



## Abstract

Wind predictions in complex terrain are important for a number of applications. Dynamic downscaling of numerical weather prediction (NWP) model winds with a high resolution wind model is one way to obtain a wind forecast that accounts for local terrain effects, such as wind speed-up over ridges, flow channeling in valleys, flow separation around terrain obstacles, and flows induced by local surface heating and cooling. In this paper we investigate the ability of a mass-consistent wind model for downscaling near-surface wind predictions from four NWP models in complex terrain. Model predictions are compared with surface observations from a tall, isolated mountain. Downscaling improved near-surface wind forecasts under high-wind (near-neutral atmospheric stability) conditions. Results were mixed during upslope and downslope (non-neutral atmospheric stability) flow periods, although wind direction predictions generally improved with downscaling. This work constitutes evaluation of a diagnostic wind model at unprecedented high spatial resolution in terrain with topographical ruggedness approaching that of typical landscapes in the western US susceptible to wildland fire.

## 1 Introduction

Researchers from multiple disciplines rely on routine forecasts from numerical weather prediction (NWP) models to drive transport and dispersion models, conduct wind assessments for wind energy projects, and predict the spread of wildfires. These applications require fine-scale, near-surface wind predictions in regions where rugged terrain and vegetation have a significant effect on the local flow field. Terrain effects such as wind speed-up over ridges, flow channeling in valleys, flow separation around terrain obstacles, and enhanced surface roughness alter the flow field over spatial scales finer than those used for routine, operational NWP forecasting.

Numerous operational mesoscale NWP model forecast products are available in real-time, such as those provided by National Centers for Environmental Prediction

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(NCEP). Access to these output products is facilitated by automated archiving and distribution systems such as the National Operational Model Archive and Distribution System (NOMADS). These routine forecast products are highly valuable to researchers and forecasters, for example, as inputs to drive other models. In many cases, however, the spatial resolution of the system of interest (e.g., wildland fire spread) is much finer than that of the NWP model output.

The model grid horizontal resolution in operational NWP models is limited due, in part, to the high computational demands of NWP. Routine gridded forecast products are typically provided at grid resolutions of 3 km or larger. The High Resolution Rapid Refresh (HRRR) model produces 3 km output grids and is currently the highest-resolution operational forecast in the US.

NWP models have been run successfully with grid resolutions of less than 1 km in complex terrain for specific cases when modifications were made to the meshing (Lundquist et al., 2010) or PBL schemes (Ching et al., 2014; Seaman et al., 2012) or when large-eddy simulation (LES) was used (Chow and Street, 2008). While successful for specific test cases, these efforts employ specialized model configurations that have not been incorporated into routine forecasting frameworks, either because they are not sufficiently robust, have not been thoroughly tested, or are too computationally intense for routine forecasting. For example, the configuration used in Seaman et al. (2012) is applicable for stable nocturnal conditions only.

Additionally, these modifications require technical expertise in NWP and access to substantial computing resources, which many consumers of NWP output do not have. Perhaps, the biggest limitation to running NWP models on grids with fine horizontal resolution is the computational demand. Time-sensitive applications, such as operational wildland fire support, require fast solution times (e.g., less than 1 h) on simple hardware (e.g., laptop computers with 1–2 processors). Thus, there remains a practical need for fast-running tools that can be used to downscale coarse NWP model winds in complex terrain.

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for longwave radiation (Mlawer et al., 1997), Duhdia (1989) for shortwave radiation, and the Yonsei University (YSU) boundary layer scheme (Hong et al., 2006). WRF-UW is run at 00z and 12z and generates hourly forecasts out to 84 h. The computational domain consists of 38 vertical layers. The first grid layer is approximately 40 m a.g.l. and the average model top height is approximately 16 000 m a.g.l.

## 2.2 Weather Research and Forecasting Reanalysis (WRF-NARR)

WRF-ARW reanalysis runs were performed using the NCEP North American Regional Reanalysis (NARR) data (Mesinger et al., 2006). The reanalysis runs are referred to as WRF-NARR. The same parameterizations and grid nesting structures used in WRF-UW were also used for the WRF-NARR simulations, except that the WRF-NARR inner domain had 33 vertical layers and a horizontal grid resolution of 1.33 km (Table 1). Analysis nudging (e.g., Stauffer and Seaman, 1994) was used above the boundary layer in the outer domain (36 km horizontal grid resolution). Hourly WRF-NARR simulations were run for 15 day periods with 12 h of model spin up prior to each simulation. The first grid layer was approximately 38 m a.g.l. and the average model top height was approximately 15 000 m a.g.l. WRF-NARR differs from the other models used in this study in that it is not a routinely run model. These were custom simulations conducted by our group to provide a best-case scenario for the NWP models. Routine forecasts are already available for limited domains (e.g., UW provides WRF simulations on a 1.33 km grid for a small domain in the Pacific Northwest of the US) and are likely to become more widely available at this grid resolution in the near future.

## 2.3 North American Mesoscale Model (NAM)

The North American Mesoscale (NAM) model is an operational forecast model run by NCEP for North America (<http://www.emc.ncep.noaa.gov/index.php?branch=NAM>). The NAM model uses the NMM core of the WRF model. The NAM CONUS domain investigated in this study has a horizontal grid resolution of 12 km. NAM employs the

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Noah Land Surface model (Chen et al., 1996), Ferrier et al. (2003) for microphysics, Kain (2004) for convection, GFDL (Lacis and Hansen, 1974) for longwave and shortwave radiation, and the Mellor–Yamada–Janjic (MJF) boundary layer scheme (Janjic, 2002). The NAM model is initialized with 12 h runs of the NAM Data Assimilation System. It is run four times daily at 00z, 06z, 12z, and 18z and generates hourly forecasts out to 84 h. The computational domain consists of 26 vertical layers. The first grid layer is approximately 200 m a.g.l. and the average model top height is approximately 15 000 m a.g.l. NAM forecasts are publicly available in real time from NCEP.

### 2.4 High Resolution Rapid Refresh (HRRR)

The High Resolution Rapid Refresh (HRRR) system is a nest inside of the NCEP–Rapid Refresh (RAP) model (13 km horizontal grid resolution; <http://ruc.noaa.gov/hrrr/>). HRRR has a horizontal grid resolution of 3 km and is updated hourly. HRRR uses the WRF model with the ARW core and employs the RUC–Smirnova Land Surface Model (Smirnova et al., 1997, 2000), Thompson et al. (2004) microphysics, RRTM longwave radiation (Mlawer et al., 1997), Goddard shortwave radiation (Chou and Suarez, 1994), the MYJ boundary layer scheme (Janjic, 2002). HRRR is initialized from 3 km grids with 3 km radar assimilation over a 1 h period. HRRR is currently the highest resolution operational forecast available in real time. The computational domain consists of 51 vertical layers. The first grid layer is approximately 8 m a.g.l. and the average model top height is approximately 16 000 m a.g.l.

### 2.5 WindNinja

WindNinja is a mass-conserving diagnostic wind model developed and maintained by the USFS Missoula Fire Sciences Laboratory (Forthofer et al., 2014a). The theoretical formulation is described in detail in Forthofer et al. (2014a). Here we provide a brief overview of the modeling framework. WindNinja uses a variational calculus technique to minimize the change in an initial wind field while conserving mass locally (within each

cell) and globally over the computational domain. The numerical solution is obtained using finite element method (FEM) techniques on a terrain-following mesh consisting of layers of hexahedral cells that grow vertically with height.

WindNinja includes a diurnal slope flow parameterization (Forthofer et al., 2009).

The diurnal slope flow model used in WindNinja is the shooting flow model in Mahrt (1982). It is a one-dimensional model of buoyancy-driven flow along a slope. A micrometeorological model similar to the one used in CALMET (Scire et al., 2000; Scire and Robe, 1997) is used to compute surface heat flux, Monin–Obukhov length, and boundary layer height. The slope flow is then calculated as a function of sensible heat flux, distance to ridgetop or valley bottom, slope steepness, and surface and entrainment drag parameters. The slope flow is computed for each grid cell and added to the initial wind in that grid cell. Additional details can be found in Forthofer et al. (2009).

WindNinja was used to dynamically downscale hourly 10 m wind predictions from the above NWP models. The WindNinja computational domain was constructed from 30 m resolution Shuttle Radar Topography Mission (SRTM) data (Farr et al., 2007). The 10 m NWP winds were bilinearly interpolated to the WindNinja computational domain and used as the initial wind field. Layers above and below the 10 m height were fit to a logarithmic profile based on the micrometeorological model. The computational domain consisted of 20 vertical layers. The first grid layer is 1.92 m a.g.l. and the average model top height is 931 m a.g.l.

## 2.6 Terrain representation

The four NWP models used in this study employ an implementation of the WRF model. They use different initial and boundary conditions, incorporate different parameterizations for sub-grid processes, such as land surface fluxes, convection, and PBL evolution, but in terms of surface wind predictions under the conditions investigated in this study (inland, dry summertime conditions), the horizontal grid resolution is arguably the most important difference among the models. The horizontal grid resolution affects the numerical solution since fewer terrain features are resolved by coarser grids. Coarser

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Butler et al. (2015) observed the following general flow features at BSB. During periods of weak synoptic and mesoscale forcing (hereafter, referred to collectively as “external forcing”), the observed surface winds at BSB were decoupled from the large-scale atmospheric flows, except for at high-elevation ridgetop locations. Diurnal slope flows dominated the local surface winds under periods of weak external forcing. There were frequent periods of strong external forcing, during which the diurnal slope winds on BSB were completely overtaken by the larger-scale winds. These periods of strong external forcing at BSB were typically characterized by large-scale southwesterly flow aligned with the Snake River Plain, although occasionally there were also strong early morning winds from the northeast. Under periods of strong external forcing wind speeds commonly varied by as much as  $15 \text{ m s}^{-1}$  across the domain due to mechanical effects of the terrain (e.g., speed-up over ridges and lower speeds on leeward slopes). Additional details regarding the BSB field campaign can be found in Butler et al. (2015).

### 3.2 Evaluation methods

Hourly observations were compared against corresponding hourly predictions from the most recent model run. Modeled and observed winds were compared by interpolating the modeled surface wind variables to the observed surface sensor locations at each site. The 10 m winds from the NWP forecasts were interpolated to sensor locations, using bilinear interpolation in the horizontal dimension and a log profile in the vertical dimension. A 3-D interpolation scheme was used to interpolate WindNinja winds to the sensor locations. This 3-D interpolation was possible because the WindNinja domain had layers above and below the surface sensor height (3.0 m a.g.l.). A 3-D interpolation scheme was not possible for the NWP domains since there were not any layers below the three meter surface sensor height.

Model performance was quantified in terms of the mean bias, root-mean-square error (RMSE), and standard deviation of the error (SDE):

$$\overline{\varphi'} = \frac{1}{N} \sum_{i=1}^N \varphi' \quad (1)$$

$$\text{RMSE} = \left[ \frac{1}{N} \sum_{i=1}^N (\varphi'_i)^2 \right]^{1/2} \quad (2)$$

$$\text{SDE} = \left[ \frac{1}{N-1} \sum_{i=1}^N (\varphi'_i - \overline{\varphi'})^2 \right]^{1/2} \quad (3)$$

where  $\varphi'$  is the difference between simulated and observed variables and  $N$  is the number of observations.

### 3.3 Case selection

We selected a five-day period from 15–19 July 2010 for model evaluations. This specific period was chosen because it included periods of both strong and weak external forcing, conditions were consistently dry and sunny, and was a period for which we were able to acquire forecasts from all NWP models selected for investigation in this study.

The observed data from the five-day period were broken into periods of upslope, downslope, and externally-driven flow conditions to further investigate model performance under these particular types of flow regimes. We used the partitioning schemes described in Butler et al. (2015). Externally-driven events were partitioned out by screening for hours during which wind speeds at a designated sensor (R2, located 5 km southwest of the butte in flat terrain) exceeded a predetermined threshold wind speed of  $6 \text{ m s}^{-1}$ . This sensor was chosen because it was located in flat terrain far from

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the butte and therefore was representative of near-surface winds that were largely unaffected by the butte itself. Hours of upslope and downslope flows (i.e., observations under weak external forcing) were then partitioned out of the remaining data. Additional details regarding the partitioning scheme can be found in Butler et al. (2015). Statistical metrics were computed for these five-day periods.

We also chose one specific hour representative of each flow regime within the 5 day period to qualitatively investigate model performance for single flow events under the three flow regimes. This direct comparison of NWP model predictions, downscaled predictions, and observations for single events was performed in order to get a visual sense of how the models performed spatially, while avoiding any inadvertent complicating issues that may have arisen from temporal averaging over the flow regimes.

## 4 Results and discussion

### 4.1 Overview of the five-day simulations

Figure 2 shows observed vs. forecasted wind speeds during the five-day period. The following generalizations can be made. The NWP models predicted wind speeds below  $5 \text{ m s}^{-1}$  reasonably well on average, although HRRR tended to over predict at speeds below  $3 \text{ m s}^{-1}$  (Fig. 2). There is a lot of scatter about the regression lines, but the regressions follow the line of agreement fairly well up to observed speeds around  $5 \text{ m s}^{-1}$ . Downscaling did not improve wind speed predictions much in this range. NWP forecast accuracy declined for observed speeds between  $5$  and  $10 \text{ m s}^{-1}$ , and accuracy sharply dropped off for observed speeds above  $10 \text{ m s}^{-1}$ . This is indicated by the rapid departure of the NWP model regression lines from the line of agreement (Fig. 2). Downscaling improved wind speed predictions for all NWP forecasts for observed speeds greater than around  $5 \text{ m s}^{-1}$  and the biggest improvements were for observed speeds greater than  $10 \text{ m s}^{-1}$  (Fig. 2). This is indicated by the relative proximity of the downscaled regression lines to the line of agreement (Fig. 2).

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the local mechanical effects of the terrain on the flow. Because there is weak external forcing (i.e., input wind speeds to WindNinja are low), the downscaling is largely driven by the diurnal slope flow parameterization in WindNinja during the slope flow regimes.

During upslope flow, the diurnal slope flow parameterization increases speeds on the windward slopes and reduces speeds (or reverses flow and increases speeds, depending on the strength of the slope flow relative to the prevailing flow) on lee slopes due to the opposing effects of the prevailing wind and the thermal slope flow. The parameterization has the opposite effect during downslope flow; windward slope speeds are reduced (or possibly increased if downslope flow is strong enough to reverse the prevailing flow) and lee side speeds are enhanced.

#### 4.2.1 Wind speed

The biggest improvements in wind speed predictions from downscaling occurred during externally-driven flow events (Fig. 5). This is not surprising since the highest spatial variability in the observed wind speeds occurred during high-wind events due to mechanically-induced effects of the terrain, with higher speeds on ridges and windward slopes and lower speeds in sheltered side drainages and on the lee side of the butte (Figs. 6–8). Since WindNinja is designed primarily to simulate the mechanical effects of the terrain on the flow, it is during these high-wind events that the downscaling has the most opportunity to improve predictions across the domain.

The NWP models tended to under predict wind speeds on the windward slopes, ridgetops, and surrounding flat terrain, and over predict on the lee side of the butte during high wind events (e.g., Fig. 6). The largest NWP errors in wind speed during high wind events were on the ridgetops, where speed-up occurred and the NWP under predicted speeds. These largest wind speed errors were reduced by downscaling (e.g., Fig. 6). Downscaling reduced NWP wind speed errors in most regions on the butte, although the general trend of under predicting wind speeds on the windward side and over predicting on the lee side did not change (e.g., Fig. 6).

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**Table 1.** Model specifications.

Model	Horizontal grid resolution	Number vertical layers	First layer height* (m a.g.l.)	Top height* (m a.g.l.)	Numerical core	Run frequency
NAM	12 km	26	200	15 000	NMM	00z, 06z, 12z, 18z
WRF-UW	4 km	38	40	16 000	ARW	00z, 12z
HRRR	3 km	51	8	16 000	ARW	hourly
WRF-NARR	1.33 km	33	38	15 000	ARW	NA
WindNinja	138 m	20	1.92	931	NA	NA

\* Approximate average height a.g.l.

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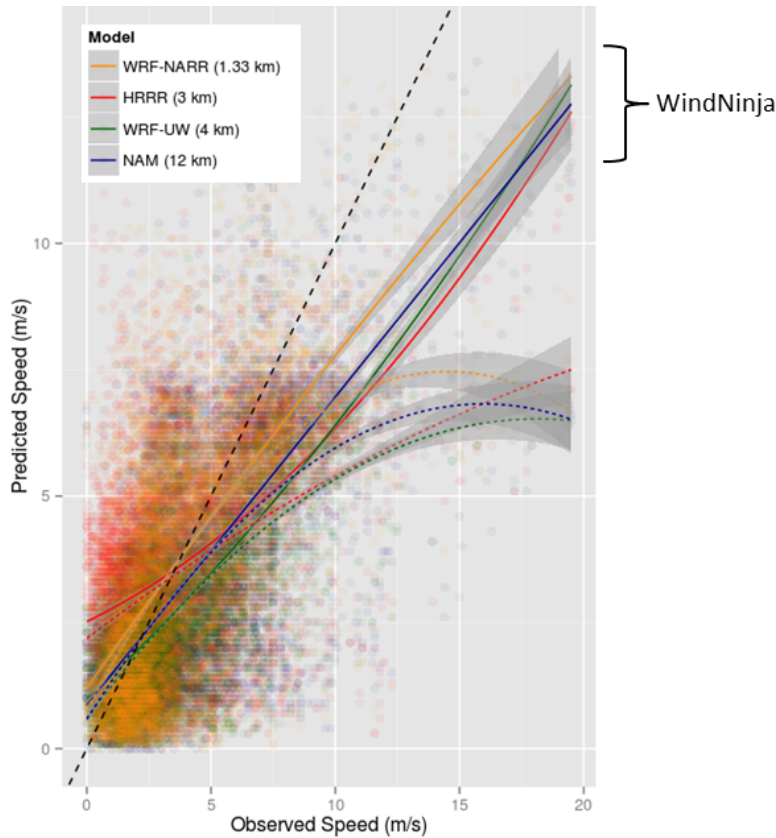
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**Table 2.** Model mean bias, root-mean-square error (RMSE), and standard deviation of errors (SDE) for surface wind speeds and directions during the 5 day evaluation period at Big Southern Butte. Downscaled values are in parentheses. Smaller values are in bold. The 5 day period includes the Downslope, Upslope, and Externally-driven time periods.

Time period	Statistic	NAM	WRF-UW	HRRR	WRF-NARR
Wind Speed ( $\text{m s}^{-1}$ )					
5-day	Bias	-0.84 ( <b>-0.67</b> )	-1.17 ( <b>-0.95</b> )	-0.40 ( <b>-0.14</b> )	-0.31 ( <b>-0.08</b> )
	RMSE	2.31 ( <b>2.04</b> )	2.39 ( <b>2.07</b> )	2.52 ( <b>2.47</b> )	2.33 ( <b>2.21</b> )
	SDE	2.15 ( <b>1.92</b> )	2.08 ( <b>1.83</b> )	2.49 ( <b>2.47</b> )	2.31 ( <b>2.21</b> )
Downslope	Bias	-1.07 ( <b>-0.76</b> )	-1.15 ( <b>-0.74</b> )	<b>-0.09</b> (0.48)	-0.48 ( <b>0.12</b> )
	RMSE	2.08 ( <b>1.92</b> )	2.03 ( <b>1.83</b> )	<b>2.36</b> (2.66)	<b>2.19</b> (2.28)
	SDE	1.79 ( <b>1.77</b> )	<b>1.67</b> (1.68)	<b>2.36</b> (2.62)	<b>2.14</b> (2.28)
Upslope	Bias	-0.81 ( <b>-0.74</b> )	-1.11 ( <b>-0.98</b> )	-0.81 ( <b>-0.75</b> )	0.06 ( <b>0.05</b> )
	RMSE	1.73 ( <b>1.62</b> )	2.02 ( <b>1.86</b> )	1.93 ( <b>1.81</b> )	1.86 (1.86)
	SDE	1.52 ( <b>1.44</b> )	1.69 ( <b>1.58</b> )	1.76 ( <b>1.64</b> )	1.86 (1.86)
Externally-driven	Bias	<b>-0.57</b> (-0.62)	<b>-1.28</b> (-1.32)	<b>-0.94</b> (-1.03)	<b>-0.22</b> (-0.33)
	RMSE	3.06 ( <b>2.48</b> )	3.21 ( <b>2.58</b> )	3.17 ( <b>2.59</b> )	2.92 ( <b>2.39</b> )
	SDE	3.00 ( <b>2.40</b> )	2.94 ( <b>2.22</b> )	3.02 ( <b>2.38</b> )	2.92 ( <b>2.37</b> )
Wind Direction ( $^{\circ}$ )					
5-day	Bias	59 ( <b>56</b> )	57 ( <b>53</b> )	64 ( <b>60</b> )	57 ( <b>54</b> )
	RMSE	76 ( <b>72</b> )	74 ( <b>71</b> )	80 ( <b>76</b> )	73 ( <b>71</b> )
	SDE	47 ( <b>46</b> )	47 ( <b>46</b> )	47 ( <b>46</b> )	46 (46)
Downslope	Bias	67 ( <b>60</b> )	61 ( <b>56</b> )	76 ( <b>67</b> )	66 ( <b>61</b> )
	RMSE	83 ( <b>77</b> )	78 ( <b>72</b> )	88 ( <b>81</b> )	81 ( <b>75</b> )
	SDE	49 ( <b>47</b> )	48 ( <b>46</b> )	46 (46)	47 ( <b>45</b> )
Upslope	Bias	55 ( <b>52</b> )	58 ( <b>54</b> )	56 (56)	52 ( <b>49</b> )
	RMSE	70 ( <b>67</b> )	74 ( <b>71</b> )	72 (72)	68 ( <b>65</b> )
	SDE	44 ( <b>42</b> )	46 ( <b>45</b> )	<b>45</b> (46)	44 ( <b>42</b> )
Externally-driven	Bias	<b>48</b> (49)	<b>45</b> (46)	51 ( <b>50</b> )	<b>44</b> (46)
	RMSE	<b>64</b> (65)	<b>63</b> (65)	68 ( <b>67</b> )	<b>62</b> (65)
	SDE	<b>43</b> (44)	<b>44</b> (47)	45 ( <b>44</b> )	<b>43</b> (46)







**Figure 2.** Observed vs. predicted wind speeds for the 5 day evaluation period at Big Southern Butte. Dashed black line is the 1 : 1 line. Colored lines are linear regressions (quadratic fit); dashed lines are NWP models and solid lines are NWP forecasts downscaled with WindNinja. Shading indicates 95 % confidence intervals.

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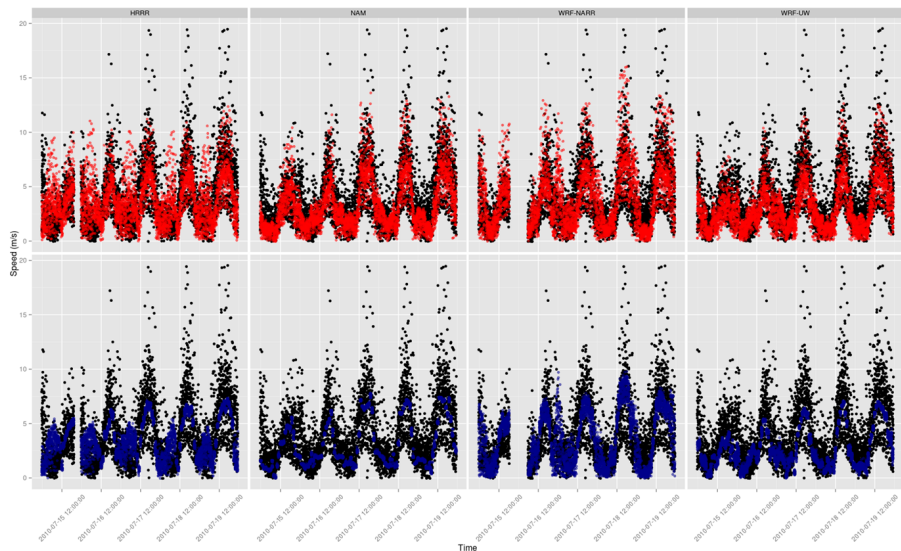
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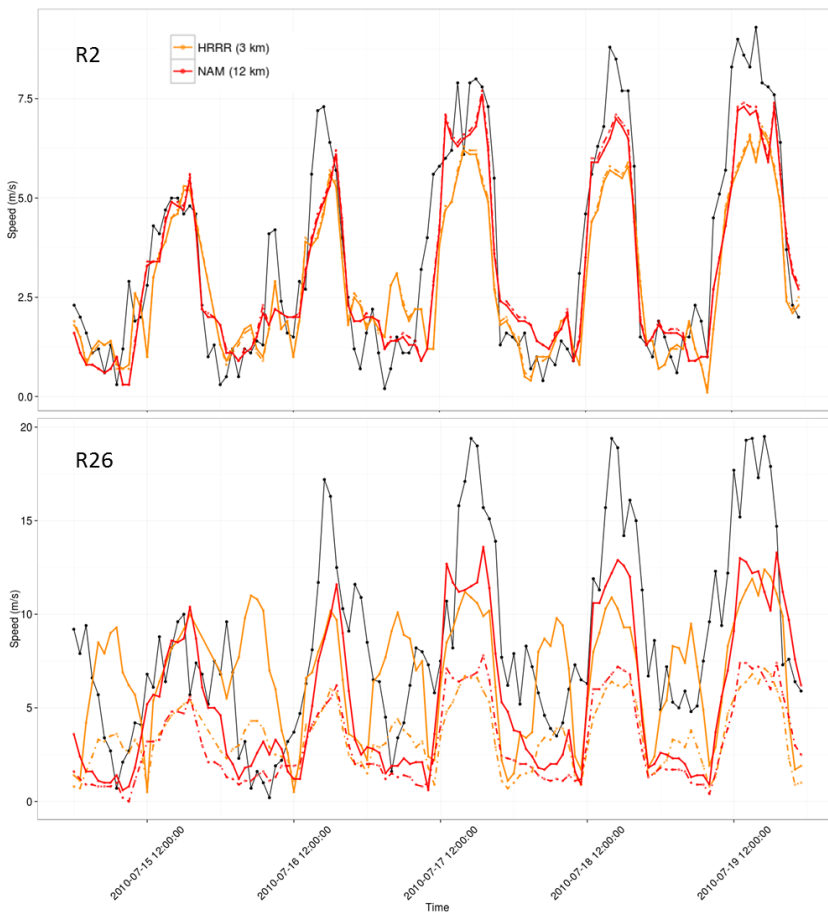
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**Figure 3.** Observed (black) and predicted (colored) winds speeds at all sensors for 15–19 July 2010 at Big Southern Butte. Top panels are WindNinja predictions. Bottom panels are NWP predictions.



**Figure 4.** Observed (black line) and predicted (colored lines) wind speeds for sensor R2 located 5 km southwest of Big Southern Butte on the Snake River Plain and sensor R26 located on a ridgetop. Dashed colored lines are NWP and solid colored lines are WindNinja.

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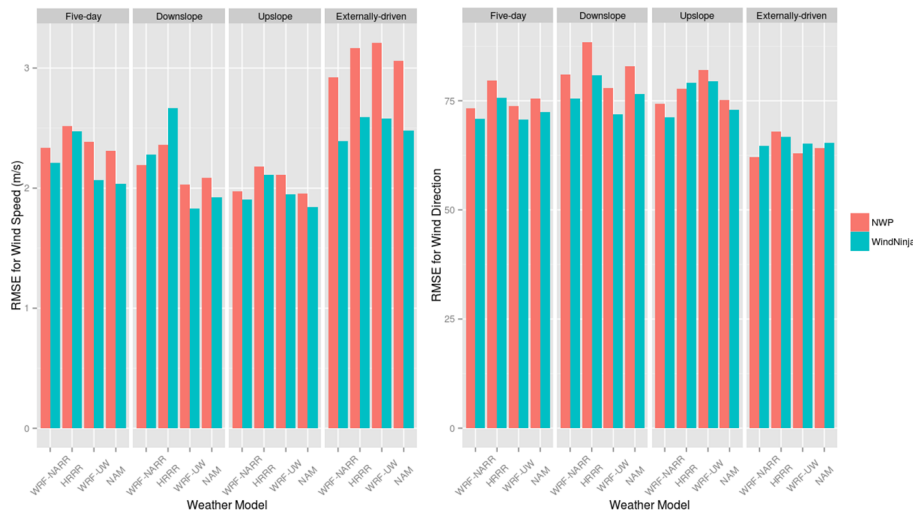
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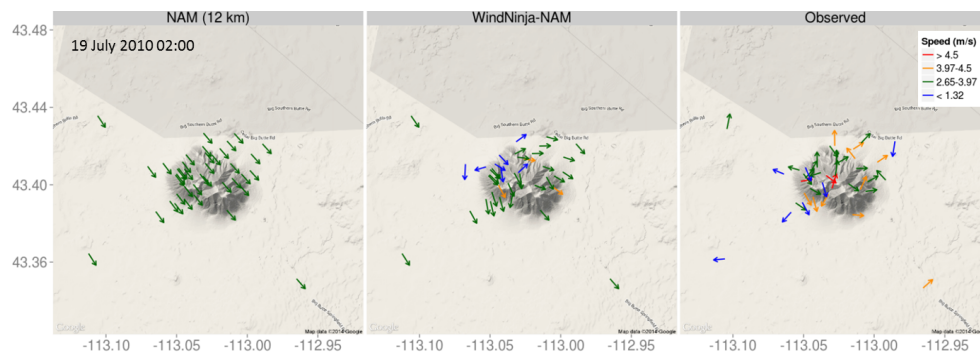


**Figure 5.** Root-mean-square error in wind speed (left) and wind direction (right) at Big Southern Butte for the five-day evaluation period ( $N = 4149$ ), and downslope ( $N = 1593$ ), upslope ( $N = 717$ ), and externally-driven ( $N = 966$ ) periods within the five-day period. Sample size,  $N$  = number of hours  $\times$  number of sensor locations.



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**Figure 7.** Predicted and observed winds for a downslope flow event at Big Southern Butte.

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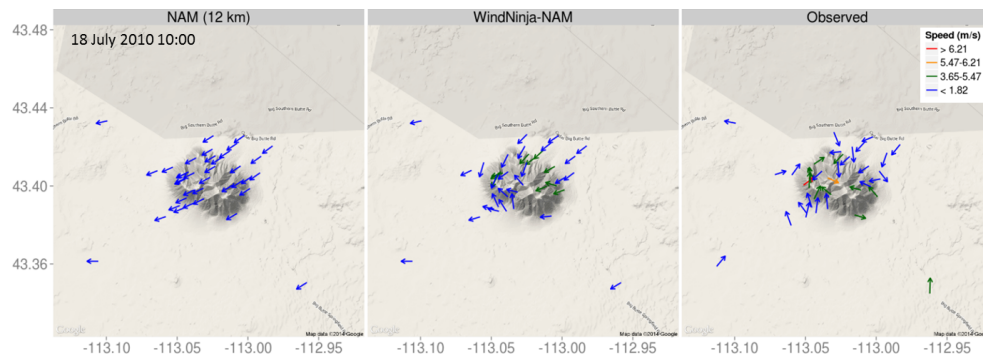
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**Figure 8.** Predicted and observed winds for an upslope flow event at Big Southern Butte.

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