

1 We are grateful to the reviewers for her/his positive comments and careful reading of  
2 the manuscript. Below we address the comments with our answers in blue. The  
3 numbering of pages and lines in our answers refer to the new version of the manuscript.  
4 Changes in the manuscript are written in red.

5  
6 **Anonymous Referee #1**

7 Received and published: 23 March 2016

8  
9 It is relatively easy to obtain detailed size distributions and bulk chemistry of aerosols  
10 but in order to understand the sources of particles and their individual chemistry, imag-  
11 ing and analysis of separate particles is required. This has not been a popular form of  
12 research because it requires the use of electron microscopes and a very large amount of  
13 microscope time to study a representative sample of the aerosol. Interpreting the results  
14 also requires experience and wide background knowledge of possible sources of the  
15 aerosol.

16 The paper under review is very valuable because it greatly extends previous work of  
17 this type on the summer high-Arctic aerosol using improved techniques and studying a  
18 remarkably large number of individual particles. I strongly recommend it for  
19 publication, but contribute a few comments that might be useful.

20  
21 1. Sizing of the aerosol from electron microscope imagery is notoriously difficult and in  
22 this case has resulted in acceptable agreement with TDMPS size distributions. Sub-  
23 sequent investigators will want to try to compare their work with that under review. It  
24 should therefore be made clear what assumptions were made in sizing particles such as  
25 A in figure 4 where no shadow is visible, or B in that figure which shows a thin shadow  
26 on the lower edge suggesting that it is a flat crystal. Similarly, in figure 7, were the  
27 components of the chain aggregates assumed to be spherical, their volumes summed  
28 and the diameter of a sphere with the equivalent diameter calculated? If so, attention  
29 should be called to the paper by Rogak et al. (Aerosol Sci. Tech 18, 25-47, 1993) which  
30 showed that a mobility analyser bases diameter of such particles on the projected area  
31 rather than on the volume. This will affect the comparison of mobility and EM size  
32 distributions.

33 We are aware of this problem and therefore used the projected area of a particle to  
34 determine the particle equivalent diameter  $D_{pa}$  (the diameter of a circle that comprises  
35 the same diameter as the projected particle), see chapter 2.2.4.

36  
37 2. P.10 line 24. I can't understand why C and O were not detected on blank films of  
38 polyvinyl formal. In the supplemental data the carbon signature is strong, so the  
39 detector was sufficiently sensitive.

40 Thank you for pointing out this error. We changed the text to:

41 P10 lines 23-25. "The EDX spectra of blank grids showed only signals from Pt, the  
42 supporting copper TEM grid and carbon and oxygen signals from the Formvar substrate  
43 film."

44  
45 3. Droplet haloes: I don't believe the splash hypothesis is appropriate for low velocity  
46 electrostatic collection. In fact I think it is also doubtful for particles of the size of those  
47 in figure 10 collected by high velocity impaction. Stratospheric aerosol sampling by an  
48 Ames Research Center group (Farlow and Ferry) 40 years ago found that the sulfuric  
49 acid particles did not develop haloes if all contact with water vapour was avoided before  
50 examination. (Possibly in JGR 82, 4921-4929, 1977 but I don't have the article) It was  
51 later confirmed by laboratory experiments (Bigg, Tellus, 38B, 62-66, 1986). A possible  
52 explanation is that acid vapour extends outwards from the captured particle as a  
53 monolayer (or multiple layers) on the surface. On exposure to water vapour the  
54 molecules take up water and coalesce to form tiny droplets.  
55

1 We agree, halo formation has to be discussed as the result of several factors. We  
2 therefore extended the discussion of halo formation as follows:

3 P16, lines 5-15: "Several authors (Farlow et al., 1977; Bigg, 1986; Bigg and Leck, 2001b)  
4 have found that the formation of droplet ring structures from sulphuric acid containing  
5 aerosol is a result of humidity, hydrophilicity of the collection surface and impact  
6 velocity effects. Bigg and Leck (2001b) observed that a solution of sulfuric acid wets out  
7 on a hydrophilic surface but retracts when humidity is reduced, leaving behind small  
8 droplets in a symmetrical ring. In our study the sampling procedure led to a drastical  
9 reduction in relative humidity, from around 100% at ambient conditions to 20% within  
10 the sampling manifold (see chapter 2.1.1) and the aerosol was impacted onto a surface  
11 with hydrophilic properties (TEM grid). We will thus assume that the HP originally  
12 existed as one particle in the atmosphere that splashed out into the droplet ring  
13 structure upon impactation onto the substrate. "

14  
15 4. P.15, line 23: "the biopolymer networks of marine gels are water solvable". Solvable  
16 means that an answer is available for a problem. If you meant "soluble", how could they  
17 exist as entities in the ocean?

18  
19 Thank you for pointing that out. We now use "solvated" instead, referring to the IUPAC  
20 definition for solvation: "Any stabilizing interaction of a solute (or solute moiety) and the  
21 solvent or a similar interaction of solvent with groups of an insoluble material (i.e. the ionic  
22 groups of an ion-exchange resin)..."

23  
24 We changed the text to:

25 P15, line 17-31: "In seawater the observed size range of gel particles ranges from  
26 solvated nanogels (100-200 nm; Bigg et al., 2004) that can further anneal into microgels  
27 (> 1000 nm) by interpenetration and entanglement of neighboring nanogels or  
28 hydrophobic interaction."

29  
30 The manuscript is well-written, the diagrams informative and the references very com-  
31 prehensive. There are some instances where the spelling or wording differs slightly  
32 from conventional English usage and some of these are listed below together with  
33 suggested alternatives.

34  
35 Thank you for thoroughly reading our manuscript. We corrected spelling and wording  
36 as suggested below.

37  
38 p.5, line 21: Longyearbyen, Svalbard

39  
40 p.5, line 25 and beyond: Since you are reporting completed work it would be more  
41 conventional to use the past tense rather than the future tense. E.g., change "will use" to  
42 "used".

43  
44 p.6, line 2: according to morphological. . .

45  
46 p.8, line 19: In order to compare (to) the number. . .

47  
48 p.9, line 21: Although wolfram is more logical in view of its symbol, tungsten is the  
49 common English usage.

50  
51 p.10, line 19: were not reliably detected

52  
53 p.16 line 10: unstable

54  
55 p.16 line 17: morphology to the

1  
2 p.20 line 13: is capable of adding  
3  
4 p.20 line 30: In the hope of enhancing  
5  
6 References, p.23, line 5: Ayers, G.P.  
7  
8 p.23 line 8: pouchetii  
9  
10 p.25 line 4: Cambridge  
11  
12 p.30 line 21- 24: Remove hyphens in Ramaswamy, Isaksen, climate and Intergovern-  
13 mental  
14  
15 p.31, line 6: atmosphere  
16  
17 Figure 10 caption, line 6: degenerated  
18  
19

1 **Anonymous Referee #2**

2 Received and published: 12 February 2016

3 The paper presents a hard-earned data set of single particle analysis of samples col-  
4 lected in the high Arctic during the summer of 2008. The analysis is used to categorize particles  
5 according to their morphological and chemical properties. The most signifi- cant result is that  
6 “the particles to be activated into cloud droplets over the Arctic pack ice areas can not be seen  
7 as simply organic salts”. This result has implications for the modeling of CCN activity and  
8 cloud drop formation over the high Arctic. The paper should be published once the concerns  
9 outlined below are addressed.

10  
11 p. 2, line 14: I’m not sure how particles can increase planetary albedo by absorbing sunlight.

12 We rephrased the sentence to

13 P2L13. “They alter the planetary albedo both directly by absorbing and scattering sunlight  
14 and indirectly ...”

15  
16 p. 4, line 5: Is this meant to be Arctic SURFACE OCEAN DOM?

17 Corrected

18  
19 p. 5, line 21: Should be SVALBARD.

20 Corrected

21  
22 p. 11, line 25: Why is the size distribution characterized as “bimodal” when, on average, it  
23 contains 3+ peaks?

24 P11, line 24-28. Thank you for pointing out this unclarity. The sentence was rephrased to:

25 “The number size distribution of all imaged aerosol particles exhibited a maximum in the  
26 Aitken mode region at 32 nm in diameter and a double peak above 70 nm in the accumulation  
27 mode region with maxima at 89 nm and 147 nm with a shoulder to larger diameters at around  
28 335 nm (see Fig. 3, red line).”

29  
30 Figure 6 caption: I think it should be “unstable”, not instable. Also the labeling of the two  
31 lines is confusing. In the lower panel there are central particles of HP (red line) and unstable  
32 central particles (green line). What line represents the aggregate, aggregate with film and  
33 skeletal particles? Also – in this figure and throughout, it would help the reader immensely if  
34 legends were added to the plots.

35 Labels were added to the plot for further clarification.

36  
37 Figure 9: It is very difficult to tell the orange and red line apart.

38 The color of the orange line was changed to blue.

39  
40 p. 15, lines 13 – 20: It is unclear if the descriptions of bonds holding the marine polymer gels  
41 together are referring to gels in atmospheric aerosol or in seawater. Assuming seawater (given  
42 the Verdugo reference), the size range of nanogels (100 – 200 nm) and microgels (> 1000 nm)  
43 are larger than the airborne aggregate particles shown in Figure 9. How can this difference be  
44 reconciled?

45 The paragraph has been rewritten to:

46 p. 15, lines 16-32: “In seawater the observed size range of gel particles ranges from soved  
47 nanogels (100-200 nm; Bigg et al., 2004) that can further anneal into microgels (> 1000 nm)  
48 by interpenetration and entanglement of neighboring nanogels or hydrophobic interaction.  
49 Changes in environmental factors like UV-B radiation (Orellana and Verdugo, 2003) or  
50 physico-chemical parameters like pH and temperature (Tanaka et al., 1980) lead to  
51 inhibition/dispersion or volume change of the gel polymer assemblies. The transport from the  
52 ocean water into the atmosphere results in an enhanced exposure of the gel particles to solar  
53 UV-B radiation. Together with changes in the physico-chemical environment of the gel  
54 particles due to e.g. condensation of acidic gases onto the aerosol droplets the transport into  
55 the atmosphere thus might lead to fragmentation and/or shrinking of the gel matter and result  
56 in a reduced diameter of atmospheric gel particles compared to gel matter in the ocean (Leck  
57 and Bigg, 2005b; Orellana et al., 2011). Embedded in the polymer network is the high content

1 of water (99%) that prevents the network from collapsing (Chin et al., 1998). The biopolymer  
2 networks of marine gels are highly surface active and show refractory properties are therefore  
3 not expected to evaporate under the electron beam.”

4  
5 p. 17, line 10: Should be IMAGING.  
6 Corrected

7  
8 p. 17, lines 30 – 33: The dominant particle type was those containing both Na/K and Ca/Mg.  
9 Stating a “dominating content of Na/K” or Ca/Mg is not accurate.

10 Thank you for pointing this out, we corrected a mistake in the figure legend.

11  
12  
13 Figures 5, 6, and 9: Each of these figures contains some version of the aggregate particle type  
14 but each shows different size ranges for that particle type. What is the definition of “airborne  
15 aggregate particle” shown in Figure 9 (which resides in the 10 to 100 nm size range)  
16 compared to the aggregate particle type shown in Figure 9 (which is in a larger size range)?

17  
18 Fig. 5 (middle panel) shows the number size distribution of **all gel-type particles** observed  
19 with SEM. The SEM images do not allow a differentiation into the subgroups of gel-type  
20 particles (“aggregate” particles, “aggregate with film” particles etc.). Gel-type particles were  
21 observed above 45 nm and up to 800 nm in diameter.

22  
23 Fig. 6 (lower panel) shows the number size distributions for **central particles of halo**  
24 **particles**, with the green line representing stable central particles (comprising “aggregate”  
25 particles, “aggregate with film” particles and skeletal particles) imaged with TEM, and the red  
26 line unstable central particles of halo particles.

27  
28 Fig. 9 shows the number size distributions of **airborne aggregate particles and their**  
29 **building blocks** at different locations as obtained with TEM. Sizing of “*aggregate*” particles  
30 in our study revealed the 10 to 100 nm size range for the building blocks of these particles.

31  
32 Therefore Figures 5, 6 and 9 show number size distributions of different types of particles that  
33 cover different size ranges and thus cannot be readily compared.

34  
35  
36 How is this reconciled with the statement on p. 19, lines 30 – 33 that says “organic marine gel  
37 matter contributes to the particle number concentration . . . especially at diameters below 60  
38 nm”?

39 It should be “below 75 nm” instead of “below 60 nm”.

40 Organic marine gel matter (in single particles and gel-type particles) contributes mainly to  
41 particle numbers below 75 nm because all other types of particles appear at higher diameters:  
42 unstable single particles appear mainly in the accumulation mode (Fig. 6, upper panel) and  
43 halo particles (with sulphur-containing satellites) appear above 75 nm (Fig. 5, lower panel).

44  
45  
46 p. 20, lines 12 – 29: The discussion of the fragmentation of larger particles into smaller  
47 particles in the atmosphere due to UV radiation exposure is highly speculative and not  
48 supported by direct evidence. The papers cited appear to be based on studies of seawater.  
49 What thermodynamically viable mechanism can break apart particles in the 100 - 200 nm size  
50 range in the atmosphere? As far as I know, there are no reported observations of such events.  
51 (By the way, the Karl et al. (2013) and Tanaka et al. (1980) references, which may provide  
52 some insight here, are missing in the list of citations.)

53 It is correct that UV induced fragmentation of larger gel particles into smaller particles has  
54 been studied in seawater, as discussed in chapter 3.3 (p15, line 21-29). According to the  
55 current understanding the transport of gel matter from the ocean into the atmosphere occurs  
56 through bubble bursting and subsequent formation of small droplets that comprise seawater

1 and e.g. marine gels (see Introduction, p.4, line 13 ff.). In the atmosphere these droplets can  
2 be subject to further condensation of water vapor or other substances, coalescence and  
3 incloud processing which all potentially change the physico-chemical conditions within the  
4 droplet (Tanaka et al., 1980). The latter in combination with an increased exposure to UV-B  
5 radiation in the atmosphere makes it in our view reasonable to discuss fragmentation and/or  
6 skrinking as a possible mechanism to produce smaller sized fragments of marine gels (Leck  
7 and Bigg, 2010; Karl et al., 2013). This argument is further supported by the finding that  
8 spherical subunits in “aggregate”/“aggregate with film” particles and the dense inclusions in  
9 “mucus-like” particles appear at diameters down to 10 nm not only in the Arctic but also at  
10 different locations at lower latitudes (Fig. 9).

11  
12 Tanaka et al. (1980) and Karl et al. (2013) were added to the reference list.

13  
14  
15  
16  
17

1 **Size resolved morphological properties of the high**  
2 **Arctic summer aerosol during ASCOS-2008**

3

4 **E. Hamacher-Barth<sup>1</sup>, C. Leck<sup>1</sup> and K. Jansson<sup>2</sup>**

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9

10 **Abstract**

11 The representation of aerosol properties and processes in climate models is fraught  
12 with large uncertainties. Especially at high northern latitudes a strong under-  
13 prediction of aerosol concentrations and nucleation events is observed and can only be  
14 constrained by in situ observations based on the analysis of individual aerosol  
15 particles. To further reduce the uncertainties surrounding aerosol properties and their  
16 potential role as cloud condensation nuclei this study provides observational data  
17 resolved over size on morphological and chemical properties of aerosol particles  
18 collected in the summer high Arctic, north of 80° N.

19 Aerosol particles were imaged with scanning and transmission electron microscopy  
20 and further evaluated with digital image analysis. In total 3903 particles were imaged  
21 and categorized according to morphological similarities into three gross  
22 morphological groups, single particles, gel particles and halo particles. Single  
23 particles were observed between 15 nm and 800 nm in diameter and represent the  
24 dominating type of particles (82%). The majority of particles appeared to be marine  
25 gels with a broad Aitken mode peaking at 70 nm accompanied by a minor fraction of  
26 ammonium (bi)sulfate with a maximum in number concentration at 170 nm. Gel  
27 particles (11% of all particles) were observed between 45 nm and 800 nm with a  
28 maximum in number concentration at 154 nm. Imaging with transmission electron  
29 microscopy allowed further morphological discrimination of gel particles in  
30 “*aggregate*” particles, “*aggregate with film*” particles and “*mucus-like*” particles.

1 Halo particles were observed above 75 nm and appeared to be ammonium (bi)sulfate  
2 (59% of halo particles), gel matter (19%) or decomposed gel matter (22%) internally  
3 mixed with sulfuric acid and/or methane sulfonic acid or ammonium (bi)sulfate with a  
4 maximum in number concentration at 161 nm in diameter.

5 Elemental dispersive X-ray spectroscopy analysis of individual particles revealed  
6 prevalence of the monovalent ions  $\text{Na}^+/\text{K}^+$  for single particles and “*aggregate*”  
7 particles and of the divalent ions  $\text{Ca}^{2+}/\text{Mg}^{2+}$  for “*aggregate with film*” particles and  
8 “mucus-like” particles. Emanating from those results and in agreement with model  
9 studies reported elsewhere we propose a relationship between the availability of a  
10  $\text{Na}^+/\text{K}^+$  and  $\text{Ca}^{2+}/\text{Mg}^{2+}$  and the length of the biopolymer molecules participating in the  
11 formation of the 3D gel networks.

## 12 **1 Introduction**

13 Aerosol particles have major impacts on the climate of our planet. **They alter the**  
14 **planetary albedo both directly by absorbing and scattering sunlight and indirectly by**  
15 **modifying the reflectivity, life-time and extent of clouds (Twomey, 1977; Albrecht,**  
16 **1989; Solomon et al., 2007).** Despite of the shown importance aerosol particles have  
17 on clouds their effects still give rise to large uncertainties in climate models (Schimel  
18 et al., 1996; Penner et al., 2001; Forster et al., 2007). Detailed model analyses have  
19 contributed to an enhanced understanding of the parametric uncertainties in global  
20 aerosol models and point towards significant uncertainties arising from an incomplete  
21 representation of aerosol processes and emissions in the models (e.g. Lee et al., 2013;  
22 Carslaw et al., 2013; Mann et al., 2014). Especially for high northern latitudes a  
23 strong under-prediction of aerosol particle concentrations and nucleation events in  
24 summer compared to measurements is recognized (Mann et al., 2014) leading to an  
25 insufficient representation of cloud condensation nuclei (CCN), the fraction of an  
26 aerosol particle population that can activate and form cloud droplets, within the  
27 models. Moreover, the microphysical properties of the cloud droplets are strongly  
28 related to the size, chemical composition, morphology and state of mixture of the  
29 activated CCN. Size resolved data based on the analysis of individual particles are  
30 therefore indispensable for an appropriate parameterization of aerosol particles within  
31 aerosol models.

32 The sources of aerosol particles in the Arctic are subjected to large regional and  
33 seasonal differences. In late winter/spring a pronounced anthropogenic influence on



1 the Arctic is observed, a phenomenon known as Arctic haze (Shaw, 1995). During  
2 that time the Arctic air mass expands southwards towards Eurasia and North America  
3 and anthropogenic emissions are transported into the Arctic where they remain for  
4 prolonged times (Shaw, 1995; Douglas and Sturm, 2003). The aerosol during periods  
5 of Arctic haze is characterised by relatively high concentrations of aged aerosol  
6 predominantly in the accumulation mode (Shaw, 1984; Heintzenberg and Leck, 1994;  
7 Ström et al., 2003; Engvall et al., 2008; Korhonen et al., 2008). The air masses  
8 arriving in summer, however, originate from sectors over the oceans with limited  
9 man-made activities and the transport into the Arctic is slower compared to winter  
10 conditions (Stohl, 2006). The summer conditions are thus much more pristine and the  
11 aerosol shifts from being accumulation mode dominated to be Aitken mode  
12 dominated (Heintzenberg et al., 2006; 2015; Engvall et al., 2008).

13 Over the high Arctic pack ice north of 80° number concentrations of CCN show a  
14 large temporal variability, ranging over 2-3 orders of magnitude but usually are below  
15  $100 \text{ cm}^{-3}$  and occasionally less than  $1 \text{ cm}^{-3}$  (Lannefors et al., 1983; Bigg et al., 1996;  
16 Bigg and Leck, 2001a; Mauritsen et al., 2011; Leck and Svensson, 2015). These  
17 relatively low CCN concentrations have a significant impact on the formation of low-  
18 level (stratiform) clouds prevalent in the high Arctic summer. Mauritsen et al. (2011)  
19 identified a regime with very low CCN concentrations ( $< 10 \text{ cm}^{-3}$ ) where cloud  
20 formation is limited mainly by the availability of CCN. Such low CCN concentrations  
21 occur as a result of weak local aerosol sources and effective wet deposition (Nilsson  
22 and Leck, 2002; Held et al., 2011a,b; Heintzenberg et al., 2006; Leck and Svensson,  
23 2015) at the marginal ice zone and over the pack ice.

24 However, the physical and chemical properties which determine the ability of the  
25 summer high Arctic aerosol particles to act as CCN are still not very well understood.  
26 Attempts to theoretically predict concentrations of CCN in closure studies resulted in  
27 both over- and under-predictions of the observed CCN concentrations (Zhou et al.,  
28 2001; Bigg and Leck, 2001a; Lohman and Leck, 2005; Martin et al., 2011; Leck and  
29 Svensson, 2015). The most recent closure study by Leck and Svensson (2015)  
30 simulated the cloud nucleation process by assuming Köhler theory together with a  
31 Lagrangian adiabatic air parcel model that solves the kinetic formulation for  
32 condensation of water on size resolved aerosol particles. The authors suggested a  
33 larger fraction of the internally/externally mixed water-insoluble particles in the  
34 smaller aerosol size ranges and kinetically restricted growth of the activated particles.

1 The non-water soluble particle fraction was suggested to physically and chemically  
2 behave as polymer gels<sup>1</sup> with a dichotomous behavior (low hygroscopic growth factor  
3 but a high CCN activation efficiency) in cloud droplet activation as a result of the  
4 interaction of the hydrophilic and hydrophobic entities on the structures of the high  
5 Arctic polymer gels (Orellana et al., 2011). On average 32% of the Arctic **surface**  
6 **ocean** DOM assembled as microgels (Orellana et al., 2011), a significantly higher  
7 percentage than reported for other ocean regions (10%; Chin et al., 1998; Verdugo et  
8 al., 2004). All together these findings strongly supported the previously unverified  
9 hypothesis of a link between cloud formation and polymer gels in the surface  
10 microlayer (SML, <1000 µm thick at the air-sea interface) of the high Arctic open  
11 leads (Bigg et al., 2004; Leck and Bigg, 1999; Leck et al., 2002; Leck and Bigg, 2005b;  
12 Bigg and Leck, 2008; Leck and Bigg, 2010).

13 The transport of marine gels into the atmosphere is thought to happen via the burst of  
14 air bubbles at the air-sea interface. Air bubbles rising within the water column  
15 scavenge surface-active organic matter especially from the surface microlayer to their  
16 outer walls (Wotton and Preston, 2005). Bursting of the bubbles at the water surface  
17 produces small jet and film drops containing organic surface-active compounds,  
18 debris of phytoplankton, bacteria, viruses and sea salt (Blanchard and Woodcock,  
19 1957; Blanchard, 1971, Blanchard and Syzdek, 1988; Gershey, 1983; O'Dowd et al.,  
20 1999) which are transported further up into the atmosphere through turbulent mixing  
21 processes. However, studies of individual particles by Bigg and Leck (2001; 2008);  
22 Leck et al., (2002); Leck and Bigg (2005a; 2005b) over the perennial ice have failed  
23 to find evidence of sea salt particles of less than 200 nm in diameter. In the Arctic  
24 breaking waves as a source of bubbles are rare due to low wind speeds and short  
25 fetches between the ice floes (Tjernström et al., 2012). Even in the absence of wind-  
26 driven breaking waves a recent study has now confirmed both the presence and  
27 temporal variability of a population of bubbles within the open leads (Norris et al.,  
28 2011). The considered mechanisms for bubble formation and mixing were induced by  
29 changes in gas saturation. Other possible bubble formation mechanisms are  
30 respiration from algae and phytoplankton (Medwin, 1970; Johnson and Wangersky,  
31 1987) and the release of trapped air from melting ice (Wettlaufer, 1998).

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<sup>1</sup> Phytoplankton and bacteria in surface seawater produce varying amounts of mucus- or gel-like matter comprised of biopolymers like proteins, polysaccharides or lipids that form 3-dimensional networks inter-bridged with divalent ions, preferably Ca<sup>2+</sup> and Mg<sup>2+</sup>. This type of supramolecular organisation is referred to as marine gels. (Verdugo, 2012 gives a review).

1 Due to the remoteness and the harsh conditions in the high Arctic the number of  
2 aerosol studies from this region is limited; the data available rely on four expeditions  
3 onboard the Swedish icebreaker *Oden* during the summers of 1991, 1996, 2001 and  
4 2008 (Leck et al., 1996; 2001, 2004; Tjernström et al., 2014). These expeditions took  
5 advantage of the pristine conditions during the Arctic summer when the Arctic is to a  
6 great extent separated from air masses from polluted mid-latitudinal sources which  
7 provided the unique opportunity to study aerosol particles from predominantly natural  
8 sources. All other Arctic studies on aerosol chemical composition, morphology and  
9 state of mixture were either performed during winter/spring when transport of  
10 polluted aerosol from lower latitudes into the high Arctic is strong (e.g. Hara et al.,  
11 2003; Xie et al., 2007; Winiger et al., 2015) and/or they were located further south,  
12 outside the pack ice area, missing potential aerosol sources from the pack-ice area and  
13 at the same time including anthropogenic pollutions, e.g. studies from Svalbard (Geng  
14 et al., 2010; Chi et al., 2015).

15 To further reduce the uncertainties surrounding the CCN properties that promote  
16 /suppress cloud droplet formation over the pack ice area an investigation of size,  
17 chemical composition, morphology and state of mixture on the level of individual  
18 aerosol particles is required. The present study will make use of aerosol particles  
19 collected during the most recent icebreaker expedition under the name ASCOS  
20 (Arctic Summer Cloud and Ocean Study) 2008. The Swedish icebreaker *Oden*  
21 departed from Longyearbyen on Svalbard on 2 August and returned on 9 September  
22 2008. After traversing the pack-ice northward the icebreaker was moored to an ice  
23 floe and drifted passively with it around 87° N between 12 August and 1 September  
24 (Tjernström et al., 2014). We used electron microscopy (scanning electron  
25 microscopy (SEM) and transmission electron microscopy (TEM)) to image aerosol  
26 particles at high resolution, and subsequent digital image analysis to objectively  
27 assess size and morphology of the particles on an individual basis. Earlier studies  
28 north of 80° focused rather on a qualitative description of the aerosol in the high  
29 Arctic (Leck and Bigg, 2005, 2008, 2010; Bigg and Leck, 2001b, 2008)  
30 complemented with bulk chemical analyses (Leck et al., 2002; 2013; Leck and  
31 Svensson, 2015; Lohman and Leck, 2005).

32 By individually screening close to 4000 aerosol particles collected during the ice-drift  
33 with SEM and subsequent digital mapping we firstly gained size resolved information  
34 on the aerosol population as a whole. The obtained number size distribution was

1 compared with measurements from an independent method (Tandem Differential  
2 Mobility Particle Sizer, TDMPs) to verify that a representative fraction of the aerosol  
3 population was captured with SEM. Secondly, we sorted all mapped particles  
4 according to morphological differences and a separate number size distribution for  
5 each of the morphological types was obtained. Thirdly, to obtain deeper insights into  
6 the morphological features of the collected particles and to simultaneously assess their  
7 elemental composition with EDX spectroscopy investigated a subpopulation of  
8 aerosol particles in TEM at very high resolution.

9

10

## 1    **2    Methods for sampling of airborne aerosol particles during ASCOS**

### 2    **2.1    Collection of airborne particles**

#### 3    **2.1.1    The sampling inlet**

4    A PM<sub>10</sub>-inlet (9 cm inner diameter) was deployed at ambient conditions (85 – 100 %  
5    relative humidity (RH) and temperatures around 0 °C) to eliminate particles with  
6    diameters > 10 micrometer in equivalent aerosol dynamic diameter (EAD) from the  
7    sampled air. To optimise the distance from the sea surface and the ship's  
8    superstructure the inlet was located forward ~ 25 m above the sea surface and 3 m  
9    above the roof of the laboratory container on the 4<sup>th</sup> deck of the icebreaker. Direct  
10    contamination from the ship was excluded by using a pollution controller, located  
11    directly after the inlet pipe that passed through the roof of the container. Provided that  
12    the wind was within ± 70° of the direction of the bow and stronger than 2 ms<sup>-1</sup>, no  
13    pollution reached the sample inlets (Leck et al., 1996). Directly downstream from the  
14    pollution sensor the electrostatic precipitator and the TDMPS were connected to the  
15    inlet with short stainless steel tubes (length ca. 1 m). To ensure that the sampling  
16    conditions and losses were the same for both instruments, the inlet take-offs for the  
17    two samplers were placed closely together. The temperature in the container was kept  
18    at 20 °C which resulted in a RH of 20% in the secondary lines during sampling. See  
19    Leck et al. (2001) for more details of the set-up for the sampling of aerosol particles.

20    Volatile compounds on particle surfaces and weakly bound water molecules were  
21    probably lost during the sampling procedure. In the Arctic the concentration of  
22    volatile compounds is generally lower than at lower latitudes (Bates et al., 1987) and  
23    losses due to evaporation can thus be considered very small.

#### 24    **2.1.2    The electrostatic precipitator**

25    Using the electrostatic precipitator the aerosol particles were collected directly onto  
26    3 mm copper 300 mesh Formvar-coated TEM grids (TED PELLA Inc.; Dixkens and  
27    Fissan, 1999; Leck and Bigg, 2008). Formvar-coated grids were chosen because of  
28    the hydrophilic and thus polar nature of the Formvar film (Rocha et al., 2005). The  
29    aerosol particles were charged at the inlet of the precipitator by a <sup>63</sup>Ni beta-emitting  
30    radioactive source and precipitated by a 12 kV cm<sup>-1</sup> electric field between the inlet  
31    and the collecting grid surface. The flow rate was kept very low (0.17 mL s<sup>-1</sup>) in order  
32    to collect particles up to ~1 µm in diameter. The collection efficiency of the

1 electrostatic precipitator was intercompared with a TSI 1236 Nanometer Aerosol  
2 Sampler ( $^{63}\text{Ni}$  beta-emitting radioactive source and sample flow of  $1 \text{ Lm}^{-1}$ ) mounted  
3 side-by-side with the electrostatic precipitator. Both collected a small, but statistically  
4 significant number of particles  $< 25 \text{ nm}$  in diameter. The precipitator took samples for  
5 6 to 12 hrs. Before and after sampling the grids were placed within a grid holder box  
6 in a sealed plastic bag, together with silica gel packets, and stored in a desiccator at a  
7 constant temperature of  $20 \text{ }^\circ\text{C}$  in a clean room before they were investigated.

### 8 **2.1.3 The TDMPS-sampling system**

9 The TDMPS-sampling system to measure the number size distributions of dry (20%  
10 RH) sub-micrometer particles used pairs of differential mobility analyzers (DMAs).  
11 The TSI 3010 counters in the DMAs were size and concentration calibrated against an  
12 electrometer and the TSI 3025 counters for particle sizes below  $20 \text{ nm}$  diameter  
13 according to Stolzenburg (1988). This set up yielded a complete number size  
14 distribution from  $3 \text{ nm}$  to  $800 \text{ nm}$  diameter scanned over 45 size channels every 10 -  
15 20 min. Further details of the TDMPS system can be found in Heintzenberg and Leck  
16 (2012). NIST (National Institute of Standards Technology) traceable calibration  
17 standards of polystyrene latex spherical particles were used to determine error in  
18 determination of the mobility diameter to  $\pm 5 \%$  (Wiedensohler et al., 2012).

19 In order to compare the number size distribution obtained from the precipitator  
20 samples (section 2.1.2) with those simultaneously recorded by the TDMPS we  
21 assumed median particle number diameters for each of the 45 TDMPS size channels.  
22 The particle diameters were then merged to form a complete set of diameters across  
23 the TDMPS measuring interval.

## 24 **2.2 Image recording and elemental analysis**

### 25 **2.2.1 Imaging with scanning electron microscopy (SEM)**

26 The samples were investigated with a high-resolution SEM (JEOL JSM-7401) under  
27 high vacuum conditions, at less than  $9.63 \times 10^{-5} \text{ Pa}$  (Stevens et al., 2009). A detailed  
28 description of the setup of the scanning electron microscope can be found in  
29 Hamacher-Barth et al. (2013). The Gentle Beam mode of the microscope was used to  
30 minimize radiation damage of the aerosol particles, avoid surface charge-up and to  
31 demagnify the electron beam diameter (Michael et al., 2010). Correction for  
32 stigmatism and focusing of the electron beam was done every time before imaging an

1 aerosol particle. The grey scale (contrast and brightness) was adjusted automatically  
2 before recording an image.

3 The imaging of the aerosol particles aimed to account for an uneven distribution of  
4 the particles on the TEM grid and to capture a representative fraction of the aerosol  
5 particles. In brief, particles were imaged at a magnification of 40.000 on the TEM  
6 grid squares, along a diagonal from the center of the grid to the edge on 6 to 8 squares  
7 of the TEM grid. Each square was screened systematically to capture a representative  
8 fraction of the aerosol population. For a detailed description of the screening  
9 procedure see Hamacher-Barth et al. (2013).

## 10 **2.2.2 Imaging with transmission electron microscopy (TEM)**

11 To image the samples with TEM they have to be coated by a thin metal layer.  
12 Evaporation of a heavy metal thin coating at an oblique angle onto the sample  
13 increases the mass contrast and accentuates the topography of the aerosol particle by  
14 producing a shadow (William and Carter, 2006). Furthermore shading has the  
15 advantage that the metal cover protects the aerosol particles against heating by the  
16 electron beam during examination, especially at high magnifications. It is also  
17 advantageous that in case of any evaporation from the aerosol particle the metal  
18 replica of the aerosol particle is still visible.

19 The aerosol particles were shaded with platinum (Pt) at an angle of  $\arctan(0.5) = 26^\circ$   
20 (Okada, 1983) in a vacuum chamber at  $10^{-6}$  mbar. Pt was evaporated from a Pt wire  
21 ( $\varnothing$  0.2 mm, 20 mm length). The Pt wire was drawn around a tungsten (W) wire and  
22 evaporated clusters of Pt atoms when the W wire was heated up electrically by a  
23 85 mA current for 30 sec. The shading procedure produces a layer of Pt particles of 1-  
24 2 nm in diameter on the TEM grid.

25 After shadowing the TEM grids were examined in TEM using a JEOL JEM-2100  
26 high-resolution instrument, equipped with a LaB<sub>6</sub> filament and a Si/Li detector crystal.  
27 The TEM grid containing the aerosol particles was mounted on a sample holder made  
28 of Beryllium to avoid background signals from the sample holder material in the EDX  
29 measurements (see chapter 2.2.3). A CCD camera (Gatan SC1000 Orius, 11  
30 Megapixel) in bottom mount position was used to image the aerosol particles. Images  
31 were taken at high vacuum, less than  $35 \times 10^{-5}$  Pa and at an accelerating voltage of  
32 100 kV.

1 Particles were imaged on TEM grid squares along a diagonal from the center of the  
2 grid to the edge on 6 to 8 squares. To avoid imaging of particles that were damaged  
3 by prior imaging with SEM an area of the grid was chosen which was not exposed to  
4 any electron beam at high magnifications before. Screening each square for individual  
5 particles was done at a magnification of 30.000, and images were taken at  
6 magnifications between 25.000 and 80.000.

### 7 **2.2.3 Elemental X-ray spectroscopy**

8 The elemental analyses were performed using an energy dispersive X-ray detector  
9 JED-2300 attached to the JEM-2100 TEM. In order to avoid time consuming  
10 realignment of the electron beam and focusing procedures the EDX-analyses were  
11 also performed at an accelerating voltage of 100 kV. The energy range measured was  
12 0-20 keV, counting rate was typically 1053 counts/sec<sup>-1</sup>, life time 30 sec, real time  
13 33.00 sec and dead time 10 %. Generally EDX spectroscopy allows the detection of  
14 elements  $\geq$  Be as their photon energies are above 100 eV and thus lie within the X-ray  
15 region of the electromagnetic spectrum (Egerton, 2008). Nevertheless the detection of  
16 light elements like C, N, and O that are typical for organic compounds can be difficult  
17 on a Formvar-coated copper grid since the signal intensity can be biased by  
18 attenuation of the X-ray signal through absorption by the adjacent copper grid. For  
19 this reason these elements were not reliably detectable and are thus not part of this  
20 study.

21 Blank grids shadowed with platinum were used to identify the background noise and  
22 signals from the TEM grid including copper from the grid and the Formvar film and  
23 the Pt shadowing. The EDX spectra of blank grids showed only signals from Pt, the  
24 supporting copper TEM grid and carbon and oxygen signals from the Formvar  
25 substrate-film.

26

### 27 **2.2.4 Digital image analysis**

28 Images taken with SEM at a magnification of 40.000 were evaluated using an  
29 optimized commercial image processing software (Aphelion™ Dev 4.10). In brief,  
30 the maximal intensity of the neighboring background of each aerosol particle was  
31 determined. Using exactly the same image but including the aerosol particle allowed



1 the separation of the particle and measurement of the particle area in pixels  
2 (Hamacher-Barth et al., 2013).

3 The particle size was calculated according to Eq. (1)

$$4 \quad D_{pa} = 2 \sqrt{Area/\pi} \quad (1)$$

5 with  $D_{pa}$  as the particle equivalent diameter, which is the diameter of a circle that  
6 comprises the same area as the aerosol particle projected onto a two-dimensional  
7 surface (Allen, 1997; Hinds, 1999). The value for the area is calculated from the  
8 number of pixels counted for each particle. A number size distribution of the aerosol  
9 sample was obtained using MATLAB 2011a and the freely available software  
10 package EasyFit.

11

## 12 **3 Results and discussion**

13 To verify that a representative fraction of the aerosol population has been captured  
14 with SEM we firstly calculated a number size distribution of all aerosol particles and  
15 compare it with measurements from TDMPS. Secondly we sorted all particles imaged  
16 according to morphological similarities into three gross groups, named: single  
17 particles (SP), gel-like particles (GP) and halo particles (HP), shown in Fig. 2. Thirdly,  
18 to obtain more subtle insights into the morphological features of the collected aerosol  
19 particles and simultaneously assess their elemental composition we investigated a  
20 subpopulation of the aerosol particles with TEM and EDX spectroscopy at very high  
21 resolution.

### 22 **3.1 Total number size distributions**

23 In order to derive an overall number size distribution we imaged in total 3909 aerosol  
24 particles at a magnification of 40,000 with SEM. The number size distribution of all  
25 imaged aerosol particles exhibited a maximum in the Aitken mode region at 32 nm in  
26 diameter and a double peak above 70 nm in the accumulation mode region with maxima at 89  
27 nm and 147 nm with a shoulder to larger diameters at around 335 nm (see Fig. 3, red line).  
28 Hamacher-Barth et al. (2013) used the same image mapping method as used in this  
29 study and determined the error of sizing for polystyrene latex spheres of several  
30 diameter sizes between 20 nm and 900 nm was determined. The error values are  
31 displayed in Fig. 3, red arrows. For the TDMPS number size distribution we assumed

1 an error in determining the mobility diameter of 5% across the whole measuring  
2 interval (Wiedensohler et al., 2012).  
3 The two approaches show an overall good agreement between their number size  
4 distributions with a similar modal structure with an Aitken mode below 80 nm and an  
5 accumulation mode at higher diameters. The reduced particle number concentration in  
6 the Aitken mode seen by SEM was probably caused by their partly weak contrast to  
7 the Formvar film, which either resulted in an underestimation of the size or that the  
8 particles remained undetected. The accumulation mode was separated into a double  
9 peak with particle number maxima at 89 nm and 147 nm in SEM and 106 nm and 158  
10 nm in diameter in TDMPS. The aerosol particles at diameters  $> 100$  nm often showed  
11 a patchy and inhomogeneous appearance which might have lead to an  
12 underestimation of their size and the observed shift to smaller diameters in SEM, at  
13 147 nm compared to 173 nm in TDMPS and broadening of the maximum at 335 nm.  
14 In general the number size distributions determined for particle sizes larger than 20  
15 nm in diameter showed the typical modal features of aerosol collected in the high  
16 Arctic summer boundary layer (Covert et al., 1996; Heintzenberg et al., 2006) with  
17 an Aitken mode between 26 nm and 80 nm and the multimodal accumulation size  
18 range between 80 nm and 1000 nm (Heintzenberg and Leck, 2012) with the so called  
19 Hoppel minimum around 80 nm inbetween (Hoppel, 1986).

## 20 **3.2 Single particles**

21 Single particles (SP) seen by SEM appeared as single entities that mostly contrasted  
22 sharply and thus could be easily separated from their Formvar background for  
23 diameters  $> 40$  nm. At smaller diameters, however, the contrast to the background was  
24 often weak and probably resulted in an underestimation of particle size or non-  
25 detection of particles. Imaged examples of SP are shown in Figure 4. Of the 3909  
26 particles that were mapped SP were the overall dominating type of particles, 82% of  
27 the total aerosol particles were attributed to this group (Table 1). They were observed  
28 over the whole size range, between 15 nm and 800 nm in diameter with a broad  
29 Aitken mode peaking at 64 nm accompanied by a less pronounced peak at 27 nm. The  
30 majority of SP (80%) appeared in the Aitken mode size region and below 80 nm in  
31 diameter (Table 1). In the accumulation mode size range 18% of SP appeared between  
32 80 and 200 nm with a maximum at 106 nm in diameter and the remaining 2% of the  
33 SP were detected in diameter sizes (Table 1)  $> 200$  nm (Fig. 5, upper panel). We  
34 observed that 35% of the SP partly evaporated under the SEM electron beam but

1 retained their outer shape on the timescale of minutes. Also at a higher magnification  
2 using TEM the same behavior was seen for 30% of the particles. We tentatively  
3 assigned these particles to be ammonium (bi)sulfate particles. We were guided by the  
4 results published by Heard and Wiffen (1969) and Bigg and Leck (2001b) where  
5 particles with the same morphological features and instability under the electron  
6 microscope were made up by ammonium sulfate, bisulfate or methane sulfonate  
7 mixtures. The presence of ammonium sulfate or bisulfate particles would be  
8 supported by the fact that ammonia has been reported to be the predominant base in  
9 the remote marine troposphere (Söderlund, 1982) that undergoes primarily acid-base  
10 reactions with non-seasalt  $\text{H}_2\text{SO}_4$ , an oxidation product of biogenic dimethyl sulfide,  
11 DMS (Quinn et al., 1987). Leck and Persson confirmed the presence of ammonia  
12 bisulfate particles both along the marginal ice edge and over the inner parts of the  
13 pack ice. Over remote marine locations at lower latitudes Meszaros and Vissy (1974)  
14 observed, by means of electron microscopy, ammonium bisulfate concentrations up to  
15 38%, on average 24%, with the highest particle concentrations between 100 nm and 1  
16  $\mu\text{m}$  in diameter. In the literature chemical tests have also been used to identify  
17 ammonium and sulfate in samples investigated by TEM (Bigg and Leck, 2001b).  
18 Such tests were not implemented during this study since the use of chemicals would  
19 have added additional mass onto the particles. This would have altered the size and  
20 the morphology of the particles and hampered the investigation of the aerosol  
21 particles with TEM and EDX spectroscopy.

22 The presence of biogenic nitrate as a counter ion to ammonium can be considered  
23 rather unlikely since the formation of ammonium nitrate happens only after all sulfate  
24 has been neutralized (Kuhn et al., 2010). Nitrate concentrations from impactor  
25 measurements during ASCOS show nitrate values that are one order of magnitude  
26 lower than sulfate concentrations at the same time, usually below  $0.1 \text{ nmolm}^{-3}$  (C.  
27 *Leck pers. comm., 2015*). Moreover ammonium nitrate does not evaporate and is  
28 stable under the electron beam (Rao et al., 1989). To minimize biases due to  
29 evaporative losses and beam damage the ammonium sulfate particles were imaged as  
30 quickly as possible. Figure 6 (upper panel) shows the number size distribution of the  
31 ammonium sulfate particles derived from the TEM images with a maximum at 172  
32 nm in the accumulation mode.

33 The remaining 65% of the imaged particles (Fig. 5, upper panel) were stable under the  
34 heat of the electron beam and showed no sign of evaporation or changes in  
35 morphology. Some of those particles appeared as skeletal structures (Fig. 4B) that

1 collapsed and merged to an unstructured flat appearance after exposure times to the  
2 electron beam significantly longer than the justified time for imaging of the particles.  
3 None of the SP particles showed an apparently crystalline appearance that could be  
4 attributed to sea salt or any other inorganic crystalline matter.  
5

### 6 **3.3 Gel-like particles**

7 Aerosol particles classified as gel-like particles (GP) using SEM showed an  
8 amorphous texture with an inhomogeneous distribution of pixel intensity. Their  
9 diffuse structure and weak contrast to the Formvar-film suggested that these particles  
10 predominantly contain light elements like C, H, N and O, which are typical  
11 components of organic matter. The contrast between the particles and the Formvar-  
12 film provides (indirect) information about the elemental composition of the aerosol  
13 particle since the number of the detected secondary electrons increases with  
14 increasing atomic number of the elements present in the aerosol particle (Zhou et al.,  
15 2006) suggesting that the aerosol particles under investigation are built up by matter  
16 of biological origin. The potential similarity in chemical composition between the GP  
17 and the Formvar-film might have lead to an underestimation of the particle size which  
18 resulted in the shift of the higher accumulation mode peak at 173 nm in TDMPS to  
19 147 nm in the total number size distribution (Fig. 3).

20 GP appeared in the Aitken mode at diameters above 45 nm but were most frequently  
21 observed in the accumulation mode with a maximum at 174 nm, covering all sizes up  
22 to 800 nm (Fig. 5, middle panel). In total 11% of the 3909 particles that were imaged  
23 were classified as GP of which 24% were observed in the Aitken mode  $> 45$  nm, 49%  
24 appeared in the accumulation mode between 80 nm and 200 nm and 27% were  
25 observed  $> 200$  nm (Table 1).

26 Particles classified as GP were further evaluated with TEM. The higher resolution of  
27 the TEM images revealed better insights into the morphology of the particles and the  
28 GP could be further divided into subgroups (see Fig. 2). 14% of the particles consisted  
29 of a conglomeration of smaller spherical subunits that were welded together and  
30 formed small chains or agglomerates (Fig. 7A, B). Those particles were named  
31 “*aggregate*” particles. 29% of the gel particles appeared as “*aggregate with film*”  
32 particles where “*aggregate*” particles were covered with a diffuse and nearly  
33 electron-transparent film that partly obscured the underlying subunits and produced a

1 more smooth appearance compared to the bare “*aggregate*” particles  
2 (Fig. 7 C, D and E). However, the majority of GP, 57%, showed a “*mucus-like*”  
3 texture that was many times widely outspread on the Formvar-film (Fig. 8 A, B),  
4 partly in long drawn-out structures (Fig. 8 C) or with small electron dense inclusions  
5 (Fig. 8 D).

6 The individual subunits of “*aggregate*” particles and the dense spots in “*mucus-like*”  
7 particles exhibit diameters between 11 nm and 109 nm with a maximum in number  
8 size distribution at 39 nm and a smaller maximum at 28 nm. Fig. 9 compares the size  
9 distributions of “*aggregate*” components from this study (red line) with those from  
10 previous studies in the high Arctic and at lower latitudes (Leck and Bigg, 2005a;  
11 2008; 2010). Similarity with previous studies outside and within the pack ice (Leck  
12 and Bigg, 2005b; Orellana et al., 2011, same period as this study) strongly suggests  
13 the presence of airborne marine gels. Entanglements, ionic or hydrophobic  
14 interactions and/or hydrogen bonds stabilize the three-dimensional biopolymer  
15 networks of the marine polymer gels, with electrostatic bonds involving  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$   
16 ions being the most dominating (Verdugo, 2012; Orellana and Leck, 2015). In  
17 seawater the observed size range of gel particles ranges from so-called nanogels (100-  
18 200 nm; Bigg et al., 2004) that can further anneal into microgels (> 1000 nm) by  
19 interpenetration and entanglement of neighboring nanogels or hydrophobic interaction.  
20 Changes in environmental factors like UV-B radiation (Orellana and Verdugo, 2003)  
21 or physico-chemical parameters like pH and temperature (Tanaka et al., 1980) lead to  
22 inhibition/dispersion or volume change of the gel polymer assemblies. The transport  
23 from the ocean water into the atmosphere results in an enhanced exposure of the gel  
24 particles to solar UV-B radiation. Together with changes in the physico-chemical  
25 environment of the gel particles due to e.g. condensation of acidic gases onto the  
26 aerosol droplets the transport into the atmosphere thus might lead to fragmentation  
27 and/or shrinking of the gel matter and result in a reduced diameter of atmospheric gel  
28 particles compared to gel matter in the ocean (Leck and Bigg, 2005b; Orellana et al,  
29 2011). Embedded in the polymer network is the high content of water (99%) that  
30 prevents the network from collapsing (Chin et al., 1998). The biopolymer networks of  
31 marine gels are highly surface active and show refractory properties are therefore not  
32 expected to evaporate under the electron beam.

### 1 3.4 Halo particles

2 Besides SP and GP we observed particles with a halo-like appearance (halo particles,  
3 HP) on the TEM grid where a relatively large central particle was surrounded by a  
4 droplet ring structure of numerous smaller particles (for examples see Fig. 10).

5 Several authors (Farlow et al., 1977; Bigg, 1986; Bigg et Leck, 2001b) have found  
6 that the formation of droplet ring structures from sulphuric acid containing aerosol is  
7 a result of humidity, hydrophilicity of the collection surface and impact velocity  
8 effects. Bigg and Leck (2001b) observed that a solution of sulfuric acid wets out on a  
9 hydrophilic surface but retracts when humidity is reduced, leaving behind small  
10 droplets in a symmetrical ring. In our study the sampling procedure led to a drastical  
11 reduction in relative humidity, from around 100% at ambient conditions to 20%  
12 within the sampling manifold (see chapter 2.1.1) and the aerosol was impacted onto a  
13 surface with hydrophilic properties (TEM grid). We will thus assume that the HP  
14 originally existed as one particle in the atmosphere that splashed out into the droplet  
15 ring structure upon impaction onto the substrate.

16 HP comprised 7% of the total number of aerosol particles (Table 1) and appeared at  
17 diameters above 75 nm and thus mainly in the accumulation mode. Number maxima  
18 were found at at 161 nm and 293 nm, respectively (Fig. 5, lower panel, left). The very  
19 weak contrast of the satellite particles against the Formvar background (Fig. 3)  
20 probable shifted the particle number size distribution towards smaller sizes to some  
21 extent.

22 Imaging with TEM allowed a more detailed investigation of the HP and revealed  
23 three morphologically different types of the central particle. Two of the particle types  
24 consisted predominantly of particles with skeletal structures and of particles in the  
25 form of “*aggregates*” or “*aggregate with film*”. The third group consisted of particles  
26 that were in opposite to the former particle types **unstable** under the electron beam  
27 (Fig. 2). Central particles of skeletal structures or “*aggregate*”/“*aggregate with film*”  
28 made up for 19% and 22%, respectively of the HP examined. Examples of both  
29 particle types are shown in Figs. 10(A) and 10(B). The majority of central particles  
30 (59%), however seemed to partly evaporate during the imaging process, leaving more  
31 transparent structures behind, similar to the SP described in chapter 3.2. We sized the  
32 central particles individually in order to compare them with particles of similar  
33 morphology to the SP or GP. The number size distribution of the “*aggregate*”,

1 “*aggregate with film*” and skeletal particles is shown in Fig. 6(B), green line, with a  
2 maximum at 270 nm diameters, compared to the maximum at 171 nm (Fig. 6(B), red  
3 line) resulting from sizing the heat sensitive central particles under the electron beam.  
4 The satellites (particulates or droplets) exhibited varying morphologies. Sometimes  
5 numerous small satellites surrounded the central particle in a symmetrical ring (Fig.  
6 10(A) whereas in other cases the satellite droplets were larger but fewer (Fig. 10(B),  
7 (C)). In the literature three types of compounds have been described to form satellites  
8 when airborne aerosol particles impact on a collection substrate: sulfuric acid (Ayers,  
9 1978; Ferek et al., 1983), ammonium sulfate and bisulfate (Bigg, 1980; Busek and  
10 Pósfai, 1999) and methane sulfonic acid, MSA, (Bigg et al., 1974). Sulfuric acid  
11 exhibits a distinctive morphology: a central particle surrounded by a droplet-halo of  
12 numerous smaller satellites. Neutralization of sulfuric acid by ammonium or a high  
13 content of methane sulfonic acid produces a halo of larger and fewer droplets (Bigg  
14 and Leck, 2001a). The morphology of the droplet-halos we observed in this study  
15 points towards the presence of sulfuric acid, often in a mixture with ammonium  
16 sulfate or bisulfate and/or methane sulfonic acid. As discussed in section 3.2 these  
17 sulfur-containing components have not only been reported to be present over the  
18 Arctic pack ice area in summer (Bigg and Leck, 2001a) but also to frequently occur in  
19 the remote marine atmosphere (Barnard et al., 1994; Capaldo and Pandis, 1997; Kettle  
20 et al., 1999). The observed number size distribution for all HP (Fig. 5, lower panel) is  
21 in agreement with results from the high Arctic reported by Hillamo et al. (2001)  
22 which observed the first maximum in sulfate containing aerosol particles at diameters  
23 > 80 nm and in ammonium and MSA containing particles at diameters > 100 nm.

### 24 **3.5 EDX measurements**

25 To determine the elemental composition of the aerosol samples an EDX spectrometer  
26 coupled to TEM was used. EDX spectra of 103 aerosol particles were recorded in  
27 conjunction with the **imaging** process. Molecular dynamics studies on polysaccharides  
28 by Li et al. (2013) and Sun et al. (2014) have shown that not only the divalent ions  
29  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  but also the monovalent ions  $\text{Na}^+$  and  $\text{K}^+$  can stabilize the three-  
30 dimensional biopolymer gel networks. Inspired by these results we focused on the  
31 detection of the alkali ions  $\text{Na}^+$  and  $\text{K}^+$  and the divalent ions  $\text{Ca}^{2+}$  and  $\text{Mg}^{2+}$  in the gel-  
32 type particles. In the following we will refer to  $\text{Na}^+$  and  $\text{K}^+$  as  $\text{Na}^+/\text{K}^+$  and  $\text{Ca}^{2+}$  and  
33  $\text{Mg}^{2+}$  as  $\text{Ca}^{2+}/\text{Mg}^{2+}$ .

1 The analysis revealed the following characteristics:  $\text{Na}^+/\text{K}^+$  was detected in 91% of  
2 the SP, 13% of these particles contained exclusively  $\text{Na}^+/\text{K}^+$  whereas 78% contained  
3 both types of metal ions,  $\text{Na}^+/\text{K}^+$  and  $\text{Ca}^{2+}/\text{Mg}^{2+}$  with the latter only in minor  
4 quantities (Fig. 11(A)); examples of EDX spectra for the different types of particles  
5 are shown in Fig. S1. The “*aggregate*” particles contained exclusively  $\text{Na}^+/\text{K}^+$  in 20%  
6 of the particles and predominantly  $\text{Na}^+/\text{K}^+$  and minor contents of  $\text{Ca}^{2+}/\text{Mg}^{2+}$  in 80% of  
7 the particles (Fig. 11(B)). For the particle types “*aggregate plus film*” and “*mucus-*  
8 *like*” particles, however, a clear dominance of  $\text{Ca}^{2+}/\text{Mg}^{2+}$  was detected. 17% of the  
9 “*aggregate plus film*” particles contained only  $\text{Ca}^{2+}/\text{Mg}^{2+}$  and 67% of the particles  
10 contained  $\text{Ca}^{2+}/\text{Mg}^{2+}$  accompanied by minor contents of  $\text{Na}^+/\text{K}^+$  (Fig. 11(C)).  
11 “*Aggregate plus film*” particles thus contained to 86%  $\text{Ca}^{2+}/\text{Mg}^{2+}$  as the dominating  
12 type of ions. “*Mucus-like*” particles contained to 11% only  $\text{Ca}^{2+}/\text{Mg}^{2+}$  and up to 86%  
13  $\text{Ca}^{2+}/\text{Mg}^{2+}$  accompanied by minor contents of  $\text{Na}^+/\text{K}^+$ . 97% of the type “*mucus-like*”  
14 particles thus contained  $\text{Ca}^{2+}/\text{Mg}^{2+}$  as the dominating type of metals. In summary, we  
15 observed a gradual transition from particles with a dominating content of  $\text{Na}^+/\text{K}^+$  to  
16 particles with a dominating content of  $\text{Ca}^{2+}/\text{Mg}^{2+}$  moving from SP over “*aggregate*”  
17 particles and “*aggregate with film*” particles to “*mucus-like*” particles. We therefore  
18 hypothesise a connection between the morphology of the particles and the respective  
19 dominating crosslinking ion within the polymer 3-dimensional network of the marine  
20 gels. Li et al. (2013) compared polysaccharides with 3 and 4 repetition units of  
21 molecular weights of 1.9 kDa and 2.5 kDa respectively as representations for organic  
22 matter in seawater (Verdugo, 2004). Their results showed that the assembly of the  
23 longer polysaccharide chains seems to be accelerated in the presence of  $\text{Ca}^{2+}$  whereas  
24 the presence of  $\text{Na}^+$  has a positive effect on the assembly of shorter polysaccharide  
25 chains. Considering the observed morphology of the aerosol particles that are built up  
26 by marine gel matter a high content of  $\text{Ca}^{2+}/\text{Mg}^{2+}$  could facilitate the formation of  
27 fluffy and less compact “*mucus-like*” gel matter whereas the presence of  $\text{Na}^+/\text{K}^+$   
28 favoured a more compact structure of type “*aggregate*” and SP.

29 Halo particles with a center of gel or fraction of a gel showed a high content of  
30  $\text{Na}^+/\text{K}^+$ : 50% of the particles contained those metals exclusively whereas another 25%  
31 contained mainly  $\text{Na}^+/\text{K}^+$  with smaller amounts of  $\text{Ca}^{2+}/\text{Mg}^{2+}$  (Fig. 12). The high  
32 content of alkali metal ions in those particles suggested that they originated from SP  
33 or fragmented “*aggregate*” particles, which were exposed to processes that lead to  
34 condensational growth of the original gel particles.

35



## 1 4 Summary and conclusions

2 Aerosol particles collected in the summer high Arctic north of 80° were individually  
3 and objectively investigated with electron microscopy and subsequent image mapping.  
4 This enabled a division of the aerosol particles into three size resolved gross  
5 morphological groups, single particles (SP), gel particles (GP) and halo particles (HP).  
6 Single particles (SP) dominated the aerosol population in terms of numbers and made  
7 up 82% of all particles; they were observed over the whole sub-micrometer size range  
8 and clearly dominated the Aitken mode. The majority of SP (65%) was stable under  
9 the electron microscope and showed no signs of evaporation or morphological  
10 changes during imaging. These particles with refractory properties appeared over the  
11 whole size range of particles observed whereas the remaining 35% of SP appeared to  
12 be heat instable, evaporated partly and were predominantly observed in the  
13 accumulation mode. GP were observed at diameters > 45 nm predominantly in the  
14 accumulation mode with a maximum in number at 154 nm and contributed with 11%  
15 to the total particle number. The GP exhibited various morphological features and  
16 appeared as “*aggregate*” particles (14%) and as “*aggregate with film*” particles  
17 (29%) but the majority, 59% was made up by “*mucus-like*” particles. 70% of the GP  
18 in our study appeared to be smaller than 100 nm in diameter, and 90% were smaller  
19 than 200 nm.

20 HP appeared mainly in the accumulation mode at diameters > 60 nm with a maximum  
21 in number at 161 nm and contributed up to 7% to the total particle number mapped.  
22 The majority of HP consisted of heat instable particles, probably ammonium bisulfate  
23 (59% of all particles), internally mixed with sulfur containing compounds (sulfuric  
24 acid, ammonium bisulfate, methane sulfonic acid). The remaining fraction was made  
25 up by “*aggregate*” particles (19%) and decomposed or fragmented gel matter (22%)  
26 internally mixed with sulfur containing compounds.

27 Electron dispersive X-ray (EDX) spectroscopy revealed a gradual transition in the  
28 content of Na<sup>+</sup>/K and Ca<sup>2+</sup>/Mg<sup>2+</sup> between different particle morphologies. SP and  
29 “*aggregate*” particles preferentially contained Na<sup>+</sup>/K<sup>+</sup> whereas “*aggregate with film*”  
30 particles and “*mucus-like*” particles contained mainly Ca<sup>2+</sup>/Mg<sup>2+</sup> with minor contents  
31 of Na<sup>+</sup>/K<sup>+</sup>. Supported by model studies (Li et al., 2013; Sun et al., 2014) we  
32 hypothesize that a correlation exists between particle morphology and the prevalence  
33 of the ions Na<sup>+</sup>/K<sup>+</sup> and/or Ca<sup>2+</sup>/Mg<sup>2+</sup> where the prevalence of Ca<sup>2+</sup>/Mg<sup>2+</sup> facilitates the  
34 formation of large organic assemblies of GP type whereas a lack of Ca<sup>2+</sup>/Mg<sup>2+</sup> and a

1 prevalence of  $\text{Na}^+/\text{K}^+$  prohibit the formation of large assemblies leading to smaller  
2 entities of SP type.

3 The so far generally insufficient understanding of the size resolved aerosol  
4 composition and especially the role of organic compounds, their morphology and state  
5 of mixture had hampered a detailed understanding of the of the processes that lead to  
6 the activation of the high Arctic aerosol particles and thus their role in the formation  
7 of cloud droplets (Leck and Svensson, 2015; Martin et al., 2011; Zhou et al., 2001;  
8 Leck et al., 2002). One evident outcome from this study is that the aerosol particles to  
9 be activated into cloud droplets over the Arctic pack ice areas cannot be seen as  
10 simply inorganic salts. None of the aerosol particles showed an apparently cubic or  
11 otherwise crystalline appearance that could be attributed to sea salt particles. Instead  
12 the results from this study clearly show that organic marine gel matter significantly  
13 contributes to the particle number concentration over the whole sub-micrometer size  
14 range but especially at diameters below 60 nm.

15 A parallel study conducted during the ASCOS campaign (Orellana et al., 2011)  
16 demonstrated that airborne aerosol particles contain hydrophobic moieties on their  
17 surface that play an important role for gel formation (Maitra et al., 2001) and increase  
18 the rate of gel assembly (Ding et al., 2008). The interaction of the hydrophilic and  
19 hydrophobic entities on the structure of the polymer gels likely will influence the  
20 water vapor pressure and decrease the surface tension of the cloud droplets to be  
21 formed (Leck and Svensson, 2015; Ovadnevaite et al., 2011).

22 Water-soluble particles like ammonium sulfate were present mainly in the  
23 accumulation mode at diameters above 100 nm. Growth of the sub-Aitken particles  
24 probably resulted from deposition of acids/organic vapors on polymer gel particles  
25 and produced HP or sulfur containing particles with hygroscopic properties typical for  
26 a gel nucleus covered by a sulfate-methane sulfonate-ammonium complex. At the  
27 same time the fragmentation of larger particles is capable of adding numbers into the  
28 Aitken mode (Leck and Bigg, 1999; 2010; Karl et al., 2013). Orellana and Verdugo  
29 (2003) and Orellana et al. (2011) observed the sensitivity of marine gels to changes in  
30 the physicochemical environment (pH and T) and the fragmentation of gel matter into  
31 smaller entities as a result to UV radiation exposure. Acidic compounds typically  
32 found in the marine atmosphere like sulfuric acid and dimethyl sulfide (DMS)  
33 induced volume collapse of the swollen hydrated polymer gel network into a  
34 condensed and more compact form (Tanaka et al., 1980; Leck and Bigg, 2010;

1 Orellana et al., 2011). Condensation of sulfur acidic compounds and in-cloud  
2 processing of the marine gels in the atmosphere during their passage over the pack-ice  
3 and continuous exposure to UV radiation due to the length of the polar day in summer  
4 could produce smaller sized fragments of marine gels similar to the spherical subunits  
5 observed in “*aggregate*”/“*aggregate with film*” particles and the dense inclusions in  
6 “*mucus-like*” particles. Since SP showed a maximum in number concentration in the  
7 same size range, at 27 nm, it cannot be excluded that fragmentation of gel matter or  
8 pH induced collapse of the gels lead to the formation of smaller entities and by that  
9 providing a mechanism to produce SP and to add particle numbers to the Aitken mode.  
10 In hope of enhancing our understanding on CCN properties promoting /suppressing  
11 cloud droplet formation over the pack ice area in summer and at the same time meet  
12 the demand for observational data for the evaluation of climate models, this study has  
13 presented critical size resolved data on particle morphology, chemical composition  
14 and state of mixture based on the analysis of individual particles.

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1

	15-80 nm	80-200 nm	> 200 nm	Sum (% of total)
Single particles (% of total SP)	2609 (80%)	573 (18%)	57 (2%)	3239 (82 %)
Gel particles (% of total GP)	97 (24%)	198 (49%)	108 (27%)	403 (11 %)
Halo particles (% of total HP)	9 (3%)	95 (36%)	163 (61%)	267 (7 %)
Total number of particles				3909 (100%)

2 Table 1. Numbers and percentage of total for single particles (SP), gel particles (GP)  
3 and halo particles (HP) imaged with SEM and used for calculating number size  
4 distributions.

5



1

	No Na <sup>+</sup> /K <sup>+</sup> , no Ca <sup>2+</sup> /Mg <sup>2+</sup>	Ca <sup>2+</sup> /Mg <sup>2+</sup>	Na <sup>+</sup> /K <sup>+</sup>	Ca <sup>2+</sup> /Mg <sup>2+</sup> and Na <sup>+</sup> /K <sup>+</sup>
Single particles	4 %	4 %	13 %	78 % (Na <sup>+</sup> /K <sup>+</sup> )
“Aggregate” particles	-	-	20 %	80 % (Na <sup>+</sup> /K <sup>+</sup> )
“Aggregate with film” particles	-	17 %	17 %	67% (Ca <sup>2+</sup> /Mg <sup>2+</sup> )
“Mucus-like” particles	-	11 %	3 %	86 % (Ca <sup>2+</sup> /Mg <sup>2+</sup> )
Halo particles	25 %	-	50 %	25 % (Na <sup>+</sup> /K <sup>+</sup> )

Table 2. Fraction of particles containing the ions Na<sup>+</sup>/K<sup>+</sup> or Ca<sup>2+</sup>/Mg<sup>2+</sup>, or both, Na<sup>+</sup>/K<sup>+</sup> and Ca<sup>2+</sup>/Mg<sup>2+</sup>, or neither Na/K nor Ca<sup>2+</sup>/Mg<sup>2+</sup> in single particles, “aggregate” particles, “aggregate with film” particles, “mucus-like” particles and halo particles. The ions written in brackets in the last column indicate the prevalent type of ion in the respective type of particle.

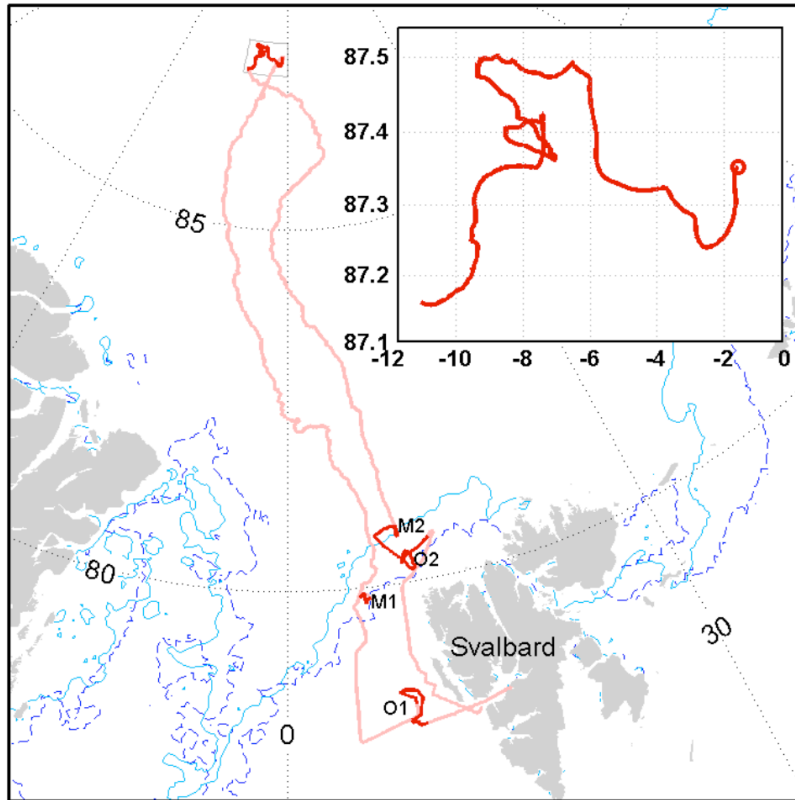


Figure 1. Track of the icebreaker *Oden* in the Arctic (pink). The path during the ice-drift is shown in the insert (red line); the circle indicates the start of the ice-drift, the ice edge (thin blue line) was passed on 12 August 2008.

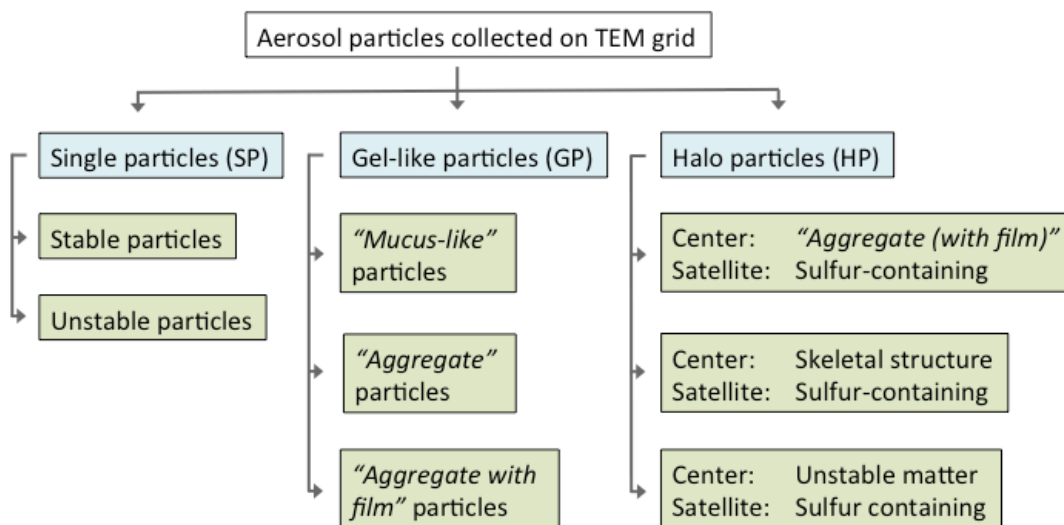


Figure 2. Scheme of the aerosol particle types collected on Formvar grid; the particles observed with SEM are shaded in light blue, particles observed in TEM are shaded in light green.

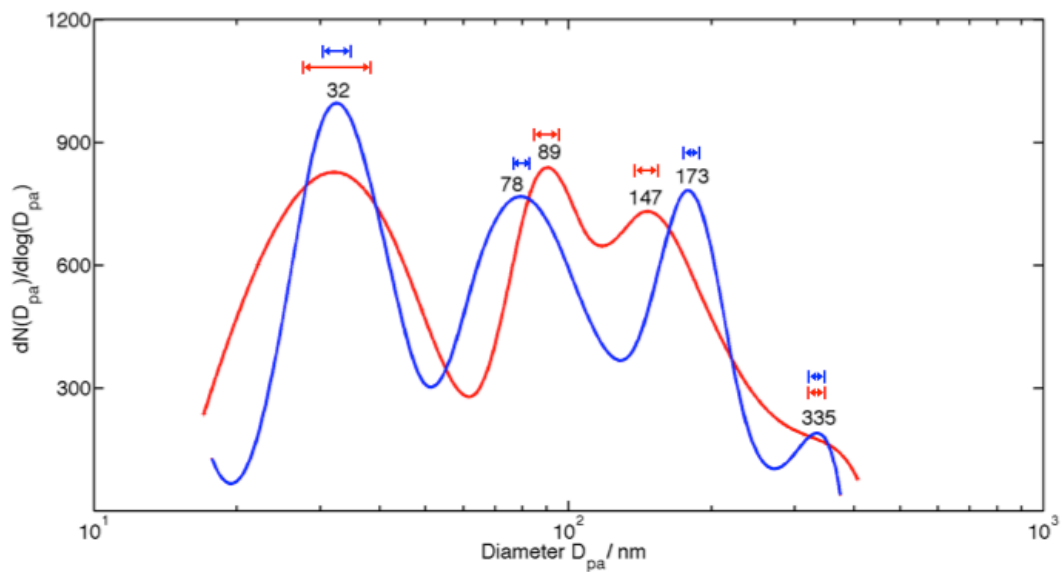


Figure 3. Number size distribution of the total aerosol collected for this study; red line: SEM derived particle number distribution, error bars represent the error size determination retrieved from calibration measurements described in Hamacher-Barth et al. (2013); blue line: number size distribution from simultaneous TDMPS measurements, errors bars represent 5 % uncertainty of the data (Wiedensohler et al., 2012).

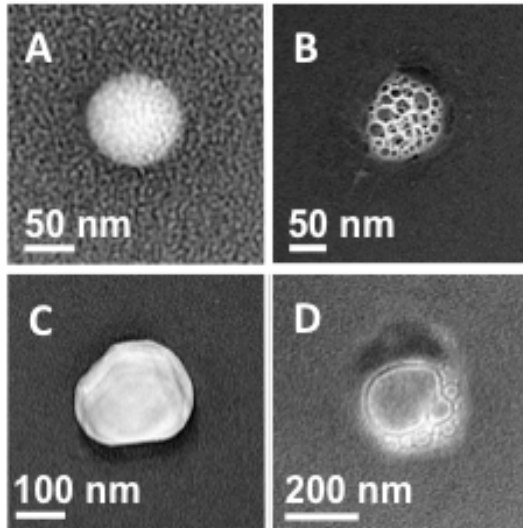


Figure 4. Examples for single particles (SP) observed with TEM. (A) a particle stable under the beam of the electron microscope. (B) particle with a skeletal structure. (C) a particle stable under the electron beam. (D) an example for a particle that is unstable under the electron beam.

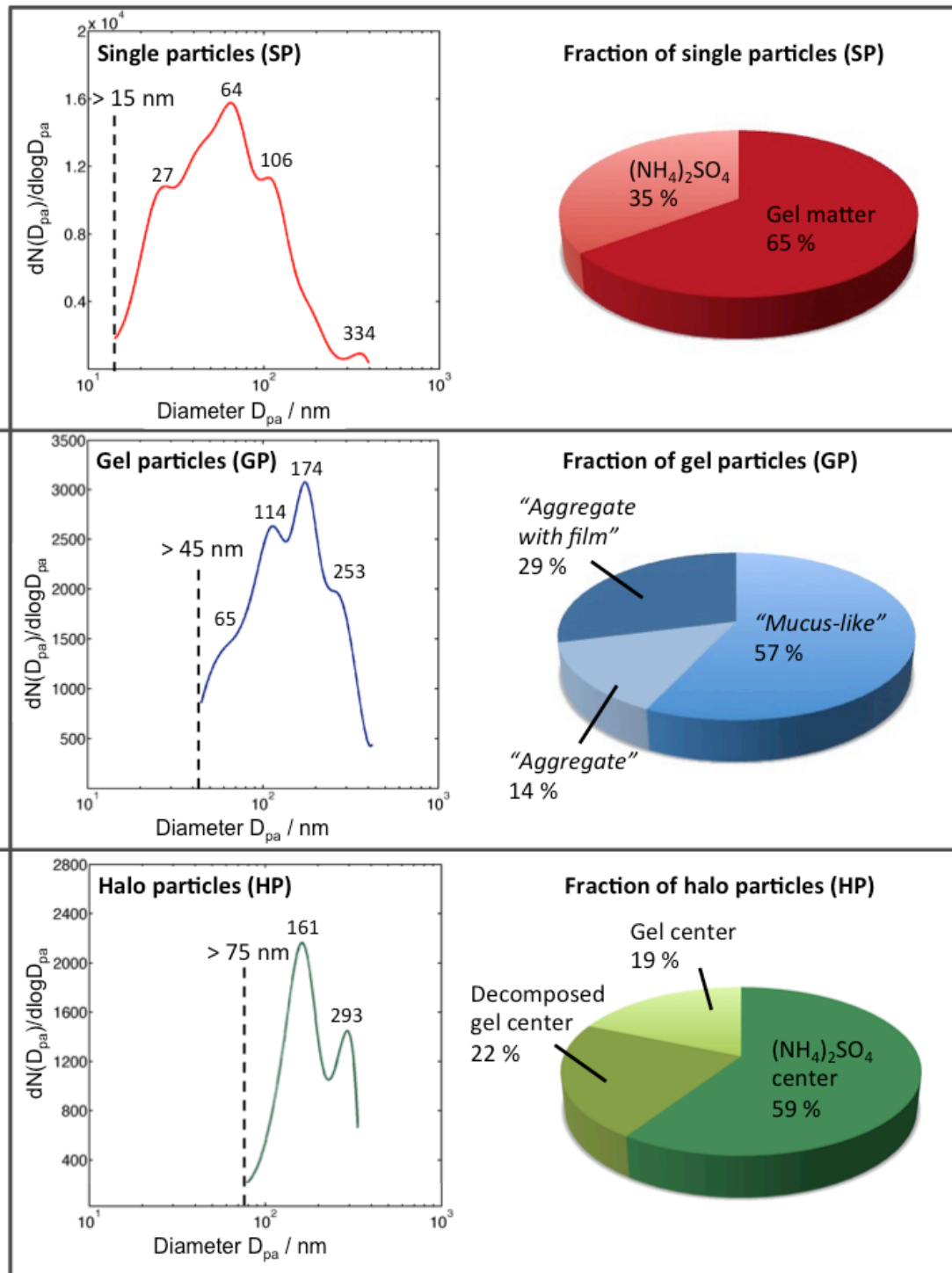


Figure 5. SEM number size distribution of the particle types (single particles, gel-like particles and halo particles) evaluated for this study (to the left) plus the fraction of the different subgroups of particles derived from TEM (to the right). The dashed line in each figure marks the lowest diameter at which the respective particle type appears.

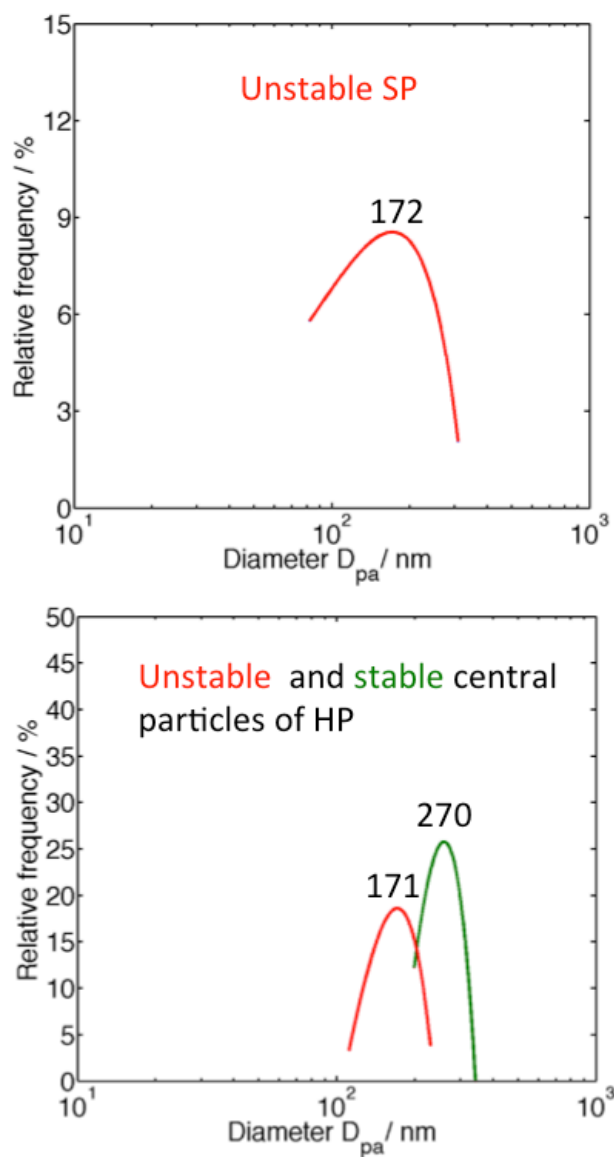


Figure 6. Number size distributions obtained from TEM images. Upper panel: **unstable** SP. Lower panel: central particles of HP; red line: **unstable** central particles, green line: stable central particles comprising “*aggregate*”, “*aggregate with film*” and skeletal particles.

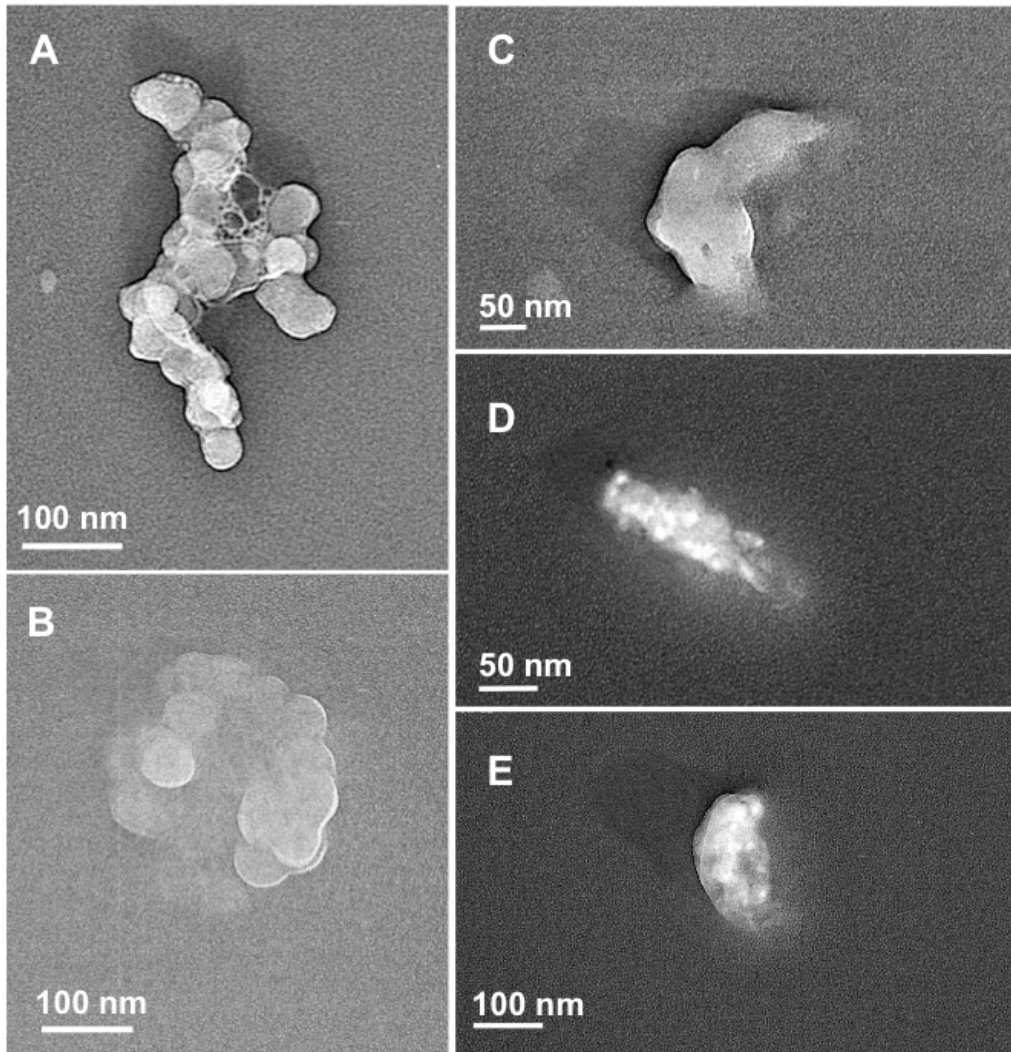


Figure 7. Examples for particles built up by aggregated subunits, observed with TEM. (A), (B) consist of pure aggregates (“*aggregate*” particles). (C), (D) and (E) aggregate particles covered with a thin film of gel (“*aggregate with film*” particles).



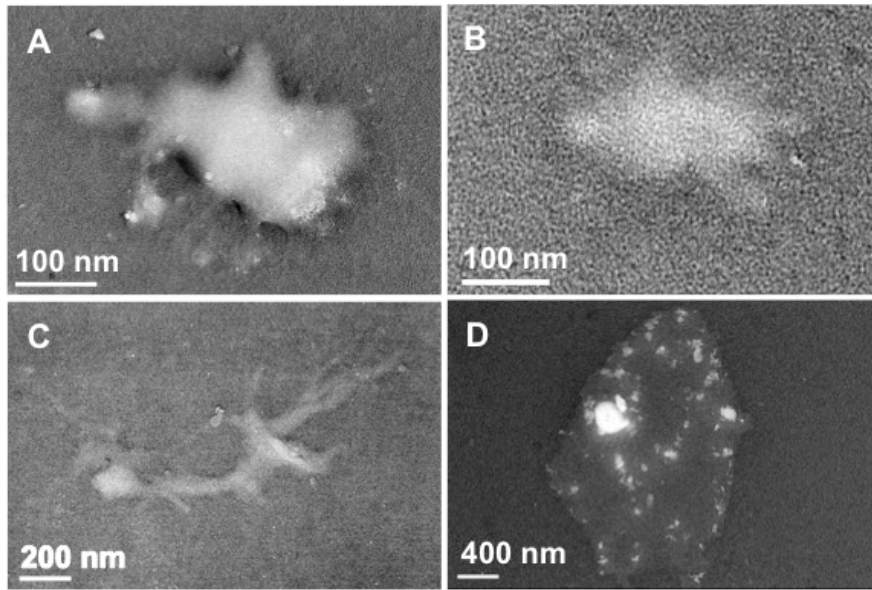


Figure 8. Examples for “*mucus-like*” particles observed with TEM. (A) mucus matter with small dense inclusions, partly outdrawn on the Formvar film. (B) mucus matter, outdrawn on the Formvar film. (C) mucus matter, extensively outdrawn on the formvar film. (D) mucus matter with numerous dense inclusions.

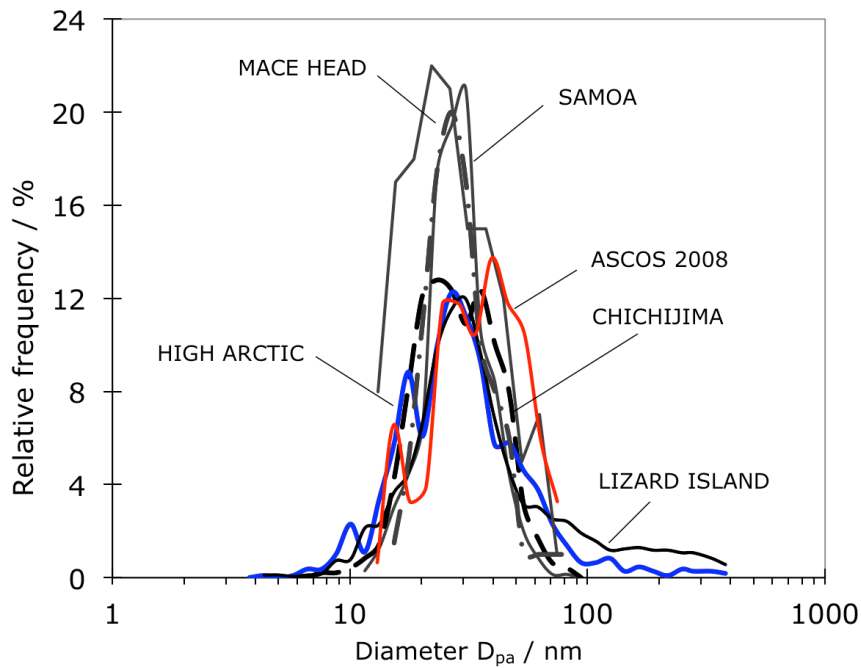


Figure 9. Number size distributions of airborne aggregate particles and their building blocks at different locations: Mace Head (53°N, 10°W), Lizard Island (14.6°S, 145.5 °E), American Samoa (14 °S, 172 °W), Chichijima (27 °N, 142 °E), High Arctic (AOE-2001, between 88.9 °N and 88.2 °N; blue line), and ASCOS 2008 (between 87 °N, 1°W and 87 °N, 11 °W, red line). All particles were assumed to be spherical in shape (from Bigg and Leck (2008), modified).

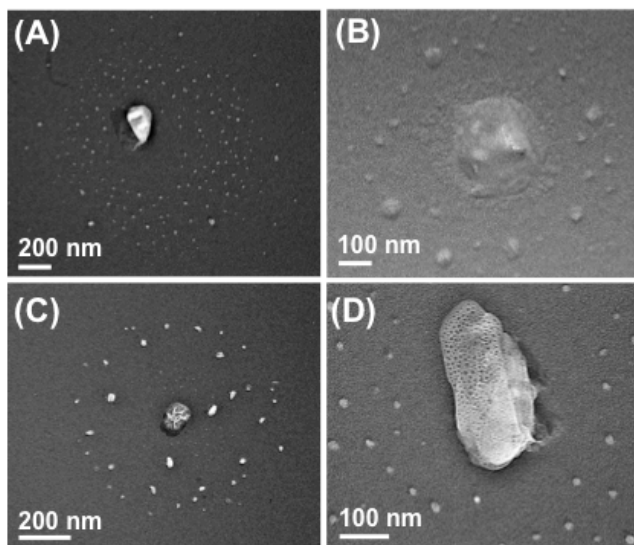


Figure 10. Examples for Halo Particles observed with TEM. (A) a central “*aggregate*” particle surrounded by satellite particles of sulfuric acid and a smaller amount of methane sulfonic acid. (B) central “*aggregate with film*” particles, surrounded by satellite particles of sulfuric acid and methane sulfonic acid. (C) central particle formed by ammonium sulfate, satellite particles formed by methane sulfonic acid, probably mixed with sulfuric acid. (D) central particle of **degenerated** gel, surrounded by methane sulfonic acid mixed with sulfuric acid.

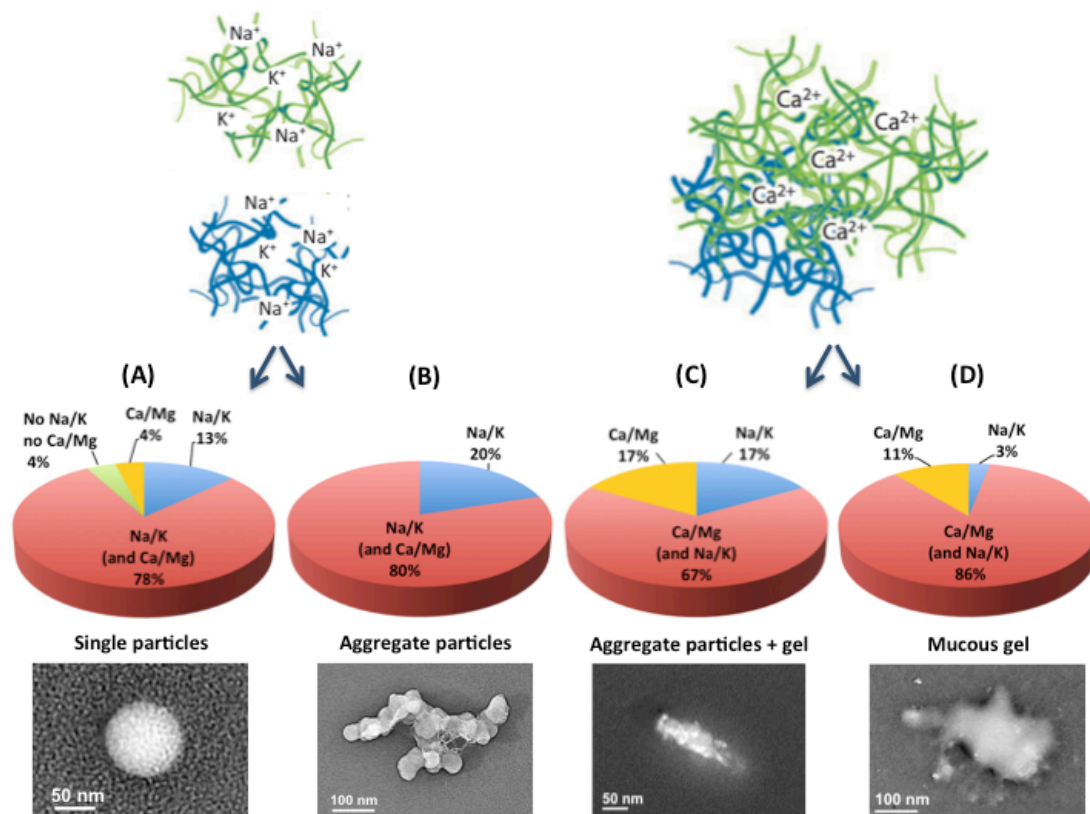


Figure 11. Fraction of particles containing the following ions: Na<sup>+</sup>/K<sup>+</sup> (blue), Ca<sup>2+</sup>/Mg<sup>2+</sup> (yellow), Na<sup>+</sup>/K<sup>+</sup> and minor contents of Ca<sup>2+</sup>/Mg<sup>2+</sup> (red), and neither Na<sup>+</sup>/K<sup>+</sup> nor Ca<sup>2+</sup>/Mg<sup>2+</sup> (green). (A) Single particles comprised of gel matter. (B) “mucus-like” particles. (C) “aggregate” particles. (D) “aggregate with film” particles.

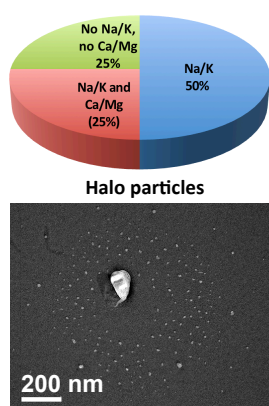


Figure 12. Fraction of HP containing the following ions: Na<sup>+</sup>/K<sup>+</sup> (blue), Na<sup>+</sup>/K<sup>+</sup> and Ca<sup>2+</sup>/Mg<sup>2+</sup> (red), and neither Na<sup>+</sup>/K<sup>+</sup> nor Ca<sup>2+</sup>/Mg<sup>2+</sup> (green).

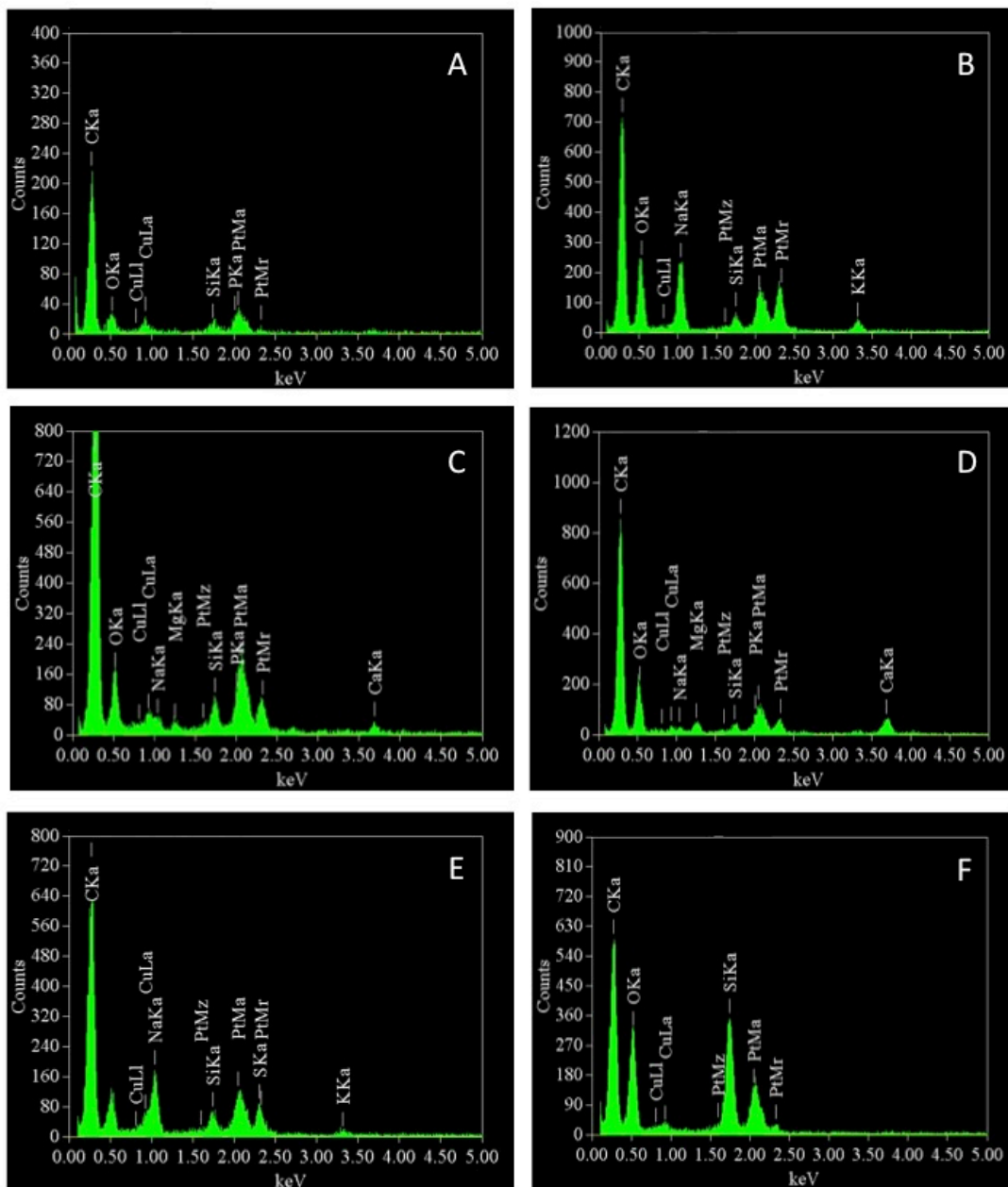


Figure S1: Examples of EDX spectra of aerosol particle investigated in this study. (A) single particle containing neither  $\text{Na}^+/\text{K}^+$  nor  $\text{Ca}^{2+}/\text{Mg}^{2+}$ . (B) “aggregate” particle containing  $\text{Na}^+/\text{K}^+$ . (C) “aggregate with film” particle containing  $\text{Na}^+$  and minor contents of  $\text{Ca}^{2+}/\text{Mg}^{2+}$ . (D) “mucus-like” particle containing  $\text{Ca}^{2+}/\text{Mg}^{2+}$  and minor contents of  $\text{Na}^+$ . (E) halo particle containing  $\text{Na}^+/\text{K}^+$  and S probably in the satellite particles. (F) blank spectrum taken from a Pt-shadowed TEM grid.

