]
477 pathway discussed in Section 3.3.1.

478 O₃ was not correlated ($r^2 = 0.10$, $n = 75$) with IEPOX-derived SOA during daytime (Figure
479 7b), but weakly correlated with 2-methylerythritol ($r^2 = 0.25$, $n = 30$) as shown in Table S2,
480 especially during intensive 3 sampling periods ($r^2 = 0.34$, $n = 15$, Table S5). An important
481 observation with regard to this result is that no correlation has been found between O₃ and 2-
482 methyltetrols ($r^2 < 0.01$) in previous field studies (Lin et al., 2013b; Budisulistiorini et al., 2015).
483 Isoprene ozonolysis yielded 2-methyltetrols in chamber studies in the presence of acidified sulfate
484 aerosol (Riva et al., 2016), but C₅-alkene-triols were not formed by this pathway. The greatest
485 abundance of isoprene-derived SOA tracers in daytime samples was generally observed in
486 intensive 3 samples; however, there was no statistical significance observed between intensive
487 samples. The moderate correlation ($r^2 = 0.34$, $n = 15$, p -value < 0.05) between O₃ and the 2-
488 methyltetrols observed in intensive 3 samples occurred when O₃ reached maximum levels,
489 suggesting that ozonolysis of isoprene plays a role in 2-methyltetrol formation. Lack of correlation
490 between O₃ and C₅-alkene triols during intensive 3 sampling ($r^2 = 0.10$, $n = 15$) supports this
491 contention. *Previous studies (Nguyen et al., 2010; Inomata et al., 2014) proposed that SOA*
492 *formation from isoprene ozonolysis occurs from stabilized Criegee intermediates (sCIs) that can*
493 *further react in the gas phase to form higher molecular weight products that subsequently partition*
494 *to the aerosol phase to make SOA. Recent work by Riva et al. (2016) systematically demonstrated*
495 *that isoprene ozonolysis in the presence of wet acidic aerosol yields 2-methyltetrols and*
496 *organosulfates unique to this process. Notably, no C₅-alkene triols were observed, which are*
497 *known to form simultaneously with 2-methyltetrols if IEPOX multiphase chemistry is involved (Lin*
498 *et al., 2012). Riva et al. (2016) tentatively proposed that hydroperoxides formed in the gas phase*

499 *from isoprene ozonolysis potentially partition to wet acidic sulfate aerosols and hydrolyze to yield*
500 *2-methyltetrols as well as the unique set of organosulfates observed (Riva et al., 2016). Additional*
501 *work using authentic hydroperoxide standards is needed to validate this tentative hypothesis.*

502 **3.3.3 Effect of particle SO₄²⁻**

503 SO₄²⁻ was moderately correlated with IEPOX-derived SOA ($r^2 = 0.36$, $n = 117$) and
504 MAE/HMML-derived SOA ($r^2 = 0.33$, $n = 117$) at the 95% confidence interval as shown in Table
505 S7. The strength of the correlations was consistent with studies at other sites across the
506 Southeastern U.S. (Budisulistiorini et al., 2013; Lin et al., 2013b; Budisulistiorini et al., 2015; Xu
507 et al., 2015). Aerosol surface area provided by acidic SO₄²⁻ has been demonstrated to control the
508 uptake of isoprene-derived epoxides (Lin et al., 2012; Gaston et al., 2014; Nguyen et al., 2014;
509 Riedel et al., 2016).

510 Furthermore, SO₄²⁻ is proposed to enhance IEPOX-derived SOA formation by providing
511 particle water (H₂O_{ptcl}) required for IEPOX uptake (Xu et al., 2015). Aerosol SO₄²⁻ also promotes
512 acid-catalyzed ring-opening reactions of IEPOX by H⁺, proton donors such as NH₄⁺, and
513 nucleophiles (e.g., H₂O, SO₄²⁻, or NO₃⁻) (Surratt et al., 2010; Nguyen et al., 2014). Since SO₄²⁻
514 tends to drive both particle water and acidity (Fountoukis and Nenes, 2007), the extent to which
515 each influences isoprene SOA formation during field studies remains unclear. Multivariate linear
516 regression analysis on SOAS data from the CTR site and the SCAPE dataset revealed a statistically
517 significant positive linear relationship between SO₄²⁻ and the isoprene (IEPOX)-OA factor
518 resolved by positive matrix factorization (PMF). On the basis of this analysis the abundance of
519 SO₄²⁻ was concluded to control directly the isoprene SOA formation over broad areas of the
520 Southeastern U.S. (Xu et al., 2015), consistent with previous reports (Lin et al., 2013;
521 Budisulistiorini et al., 2013; Budisulistiorini et al., 2015). *Another potential pathway for SO₄²⁻*

522 *levels to enhance isoprene SOA formation is through salting-in effects, which the solubility of*
523 *polar organic compounds would be increased in aqueous solution with increasing salt*
524 *concentration (Xu et al., 2015). However, systematic investigations of this effect are lacking and*
525 *further studies are warranted.*

526 **3.3.4 Effect of aerosol acidity**

527 The aerosol at BHM was acidic throughout the SOAS campaign (pH range 1.60 – 1.94,
528 average 1.76) in accord with a study by Guo et. al. (2014) that found aerosol pH ranging from
529 0 – 2 throughout the southeastern U.S. However, no correlation of pH with isoprene SOA
530 formation was observed at BHM, also consistent with previous findings using the thermodynamic
531 models to estimate aerosol acidity in many field sites across the southeastern U.S. region, including
532 Yorkville, GA (YRK) (Lin et al., 2013b), Jefferson Street, GA (JST) (Budisulistiorini et al., 2013),
533 and LRK (Budisulistiorini et al., 2015). *However, it is important to point out that the lack of*
534 *correlation between SOA tracers and acidity may stem from the small variations in aerosol acidity*
535 *and the fact that aerosols are very acidic throughout the campaign.* Gaston et al. (2014) and Riedel
536 et al. (2015) recently demonstrated that an aerosol pH < 2 at atmospherically-relevant aerosol
537 surface areas would allow reactive uptake of IEPOX onto acidic (wet) sulfate aerosol surfaces to
538 be competitive with other loss processes (e.g., deposition and reaction of IEPOX with OH). In fact,
539 it was estimated that under such conditions IEPOX would have a lifetime of ~ 5 hr. The constant
540 presence of acidic aerosol has also been observed at other field sites in the southeastern U.S.
541 (Budisulistiorini et al., 2013; Budisulistiorini et al., 2015; Xu et al., 2015), supporting a conclusion
542 that acidity is not the limiting variable in forming isoprene SOA.

543 **3.4 Comparison among different sampling sites during 2013 SOAS campaign**

544 Table 5 summarizes the mean concentration and contribution of each isoprene SOA tracer at
545 BHM, CTR, and LRK. BHM is an industrial-residential area, LRK and CTR are rural areas,
546 although LRK is influenced by a diurnal upslope/downslope cycle of air from an urban locality
547 (Knoxville) (Tanner et al., 2005). *IEPOX-derived SOA (isoprene SOA produced under low-NO_x*
548 *conditions) was predominant at all three sites during the SOAS campaign, while MAE/HMML-*
549 *derived SOA (isoprene SOA produced under high-NO_x conditions) constituted a minor*
550 *contribution.* The average ratio of 2-methyltetrols to C₅-alkene triols at BHM was 2.2, nearly
551 double that of CTR (1.3) and LRK (1.1). Although 2-methyltetrols and C₅-alkene triols are
552 considered to form readily from the acid-catalyzed reactive uptake and multiphase chemistry of
553 IEPOX (Edney et al., 2005; Surratt et al., 2006), *Riva et al. (2016) recently demonstrated that only*
554 *2-methyltetrols can be formed via isoprene ozonolysis in the presence of acidic sulfate aerosol.*
555 *The detailed mechanism explaining isoprene ozonolysis is still unclear, but acid-catalyzed*
556 *heterogeneous reaction with organic peroxides or H₂O₂ was considered to be possible routes for*
557 *2-methyltetrol formation.* The higher levels of the 2-methyltetrols observed at the urban BHM site
558 indicates a likely competition between the IEPOX uptake and ozonolysis pathways. Together,
559 these findings suggest that urban O₃ may play an important role in forming the 2-methyltetrols
560 observed at BHM. There were notable trends found among the three sites: (1) average C₅-alkene
561 triol concentrations were higher at CTR (214.1 ng m⁻³) than at BHM (169.7 ng m⁻³) and LRK
562 (144.4 ng m⁻³); (2) average isomeric 3-MeTHF-diol concentrations were lower at CTR (0.2 ng m⁻
563 ³) than the BHM (15.4 ng m⁻³) or LRK (4.4 ng m⁻³) sites. Except for the 2-methyltetrols, reasons
564 for the differences observed for the other tracers between sites remains unclear and warrant future
565 investigations.

566

567 4. Conclusions

568 This study examined isoprene SOA tracers in PM_{2.5} samples collected at the BHM ground
569 site during the 2013 SOAS campaign and revealed the complexity and potential multitude of
570 chemical pathways leading to isoprene SOA formation. Isoprene SOA contributed up to ~20%
571 (~7% on average) of total OM mass. IEPOX-derived SOA tracers were responsible for 92.45% of
572 the total quantified isoprene SOA tracer mass, with 2-methyltetrols being the major component
573 (47%). *Differences in the relative contributions of IEPOX- and MAE/HMML-derived SOA tracers*
574 *at BHM and the rural CTR and LRK sites (Budisulistiorini et al., 2015) during the 2013 SOAS*
575 *campaign, support suggestions that anthropogenic emissions affect isoprene SOA formation. The*
576 correlation between 2-methyltetrols and O₃ at BHM is in accord with work by Riva et al. (2016),
577 demonstrating a potential role of O₃ in generating isoprene-derived SOA in addition to the
578 currently accepted IEPOX multiphase pathway.

579 At BHM, the statistical correlation of particulate SO₄²⁻ with IEPOX- ($r^2 = 0.36$, $n = 117$, p
580 < 0.05) and MAE-derived SOA tracers ($r^2 = 0.33$, $n = 117$, $p < 0.05$) suggests that SO₄²⁻ plays a
581 role in isoprene SOA formation. Although none of isoprene-derived SOA tracers correlated with
582 gas-phase NO_x and NO_y, MAE/HMML-derived SOA tracers correlated with nighttime P[NO₃] (r^2
583 $= 0.57$, $n = 40$), indicating that NO₃ may affect local MAE/HMML-derived SOA formation.
584 Nighttime P[NO₃] was weakly correlated ($r^2 = 0.26$, $n = 40$) with IEPOX-derived SOA tracers,
585 lending some support to recent work by Schwantes et al. (2015) showing that isoprene + NO₃
586 yields INHEs that can by undergo reactive uptake to yield IEPOX tracers and contribute to IEPOX-
587 derived SOA tracer loadings. The correlation of daytime O₃ with MAE/HMML-derived SOA and
588 with 2-methyltetrols offers a new insight into influences on isoprene SOA formation. Notably, O₃
589 has not been reported to correlate with isoprene-derived SOA tracers in previous field studies (Lin

590 et al., 2013b; Budisulistiorini et al., 2015). In this study, the strong correlation ($r^2 = 0.72$, $n = 30$)
591 at the 95% confidence interval of O_3 with MAE/HMML-derived SOA tracers during the regular
592 daytime sampling schedule indicates that O_3 likely oxidizes some isoprene to MACR as precursor
593 of 2-MG at BHM. The weak correlation ($r^2 = 0.16$, $n = 75$) between O_3 and 2-methyltetrols early
594 in the day as well as the better correlation ($r^2 = 0.34$, $n = 15$) later in the day (intensive 3, 4-7 PM
595 local time) are consistent with recent laboratory studies demonstrating that 2-methyltetrols can be
596 formed via isoprene ozonolysis in the presence of acidified sulfate aerosol (Riva et al., 2016).

597 Although urban O_3 and nighttime $P[NO_3]$ may have a role in local formation of
598 MAE/HMML- and IEPOX-derived SOA tracers at BHM, this does not appear to explain the
599 majority of the SOA tracers, since no significant day-night variation of the entire group of tracers
600 was observed during the campaign. The majority of IEPOX-derived SOA was likely formed when
601 isoprene SOA precursors (IEPOX) were generated upwind and transported to the BHM site. Wind
602 directions during the campaign are consistent with long-range transport of isoprene SOA
603 precursors from southwest of the site, which is covered by forested areas. *The absence of a*
604 *correlation of aerosol acidity with MAE/HMML- and IEPOX-derived SOA tracers indicates that*
605 *acidity is not the limiting variable that controls formation of these compounds. Because the*
606 *aerosols are acidic (campaign average aerosol pH of 1.8), the lack of correlation between SOA*
607 *tracers and acidity may stem from the nearly invariant aerosol acidity throughout the campaign.*
608 Hence, despite laboratory studies demonstrating that aerosol acidity can enhance isoprene SOA
609 formation (Surratt et al., 2007; Surratt et al., 2010; Lin et al., 2012), the effect may not be
610 significant in the southeastern U.S. during the summer months due to the constant acidity of
611 aerosols. *Future work should examine how well current models can predict the isoprene SOA*
612 *levels observed during this study, especially in the presence of fresh urban emissions.*

613 Furthermore, explicit models are now available to predict the isoprene SOA tracers measured here
614 (McNeill et al., 2012; Pye et al., 2013), which will allow the modeling community to test the
615 current parameterizations that are used to capture the enhancing effect of anthropogenic pollutants
616 on isoprene-derived SOA formation. In addition, the significant correlations of isoprene-derived
617 SOA tracers with P[NO₃] observed during this study indicate a need to better understand nighttime
618 chemistry of isoprene. Lastly, although O₃ appears to have an enhancing effect on isoprene-
619 derived SOA tracers, the intermediates are unknown. Hydroperoxides suggested by Riva et al.
620 (2016) may be key, but chamber experiments with authentic precursors are needed to test this
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888 **Table 1.** Sampling schedule during SOAS at the BHM ground site.

No. of samples/ day	Sampling schedule	Dates
2 (regular)	Day: 8 am – 7 pm Night: 8 pm – 7 am next day	June 1 – June 9 June 13, June 17 – June 28, July 2- July 9, July 15
4 (intensive)	Intensive 1: 8 am – 12 pm, Intensive 2: 1 pm – 3 pm, Intensive 3: 4 pm – 7 pm, Intensive 4: 8 pm – 7 am next day	June 10 – June 12, June 14 – June 16, June 29 – June 30, July 1, July 9 – July 14

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890 **Table 2.** Summary of collocated measurements of meteorological variables, gaseous species, and
891 PM_{2.5} constituents.

Category	Condition	Average	SD	Minimum	Maximum
Meteorology	Rainfall (in)	0.1	0.2	0.0	1.4
	Temp (°C)	26.4	3.0	20.5	32.7
	RH (%)	71.5	15.0	36.9	96.1
	BP (mbar)	994.2	3.9	984.2	1002.4
	SR (W m ⁻²)	303.7	274.5	7.0	885.0
Trace gas (ppbv)	O ₃	31.1	14.8	8.3	62.2
	CO	208.7	72.0	99.6	422.9
	SO ₂	0.9	0.8	0.1	3.7
	NO	1.3	1.2	0.1	7.0
	NO ₂	6.6	5.1	1.0	22.7
	NO _x	7.8	6.0	1.3	29.7
	NO _y	9.1	5.8	2.2	30.4
	HNO ₃	0.3	0.2	0.1	1.0
	NH ₃	1.9	0.8	0.7	4.0
PM _{2.5} (μg m ⁻³)	OC	7.2	3.2	1.4	14.9
	EC	0.6	0.5	0.1	2.7
	WSOC	4.0	1.8	0.5	7.5
	SO ₄ ²⁻	2.0	0.9	0.4	4.9
	NO ₃ ⁻	0.1	0.1	0.0	0.8
	NH ₄ ⁺	0.7	0.3	0.2	1.2
	Aerosol pH	1.8	0.1	1.6	1.9

893 **Table 3.** Summary of isoprene-derived SOA tracers measured by GC/EI-MS and UPLC/ESI-HR-QTOFMS

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SOA tracers	<i>m/z</i>	Frequency of detection (%) ^a	Max concentration (ng/m ³)	Mean concentration (ng/m ³)	Isoprene SOA Mass fraction (%) ^b	% of total OM ^c	
Measured by GC/EI-MS							
896	2-methylerythritol ^d	219	99.2	1048.9	269.0	33.8	2.7
	2-methylthreitol ^d	219	100.0	388.9	107.3	13.5	1.1
897	(E)-2-methylbut-3-ene-1,2,4-triol ^e	231	96.7	878.9	112.7	14.2	1.1
	(Z)-2-methylbut-3-ene-1,2,4-triol ^e	231	95.8	287.8	38.9	4.9	0.4
898	2-methylbut-3-ene-1,2,3-triol ^e	231	94.2	503.3	28.9	3.6	0.3
	2-methylglyceric acid ^d	219	93.3	35.0	10.8	1.4	0.1
899	<i>cis</i> -3-MeTHF-3,4-diol ^d	262	22.5	98.9	6.9	0.9	0.1
	<i>trans</i> -3-MeTHF-3,4-diol ^d	262	10.0	137.6	8.6	1.1	0.1
	IEPOX-derived dimer ^e	333	10.0	2.2	0.0	0.0	0.0
	Levoglucozan ^d	204	100.0	922.6	98.7	-	1.0
Measured by UPLC/ESI-HR-QTOFMS							
	IEPOX-derived OSs						
	C ₅ H ₁₁ O ₇ S ^{-d}	215	100.0	864.9	164.5	20.7	1.6
	C ₁₀ H ₂₁ O ₁₀ S ^{-f}	333	1.7	0.3	0.0	0.0	0.0
	MAE-derived OS ^d						
	C ₄ H ₇ O ₇ S ⁻	199	100.0	35.7	7.2	1.9	0.1
	GA sulfate ^d						
	C ₂ H ₃ O ₆ S ⁻	155	100.0	75.2	26.2	3.3	0.3
	Methylglyoxal-derived OS ^g						
	C ₃ H ₅ O ₆ S ⁻	169	97.5	10.5	2.7	0.3	0.0
	Isoprene-derived OSs ^g						
	C ₅ H ₇ O ₇ S ⁻	211	97.5	5.2	1.4	0.2	0.0
	C ₅ H ₁₀ NO ₉ S ⁻	260	90.0	3.9	0.3	0.0	0.0
	C ₅ H ₉ N ₂ O ₁₁ S ⁻	305	5.0	3.3	2.9	0.4	0.0
	Hydroxyacetone-derived OS ^g						
	C ₂ H ₃ O ₅ S ⁻	139	30.8	2.6	0.2	0.0	0.0

^a Total filters = 120

^b Mass fraction is the contribution of each species among total known isoprene-derived SOA mass detected by GC/EI MS and UPLC/ESI-HR-QTOFMS

^c OM/OC = 1.6

^d OA tracers quantified by authentic standards

^e SOA tracers quantified by 2-methyltetrols as a surrogate standard

^f SOA tracer quantified by IEPOX-derived OS (*m/z* 215) as a surrogate standard

^g SOA tracers quantified by propyl sulfate as a surrogate standard

900 **Table 4.** Overall correlation (r^2) of isoprene-derived SOA tracers and collocated measurements at
 901 BHM during 2013 SOAS campaign.

SOA tracers	CO	O₃	NO_x	NO_y	SO₂	NH₃	SO₄	NO₃	NH₄	OC	WSOC	pH
MAE/HMML-derived SOA tracers*	0.07	0.26	0.00	0.01	0.06	0.11	0.33	0.01	0.18	0.47	0.20	0.00
2-methylglyceric acid	0.01	0.26	0.01	0.00	0.01	0.07	0.10	0.00	0.06	0.19	0.02	0.00
MAE-derived OS	0.10	0.14	0.00	0.02	0.07	0.09	0.38	0.01	0.18	0.32	0.23	0.01
IEPOX-derived SOA tracers**	0.04	0.05	0.00	0.01	0.05	0.01	0.36	0.00	0.21	0.24	0.12	0.00
2-methylerythritol	0.00	0.16	0.03	0.02	0.01	0.00	0.30	0.02	0.18	0.18	0.19	0.00
2-methylthreitol	0.00	0.13	0.02	0.03	0.02	0.00	0.20	0.01	0.16	0.17	0.15	0.00
(E)-2-methylbut-3-ene-1,2,4-triol	0.07	0.00	0.02	0.01	0.07	0.00	0.15	0.00	0.19	0.11	0.04	0.00
(Z)-2-methylbut-3-ene-1,2,4-triol	0.04	0.00	0.00	0.00	0.06	0.00	0.28	0.00	0.20	0.04	0.00	0.00
2-methylbut-3-ene-1,2,3-triol	0.02	0.00	0.03	0.00	0.00	0.02	0.32	0.01	0.03	0.17	0.04	0.00
IEPOX-derived OS	0.02	0.14	0.03	0.00	0.00	0.00	0.27	0.00	0.16	0.29	0.29	0.00
IEPOX dimer	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Other isoprene SOA tracers												
GA sulfate												
C ₂ H ₃ O ₆ S ⁻	0.30	0.23	0.01	0.00	0.08	0.09	0.27	0.00	0.19	0.38	0.18	0.00
Methylglyoxal-derived OS												
C ₃ H ₅ O ₆ S ⁻	0.14	0.04	0.02	0.03	0.03	0.07	0.31	0.02	0.25	0.21	0.24	0.00
Isoprene-derived OSs												
C ₅ H ₇ O ₇ S ⁻	0.01	0.23	0.03	0.01	0.00	0.02	0.21	0.00	0.16	0.31	0.13	0.00
C ₅ H ₁₀ NO ₉ S ⁻	0.17	0.00	0.12	0.14	0.10	0.14	0.31	0.16	0.23	0.20	0.07	0.00
C ₅ H ₉ N ₂ O ₁₁ S ⁻ ***	0.32	0.71	0.66	0.58	0.42	0.02	0.68	0.50	0.42	0.00	0.50	0.00
Hydroxyacetone-derived OS												
C ₂ H ₃ O ₅ S ⁻	0.02	0.10	0.08	0.07	0.05	0.00	0.00	0.03	0.00	0.01	0.01	0.00
Other tracer												
Levogluconan	0.00	0.09	0.02	0.01	0.02	0.00	0.00	0.02	0.00	0.08	0.04	0.01

902 * *Summed tracers for MAE/HMML-derived SOA*

903 ** *Summed tracers for IEPOX-derived SOA*

904 ***Found only in 6 of 120 filters

905 The correlations in this table are positive.

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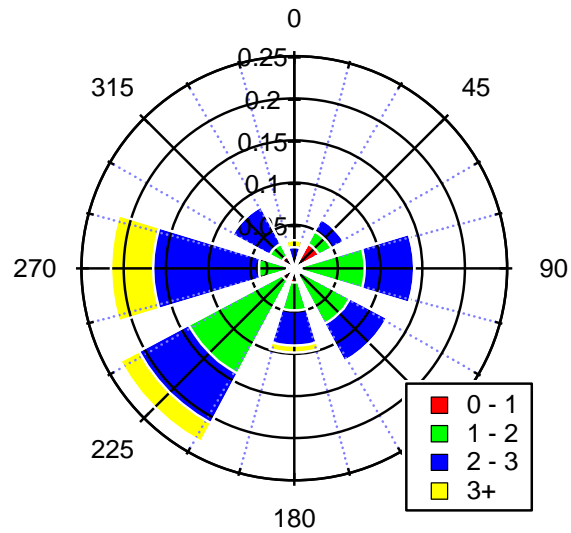
907 **Table 5.** Summary of isoprene-derived SOA tracers from the three SOAS ground sites: BHM,
 908 CTR, and LRK.

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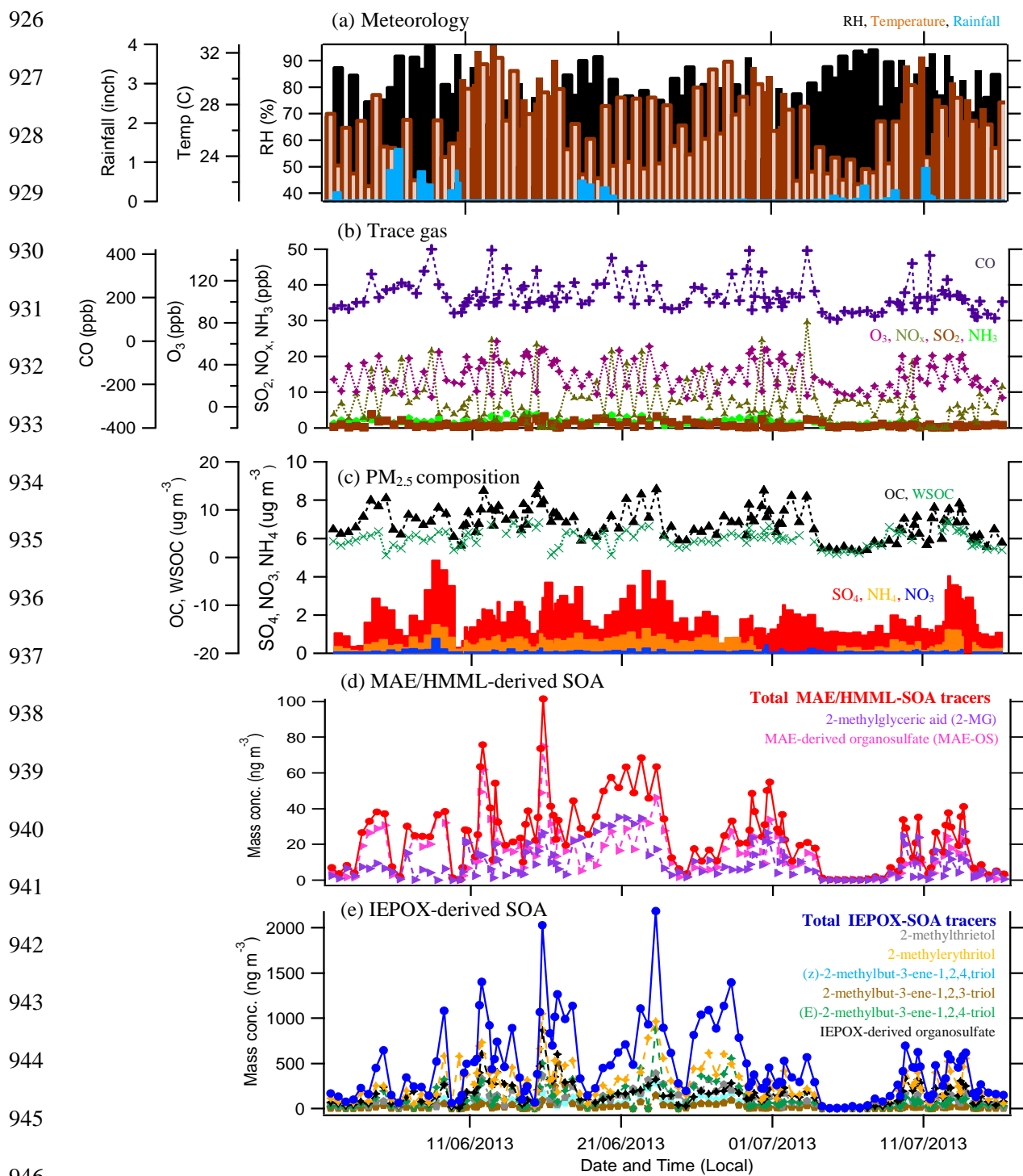
SOA tracers	Urban		Rural			
	BHM		CTR		LRK	
	Mean (ng m ⁻³)	<i>Average fraction of detected tracers (%)</i>	Mean (ng m ⁻³)	<i>Average fraction of detected tracers (%)</i>	Mean (ng m ⁻³)	<i>Average fraction of detected tracers (%)</i>
MAE/HMML derived SOA						
MAE/HMML-derived OS	7.2	1.1	10.2	1.3	8.2	1.8
2-methylglyceric acid	10.4	1.7	5.1	0.7	7.5	1.6
IEPOX derived SOA						
IEPOX-derived OS	164.5	24.3	207.1	26.8	139.2	30.3
IEPOX-derived dimer OS	0.04	0.00	0.7	0.1	1.1	0.2
2-methylerythritol	266.7	37.9	204.8	26.5	120.7	26.3
2-methylthreitol	107.3	15.8	73.7	9.5	42.4	9.2
(E)-2-methylbut-3-ene-1,2,4-triol	109.0	12.3	137.3	17.8	98.8	21.5
(Z)-2-methylbut-3-ene-1,2,4-triol	37.3	4.1	50.7	6.6	29.1	6.1
2-methylbut-3-ene-1,2,3-triol	23.4	2.5	26.1	3.4	16.5	3.6
trans-3-MeTHF-3,4-diol	8.6	1.0	0.0	0.0	2.7	0.6
cis-3-MeTHF-3,4-diol	6.8	1.0	0.2	0.0	1.7	0.4

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923 **Figure 1.** Wind rose illustrating wind direction during the campaign at the BHM site. Bars indicate
924 direction of incoming wind, with 0 degrees set to geographic north. Length of bar size indicates
925 frequency with color segments indicating the wind speed in m s^{-1} .



947 **Figure 2.** Time series of (a) meteorological data, (b) trace gases, (c) PM_{2.5} constituents, (d)
 948 MAE/HMML-derived SOA tracers and (e) IEPOX-derived SOA tracers during the 2013 SOAS
 949 campaign at the BHM site.

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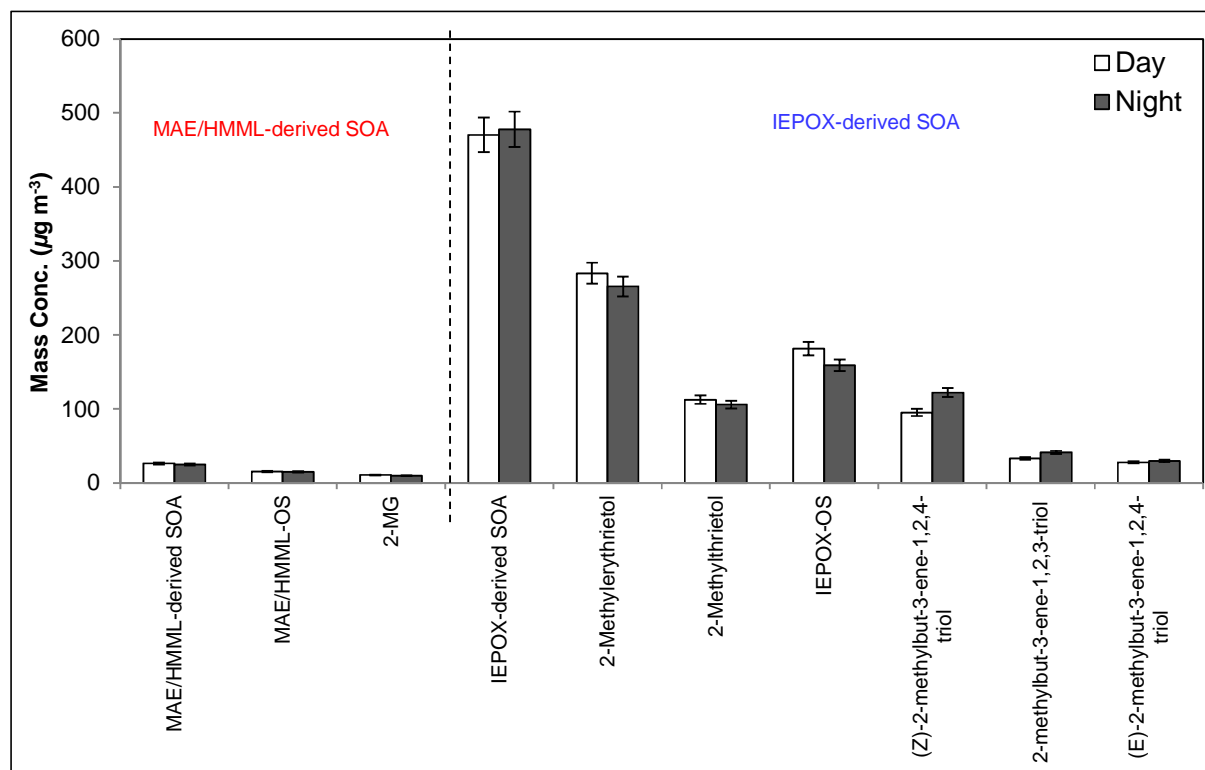
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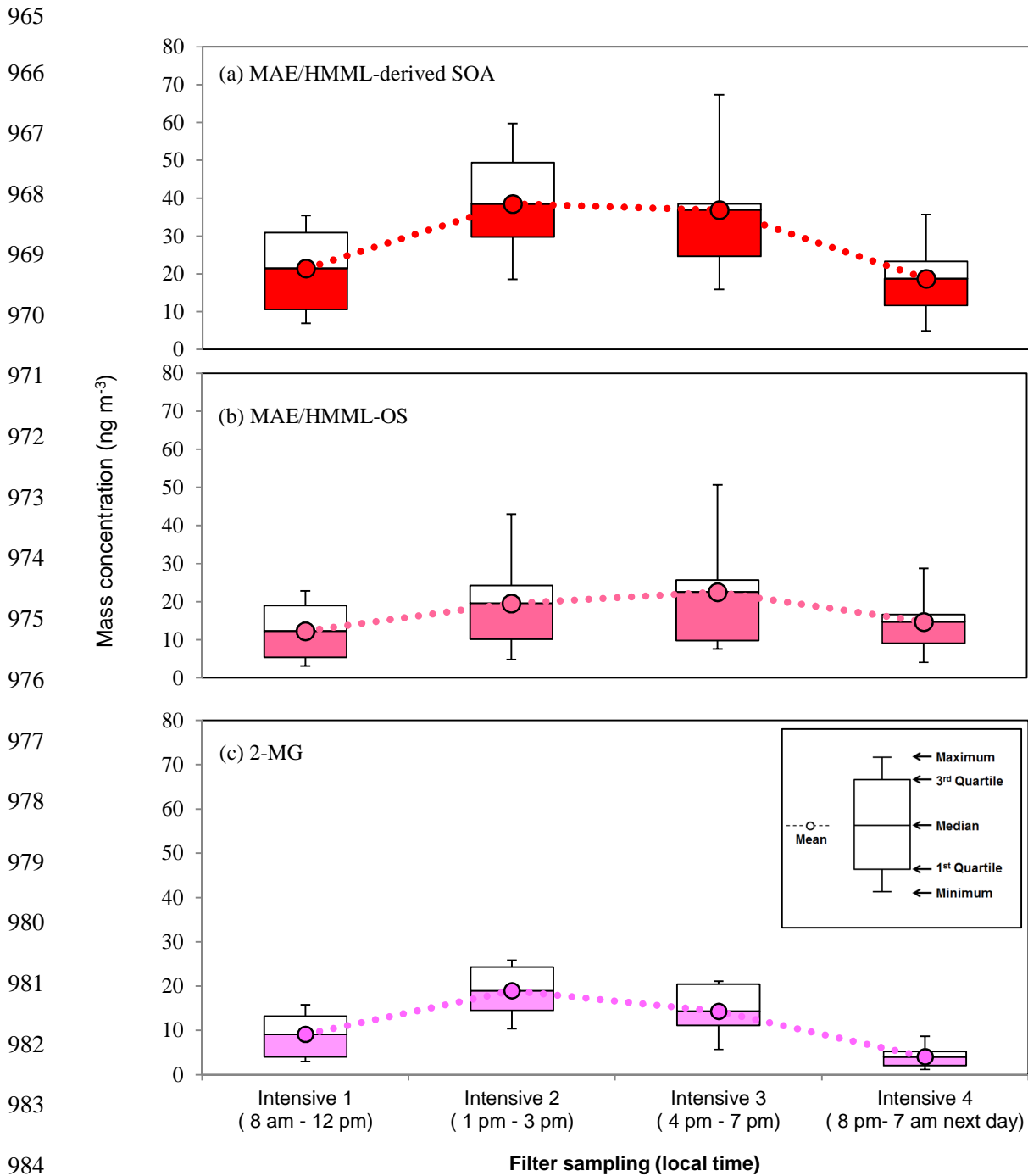


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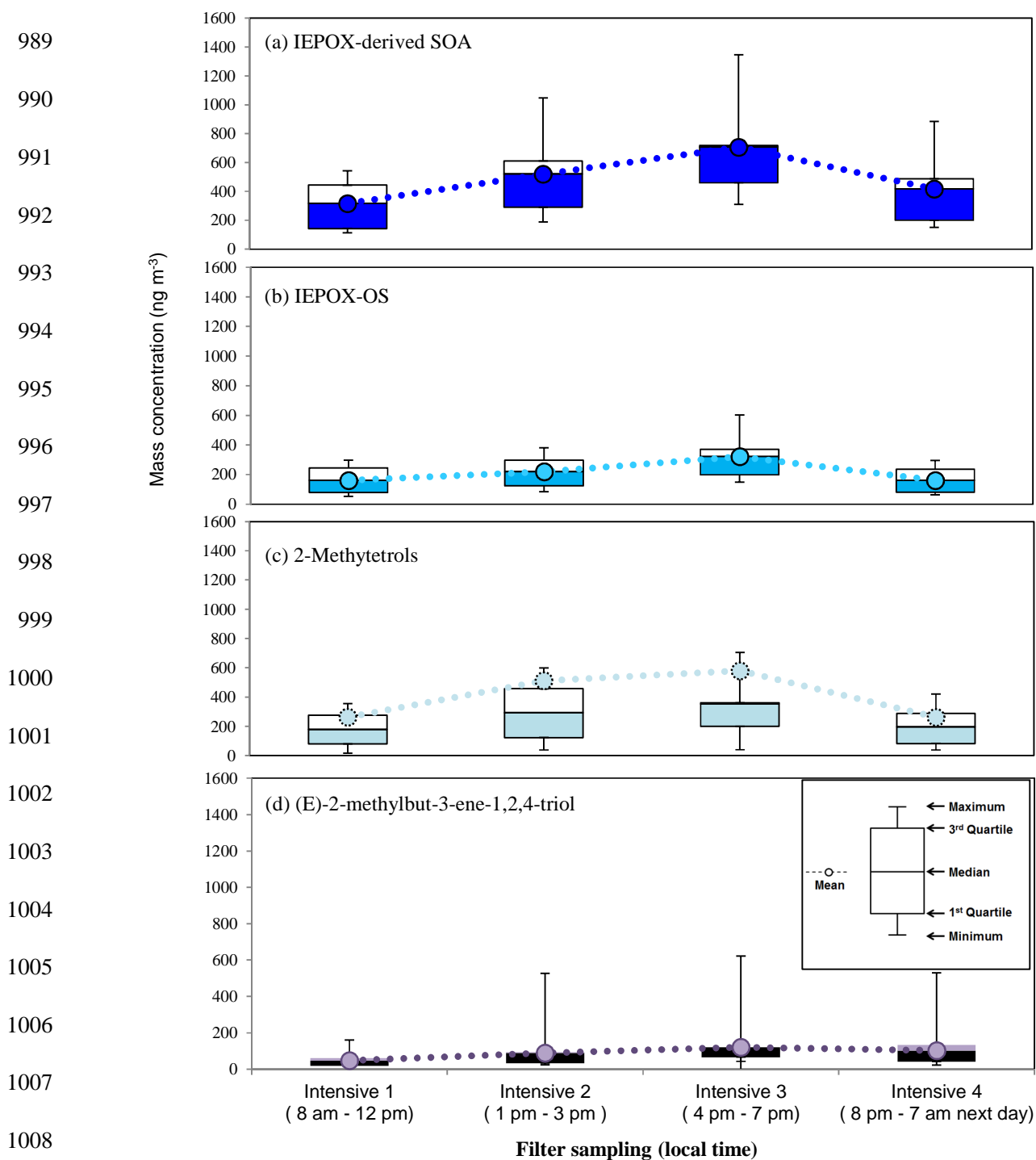
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Figure 3. The bar chart shows average daytime and nighttime concentrations of isoprene-derived SOA tracers with 95% confident interval. No significant variation between daytime and nighttime was observed.



986 **Figure 4.** The box-and-whisker plot ($n = 15$) of (a) MAE/HMML-derived SOA, (b) MAE/HMML-
 987 OS, and (c) 2-MG. These demonstrate that the statistical distribution of SOA abundance during
 988 each intensive sampling period. No significant variation amongst intensive samples was observed.



1009 **Figure 5.** The box-and-whisker plot ($n = 15$) of (a) IEPOX-derived SOA, (b) IEPOX-OS, (c) 2-
 1010 methyltetrols, and (d) (E)-2-methylbut-3-ene-1,2,4-triol. These demonstrate that the statistical
 1011 distribution of SOA abundance during each intensive sampling period. No significant variation
 1012 amongst intensive samples was observed.

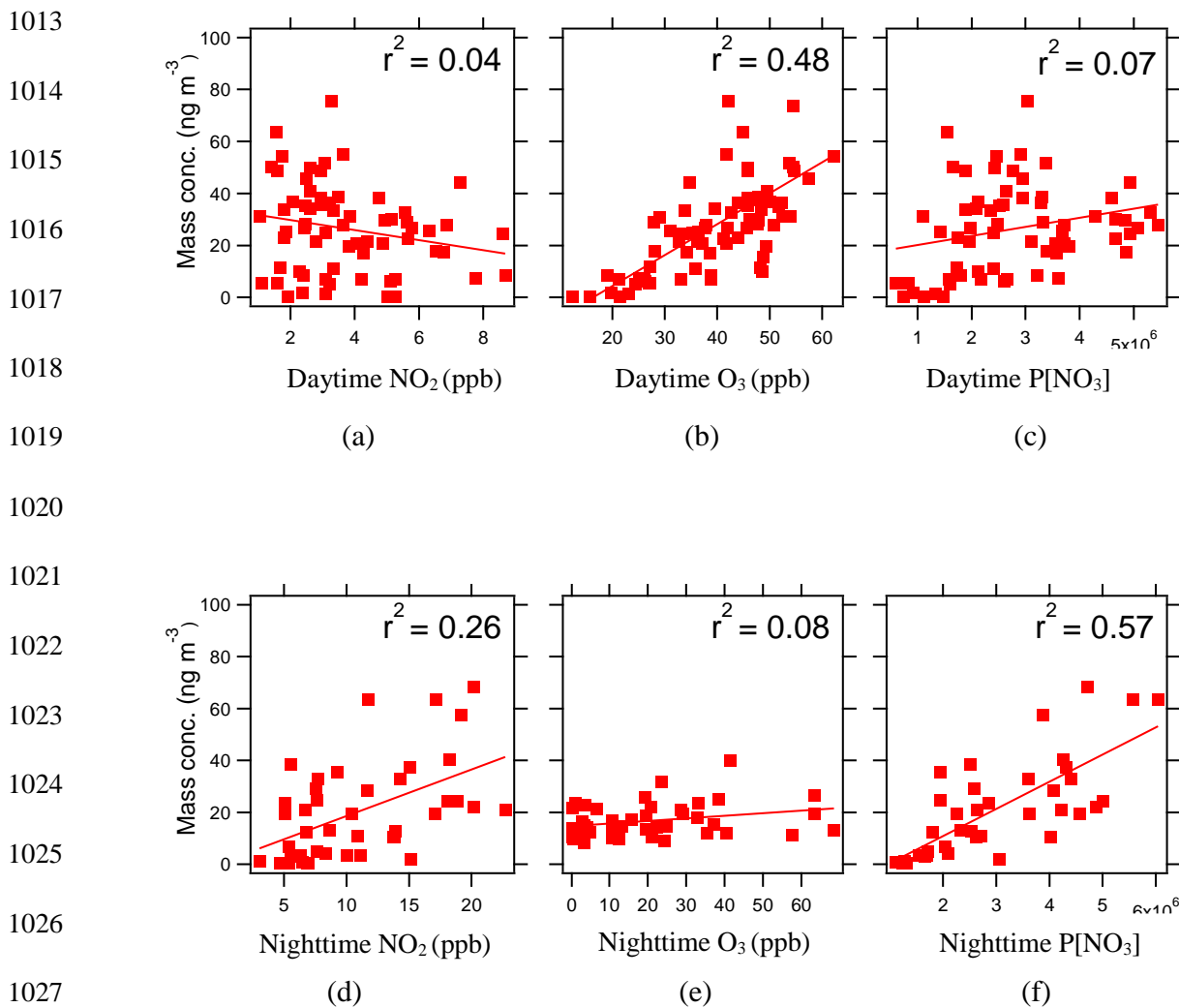


Figure 6. Correlation of MAE/HMML-derived SOA tracers with (a) daytime NO₂, (b) daytime O₃, (c) daytime P[NO₃], (d) nighttime NO₂, (e) nighttime O₃, and (f) nighttime P[NO₃]. Nighttime P[NO₃] correlation suggests that NO₃ radical chemistry could explain some fraction of the MAE/HMML-derived SOA tracer concentrations.

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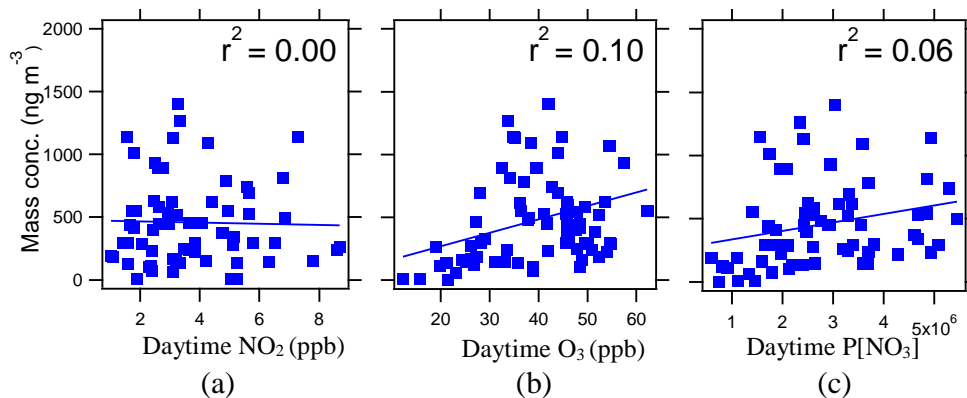
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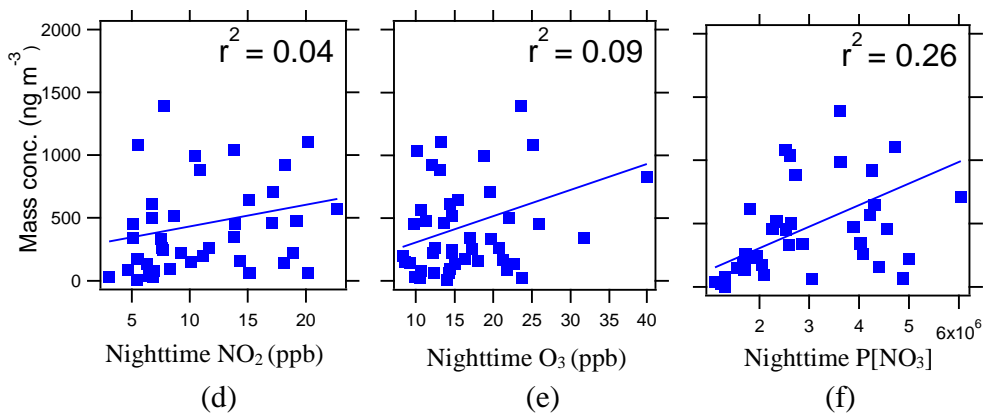
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1049 **Figure 7.** Correlation of IEPOX-derived SOA tracers with (a) daytime NO₂, (b) daytime O₃, (c)
1050 daytime P[NO₃], (d) nighttime NO₂, (e) nighttime O₃, and (f) nighttime P[NO₃]. Nighttime P[NO₃]
1051 correlation suggests that NO₃ radical chemistry could explain some fraction of the IEPOX-derived
1052 SOA tracer concentrations. The contribution of nighttime P[NO₃] to IEPOX-derived SOA would
1053 be smaller than MAE/HMML-derived SOA due to the weaker correlation.