Dear Dr. Cziczo,

Below you will find our point-by-point responses to each of the reviewers, including an indication of changes made in response to their comments. In addition, you will find an annotated “track changes” version of the manuscript. We have made numerous changes to the manuscript in response to the reviewer comments, and believe that we have addressed their concerns in full. We thank you for your consideration of this work.

Regards,

Chris Cappa

UC Davis

Response to Comments from Anonymous Referee #1

Response to comments on "Understanding the Optical Properties of Ambient Sub- and Supermicron Particulate Matter: Results from the CARES 2010 Field Study in Northern California" by C. D. Cappa et al.

We thank the reviewer for her/his comments, which have helped us to improve our work. The original reviewer comments are in **black** and our responses are in **blue**.

This paper comprehensively reports the results of optical properties measurements made as part of the CARES campaign. This is a very weighty paper and while the conclusions could not really be regarded as game-changing, the high quality of the measurements and the depth of the analysis means that these results are still very important and relevant to ACP, having a wide range of potential applications in radiative transfer and the interpretation of remote sensing data. I would recommend that this be published subject to minor corrections.

General: I found the various combinations of sampling conditions referred to a bit bewildering at times. It would greatly help the reader if a schematic figure could be given showing the different sampling arrangements for the different sites. On a related note, I also found the large number of mathematical symbols to be a bit confusing at times, so it would be useful to compile these as a table.

A table has been added to aid the reader in recalling the definition of symbols used throughout the manuscript (new Table S1). A schematic has also been added to the supplemental material (new Figure S2).

Line 122: The method used to humidify the sample flow to 85%, including the methods to monitor and control the humidity, should be described here.

The following sentence has been added to address the method used for humidification:

"The air stream was humidified by passing it through a custom humidifier, which consisted of a semi-permeable capillary membrane (Accurel) that was kept continuously wetted. The relative humidity in the CRD cells was monitored using Vaisala RH probes (HMP50) that were calibrated using saturated salt solutions."

Line 128: A reference should be supplied for the mobility conversion for the aerodynamic diameters. Rather than assume sphericity (which is probably not valid for dust and dry sea salt particles), it would probably be more correct to refer to the assumed 2 g/cc density as the 'effective' density.

A reference has been added (DeCarlo et al., 2004) and we now use the "effective density" terminology.

Line 138: These mobility diameters should be qualified as 'approximate' because they have each been clearly rounded off compared to the actual theoretical values.

The sentence now reads:

"The mobility equivalent cut-diameters are (assuming a density of 2 g cm^{-3}) *approximately* 700 nm, 1750 nm and 7200 nm, respectively."

Line 166: The factor of 1.66 is contrary to the factor of 1.33 recommended by Laborde et al. (2012b), so the nature of the 'personal communication' cited may need expanding on here.

First, we note that we used 1.53, not 1.66. Second, we note that in Laborde et al., the relationship between Aquadag and Fullerene soot is not 1.33, but a larger factor. From their Fig. 5, using a BC particle mass of 10 fg as a reference point, the scaling between these two is about 1.35/0.9 = 1.5, which is very similar to the value of 1.53 used here. Finally, we have added the sentence "This adjustment factor was determined from laboratory studies conducted after the CARES campaign."

Line 233: A reference should be included here because the exact effect BrC has on AAE is by no means certain.

We fully agree that the effect of BrC on AAE values is "by no means certain." At the same time, it seems quite clear that BrC tends to increase the AAE over pure BC. We have added a reference to Lack and Cappa (2010) here, although there are many references that could be chosen to illustrate this point.

Line 238: Similarly, include references for examples of how SAE is 'commonly used'.

We have added a reference to (Clarke and Kapustin, 2010), which summarizes results from 14 different flight campaigns using SAE as a key criteria for particle size. Many references could be chosen, so we have taken the approach of selecting just one that considers a multitude of different data sets.

Line 384: What AAE would be necessary to cancel out any influence from BrC? How does this compare with DOI:10.1002/2014GL062443?

For BrC to be entirely cancelled out, the AAE for BC would need to simply be assumed equal to the observed AAE, namely 1.17 at T0 and 1.28 at T1 (from Table 2). We have modified the sentence to read: "if the actual AAE_{BC} were >1, as possibly suggested by the $AAE_{532\text{-}660}$ measurements at both sites, then the attributed brown carbon fraction would be even smaller *and cancelled out entirely if* AAE_{BC} *equals the observed value for the ambient particles.*"

Regarding the comparison with Liu et al. (2015), we are not entirely certain what the reviewer is asking us to compare. The Liu et al. (2015) study is primarily a theoretical study when it comes to consideration of the AAE. What we are discussing is really an empirical approach to assessing BrC properties. In other words, an AAE is assumed for BC, and then BrC is "attributed" by difference. Yes, the actual AAE for BC is predicted to be larger than one by Mie theory when "large" particles (~100 nm, as opposed to <30 nm spherules) are used, and as has been discussed many times prior to the Liu et al. study, most notably by Lack and Langridge (Lack and Langridge, 2013) who address specifically the question of how assumptions regarding the AAE of BC influence the attribution of BrC. This is, in some ways, exactly our point in indicating that

if the AAE for BC is > 1 (the base assumption, commonly made) then our attribution would overestimate the absorption attributable to BrC.

Line 402: A more fundamental reason the PALMS and SPLAT II are not capable of quantifying contributions from BC internally mixed on dust particles is the matrix effects associated with each instrument, such that the mass fractions reported on individual particles are not quantitative.

We have modified this sentence to also indicate that matrix effects may preclude explicit quantification even if particles were sampled across the entire size range. Specifically, "Although informative, these measurements unfortunately cannot be used to quantitatively assess the relative contributions of the different absorbing particle types to the supermicron absorption because both instruments sample only over a subset of the entire supermicron size range, e.g. the SPLAT-II only up to $d_{v,a}$ ~ 2 μm, *and matrix effects can impact quantification of individual components in mixed particles (e.g. BC mixed with dust).*"

Line 453: Shouldn't the qualitative influence of sea salt particles be evident in the single particle mass spectrometer data?

Ideally, yes. However the particle sampling statistics during CARES make it difficult to develop a qualitative picture that can be confidently assessed in this case.

Line 514 (and elsewhere): "consistent with that of (Russell et al., 2010)" should read "consistent with that of Russell et al. (2010)"

These have been fixed.

Line 531: This opening statement should be made more descriptive, as it isn't specified what exactly the effect on climate is. It may also be worth mentioning that this is an important parameter for remote sensing retrievals.

The statement now reads:

"The extent to which particles scatter light in the backward versus forward direction has an important controlling influence on their climate impacts, *namely the amount of incident solar radiation that is reflected back to space and the associated radiative forcing* (Haywood and Shine, 1995). *Furthermore, the backscatter fraction and asymmetry parameter are important parameters for remote sensing retrievals.*"

Line 573: Was any correction for thermophoretic losses invoked? If not, the authors should comment on how much of an issue they believe this to be.

Yes, a correction for thermophoretic losses was applied, similar to what was done in Cappa et al. (2012). The correction factor for particles that passed through the TD was 0.8. We have added the following statement to the methods: "Measurements made through the thermodenuder were corrected for thermophoretic losses (Huffman et al., 2008).

Line 593: According to conventional wisdom, supermicron sulphate and nitrate tends to be in the form of salts of calcium and sodium rather than ammonium, which makes them quite involatile, so I would doubt that this is significant.

We have clarified this to indicate that we mean that there could *potentially* be evaporation of "inorganics such as *ammonium* sulfate and *ammonium* nitrate," although we agree with the reviewer that this is likely to be less important than organics.

Line 599: A related hypothesis could be that undenuded sea salt particles do not completely effloresce during drying due to the presence of magnesium salts (doi: 10.5194/acp-15-11273-2015) and organics. If the denuded particles are more completely dried out (due to the water being boiled off) then this would increase their apparent hygroscopicity further.

This is certainly a possibility. The "2-D Area Ratio" reported in the reference given (Gupta et al., 2015) for pure MgCl2 at 30% RH is about 1.3 and for pure NaCl at 80% RH is about 5. Given that the diameter growth factor (GF) for NaCl is ~2 at 80% RH, this implies that the equivalent MgCl2 GF at 30% RH is around 1.07. The mass ratio between Mg and Na in sea water is about 0.12. Thus, the MgCl2 would be only a small fraction of the sea salt (NaCl would dominate) and the MgCl2 would have only a negligible impact on the average water content of the particles. In fact, this is apparent in Gupta et al., who find that the 2-D Area Ratio is only about 1.2 at 30% RH for mixed NaCl/MgCl2 particles where the NaCl mole fraction is 0.9. In this case, the equivalent GF would be 1.05. Thus, although residual water due to magnesium salts could influence the measurements, the magnitude of this influence would be small. Although we believe this to most likely be an unimportant effect, we have added the following sentences to indicate it as a possibility. "It is possible that the thermodenuding removed residual water that did not fully evaporate in the drier due to the presence of magnesium sea salts (which do not effloresce until very low RH), leading to an apparent increase in the hygroscopicity of the thermodenuded particles. However, the potential impact from this is expected to have been quite limited, given the small amount of residual water retained by magnesium salts at low RH (Gupta et al., 2015)."

Line 620: How does this fit in with the conclusions of Doi:10.1038/Ngeo2220?

Saleh et al. (2014) also observe that the brown carbon fraction from biomass burning samples has a higher effective absorptivity at shorter wavelengths. Saleh et al. (2014) also argue that heating can leave behind more lower-volatility organics that are more absorbing than the higher-volatility species that evaporate more easily in a thermodenuder. This can lead to a suppression of observable absorption enhancement values over the "true" value for BC. However, Cappa et al. (2011) showed that the thermodenuder-derived E_{abs} values behaved very similarly to E_{abs} values derived from consideration of mass absorption coefficients for CARES, which suggests that such "residual" more-absorbing organics are playing a negligible role here (see the Supplementary Material of that paper). We also note that Zhang et al. (2016) also found good agreement between thermodenuder-derived and MAC-derived E_{abs} values for a region known to be impacted by biomass burning (the focus of the Saleh et al. study), suggesting that the limitations of the heating method identified by Saleh et al. (2014) may be limited in scope. This is certainly an issue that remains to be fully resolved, but is not a major point of this work and thus we have not pursued it further here.

Line 646: The caveat should be added that this is assuming that the particles have not acquired an involatile coating (e.g. coagulation with sea salt, condensation of humiclike SOA), because this could confound any attempt to isolate the effect of morphological changes on SSA.

We have added the following sentence: "However, if non-absorbing and non-volatile materials remained (e.g. sea salt), then the extrapolated SSA would not be fully representative of pure BC particles, confounding straightforward interpretation in terms of morphological changes."

Line 653: It should be noted that if the particles are thought to be very non-spherical, the SMPS sizing is likely to be overestimated to a large degree

True, but shape effects would not be large enough to make the SMPS-measured 300 nm shift down to < ~50 nm, which would be necessary to explain such small SSA values.

Line 653: If the particles are fundamentally different to 'normal' black carbon particles, then the SP2's calibration could be invalid and the equivalent core sizes reported inaccurate. If the instrument had a narrow band incandescence detector, it may be informative to compare the ratio of this to the broadband detector to see if the apparent colour temperature had changed.

This is an interesting point. Laborde et al. (2012) reported SP2 sensitivities for a variety of different BC types. The largest difference observed corresponded to a sensitivity ratio of about 1.6. If the SP2 were too sensitive towards the asphalt particles by a factor of 1.6 (in per particle mass), then the diameter would be overestimated by a factor of $1.6^{1/3} = 1.17$. Fig. S9 (now S10) showed the particles during the asphalt-impacted period to be about a factor of 2 larger in diameter. This would require a mass sensitivity difference of $2^3 = 8$. This seems unreasonably large, given the results shown in Laborde et al. (2012). Thus, although we agree that the particles may have been detected with a somewhat different sensitivity than typical ambient BC particles, the difference is unlikely to make a substantial impact on the conclusions here, and certainly unlikely to invalidate our conclusion that it is most likely that the particles are composed of smaller spherules.

Figure S1: Adjust the colour scale so that areas covered by land are green rather than blue.

Done.

Figure S9: Given that Babs of black carbon is more closely related to mass than number, a mass-weighted distribution comparison would be informative here.

Done. The conclusions are unaffected.

References

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Gupta, D., Eom, H. J., Cho, H. R., and Ro, C. U.: Hygroscopic behavior of NaCl–$MgCl_2$ mixture particles as nascent sea-spray aerosol surrogates and observation of efflorescence during humidification, Atmos. Chem. Phys., 15, 11273-11290, doi:10.5194/acp-15-11273-2015, 2015.

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Laborde, M., Mertes, P., Zieger, P., Dommen, J., Baltensperger, U., and Gysel, M.: Sensitivity of the Single Particle Soot Photometer to different black carbon types, Atmos. Meas. Tech., 5, 1031-1043, doi:10.5194/amt-5-1031-2012, 2012.

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Zhang, X., Kim, H., Parworth, C., Young, D. E., Zhang, Q., Metcalf, A. R., and Cappa, C. D.: Optical Properties of Wintertime Aerosols from Residential Wood Burning in Fresno, CA: Results from DISCOVER-AQ 2013, Environ. Sci. Technol., 50, 1681-1690, doi:10.1021/acs.est.5b04134, 2016.

Response to Comments from Anonymous Referee #2

Response to comments on "Understanding the Optical Properties of Ambient Sub- and Supermicron Particulate Matter: Results from the CARES 2010 Field Study in Northern California" by C. D. Cappa et al.

We thank the reviewer for her/his comments, which have helped us to improve our work. The original reviewer comments are in **black** and our responses are in **blue**.

This paper describes measurements of absorption, scattering, and extinction for PM1, PM2.5, and PM10 particles at two field sites near Sacramento, California. The authors use these measurements to conclude that supermicron particles contribute approximately half of scattering, and are composed of varying amounts of dust and sea salt. Photochemical processing does not have a consistent effect on submicron aerosol scattering, partly due to transport. The authors propose relationships between other intensive aerosol properties. This is a well-written paper, although the discussion is long and could possibly benefit from some condensing. I recommend publication after the following issues are addressed.

Major comments:

1. The introduction is short and does not summarize existing knowledge about the composition and optical properties of supermicron aerosol. A previous study from the CARES campaign has already reported the unexpectedly large contribution of coarse mode aerosol to radiative forcing (Kassianov et al., 2012). This paper and other relevant results (possibly including Malm et al., 1994; Dubovik et al., 2002; Hand et al., 2002; Malm et al., 2007; Eck et al., 2010) should be described and cited in an expanded introduction.

We have expanded the introduction to some extent. We now note that while measurements of PM_1 and PM_{10} composition and mass (which allows determination of supermicron particle properties) have become routine, the measurements of supermicron particle optical properties (via difference between PM_{10} and PM_1 measurements) remain relatively uncommon. This goes directly to studies such as Kassianov et al. (2012), in which optical properties were inferred from size distribution measurements. Our paper reports on actual measurement of the supermicron optical properties and does not rely on "reconstruction" of optical properties from mass or composition measurements. We have added the following to the introduction:

"Measurements of particulate mass concentrations and composition for $PM_{2.5}$ and PM_{10} have become routine through networks such as the U.S. Interagency Monitoring of Protected Visual Environments (IMPROVE) network (Malm et al., 2007), and these can be used to "reconstruct" aerosol optical properties (Malm and Hand, 2007). However, direct measurements of the optical properties of particles between different size regimes are much less common, and where they do exist are quite often made in the marine boundary layer (e.g. Bates et al., 2006) and not over land. ($PM_{2.5}$ and PM_{10} refer to particulate matter with aerodynamic diameters below 2.5 μm and 10 μm, respectively.)"

"A previous analysis of particle size distributions measured during the CARES campaign indicated a large contribution of supermicron aerosol to the total particle scattering (Kassianov et al., 2012). Here, direct measurements of the scattering by these supermicron particles are reported on, and their sources and properties and the factors that drive their variability are examined."

"Results from *in situ* measurements such as here can help to inform remote sensing retrievals and climatologies, which can provide a much broader spatial picture of sub- versus supermicron abundances and contributions to light scattering and extinction (Dubovik et al., 2002; Eck et al., 2010)."

2. Lines 156-157: "Data during the first week of the campaign (June 3-12) are especially noisy due to instrumental problems." What caused the noise and were the measurements still accurate?

The noisy AMS data during the first week occurred because the AMS was inadvertently set wrong during the first week, with a very short MS-open duration and long MS-closed duration. This uneven open/closed cycle was the cause of noisier data (decreased precision) but did not affect quantification. The AMS was returned to normal setting of equal durations for MS open and MS closed mode June 16 onwards.

3. Section 2.2: What were the specific differences between the T0 and T1 site? Were the HR-AMS instruments operated by the same research group? Do the different OOA mass factors represent true aerosol composition differences between the sites?

There are many differences in location, available instrumentation and operators between the T0 and T1 sites. All of these differences are detailed in the "Overview of the 2010 Carbonaceous Aerosols and Radiative Effects Study (CARES)" by Zaveri et al. (2012), which is referenced in the overview of the Experimental section. As specified in Zaveri et al. (2012), the HR-ToF-AMS was operated by Qi Zhang's group from UC Davis at the T1 site and by Chen Song and Rahul Zaveri's group from Pacific Northwest National Laboratory at the T0 site. Regardless, OOA never represents "true" aerosol composition differences, only a mathematical representation of those differences that has some physical/chemical interpretation. However, the reviewer is most likely asking about comparability between OOA from the two sites. The HR-AMS measurements from T0 and T1 are discussed at length in Setyan et al. (2014), and thus rather than repeating those results here, we refer the reader to that work for more details. But the short answer is "yes, the differences in OOA factors are meaningful."

4. Section 3.1: Besides Kassianov et al. (2012), what have prior studies of supermicron aerosol extinction under relatively clean conditions observed?

To be clear, Kassianov et al. (2012) calculated supermicron aerosol extinction from size distributions, while in the current study we actually report on measurements of the scattering, absorption and extinction by the supermicron particles in this region. As we now note in the introduction, direct measurements of supermicron optical properties are fairly uncommon, and where they do exist are most often found for the marine boundary layer where the contribution of sea spray will be large. We have focused our revisions on studies in which optical properties

were measured (either *in situ* or remote sensing) and not considered measurements of particulate mass concentrations alone. To this end, we have added an extensive comparison with some remote sensing results that look at the relationship between Angstrom exponents and the fine mode fraction of extinction, and that look at the relationship between the SSA and the fine mode fraction of extinction. We have added two new figures in support, one in the supplemental and one in the main text.

The added text and figures are provided below.

"Clarke and Kapustin (2010), in a survey of hemispheric aerosol vertical profiles, used a value of $SAE_{450,550} = 1.3$ as a dividing line between air masses impacted by smaller particles from combustion sources ($SAE_{450,550} > 1.3$) or coarse-mode particles, such as sea salt and dust ($SAE_{450,550} < 1.3$). Our results indicate that $SAE_{450,550,PM10} = 1.3$ corresponds to $f_{sca,550,PM1} = 0.50$, suggesting that $SAE_{450,550} = 1.3$ is a reasonable dividing line between sub- and supermicron aerosol. They also observed that the relative magnitudes of scattering by smaller versus larger particles was highly variable, depending on altitude and region."

"However, a better property to compare with remote sensing methods may be the PM_{10} extinction Ångstrom exponent (EAE_{PM10}) versus the PM_1 extinction fraction, $f_{ext,PM1}$. This is complementary to the SAE_{PM10} versus $f_{sca,PM1}$ relationship discussed above, but focuses on the total optical losses (scattering plus absorption) as opposed to just scattering. The EAE_{PM10} and $f_{ext,PM1}$ values (for the various wavelength combinations) also exhibit reasonably linear relationships (Fig. SX). The EAE_{PM10} value when $f_{ext,PM1} = 0.5$ is used here as a reference point (referred to here as EAE_{PM10}-50). For a given EAE_{PM10} wavelength pair the EAE_{PM10}-50 increases notably as the wavelength for $f_{ext,PM1}$ increases, exhibiting much less sensitivity to the choice of wavelength pair (although generally decreasing as the wavelength pairs increase) (Table SX). For example, for $EAE_{450,700,PM10}$ the EAE_{PM10}-50 increases from 0.85 to 1.09 to 1.37 for $f_{ext,PM1,450}$ to $f_{ext,PM1,550}$ to $f_{ext,PM1,700}$. The EAE_{PM10} versus $f_{ext,PM1}$ relationships from these *in situ* measurements can be compared with remote sensing retrievals of the wavelength dependence of the AOD and the "fine mode fraction" (*FMF*) of AOD, which is nominally the same as the $f_{ext,PM1}$ values here. As one example, Eck et al. (2010) investigated the relationship between the *FMF* of AOD and *EAE* from AERONET AOD measurements for a few locations around the world. The Eck et al. (2010) observations suggest $EAE_{440\text{-}870,PM10}$-50 ~ 0.5 at 440 nm and $EAE_{440\text{-}870}$-50 ~ 0.9 at 675 nm. These are somewhat smaller than what is observed here, although given the wavelength differences between the *in situ* and remote sensing measurements the difference is not unreasonable. Some of the difference in the EAE_{PM10}-50 values could be attributable to differences in absorption by non-BC species in the regions studied in Eck et al. (2010) (India, China, West Africa) versus in the Sacramento region."

"The relationship between the PM_{10} *SSA* and the PM_1 fraction of extinction can also be examined to understand how particle size relates to the extent of scattering versus absorption. At T0, the SSA_{PM10} at all three wavelengths decreases as $f_{ext,PM1,700}$ increases for $f_{ext,PM1,700} < 0.7$, at which point the trend reverses and SSA_{PM10} increases with $f_{ext,PM1,700}$. At T1, the relationship is somewhat different, with SSA_{PM10} decreasing with $f_{ext,PM1,700}$ until about $f_{ext,PM1,700} = 0.55$, above which the SSA is relatively constant. The decrease in SSA_{PM10} with $f_{ext,PM1}$ below some value can

be understood as resulting from an increasing contribution of absorbing black carbon as PM_1 comes to dominate the extinction, and limited absorption by the supermicron particles at all wavelengths. The increase at T0 above $f_{ext,PM1,700}$ can be understood as an increase in the contribution of local, photochemical production of secondary inorganic and organic aerosol within the submicron size range. At T1, the flat relationship above $f_{ext,PM,700}$ likely results from a dampening of the local BC impact as air masses travel from T0 to T1. When $f_{ext,PM1,700}$ is small (supermicron dominated) the SSA_{PM10} increases very slightly with wavelength (i.e. $SSA_{PM10,700} > SSA_{PM10,450}$), but as $f_{ext,PM1,700}$ increases the trend is reversed and the differences between wavelengths become larger. This demonstrates that the SSA versus wavelength relationship is fundamentally different between the sub- and supermicron particles in this region. These relationships can also be compared with results from remote sensing, specifically from AERONET (Eck et al., 2010). (Note that SSA from AERONET retrievals are limited to periods when the AOD is > 0.4 at 440 nm, which is generally during high-concentration periods (Dubovik and King, 2000). AERONET AOD measurements (http://aeronet.gsfc.nasa.gov/) are available from the nearby McClellan Air Force Base from June 8-12, 2010, overlapping the CARES study period. During these days, the maximum AOD_{440nm} (for Level 2 data) was ~ 0.20, below the SSA retrieval threshold.) The shape of the observed SSA_{PM10} versus $f_{ext,PM1,700}$ curves at T0 are quite similar to that observed by AERONET for SSA_{675} versus FMF_{675} over India (Kanpur) and China (Beijing). However, the SSA_{440} versus FMF_{675} curves from AERONET over these same locations was much flatter than observed here for $SSA_{PM10,450}$. This could reflect differences in the nature of the supermicron particles between these regions and Sacramento, with apparently higher absorption by supermicron particles at shorter wavelengths in India and China leading to a weaker dependence of SSA_{440} on particle size than observed here."

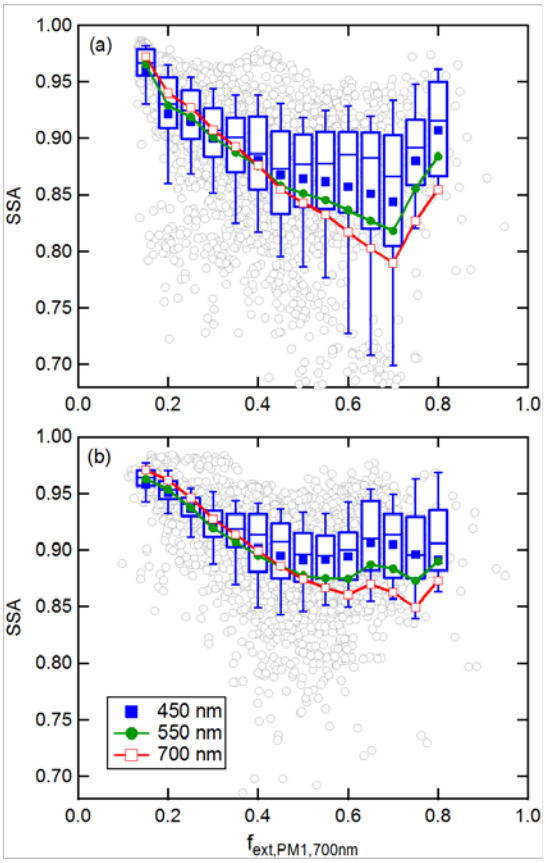

Figure 4. Relationship between the PM_{10} SSA and the PM_1 fraction of extinction at 700 nm, $f_{ext,PM1,700}$, for the (a) T0 and (b) T1 sites. The individual data points (gray, circles) are shown for the SSA_{PM10} at 450 nm along with a box-and-whisker plot binned by $f_{ext,PM1,700}$. For the SSA_{PM10} at 550 nm (green line) and 700 nm (red line) only the mean, binned values are shown.

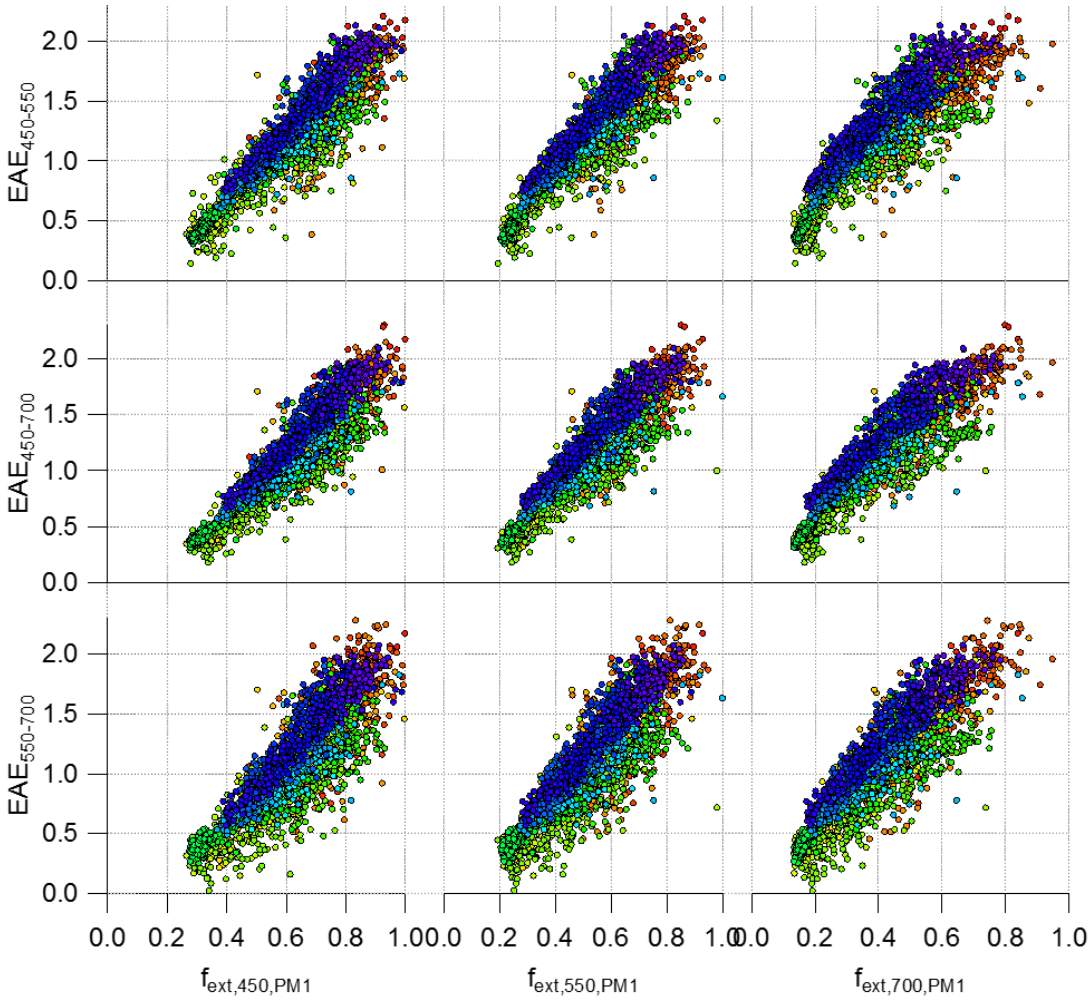

Figure S6. The relationship between the extinction Ångstrom exponent for PM_{10} for different wavelength pairs and the PM_1/PM_{10} scattering ratio at different wavelengths for the T0 site. The points are colored according to time during the campaign, as in Figure S4. The *EAE* values when $f_{ext,PM1} = 0.5$ are reported in Table S3.

Minor comments:

Line 129: Give model and manufacturer for SMPS.

Done.

Line 176: What is the part number of the NOx chemiluminescence instrument?

We now give further information about the operation of the instrument, specifically stating "Gas-phase concentrations of the sum of NO and NO_2 (= NO_x) and the sum of nitrogen oxides (= NO_y) were measured using a 2-channel chemiluminescence instrument (Air Quality Design, Inc, High Performance, 2-Channel) in which NO_2 is photolyzed to NO *using a blue light photolytic converter* and NO_y is converted to NO on a Mo catalyst," and where the new text is in italics.

Typographic corrections:

Line 32: "... but the there is some" - done

Line 79: Use SI units. - done

Lines 99,125, 203, 205: Remove comma after June - done

Line 157: This is a very long week. – We now indicate this is a week and a half

References:

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Understanding the Optical Properties of Ambient Sub- and Supermicron Particulate Matter: Results from the CARES 2010 Field Study in Northern California

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Short Summary

One way in which particles impact the solar radiation budget is through absorption and scattering of solar radiation. Here, we report on measurements of aerosol optical properties at visible wavelengths in the Sacramento, CA region and characterize their relationships with and dependence upon particle composition, particle size, photochemical ageing, water uptake and heating.

Abstract

Measurements of the optical properties (absorption, scattering and extinction) of PM_1, $PM_{2.5}$ and PM_{10} made at two sites around Sacramento, CA during the June 2010 Carbonaceous Aerosols and Radiative Effects Study (CARES) are reported. These observations are used to establish relationships

between various intensive optical properties and to derive information about the dependence of the optical properties on photochemical ageing and sources. Supermicron particles contributed substantially to the total light scattering at both sites, about 50% on average. A strong, linear relationship is observed between the scattering Ångstrom exponent for PM_{10} and the fraction of the scattering that is contributed by submicron particles ($f_{sca,PM1}$) at both sites and with similar slopes and intercepts (for a given pair of wavelengths), suggesting that the derived relationship may be generally applicable for understanding variations in particle size distributions from remote sensing measurements. At the more urban T0 site, the $f_{sca,PM1}$ increased with photochemical age whereas at the downwind, more rural T1 site the $f_{sca,PM1}$ decreased slightly with photochemical age. This difference in behavior reflects differences in transport, local production and local emission of supermicron particles between the sites. Light absorption is dominated by submicron particles, but there is some absorption by supermicron particles (~15% of the total). The supermicron absorption derives from a combination of black carbon that has penetrated into the supermicron mode and from dust, and there is a clear increase in the mass absorption coefficient of just the supermicron particles with increasing average particle size. The mass scattering coefficient (*MSC*) for the supermicron particles was directly observed to vary inversely with the average particle size, demonstrating that *MSC* cannot always be treated as a constant in estimating mass concentrations from scattering measurements, or vice versa. The total particle backscatter fraction exhibited some dependence upon the relative abundance of sub- versus supermicron particles, however this was modulated by variations in the median size of particles within a given size range; variations in the submicron size distribution had a particularly large influence on the observed backscatter efficiency and an approximate method to account for this variability is introduced. The relationship between the absorption and scattering Ångstrom exponents is examined and used to update a previously suggested particle classification scheme. Differences in composition led to differences in the sensitivity of $PM_{2.5}$ to heating in a thermodenuder to the average particle size, with more extensive evaporation (observed as a larger decrease in the $PM_{2.5}$ extinction coefficient) corresponding to smaller particles, i.e. submicron particles were generally more susceptible to heating than the supermicron particles. The influence of heating on the particle hygroscopicity varied with the effective particle size, with larger changes observed when the $PM_{2.5}$ distribution was dominated by smaller particles.

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1. Introduction

Atmospheric aerosol particles impact regional and global climate by scattering and absorbing solar radiation, as well as by affecting the properties of clouds, although the magnitude of these impacts remain uncertain (IPCC, 2013). The specific ability of particles to interact with solar radiation is dependent upon particle size, morphology and composition (Bohren and Huffman, 1983), which are often linked through variations in sources and chemical processing. Atmospheric particles span a wide range of sizes, from just a few nm to many microns. Quantitative understanding of the absolute and relative contributions across this entire size range is necessary to assess their climate impacts (Schwartz, 1996). The major sources of particles within the submicron and supermicron size regimes differ, with submicron particles generally deriving from combustion emissions and secondary formation and supermicron particles generally coming from mechanical action (e.g. wind-blown dust or ocean wave breaking) (Seinfeld and Pandis, 1998). As such, particle composition varies across this size range, as does the effectiveness with which particles absorb and scatter solar radiation. *In situ* measurements of the wavelength- and size-dependent light scattering and absorption properties of ambient atmospheric particles made concurrent with measurements of the size-dependent particle composition can provide insights into the impacts of particles on local climate and air quality (Anderson et al., 2003; Jung et al., 2009), as well as into the more general relationships between particle size, composition and radiative effects that determine their global impacts (Quinn et al., 2004; Bates et al., 2006; Wang et al., 2007; Garland et al., 2008; Gyawali et al., 2009; Yang et al., 2009). Such *in situ* measurements can help to interpret observations from remote sensing (Russell et al., 2010; Giles et al., 2012) and to provide observational constraints for results from simulations using regional and global models (Kaufman et al., 2002; Myhre et al., 2012; Tsigaridis et al., 2014). Measurements of particulate mass concentrations and composition for $PM_{2.5}$ and PM_{10} have become routine through networks such as the U.S. Interagency Monitoring of Protected Visual Environments (IMPROVE) network (Malm et al., 2007), and these can be used to "reconstruct" aerosol optical properties (Malm and Hand, 2007). However, direct measurements of the optical properties of particles between different size regimes are much less common, and where they do exist are quite often made in the marine boundary layer (e.g. Bates et al., 2006) and not over land. ($PM_{2.5}$ and PM_{10} refer to particulate matter with aerodynamic diameters below 2.5 μm and 10 μm, respectively.)

The US Department of Energy Carbonaceous Aerosols and Radiative Effects Study (CARES) took place in June 2010 with a motivation of improving our understanding of aerosol optical properties and how they evolve in the atmosphere through observations (Zaveri et al., 2012). Two heavily-instrumented ground observational sites were set up, one within the greater Sacramento, CA urban area and one

approximately 40 km to the northeast in much more rural Cool, CA (Figure S1). At both sites a variety of aerosol particle physical, chemical and optical property measurements were made. These two sites were chosen because of the generally reproducible wind patterns that, much of the time, bring air up from the San Francisco Bay Area (~160 km SW) and past the Sacramento urban core before continuing up towards the foothills of the Sierra Nevada mountains where the air mass accumulates biogenic emissions during the day, with a reversed flow at night bringing more biogenically influenced air down from the mountains. In this way, comparison between the two sites facilitates understanding of the role that atmospheric photochemical processing plays in altering particle optical properties.

Results from measurements of dry particle light scattering and absorption made for submicron particulate matter (PM_1) and for PM smaller than 10 μm (PM_{10}) at both sites and of dry particle light absorption and extinction for PM smaller than 2.5 μm ($PM_{2.5}$) at just the urban Sacramento site are reported in this study. The separate PM_1 and PM_{10} measurements allow for determination of the optical properties of both submicron and supermicron (PM > 1 μm) particles. The distinct sub- and supermicron measurements are used here to characterize and examine the variability in their relative contributions as well as the differences between their properties and sources in the summertime Sacramento region. A previous analysis of particle size distributions measured during the CARES campaign indicated a large contribution of supermicron aerosol to the total particle scattering (Kassianov et al., 2012). Here, direct measurements of the scattering by these supermicron particles are reported, and their sources and properties and the factors that drive their variability are examined.

These regional results are also used to develop more general understanding of the size- and composition-dependent variability in aerosol particle optical properties. The analysis here focuses especially on quantifying and assessing the relationships between various intensive optical parameters (such as the scattering Ångstrom exponent, the absorption Ångstrom exponent, and the single scatter albedo) or between these parameters and characteristics of the particle distribution (such as the fine mode fraction or characteristic particle diameter) and how these differ between size ranges (sub- versus supermicron) or are influenced by photochemical ageing or heating. Results from *in situ* measurements such as here can help to inform remote sensing retrievals and climatologies, which can provide a much broader spatial picture of sub- versus supermicron abundances and contributions to light scattering and extinction (Dubovik et al., 2002; Eck et al., 2010).

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2. Experimental

All measurements were made during the 2010 CARES study, which took place in the Sacramento, CA region from 2-29 June 2010. Measurements were made at one of two sites: one located in the greater Sacramento urban region (termed the T0 site) and one located ca. 40 km northeast downwind in Cool, CA (termed the T1 site), shown in Figure S1. The CARES study has previously been described in detail (Fast et al., 2012; Zaveri et al., 2012), and only a brief overview is given here. A list of instrumentation used in this study is given in Table 1, an overview schematic of the sampling configuration in Figure S2, and a list of abbreviations and symbols in Table S1.

2.1 Measurements at the T0 Site

Particle light absorption coefficients (b_{abs}) were measured using the UC Davis (UCD) photoacoustic spectrometer (PAS) at 405 nm and 532 nm (Lack et al., 2012) and using a particle soot absorption photometer (PSAP; Radiance Research, Inc.) at 470 nm, 532 nm and 660 nm (Bond et al., 1999; Virkkula et al., 2005). The PAS measured light absorption for $PM_{2.5}$ sampled through a cyclone, alternating on a 2.5 or 5 minute time scale between bypass measurements (i.e. dried ambient particles) and measurements behind a constant-temperature (225°C) thermodenuder (Huffman et al., 2008; Cappa et al., 2012) with a residence time of 5 s. The PAS was calibrated before, during and after the study by referencing the observed photoacoustic response to added ozone to the extinction measured at the same wavelengths via cavity ringdown spectroscopy (CRDS) (Lack et al., 2012). The PSAP measured b_{abs} for PM_1 or PM_{10} on an alternating 6 minute cycle. The PSAP was corrected for spot size, flow and particle scattering using standard methods (Bond et al., 1999; Ogren, 2010). Light scattering and backscattering coefficients (b_{sca} and b_{bsca}, respectively) were measured for dried particles using a three-wavelength total/backscatter nephelometer (TSI, Model 3563) at 450 nm, 550 nm and 700 nm. The nephelometer sampled PM_1 and PM_{10} on the same alternating 6 minute cycle as the PSAP. The b_{sca} and b_{bsca} were corrected for truncation error (Anderson and Ogren, 1998). Light extinction coefficients ($b_{ext} = b_{abs} + b_{sca}$) were directly measured for $PM_{2.5}$ using the UCD aerosol CRDS (Langridge et al., 2011; Cappa et al., 2012). The b_{ext} were measured for dried particles at both 405 nm and 532 nm, and at 532 nm measurements were additionally made for particles exposed to elevated RH (~85%). The air sample was humidified by passing it through a custom humidifier, which consisted of a semi-permeable capillary membrane (Accurel) that was kept continuously wetted. The relative humidity in the CRD cells was monitored using Vaisala RH probes (HMP50) that were calibrated using saturated salt solutions. As with the UCD PAS, the CRDS operated behind a thermodenuder on a 2.5 or 5 minute cycle. Measurements made through the thermodenuder were corrected for thermophoretic losses (Huffman et al., 2008; Cappa et al., 2012). PSAP and nephelometer

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measurements were made over the period 3-28 June 2010, with a data gap from the period 16-20 June. The CRD measurements and PAS measurements at 405 nm were made starting 20:00 PDT on 16 June through 09:00 PDT on 29 June. Due to a laser malfunction, the PAS measurements at 532 nm started at 12:00 PDT on 19 June. The thermodenuder measurements began at 12:00 PDT on 20 June.

Particle mobility diameter ($d_{p,m}$) size distributions from 12.2 to 736.5 nm were measured using a scanning mobility particle sizer (SMPS; TSI Model 3080). Particle aerodynamic diameter ($d_{p,a}$) size distributions from 542 nm to 19,810 nm were measured using an aerodynamic particle sizer (APS TSI Model 3321). The APS size distributions were converted to mobility-equivalent size distributions, assuming spherical particles and an effective particle material density of 2.0 g cm^{-3} and accounting for the Cunningham slip correction (DeCarlo et al., 2004). The use of an effective material density of 2.0 g cm^{-3} implicitly assumes that the larger particles characterized by the APS were primarily dust or sea spray. The resulting APS distribution was merged with the SMPS distribution to generate a time-series of the mobility size distributions with sizes over the entire range (12.2-19,810 nm). Because the PM_1, $PM_{2.5}$ and PM_{10} designations are associated with aerodynamic diameters, mobility equivalent cut-diameters must be determined. The mobility equivalent cut-diameters are (assuming a density of 2 g cm^{-3}) approximately 700 nm, 1750 nm and 7200 nm, respectively. (For simplicity, we will continue to refer to the sub and supermicron particle ranges based on the aerodynamic size.) The merged size distribution was truncated to an upper limit of 7200 nm, and the campaign average for the T0 site is shown for reference in Figure S3. One complication at the T0 site is that the APS did not collect data from 13:30 PDT on June 22 onwards, and thus information about the supermicron particle size distribution and mass concentrations are not available after this date.

Particle composition was monitored at T0 using the single particle laser ablation time-of-flight mass spectrometer (SPLAT-II) from 3-28 June (Zelenyuk et al., 2009). SPLAT-II characterizes the composition of individual particles and can be used to build a statistical picture of the distribution of particle types, as defined by the uniqueness of and similarities between their mass spectra (Zelenyuk et al., 2008). Analysis of the single particle mass spectra from SPLAT-II indicate a diversity of particle types, including dust, sea salt-containing (SS), combustion derived (including particles categorized as soot, biomass burning (BB), primary organic (POA) and hydrocarbon (HC)), amine-containing, and mixed sulfate/organic. SPLAT-II samples particles between ~50 nm and 2 μm, although the sampling efficiency varies with particle size and with particle shape. SPLAT-II is optimized for particles with vacuum aerodynamic diameters (d_{va}) between 100 and 600 nm, and detects larger particles with reduced relative efficiency.

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Non-refractory submicron particulate matter (NR-PM) composition was measured using an Aerodyne high resolution time-of-flight aerosol mass spectrometer (HR-AMS) (Canagaratna et al., 2007). The NR-PM components measured include organics, sulfate, nitrate, ammonium and chloride. Data during the first week and a half of the campaign (June 3-12) are especially noisy due to instrumental problems. The AMS data were processed and NR-PM concentrations determined using standard methods, assuming a collection efficiency of 50%. Positive matrix factorization was applied to the dataset (Zhang et al., 2011) and three factors associated with the organic aerosol (OA) were determined. One of these was characterized as a more-oxidized OA factor (OOA) while two were characterized as less-oxidized OA factors, which were most likely cooking- and traffic-related and will be collectively referred to here as the hydrocarbon-like OA factor (HOA) and (Atkinson et al., 2015). Black carbon mass concentrations were measured with a single particle soot photometer (SP2; DMT) (Schwarz et al., 2006). The SP2 was calibrated using mobility size selected Aquadag particles, using a size-dependent particle density (Gysel et al., 2011). The reported concentrations have been multiplied by a factor of 1.53 to account for the difference in sensitivity of the SP2 to Aquadag compared with fullerene soot (R. Subramanian, Personal Communication), which is thought to be a reasonable proxy for diesel soot (Laborde et al., 2012a). This adjustment factor was determined from laboratory studies conducted after the CARES campaign. The CARES SP2 instruments measured BC-containing particles with volume equivalent core diameters between 30 and 400 nm, although quantification below $d_{p,ved}$ <~100 nm becomes generally more challenging and can vary between instruments (Laborde et al., 2012b). No adjustment of the reported concentrations for black carbon containing particles outside of the SP2 detection size range has been made, thus the reported concentrations may be underestimated (Cappa et al., 2014).

Gas-phase concentrations of the sum of NO and NO_2 (= NO_x) and the sum of all nitrogen oxides (= NO_y) were measured using a 2-channel chemiluminescence instrument (Air Quality Design, Inc. High Performance, 2-Channel) in which NO_2 is photolyzed to NO using a blue light photolytic converter and NO_y is converted to NO on a Mo catalyst. Gas-phase concentrations of hydrocarbons, in particular of toluene and benzene, were measured using a proton transfer reaction mass spectrometer (PTR-MS). These measurements can be used to estimate the average photochemical age (*PCA*) of the air mass (Roberts et al., 1984), with:

$$PCA_{NOx} = -\frac{1}{k_{rxn}[OH]} \ln\left(\frac{[NO_x]}{[NO_y]}\right) \quad (1)$$

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where k_{rxn} is the reaction rate coefficient for the OH + NO_2 reaction (7.9 x 10^{-12} cm^3 $molecules^{-1}$ s^{-1}; (Brown et al., 1999)), and

$$PCA_{HC} = \frac{\ln(ER) - \ln\left(\frac{[benzene]}{[toluene]}\right)}{(k_b - k_t)[OH]} \quad (2)$$

where *ER* is the emission ratio between benzene and toluene, assumed here to be 3.2 (Warneke et al., 2007), and k_b and k_t are the reaction rate coefficients for reaction of benzene (1 x 10^{-12} cm^3 $molecule^{-1}$ s^{-1}) and toluene (5.7 x 10^{-12} cm^3 $molecule^{-1}$ s^{-1}) with OH, respectively (Atkinson et al., 2006). Although there are challenges in interpreting *PCA* estimates quantitatively due to e.g. mixing of different sources (Parrish et al., 2007) and weekend/weekday differences (Warneke et al., 2013), *PCA* nonetheless provides a useful estimate of the extent of photochemical processing.

2.2. Measurements at the T1 Site

A similar suite of measurements were made at the T1 site as at the T0 site, including light absorption at 470, 532, 660 nm by PSAP, light scattering at 450, 550, 700 nm by nephelometer, particle size by SMPS and APS and submicron particle composition by HR-AMS and SP2. The SMPS deployed at T1 (described in Setyan et al. (2014)) measures particle number distribution in the range of 10 – 858 nm in $d_{p,m}$. Analysis of the HR-AMS data using positive matrix factorization identified two distinct OOA factors, one of which was mainly associated with biogenic emissions and the other representative of secondary organic aerosol (SOA) formed in photochemically processed urban emissions. HOA was also observed at T1 but it on average accounted for only ~10% of the OA mass. Details on HR-AMS and SMPS measurements at T1 and associated data analysis are given in Setyan et al. (2012) and Setyan et al. (2014). The particle scattering and absorption measurements were made nearly continuously from 3-28 June 2010. Light absorption measurements were also made using different PAS instruments, although these are not utilized here. PTR-MS measurements of toluene and benzene are available from 3-28 June 2010. Although NO and NO_y measurements were made, NO_x was not measured. Thus, it is only possible to estimate *PCA* at the T1 site using the benzene-toluene method (Equation 2).

One additional way in which particle composition was characterized at T1 was with a particle ablation laser-desorption mass spectrometer (PALMS) (Cziczo et al., 2006). The PALMS is similar to the SPLAT-II in that single particle mass spectra are collected for particles between about $d_{p,a}$ 150 nm and 2

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μm, which are used to build a statistical picture of particle types. Analysis of the single particle mass spectra from PALMS at the T1 site yielded the following particle types: dust (termed MinMet for mineralogical/meteoric), sea salt-containing (SS), combustion derived (including particles categorized as soot, biomass burning (BB), or oil), mixed sulfate/organic and "other." Results from the PALMS measurements have been previously reported in Zaveri et al. (2012).

2.3 Derived particle properties

Using the alternating (i.e. sequential) PM_1 and PM_{10} measurements, the properties of supermicron particles specifically have been estimated from the difference between PM_{10} and PM_1, with

$$b_{x,super} = \frac{b_{x,PM10}(t-1)+b_{x,PM10}(t+1)}{2} - b_{x,PM1}(t) \quad (3)$$

where *x* indicates absorption or scattering and where the *t* values indicate the average concentration over each 6 min averaging period (i.e. the PM_1-PM_{10} cycle time). The fraction of absorption or scattering from PM_1 or supermicron PM is therefore defined as:

$$f_{x,PM1} = \frac{b_{x,PM1}}{b_{x,PM10}} \quad (4a)$$

$$f_{x,super} = \frac{b_{x,super}}{b_{x,PM10}} \quad (4b)$$

where *x* again indicates absorption or scattering. These ratios give an indication of the contribution of submicron or supermicron particles to the total absorption or scattering, e.g. larger values of $f_{sca,PM1}$ indicate greater dominance of the submicron particle mode in terms of total scattering.

Light absorption measurements are used to determine the absorption Ångstrom exponent (*AAE*), which characterizes the wavelength dependence of absorption and is given as:

$$AAE_{\lambda1,\lambda2} = -\frac{\log\left(\frac{b_{abs,\lambda1}}{b_{abs,\lambda2}}\right)}{\log\left(\frac{\lambda1}{\lambda2}\right)} \quad (5)$$

where λ1 and λ2 indicate different wavelengths. It is often assumed that "pure" black carbon (BC) particles have an *AAE* close to 1 and that values >1 indicate the presence of light absorbing organics (referred to as brown carbon, or BrC) or dust, which tend to exhibit absorption that increases sharply as wavelength decreases (Lack and Cappa, 2010). The *AAE* is dependent upon the chosen wavelength pair. The specific wavelength pair used to calculate *AAE* will be indicated using the notation $AAE_{\lambda1\text{-}\lambda2}$. A related property, the difference in the PM_{10} and PM_1 AAE, can then be calculated:

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$$\Delta AAE_{10-1} = AAE_{PM10} - AAE_{PM1} \quad (6)$$

The scattering Ångstrom exponent (*SAE*) is also commonly used to characterize the relative contributions from sub- and supermicron particles (Clarke and Kapustin, 2010), and is defined analogously to the *AAE* as:

$$SAE_{\lambda1,\lambda2} = -\frac{\log\left(\frac{b_{sca,\lambda1}}{b_{sca,\lambda2}}\right)}{\log\left(\frac{\lambda1}{\lambda2}\right)} \quad (7)$$

Larger values of the *SAE* correspond to overall smaller particles, and have been calculated for PM_1, PM_{10} and PM_{super}. A similar parameter, the extinction Ångstrom exponent, *EAE*, can be calculated for $PM_{2.5}$ using the measured b_{ext} or for PM_1 or PM_{10} using the sum of the b_{sca} and b_{abs}.

The fraction of extinction due to scattering is characterized through the single scatter albedo (SSA), which can be written in multiple ways depending on whether b_{ext}, b_{abs} or b_{sca} were the directly measured properties:

$$SSA = \frac{b_{ext}-b_{abs}}{b_{ext}} = \frac{b_{sca}}{b_{ext}} = \frac{b_{sca}}{b_{sca}+b_{abs}} \quad (8)$$

The angular dependence of scattering is characterized through measurement of the backscatter coefficients, b_{bsca}. The fraction of light that is backscattered, relative to the total scattering, is calculated as

$$f_{bsca} = \frac{b_{bsca}}{b_{sca}} \quad (9)$$

The backscatter fraction is an important climate-relevant parameter as particle radiative effects depend in part on the extent to which incoming solar radiation is reflected back to space versus absorbed within the Earth system. The backscatter fraction is commonly converted to an asymmetry parameter, g_{sca}, and the empirically derived relationship between these is (Andrews et al., 2006):

$$g_{sca} = -7.143889 \cdot f_{bsca}^3 + 7.464439 \cdot f_{bsca}^2 - 3.9356 \cdot f_{bsca} + 0.9893 \quad (10)$$

The asymmetry parameter is the intensity-weighted average of the cosine of the scattering angle and ranges from -1 (all backscatter) to 1 (all forward scatter).

Using the measurements made behind the thermodenuder at the T0 site (i.e. the PAS and CRD measurements), various ratios and differences can be determined. The ratio between the denuded and

undenuded extinction (i.e. the fraction of extinction remaining for $PM_{2.5}$) provides a measure of the particle volatility, with smaller values indicating more volatile particles:

$$f_{ext,TD} = \frac{b_{ext,TD}}{b_{ext,amb}} = \frac{b_{ext}(t-1)+b_{ext}(t+1)}{2b_{ext}(t)} \quad (11)$$

where *TD* indicates the thermodenuded and *amb* indicates the ambient time periods, and the 2nd equality shows how the sequential TD and ambient measurements were accounted for similar to Eqn. 3. The change in particle hygroscopicity upon thermodenuding is calculated as

$$\Delta\gamma_{RH} = \gamma_{RH,amb} - \gamma_{RH,TD} \quad (12)$$

where

$$\gamma_{RH} = \log\left(\frac{b_{ext,high}}{b_{ext,low}}\right) / \log\left(\frac{100-RH_{low}}{100-RH_{high}}\right) \quad (13)$$

and the *high* and *low* refer to the humidified and dried CRD measurements and again accounting for the sequential nature of the *TD* and *amb* measurements. The parameter γ_{RH} can be thought of as the optical hygroscopicity (i.e., a measure of the affinity of particles to take up water and grow), although it does have some dependence on particle size and thus there is not a 1-to-1 relationship between γ_{RH} and particle growth (Atkinson et al., 2015). In general, for a given amount of particle growth due to water uptake, γ_{RH} is larger for smaller particles.

The difference in the AAE between the ambient and thermodenuded states can also be determined:

$$\Delta AAE_{amb-TD} = AAE_{amb} - AAE_{TD} \quad (14)$$

Mass absorption, scattering and extinction coefficients (*MAC*, *MSC* and *MEC*, respectively) have been calculated for the various wavelengths and PM size ranges. Using scattering as an example,

$$MSC_X\left(\frac{m^2}{g}\right) = \frac{b_{sca}}{[X]} \quad (15)$$

where [X] is the mass concentration of the reference species of interest, such as BC or the total PM. In the case of [BC], the SP2 measurements are used. For total PM, the integrated volume concentrations were used to estimate [PM], assuming spherical particles. For supermicron particles it was assumed that ρ_p = 2.0 g cm^{-3} and for submicron particles the density was 1.3 g cm^{-3} (Setyan et al., 2012).

The size distributions have been used to calculate median surface-weighted particle diameters ($d_{p,surf}$) as:

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$$d_{p,surf} = \frac{\int_{d_{p,low}}^{d_{p,high}} d_p \frac{dN}{d\log d_p} d\log d_p}{\int_{d_{p,low}}^{d_{p,high}} \frac{dN}{d\log d_p} d\log d_p} \quad (16)$$

where dN/dlogd_p is the observed number-weighted size distribution over the size range of interest ($d_{p,low}$ to $d_{p,high}$). In this study, $d_{p,surf}$ values have been separately calculated for the total PM_{10} distribution and for the supermicron particle range.

3. Results and Discussion

3.1 Size dependence of optical properties

3.1.1 Light scattering

Supermicron particles contributed substantially to the dry particle scattering at both T0 and T1 (Figure 1). The average $f_{sca,PM1}$(550 nm) at T0 was 0.48 ± 0.17 (1σ) and at T1 was 0.55 ± 0.16 (1σ). (If the time period where the scattering measurements were not available at T0 is excluded from the T1 average, the average $f_{sca,PM1}$ is 0.57 ± 0.15 (1σ).) Thus, nearly 50% of the dry scattering at these two sites was, on average, due to supermicron particles during the campaign period. This observation is consistent with results from Kassianov et al. (2012), who calculated scattering and direct radiative forcing for these two sites (T0 and T1) based on observed size distributions and concluded that supermicron particles contribute substantially to the total scattering. Because scattering generally increases more rapidly with decreasing wavelength for small particles, the $f_{sca,PM1}$ is larger for 450 nm (= 0.59 at T0 and 0.67 at T1) and smaller for 700 nm (= 0.34 at T0 and 0.41 at T1) compared to 550 nm. The similarity of the $f_{sca,PM1}$ values between the two sites is noteworthy given that the T0 site is situated much closer to urban Sacramento than is the T1 site. The $f_{sca,PM1}$ values at the two sites show a strong, linear correlation with the $SAE_{450,550,PM10}$ (Figure 2a,b), which is not entirely surprising since the *SAE* provides an indication of the mean, optically-weighted particle size. There is a similarly strong relationship with the SAE_{PM10} values calculated from the other wavelength pairs (Figure S4 and Figure S5 show results for the T0 and T1 sites, respectively, for comparison). The best fit from a one-sided linear fit to $SAE_{450,550,PM10}$ versus $f_{sca,PM1}$ at T0 is $SAE_{450,550,PM10}$ = 2.69 $f_{sca,550,PM1}$ - 0.05 (r^2 = 0.88) and at T1 is $SAE_{450,550}$ = 2.66 $f_{sca,550,PM1}$ + 0.06 (r^2 = 0.91). (Values for other combinations of wavelengths for T0 and T1 are reported in Table S2.) Clarke and Kapustin (2010), in a survey of hemispheric aerosol vertical profiles, used a value of $SAE_{450,550}$ = 1.3 as a dividing line between air masses impacted by smaller particles from combustion sources ($SAE_{450,550}$ > 1.3) or coarse-mode particles, such as sea salt and dust ($SAE_{450,550}$ < 1.3). Our results indicate that $SAE_{450,550,PM10}$ = 1.3 corresponds to $f_{sca,550,PM1}$ = 0.50, suggesting that $SAE_{450,550}$ = 1.3 is a reasonable

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dividing line between sub- and supermicron aerosol. They also observed that the relative magnitudes of scattering by smaller versus larger particles was highly variable, depending on altitude and region.

Although the SAE_{PM10} values exhibit a linear relationship with $f_{sca,PM1}$, they exhibit a more complex relationship with the median surface-area weighted particle diameter of the entire distribution ($d_{p,surf,PM10}$). The SAE_{PM10} values generally decrease with increasing $d_{p,surf,PM10}$, as one might expect since an increase in $d_{p,surf,PM10}$ presumably corresponds to an increase in the supermicron fraction of scattering. However, there is much greater scatter compared to the clear relationship with $f_{sca,PM1}$, and clear periods when a monotonic relationship is not observed (Figure 2c,d). The derived $d_{p,surf,PM10}$ values are sensitive to the exact shapes of the sub- and supermicron modes, and the *MSC* for supermicron particles, in particular, is also sensitive to the shape of the supermicron size distribution (discussed further below in Section 3.1.2). Consequently, there is not a straight-forward relationship between the SAE_{PM10} and $d_{p,surf,PM10}$ and the SAE_{PM10} cannot be used to predict average properties of the overall sub- plus supermicron size distribution. However, the strong, linear relationship between the SAE_{PM10} and $f_{sca,PM1}$ and the close correspondence between the two sites, despite apparent variations in the underlying size distributions, suggests that the relationships derived here may be sufficiently general to be applied in other locations, although this needs to be verified. This in turn indicates that observations of SAE_{PM10} can be used to quantitatively estimate the fractional contribution of sub and supermicron particles to the total scattering with an uncertainty of ~15% based on the spread in the data. Thus, the relationships derived here may be useful for application or comparison with remote sensing methods, such as the AERONET sun photometer network (Schuster et al., 2006; Eck et al., 2010) or satellites (Ginoux et al., 2012).

However, a better property to compare with remote sensing methods may be the PM_{10} extinction Ångstrom exponent (EAE_{PM10}) versus the PM_1 extinction fraction, $f_{ext,PM1}$. This is complementary to the SAE_{PM10} versus $f_{sca,PM1}$ relationship discussed above, but focuses on the total optical losses (scattering plus absorption) as opposed to just scattering. The EAE_{PM10} and $f_{ext,PM1}$ values (for the various wavelength combinations) also exhibit reasonably linear relationships (Figure S6). The EAE_{PM10} value when $f_{ext,PM1} = 0.5$ is used here as a reference point (referred to here as EAE_{PM10}-50). For a given EAE_{PM10} wavelength pair the EAE_{PM10}-50 increases notably as the wavelength for $f_{ext,PM1}$ increases, exhibiting much less sensitivity to the choice of wavelength pair although generally decreasing as the wavelength pairs increase (Table S3). For example, for $EAE_{450,700,PM10}$ the EAE_{PM10}-50 increases from 0.85 to 1.09 to 1.37 for $f_{ext,PM1,450}$ to $f_{ext,PM1,550}$ to $f_{ext,PM1,700}$. (Similar behavior is observed for the wavelength-sensitivity of the equivalently-defined SAE_{PM10}-50.) The EAE_{PM10} versus $f_{ext,PM1}$ relationships from these *in situ* measurements can be compared with remote sensing retrievals of the wavelength dependence of the AOD

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(i.e. the *EAE*) and the "fine mode fraction" of AOD. The fine mode fraction of AOD is nominally equivalent to $f_{ext,PM1}$ here, and thus they can be directly compared. As one example, Eck et al. (2010) investigated the relationship between the fine mode fraction of AOD and *EAE* from AERONET AOD measurements for a few locations around the world. The Eck et al. (2010) observations suggest $EAE_{440\text{-}870,PM10}$-50 ~ 0.5 at 440 nm and $EAE_{440\text{-}870}$-50 ~ 0.9 at 675 nm. These are somewhat smaller than what is observed here, although given the wavelength differences between the *in situ* and remote sensing measurements the difference is not unreasonable. Some of the difference in the EAE_{PM10}-50 values could be attributable to differences in absorption by non-BC species in the regions studied in Eck et al. (2010) (India, China, West Africa) versus in the Sacramento region.

One factor that can influence the sub- versus supermicron contributions to the total scattering is the extent of photochemical processing. Photochemical processing leads to the production of lower-volatility material that will tend to condense according to the particle Fuchs-corrected surface area. As such, photochemical processing and secondary PM formation, especially SOA, will lead to preferential growth of the submicron mode diameters (which grow more for a given amount of material condensed) and will lead to an increase in the submicron scattering in particular. The $f_{sca,PM1}$ at T0 exhibits a general increase with PCA (characterized by the $[NO_x]/[NO_y]$ and/or [benzene]/[toluene] ratios), although there is a fair amount of scatter at low photochemical age (Figure 3a,b). The $f_{sca,PM1}$ at T1 shows completely different behavior, with $f_{sca,PM1}$ exhibiting very little dependence on PCA, although there is possibly a slight decrease (Figure 3c). There is generally good correspondence between the $[NO_x]/[NO_y]$ and [benzene]/[toluene] ratios measured at T0, indicating that the different results at T1 are unlikely to result from use of a particular PCA marker (Figure 3d). The PCA at T1 is on average much larger than at T0. The PCA at the T0 site exhibits a clear peak around 15:00 PDT (Figure 3e). The PCA diel profile at T1 is comparably much broader and exhibits a less-pronounced peak, but that also occurs in the late afternoon (around 16:00 PDT) (Figure 3e). This broadening and different temporal dependence likely reflects the downwind location of the T1 site and the general flow patterns in this region (Fast et al., 2012). It seems likely that the difference in variation of the $f_{sca,PM1}$ with PCA between the two sites is related to these difference. At T0, the measured $b_{sca,PM1}$ may reflect a relatively local production of submicron particulate mass whereas at T1 the $b_{sca,PM1}$ is more reflective of regional conditions. The $b_{sca,super}$ at both sites will have some regional contribution (in particular sea spray), but also a strong local contribution. Wind speeds were typically largest in the mid- to late afternoon at both sites, although overall the diel behavior was much clearer at T1 than at T0 and with a larger amplitude (Figure 3e). However, the wind speeds were on average larger at T0. Thus, it seems reasonable to conclude that local emission of supermicron particulates, possibly re-suspended road dust or from agricultural sources, in the afternoon at T1

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counteracts the influence of growth of the regional submicron particulates, leading $f_{sca,PM1}$ to be nearly independent of PCA at this site. In contrast, at T0 the local photochemical production of new submicron PM mass appears to be sufficiently strong to lead to an increase in $f_{sca,PM1}$ with PCA.

The relationship between the PM_{10} *SSA* and the PM_1 extinction fraction ($f_{ext,PM1}$) can also be examined to understand how the extent of scattering versus absorption relates to particle size (Figure 4). At T0, the SSA_{PM10} at all three wavelengths decreases as $f_{ext,PM1,700}$ increases for $f_{ext,PM1,700} < 0.7$, at which point the trend reverses and SSA_{PM10} increases with $f_{ext,PM1,700}$. (Similar behavior is observed when $f_{ext,PM10}$ values at the other wavelengths are used. The choice of 700 nm was made to facilitate comparison with AERONET observations, below.) At T1, the relationship is somewhat different, with SSA_{PM10} decreasing with $f_{ext,PM1,700}$ until about $f_{ext,PM1,700} = 0.55$, above which the SSA is relatively constant. The decrease in SSA_{PM10} with $f_{ext,PM1}$ below some value can be understood as resulting from an increasing contribution of absorbing black carbon as PM_1 comes to dominate the extinction, and limited absorption by the supermicron particles at all wavelengths. The increase at T0 above $f_{ext,PM1,700} = 0.7$ can be understood as an increase in the contribution of local, photochemical production of secondary inorganic and organic aerosol within the submicron size range. At T1, the flat relationship above $f_{ext,PM,700} = 0.55$ likely results from a dampening of the local BC impact as air masses travel from T0 to T1. When $f_{ext,PM1,700}$ is small (supermicron dominated) the SSA_{PM10} increases very slightly with wavelength (i.e. $SSA_{PM10,700} > SSA_{PM10,450}$), but as $f_{ext,PM1,700}$ increases the trend is reversed and the differences between wavelengths become larger. This demonstrates that the SSA versus wavelength relationship is fundamentally different between the sub- and supermicron particles in this region.

These relationships can also be compared with results from remote sensing. As above, we consider as an example measurements from AERONET (Eck et al., 2010). (Note that SSA from AERONET retrievals are limited to periods when the AOD is > 0.4 at 440 nm, which is generally during high-concentration periods (Dubovik and King, 2000). AERONET AOD measurements (http://aeronet.gsfc.nasa.gov/) are available from the nearby McClellan Air Force Base from June 8-12, 2010, overlapping the CARES study period. During these days, the maximum AOD_{440nm} (for Level 2 data) was ~ 0.20, below the SSA retrieval threshold. Thus, we cannot compare our *in situ* measurements directly to AERONET measurements from the same location and time period.) The shape of the observed SSA_{PM10} versus $f_{ext,PM1,700}$ curves at T0 are quite similar to that observed by AERONET for SSA_{675} versus the fine mode fraction of AOD at 675 nm over India (Kanpur) and China (Beijing). However, the SSA_{440} versus fine mode fraction of AOD at 675 nm curves from AERONET over these same locations was much flatter than observed here for $SSA_{PM10,450}$ versus $f_{ext,PM1,700}$. This could reflect differences in the nature of the

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supermicron particles between these regions and Sacramento, with apparently higher absorption by supermicron particles at shorter wavelengths in India and China leading to a weaker dependence of SSA_{440} on particle size than observed here.

3.1.2 Light absorption

Light absorption at both sites was dominated by submicron particles, although a small fraction may also be from supermicron particles (Figure 1). The average $f_{abs,PM1}$ at 532 nm at T0 was 0.89 ± 0.14 (1σ) and at T1 was 0.85 ± 0.17 (1σ). At T1 there is a slight indication that $f_{abs,super}$ decreased as $f_{sca,super}$ decreased, but no such relationship is clearly evident at T0 (Figure 1g-h). Such potential relationships must be viewed with some amount of caution, as the PSAP requires correction for particle scattering and the extent of forward versus backward scattering is particle size dependent. It is also known that the PSAP shows an additional sensitivity to particle size due to differences in the depth of penetration of particles into the filter (Nakayama et al., 2010), which might influence the measurements here.

That light absorption is dominated by submicron particles suggests that black carbon, and possibly brown carbon, make up the majority of the light absorbing particle burden. The AAE_{PM1} values at both T0 and T1 exhibit reasonably normal distributions (Figure 5). The spread at T0 was substantially smaller than at T1. The average AAE_{PM1} values are slightly larger than unity at both sites (1.21 ± 0.13 and 1.33 ± 0.22 for T0 and T1, respectively, where uncertainties are 1 standard deviation; Table 2), and do not show any pronounced dependence on the wavelength pair chosen. Black carbon is typically thought to have an *AAE* close to unity (Cross et al., 2010). The average PM_1 $AAE_{PM1,450-532}$ are identical between the sites, whereas the $AAE_{PM1,450-660}$ and $AAE_{PM1,532-660}$ are slightly larger at T1. The larger spread in the AAE_{PM1} values for all wavelength pairs at T1 suggests that the two PSAP instruments were not entirely identical and had somewhat different noise characteristics, making it difficult to establish whether these small differences are real. One method that has been used to estimate the contribution of brown carbon relative to black carbon is to extrapolate the observed b_{abs} at longer λ (e.g. 660 nm) to shorter wavelengths assuming that $AAE = 1$ and that absorption by brown carbon at long λ is zero (Gyawali et al., 2009; Yang et al., 2009; Chung et al., 2012; Lack and Langridge, 2013). To the extent that this method is appropriate, and some have argued it may not be (Lack and Langridge, 2013), it provides an estimate of b_{abs} for pure BC (assuming that $AAE_{BC} = 1$ exactly) and the contribution from brown carbon can then be estimated by subtracting the pure BC b_{abs} from the total. Given the observed AAE_{PM1} values, this spectral differencing method suggests that brown carbon contributes ~6% at T0 and up to 11% at T1 to submicron particle light absorption at 450 nm; if the actual AAE_{BC} were >1, as possibly suggested by the $AAE_{532-660}$ measurements at both sites, then the attributed brown carbon fraction would be even smaller and cancelled out entirely

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if AAE_{BC} equals the observed value for the ambient particles. These relatively minor contributions from brown carbon are consistent with the conclusions of Cappa et al. (2012) and indicate that in this region the summertime submicron particulate light absorption is predominately from black carbon.

There are two likely origins of the supermicron absorption (assuming it is not simply an artefact): penetration of BC into the supermicron size range, likely from coagulation of BC with larger particles or tailing of the predominately submicron BC size distribution, or dust (assuming the observed supermicron absorption is not simply an experimental artifact). Dust is known to contribute substantially to the PM_{10} burden in Sacramento, with sources including local emissions from roadways, agricultural activity and disturbed open residential areas (California Air Resources Board, 2005; http://www.arb.ca.gov/pm/pmmeasures/pmch05/pmch05.htm), and long range transport (Ewing et al., 2010). The single particle measurements from the SPLAT-II instrument at T0 indicate that both BC-containing and dust particles are observed in the supermicron size range, along with substantial contributions from sea salt-containing particles that are likely of marine origin (Figure S7). The PALMS instrument detected similar particle types at the T1 site (Zaveri et al., 2012). Although informative, these measurements unfortunately cannot be used to quantitatively assess the relative contributions of the different absorbing particle types to the supermicron absorption because both instruments sample only over a subset of the entire supermicron size range, e.g. the SPLAT-II only up to $d_{v,a} \sim 2$ μm, and matrix effects can impact quantification of individual components in mixed particles (e.g. BC mixed with dust). Nonetheless, the single particle composition measurements provide support for both the likely origins of the supermicron absorption being from either BC penetration or dust.

Dust and BC should be distinguishable based on the observed spectral properties and chemical composition. Although the optical properties of dust vary by location and source, dust is generally thought to have *AAE* values > 1, with typical reported values of ~1.5-3 (Yang et al., 2009; Russell et al., 2010; Bahadur et al., 2012), larger than is typically observed for black carbon. The measured average AAE_{super} values were greater than unity and larger than the AAE_{PM1} (Table 2), suggestive of a dust influence. However, there are two important points to consider. First, although the AAE_{super} values were approximately normally distributed, the distributions were substantially broader than the distributions for the submicron particles, with a range of about $0.5 < AAE_{super} < 3$ (Figure 5). Second, the AAE_{super} values exhibited a notable wavelength-pair dependence, with the largest values observed for the 450-532 nm pair and the smallest for the 532-660 nm pair and where the wavelength-dependence at T0 was much larger than at T1 (Table 2; Figure 5). The large spread in the AAE_{super} values may reflect the small magnitudes of the absolute $b_{abs,super}$ values and the use of the difference method to determine the $b_{abs,super}$ (i.e. noise), but

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could also indicate a greater diversity in AAE_{super} values compared to AAE_{PM1} due to, perhaps, time-varying contributions from dust and BC. As a test, if the averaged AAE_{PM1} values are restricted to periods when the absolute absorption was relatively low (< 0.6 Mm^{-1}), but still generally larger than the $b_{abs,super}$, there is no substantial additional broadening of the distribution. This suggests that the broadening of AAE_{super} may be real and that the latter interpretation—diversity in individual AAE_{super} values—may be appropriate. However, since there were insufficient periods where the $b_{abs,PM1}$ values were as low as the $b_{abs,super}$, changes in the shape of the AAE_{PM1} distribution cannot be assessed under the exact same conditions, and thus the possibility that the greater scatter simply reflects the low $b_{abs,super}$ values cannot be ruled out. (The 0.6 Mm^{-1} threshold was chosen to allow for a sufficient number of AAE_{PM1} values to remain to be used to develop a histogram.) Also, if one considers the relationship between AAE_{PM10} and the $f_{sca,PM1}$, there is no obvious broadening of the *AAE* distribution at smaller values of $f_{sca,PM1}$. There is also some indication of correlations between both $b_{abs,super}$ and $b_{abs,PM1}$ and between $b_{abs,super}$ and [BC] (as measured by the SP2) (Figure 6). Although the correlation coefficients are relatively small (r^2 = 0.45 and 0.25 at T0 and T1, respectively), this could indicate contributions from penetration of BC into the supermicron mode, which could help to explain why most of the AAE_{super} values are smaller than is typical for pure dust but larger than for BC.

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Further insight into the nature of the supermicron particles comes from consideration of the *MAC* and *MSC* values, which are intensive properties like the *AAE*. The *MAC* and *MSC* for supermicron particles have been assessed by comparing $b_{abs,super}$ and $b_{sca,super}$ with the supermicron mass concentration ([PM_{super}]) as estimated from the measured size distributions (Figure 7a-b). The [PM_{super}] values were estimated assuming a density of 2 g cm^{-3} and spherical particles. In theory, the *MSC* is size dependent, falling off rapidly from ~4 m^2 g^{-1} to ~1.5 m^2 g^{-1} in going from $d_{p,m}$ = 700 to 1000 nm (with $d_{p,m}$ = 700 nm corresponding approximately to $d_{p,a}$ = 1000 nm when density = 2 g cm^{-3}) and ranging from ~ 0.5 m^2 g^{-1} to 1.5 m^2 g^{-1} for larger particles (see Figure S8 and Clarke et al. (2004)). Thus, smaller *MSC* values generally correspond to larger particles. The observed MSC_{super} exhibit an inverse relationship with $d_{p,surf}$ for the supermicron particles (Figure 7e-f). To our knowledge, this is the first explicit demonstration of the theoretically expected inverse relationship from ambient observations. These observations indicate that the nature of the supermicron particle size distributions do vary with time, with some time periods containing larger supermicron particles and some smaller. This variability in size suggests variations in the supermicron particle sources, and consequently in the chemical nature of the particles, discussed further below.

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The relationship between $b_{abs,super}$ and [PM_{super}] exhibits a great deal of scatter (Figure 7c-d), most likely due to the small values of $b_{abs,super}$ and to the variability in the PM_{super} sources, including particle density and size. The *MAC* values at 532 nm for the supermicron particles range from ~0 to ~0.06 $m^2\ g^{-1}$ at both sites. Further, the MAC_{super} values exhibit a notable dependence on the $d_{p,surf}$ (Figure 7g-h). In general, when $d_{p,surf}$ is on the small side (~2 μm) the MAC_{super} is very close to zero and it tends to increase with $d_{p,surf}$. Apparently, the particles from sources that produced, on average, smaller supermicron particles were less absorbing than the particles from sources that produced larger particles. A plausible explanation is that the larger particles are dust while the smaller (yet still supermicron) particles are a combination of non-absorbing sea spray particles and other particle types that are penetrating from the submicron mode. This hypothesis is generally supported by examination of HYSPLIT back trajectories (Draxler and Rolph, 2015) for each day of the campaign (Figure S9), as well as by comparison with the source-region identification in Fast et al. (2012), with smaller $d_{p,surf}$ values for the supermicron particles generally corresponding to periods when the air masses were identified as containing a greater "Bay Area" contribution. Considering three specific days as examples, two (11 and 16 June) when the MSC_{super} were particularly small (corresponding to larger particles) and one (15 June) when the MSC_{super} were larger, clear differences in the air mass origins can be seen. Specifically, the back trajectories on 11 and 16 June indicate that the air mass came from almost due north, consistent with a terrestrial origin for the particles while the back trajectory on 15 June indicates that the air mass had passed over the San Francisco Bay Area and before that came from along the CA coast. These back trajectories are generally consistent with the idea that when the overall size distribution is skewed towards smaller supermicron particles (smaller $d_{p,surf}$ and larger MSC_{super}) the air masses are more impacted by sea spray particles, while when the size distribution is skewed towards larger particles there is a greater relative dust contribution.

Even though the MAC_{super} exhibits a pronounced relationship with $d_{p,surf}$, there is actually minimal dependence of $b_{abs,super}$ on $d_{p,surf}$ (Figure S10). There is, however, a relatively strong relationship between [PM_{super}] and $d_{p,surf}$, with larger [PM_{super}] usually corresponding to smaller $d_{p,surf}$ (Figure S10). This suggests that the small MAC_{super} values at small $d_{p,surf}$ result from substantial inputs of non-absorbing supermicron particles, which does not necessarily alter the observed $b_{abs,super}$ but does serve to increase the [PM_{super}], thereby depressing the MAC_{super} values. The MAC_{super} is approximately 0.06 $m^2\ g^{-1}$ when $d_{p,surf}$ is large (i.e. >3.5 μm; Figure 7). If it is assumed that the major contributor to supermicron absorption when $d_{p,surf}$ is large is dust then a value for the imaginary refractive index (k) for dust in this region is estimated from Mie theory. Assuming spherical particles with d_p = 3.5 μm with density = 2 g cm^{-3} and a real refractive index of either 1.5 or 1.6, the k is ~0.0035i. However, this estimate assumes that all of the PM_{super} mass is dust and that no other absorbing species contribute. If some of the PM_{super} mass is

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attributed to non-dust species, then the derived dust-specific *MAC* and *k* would be larger. Alternatively, if BC contributes substantially to the observed supermicron absorption, which seems likely, then the dust-specific *MAC* and *k* would be smaller. Most likely, the above values are upper-limits. Despite these uncertainties, the observed *MAC* and *k* are similar to reported estimates for dust in the Xianghe area in China, where MAC_{dust} = 0.048 m^2 g^{-1} at 520 nm and where the reported *MAC* has been adjusted to a density of 2.0 g cm^{-3} (Yang et al., 2009). Overall, although the contribution of supermicron particles to the total absorption is small in this region, it nonetheless must be considered.

3.1.3 Relationship between scattering and absorption

There has been increasing interest in the relationship between the absorption Ångstrom exponent and the scattering Ångstrom exponent (Yang et al., 2009; Russell et al., 2010; Bahadur et al., 2012; Giles et al., 2012; Cazorla et al., 2013; Costabile et al., 2013). The wide range of *SAE* values observed here allows for assessment of the *AAE* vs. *SAE* relationship in a constrained environment. The observed $AAE_{532\text{-}660}$ vs. $SAE_{450\text{-}550}$ relationships for PM_{10}, submicron and supermicron particles are shown in Figure 8a-c. The observed *AAE* values at both sites fall in a fairly narrow range centered around 1.2 for PM_{10} and submicron particles, with much greater scatter for supermicron particles, consistent with Figure 5. The submicron *SAE* values are >1.8 and the supermicron *SAE* values are generally <0.2, while the PM_{10} *SAE* values span the range 0.3 – 2. The wide range of *SAE* values for PM_{10} results from time-varying contributions of supermicron and submicron particles to the total scattering. Very similar results as shown in Figure 8 are obtained for both T0 and T1 when the other wavelength pairs are used (not shown).

Cazorla et al. (2013) proposed a classification scheme based on the position in the $AAE_{462\text{-}648}$ vs. $SAE_{450\text{-}700}$ space (c.f. their Figure 1). They classified particles with *AAE* < 1 and *SAE* > 1.5 as "EC dominated," where EC stands for elemental carbon (which is approximately equivalent to BC (Andreae and Gelencser, 2006; Lack et al., 2014)). Here, almost none of the observations fall in this space, despite the submicron absorption being dominated by black carbon. Instead, the submicron measurements fall primarily in the space encompassed by 1 < *AAE* < 1.5 and *SAE* > 2, which Cazorla et al. (2013) classified as an "EC/OC mixture" and where an implicit assumption was that the OC (organic carbon) was absorbing in nature (i.e. BrC), thus leading to the elevated *AAE* values compared to the "EC dominated" region. These *in situ* measurements therefore suggest that the "EC/OC mixture" region should better be classified as "EC dominated" (or equivalently "BC dominated"). These measurements indicate that BrC contributions to submicron absorption can only be clearly identified if the *AAE* is well-above 1.5. Given that almost none of the submicron *AAE* values were < 1, the suggestion by Bahadur et al. (2012) that a "low-end baseline" *AAE* value of 0.55 (± 0.24) that is related to "pure EC" seems unlikely to be correct

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and is more likely a result of a subset of the data points considered in that study having large uncertainties due to low signal levels. (Lower *AAE* values can be obtained if a wavelength pair is selected in which the wavelengths differ substantially and there is curvature in the b_{abs} vs. wavelength relationship (Bergstrom et al., 2007).) This conclusion is consistent with that of Russell et al. (2010) and with the *in situ* observations of Yang et al. (2009) and the remote sensing observations of Giles et al. (2012).

Cazorla et al. (2013) also classified particles having $1 < AAE < 1.5$ and $SAE < 1$ as being a "Dust/EC mix", and those with $AAE < 1$ and $SAE < 1$ as being "Coated large particles." As the supermicron contribution to scattering increases (and the *SAE* decreases), the observed *AAE* values, at T1 especially, do not strongly deviate from the 1-1.5 range. The supermicron particles sampled here were a mixture of sea spray and dust in varying amounts. This therefore suggests that the "Dust/EC mix" regime should be reclassified to be more general, as it is not specific to "dust," only to "large particle/BC mixtures." The measurements suggest that dust contributions can only be clearly elucidated when the $AAE > 1.5$, although even when such large *AAE* values are observed care must be taken if the absolute absorption values are small (as is the case here for supermicron particles), corresponding to individual *AAE* values with substantial uncertainties. Similar caution is suggested for identification of particles in the "Coated large particle" regime, as classified by Cazorla et al. (2013). The *in situ* measurements here suggest that observations that fall within this regime are likely the result of measurement uncertainties due to low signal levels, and do not correspond to the presence of "Coated large particles." Based on the observations here, a new classification scheme using the *AAE* and *SAE* relationship is proposed (Figure 8d).

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3.1.3 Light backscattering

The extent to which particles scatter light in the backward versus forward direction has an important controlling influence on their climate impacts, namely the amount of incident solar radiation that is reflected back to space and the associated radiative forcing (Haywood and Shine, 1995). Furthermore, the backscatter fraction and asymmetry parameter are important products of remote sensing retrievals. The backscatter fractions at 550 nm for PM_{10}, $f_{bsca,550,PM10}$, measured by the nephelometer ranged from 0.1 to 0.23, with an average value of 0.137 ± 0.024 for T0 and 0.155 ± 0.054 for T1. These correspond to a g_{sca} range for PM_{10} of 0.40 to 0.67 and mean values of 0.57 ± 0.056 for T0 and 0.53 ± 0.054 for T1. This range of observed values is comparable to measurements made at other locations (Andrews et al., 2006), but the averages are somewhat smaller than g_{sca} values calculated by Kassianov et al. (2012) at 500 nm for the T0 and T1 sites (both $g_{sca,500} = 0.65$). The observed g_{sca} versus $f_{sca,PM1}$ relationship is shown in Figure 9b,c for T0 and T1. There is a general decrease in g_{sca} when $f_{sca,PM1}$ increases at both sites, more clearly at T1 than at T0, but at both sites there is substantial scatter in the data. Some of this scatter appears to be driven

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by variations in the size of the submicron mode, as characterized by $d_{p,surf,PM1}$. In general, for a given $f_{sca,PM1}$ the observed g_{sca} values are smaller when $d_{p,surf,PM1}$ is smaller.

This observed behavior is generally consistent with theoretical expectations. The theoretical relationship between g_{sca} and particle size for spherical particles is shown in Figure 9a. The calculated g_{sca} increases nearly monotonically for diameters up to about 500 nm, reaching g_{sca} ~0.75. In the supermicron range above 1.5 μm the g_{sca} is relatively constant around 0.75. In between 500 nm and 1.5 μm, the g_{sca} exhibits a more complicated dependence on size. The steepness of the g_{sca} versus d_p relationship between 100 and 500 nm means that the observed g_{sca} for PM_{10} will be particularly sensitive to variations in the submicron particle size distribution. However, the g_{sca} will be less sensitive to variations in the supermicron particle size distribution because the d_p versus g_{sca} relationship is generally flatter. Further, we might expect some relationship between g_{sca} and the fraction of scattering due to sub- or supermicron particles to the extent that the two size regimes have generally distinct g_{sca} values. Indeed, such behavior is seen in the observations, in large part because the $d_{p,surf,PM1}$ values vary within the sensitive range (100-500 nm).

Therefore, in an effort to account for this apparent co-dependence of g_{sca} on $f_{sca,PM1}$ and $d_{p,surf,PM1}$, the $f_{sca,PM1}$ values have been divided by the $d_{p,surf,PM1}$ values, with the ratio indicated as R_g. There is, in general, a much stronger relationship between the g_{sca} values and R_g (Figure 9d,e) than there is with $f_{sca,PM1}$ alone, and much of the residual scatter seems to be driven by variations in the supermicron size distribution. Linear fits give $g_{sca} = -38.5R_g + 0.66$ for T0 ($r^2 = 0.51$) and $g_{sca} = -51.5R_g + 0.66$ for T1 ($r^2 = 0.71$), and where $d_{p,surf,PM1}$ is in nm. Overall, the observations here demonstrate that the observable backscatter coefficients depend importantly on the relative contributions of sub- versus supermicron particles to the total scattering, but that the specific relationship between backscatter and the sub- or supermicron scattering fraction is obscured by variations in the size distribution within each size range. However, the greatest sensitivity of g_{sca} is found for size variations within the submicron size range.

3.2 Influence of heating on optical properties

At the T0 site, the UCD CRD and PAS instruments sampled alternately dried, ambient particles ($PM_{2.5}$) or particles that had been passed through a thermodenuder (TD) that was held at 225 °C during the study. As particles pass through the TD, some materials evaporate, including ammonium nitrate, ammonium sulfate and many organics, while others do not, including black carbon, dust and sea salt. Loss of these materials leads to changes in the optical properties, including the particle optically-weighted

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hygroscopicity. The influence of heating on the optical properties is used here to further probe the particle composition.

The observed fraction of extinction remaining after heating, $f_{ext,TD}$, for $PM_{2.5}$ varied from ~0.15 to 0.6, suggesting a wide range of particle volatility. This variability is strongly linked to the relative contribution of sub- versus supermicron particles to the observed extinction; an approximately linear relationship (with a negative slope) between $f_{ext,TD}$ and the *EAE* measured for the ambient particles was observed (Figure 10). This increase in $f_{ext,TD}$ with decreasing *EAE* suggests that the supermicron components are mostly non-volatile, consistent with a likely dust or sea salt contribution as identified above. Further, this suggests that $f_{ext,TD}$ can be used as an indicator of coarse mode fraction in the current study. There is a cluster of points at the highest $f_{ext,TD}$ (the light green points in Figure 10) that were observed during a specific overnight period when we suspect that the site was briefly impacted by large particles produced as part of local road resurfacing.

The bulk particle hygroscopicity, characterized by γ_{RH}, did not vary monotonically with $f_{ext,TD}$ (Figure 11a). This is because the observed hygroscopicity depends on compositional variability within both the sub- and supermicron modes (Atkinson et al., 2015). However, the change in the hygroscopicity upon heating, $\Delta\gamma_{RH} = \gamma_{RH,ambient} - \gamma_{RH,TD}$, does exhibit a clear correlation with $f_{ext,TD}$, with larger $\Delta\gamma_{RH}$ corresponding to smaller $f_{ext,TD}$, i.e. for smaller, typically more volatile particles (Figure 11b). The observed $\Delta\gamma_{RH}$ appear to cross over zero around $f_{ext,TD} = 0.4$. Apparently, for smaller particles that exhibit greater overall mass loss upon heating, the γ_{RH} tends to decrease with heating. This is as might be expected, since one key residual component will be non-hygroscopic BC when the distribution is dominated by smaller particles. However, when the distribution is dominated by larger particles, evaporation leads to the residual particles appearing, on average, slightly more hygroscopic. This suggests that the supermicron components that are susceptible to evaporation are lower-hygroscopicity material, most likely organics but also, potentially, inorganics such as ammonium sulfate and ammonium nitrate, which have lower hygroscopicity than sodium chloride. Some of the sea salt-containing particles observed during CARES were found to be internally mixed with organics (likely organic acids) that displaced chloride (Laskin et al., 2012), and organic salts are generally less hygroscopic than sea salt (Drozd et al., 2014). It is possible that these organics evaporated in the TD, leaving behind more hygroscopic material, although such a hypothesis requires further investigation. It is alternatively possible that the thermodenuding removed residual water that did not fully evaporate in the drier due to the presence of magnesium sea salts (which do not effloresce until very low RH), leading to an apparent increase in the hygroscopicity of the thermodenuded particles. However, the potential impact from this is expected to

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have been quite limited, given the small amount of residual water retained by magnesium salts at low RH (Gupta et al., 2015).

The contribution to the total light absorption from non-BC materials that evaporate in the TD was characterized by the absorption enhancement, E_{abs}, which is here taken as the ratio between the ambient and thermodenuded b_{abs}. We have previously investigated the dependence of E_{abs} on photochemical age at CARES using the same data set as is being considered here, and separately the dependence on the relative amount of "coating" (non-BC) material that is internally mixed with BC at the CalNex field study (Cappa et al., 2012). It was found that the E_{abs} increases by only a small amount as PCA and coating amount increased. Here, we see that E_{abs} exhibits some slight dependence on $f_{ext,TD}$, with somewhat larger values observed at smaller $f_{ext,TD}$ (Figure 11c,d). It is difficult to establish whether this dependence indicates that larger E_{abs} would have been observed in Cappa et al. (2012) for the CARES dataset had more material evaporated, but given that the $f_{ext,TD}$ here is determined predominately by changes in the relative contributions from sub- and supermicron particles this seems unlikely. (The complementary measurements from CalNex were for PM_1, not $PM_{2.5}$ as here, and thus the influence of supermicron particles on the observations was substantially smaller during that study. For reference, the CalNex campaign-average submicron *SAE* for the 450-550 nm pair was 2.1. Thus, the conclusions here for the CARES dataset are not necessarily applicable to the interpretation of the CalNex dataset.) Further, a fit of the mean binned values of E_{abs} extrapolated to $f_{ext,TD} = 0$ gives only $E_{abs} = 1.14$ (±0.02) and 1.29 (±0.06) at 532 nm and 405 nm, respectively, suggesting that substantially larger values than the observed range would not have been likely had a greater extent of evaporation been observed. The larger extrapolated value at 405 nm than at 532 nm is consistent with a small contribution from so-called "brown carbon," which has an absorption spectrum that strongly increases towards shorter wavelengths, to the observed absorption.

The particle single scatter albedo exhibits a non-monotonic dependence on $f_{ext,TD}$ at both 532 nm and 405 nm (Figure 11e,f). On average, the ambient SSA values are at a minimum of 0.85 around $f_{ext,TD}$ = 0.35. The SSA then increases at either larger or smaller $f_{ext,TD}$. The increase in SSA towards smaller $f_{ext,TD}$ likely reflects an increasing contribution of secondary aerosol species within the submicron mode (e.g. organics, ammonium sulfate, ammonium nitrate) relative to BC, leading the overall particulates to appear both more volatile and more scattering. Indeed, HR-AMS measurements indicate that secondary inorganic and organic species are dominant components of the submicron particles in the Sacramento and Sierra Nevada foothill region during CARES (Setyan et al., 2012; Shilling et al., 2013). The increase in SSA towards larger $f_{ext,TD}$ likely results from the increasing contribution of non-volatile sea salt and dust

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components within the supermicron mode that are either non- or very weakly absorbing. Looking at the change in SSA upon heating in the TD, ΔSSA, there is a clear increase in ΔSSA with decreasing $f_{ext,TD}$ (Figure 11g,h). This is as expected because if material does not evaporate then no change in SSA should be observed. The ΔSSA linearly extrapolated to $f_{ext,TD} = 0$ is 0.46 (±0.02) and 0.50 (±0.02) at 532 nm and 405 nm, respectively, corresponding to absolute extrapolated SSA values of ~0.4 given the observed ambient particle SSA values. (Linear extrapolation to zero is not fully justifiable because the $f_{ext,TD}$ cannot go to zero if there is some BC around or if there are other non-volatile materials and because there appears to be some flattening off in the ΔSSA values at smaller $f_{ext,TD}$. Nonetheless, it can provide an estimate in the limit of small BC contributions.) These extrapolated SSA values are relatively large compared to some laboratory observations for "fresh" BC particles that are produced, for example, from flames (Cross et al., 2010) or gasoline or diesel vehicles (Schnaiter et al., 2005; Forestieri et al., 2013) and that have little intrinsic organic material, but slightly smaller than that reported by one other laboratory study on flame-generated soot (Radney et al., 2014). Primary emitted BC has a fractal-like structure that is thought to collapse over time through atmospheric ageing processes. This change in shape due to collapse is thought to lead to an increase in the SSA, separate from any contributions from scattering coating materials (Chakrabarty et al., 2014). That the extrapolated SSA values are larger than many of the laboratory studies on fresh BC suggests that the sampled particles were somewhat collapsed compared to their emitted state. However, if non-absorbing and non-volatile materials remained (e.g. sea salt), then the extrapolated SSA would not be fully representative of pure BC particles, confounding straightforward interpretation in terms of morphological changes.

For the period where the T0 site was impacted by particles emitted from local road surfacing activities (e.g. asphalt), the ambient SSA values are small and the ΔSSA values deviate from the general relationship observed for other periods. In fact, the absolute SSA measured for thermodenuded particles during this particular period are around zero at 405 nm but ~0.2 at 532 nm. Such very small SSA values suggest that absorption is dominated by very small particles, or at least particles that are agglomerates of very small spherules; the surface area-weighted size distribution measured during this period peaked around 300 nm diameter and the SP2 BC particle size distributions clearly indicate that the overall BC particle size was larger during the asphalt-impacted period (Figure S11), suggesting that agglomerates of small spherules is most plausible. We cannot entirely rule out the possibility that the measurements during this period were strongly impacted by some absorbing gas-phase species (e.g. NO_2), confounding the SSA measurements, although there was no evidence of gas-phase absorption in the background CRD channels during this period suggesting that this is unlikely.

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4 Conclusions

Optical property measurements of PM_1, $PM_{2.5}$ and PM_{10} made during the CARES 2010 field study have been examined to develop understanding of the relationships between various intensive properties and to establish differences in behavior between sub- and supermicron PM. Measurements were made at two sites in the Sacramento region, one urban (T0) and one more rural (T1) but impacted by the urban outflow on most days under southwesterly flow conditions (Fast et al., 2012). At both sites, there is a strong contribution of supermicron particles to the total scattering, averaging around 50% at both sites. The source of these supermicron particles appears to be a combination of local dust and sea spray, along with some contributions from penetration of traditionally submicron particles into the supermicron mode. The specific contributions of any of these supermicron particle sources varies with time and depends on the prevailing transport patterns with, perhaps not surprisingly, generally larger sea spray contributions when air masses have been transported from the San Francisco Bay Area. The measured scattering Ångstrom exponents (*SAE*) for PM_{10} are strongly correlated with the submicron versus supermicron fraction of the total scattering, with similar linear relationships observed at both sites. This relationship held despite there being variations in the size distributions within a given mode, which can theoretically alter the *SAE*. This suggests that the relationships determined here are quite general, and that the *SAE* can be used to quantitatively attribute scattering to sub- and supermicron particles. There was no notable dependence of the absorption Ångstrom exponent (*AAE*) on *SAE* for PM_{10}, and these observations were used to propose an updated particle classification scheme based on the relationship between these two parameters.

The influence of photochemical processing on the sub- versus supermicron contribution to scattering differed between the two sites, with photochemical processing leading to an increase in the submicron fraction of scattering for the T0 (urban) site but minimal change, or even a slight decrease, at the T1 (downwind) site. This reflects in part the strong daytime peak in photochemical age at the T0 site in contrast to the more gradual increase at the T1 site, coupled with the much stronger diurnal profile in the wind speed, with a daytime peak, at the T1 site. Consequently, at the T1 site, photochemical production of secondary PM was spread over a wider range of times due to transport and was countered through local, temporally similar increases in dust production due to the higher daytime winds. At the T0 site, the strong photochemical production of secondary PM led to a clear increase in the submicron fraction of scattering with photochemical ageing.

The mass scattering coefficient for the supermicron particles varied inversely with the median surface-weighted particle diameter ($d_{p,surf}$) of the supermicron mode, in general accordance with

theoretical expectations. This indicates clear temporal variability in the nature of the supermicron particle sources, which seem to be coupled to the prevailing wind direction or air mass history, as established through consideration of back trajectories. Light absorption was dominated by submicron particles, although there was some contribution from the supermicron particles. The mass absorption coefficient for supermicron particles exhibited a clear dependence on the supermicron $d_{p,surf}$, most likely due to variations in the relative contributions of non-absorbing sea spray particles, penetration of BC from the submicron mode, and very weak absorption by supermicron dust particles. Particle backscatter was found to be related to the relative fractions of sub- versus supermicron scattering, but with an additional sensitivity to variations in the size distribution within the submicron size range. The susceptibility of the particles to heating in a thermodenuder depended explicitly on the contribution of supermicron particles to the $PM_{2.5}$ extinction, most likely because a large fraction of the supermicron particles were either essentially non-volatile sea spray or dust particles. Heating in general led to an increase in the average particle hygroscopicity and a decrease in the single scatter albedo. These together indicate that the residual particles are likely a combination of absorbing submicron BC and somewhat hygroscopic supermicron sea spray and less hygroscopic dust. The results presented here demonstrate that optical property measurements can be used to assess likely chemical differences in the contributing particle types, and thus to identify key PM sources.

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1215 **Table 1.** Table of instrumentation.

Instrument	Property Measured	Site
UCD Photoacoustic Spectrometer (PAS)[a]	Dry $PM_{2.5}$ light absorption at 405 nm and 532 nm	T0
UCD Cavity Ringdown Spectrometer (CRDS)[a]	Dry $PM_{2.5}$ light extinction at 405 nm and 532 nm; humidified particle extinction at 532 nm	T0
Particle Soot Absorption Photometer (PSAP)[b]	Dry PM_1 and PM_{10} light absorption at 470, 532 and 660 nm	T0, T1
Nephelometer[b]	Dry PM_1 and PM_{10} light scattering at 450, 550 and 700 nm	T0, T1
Aerodyne High Resolution Time of Flight Aerosol Mass Spectrometer (HR-ToF-AMS)	Non-refractory PM_1 composition (NR-PM_1); Organic aerosol types through positive matrix factor analysis	T0, T1
PNNL Single Particle Laser Ablation Time of Flight Mass Spectrometer (SPLAT-II)	Single particle composition and identification for $PM_{0.05}$-PM_2 (optimized for $PM_{0.1\text{-}0.6}$)	T0
Particle Ablation Laser-desorption Mass Spectrometer (PALMS)	Single particle composition and identification for $PM_{0.15}$-PM_2	T1
Single Particle Soot Photometer (SP2)	Refractory black carbon (rBC) number and mass concentration and size distributions	T0, T1
Scanning mobility particle sizer (SMPS)	PM_1 particle mobility size distributions	T0, T1
Aerodynamic particle sizer (APS)	$PM_{0.7}$-PM_{10} aerodynamic size distributions	T0, T1
NO_x chemiluminescence	NO + NO_2 (gas-phase)	T0
NO_y by thermal conversion and chemiluminescence	Nitrogen oxides (NO + NO_2 + HNO_3 + alkyl nitrates + peroxy nitrates)	T0
Proton Transfer Reaction Mass Spectrometer (PTR-MS)	Concentrations of select volatile organic compounds (specifically, benzene and toluene)	T0, T1

[a]These instruments sampled either ambient particles or particles that had been thermodenuded at 225 °C, switching on a 2.5 or 5 minute cycle
[b] These instruments alternately sampled PM_1 or PM_{10} on a 6 minute cycle

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Table 2. Campaign average optical properties at T0 and T1 for submicron and supermicron particles.

	T0			T1	
Property	PM_1	$PM_{2.5}$	supermicron[+]	PM_1	supermicron[+]
$b_{sca,450}$	12.9 Mm^{-1}		10.7 Mm^{-1}	12.7 Mm^{-1}	6.6 Mm^{-1}
$b_{sca,550}$	7.9 Mm^{-1}		10.9 Mm^{-1}	7.6 Mm^{-1}	6.8 Mm^{-1}
$b_{sca,700}$	4.2 Mm^{-1}		10.9 Mm^{-1}	3.4 Mm^{-1}	6.8 Mm^{-1}
$b_{abs,470}$	2.3 Mm^{-1}		0.31 Mm^{-1}	1.45 Mm^{-1}	0.26 Mm^{-1}
$b_{abs,530}$	1.9 Mm^{-1}		0.25 Mm^{-1}	1.25 Mm^{-1}	0.20 Mm^{-1}
$b_{abs,660}$	1.5 Mm^{-1}		0.19 Mm^{-1}	0.95 Mm^{-1}	0.14 Mm^{-1}
$b_{ext,405}$		27.0 Mm^{-1}			
$b_{ext,532}$		18.0 Mm^{-1}			
$b_{abs,405}$		2.8 Mm^{-1}			
$b_{abs,532}$		2.1 Mm^{-1}			
$AAE_{470\text{-}532}$[#]	1.21 ± 0.18		1.93 ± 0.83	1.22 ± 0.33	2.03 ± 1.04
$AAE_{470\text{-}660}$[#]	1.17 ± 0.11		1.54 ± 0.50	1.28 ± 0.22	1.76 ± 0.69
$AAE_{532\text{-}660}$[#]	1.15 ± 0.12		1.30 ± 0.52	1.28 ± 0.21	1.68 ± 0.77
$AAE_{405\text{-}532}$[#]		1.3 ± 0.9			
$SAE_{450\text{-}550}$[*]	2.42 ± 0.38		-0.13 ± 0.31	2.58 ± 0.27	-0.15 ± 0.34
$EAE_{405\text{-}532}$[*]		1.53 ± 0.5			

[+] Values for supermicron particles are calculated as the difference between PM_{10} and PM_1.
[#] The reported uncertainties were determined from fitting a histogram of the observed values to a Gaussian distribution and are the 1σ spread.
[*] The reported uncertainties are 1σ standard deviations.

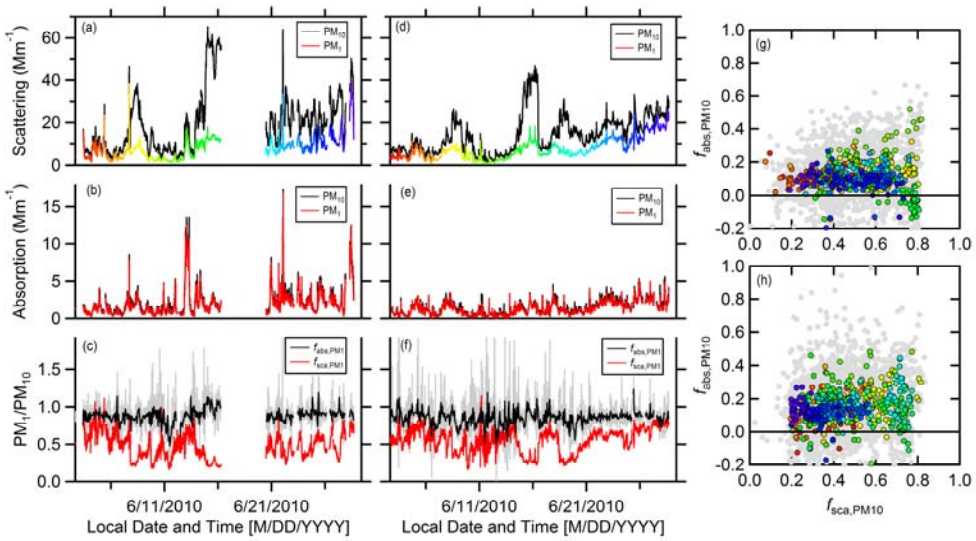

Figure 1. Time-series of PM_1 and PM_{10} scattering (a and d) and absorption (b and e) coefficients at 550 nm for T0 (left panels) and T1 (right panels). Values for PM_{10} are shown as black lines and for PM_1 as colored lines. The ratio between PM_1 and PM_{10} scattering (red) and absorption (black) are shown in panels c and f. (For absorption, the data have been further averaged to 1 hour; the higher time resolution data are shown as gray.) The co-variation between $f_{abs,PM10}$ and $f_{sca,PM10}$ for T0 (g) and T1 (h) are also shown. The 1-hr averaged points are colored according to time during the campaign, and correspond to the time-series in panels a and d; the gray points are the data at higher time-resolution.

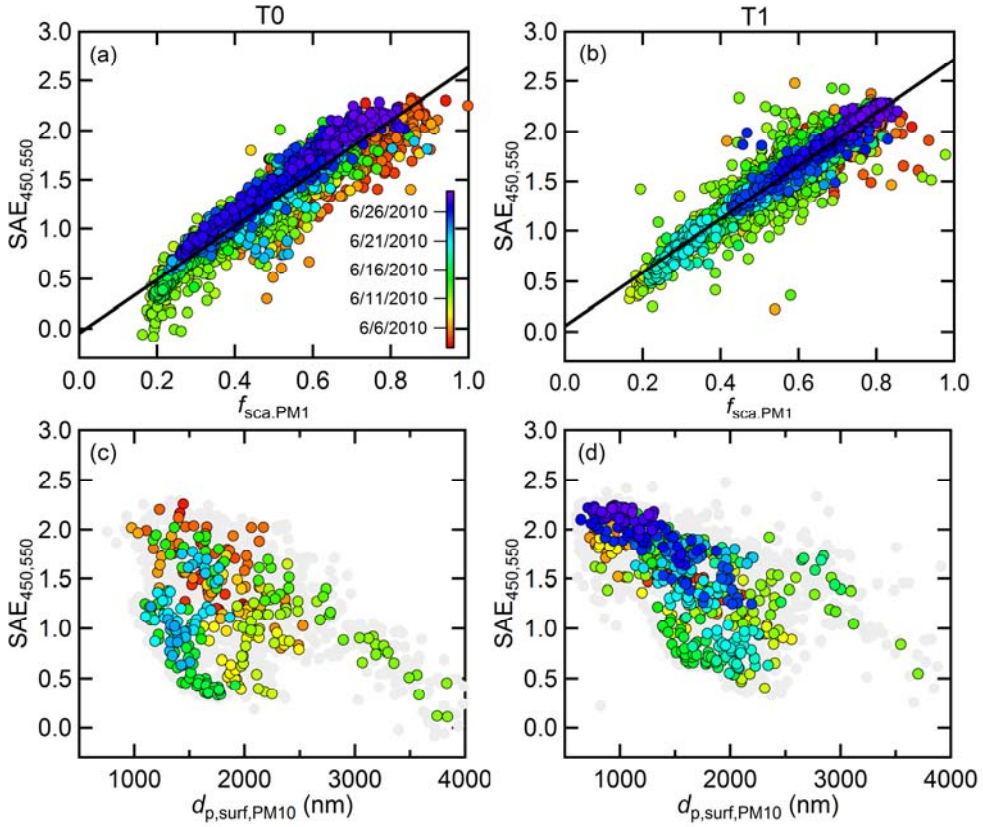

Figure 2. (a,b) The relationship between the PM_{10} scattering Ångstrom exponent for the 450-550 nm pairs and the $f_{sca,PM1}$ for both T0 (left panels) and T1 (right panels). (c,d) The relationship between the *SAE* and the median surface-weighted diameter for PM_{10}. The points in all graphs are colored according to time during the campaign (see legend). For panels (a,b) data at 10 min resolution are shown, while in panels (c,d) the colored points are for data averaged to 1 h and the gray points are for 10 min data. The fewer colored points in panel (c) is the result of a malfunction of the APS after 22 June 2010, which precludes calculation of $d_{p,surf,PM10}$.

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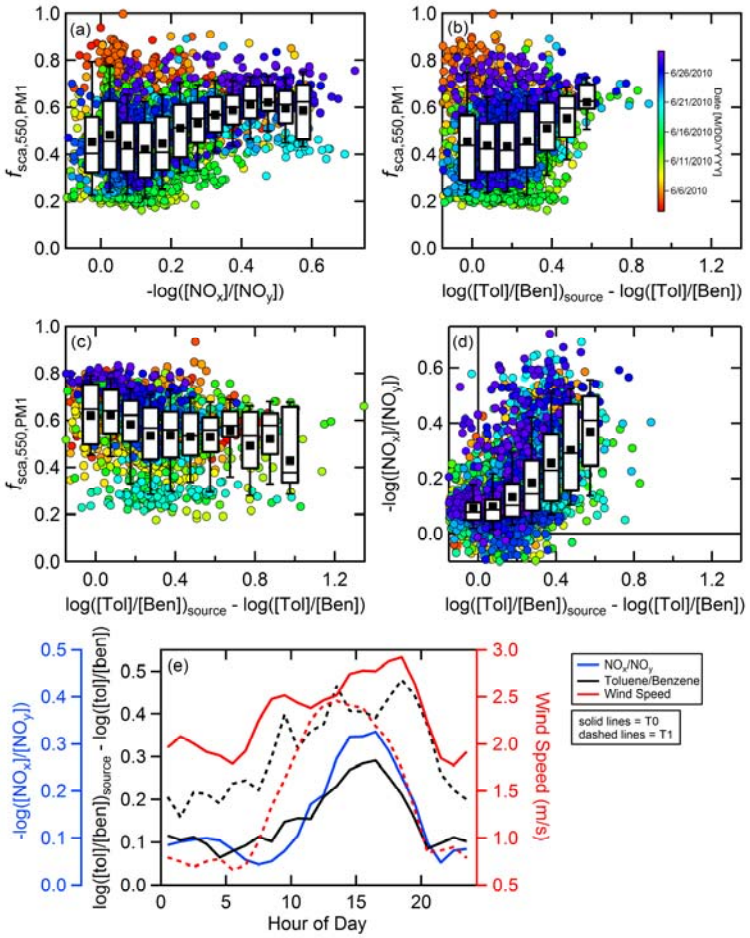

Figure 3. Submicron fraction of scattering for the T0 and T1 sites as a function of photochemical age proxies. Observations at T0 using the (a) NO_x/NO_y ratio and the (b) toluene/benzene ratio and at T1 for the (c) toluene/benzene ratio as the PCA proxy. Individual measurements (averaged to 10 minutes) are colored by time. (d) The relationship between the two PCA estimation methods at T0. (e) The diurnal variation in the PCA estimation methods and the measured wind speed for T0 (solid lines) and T1 (dashed lines). Box and whisker plots show the median (line), mean (square) upper and lower quartile (box) and 10th and 90th percentile (whiskers).

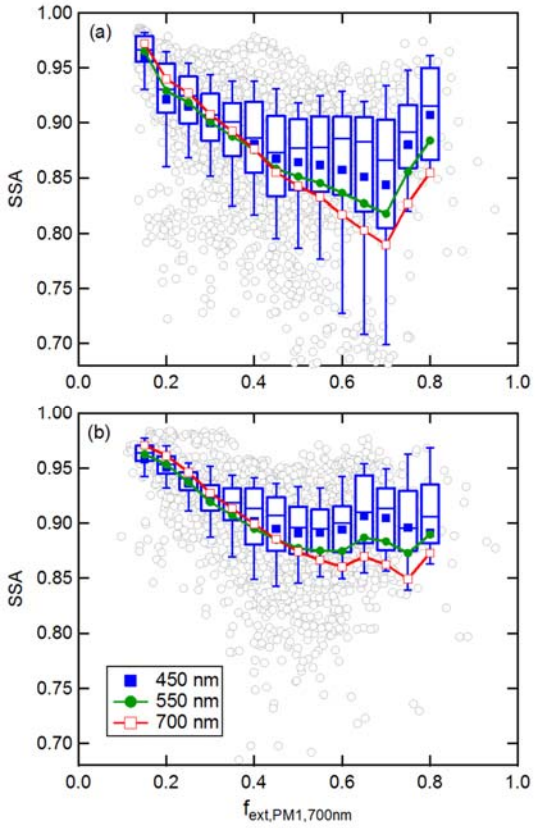

Figure 4. Relationship between the PM_{10} SSA and the PM_1 fraction of extinction at 700 nm, $f_{ext,PM1,700}$, for the (a) T0 and (b) T1 sites. The individual data points (gray, circles) are shown for the SSA_{PM10} at 450 nm along with a box-and-whisker plot binned by $f_{ext,PM1,700}$. For the SSA_{PM10} at 550 nm (green line) and 700 nm (red line) only the mean, binned values are shown.

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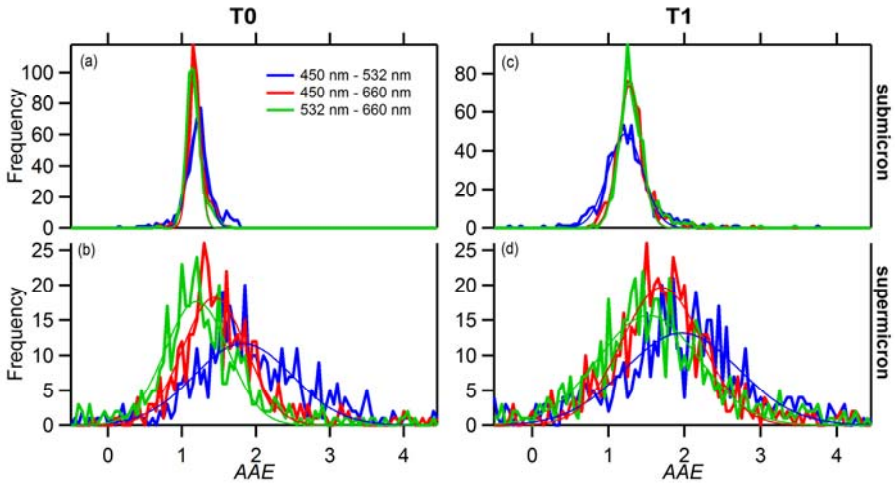

Figure 5. Histograms of the measured *AAE* values for various wavelength pairs for submicron (top; a and c) and supermicron (bottom; b and d) particles at the T0 (left) and T1 (right) sites. The different colors correspond to different wavelength pairs (see legend). The thick lines correspond to the observations while the thin lines show the results from fitting of the distributions to a Gaussian function.

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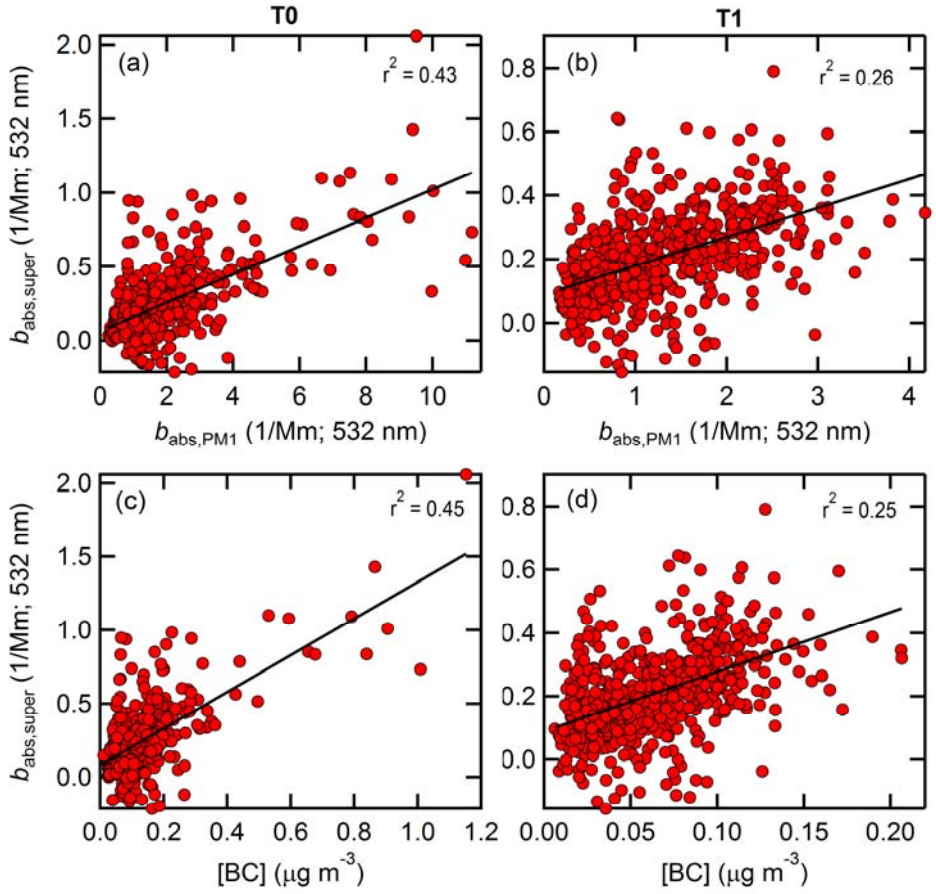

Figure 6. Co-variation of the supermicron absorption at T0 (left panels) and T1 (right panels) with the submicron absorption (top panels) and with black carbon concentration (bottom panels). Note that negative values of $b_{abs,super}$ result from this being derived from the difference between $b_{abs,PM10}$ and $b_{abs,PM1}$.

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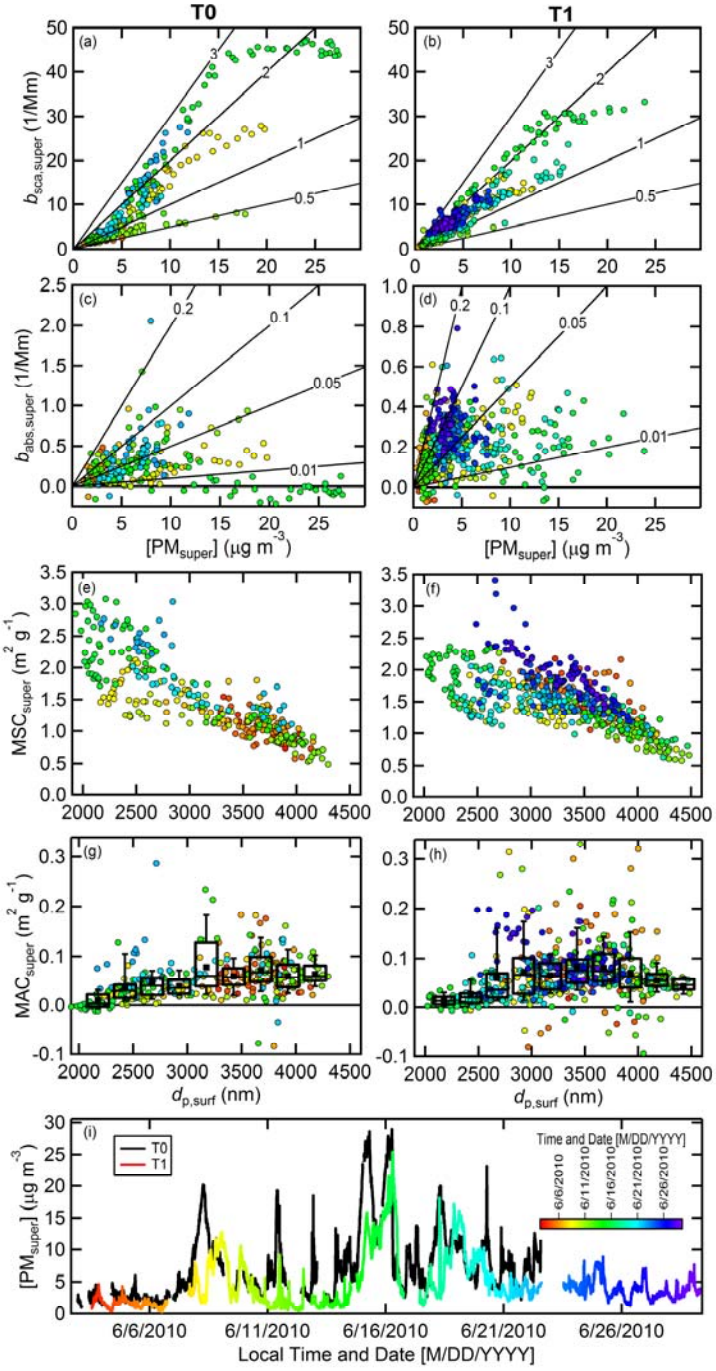
T0
T1
(a)
(b)
(c)
(d)
(e)
(f)
(g)
(h)
(i)
$b_{sca,super}$ (1/Mm)
$b_{abs,super}$ (1/Mm)
[PM_{super}] (μg m^{-3})
MSC_{super} (m^2 g^{-1})
MAC_{super} (m^2 g^{-1})
$d_{p,surf}$ (nm)
T0
T1
Time and Date [M/DD/YYYY]
6/6/2010
6/11/2010
6/16/2010
6/21/2010
6/26/2010
Local Time and Date [M/DD/YYYY]

Figure 7. (a,b) Scatter plot between $b_{sca,super}$ at 532 nm and [PM_{super}] for (left panels) T0 and (right panels) T1. The lines correspond to different *MSC* values (in $m^2\ g^{-1}$). (c,d) Scatter plot between $b_{abs,super}$ at 532 nm and [PM_{super}]. The lines correspond to different *MAC* values (in $m^2\ g^{-1}$). (e,f) The relationship between 1-hr average *MSC* values and the surface area weighted mean diameter, $d_{p,surf}$ at the two sites. (g,h) The relationship between 1-hr average *MAC* values and $d_{p,surf}$ at the two sites. The individual 1-hr average data points are shown overlaid by box-and-whisker plots showing the mean (■), median (-), lower and upper quartile (boxes), and 9th and 91st percentile (whiskers). The points in panels a-h are colored according to time, and correspond to the colors in the bottom figure and color scale. (i) Time series of the supermicron particle mass concentration for T0 and T1. T0 values are black lines, and T1 values are colored according to time.

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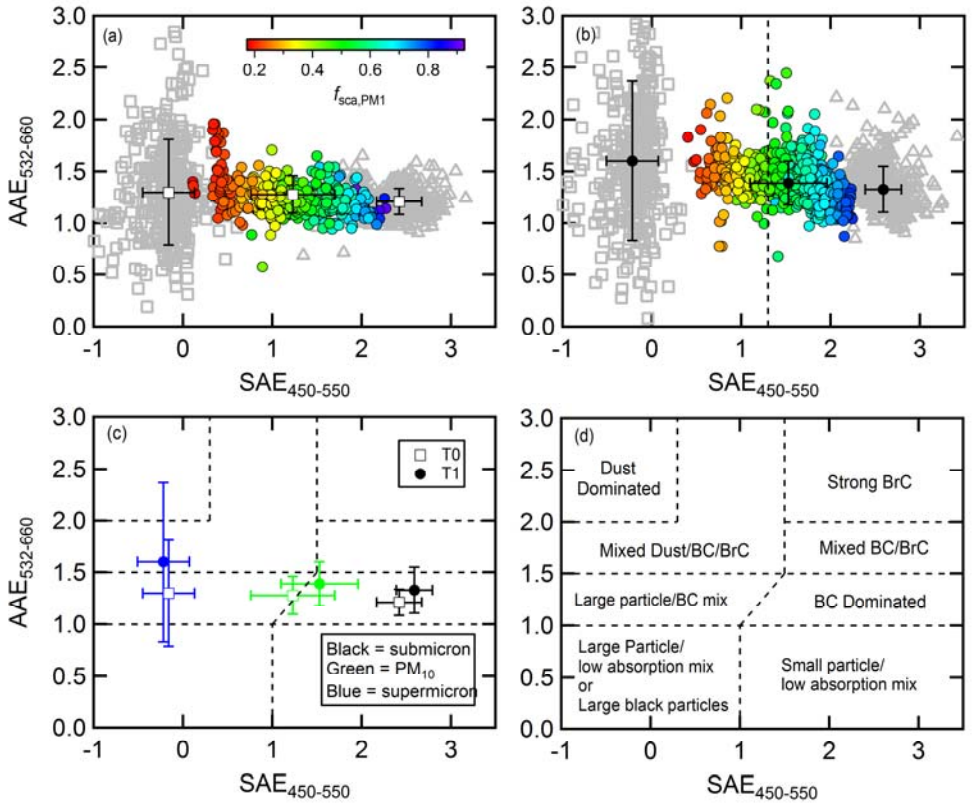

Figure 8. Observed relationship between the *AAE* (532-600 nm pair) and the *SAE* (450-550 nm pair) for PM_{10} (colored circles), submicron (open grey triangles) and supermicron (open grey squares) particles for the (a) T0 and (b) T1 sites. (c) Comparison between the PM_{10} (green), submicron (black) and supermicron (blue) particle averages between the T0 (filled circles) and T1 (open squares) sites. (d) An alternate classification scheme to that suggested by Cazorla et al. (2013).

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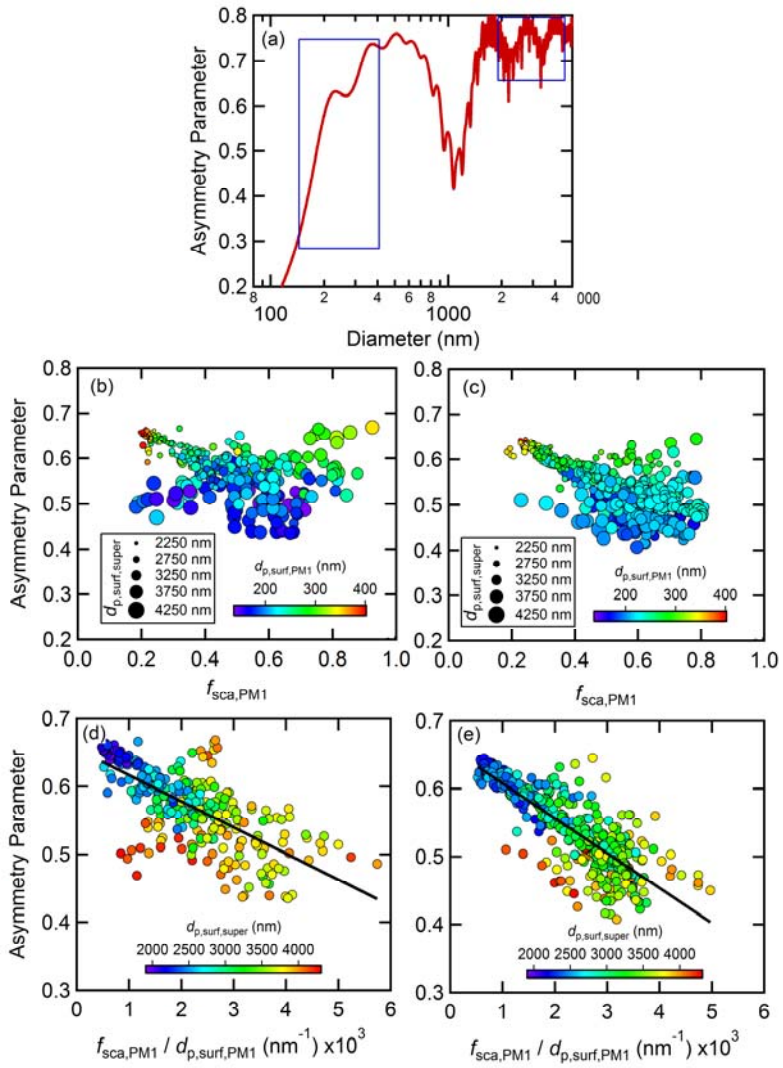

Figure 9. (a) Theoretical variation in the asymmetry parameter, g_{sca}, with particle diameter, assuming spherical particles with RI = 1.5 + 0.0*i*. The blue boxes indicate the range of $d_{p,surf}$ values observed for submicron and supermicron particles. (b,c) The observed dependence of g_{sca} on $f_{sca,PM1}$ for (b) T0 and (c) T1. The points are colored according to $d_{p,surf,PM1}$ and the point size corresponds to $d_{p,surf,super}$. (d,e) The relationship between g_{sca} and $R_g = f_{sca,PM1}/d_{p,surf,PM1}$ for (d) T0 and (e) T1. The points are colored according to $d_{p,surf,super}$.

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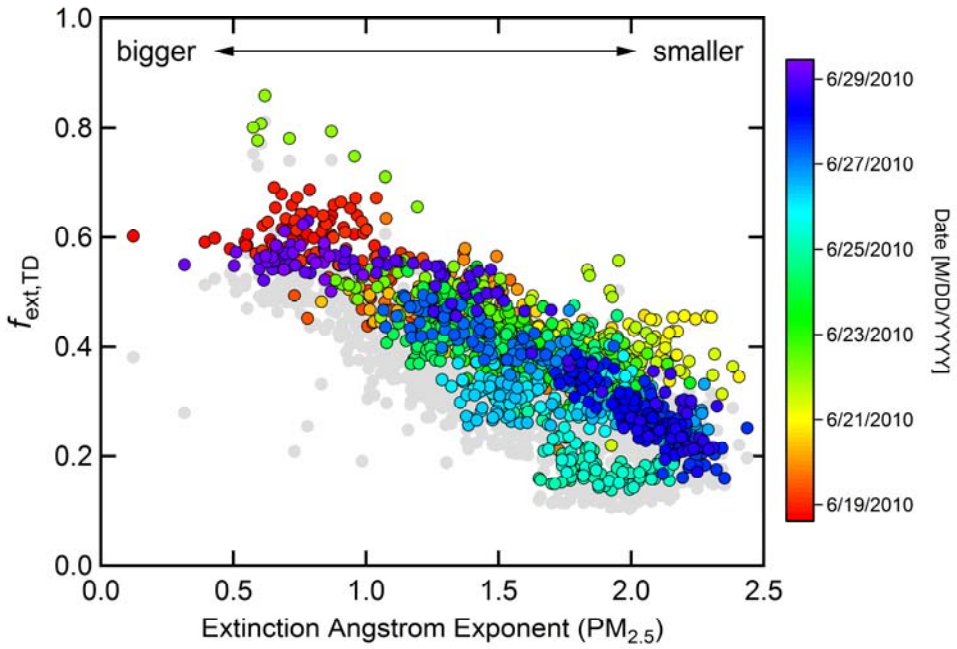

Figure 10. Variation of the extinction fraction remaining at 532 nm (colored points) and 405 nm (gray points) as a function of the observed ambient particle extinction Ångstrom exponent for $PM_{2.5}$. The points are colored by date.

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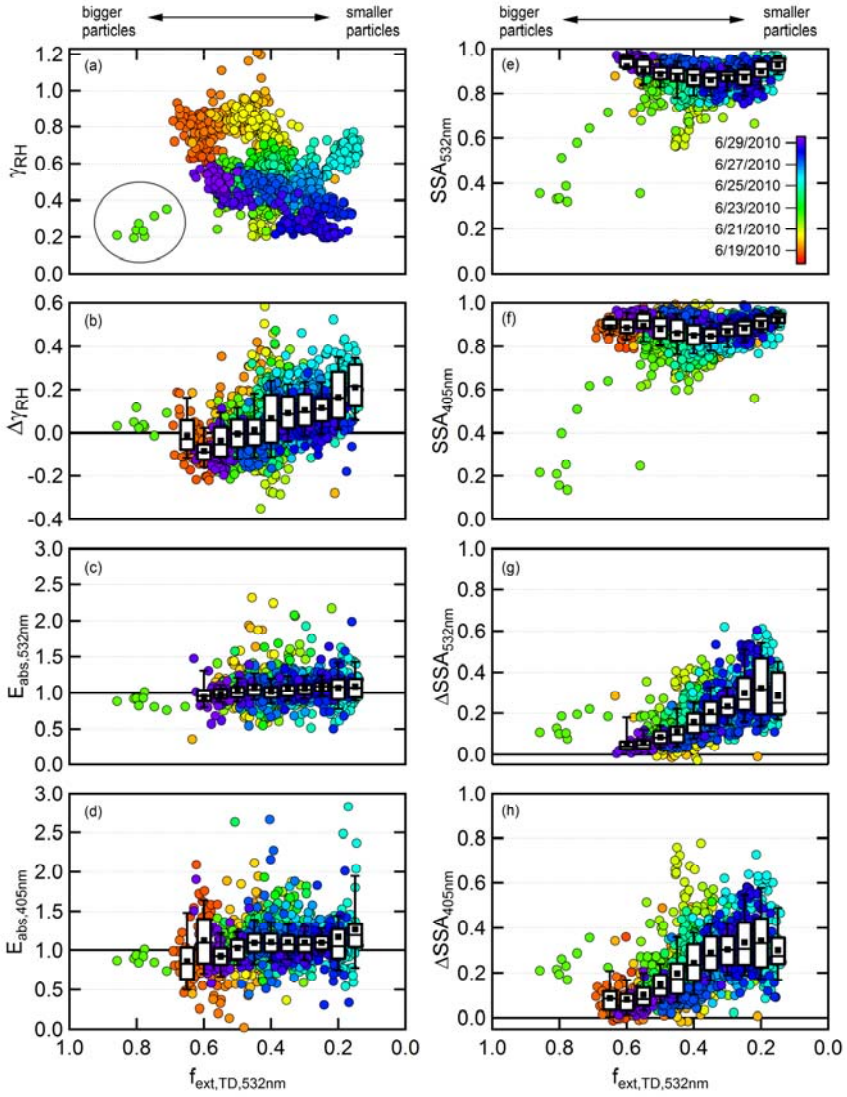

Figure 11. Dependence of various intensive parameters on the fraction of extinction remaining after heating in the thermodenuder. Data are shown for (a) the absolute γ_{RH}, (b) the change in γ_{RH} (c) the absorption enhancement at 532 nm, (d) the absorption enhancement at 405 nm, (e) the SSA at 532 nm, (f) the SSA at 405 nm, (g) the change in SSA at 532 nm and (h) the change in SSA at 405 nm. The points are colored by time. The circled points in (a) show the period that was impacted by local road resurfacing activities.

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The Supplemental Material contains three tables (Table S1-S3) and eleven figures (Figure S1-S11) to provide additional information about and support for the results presented in the manuscript main text.

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Table S1. Summary of abbreviations and symbols used in this work.

Symbol	Definition
PCA_{NOx}	Average photochemical age of the air mass
PCA_{HC}	Average photochemical age of the air mass
PM_1	Particulate matter with aerodynamic diameter < 1 µm
PM_{10}	Particulate matter with aerodynamic diameter < 10 µm
PM_{super}	Particulate matter with aerodynamic diameter between 1 and 10 µm
$b_{sca,super}$	Scattering attributed to particles between 1 and 10 µm
$b_{abs,super}$	Absorption attributed to particles between 1 and 10 µm
$f_{sca,PM1}$	Fraction of scattering from particles < 1 µm diameter (submicron)
$f_{abs,PM1}$	Fraction of absorbing from particles < 1 µm diameter (submicron)
$f_{sca,super}$	Fraction of scattering from particles between 1 and 10 µm diameter
$f_{abs,super}$	Fraction of absorbing from particles between 1 and 10 µm diameter
$AAE_{\lambda1,\lambda2}$	Absorbing Ångstrom exponent from λ1 and λ2
AAE_{PM1}	Absorption Ångstrom exponent for particles < 1 µm diameter (submicron)
AAE_{PM10}	Absorption Ångstrom exponent for particles < 10 µm diameter
ΔAAE_{10-1}	Absorption Ångstrom exponent for particles from 1 to 10 µm diameter
ΔAAE_{amb-TD}	Difference in AAE between ambient and thermodenuded states
$SAE_{\lambda1,\lambda2}$	Scattering Ångstrom exponent from a given wavelength pair (λ1 and λ2)
SAE_{PM1}	Scattering Ångstrom exponent for particles < 1 µm diameter (submicron)
SAE_{PM10}	Scattering Ångstrom exponent for particles < 10 µm diameter
ΔSAE_{10-1}	Difference in scattering Ångstrom exponent for particles from 1 to 10 µm diameter
EAE_{PM10}	Extinction Ångstrom exponent for particles < 10 µm diamete
EAE_{PM10}-50	EAE_{PM10} value where $f_{sca,PM1} = 0.5$
SSA	Single scatter albedo – fraction of extinction due to scattering
f_{bsca}	Fraction of light that is backscattered
g_{sca}	Asymmetry parameter
$f_{ext,TD}$	Fraction of extinction remaining in the thermodenuder
γ_{RH}	Hygroscopicity
$\Delta\gamma_{RH}$	Difference in hygroscopicity between undenuded and denuded
MAC_{PM1}	Mass absorption coefficient for particles < 1 µm diameter
MAC_{PM10}	Mass absorption coefficient for particles < 10 µm diameter
MAC_{super}	Mass absorption coefficient for particles from 1 to 10 µm diameter
MSC_{PM1}	Mass scattering coefficient for particles < 1 µm diameter
MSC_{PM10}	Mass scattering coefficient for particles < 10 µm diameter
MSC_{super}	Mass scattering coefficient for particles from 1 to 10 µm diameter
E_{abs}	Absorption enhancement
AOD	Aerosol Optical Depth
FMF	Fine mode fraction of extinction

Table S2. Results from linear fitting of SAE_{PM10} versus $f_{sca,PM1}$.

Wavelength Pair SAE_{PM10}	Wavelength $f_{sca,PM1}$ (nm)	Slope*	Intercept#
450-550	450	2.65	-0.34
450-550	550	2.69	-0.05
450-550	700	2.81	0.27
450-700	450	2.63	-0.41
450-700	550	2.70	-0.14
450-700	700	2.92	0.15
550-700	450	2.62	-0.47
550-700	550	2.71	-0.21
550-700	700	3.01	0.05
	T1		
450-550	450	2.62	-0.24
450-550	550	2.66	-0.06
450-550	700	2.76	0.40
450-700	450	2.69	-0.42
450-700	550	2.76	-0.14
450-700	700	2.97	0.18
550-700	450	2.77	-0.59
550-700	550	2.85	-0.31
550-700	700	3.15	-0.02
550-700	700	3.01	0.05

* The fit uncertainties were typically < 0.02, which is much smaller than the experimental uncertainty.
# The fit uncertainties were typically < 0.01, which is much smaller than the experimental uncertainty.

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Table S3. Summary of the EAE_{PM10}-50 values dependence on the wavelength pairs used. See Figure S6 for the relevant observations.

EAE_{PM10} Wavelength Pair (nm)	$f_{ext,PM1}$ Wavelength (nm)	EAE_{PM10}-50
450,550	450	0.92
450,550	550	1.15
450,550	700	1.42
450,700	450	0.85
450,700	550	1.09
450,700	700	1.37
550,700	450	0.79
550,700	550	1.03
550,700	700	1.32

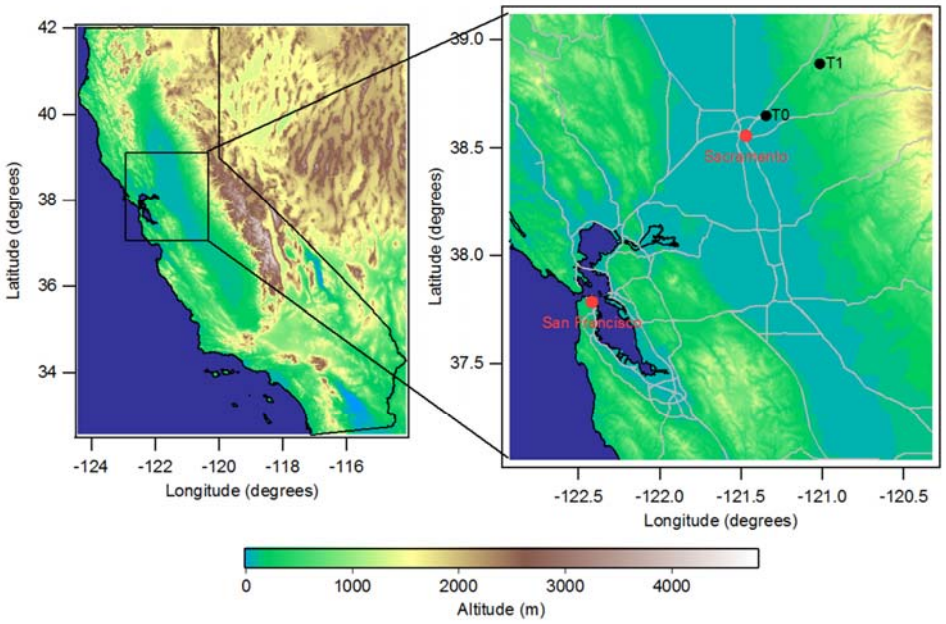

Figure S1. (left) A map of California, showing the general measurement location. (right) A closer-up view of the two observational sites, T0 near Sacramento, CA and T1 near Cool, CA. The gray lines show the main interstate and highway network. Dark blue areas indicate water.

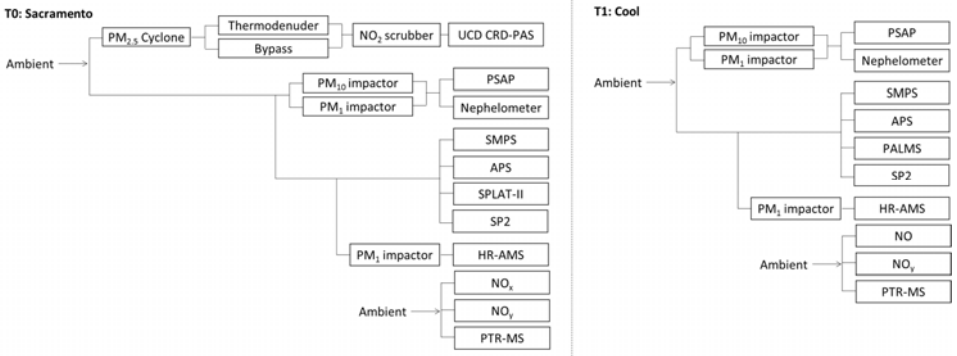

Figure S2. Schematic of the sampling scheme during CARES for the (left) T0 site in Sacramento and (right) T1 site in Cool.

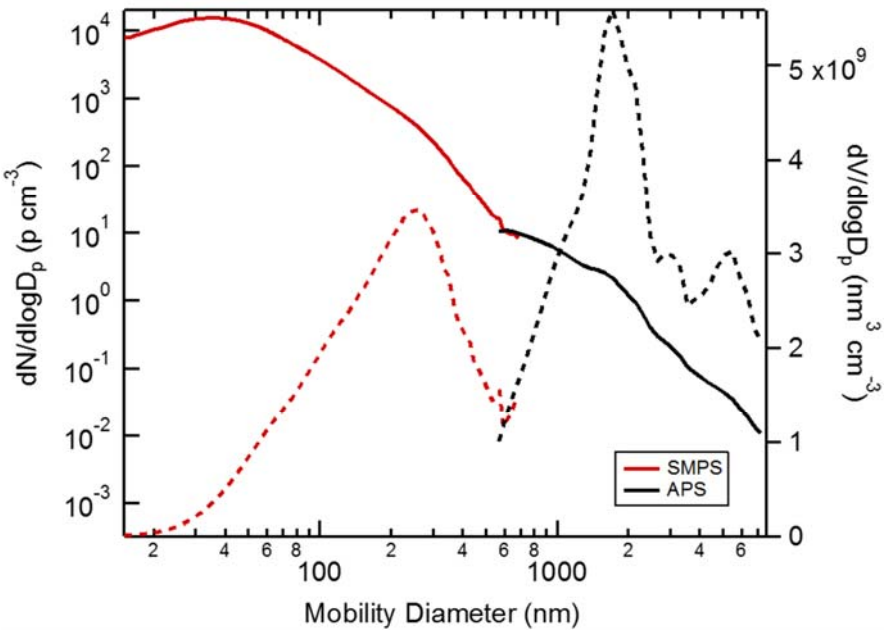

Figure S3. Merged campaign-average mobility-equivalent size distribution for the T0 site showing the measurements made using the SMPS (red) and APS (black). The APS aerodynamic diameters were adjusted to mobility-equivalent diameters assuming a material density of 2.0 g cm^{-3}. The number-weighted distribution is shown as solid lines and the volume-weighted size distribution as dashed lines.

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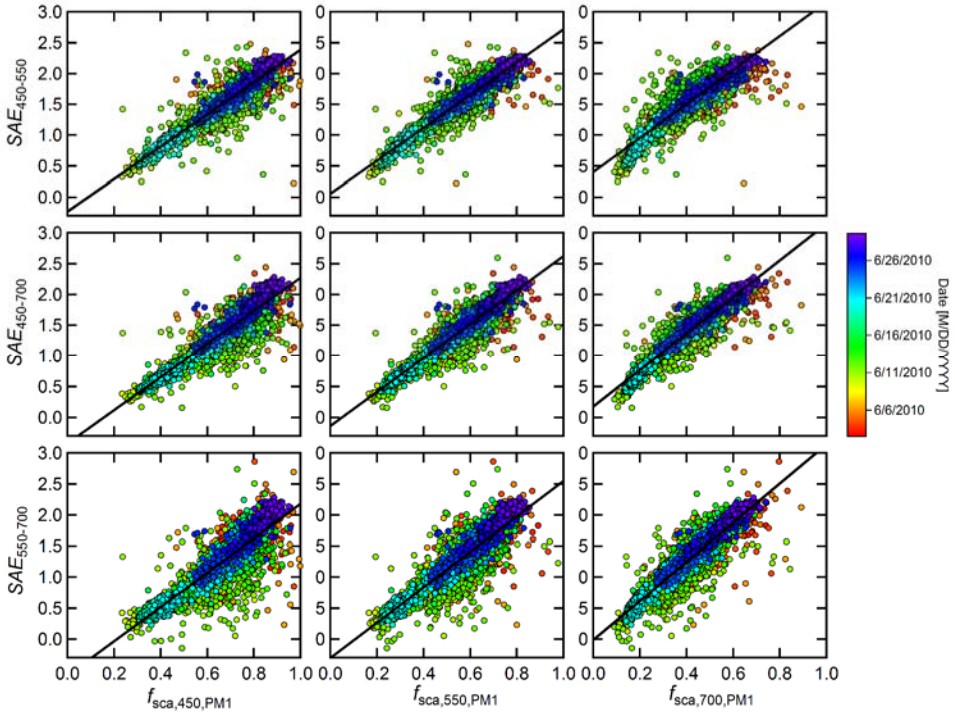

Figure S4. The relationship between the scattering Ångstrom exponent for PM_{10} for different wavelength pairs and the PM_1/PM_{10} scattering ratio ($f_{sca,PM1}$) at different wavelengths for the T0 site. The points are colored according to time during the campaign. Slope and intercept values from the linear fits (black lines) are reported in Table S2.

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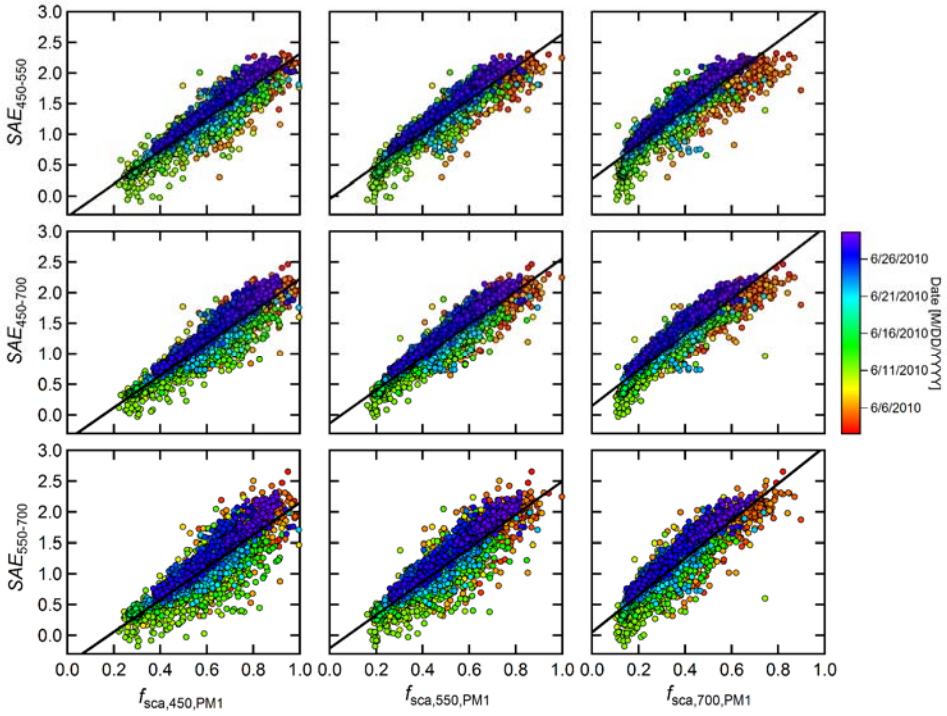

Figure S5. The relationship between the scattering Ångstrom exponent for PM_{10} for different wavelength pairs and the PM_1/PM_{10} scattering ratio ($f_{sca,PM1}$) at different wavelengths for the T1 site. The points are colored according to time during the campaign. Slope and intercept values from the linear fits (black lines) are reported in Table S2.

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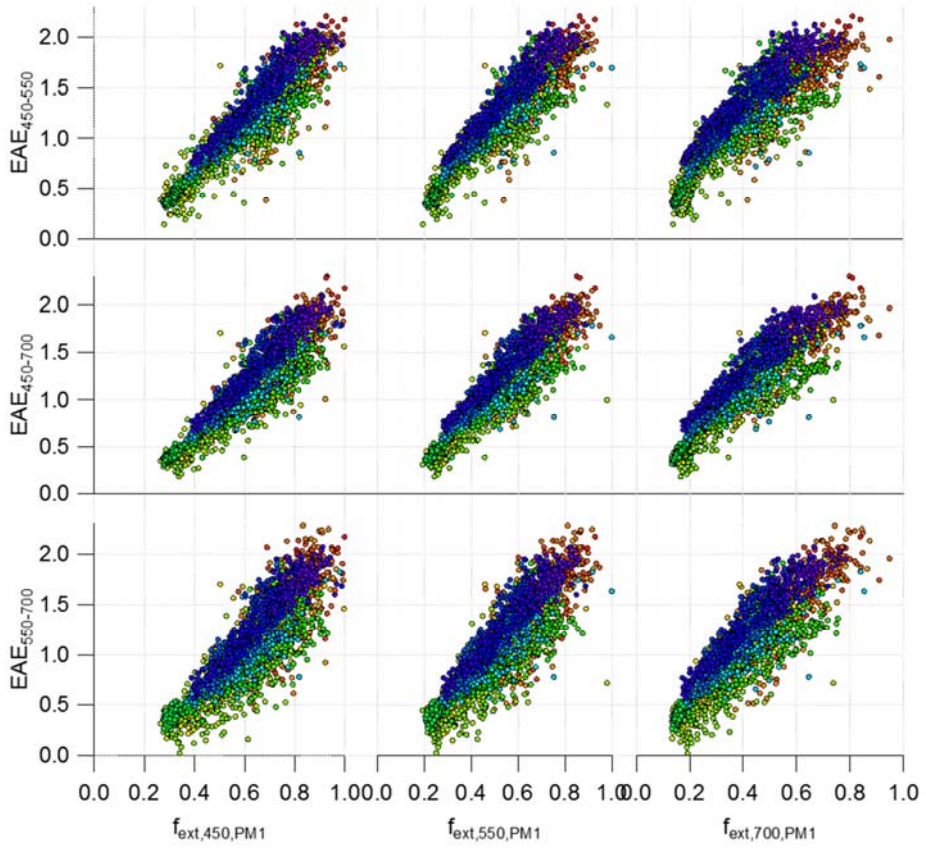

Figure S6. The relationship between the extinction Ångstrom exponent for PM_{10} for different wavelength pairs and the PM_1/PM_{10} extinction ratio ($f_{ext,PM1}$) at different wavelengths for the T0 site. The points are colored according to time during the campaign, as in Figure S4. The *EAE* values when $f_{ext,PM1} = 0.5$ are reported in Table S3.

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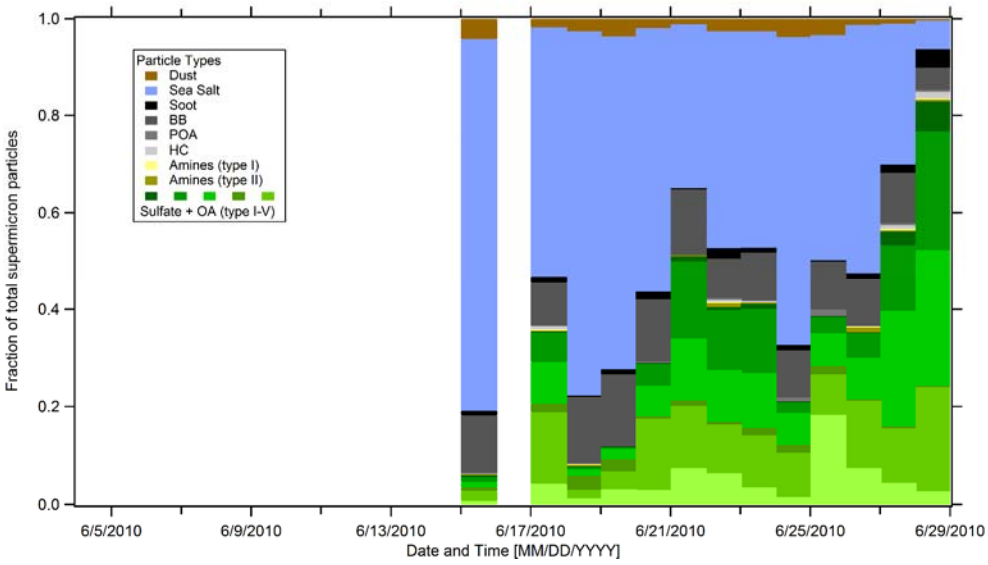

Figure S7. Fractional number abundance of supermicron particles (d_{va} > 1 micron) as measured by the SPLAT-II instrument at the T0 site. It should be noted that the upper-limit sampling range for SPLAT-II is around 2 microns, and thus supermicron particles that are larger than this are not characterized.

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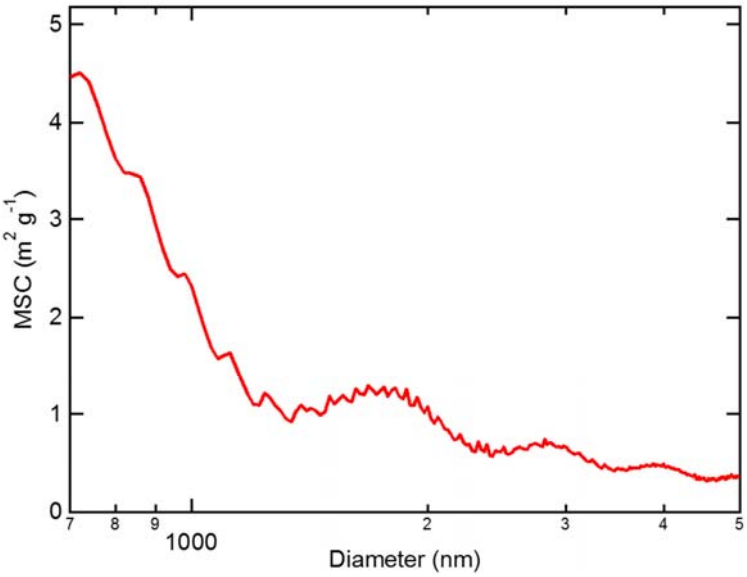

Figure S8. Calculated relationship between the mass scattering coefficient and mobility diameter for spherical particles with density = 2 g cm^{-3}. A complex refractive index of RI = 1.5 + 0.0*i* was used.

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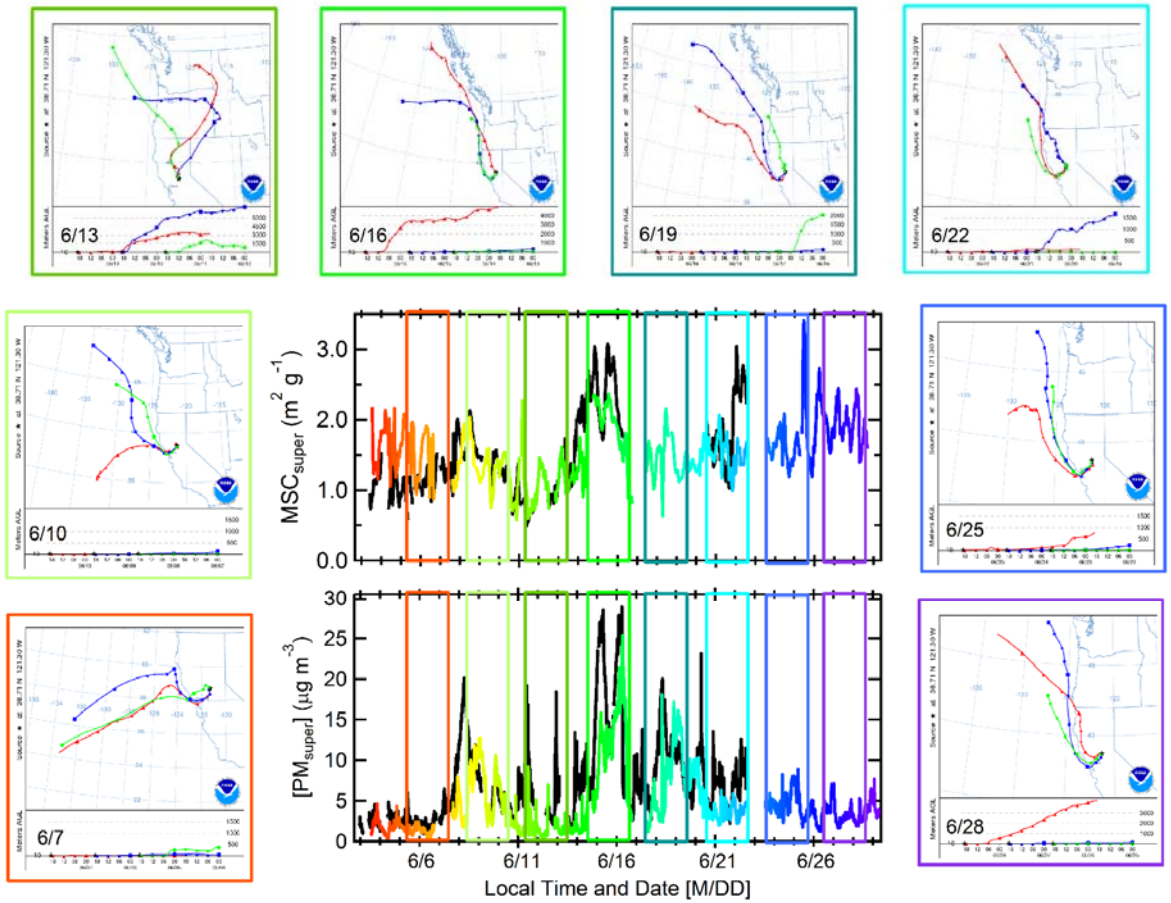

Figure S9. The central panels show time-series of the mass scattering coefficient and PM concentration for supermicron particles for both T0 (black lines) and T1 (colored lines). The outer panels show back trajectories calculated form HYSPLIT, using the NAM meteorological data, arriving at the T0 site at noon local time each day. Each outer panel shows three back trajectories that are separated by 24 hours (note that time goes backwards in these panels). The boxes around each outer panel correspond in color to the boxes shown in each of the central panels and provide a visual reference as to which trajectories correspond to which time period.

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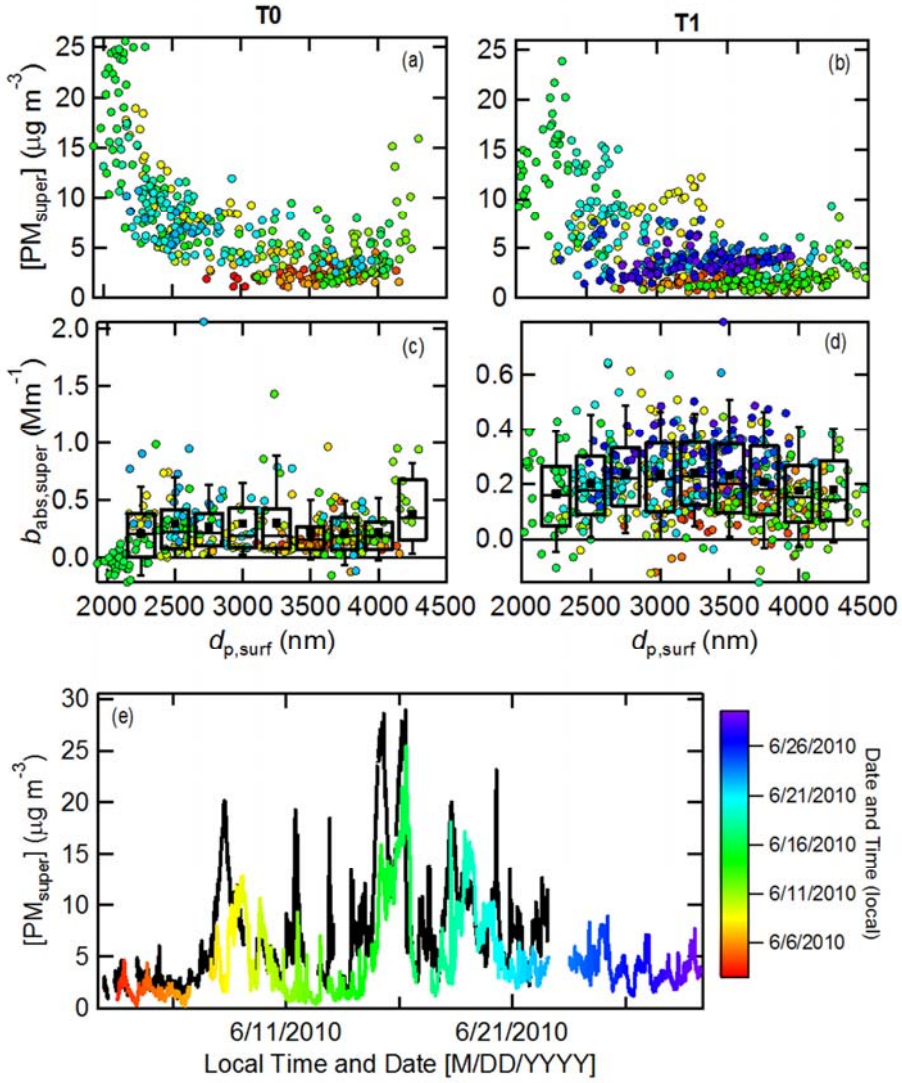

Figure S10. The relationship between the supermicron particle concentration (a,b) or the absolute supermicron absorption at 532 nm (c,d) and the surface-weighted median diameter of the supermicron mode. Observations from T0 are shown in (a) and (c) and from T1 in (b) and (d). For reference, the time series of [PM_{super}] is shown for both sites in panel (e), where T0 is shown in black and T1 in color. The points are colored according to date, as indicated in the color scale.

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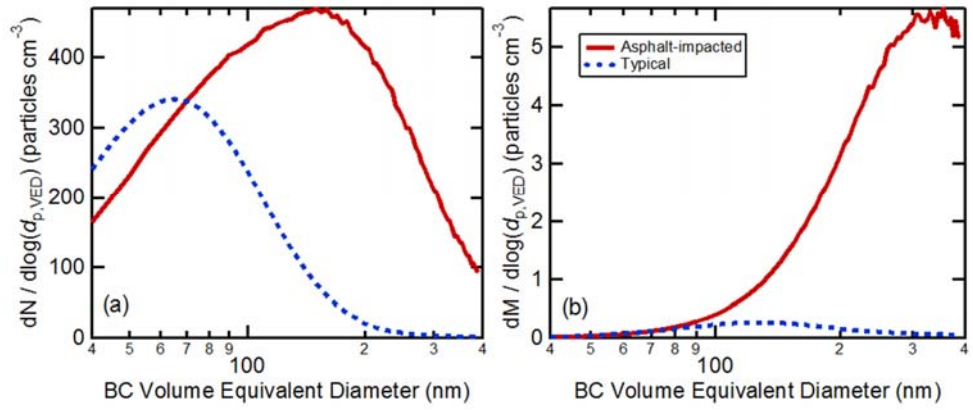
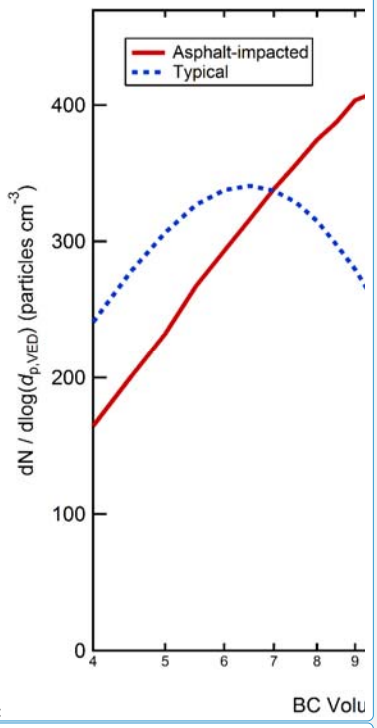


Figure S11. Refractory BC (a) number-weighted and (b) mass-weighted size distributions measured by the SP2 during the asphalt impacted period (22 June between 1:15 am and 2:30 am, local time) and during typical time periods. The typical size distribution is shown as a dashed blue line and the asphalt-impacted period as a red line.



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