



1 **Polarization properties of aerosol particles over western**  
2 **Japan: classification, seasonal variation, and implications**  
3 **for air quality**

4 **X.L. Pan<sup>1</sup>, I. Uno<sup>1</sup>, Y. Hara<sup>1</sup>, K. Osada<sup>2</sup>, S. Yamamoto<sup>3</sup>, Z. Wang<sup>6</sup>, N. Sugimoto<sup>4</sup>,**  
5 **H. Kobayashi<sup>5</sup> and Z.F. Wang<sup>6</sup>**

6 [1] Research Institute for Applied Mechanics, Kyushu University, Fukuoka, Japan

7 [2] Graduate School of Environmental Studies, Nagoya University, Nagoya, Japan

8 [3] Fukuoka Institute of Health and Environmental Sciences, Fukuoka, Japan

9 [4] National Institute for Environmental Studies, Ibaraki, Japan

10 [5] University of Yamanashi, Yamanashi, Japan

11 [6] Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China

12 Corresponding author: X.L. Pan ([xlpanelf@riam.kyushu-u.ac.jp](mailto:xlpanelf@riam.kyushu-u.ac.jp))

13

14 **Abstract**

15 Ground-based observations of the polarization properties of aerosol particles using a  
16 polarization optical particle counter (POPC) were performed from October 2013 to January  
17 2015 at a suburban site in the Kyushu area of Japan. By conducting an analysis of online  
18 measurements of aerosol composition, we investigated size-dependent polarization  
19 characteristics for three typical aerosol types (anthropogenic pollutants, dust, and sea salt).  
20 We found that, for supermicron particles, its depolarization ratio (DR, the fraction of s-  
21 polarized signal in the total backward light scattering signal) generally increased with the  
22 particle size, and a threshold value of 0.1 could be used to identify their sphericity. In summer,  
23 air pollution was less serious, and the DR of aerosol particles was relatively small due to the  
24 influence of spherical sea salt particles in high humidity conditions. This study indicated that  
25 air masses were transported across the Asian continent and contained not only anthropogenic  
26 pollutants, but also large amounts of non-spherical particles (i.e., dust), which could impact  
27 on the air quality in western Japan, especially in winter and spring. The variation of number  
28 fraction of spherical particles did not correlate with relative humidity averaged along the  
29 trajectories of air parcels, indicating the coexistence of hydrophobic substances (e.g., mineral  
30 dust and organics), although the sulfate and nitrate mass concentrations were high.

31



## 1 1. Introduction

2 The East Asian region is characterized by serious regional anthropogenic pollution, due to the  
3 mass consumption of fossil fuel in China (Kurokawa *et al.*, 2013). This region is also  
4 influenced by sporadic occurrences of dust plumes from the Taklimakan/Gobi desert (Uno *et al.*,  
5 *et al.*, 2009, Yumimoto *et al.*, 2009). The environmental/climate effects of these anthropogenic  
6 and mineral dust aerosols are notably different because of their distinct chemical and physical  
7 properties, size distributions, and lifetimes in the troposphere (Pan *et al.*, 2009). Dust aerosols  
8 can trap substantial amounts of pollutants (e.g., nitrate), forming a so-called “polluted dust”  
9 when they are transported through the planetary boundary layer (PBL) of polluted areas  
10 (Wang *et al.*, 2002, Zhang *et al.*, 2005, Zhang *et al.*, 2006). As a consequence, there is  
11 substantial variability in their resulting hygroscopic properties, which contributes to  
12 considerable uncertainty in predicting their climate effects with models. The light polarization  
13 property of a particle is a good proxy indicator of its sphericity/non-sphericity. As such,  
14 remote sensing with ground-based Lidar and Cloud-Aerosol Lidar with Orthogonal  
15 Polarization (CALIOP) has been used for the last decade to provide valuable information  
16 regarding the vertical and horizontal distribution of pollutants and dust particles in the  
17 atmosphere (Shimizu *et al.*, 2004, Winker *et al.*, 2009, Sugimoto *et al.*, 2015). The Asian  
18 Dust and aerosol lidar observation Network (AD-Net) has also been established to observe the  
19 temporal and spatial characteristics of dust and pollutant particles using a polarization-  
20 sensitive (532 nm) two-wavelength (1064 and 532 nm) lidar (Sugimoto and Huang 2014).  
21 They can be used to investigate the mixing state of dust particles because the data points of  
22 pure dust particles are normally in the upper-right portion of a depolarization versus  
23 backscattering ratio (1064nm/532 nm) plot, clearly separate from the data points of pollutant  
24 aerosols (in the lower-left portion), with data to the right side of the line connecting the two  
25 data clusters reflecting the variation of the mixing state of particles (Sugimoto *et al.*, 2002).  
26 Theoretically, the particles with a smaller depolarization ratio (DR, the fraction of *s*-polarized  
27 to the total backward scattering,  $[S/(S+P)]$ ) and larger backscattering color ratio may be  
28 related to internally mixed Asian dusts; however, this cannot be confidently concluded  
29 because the external mixture of dust and large spherical particles (e.g., sea salt) has a similar  
30 pattern on the basis of volume backscattering measurements by lidar (Sugimoto *et al.*, 2015).  
31 Recently, a bench-top optical particle counter equipped with a depolarization module was  
32 developed. The major advantage of this instrument is its ability to depict the size-resolved  
33 polarization of particles (Kobayashi *et al.*, 2014), providing the potential to quantitatively  
34 investigate the evolution of the mixing of dust particles during their transport. A study in  
35 Seoul (Longitude: 128.95E, Latitude: 37.46N, 116 m a.s.l.) reported the internal mixing of



1 Asian dust with anthropogenic pollutants on the basis of an evident decrease in the  
2 backscattering DR of all particles during a polluted dust episode (Sugimoto *et al.*, 2015). The  
3 observation (Pan *et al.*, 2015) of a 7-day dust event in Kyushu in 2014 found that the size-  
4 dependent polarization property of particles varied significantly throughout the dust event,  
5 and the decrease in the DR of supermicron particles was mostly due to an increase in the  
6 coarse mode nitrate concentration. This phenomenon was verified by an off-line analysis with  
7 transmission electron microscopy (Li and Shao 2009, Li *et al.*, 2011) and a model simulation,  
8 which highlighted the direct absorption of nitric acid gas by the dust surface, and/or the  
9 volatilization of ammonium nitrate particulate as well as the resulting transfer of nitrate to the  
10 dust due to heterogeneous reactions during transport (Allen *et al.*, 2015).

11 To determine the mixing state of dust particles outflowing from the Asian continent, a long-  
12 term field observation was performed at a suburban site (Longitude: 130.47°E, Latitude:  
13 33.52°N) in Fukuoka, on the westernmost edge of Japan, beginning in October 2013. The  
14 geographic location of the observation site is shown in Figure 1. This location is subject to  
15 long-range transport (LRT) of both anthropogenic pollutions and dust plumes from the Asian  
16 continent in spring and autumn. Although local emissions (e.g., NO<sub>x</sub>) contribute to the nitrate  
17 mass, LRT is largely responsible for the buildup of PM<sub>2.5</sub> (particulate matter with a diameter  
18 less than or equal to 2.5 μm) in this city (Kaneyasu *et al.*, 2014), particularly during the late  
19 winter-spring period. In this study, size-dependent polarization characteristics for single  
20 particle were continuously measured by a polarization optical particle counter (POPC), and  
21 three common aerosol types (anthropogenic pollutants, dust, and sea salt) were classified,  
22 together with an analysis of some key pollutant parameters (sulfate, nitrate, particulate acidity,  
23 etc.). The contributions of different aerosol types to ambient aerosol concentrations were  
24 discussed. The sphericity/non-sphericity of aerosols from different origins, their relative  
25 humidity (RH) dependence, and corresponding impacts on the local air quality in Fukuoka  
26 city were investigated using a combined ensemble backward trajectory analysis.

27

## 28 **2. Measurements**

29 The light polarization properties of atmospheric aerosol particles (with particle diameter, D<sub>p</sub>  
30 < 10 μm) were measured using a newly developed POPC (YGK Corp., Yamanashi, Japan) at  
31 the top of a two-story building at Kyushu University (Longitude: 130.5°E, Latitude: 33.5°N).  
32 We installed a 3 m-long vertical stainless steel tube through the roof of the building, and  
33 ambient air was drawn into the room with a laminar flow rate of 13 L/min. The loss of coarse  
34 mode particles (D<sub>p</sub> > 2.5 μm) due to gravity settling was negligible. The POPC uses a 780 nm



1 linearly polarized laser source, and measures both forward scattering and backward scattering  
2 intensities at 60 degrees and 120 degrees, relative to the direction of incident light. A detailed  
3 description of the instrumentation is shown in Figure 2. The polarization direction of the  
4 incident laser is parallel to the plane of the scattering angle, and the acceptance angle for the  
5 polarization detector is 45 degrees. This configuration was optimized to reduce uncertainties  
6 in optical measurements (Kobayashi *et al.*, 2014). The size of particles is determined from the  
7 forward scattering intensity, and two polarized compounds (*s*-polarized/*p*-polarized,  
8 polarization direction perpendicular/parallel to the plane of the scattering angle) of backward  
9 scattering are measured simultaneously. In practice, the DR is a good indicator of particle  
10 sphericity because the direction of polarization of scattered light for spherical particles is  
11 identical to that of the incident light, while this is not the case for non-spherical particles (e.g.,  
12 dust). The DR thresholds for determining the sphericity/non-sphericity of particles are not  
13 uniform for different optical instrumentations. For example, lidar observations classify  
14 aerosols on the basis of an empirically determined aerosol back-scattering DR ( $\delta_a = S/P$ ), and  
15 the values of  $\delta_a < 0.02$  and  $\delta_a > 0.35$  (DR = 0.003, 0.26, respectively in this context) are  
16 regarded as the thresholds for pure spherical and non-spherical dust particles (Shimizu *et al.*,  
17 2004). Regarding the use of a POPC, Kobayashi *et al.* (2014) proposed a threshold of  
18 DR > 0.2 as being “non-spherical” for supermicron particles (e.g., dust) according to the  
19 number concentration of particles measured at Fukuoka University (Longitude: 33.5°N,  
20 Latitude: 130.36°E).

21 In this study, mass concentrations of particulate matter (PM), sulfate, nitrate, and water-  
22 soluble organic compounds in both the fine ( $D_p < 2.5 \mu\text{m}$ ) and coarse mode were measured  
23 using a continuous dichotomous Aerosol Chemical Speciation Analyzer (ACSA-12,  
24 KIMOTO electric Co. Ltd, Osaka, Japan) at 1 h intervals. The mass concentration of PM and  
25 sulfate ion were determined using the beta-ray absorption method and the  $\text{BaSO}_4$ -based  
26 turbidimetric method, after the addition of  $\text{BaCl}_2$  dissolved in polyvinylpyrrolidone solution.  
27 The mass concentrations of nitrate ion and water-soluble organic compounds were determined  
28 with an ultraviolet absorption-photometric method. The details of the ACSA instrument have  
29 been published elsewhere (Kimoto *et al.*, 2013).

30

### 31 **3. Results**

#### 32 **3.1 Temporal variation**

33 The daily-averaged volume concentrations in different aerosol size bins at the observation site  
34 are shown in Figure 3. In general, the volume concentrations of ambient particles had two



1 pronounced peaks in the submicron and/or coarse mode size ranges, particularly in spring and  
2 winter. The peak in the submicron range was related to anthropogenic pollution (marked as “P”  
3 in Figure 3a), while the coarse mode peak (4–8  $\mu\text{m}$ ) was attributed to mineral dust (marked as  
4 “D” in Figure 3a). The two peaks occurred concurrently or sequentially as a result of different  
5 prominent outflow patterns. Itahashi *et al.*(2010) found that dust and pollution were trapped  
6 and well mixed within the PBL (referred to as “mixed type” and marked as “M” in Figure 3a),  
7 when dust events broke out “behind a cold front,” and were characterized by strong  
8 stratification. In summer, the volume concentration of particles in both fine and coarse modes  
9 decreased significantly because the air masses mostly originated from the western Pacific  
10 Ocean where anthropogenic emissions were limited. Wet scavenging processes were  
11 significant in the summertime of Kyushu. The DR value of particles at each size range as a  
12 function of time in 2014 is also shown in Figures 3b and 3d. Because DR value of any size  
13 range particles was always characterized by a skewness of the distribution, we use a mode DR  
14 (MDR) value to represent the their typical depolarization property. In general, MDR value  
15 increased evidently with increase of their size. Anthropogenic pollutants could also impact  
16 MDR values of large particles. A week-long dust event at the end of May 2014 showed that  
17 MDR of supermicron particles decreased evidently due to intricate mixing with water-soluble  
18 pollutants (Pan *et al.*, 2015).

19

### 20 **3.2 Size distribution**

21 The overall volume size distribution and MDR of aerosol particles in Kyushu displayed clear  
22 seasonal variation, as demonstrated in Figure 4. In spring (MAM), the volume size  
23 distribution of particles had a broad peak in the coarse mode due to the impact of frequent  
24 outbreaks and transport of Asian dust (Figure 4a). Aerosol particles with  $D_p < 2.5 \mu\text{m}$  had a  
25 small MDR ( $\sim 0.02$ ; Figures 4b, 4d, 4f and 4h). The MDR of coarse mode particles was larger  
26 than 0.1, and displayed a clear increasing trend with increasing particle size. In summer (JJA),  
27 the volume concentration of particles did not change with size (Figure 4c). Remarkably,  
28 aerosol particles in the coarse mode also had a relatively small MDR ( $< 0.1$ , Figure 4d). This  
29 was mostly due to air masses that originated from clean marine regions and consisted of large  
30 amounts of sea salt aerosols, which tend to be spherical under high-humidity conditions. In  
31 autumn (SON) and winter (DJF), there was a prominent mode in the submicron range,  
32 indicating the predominance of anthropogenic pollutants. The MDR value increased with an  
33 increase in particle size because of the presence of non-spherical particles, especially when  
34 the air mass originated from the Asian continent.



1 The slope of the DR as a function of particle size between 4 and 9  $\mu\text{m}$  (referred to as  
2 “SlopeDR”) included some basic information regarding the coarse mode particles, because  
3 the mixing of dust particles with pollutants may result in an increase in the imaginary part of  
4 their refractive index, resulting in a decrease of the DR at the  $120^\circ$  backscattering angle  
5 (Dubovik *et al.*, 2006). We found that the SlopeDR was 0.8 in spring, highest among those in  
6 autumn (0.5) and winter (0.6). The most likely explanation for this was that the air masses in  
7 spring, which originated from the interior of the Asian continent with high wind speeds,  
8 contained many more dust particles than in the other seasons. Another possibility is that dust  
9 particles in autumn and winter underwent complex mixing processes because of stagnancy in  
10 marine areas (Tobo *et al.*, 2010). The SlopeDR in summer was lower than 0.1 due to the  
11 presence of deliquescent sea salt aerosols under high RH condition. In autumn, the DR value  
12 of coarse mode particles varied significantly, indicating that they had different origins.

13

## 14 **4 Discussion**

### 15 **4.1 Size polarization properties for aerosol classification**

16 We selected three special cases dominated significantly by distinct aerosols types, which were  
17 based on the mass concentrations of  $\text{PM}_{2.5}$  and  $\text{PM}_{2.5-10}$  ( $2.5 \mu\text{m} < D_p < 10 \mu\text{m}$ ), and  $\text{SO}_4^{2-}$  ion  
18 in the fine mode ( $\text{fSO}_4^{2-}$ ), and  $\text{NO}_3^-$  ions in both the fine ( $\text{fNO}_3^-$ ) and coarse mode ( $\text{cNO}_3^-$ ), as  
19 shown in Table 1. During pollution episodes, the mass concentration of  $\text{PM}_{2.5}$  was  $63.4 \mu\text{g}/\text{m}^3$ ,  
20 which was about five times higher than the  $\text{PM}_{2.5-10}$  concentrations ( $12.9 \mu\text{g}/\text{m}^3$ ). The  $\text{SO}_4^{2-}$   
21 and  $\text{fNO}_3^-$  ions accounted for 50% of the total  $\text{PM}_{2.5}$  mass. During dust episodes, the mass  
22 concentrations of  $\text{PM}_{2.5}$  and  $\text{PM}_{2.5-10}$  were  $27.5$  and  $62.9 \mu\text{g}/\text{m}^3$ , respectively, with minimal  
23 contributions from anthropogenic pollutants to the  $\text{PM}_{2.5}$  mass ( $\text{SO}_4^{2-}$ :  $2.7 \mu\text{g}/\text{m}^3$ ;  $\text{fNO}_3^-$ :  $1.4$   
24  $\mu\text{g}/\text{m}^3$ ). Because we did not measure sodium or chloride ions, a quantitative determination of  
25 the influence of sea salt was difficult; however, we could reasonably assume that during the  
26 typhoon period, sea salt aerosol was dominant. Detailed information regarding the trajectory  
27 of the two typhoon cases (No.18 PHANFONE and No.19 VONGFONG) during the study  
28 period is described in the Japan Meteorological Agency webpage  
29 ([http://www.data.jma.go.jp/fcd/yoho/typhoon/route\\_map/index.html](http://www.data.jma.go.jp/fcd/yoho/typhoon/route_map/index.html)). The mass  
30 concentrations of  $\text{PM}_{2.5}$ ,  $\text{PM}_{2.5-10}$ ,  $\text{SO}_4^{2-}$ , and  $\text{fNO}_3^-$  were minimal during the typhoon period  
31 (13 hours in total), with mean values of 6.6, 9.7, 1.3, and  $0.5 \mu\text{g}/\text{m}^3$ , respectively.

32 To identify the polarization characteristics for the different aerosol types, a DR-Dp-volume  
33 plot of the particles during specific periods was produced (Figure 5). We divided the DR-Dp-  
34 volume image into four areas. Anthropogenic pollutants were mostly in the submicron range,



1 with DR values  $<0.2$  (marked as region A1, as shown in Figure 5a). Mineral dust had a larger  
2 diameter ( $D_p >3 \mu\text{m}$ ) and a non-spherical morphology associated with larger DR values  
3 ( $\text{MDR} = 0.4$ ; region A2, as shown in Figure 5b). Sea salt aerosols were in the supermicron  
4 range, with DR values  $<0.1$  (marked as region A3, as shown in Figure 5c). During the  
5 typhoon period ( $\text{RH} = 76\%$  on average), we considered the sea salt particles to be spherical  
6 because sea salt is deliquescent when  $\text{RH} >75\%$  (Wise *et al.*, 2007). Based on this  
7 information, we proposed a DR threshold value of 0.1 to distinguish between spherical and  
8 non-spherical particles in the supermicron size range. This criterion was consistent with the  
9 theoretical Mie-scattering calculation and classification in the literature (Kobayashi *et al.*,  
10 2014). The sphericity/non-sphericity of submicron particles with  $\text{DR} >0.2$  was not considered  
11 because of the low volume concentration. With regard to A1, we cannot be specific about the  
12 sphericity/non-sphericity of the particles, because the morphology of anthropogenic particles  
13 is extremely variable, and depends on the mass fractions of carbonaceous matter and water-  
14 soluble inorganics, as well as meteorological conditions (e.g., RH), the mixing state, and the  
15 degree of atmospheric aging (Li *et al.*, 2011, Fu *et al.*, 2012). Additionally, the *s*-polarized  
16 backscattering of submicron particles decreased significantly with a decrease in their size on  
17 the basis of theoretical simulations, even for non-spherical particles (Sugimoto *et al.*, 2015).

18

#### 19 **4.2 Contributions of different aerosol types to local air quality**

20 Using the criteria suggested from specific periods, the impacts of different aerosol types on  
21 air quality in western Japan were considered. Here, the DR- $D_p$ -volume plot was made at 1-  
22 day intervals (0000 JST – 2300 JST), and the dominant mode was determined. Period when  
23 only one volume mode in A1, A2 or A3 was regarded as being pollution, mineral dust, or sea  
24 salt dominated, respectively. Periods when three distinct modes occurred simultaneously in  
25 A1, A2 and A3 were considered to represent a “mixed type,” as shown in Figure 5d. Cases  
26 when two volume modes appeared in both A1 and A3 or in both A2 and A3 were regarded as  
27 pollution-dominant or dust-dominant periods, respectively.

28 Monthly-averaged mass concentrations of  $\text{PM}_{2.5}$  and  $\text{PM}_{2.5-10}$  are shown in Figure 6a. In  
29 general, ambient  $\text{PM}_{2.5}$  levels displayed a clear winter-spring high and summer low. In  
30 contrast, the mass of  $\text{PM}_{2.5-10}$  had a pronounced peak in May, reflecting frequent dust events.  
31 The number of days that the daily-averaged mass concentration of  $\text{PM}_{2.5}$  exceeded the  
32 National Ambient Air Quality Standard (NAAQS) of Japan ( $35 \mu\text{g}/\text{m}^3$ ) was highest in spring  
33 (Figure 6b). During May 2014, the NAAQS was exceeded on 12 days; mineral dust was  
34 responsible for about 70% of these substandard days, followed by other mixed pollution types





1 (20%). In April 2014, no dust event was observed because of the weak cold air over the Inner  
2 Mongolian region, which was unusual compared with past observations. In March 2014,  
3 mixed pollution and dust dominated, accounting for 60% and 30% of substandard days,  
4 respectively (Figure 6c). Mixed pollution types clearly made the largest contribution to the  
5 number of days with substandard local air quality in the winter, and the smallest contribution  
6 in summer and autumn. This was because air masses passed through arid/semi-arid polluted  
7 regions in the Asian continent in winter, and dust particles and anthropogenic pollutants  
8 mixed during LRT. In summer 2014, only a few substandard air quality days were related to  
9 anthropogenic pollution (Figure 6b).

10

### 11 **4.3 Polarization properties of aerosols from different origins**

12 The DR-Dp-volume plots of aerosol particles from different origins were evaluated in  
13 combination with a backward trajectory analysis. The footprint regions for anthropogenic  
14 pollutants were determined based on ensemble simulations of 5-day backward trajectories of  
15 air parcels using the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT)  
16 model (v4.9; available at <http://ready.arl.noaa.gov/HYSPLIT.php>), offsetting the release point  
17 by a meteorological grid point in the horizontal and 0.01 sigma units in the vertical. We  
18 divided the region of interest into four sub-regions, where the emission flux of anthropogenic  
19 pollutants and their corresponding aerosol types were different. When the air mass came from  
20 Region I (Figure 7a), which mostly covered the Sea of Japan and the Japanese landmass, the  
21 DR-Dp-volume plot had one predominant peak at MDR = 0.05 and  $D_p = 1.8 \mu\text{m}$  (Figure 7b).  
22 This suggested that spherical particles (e.g., sea salt) in the fine mode comprised most of the  
23 aerosol. Only 2% of this period was found to have substandard air quality. When the air mass  
24 came from Region II (covering the polluted region of North China and the Korean Peninsula,  
25 Figure 7c), the volume concentrations of particles in all size bins increased significantly, and  
26 the volume size distributions of particles had three distinct modes at  $D_p = 0.7 \mu\text{m}$  (MDR =  
27 0.1),  $D_p = 1.9 \mu\text{m}$  (MDR = 0.08, and  $D_p = 5 \mu\text{m}$  (MDR = 0.35) (Figure 7d). The volume  
28 peaks in the submicron and coarse modes could be attributed to LRT of anthropogenic  
29 pollutants and mineral dust. The volume peak of particles at  $D_p = 1.9 \mu\text{m}$  (as shown by the  
30 black arrow in Figure 7d) indicated that there was a large fraction of non-spherical particles,  
31 in light of their large MDR values (from 0.08 up to 0.4), in contrast to the particles from  
32 Region I. We surmised that these particles were a mixture of both anthropogenic and dust  
33 particles. This was supported by our observations during a dust episode, which demonstrated  
34 that the DR values of supermicron dust particles were reduced when mixed with  
35 anthropogenic pollutants (Pan *et al.*, 2015). We found that 32% of the days, dominated by





1 northwesterly winds, were substandard, i.e., anthropogenic pollutant, dust, and mixed particle  
2 types contributed to 13%, 8%, and 11% of particles, respectively. When air masses came from  
3 Region III (East China Sea, Figure 7e), 92% of these days met the NAAQS for Japan, and  
4 substandard days were mostly related to anthropogenic pollution (9%). The MDR (0.03) for  
5 supermicron particles was very small (Figure 7f), indicating a high degree of sphericity. Air  
6 masses from Region VI (western Pacific Ocean, Figure 7g) also contained a large volume  
7 fraction of supermicron particles, with small MDR values ( $\sim 0.03$ ). We found that substandard  
8 air quality days (16% of all days), when air masses came from Region VI, were more frequent  
9 than for Region III, reflecting the mixing of maritime air with local anthropogenic emissions  
10 over the western part of Japan (Figure 7g).

11

#### 12 **4.4 Fraction of spherical particles as a function of RH**

13 As mentioned in previous studies (Koehler *et al.*, 2009, Sullivan *et al.*, 2009), the more dust  
14 particles become involved in chemical mixing, the more easily they become hydrophilic and  
15 are incorporated into cloud processes, which results in complicated climate effects. Here, the  
16 hygroscopicity of aerosol particles from different regions was investigated on the basis of the  
17 number fraction of spherical particles (DR  $< 0.1$ , referred to as “NFS”) as a function of the  
18 averaged RH, which was calculated along 3-day backward trajectories (Figure 8). The dataset  
19 period when intensive precipitation ( $> 20$  mm/hr) occurred along the trajectories was removed.  
20 The averaged RH of air masses from Regions III and IV were relatively high ( $> 70\%$ ) because  
21 of their longer stagnancy in the marine area. For particles with  $1.0 < D_p < 1.5$   $\mu\text{m}$  (Figure 8a),  
22 the NFS was positively correlated with the RH for particles from all origins. This  
23 phenomenon can be explained because of coexistence of hygroscopic masses such as sulfate  
24 and nitrate. About 70% of particles were spherical in the air mass from Region I, and this  
25 figure increased to 89% at RH = 76%. In the air masses from Region II, 62% of particles were  
26 spherical at RH = 45% and this increased to 72% at RH = 82%. For particles with  $1.5 < D_p <$   
27  $2.0$   $\mu\text{m}$  (Figure 8b), the overall NFS decreased for particles from all origins. The positive  
28 correlation between NFS and RH did not change when the air mass came from Region I;  
29 however, the tendency for the value to increase disappeared when the air masses came from  
30 Region II, implying that particles from Region II were less hygroscopic than those from  
31 Region I. The chemical analysis indicated that the mass fraction of water-soluble matter  
32 (WSM =  $\text{SO}_4^{2-} + \text{NO}_3^- + \text{WSOCs} + \text{NH}_4^+$ , Figure 9a) from Region II was the smallest (39.7%),  
33 although the mass concentration of  $\text{PM}_{2.5}$  (Figure 9b),  $\text{SO}_4^{2-}$  (Figure 9c), and  $\text{NO}_3^-$  (Figure 9d)  
34 was statistically high with median values of 21.6, 4.2, and 1.5  $\mu\text{g}/\text{m}^3$ , respectively. The  
35 missing part in mass balance was likely to be related to dust species, because the hygroscopic



1 growth of aerosol particles was very weak when small dust particles were present (Pan *et al.*,  
2 2009). For coarse mode particles (Figure 8c) from Region II, the NFS was about 32%,  
3 irrespective of RH; however, the NFS from Region I was still very sensitive to the variation in  
4 RH, which suggested that the aerosol particles were related to sea salt. The high NFS value  
5 (50 - 90%) and clear RH-dependency for aerosol particles from Region IV (blue circles in  
6 Figure 8) also indicated a great impact of sea salt (as shown by the 5-day backward  
7 trajectories in Figure 7e). The Acidity ( $\Delta H$ , defined as the change of molar concentration of  
8 hydrogen ion after sampled particles dissolved into the extracting solution) of polluted  
9 particle normally decreased if alkaline substances ( $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$  etc.) were surplus. When the  
10 air mass came from Region II, about 30% of the data were found to have negative acidity  
11 value (shown in supplementary material), also implying that the particles consisted of crustal  
12 substances. However,  $\Delta H$  values of particles from other regions were normally positive. This  
13 difference also partially explained the weaker hygroscopicity of particle from Region II than  
14 other regions.

15

## 16 5 Conclusions

17 In this study, we conducted continuous observations of the polarization properties and  
18 chemical composition of aerosol particles in Kyushu over a one-year period, using a  
19 Polarization Optical Particle Counter (POPC) and Aerosol Chemical Speciation Analyzer  
20 (ACSA-12). The conclusions were as follows: (1) POPC could objectively identify  
21 anthropogenic pollutants, mineral dust, and sea salt particles from their distinct depolarization  
22 ratio-size-volume relationships. It was concluded that the depolarization ratio of 0.1 is a  
23 reliable threshold that can be used to classify the sphericity/non-sphericity of supermicron  
24 particles. Based on this criterion, we found that long-range-transport dust and mixed aerosols  
25 were mainly responsible for substandard air quality days in western Japan during spring 2014.  
26 (2) Spherical particles in the coarse mode in summer were mostly due to the impact of sea salt  
27 at high RH conditions, whereas particles were normally non-spherical, in particular when the  
28 air masses came from the Asian continent. (3) The number fraction of spherical particles  
29 (NFS) decreased as particle size increased. When the particles came from the Asian continent,  
30 the correlation between NFS and RH was not significant because of the existence of dust  
31 particles. The positive correlation between NFS and RH for the supermicron particles implied  
32 a great impact of sea salt when the air mass originated from Region I. (4) Local emissions  
33 were partially responsible for the occurrence of substandard air quality days in Kyushu,  
34 although the LRT of anthropogenic pollutants was significant.



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5 (uno@riam.kyushu-u.ac.jp).

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40 plume and polluted air masses in an Asian dust storm event at coastal areas of China. *Journal*  
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43





- 1 Table 1 Statistics for the mass concentrations of  $\text{PM}_{2.5}$ ,  $\text{PM}_{2.5-10}$ ,  $\text{fSO}_4^{2-}$ ,  $\text{fNO}_3^-$ , and  $\text{cNO}_3^-$  for  
 2 three typical types of aerosol. The numbers in brackets represent total hours for episodes of  
 3 specific types of aerosol.

Aerosol types		$\text{PM}_{2.5}$	$\text{PM}_{2.5-10}$	$\text{fSO}_4^{2-}$	$\text{fNO}_3^-$	$\text{cNO}_3^-$
Anthropogenic pollutant (n = 12)	<i>Max.</i>	74.5	16.9	19.3	15.2	3.5
	<i>Min.</i>	52.4	10.8	15.3	10.0	2.4
	<i>Avg. SD.</i>	$63.4 \pm 7.0$	$12.9 \pm 1.9$	$17.2 \pm 1.2$	$11.5 \pm 1.4$	$2.8 \pm 0.3$
Mineral dust (n = 8)	<i>Max.</i>	35.8	81.3	3.0	1.9	2.7
	<i>Min.</i>	23.8	51.4	2.4	0.9	1.4
	<i>Avg. SD.</i>	$27.5 \pm 4.7$	$62.9 \pm 12.6$	$2.7 \pm 0.2$	$1.4 \pm 0.4$	$1.8 \pm 0.6$
Sea salt (n = 13)	<i>Max.</i>	13.2	35.8	3.0	1.0	1.0
	<i>Min.</i>	0.2	0.1	0.1	0.2	0.1
	<i>Avg. SD.</i>	$6.6 \pm 3.2$	$9.7 \pm 7.7$	$1.3 \pm 0.8$	$0.5 \pm 0.2$	$0.4 \pm 0.2$

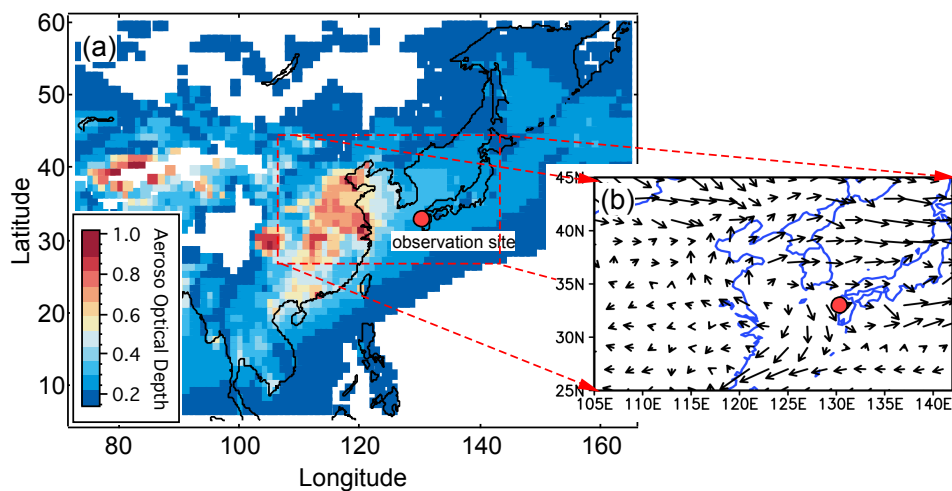
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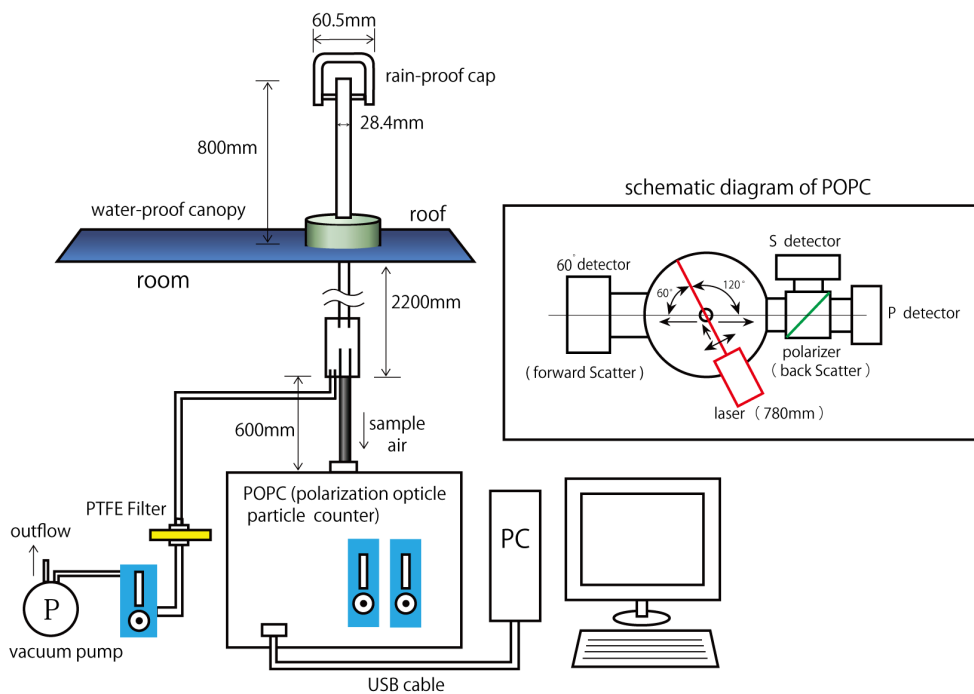
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## List of Figures



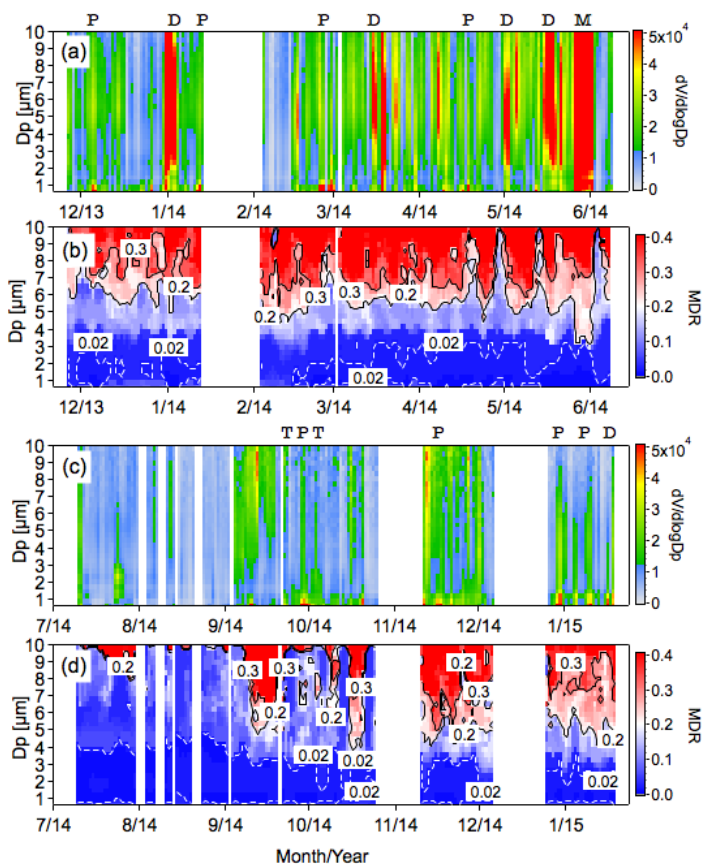
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Figure 1 Geographic location of the observation site. (a) Spatial distribution of aerosol optical depth (AOD) at 550 nm by Moderate-resolution Imaging Spectroradiometer (MODIS) in East Asia and (b) the averaged surface wind field in Spring (MAM).

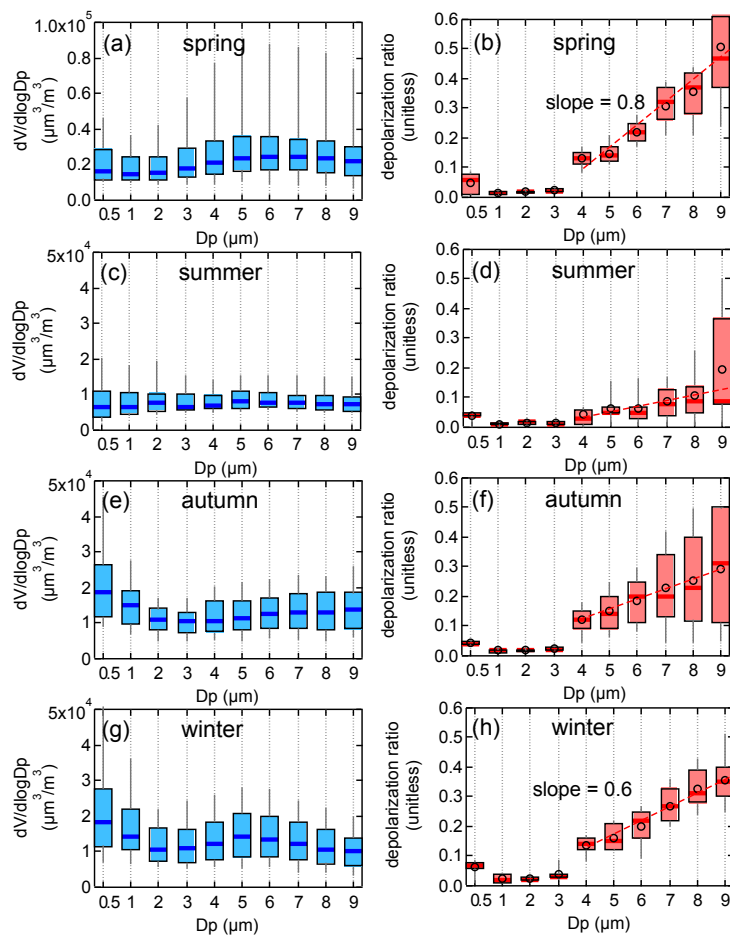


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2 Figure 2 The layout of the instrument and schematic diagram of the polarization optical  
3 particle counter (POPC).

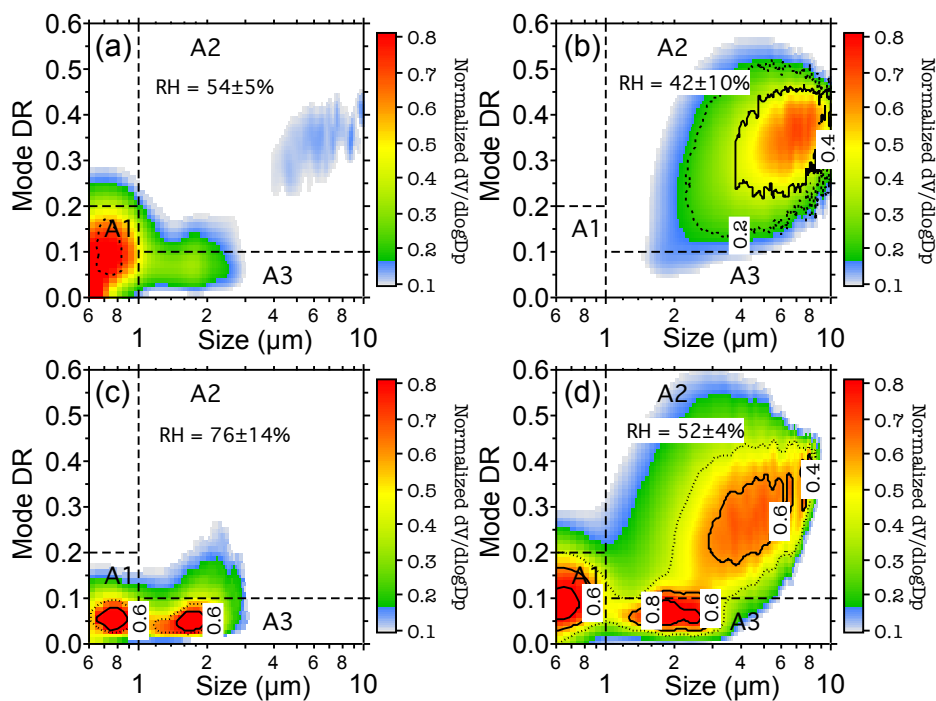


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 2 Figure 3 Time series of volume size distributions (a, c) and mode depolarization ratios  
 3 (MDRs) (b, d) of ambient particles at the observation site. The letters “P,” “D,” “T” and “M”  
 4 at the top of figure indicate pollution, dust, Typhoon and mixed type dominated cases.



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2 Figure 4 Volume size distribution (a, c, e, g) and size-dependent depolarization ratio (DR) (b,  
 3 d, f, h) of aerosols in Kyushu in Spring (MAM), Summer (JJA), Autumn (SON), Winter  
 4 (DJF). The box and whiskers in the plot represent the 10<sup>th</sup>, 25<sup>th</sup>, 75<sup>th</sup>, 90<sup>th</sup> percentiles and  
 5 median values, and the black circles in the right panel are the mean values, respectively.



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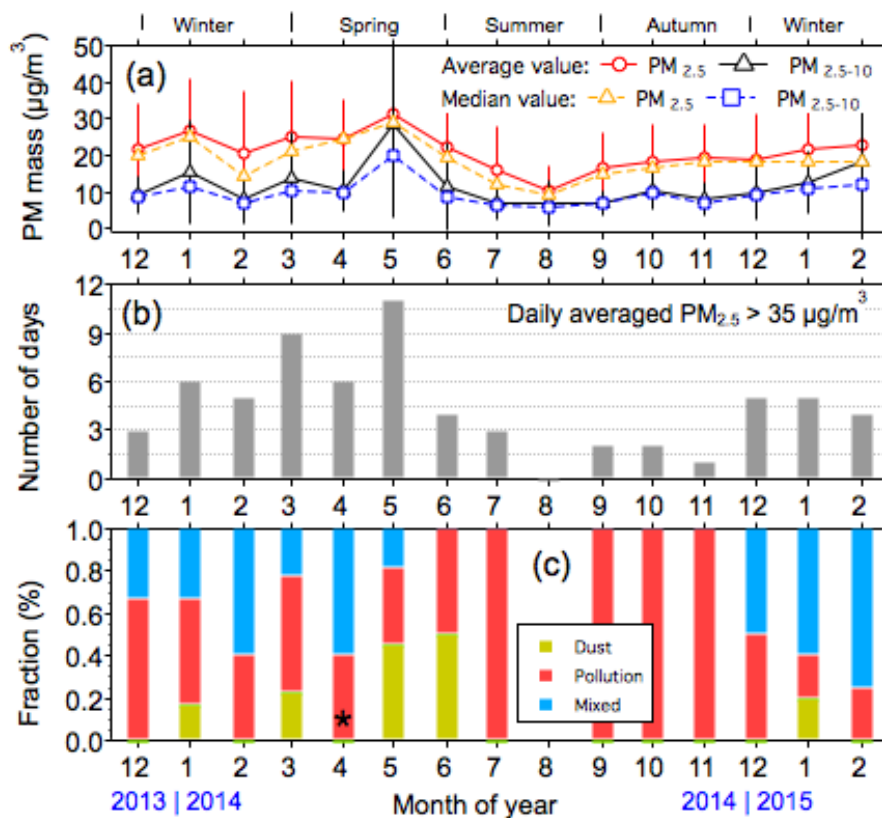
3 Figure 5 Variation in the mode depolarization ratio as a function of size for (a) anthropogenic  
4 pollution, (b) mineral dust, (c) sea salt, and (d) mixed particle types. Colors represent  
5 normalized volume concentrations (maximum value = 1).

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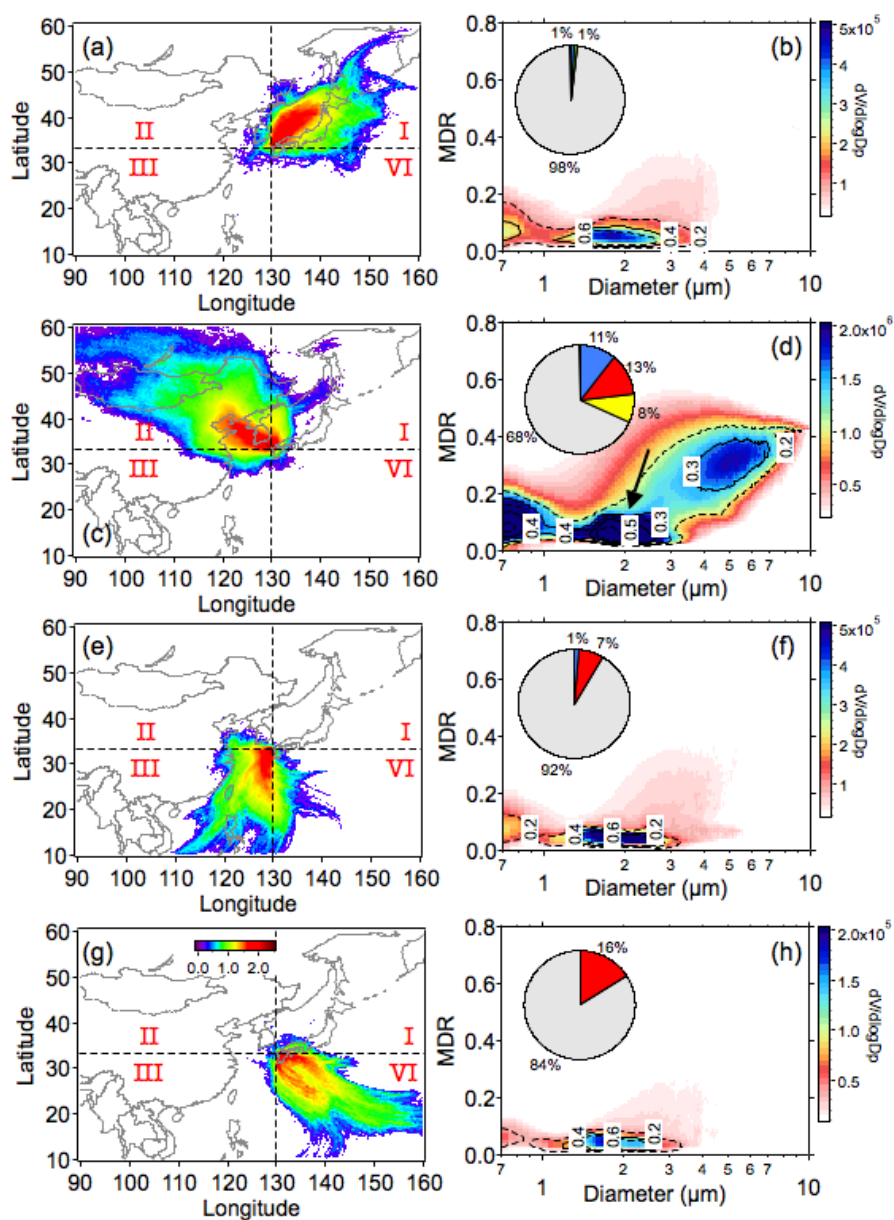
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2 Figure 6 (a) The monthly mean and median values of mass concentrations of  $PM_{2.5}$  and  $PM_{2.5-10}$ , (b) number of days, with daily averaged mass concentrations of  $PM_{2.5}$  exceeding  $35 \mu\text{g}/\text{m}^3$   
 3  $_{10}$ , (b) number of days, with daily averaged mass concentrations of  $PM_{2.5}$  exceeding  $35 \mu\text{g}/\text{m}^3$   
 4 (the National Ambient Air Quality Standard (NAAQS) of  $PM_{2.5}$  in Japan), and (c) the relative  
 5 monthly contribution of different aerosol types. The asterisk symbol highlights that no dust  
 6 incursions were observed during April 2014 in the Kyushu area.

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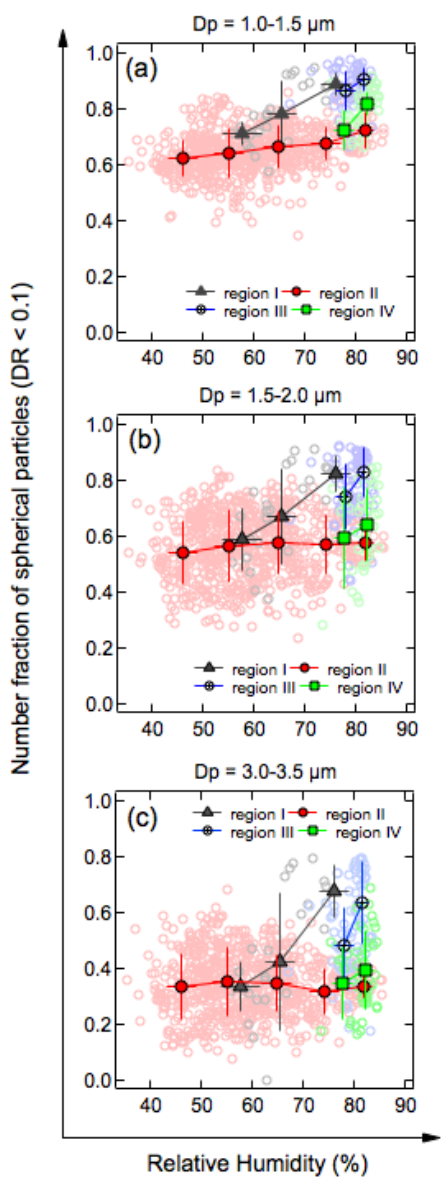
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2 Figure 7 Air masses originating from Region I (a), Region II (c), Region III (e) and Region IV  
 3 (g). The color scale represents the logarithm of the total number of trajectories that passed  
 4 through the mixing layer of the target grid. The corresponding size-resolved depolarization  
 5 characteristics of transported particles are shown in b, d, f and h. The colors gray, red, yellow,  
 6 and blue in the pie charts indicate the fraction of days, which met the air quality standard and  
 7 substandard air quality days caused by anthropogenic pollution, dust, or mixed pollution,  
 8 respectively.



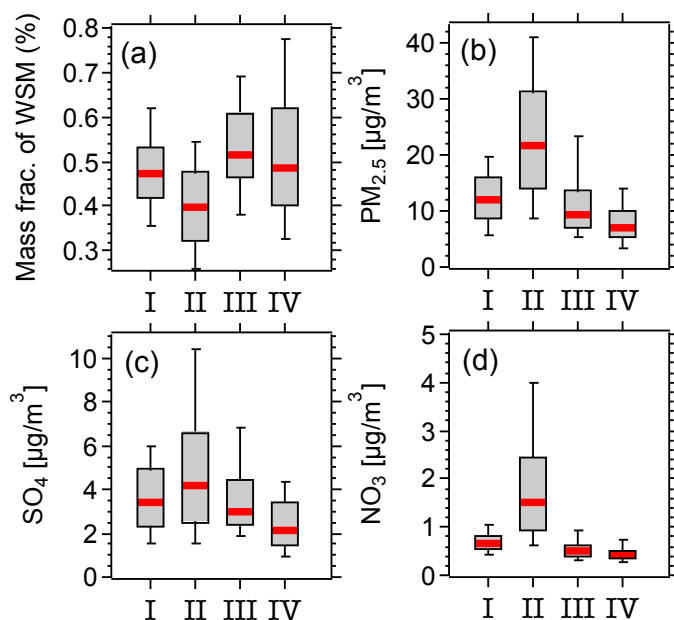


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Figure 8 Number fractions of spherical particles as a function of relative humidity (RH) for the size ranges (a) 1.0-1.5  $\mu\text{m}$ , (b) 1.5-2.0  $\mu\text{m}$ , and (c) 3.0-3.5  $\mu\text{m}$ .



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3 Figure 9 Box and whisker plots of the mass fraction of (a) water soluble matter ( $SO_4^{2-} + NO_3^-$   
4 + WSOCs +  $NH_4^+$ ), (b)  $PM_{2.5}$ , (c)  $SO_4^{2-}$  and (d)  $NO_3^-$ , ions in the fine mode for the air masses  
5 from Region I, II, III and IV. The mass concentration of  $NH_4^+$  was estimated on the basis of  
6 the correlation ( $r^2 = 0.63$ ) between  $[SO_4^{2-} + NO_3^-]$  and  $[NH_4^+]$  from the analysis of filter  
7 samples using ion chromatography in Kyushu.

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