

**Response to anonymous referee #1's comments:**

We would like to first thank the anonymous reviewer for the suggestions to improve our manuscript. We address the reviewer's comments below. The original comments are in **bold font** and our responses are in *italic font*. Changes in the text are highlighted in **yellow**.

**This study uses irradiance observations from the 2008 EUCAARI-LONGREX aircraft campaign to examine the aerosol radiative forcing over Europe. Using a radiative transfer model (Edwards and Slingo) combined with observations and assumptions, the authors estimate diurnally averaged RF. The authors do a thorough and careful job of laying out the assumptions made in their closure study. Further they determine the uncertainty associated with their various modeling assumptions on the final estimation of RF. The most interesting finding of this study is that the spectral resolution of their radiative transfer model has a part (17-21%) impact on their uncertainty in radiative forcing, suggesting that more simplified RF models used by climate models may have resolution-imposed errors that are quite significant. This study is well written and clear; I find it acceptable for publication in ACP. Minor issues are listed below.**

**Page 1 Line 12: “in a case of” > “for a case of”**

*As suggested by the reviewer, this sentence has been changed:*

*“For one specially designed “radiative closure” flight, simulated irradiances have been compared to radiation measurements for a case of aged European aerosol in order to explore the validity of model assumptions and the degree of “radiative closure” that can be attained given the spatial and temporal variability of the observations and their measurement uncertainties.”*

**Page 1 Line 14: “for the spatial and temporal variability and measurement uncertainties” > “given the spatial and temporal variability of the observations and their measurement uncertainties”**

*As suggested by the reviewer, this sentence has been changed:*

*“For one specially designed “radiative closure” flight, simulated irradiances have been compared to radiation measurements for a case of aged European aerosol in order to explore the validity of model assumptions and the degree of “radiative closure” that can be attained given the spatial and temporal variability of the observations and their measurement uncertainties.”*

**All pages: Need spacing between paragraphs; may be an issue with ACPD. Hard to read this way.**

*We have used in our manuscript the styles suggested by ACPD in their new templates.*

**Page 6 Line 18 (and thereafter): “re-analysis” > “reanalysis”**

*As suggested by the reviewer, the word “re-analysis” has been changed to “reanalysis” throughout the manuscript.*

### **Response to anonymous referee #2's comments:**

We would like to first thank the anonymous reviewer for the suggestions to improve our manuscript. We address the reviewer's comments below. The original comments are in **bold font** and our responses are in *italic font*. Changes in the text are highlighted in **blue**.

**This manuscript presents an analysis of airborne in situ data collected during a series of flights over western Europe. This is an interesting and useful analysis that investigates the sensitivity of direct radiative forcing to variations in parameters. That said, there are some issues with the analysis methodology that require modifications to the manuscript. The description of the techniques used is not complete, thorough or clear, and some assumptions are made that are not explained adequately. For this reason I suggest minor revisions to the manuscript.**

**Below are my primary concerns. Page numbers refer to the "printer-friendly" pdf version.**

**1) p. 3 line 21. Why is the un-humidified nephelometer "assumed" to represent dry conditions? The RH is typically directly measured (albeit not very well) within the TSI nephelometers. And lacking an RH measurement, one could calculate it knowing the temperature change between the ambient conditions and the instrument conditions. An  $f(\text{RH})$  value calculated when the "dry" condition is actually at 50% RH, for example, could be different by >30% from a true value measured with a dry scattering measured at  $\text{RH} < 10\%$ . What is the sensitivity of the analysis to this assumption?**

*The measurements of the standard nephelometer are assumed to be applicable to "dry" aerosol, although the sample is not actively dried during the flights, since the sample is at lower than ambient relative humidity due to the effect of heat from the nephelometer lamp and electronics, the dynamic heating through deceleration of the input flow which reaches the instrument and the increased temperature of the sample lines compared to ambient air. Thus, due to the dehydrating nature of sampling on the aircraft, the standard nephelometer will measure aerosol scattering at low RH (<40%), which is sufficient to dry out many atmospheric aerosols (Osborne et al., 2007; Haywood et al., 2008).*

*Since the standard nephelometer (assumed to measure "dry" aerosol") is operated in series with a "wet-nephelometer", the hygroscopic scattering growth factor,  $f(\text{RH})$ , can be estimated as the ratio of the scattering coefficient measured in the wet-nephelometer*

to the scattering coefficient measured by the standard nephelometer, and plotted as a function of RH to obtain a hygroscopicity curve for each flight of the campaign. To minimise the uncertainty due to the unknown RH of the sample in the dry nephelometer, only sections of SLRs where the RH measured in the dry nephelometer is less than 30% are used. The “measured” scattering for “ambient” aerosol at a given relative humidity is then derived by increasing the scattering from the nephelometer by the growth factor indicated by the hygroscopicity curve.

Highwood *et al.* (2012) found that, since the ambient relative humidity during the EUCAARI-LONGREX campaign was generally below 70%,  $f(\text{RH})$  was relatively modest and therefore, its influence on the scattering and/or single scattering albedo was quite small (differences in the average values compared to the dry values being well within the uncertainty bounds on either quantity).

Therefore, the uncertainties that include our calculated values of the aerosol radiative effect related to the way in which aerosols are represented in the ES96 radiative transfer model are going to be mainly due to other assumptions rather than to the assumption that the measurements of the standard nephelometer are applicable to “dry” aerosol. Thus, since aerosols in the ES96 radiative transfer model, which was used in our estimations of the aerosol radiative effect during EUCAARI-LONGREX, are represented by their optical properties and vertical profile, in terms of mass mixing ratio, we have only tested the sensitivity of the calculated aerosol radiative effect to the main assumptions (aerosol composition, size distribution and/or single scattering albedo) made to obtain these.

#### References:

Haywood, J., Bush, M., Abel, S., Claxton, B., Coe, H., Crosier, J., Harrison, M., Macpherson, B., Naylor, M. and Osborne, S.: Prediction of visibility and aerosol within the operational Met Office Unified Model. II: Validation of model performance using observational data. *Q.J.R. Meteorol. Soc.*, 134: 1817–1832. doi: 10.1002/qj.275, 2008.

Highwood, E.J., Northway, M.J., McMeeking, G.R., Morgan, W.T., Liu, D., Osborne, S., Bower, K., Coe, H., Ryder, C., and Williams, P.: Aerosol scattering and absorption during the EUCAARI-LONGREX flights of the Facility for Airborne Atmospheric Measurements (FAAM) BAe-146: can measurements and models agree? *Atmos. Chem. Phys.*, 12, 7251–7267, doi:10.5194/acp-12-7251-2012, 2012.

Osborne, S. R., Haywood, J. M. and Bellouin, N.: *In situ and remote-sensing measurements of the mean microphysical and optical properties of industrial pollution aerosol during ADRIEX*. *Q.J.R. Meteorol. Soc.*, 133: 17–32. doi: 10.1002/qj.92, 2007.

**2) p. 3 lines 23-26. This description of the calculating the ambient extinction is hard to understand. Reading Highwood et al. (2012) and Esteve et al. (2014) it appears that the humidified nephelometer is scanned through a range of RH values and a parameterized f(RH) equation fitted to the data. Then the ambient extinction is calculated using the parameterized curve and the ambient RH. Is this correct? Is it done for every second of flight? Please describe in more detail, but succinctly, how ambient extinction is calculated. What is the sensitivity of your analysis to the "dry" f(RH) value?**

*During the EUCAARI-LONGREX campaign, a “dry” and “wet” nephelometer system was operated aboard the BAe-146 aircraft. The sample flow through the wet-neph is humidified to a set value between 45 and 95 %. During a straight level run (SLR), the humidity is either cycled through a range between these values, or set at a fixed high level. This allowed us to plot f(RH) (defined as the ratio of the scattering coefficient measured in the wet-neph to the scattering coefficient measured by the dry-neph) as a function of RH, and to fit the data to Model 2 from Kotchenruther et al. (1999)*

$$f(RH) = \sigma_{s,d} \left( 1 + a \left( \frac{RH}{100} \right)^b \right)$$

*where  $\sigma_{s,d}$ ,  $a$ , and  $b$  are fitting parameters to the data. To minimise the uncertainty due to the unknown RH of the sample in the dry nephelometer, only sections of SLRs where the RH measured in the dry nephelometer is less than 30% are used to estimate the growth factors for scattering. Moreover, the data is averaged into 2% mean RH bins to minimise also the high variability due to small scale variations in f(RH), and RH is assessed and used to scale the 2% mean RH bins to account for particle loss between the nephelometers and any zero offset issues. Therefore, a hygroscopicity curve is obtained for each flight of the campaign. The scattering for “ambient” aerosol at a given relative humidity is then derived by increasing the scattering from the nephelometer by the growth factor indicated by the hygroscopicity curve.*

*As suggested by the reviewer, a more detailed, but succinctly, explanation of this procedure has been included in the manuscript:*

*“A second TSI 3563 integrating nephelometer (“wet-nephelometer”) is operated with a humidified RH between 45 and 95% in series with the first nephelometer (e.g. Haywood et al., 2008). During a straight level run (SLR), the humidity is either cycled through a range between these values, or set at a fixed high level. This allows us to estimate the hygroscopic scattering growth factor,  $f(RH)$ , as the ratio of the scattering coefficient measured in the wet-nephelometer to the scattering coefficient measured by the standard nephelometer, and this can be plotted as a function of RH to obtain a hygroscopicity curve for each flight of the campaign. To minimise the uncertainty due to the unknown RH of the sample in the “dry nephelometer”, only sections of SLRs where the RH measured in the “dry nephelometer” is less than 30% are used. The “measured” scattering for “ambient” aerosol at a given relative humidity is then derived by increasing the scattering from the nephelometer by the growth factor indicated by the hygroscopicity curve. A more detailed description of this process can be found in Highwood et al. (2012).”*

*In order to minimise the uncertainty due to the unknown RH of the sample in the “dry nephelometer”, we have only used sections of SLRs where the RH measured in the “dry nephelometer” is less than 30% to estimate the growth factors for scattering. Moreover, Highwood et al. (2012) found that, since the ambient relative humidity during the EUCAARI-LONGREX campaign was generally below 70%,  $f(RH)$  was relatively modest and therefore, its influence on the scattering and/or single scattering albedo was quite small (differences in the average values compared to the dry values being well within the uncertainty bounds on either quantity). Therefore, our calculated values of the aerosol radiative effect are more affected by the uncertainties due to the way in which aerosols are represented in the ES96 radiative transfer model than by our estimation of the “ambient” aerosol. Thus, since aerosols in the ES96 radiative transfer model, which was used in our estimations of the aerosol radiative effect during EUCAARI-LONGREX, are represented by their optical properties and vertical profile, in terms of mass mixing ratio, we have only tested the sensitivity of the calculated aerosol radiative effect to the main assumptions (aerosol composition, size distribution and/or single scattering albedo) made to obtain these.*

*References:*

Haywood, J., Bush, M., Abel, S., Claxton, B., Coe, H., Crosier, J., Harrison, M., Macpherson, B., Naylor, M. and Osborne, S.: Prediction of visibility and aerosol within the operational Met Office Unified Model. II: Validation of model performance using observational data. *Q.J.R. Meteorol. Soc.*, 134: 1817–1832. doi: 10.1002/qj.275, 2008.

Highwood, E.J., Northway, M.J., McMeeking, G.R., Morgan, W.T., Liu, D., Osborne, S., Bower, K., Coe, H., Ryder, C., and Williams, P.: Aerosol scattering and absorption during the EUCAARI-LONGREX flights of the Facility for Airborne Atmospheric Measurements (FAAM) BAe-146: can measurements and models agree? *Atmos. Chem. Phys.*, 12, 7251–7267, doi:10.5194/acp-12-7251-2012, 2012.

Kotchenruther, R.A., Hobbs, P.V., and Heff, D.A.: Humidification factors for atmospheric aerosols off the mid-Atlantic coast of the United States. *J. Geophys. Res.*, 104 (D2), 2239–2251, doi:10.1029/98JD01751, 1999.

**3) p. 4 lines 2-3. Why is the under-wing PCASP instrument assumed to measure a dry size distribution? This instrument is under the wing specifically to minimize perturbation (via inlet losses and thermodynamic changes) to the ambient aerosol. What is the sensitivity of your results to this assumption?**

*The PCASP dries the aerosol to some degree through deceleration of the sample air, interaction with dry sheath air, heating by the de-icing heaters and by the electrical components associated with the probe (Strapp et al., 1992). Since Strapp et al. (1992) suggest that in laboratory tests with the de-icing heaters switched on aerosol particles are completely dried out, it is standard practice to operate the PCASP of the FAAM BAe-146 aircraft with the de-icing heaters switched on throughout the measurements, so we can assume that we are measuring the dry aerosol size distribution.*

*We have changed the sentence about the dry aerosol size distribution:*

*“Considering the dehydrating nature of the PCASP (Strapp et al., 1992), this is taken to be the dry aerosol size distribution.”*

*A new reference has been added to the References section as well:*

*“Strapp, J.W., Leaitch, W.R., and Liu, P.S.K.: Hydrated and Dried Aerosol-Size-Distribution Measurements from the Particle Measuring Systems FSSP-300 Probe and the Deiced PCASP-100X Probe. *J. Atmos. Oceanic Technol.*, 9, 548-555, doi: 10.1175/1520-0426(1992)009<0548:HADASD>2.0.CO;2, 1992.”*

*References:*

*Strapp, J.W., Leaitch, W.R., and Liu, P.S.K.: Hydrated and Dried Aerosol-Size-Distribution Measurements from the Particle Measuring Systems FSSP-300 Probe and the Deiced PCASP-100X Probe. J. Atmos. Oceanic Technol., 9, 548-555, doi: 10.1175/1520-0426(1992)009<0548:HADASD>2.0.CO;2, 1992.*

**4) p. 4 lines 2-3. What is the error in the size distribution associated with using calibration particles of a fixed refractive index (latex beads? ammonium sulfate?) when the atmospheric aerosol has a different refractive index (which can be calculated from the AMS measurements)? If this is described in detail in an earlier publication, at least summarize the results here.**

*Rosenberg et al. (2012) described two methods for calibrating optical particle counters (OPCs), such as the PCASP, based on the principle that an OPC measures an electrical pulse height which is related to a particle's scattering cross section. They also provided a method, which is based on a probability density function, to modify the OPC bin boundaries when the scattering properties of the measured particles are different to those of the calibration particles due to differences in refractive index or shape.*

*Calibrating the PCASP using these methods showed that the bin centers are systematically higher than those reported by the manufacturer using the refractive index for polystyrene latex spheres as in the manufacturer's specification, and this calibration may change by up to 20% when routine maintenance is carried out. However, the change in the calibration over time is less than 5% when calibrations are performed before and after projects with a duration of ~1 month.*

*We have included a new sentence about this:*

*"We have taken into consideration the known difference in bin sizing from the calibration latex spheres based on our estimate of the refractive indices of the aerosol as described in Rosenberg et al. (2012). The drift of this offset has been shown to be small over the duration of a campaign."*

*A new reference has been added to the References section as well:*



*“Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., and Petzold, A.: Particle sizing calibration with refractive index correction for light scattering optical particle counters and impacts upon PCASP and CDP data collected during the Fennec campaign, Atmos. Meas. Tech., 5, 1147-1163, doi:10.5194/amt-5-1147-2012, 2012.”*

*References:*

*Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., and Petzold, A.: Particle sizing calibration with refractive index correction for light scattering optical particle counters and impacts upon PCASP and CDP data collected during the Fennec campaign, Atmos. Meas. Tech., 5, 1147-1163, doi:10.5194/amt-5-1147-2012, 2012.*

**5) p. 4 lines 26-29. Why is the aerosol mass profile calculated from the scattering measurements and an (assumed?) mass extinction efficiency? The measurements include direct observations of aerosol mass (submicron at least) from the AMS and SP2 instruments. Why not use these measured mass values directly?**

*Although the instrumentation aboard the FAAM Bae-146 aircraft during the EUCAARI-LONGREX campaign included an Aerodyne Time-of-Flight Aerosol Mass Spectrometer (ToF-AMS), which measured the total non-refractory aerosol mass (e.g. organics, nitrate, sulphate, ammonium and chloride), and a Single Particle Soot Photometer (SP2), which measured the mass of sub-micron refractory black carbon, we can't use these measurements of the aerosol composition to estimate the vertical aerosol profile in terms of mass mixing ratio that we need in our simulations with the ES96 radiative transfer model because the vertical profiles during the flights were relatively short compared to the sampling frequency of these two instruments, and thus we would have very few data points in our measured aerosol vertical profile.*

*Therefore, we need to use other measurements available during the flights in order to specify the vertical aerosol profile in terms of mass mixing ratio, and for this we need to convert first the vertical profile of the aerosol scattering measured by the FAAM BAe-146 aircraft to a vertical profile of the extinction coefficient by dividing by the single scattering albedo measured at an appropriate altitude (closest SLR to the vertical profile), and then the extinction coefficient is converted to a mass mixing ratio using the mass extinction coefficient (which is calculated with a Mie scattering code within a*

*framework that includes the measured composition and dry size distribution for the closest SLRs to each vertical profile and grows the aerosol according to parameterisation of growth factors from literature).*

**6) p. 6 line 6. Is this calculation for TOA? Please be clear**

*At the beginning of Section 4, we introduce the concept of shortwave aerosol radiative effect (or aerosol radiative effect, ARE, as we call it throughout the manuscript), which is the change in net shortwave irradiance due to the presence of aerosols,  $ARE = NET^{aer} - NET^{clr}$ , where  $NET = SWD - SWU$ . This definition is valid for both the aerosol radiative effect at the surface (SFC) and the top of the atmosphere (TOA).*

*Since this is a general definition, we haven't changed this sentence. However, as suggested by the reviewer, we have made clear later on our manuscript that our calculations of the aerosol radiative effect are for the surface and the top of the atmosphere:*

*"Having some confidence in our representation of the aged aerosol from the success of radiative closure obtained in Section 3, we would like to estimate the aerosol radiative effect at the surface (SFC) and the top of the atmosphere (TOA) for this and other profiles from the EUCAARI-LONGREX campaign."*

*"Table 3 shows the "ambient" aerosol optical depth at 550 nm (AOD) from the aircraft profiles and "dry" single scattering albedo (SSA) and specific aerosol extinction ( $k_{ext}$ ) at 550 nm from the closest SLR to each profile derived from the measurements of the aerosol scattering and absorption made by the FAAM BAe-146 aircraft, together with the diurnally averaged values of the aerosol radiative effect (ARE) and radiative efficiency (RE) at the surface and the TOA over Europe during EUCAARI-LONGREX."*

**7) p. 8 line 15. It is not clear how the choices of ranges of the various input parameters for the sensitivity calculations were made. Here it states, "The sensitivity to the various assumptions made in the radiative transfer model is estimated by repeating our calculations of the aerosol radiative effect using a different assumption, and then comparing the new results with the original values and calculating the difference between them." What were the ranges of input parameters, and how were they chosen? How many different perturbations were considered? Is this done by hand-chosing a few values, or was there a comprehensive Monte Carlo simulation done? Do the perturbations tested**

**represent the measured variation in that parameter, or just the uncertainty in the measurement, as is suggested by Table 2? What does it mean to vary the "size distribution"? Is the number varied, the mean diameter, or the standard deviation? What is the sensitivity to each of these components of the size distribution function? This part of the manuscript is the heart of the analysis and the methodology needs to be much clearer. If at all possible, I recommend that the sensitivity study be separated into portions due to experimental uncertainties and measured (geophysical) variability. This would be very interesting—how well can we determine the direct effect (measurement uncertainty) and how much does it vary (geophysical variation)?**

*In our calculations of the aerosol radiative effect we have made various assumptions, mostly related to the way in which aerosols and other parameters are represented in the ES96 radiative transfer model. Table 1 shows a summary of these. In our original calculations, we used what we think is the best representation we can use of those parameters considering the in-situ meteorological and aerosol measurements obtained by the FAAM Bae-146 aircraft during the EUCAARI-LONGREX campaign. In order to analyse the sensitivity of the calculated aerosol radiative effect to the various assumptions made in the radiative transfer model, we have repeated our calculations using a different, but plausible, assumption, and then comparing the new results with the original values and calculating the difference between them. Table 1 shows a summary of the alternative assumptions made for our analysis. This type of analysis has previously been done in Esteve et al. (2014).*

Table 1. Assumptions made about the way in which aerosols and other parameters are represented in the ES96 radiative transfer model to calculate the aerosol radiative effect.

	<b>ORIGINAL</b>	<b>TEST</b>
<b>ES96 spectral resolution</b>	220 bands (0.2 - 10 $\mu\text{m}$ )	6 bands (0.2 - 10 $\mu\text{m}$ )
<b>aerosol composition &amp; size distribution</b>	measurements for closest SLRs to each vertical profile	flight-mean values
<b>surface albedo</b>	MERRA reanalysis	values from pyranometer measurements during SLRs close to the surface (not always available)
<b>single scattering albedo</b>	measurements for closest SLRs to each vertical profile	flight-mean values
<b>hygroscopicity of organic aerosol</b>	Brooks et al. (2004) (depends on the ambient RH)	Gysel et al. (2007) (doesn't depend on the ambient RH)

As suggested by the reviewer, the analysis of the sensitivity of the calculated aerosol radiative effect has been separated into two different parts in Section 5:

“The values of the aerosol radiative effect calculated in Section 4 include uncertainties due to the modelling methods used in the radiative transfer model and the spatial variations in the aerosol.

...

### 5.1 Sensitivity to modelling methods

The ES96 model covers the range 0.2 - 10  $\mu\text{m}$ , but its spectral resolution is flexible, with differing resolutions typically being used for off-line calculations (220 bands, as in the previous sections of this paper) and within NWP and climate models (more typically 6 bands). Fig. S1 in the Supplement shows the spectral dependence of the aerosol extinction, the single scattering albedo and the phase function over the ranges 0.2 - 10  $\mu\text{m}$ . To test the sensitivity of the calculated aerosol radiative effect to the spectral resolution of the information used in ES96, we have repeated our calculations using the spectral resolution used for the HadGEM climate model and the Met Office global forecast model. The changes in the calculated ARE (SP\_RES in Figure 5) compared to

our original higher spectral resolution version are large: an increase of ~17% on average and up to 20 – 21% at the surface and the TOA.

The sensitivity to the surface albedo is tested here by repeating our calculations of the aerosol radiative effect with values of the surface albedo from pyranometer measurements of upwelling and downwelling irradiance during SLRs close to the surface whenever it was possible, instead of using values from the MERRA reanalysis. The change in the calculated ARE (SFC\_ALB in Figure 5) is either an increase or a decrease, and at the surface is relatively low, 6% on average and up to 12%. However, the calculated ARE at the TOA is much more sensitive to the surface albedo, ~20% on average and up to ~65%.

Esteve et al. (2014) found that one of the largest sources of uncertainty in the calculated scattering is the assumed hygroscopic growth factor for organic aerosol. To test the sensitivity of the calculated aerosol radiative effect to the hygroscopic growth factor for organic aerosol used in the Mie calculations of the aerosol optical properties included in ES96, we have repeated our calculations using the value from Gysel et al. (2007), which is independent of the ambient relative humidity, instead of the hygroscopic growth factor from Brooks et al. (2004). The change in the calculated ARE is similar at the surface and TOA (GF\_OC in Figure 5) being an increase of ~5%.

## 5.2 Sensitivity to spatial variations in aerosol

Aerosol optical properties are included in the ES96 radiative transfer model by calculating them with a Mie scattering code based on their composition and size distribution (Esteve et al., 2014). To test the relative importance of representing the spatial variability of aerosol optical properties, we have repeated our calculations using flight-mean aerosol compositions and size distributions instead of the measured ones for the closest SLRs to each vertical profile of EUCAARI-LONGREX used here. The change in the calculated ARE for a particular SLR when using local vs flight-mean values (AVG\_AER in Figure 5) is an increase of ~7% on average and up to 12% at the surface and the TOA, which agrees with the change in the calculated aerosol optical properties of ~7% on average and up to 14%.

The ES96 radiative transfer model requires the vertical aerosol profile in terms of mass mixing ratio as an input. This is derived from the measured scattering profile but this calculation requires inputs of SSA and mass extinction coefficient. To test the sensitivity

*of the calculated aerosol radiative effect to the single scattering albedo used in this conversion we have repeated our calculations using flight-mean values of the SSA instead of those matching the closest SLRs to each vertical profile of EUCAARI-LONGREX (resulting in SSA differences of 2.6% on average but up to 11%). (Note that the optical properties of aerosol applied in the radiative transfer calculations are not changed here, only the column mass of aerosol is changed). The change in the calculated ARE (SSA\_AER in Figure 5) is a decrease of ~2% on average and up to 5% at the surface and the TOA. The change in the SSA is buffered by other factors in the model.”*

*A sentence in the Conclusions has been changed as well:*

*“We also quantified here the uncertainties in our calculations due to the modelling methods used in the radiative transfer model and the spatial variations in the aerosol.”*

*References:*

*Esteve, A.R., Highwood, E.J., Morgan, W.T., Allen, G., Coe, H., Grainger, R.G., Brown, P., and Szpek, K.: A study on the sensitivities of simulated aerosol optical properties to composition and size distribution using airborne measurements, Atmos. Environ., 89, 517–524, doi:10.1016/j.atmosenv.2014.02.063, 2014.*

### **Changes made in the manuscript:**

Following the suggestions made by the two anonymous reviewers, we have made these changes in our manuscript (page and line numbers refer to the attached marked-up version of the manuscript):

#### **Changed sentences and/or words:**

Page 1, lines 11 – 14: *“For one specially designed “radiative closure” flight, simulated irradiances have been compared to radiation measurements for a case of aged European aerosol in order to explore the validity of model assumptions and the degree of “radiative closure” that can be attained given the spatial and temporal variability of the observations and their measurement uncertainties.”*

Page 4, lines 6 – 7: *“Considering the dehydrating nature of the PCASP (Strapp et al., 1992), this is taken to be the dry aerosol size distribution.”*

Page 6, lines 14 – 16: *“Having some confidence in our representation of the aged aerosol from the success of radiative closure obtained in Section 3, we would like to estimate the aerosol radiative effect at the surface (SFC) and the top of the atmosphere (TOA) for this and other profiles from the EUCAARI-LONGREX campaign.”*

Page 6, lines 23, 24 and 30; page 9, line 6; page 20, line 10: the word “re-analysis” has been changed to “reanalysis”.

Page 7, lines 4 – 8: *“Table 3 shows the “ambient” aerosol optical depth at 550 nm (AOD) from the aircraft profiles and “dry” single scattering albedo (SSA) and specific aerosol extinction ( $k_{ext}$ ) at 550 nm from the closest SLR to each profile derived from the measurements of the aerosol scattering and absorption made by the FAAM BAe-146 aircraft, together with the diurnally averaged values of the aerosol radiative effect (ARE) and radiative efficiency (RE) at the surface and the TOA over Europe during EUCAARI-LONGREX.”*

Page 8, lines 13 – 14: *“The values of the aerosol radiative effect calculated in Section 4 include uncertainties due to the modelling methods used in the radiative transfer model and the spatial variations in the aerosol.”*

Page 8, line 26 – Page 9, line 30:

*“5.1 Sensitivity to modelling methods*

*The ES96 model covers the range 0.2 - 10  $\mu\text{m}$ , but its spectral resolution is flexible, with differing resolutions typically being used for off-line calculations (220 bands, as in the previous sections of this paper) and within NWP and climate models (more typically 6 bands). Fig. S1 in the Supplement shows the spectral dependence of the aerosol extinction, the single scattering albedo and the phase function over the ranges 0.2 - 10  $\mu\text{m}$ . To test the sensitivity of the calculated aerosol radiative effect to the spectral resolution of the information used in ES96, we have repeated our calculations using the spectral resolution used for the HadGEM climate model and the Met Office global forecast model. The changes in the calculated ARE (SP\_RES in Figure 5) compared to our original higher spectral resolution version are large: an increase of ~17% on average and up to 20 – 21% at the surface and the TOA.*

*The sensitivity to the surface albedo is tested here by repeating our calculations of the aerosol radiative effect with values of the surface albedo from pyranometer measurements of upwelling and downwelling irradiance during SLRs close to the surface whenever it was possible, instead of using values from the MERRA reanalysis. The change in the calculated ARE (SFC\_ALB in Figure 5) is either an increase or a decrease, and at the surface is relatively low, 6% on average and up to 12%. However, the calculated ARE at the TOA is much more sensitive to the surface albedo, ~20% on average and up to ~65%.*

*Esteve et al. (2014) found that one of the largest sources of uncertainty in the calculated scattering is the assumed hygroscopic growth factor for organic aerosol. To test the sensitivity of the calculated aerosol radiative effect to the hygroscopic growth factor for organic aerosol used in the Mie calculations of the aerosol optical properties included in ES96, we have repeated our calculations using the value from Gysel et al. (2007), which is independent of the ambient relative humidity, instead of the hygroscopic growth factor from Brooks et al. (2004). The change in the calculated ARE is similar at the surface and TOA (GF\_OC in Figure 5) being an increase of ~5%.*

## *5.2 Sensitivity to spatial variations in aerosol*

*Aerosol optical properties are included in the ES96 radiative transfer model by calculating them with a Mie scattering code based on their composition and size distribution (Esteve et al., 2014). To test the relative importance of representing the spatial variability of aerosol optical properties, we have repeated our calculations using flight-mean aerosol compositions and size distributions instead of the measured ones for*



*the closest SLRs to each vertical profile of EUCAARI-LONGREX used here. The change in the calculated ARE for a particular SLR when using local vs flight-mean values (AVG\_AER in Figure 5) is an increase of ~7% on average and up to 12% at the surface and the TOA, which agrees with the change in the calculated aerosol optical properties of ~7% on average and up to 14%.*

*The ES96 radiative transfer model requires the vertical aerosol profile in terms of mass mixing ratio as an input. This is derived from the measured scattering profile but this calculation requires inputs of SSA and mass extinction coefficient. To test the sensitivity of the calculated aerosol radiative effect to the single scattering albedo used in this conversion we have repeated our calculations using flight-mean values of the SSA instead of those matching the closest SLRs to each vertical profile of EUCAARI-LONGREX (resulting in SSA differences of 2.6% on average but up to 11%). (Note that the optical properties of aerosol applied in the radiative transfer calculations are not changed here, only the column mass of aerosol is changed). The change in the calculated ARE (SSA\_AER in Figure 5) is a decrease of ~2% on average and up to 5% at the surface and the TOA. The change in the SSA is buffered by other factors in the model.”*

Page 10, lines 21 – 22: *“We also quantified here the uncertainties in our calculations due to the modelling methods used in the radiative transfer model and the spatial variations in the aerosol.”*

**Added sentences and/or paragraphs:**

Page 3, lines 22 – 29: *“During a SLR, the humidity is either cycled through a range between these values, or set at a fixed high level. This allows us to estimate the hygroscopic scattering growth factor,  $f(RH)$ , as the ratio of the scattering coefficient measured in the wet-nephelometer to the scattering coefficient measured by the standard nephelometer, and this can be plotted as a function of RH to obtain a hygroscopicity curve for each flight of the campaign. To minimise the uncertainty due to the unknown RH of the sample in the “dry nephelometer”, only sections of SLRs where the RH measured in the “dry nephelometer” is less than 30% are used. The “measured” scattering for “ambient” aerosol at a given relative humidity is then derived by increasing the scattering from the nephelometer by the growth factor indicated by the hygroscopicity curve. A more detailed description of this process can be found in Highwood et al. (2012).”*

Page 4, lines 7 – 9: “*We have taken into consideration the known difference in bin sizing from the calibration latex spheres based on our estimate of the refractive indices of the aerosol as described in Rosenberg et al. (2012). The drift of this offset has been shown to be small over the duration of a campaign.*”

**Added references:**

Page 15, lines 13 – 15: “*Rosenberg, P. D., Dean, A. R., Williams, P. I., Dorsey, J. R., Minikin, A., Pickering, M. A., and Petzold, A.: Particle sizing calibration with refractive index correction for light scattering optical particle counters and impacts upon PCASP and CDP data collected during the Fennec campaign, Atmos. Meas. Tech., 5, 1147-1163, doi:10.5194/amt-5-1147-2012, 2012.*”

Page 15, lines 25 – 27: “*Strapp, J.W., Leitch, W.R., and Liu, P.S.K.: Hydrated and Dried Aerosol-Size-Distribution Measurements from the Particle Measuring Systems FSSP-300 Probe and the Deiced PCASP-100X Probe. J. Atmos. Oceanic Technol., 9, 548-555, doi: 10.1175/1520-0426(1992)009<0548:HADASD>2.0.CO;2, 1992.*”

# A case study of the radiative effect of aerosols over Europe: EUCAARI-LONGREX

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**Abstract.** The radiative effect of anthropogenic aerosols over Europe during the 2008 EUCAARI-LONGREX campaign has been calculated using measurements collected by the FAAM BAe-146 aircraft and radiative transfer modelling. The aircraft  
10 sampled anthropogenically perturbed air masses across north-western Europe under anticyclonic conditions with aerosol optical depths ranging from 0.047 to 0.357. For one specially designed “radiative closure” flight, simulated irradiances have been compared to radiation measurements **for a case of** aged European aerosol in order to explore the validity of model assumptions and the degree of “radiative closure” that can be attained **given the spatial and temporal variability of the observations and their measurement uncertainties**. Secondly, the diurnally averaged aerosol radiative effect throughout  
15 EUCAARI-LONGREX has been calculated. Surface radiative effect ranged between  $-3.9$  and  $-22.8 \text{ Wm}^{-2}$  (mean  $-11 \pm 5 \text{ Wm}^{-2}$ ) whilst top of the atmosphere (TOA) values were between  $-2.1$  and  $-12.0 \text{ Wm}^{-2}$  (mean  $-5 \pm 3 \text{ Wm}^{-2}$ ). We have quantified the uncertainties in our calculations due to the way in which aerosols and other parameters are represented in a radiative transfer model. The largest uncertainty in the aerosol radiative effect at both the surface and the TOA comes from the spectral resolution of the information used in the radiative transfer model ( $\sim 17\%$ ) and the aerosol description (composition and size distribution)  
20 used in the Mie calculations of the aerosol optical properties included in the radiative transfer model ( $\sim 7\%$ ). The aerosol radiative effect at the TOA is also highly sensitive to the surface albedo ( $\sim 12\%$ ).

## 1 Introduction

Atmospheric aerosols play an important role in the Earth’s climate through modifications of the global radiation budget, directly through the scattering and absorption of radiation (Charlson et al., 1992; Haywood and Shine, 1997), and indirectly  
25 via changes to cloud microphysics and properties (Kaufman et al., 2005). These effects are potentially considerable but uncertain. The global mean direct radiative forcing from 1750 to 2011 due to all anthropogenic aerosol has been estimated as  $-0.27 \pm 0.5 \text{ Wm}^{-2}$  and the indirect effect as  $-0.55$  (with a range from  $-1.33$  to  $-0.06$ )  $\text{Wm}^{-2}$  (IPCC, 2013). This rather large uncertainty in both the direct and indirect forcing is due to the variability of the optical properties and the spatial-temporal distribution of the aerosols, together with the variability of the macrophysical and microphysical properties of clouds in the  
30 case of the indirect forcing. In order to reduce these uncertainties, the integration of data from different platforms (ground-

based networks, satellite, aircraft, etc.) and techniques (in-situ measurement, remote sensing, numerical modelling and data assimilation) is required (Penner et al., 1994; Heintzenberg et al., 1996; Diner et al., 2004; Yu et al., 2006). For this reason, a great effort has been made in the last decades to establish ground-based networks, develop new satellite sensors and perform field experiments around the world (Kahn et al., 2004).

5 In-situ measurements made by ground-based networks or in field experiments provide important aerosol information such as loading, vertical distribution, optical properties or chemical composition, although they usually are very limited in space and time. Nowadays, satellite measurements allow regional-to-global scale analyses while chemical transport models are used to interpolate and provide data in regions where observations are not available. However, in-situ measurements are still needed for evaluating both the satellite retrievals and the model simulations. Moreover, climate simulations by numerical models  
10 require accurate representation of the physical and chemical properties of aerosols and their processes, and this will be ultimately based on experimental measurements. Thus, the comparison of different measurement platforms and techniques, together with the comparison of dependent aerosol properties and effects, is leading to a better understanding of the properties and processes of atmospheric aerosols as well as their role in radiative transfer. These studies, which are referred to as “closure” studies, have been performed before in a variety of aerosol regimes in field campaigns such as the Tropospheric Aerosol  
15 Radiative Forcing Observational Experiment, TARFOX (Russell et al., 1999), the Indian Ocean Experiment, INDOEX (Ramanathan et al., 2001), the Southern African Regional Science Initiative, SAFARI 2000 (King et al., 2003), the Aerosol Characterization Experiment-Asia, ACE-Asia (Huebert et al. 2003), and the Aerosol Direct Radiative Impact Experiment, ADRIEX (Highwood et al., 2007).

The European Integrated Project on Aerosol Cloud Climate and Air Quality Interactions Long Range Experiment (EUCAARI-  
20 LONGREX) was an aircraft field campaign conducted over central Europe and off the UK coast in May 2008. This campaign focused on the horizontal and vertical distribution of aerosol and trace gases on a continental scale throughout the tropospheric column. The synoptic and pollution situation during EUCAARI-LONGREX can be found in Hamburger et al. (2011), but in summary, an anticyclone over Scandinavia provided easterly flow across northern Europe such that aged European aerosol could be measured over the North-East Atlantic Ocean. The chemical composition of airborne measurements inside the  
25 boundary layer and its impact on the radiative budget over Europe are presented in Morgan et al. (2010a, 2010b). The black carbon measurements have been analysed by McMeeking et al. (2010). The aerosol optical measurements have been discussed by Highwood et al. (2012). The level of agreement between the calculated and measured aerosol optical properties during the campaign has been analysed by Esteve et al. (2014).

In this case study, we have used the data collected by the Facility for Airborne Atmospheric Measurements (FAAM) BAe-146  
30 aircraft during EUCAARI-LONGREX to calculate the radiative effect of aerosols of different ages over Europe. Building on Esteve et al. (2014), we have also quantified the uncertainties in our calculations due to the variability of aerosol properties and the way in which they are represented in models. Section 2 of this paper briefly describes the data and instrumentation from the FAAM BAe-146 aircraft and the radiative transfer model used in our calculations. Section 3 presents a radiative closure study for the only specially designed flight for this purpose within EUCAARI-LONGREX, a case with predominantly

clear skies and high concentrations of aged European aerosol. For this flight alone, radiation and aerosol in-situ measurements were possible within, above and below the aerosol layer, which allows validation of the model radiation calculations. Such radiation measurements were not possible during the rest of the EUCAARI-LONGREX flights due to aircraft operational restrictions, so for these flights the in-situ aerosol measurements are used in Section 4 to calculate the radiative effect over Europe during the campaign. Section 5 discusses the uncertainties associated with the calculated aerosol radiative effects. The conclusions are presented in Section 6.

## 2 Methodology

### 2.1 FAAM BAe-146 aircraft data and instrumentation

During the EUCAARI-LONGREX campaign, the FAAM BAe-146 aircraft made 15 flights in the region 47 - 57°N and 12°W - 22°E. Flight patterns generally consisted of either north-south transects to cut across air mass gradients or east-west transects to follow the air mass trajectories. Aircraft manoeuvres consisted of straight level runs (SLR) at different altitudes and vertical profiles through the boundary layer. Table 1 shows the flights and deep vertical profiles made during EUCAARI-LONGREX that have been selected in this paper to calculate the radiative effect of aerosols over Europe during this case study.

The instrumentation aboard the FAAM BAe-146 aircraft measured the chemical composition, microphysical, optical and hygroscopic properties of the atmospheric aerosols, as well as the shortwave solar radiation, and it has been described in detail in Johnson et al. (2000), Haywood et al. (2001, 2003), Osborne et al. (2007), McMeeking et al. (2010) and Morgan et al. (2010a). Only the instrumentation relevant for our study is briefly described here, and summarised in Table 2.

The aerosol scattering coefficients at 440, 550 and 700 nm are measured by a TSI 3563 integrating nephelometer. These values are corrected for angular truncation, temperature and pressure following Anderson and Ogren (1999) and Turnbull (2010). Although the sample is not actively dried during these flights, these measurements are assumed to be applicable to “dry” aerosol. A second TSI 3563 integrating nephelometer (“wet-nephelometer”) is operated with a humidified RH between 45 and 95% in series with the first nephelometer (e.g. Haywood et al., 2008). During a SLR, the humidity is either cycled through a range between these values, or set at a fixed high level. Thus, the hygroscopic scattering growth factor,  $f(\text{RH})$ , can be estimated as the ratio of the scattering coefficient measured in the wet-nephelometer to the scattering coefficient measured by the standard nephelometer, and plotted as a function of RH to obtain a hygroscopicity curve for each flight of the campaign. To minimise the uncertainty due to the unknown RH of the sample in the “dry nephelometer”, only sections of SLRs where the RH measured in the “dry nephelometer” is less than 30% are used. The “measured” scattering for “ambient” aerosol at a given relative humidity is then derived by increasing the scattering from the nephelometer by the growth factor indicated by the hygroscopicity curve. A more detailed description of this process can be found in Highwood et al. (2012).

The aerosol absorption coefficient at 567 nm is measured by a Radiance Research Particle Soot Absorption Photometer (PSAP). These values are corrected for pressure and flow rate and for spot size effects following Bond et al. (1999), Ogren (2010) and Turnbull (2010). The absorption at 550 nm is found assuming an inverse dependence of the absorption with the

Ångström exponent (e.g. Bond and Bergstrom, 2006). These measurements are also assumed to be representative of “dry” aerosol and not to change with relative humidity. As such, the derived ambient extinction coefficient is comprised of a humidity growth adjusted scattering and humidity unchanged absorption.

5 The aerosol size distribution is measured by a wing-mounted Particle Measuring System Passive Cavity Aerosol Spectrometer Probe 100-X (PCASP). This provides aerosol sized in 15 bins (previously calibrated in the laboratory) with diameters between 0.1 and 3  $\mu\text{m}$  approximately. Considering the dehydrating nature of the PCASP (Strapp et al., 1992), this is taken to be the dry aerosol size distribution. We have taken into consideration the known difference in bin sizing from the calibration latex spheres based on our estimate of the refractive indices of the aerosol as described in Rosenberg et al. (2012). The drift of this offset has been shown to be small over the duration of a campaign.

10 The aerosol composition is measured by an Aerodyne Time-of-Flight Aerosol Mass Spectrometer (ToF-AMS) for the total non-refractory mass (e.g. organics, nitrate, sulphate, ammonium and chloride), and by a Single Particle Soot Photometer (SP2) for the mass of sub-micron refractory black carbon.

Measurements of downwelling and upwelling irradiances are made using Eppley Precision Spectral Pyranometers (PSP) mounted above and below the fuselage. These instruments have a spectral range of 0.3 – 3  $\mu\text{m}$ . The accuracy of standard PSPs is  $\pm 1\%$  (Burns et al., 2000), but additional uncertainties encountered when mounted and flown on the aircraft due to pitch and roll corrections result in an overall accuracy of the FAAM BAe-146 PSPs of up to  $\pm 8\%$  (Haywood et al., 2001, 2003).

## 2.2 Radiative transfer calculations

Calculations of downwelling and upwelling irradiances are performed using the Edwards and Slingo (ES96) radiative transfer model (Edwards and Slingo, 1996; Randles et al., 2013) with a plane-parallel atmosphere and a spectral resolution of 220  
20 bands in the range 0.2 – 10  $\mu\text{m}$ . Vertical profiles of temperature, water vapour, ozone and aerosol mass mixing ratio are calculated from measurements of the FAAM BAe-146 aircraft. At altitudes above the aircraft profiles, the mid-latitude climatology of McClatchey et al. (1971) is used for temperature, water vapour and ozone. When the aircraft was unable to get close enough to the surface (since it is difficult to fly at low altitude over European land), these parameters are also extended to the surface assuming a constant profile from the minimum aircraft altitude. McClatchey et al. (1971) mid-latitude  
25 climatology is also used for CO<sub>2</sub>, O<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O, which the aircraft did not measure. Aerosol optical properties (single scattering albedo, mass extinction coefficient and asymmetry parameter) are specified in the simulations by calculating them with a Mie scattering code within a framework that includes the measured composition and dry size distribution for the closest SLRs to each vertical profile and grows the aerosol according to parameterisation of growth factors from literature (Esteve et al., 2014). Since ES96 is run in two streams, the asymmetry parameter is provided rather than a description of the full scattering  
30 phase function. The vertical aerosol profile in terms of mass mixing ratio is provided as an input to ES96. For this, the vertical profile of the aerosol scattering measured by the FAAM BAe-146 aircraft must be converted first to a vertical profile of the extinction coefficient by dividing by the single scattering albedo measured at an appropriate altitude, and then the extinction coefficient can be converted to a mass mixing ratio using the mass extinction coefficient. For simplicity, a lambertian surface

albedo is assumed. This is obtained either from pyranometer measurements of upwelling and downwelling irradiance during SLRs close to the surface or from the Modern Era Retrospective-analysis for Research and Applications (MERRA) database (Rienecker et al., 2011). Solar zenith angle is specified for each case depending on flight time and location. The solar irradiance at the top of the atmosphere is specified based on standard solar geometry.

### 5 3 Radiative closure between model and measurements – case study

Pyranometer measured irradiances can be used together with ES96 modelled irradiances in order to validate the results from the model and evaluate the degree of radiative closure that can be achieved. However to do this, very specific flight patterns (full profiles to close to ground level, stacked straight and level runs, including close to ground level and above the aerosol layer) and conditions (cloud-free skies, high aerosol concentrations) are needed. During EUCAARI-LONGREX, only one such opportunity was available. Flight b374, which was performed on 14 May 2008 off the Western coast of Ireland, had a flight pattern designed specifically to do this based on the predominantly clear skies and high concentrations of aged European aerosol. Figure 1 shows the location of the seven SLRs that were performed at different altitudes during flight b374. The SLRs designed specifically for radiative closure purposes include two SLRs within the aerosol layer off the Irish coast (R2 at ~928 mb and R5 at ~811 mb), two SLRs in opposite headings below the aerosol layer (R3 and R4 at ~1011 mb), and two SLRs in opposite headings above the aerosol layer (R6 and R7 at ~392 mb). Vertical profiles through the boundary layer were also performed between SLRs. An additional SLR was performed within the aerosol layer much closer to the Irish coast (R1) while overflying the Mace Head ground sampling site, likely sampled different aerosol, and was not consistent in time or space with the rest of the flight segments. It is therefore not analysed further in this study. The vertical profile of the aerosol extinction calculated from the measured scattering and absorption (from the nephelometer and PSAP) for flight b374 is shown as well in Figure 1.

In order to obtain model irradiances comparable to pyranometer measurements, average solar zenith angles for the times of each SLR are used to run ES96, and model results are then selected at pressure levels corresponding to those of the flight SLRs. Pyranometer data are also averaged over SLRs in order to compare to model data. The surface albedo in this case is calculated as the ratio of the upwelling irradiance to the downwelling irradiance measured when the aircraft was flying close to the surface (below 20 m height), providing a value of  $0.033 \pm 0.001$ . This value is close to the lower limit of the range found by Jin et al. (2004) for the clear sky ocean albedo, which varies greatly (0.03 - 0.4) depending on the solar zenith angle, the aerosol loading and the wind speed.

Figure 2 shows the comparison of the modelled irradiances to the measured ones for both the shortwave downwelling (SWD) and upwelling (SWU) irradiances (• symbols) through scatter plots (Figures 2a and 2b) and in terms of absolute differences (Figures 2c and 2d) and percentage differences (Figures 2e and 2f) as a function of the pressure at which the pyranometer measurements were made. There is very good agreement between model and pyranometer for both the SWD and SWU irradiances, with the difference between pyranometer and model data being within the pyranometer uncertainty. Good

agreement at high altitudes is expected for SWD since there was no aerosol above the FAAM BAe-146 aircraft. Lower down in the atmosphere the good agreement between model and measurements indicate that interactions between SWD and the aerosols are well represented. The best agreement for SWU is found at the lowest altitudes, which suggests that our calculated surface albedo is appropriate. Therefore, our assumptions about aerosol properties and hygroscopic growth lead to fluxes consistent with those measured when the surface albedo is also well constrained with consistent models, and we can say that radiative closure is indeed possible with our current instrumentation and a specially defined flight plan. We will use the ES96 radiative transfer model in Section 4 to estimate the aerosol radiative effect over Europe during other EUCAARI-LONGREX flights.

#### 4 Aerosol radiative effect

The shortwave aerosol radiative effect, from now on aerosol radiative effect (ARE), is the change in net shortwave irradiance due to the presence of aerosols,  $ARE = NET^{aer} - NET^{clr}$ , where  $NET = SWD - SWU$ . A negative value represents a decrease in the NET irradiance, likely to result in surface cooling, while a positive value suggests an increase in the NET irradiance and possible surface heating.

Having some confidence in our representation of the aged aerosol from the success of radiative closure obtained in Section 3, we would like to estimate the aerosol radiative effect at the surface (SFC) and the top of the atmosphere (TOA) for this and other profiles from the EUCAARI-LONGREX campaign. Such a detailed validation as shown in Section 3 is not possible for other flights since operations and logistics did not routinely permit the specially designed flight pattern performed in flight b374. Nevertheless, the range of aerosol properties measured during EUCAARI-LONGREX can be used to drive ES96 and calculate the aerosol radiative effect for each case, albeit without radiometric validation measurements.

For each case, ES96 is provided with the in-situ meteorological and aerosol measurements as described in Section 2.2. Additionally the surface albedo must be supplied. For flight b374 the surface albedo was obtained directly from the aircraft measurements, but this is not possible for profiles over land as air traffic restrictions prevent the aircraft from flying close to the surface. One source of surface albedo data is the MERRA reanalysis (Rienecker et al., 2011). In order to assess the sensitivity of the modelled irradiances to use of the MERRA surface albedo, the value from the MERRA reanalysis for flight b374 (0.047) has been used to rerun ES96 for this profile, and the modelled irradiances have been compared to the pyranometer measurements ( $\times$  symbols in Figure 2). As we would expect, the change in surface albedo causes very small changes in the SWD irradiance ( $< 5 \text{ Wm}^{-2}$ ). However, the higher surface albedo leads to an increase in the SWU irradiance such that the model overpredicts the pyranometer measurements by 8 – 43%, this difference being now outside the uncertainty in the observations. Thus, our model calculations of SWD are more reliable than those of SWU when using the surface albedo from the MERRA reanalysis, and both SWD and SWU are less reliable than those obtained using a surface albedo from pyranometer measurements of upwelling and downwelling irradiance during SLRs close to the surface. In Section 5 we will assess the sensitivity of the calculated aerosol radiative effect over Europe during EUCAARI-LONGREX to this factor.



In order to compare our results to values from the literature, the aerosol radiative effect for each flight has been diurnally averaged by calculating the irradiances at three times during each day, and weighting them based on a gaussian distribution, which varies throughout the year. We assume that the aerosol remains unchanged diurnally.

Table 3 shows the “ambient” aerosol optical depth at 550 nm (AOD) from the aircraft profiles and “dry” single scattering albedo (SSA) and specific aerosol extinction ( $k_{\text{ext}}$ ) at 550 nm from the closest SLR to each profile derived from the measurements of the aerosol scattering and absorption made by the FAAM BAe-146 aircraft, together with the diurnally averaged values of the aerosol radiative effect (ARE) and radiative efficiency (RE) at the surface and the TOA over Europe during EUCAARI-LONGREX.

The highest AOD values during the EUCAARI-LONGREX campaign were found for flights b373 (0.176) and b374 (0.357), located in the southern UK coast and in the Atlantic Ocean south-west of Ireland, while the lowest AOD values were found for flights b365 (0.047) and b369 (0.061), performed over Eastern Europe and the Baltic Sea. Considering that the majority of flights of EUCAARI-LONGREX took place during a period dominated by a strong high surface pressure system positioned in the region of Denmark (Morgan et al., 2010a, 2010b; McMeeking et al., 2010; Hamburger et al., 2011), it seems that the relatively clean air mass from the Baltic Sea was swept by the anticyclonic flow south and eastwards collecting emissions from north western Europe, and thus the most aged aerosol sample was found to the west of Ireland. Moreover, the SSA over Europe during EUCAARI-LONGREX was relatively high ( $> 0.93$ ), which reflects the large fraction of scattering material (sulphate, nitrate and organics) in the European aerosol. The geographical and temporal variations of the aerosol scattering and absorption during EUCAARI-LONGREX have been studied in more detail by Highwood et al. (2012). Whilst any estimate of the aerosol radiative effect from a field campaign can be only a snapshot, EUCAARI-LONGREX covered more of north-western Europe than previous campaigns, and even a snapshot is useful to compare with similar snapshots from e.g. TARFOX (Russell et al., 1999), INDOEX (Ramanathan et al., 2001), MINOS (Markowicz et al., 2002), ACE-Asia (Huebert et al. 2003), SAFARI 2000 (King et al., 2003), ADRIEX (Highwood et al., 2007), ChArMEx/ADRIMED (Mallet et al., 2015), etc.

The diurnally averaged values of the aerosol radiative effect (ARE) across north-western Europe during EUCAARI-LONGREX ranged between  $-3.9$  and  $-22.8 \text{ Wm}^{-2}$  at the surface and from  $-2.1$  to  $-12.0 \text{ Wm}^{-2}$  at the TOA, with mean values for the whole campaign of  $-11 \pm 5 \text{ Wm}^{-2}$  at the surface and  $-5 \pm 3 \text{ Wm}^{-2}$  at the TOA. The radiative efficiency (RE) (Meywerk and Ramanathan, 1999), which is independent of the solar zenith angle and the aerosol amount, has been calculated as well by normalizing the ARE values by the aerosol optical depth. The radiative efficiency during EUCAARI-LONGREX ranged between  $-63.9$  and  $-131.1 \text{ Wm}^{-2}$  per AOD unit at the surface and from  $-33.5$  to  $-52.9 \text{ Wm}^{-2}$  per AOD unit at the TOA, with mean values for the whole campaign of  $-97 \pm 21$  and  $-45 \pm 6 \text{ Wm}^{-2}$  per AOD unit at the surface and the TOA, respectively. Figures 3 and 4 show these diurnally averaged values of the aerosol radiative effect and radiative efficiency across north-western Europe during EUCAARI-LONGREX. It is observed that the largest aerosol radiative effect was obtained to the west of Ireland, where the largest AOD and the most aged aerosol sample were found, while the smallest was obtained over the Baltic Sea, where the lowest AOD and the cleanest air mass were found.

The results obtained for the aerosol radiative effect over Europe during EUCAARI-LONGREX are similar to those reported in one of the most polluted regions in Europe, the Po Valley in northern Italy, where the mean daily aerosol forcing ranged between  $-10 \pm 5$  and  $-14 \pm 7 \text{ Wm}^{-2}$  at the surface and between  $-4 \pm 2$  and  $-8 \pm 4 \text{ Wm}^{-2}$  at the TOA during the Aerosol Direct Radiative Impact Experiment (ADRIEX) (Barnaba et al., 2007). The uncertainty in our results falls also within the reported values for the Mediterranean Intensive Oxidant Study (MINOS), where the mean daily aerosol forcing was  $-8 \pm 2 \text{ Wm}^{-2}$  at the surface and  $-6 \pm 2 \text{ Wm}^{-2}$  at the TOA (Markowicz et al., 2002). However, the aerosol radiative effect obtained over Europe during EUCAARI-LONGREX is smaller than that obtained in the eastern coast of the United States in one of the world's major industrial pollution plumes (ARE = -26 at the surface and  $-9 \text{ Wm}^{-2}$  at the TOA) (Hignett et al., 1999), with the exception of the more polluted and aged aerosol case of flight b374. Thus the aerosol radiative effect calculated from the EUCAARI-LONGREX measurements contributes to a bank of measurements showing that under aged, relatively polluted conditions, radiative effects across Europe can be sizeable.

## 5 Sensitivity of calculated aerosol radiative effect

The values of the aerosol radiative effect calculated in Section 4 include uncertainties due to the modelling methods used in the radiative transfer model and the spatial variations in the aerosol. There are a number of assumptions made in calculating the aerosol radiative effect, and we test the relative importance of these in this section. Figure 5 shows in a whisker box diagram the sensitivity (in percentage) of the calculated aerosol radiative effect at the surface and the TOA to the various assumptions or choices made in the radiative transfer modelling, these being: spectral resolution of the information used in ES96 (SP\_RES), the aerosol description (composition and size distribution) used in the Mie calculations of the aerosol optical properties included in the radiative transfer model (AVG\_AER), the surface albedo (SFC\_ALB), the single scattering albedo (SSA) and the hygroscopicity of organic aerosol (GF\_OC). The number of cases with an increase ( $\uparrow$ ) or decrease ( $\downarrow$ ) of the calculated aerosol radiative effect is also shown. The sensitivity to the various assumptions made in the radiative transfer model is estimated by repeating our calculations of the aerosol radiative effect using a different assumption, and then comparing the new results with the original values and calculating the difference between them. All the flights and deep vertical profiles made during EUCAARI-LONGREX that have been used to calculate the radiative effect of aerosols over Europe have been used in these tests.

### 5.1 Sensitivity to modelling methods

The ES96 model covers the range 0.2 - 10  $\mu\text{m}$ , but its spectral resolution is flexible, with differing resolutions typically being used for off-line calculations (220 bands, as in the previous sections of this paper) and within NWP and climate models (more typically 6 bands). Fig. S1 in the Supplement shows the spectral dependence of the aerosol extinction, the single scattering albedo and the phase function over the ranges 0.2 - 10  $\mu\text{m}$ . To test the sensitivity of the calculated aerosol radiative effect to the spectral resolution of the information used in ES96, we have repeated our calculations using the spectral resolution used

for the HadGEM climate model and the Met Office global forecast model. The changes in the calculated ARE (SP\_RES in Figure 5) compared to our original higher spectral resolution version are large: an increase of ~17% on average and up to 20 – 21% at the surface and the TOA.

The sensitivity to the surface albedo is tested here by repeating our calculations of the aerosol radiative effect with values of the surface albedo from pyranometer measurements of upwelling and downwelling irradiance during SLRs close to the surface whenever it was possible, instead of using values from the MERRA reanalysis. The change in the calculated ARE (SFC\_ALB in Figure 5) is either an increase or a decrease, and at the surface is relatively low, 6% on average and up to 12%. However, the calculated ARE at the TOA is much more sensitive to the surface albedo, ~20% on average and up to ~65%.

Esteve et al. (2014) found that one of the largest sources of uncertainty in the calculated scattering is the assumed hygroscopic growth factor for organic aerosol. To test the sensitivity of the calculated aerosol radiative effect to the hygroscopic growth factor for organic aerosol used in the Mie calculations of the aerosol optical properties included in ES96, we have repeated our calculations using the value from Gysel et al. (2007), which is independent of the ambient relative humidity, instead of the hygroscopic growth factor from Brooks et al. (2004). The change in the calculated ARE is similar at the surface and TOA (GF\_OC in Figure 5) being an increase of ~5%.

## 5.2 Sensitivity to spatial variations in aerosol

Aerosol optical properties are included in the ES96 radiative transfer model by calculating them with a Mie scattering code based on their composition and size distribution (Esteve et al., 2014). To test the relative importance of representing the spatial variability of aerosol optical properties, we have repeated our calculations using flight-mean aerosol compositions and size distributions instead of the measured ones for the closest SLRs to each vertical profile of EUCAARI-LONGREX used here. The change in the calculated ARE for a particular SLR when using local vs flight-mean values (AVG\_AER in Figure 5) is an increase of ~7% on average and up to 12% at the surface and the TOA, which agrees with the change in the calculated aerosol optical properties of ~7% on average and up to 14%.

The ES96 radiative transfer model requires the vertical aerosol profile in terms of mass mixing ratio as an input. This is derived from the measured scattering profile but this calculation requires inputs of SSA and mass extinction coefficient. To test the sensitivity of the calculated aerosol radiative effect to the single scattering albedo used in this conversion we have repeated our calculations using flight-mean values of the SSA instead of those matching the closest SLRs to each vertical profile of EUCAARI-LONGREX (resulting in SSA differences of 2.6% on average but up to 11%). (Note that the optical properties of aerosol applied in the radiative transfer calculations are not changed here, only the column mass of aerosol is changed). The change in the calculated ARE (SSA\_AER in Figure 5) is a decrease of ~2% on average and up to 5% at the surface and the TOA. The change in the SSA is buffered by other factors in the model.

## 6 Conclusions

The still rather uncertain radiative effect of anthropogenic aerosols during an anticyclonic episode over North West Europe during the EUCAARI-LONGREX campaign has been calculated using the data collected by the FAAM BAe-146 aircraft. As well as adding a valuable north-western Europe case to the growing inventory of aerosol property and radiative effect case studies over Europe, we have systematically quantified the uncertainties in our calculations due to the variations in the aerosol optical properties across Europe and the way in which they are represented in a radiative transfer model.

Pyranometer measurements aboard the FAAM BAe-146 aircraft were first used together with modelled irradiances in a case of aged European aerosol during one flight to validate the results from the model and evaluate the degree of radiative closure that can be achieved when both flight patterns and atmospheric conditions are optimal. The agreement between model and measurements for both the shortwave downwelling and upwelling irradiances was within the pyranometer uncertainty, suggesting that our model calculations of the aerosol radiative effect are as reliable as is possible with current instrumentation so long as the flight plan is designed for this purpose.

For the rest of the EUCAARI-LONGREX flights, full radiative closure flight patterns could not be performed due to operational aircraft logistics. Nevertheless, for these flights the aerosol radiative effect during EUCAARI-LONGREX was calculated and found to increase from north east to south west across Europe, in conjunction with the aging and transport of the polluted air mass, ranging between  $-3.9$  and  $-22.8 \text{ Wm}^{-2}$  at the surface and from  $-2.1$  to  $-12.0 \text{ Wm}^{-2}$  at the TOA. The largest aerosol radiative effect was obtained to the west of Ireland, where the largest AOD of 0.357 and the most aged aerosol sample was found. The mean aerosol radiative effect for the whole campaign was  $-11 \pm 5 \text{ Wm}^{-2}$  at the surface and  $-5 \pm 3 \text{ Wm}^{-2}$  at the TOA. The mean radiative efficiency during EUCAARI-LONGREX was  $-97 \pm 21$  and  $-45 \pm 6 \text{ Wm}^{-2}$  per AOD unit at the surface and the TOA, respectively.

We also quantified here the uncertainties in our calculations due to **the modelling methods used in the radiative transfer model and the spatial variations in the aerosol**. The largest uncertainty in the aerosol radiative effect at the surface and the TOA came from the spectral resolution used in the radiative transfer model ( $\sim 17\%$  on average and up to  $\sim 20 - 21\%$ ) and the aerosol description, i.e., measured aerosol composition and size distribution for the closest SLRs to each vertical profile vs. flight-mean values ( $\sim 7\%$  on average and up to  $\sim 12\%$ ). The aerosol radiative effect at the TOA was found to be also highly sensitive to the surface albedo ( $\sim 12\%$  on average and up to  $\sim 65\%$ ). The sensitivity of the aerosol radiative effect to the single scattering albedo used to estimate the column mass of aerosol (without changing the aerosol optical properties) and to the hygroscopic growth factor for organic aerosol used in the Mie calculations of the aerosol optical properties included in ES96 were found to be relatively low,  $\sim 2\%$  and  $\sim 5\%$  on average, respectively.

These results suggest that spatial variation of aerosol optical properties influences radiative effect estimates even in an area of generally high aerosol load. This poses a challenge for models to capture the variability in aerosol optical properties and their changes during the aging process, in order to represent the radiative effect of aerosols to around 10% uncertainty level. Of even greater importance is a good representation of surface albedo – introducing an uncertainty of between 12 and 65% in the

TOA aerosol radiative effect. Airborne field experiments and comparison with radiative transfer models can provide guidance in this way as to where to concentrate improvements in NWP and climate models.

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**Table 1.** Flights and deep vertical profiles selected to calculate the radiative effect of aerosols over Europe during EUCAARI-LONGREX.

Deep vertical profiles provide the most complete description of the vertical distribution of the aerosol.

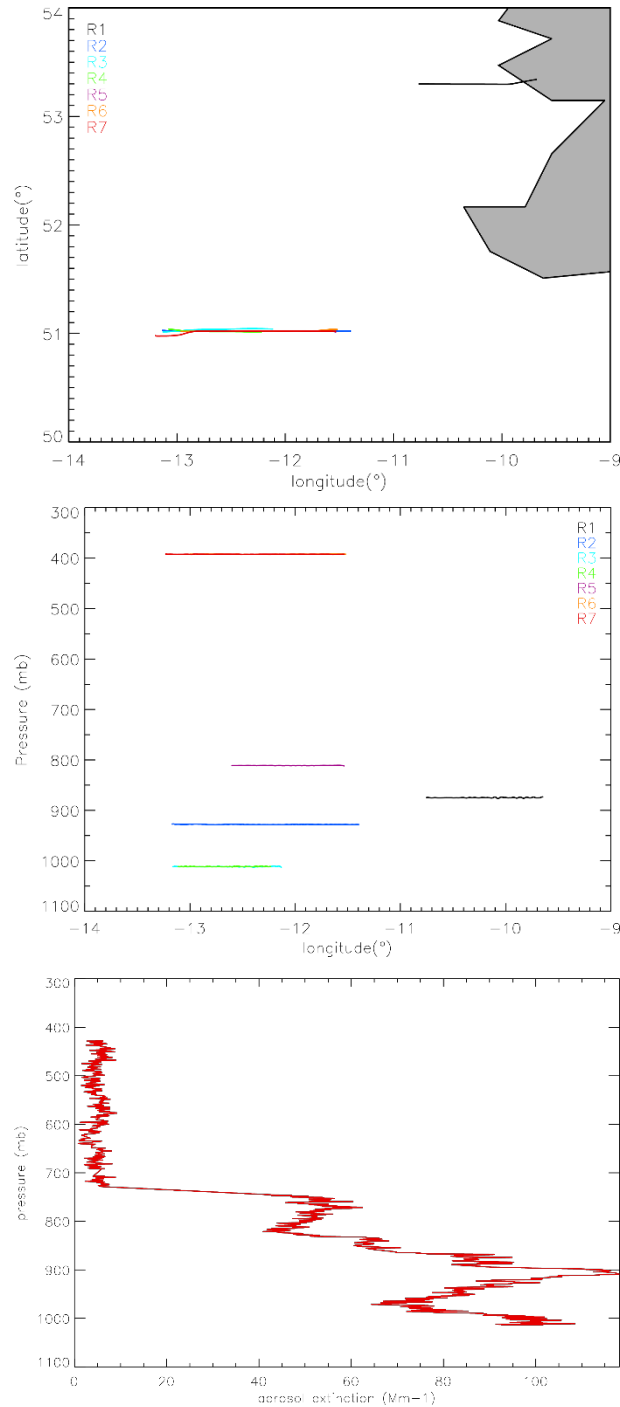
<b>Flight</b>	<b>Profile</b>	<b>Date</b>	<b>Altitude (m)</b>	<b>General location</b>
b362	P9+P10	6 May 2008 a.m.	90 – 3000	Germany / Belgium and N. Sea
b365	P7	8 May 2008 a.m.	60 – 3100	Eastern Europe and Baltic Sea
b369	P6.3	10 May 2008 p.m.	70 – 2600	Baltic Sea and Germany
b370	P1	12 May 2008 a.m.	60 – 3000	Germany, Netherlands and N. Sea
b370	P4.2	12 May 2008 a.m.	580 – 3400	Germany, Netherlands and N. Sea
b371	P2.2	12 May 2008 p.m.	40 - 1900	Germany and Baltic Sea
b373	P7	13 May 2008 p.m.	60 – 3000	Southern UK coast
b374	P2	14 May 2008 a.m.	20 – 3700	Atlantic ocean SW of Ireland
b374	P6	14 May 2008 a.m.	3 – 6700	Atlantic ocean SW of Ireland
b380	P3.2	22 May 2008 a.m.	640 – 2300	Germany, Netherlands, Belgium and Southern UK coast

**Table 2.** Summary of the aerosol and radiation sampling instrumentation aboard the FAAM BAe-146 during the EUCAARI-LONGREX campaign.

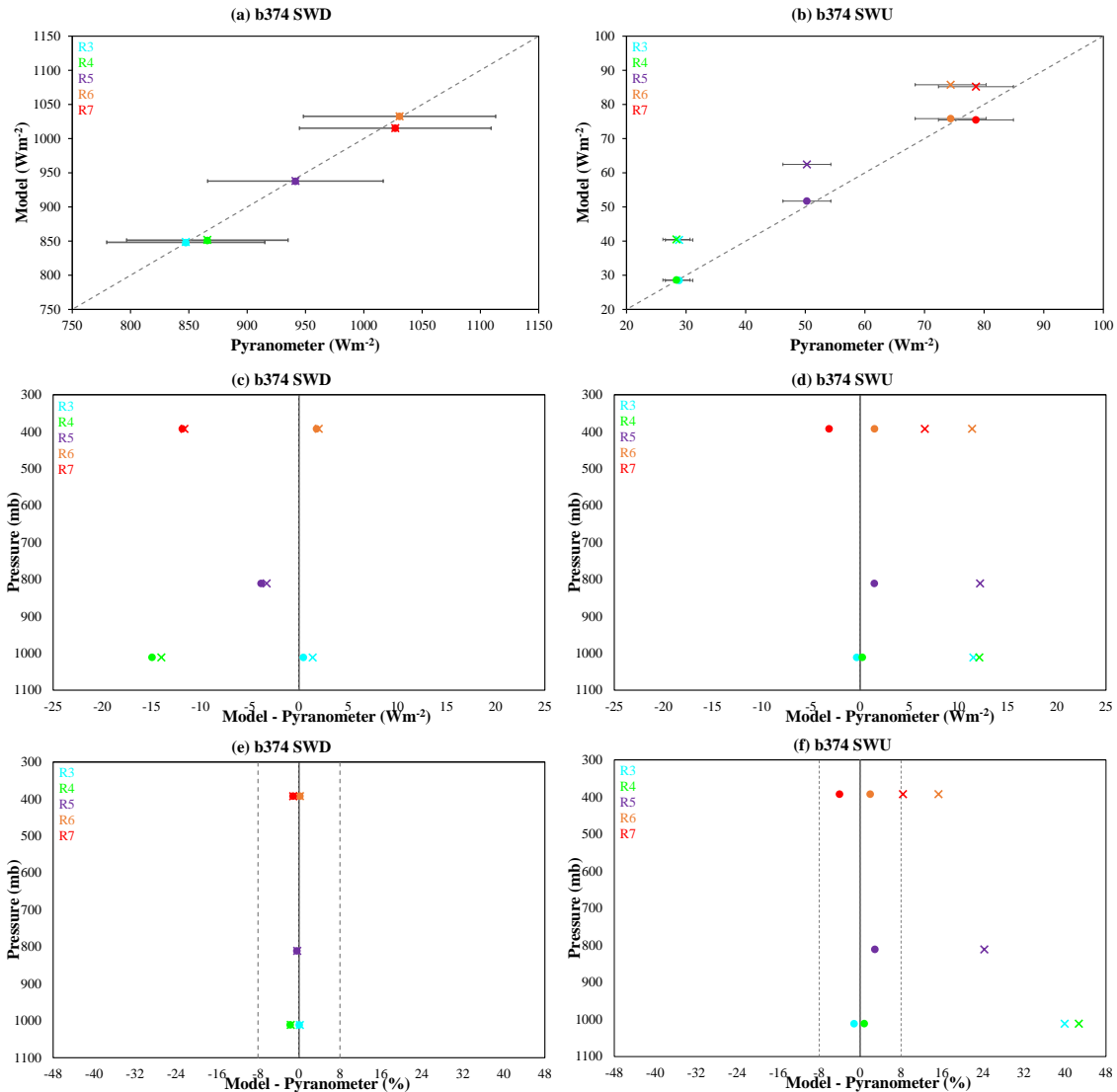
<b>Instrument</b>	<b>Measurement</b>	<b>Sensitivity</b>
TSI 3563 integrating nephelometer	“dry” and “wet” aerosol light scattering coefficient at 450, 550, 700 nm	~20% (Johnson and Osborne, 2011)
PSAP	“dry” aerosol absorption coefficient at 567 nm	~30% (Bond et al., 1999)
PCASP	“dry” aerosol size distribution	±20% (diameter) ±15% (concentration)
ToF-AMS	aerosol composition (non-refractory mass)	~25% (Canagaratna et al., 2007)
SP2	refractory black carbon mass	~30% (Schwarz et al., 2006)
PSP	downwelling and upwelling irradiance (0.3 – 3 μm)	±8% (Haywood et al., 2001, 2003 )

**Table 3.** “Ambient” aerosol optical depth at 550 nm (AOD), “dry” single scattering albedo at 550 nm (SSA), “dry” specific aerosol extinction at 550 nm ( $k_{\text{ext}}$ ), diurnally averaged values of the aerosol radiative effect (ARE) and radiative efficiency (RE) at the surface (SFC) and top of the atmosphere (TOA) across Europe during EUCAARI-LONGREX.

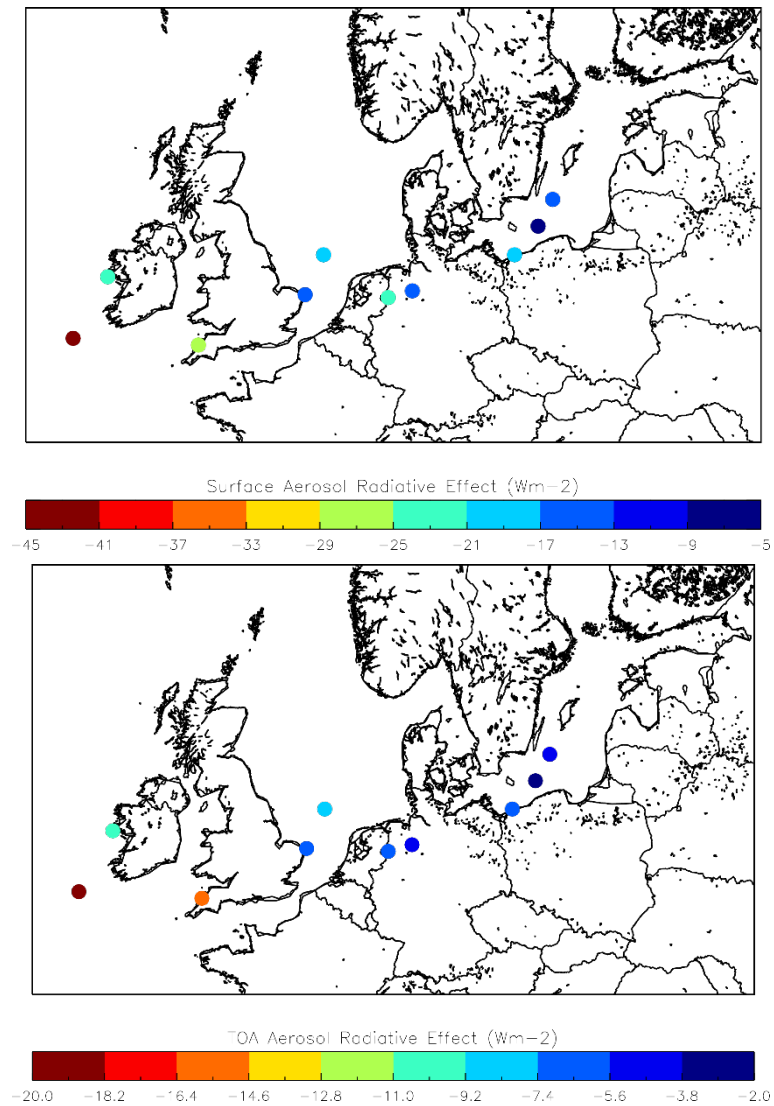
<b>Flight</b>	<b>Profile</b>	<b>AOD</b>	<b>SSA</b>	<b><math>k_{\text{ext}}</math></b> <b>(<math>\text{m}^2\text{kg}^{-1}</math>)</b>	<b>ARE<sub>SFC</sub></b> <b>(<math>\text{Wm}^{-2}</math>)</b>	<b>ARE<sub>TOA</sub></b> <b>(<math>\text{Wm}^{-2}</math>)</b>	<b>RE<sub>SFC</sub></b> <b>(<math>\text{Wm}^{-2}\text{AOD}^{-1}</math>)</b>	<b>RE<sub>TOA</sub></b> <b>(<math>\text{Wm}^{-2}\text{AOD}^{-1}</math>)</b>
b362	P9+P10	0.099	0.96	3425.9	-7.4	-4.4	-75.1	-43.9
b365	P7	0.047	0.94	2703.5	-3.9	-2.1	-82.5	-43.8
b369	P6.3	0.061	0.95	2234.3	-8.0	-3.0	-131.1	-48.7
b370	P1	0.101	0.96	2684.8	-10.4	-5.3	-103.0	-52.1
b370	P4.2	0.081	0.93	2549.7	-9.1	-3.7	-112.0	-45.4
b371	P2.2	0.111	0.95	1974.4	-9.6	-4.2	-86.9	-37.4
b373	P7	0.176	0.94	2570.6	-15.9	-7.6	-90.5	-43.1
b374	P2	0.126	0.94	2950.6	-13.1	-5.9	-103.9	-46.6
b374	P6	0.357	0.96	2628.4	-22.8	-12.0	-63.9	-33.5
b380	P3.2	0.099	0.96	3201.7	-12.3	-5.2	-124.2	-52.9



**Figure 1.** Flight b374 pattern for each SLR as a function of geographic location showing the southwest of Ireland shaded grey (top) and the  
5 pressure at which the measurements were made (middle). Vertical profile of aerosol extinction at 550 nm during flight b374 (bottom).

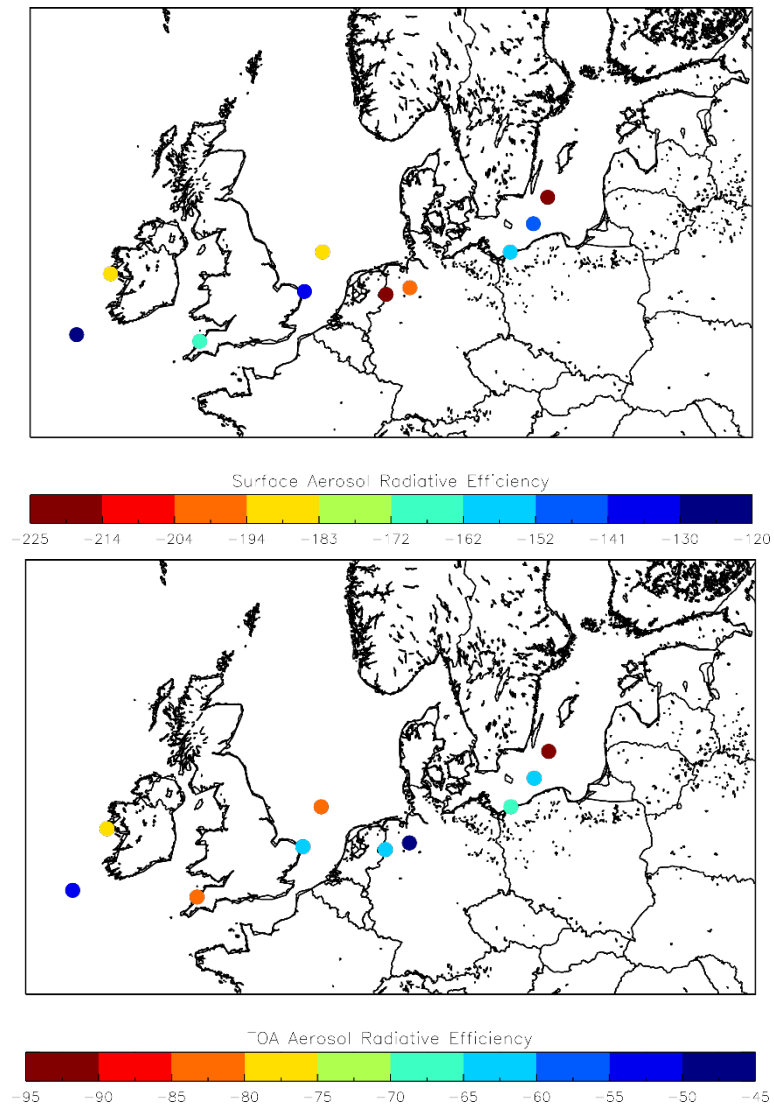


**Figure 2.** Comparison of modelled to measured irradiances for flight b374. (a), (b) Scatter plots of modelled and measured shortwave downwelling (SWD) and upwelling (SWU) irradiances. Error bars show the maximum uncertainty of 8% on the pyranometer measurements (Haywood et al., 2001; 2003); (c), (d) Difference between measured and modelled irradiances as a function of the pressure at which the measurements were made; (e), (f) Percentage difference between measured and modelled irradiances as a function of the pressure at which the measurements were made. Dashed lines show percentage errors of less than 8%, representing the maximum uncertainty on the pyranometer measurements. Dots (•) show results obtained with values of the surface albedo from pyranometer measurements close to the surface and crosses (×) from the MERRA reanalysis.



**Figure 3.** Aerosol radiative effect in  $\text{Wm}^{-2}$  at the surface (top) and TOA (bottom) across north-western Europe during EUCAARI-LONGREX.

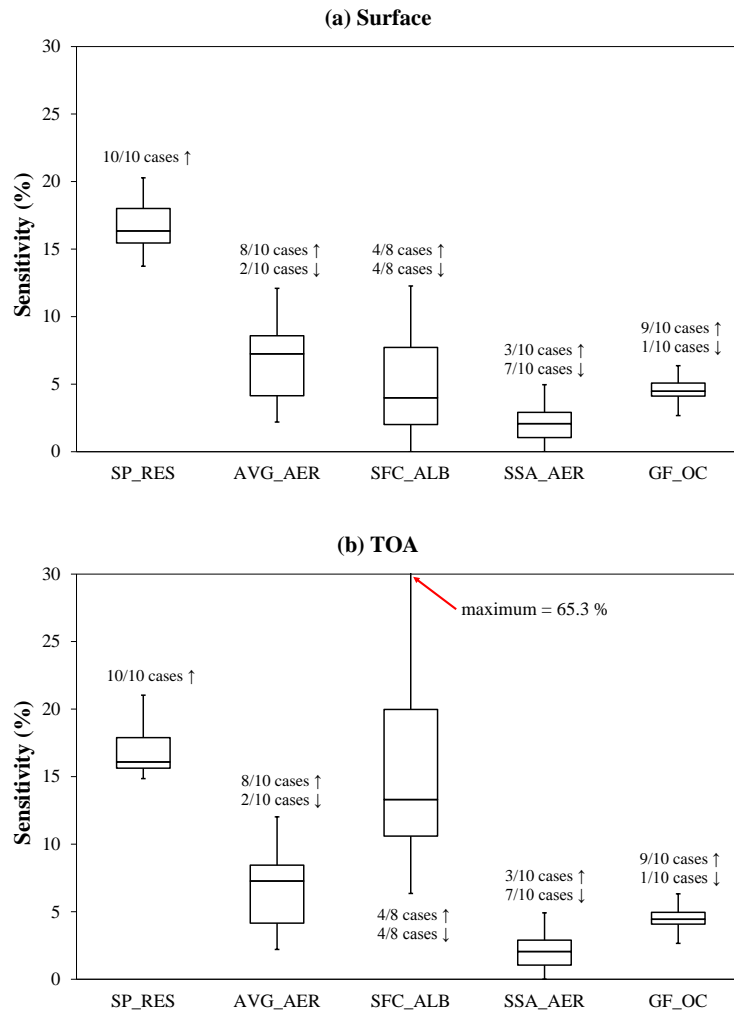
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**Figure 4.** Aerosol radiative efficiency in  $\text{Wm}^{-2}$  per AOD unit at the surface (top) and TOA (bottom) across north-western Europe during EUCAARI- LONGREX.

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**Figure 5.** Sensitivity (in percentage) of the calculated aerosol radiative effect at the (a) surface and (b) TOA to the spectral resolution of the information used in the ES96 radiative transfer model (SP\_RES), the aerosol description (AVG\_AER), the surface albedo (SFC\_ALB), the single scattering albedo (SSA) and the hygroscopicity of organic aerosol (GF\_OC). The dividing segment in the box is the median. The bottom/top box limits represent the 1st and 3rd quartiles. The box bars represent the minimum and maximum. The number of cases with an increase (↑) or decrease (↓) of the calculated aerosol radiative effect is also shown.