

1 **Downscaling Surface Wind Predictions from Numerical Weather Prediction Models in**  
2 **Complex Terrain with WindNinja**

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12

13 **Abstract**

14 Wind predictions in complex terrain are important for a number of applications. Dynamic  
15 downscaling of numerical weather prediction (NWP) model winds with a high resolution wind  
16 model is one way to obtain a wind forecast that accounts for local terrain effects, such as wind  
17 speed-up over ridges, flow channeling in valleys, flow separation around terrain obstacles, and  
18 flows induced by local surface heating and cooling. In this paper we investigate the ability of a  
19 mass-consistent wind model for downscaling near-surface wind predictions from four NWP  
20 models in complex terrain. Model predictions are compared with surface observations from a  
21 tall, isolated mountain. Downscaling improved near-surface wind forecasts under high-wind  
22 (near-neutral atmospheric stability) conditions. Results were mixed during upslope and  
23 downslope (non-neutral atmospheric stability) flow periods, although wind direction  
24 predictions generally improved with downscaling. This work constitutes evaluation of a  
25 diagnostic wind model at unprecedented high spatial resolution in terrain with topographical  
26 ruggedness approaching that of typical landscapes in the western US susceptible to wildland  
27 fire.

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29

30 **1. Introduction**

31 Researchers from multiple disciplines rely on routine forecasts from numerical weather  
32 prediction (NWP) models to drive transport and dispersion models, conduct wind assessments  
33 for wind energy projects, and predict the spread of wildfires. These applications require fine-  
34 scale, near-surface wind predictions in regions where rugged terrain and vegetation have a  
35 significant effect on the local flow field. Terrain effects such as wind speed-up over ridges, flow  
36 channeling in valleys, flow separation around terrain obstacles, and enhanced surface  
37 roughness alter the flow field over spatial scales finer than those used for routine, operational  
38 NWP forecasting.

39  
40 Numerous operational mesoscale NWP model forecast products are available in real-time, such  
41 as those provided by National Centers for Environmental Prediction (NCEP). Access to these  
42 output products is facilitated by automated archiving and distribution systems such as the  
43 National Operational Model Archive and Distribution System (NOMADS). These routine  
44 forecast products are highly valuable to researchers and forecasters, for example, as inputs to  
45 drive other models. In many cases, however, the spatial resolution of the system of interest  
46 (e.g., wildland fire spread) is much finer than that of the NWP model output.

47  
48 The model grid horizontal resolution in operational NWP models is limited due, in part, to the  
49 high computational demands of NWP. Routine gridded forecast products are typically provided  
50 at grid resolutions of 3 km or larger. The High Resolution Rapid Refresh (HRRR) model produces  
51 3-km output grids and is currently the highest-resolution operational forecast in the U.S.

52

53 NWP models have been run successfully with grid resolutions of less than 1 km in complex  
54 terrain for specific cases when modifications were made to the meshing (Lundquist et al. 2010)  
55 or PBL schemes (Ching et al., 2014; Seaman et al., 2012) or when large-eddy simulation (LES)  
56 was used (Chow and Street, 2008). While successful for specific test cases, these efforts  
57 employ specialized model configurations that have not been incorporated into routine  
58 forecasting frameworks, either because they are not sufficiently robust, have not been  
59 thoroughly tested, or are too computationally intense for routine forecasting. For example, the  
60 configuration used in Seaman et al. (2012) is applicable for stable nocturnal conditions only.

61

62 Additionally, these modifications require technical expertise in NWP and access to substantial  
63 computing resources, which many consumers of NWP output do not have. Perhaps, the biggest  
64 limitation to running NWP models on grids with fine horizontal resolution is the computational  
65 demand. Time-sensitive applications, such as operational wildland fire support, require fast  
66 solution times (e.g., less than 1 hr) on simple hardware (e.g., laptop computers with 1-2  
67 processors). Thus, there remains a practical need for fast-running tools that can be used to  
68 downscale coarse NWP model winds in complex terrain.

69

70 Dynamic downscaling with a steady-state (diagnostic) wind model is one option for obtaining  
71 near-surface high-resolution winds from routine NWP model output (e.g., Beaucage et al.,  
72 2014). The NWP model provides an initial wind field that accounts for mesoscale dynamics  
73 which is then downscaled by a higher resolution wind model to enforce conservation of mass

74 and, in some cases, momentum and energy on the flow field on a higher resolution grid that  
75 better resolves individual terrain features. Dynamic downscaling can be done in a steady-state  
76 fashion for each time step of the NWP model output. One advantage of using a steady-state  
77 downscaling approach is that the spatial resolution can be increased with no additional  
78 computational cost associated with an increase in temporal resolution.

79  
80 Diagnostic wind models have primarily been evaluated with observations collected over  
81 relatively simple, low elevation hills. Askervein Hill (Taylor and Teunissen, 1987) and Bolund Hill  
82 (Berg et al., 2011) are the two mostly commonly used datasets for evaluating diagnostic wind  
83 models. These are both geometrically simple, low-elevation hills compared to the complex  
84 terrain exhibited in many regions of the western U.S. susceptible to wildland fire. Lack of  
85 evaluations under more complex terrain is due in part to the lack of high-resolution datasets  
86 available in complex terrain. Recently, Butler et al. (2015) reported high-resolution wind  
87 observations from a tall, isolated mountain (Big Southern Butte) in the western U.S. Big  
88 Southern Butte is substantially taller and more geometrically complex than both Askervein and  
89 Bolund hills.

90  
91 In this work, we investigate the ability of a mass-conserving wind model, WindNinja (Forthofer  
92 et al., 2014a), for dynamically downscaling NWP model winds over Big Southern Butte.  
93 WindNinja is a diagnostic wind model developed for operational wildland fire support. It is  
94 primarily designed to simulated mechanical effects of terrain on the flow, which are most  
95 important under high-wind conditions; however, WindNinja also contains parameterizations for

96 local thermal effects, which are more important under periods of weak external forcing.  
97 WindNinja has primarily been evaluated under high-wind conditions, which are thought to be  
98 most important for wildland fire behavior, and so these the thermal parameterizations have not  
99 been thoroughly tested. WindNinja has previously been evaluated against the Askervein Hill  
100 data (Forthofer et al., 2014a) and found to capture important terrain-induced flow features,  
101 such as ridgetop speed-up, and it has been shown to improve wildfire spread predictions in  
102 complex terrain (Forthofer et al., 2014b). We focus on downscaling wind in this work because it  
103 is typically more spatially and temporally variable than temperature or relative humidity, and  
104 thus, more important to predict at high spatial resolution. Wind is also often the driving  
105 environmental variable for wildfire behavior.

106  
107 The goals of this work were to (1) investigate the accuracy of NWP model near-surface wind  
108 predictions in complex terrain on spatial scales relevant for processes driven by local surface  
109 winds, such as wildland fire behavior and (2) assess the ability of a mass-consistent wind model  
110 to improve these predictions through dynamic downscaling. Wind predictions are investigated  
111 from four NWP models operated on different horizontal grid resolutions. This work constitutes  
112 one of the first evaluations of a diagnostic wind model with data collected over terrain with a  
113 topographical ruggedness approaching that of western U.S. landscapes susceptible to wildland  
114 fire.

115

## 116 **2. Model descriptions and configurations**

117 WRF is a NWP model that solves the non-hydrostatic, fully compressible Navier-Stokes  
118 equations using finite difference method (FDM) discretization techniques (Skamarock et al.,  
119 2008). All of the NWP models investigated in this work use either the Advanced Research WRF  
120 (ARW) or the non-hydrostatic multi-scale model (NMM) core of the WRF model (Table 1).

### 121 *2.1. Routine Weather Research and Forecasting (WRF-UW)*

122 Routine WRF-ARW forecasts with 4 km horizontal grid resolution were acquired from the  
123 University of Washington Atmospheric Sciences forecast system  
124 ([www.atmos.washington.edu/mm5rt/info.html](http://www.atmos.washington.edu/mm5rt/info.html)). These forecasts are referred to as WRF-UW.  
125 The outer domain of WRF-UW has a horizontal grid resolution of 36 km and covers most of the  
126 western US and northeastern Pacific Ocean. This outer domain is initialized with NCEP Global  
127 Forecast System (GFS) 1-degree runs. The 36 km grid is nested down to 12 km, 4 km, and an  
128 experimental 1.33 km grid which covers a limited portion of the Pacific Northwest. The 4 km  
129 grid investigated in this study covers the Pacific Northwest, including Washington, Oregon,  
130 Idaho, and portions of California, Nevada, Utah, Wyoming, and Montana. Physical  
131 parameterizations employed by WRF-UW include the Noah Land Surface Model (Chen et al.,  
132 1996), Thompson microphysics (Thompson et al., 2004), Kain-Fritsch convective scheme (Kain,  
133 2004), Rapid Radiative Transfer Model (RRTM) for longwave radiation (Mlawer et al., 1997),  
134 Duhdia (1989) for shortwave radiation, and the Yonsei University (YSU) boundary layer scheme  
135 (Hong et al., 2006). WRF-UW is run at 00z and 12z and generates hourly forecasts out to 84  
136 hours. The computational domain consists of 38 vertical layers. The first grid layer is  
137 approximately 40 m AGL and the average model top height is approximately 16000 m AGL.

138 *2.2. Weather Research and Forecasting Reanalysis (WRF-NARR)*

139 WRF-ARW reanalysis runs were performed using the NCEP North American Regional Reanalysis  
140 (NARR) data (Mesinger et al., 2006). The reanalysis runs are referred to as WRF-NARR. The  
141 same parameterizations and grid nesting structures used in WRF-UW were also used for the  
142 WRF-NARR simulations, except that the WRF-NARR inner domain had 33 vertical layers and a  
143 horizontal grid resolution of 1.33 km (Table 1). Analysis nudging (e.g., Stauffer and Seaman,  
144 1994) was used above the boundary layer in the outer domain (36 km horizontal grid  
145 resolution). Hourly WRF-NARR simulations were run for 15 day periods with 12 hours of model  
146 spin up prior to each simulation. The first grid layer was approximately 38 m AGL and the  
147 average model top height was approximately 15000 m AGL. WRF-NARR differs from the other  
148 models used in this study in that it is not a routinely run model. These were custom simulations  
149 conducted by our group to provide a best-case scenario for the NWP models. Routine forecasts  
150 are already available for limited domains (e.g., UW provides WRF simulations on a 1.33 km grid  
151 for a small domain in the Pacific Northwest of the US) and are likely to become more widely  
152 available at this grid resolution in the near future.

153 *2.3. North American Mesoscale Model (NAM)*

154 The North American Mesoscale (NAM) model is an operational forecast model run by NCEP for  
155 North America (<http://www.emc.ncep.noaa.gov/index.php?branch=NAM>). The NAM model  
156 uses the NMM core of the WRF model. The NAM CONUS domain investigated in this study has  
157 a horizontal grid resolution of 12 km. NAM employs the Noah Land Surface model (Chen et al.,  
158 1996), Ferrier et al. (2003) for microphysics, Kain (2004) for convection, GFDL (Lacis and



159 Hansen, 1974) for longwave and shortwave radiation, and the Mellor-Yamada-Janjic (MJF)  
160 boundary layer scheme (Janjic, 2002). The NAM model is initialized with 12-hr runs of the NAM  
161 Data Assimilation System. It is run four times daily at 00z, 06z, 12z, and 18z and generates  
162 hourly forecasts out to 84 hours. The computational domain consists of 26 vertical layers. The  
163 first grid layer is approximately 200 m AGL and the average model top height is approximately  
164 15000 m AGL. NAM forecasts are publicly available in real time from NCEP. Although the 12-  
165 km horizontal resolution used in NAM is not sufficient to resolve the butte, this resolution is  
166 sufficient for resolving the surrounding Snake River Plain and therefore can be used to generate  
167 a domain-average flow for input to WindNinja.

#### 168 *2.4. High Resolution Rapid Refresh (HRRR)*

169 The High Resolution Rapid Refresh (HRRR) system is a nest inside of the NCEP-Rapid Refresh  
170 (RAP) model (13 km horizontal grid resolution; <http://ruc.noaa.gov/hrrr/>). HRRR has a  
171 horizontal grid resolution of 3 km and is updated hourly. HRRR uses the WRF model with the  
172 ARW core and employs the RUC-Smirnova Land Surface Model (Smirnova et al., 1997; Smirnova  
173 et al., 2000), Thompson et al. (2004) microphysics, RRTM longwave radiation (Mlawer et al.,  
174 1997), Goddard shortwave radiation (Chou and Suarez, 1994), the MYJ boundary layer scheme  
175 (Janjic, 2002). HRRR is initialized from 3-km grids with 3-km radar assimilation over a 1-hr  
176 period. HRRR is currently the highest resolution operational forecast available in real time. The  
177 computational domain consists of 51 vertical layers. The first grid layer is approximately 8 m  
178 AGL and the average model top height is approximately 16000 m AGL.

#### 179 *2.5. WindNinja*

180 WindNinja is a mass-conserving diagnostic wind model developed and maintained by the USFS  
181 Missoula Fire Sciences Laboratory (Forthofer et al., 2014a). The theoretical formulation is  
182 described in detail in Forthofer et al. (2014a). Here we provide a brief overview of the  
183 modeling framework. WindNinja uses a variational calculus technique to minimize the change  
184 in an initial wind field while conserving mass locally (within each cell) and globally over the  
185 computational domain. The numerical solution is obtained using finite element method (FEM)  
186 techniques on a terrain-following mesh consisting of layers of hexahedral cells that grow  
187 vertically with height.

188

189 WindNinja includes a diurnal slope flow parameterization (Forthofer et al., 2009). The diurnal  
190 slope flow model used in WindNinja is the shooting flow model in Mahrt (1982). It is a one-  
191 dimensional model of buoyancy-driven flow along a slope. A micrometeorological model  
192 similar to the one used in CALMET (Scire et al., 2000; Scire and Robe, 1997) is used to compute  
193 surface heat flux, Monin-Obukhov length, and boundary layer height. The slope flow is then  
194 calculated as a function of sensible heat flux, distance to ridgetop or valley bottom, slope  
195 steepness, and surface and entrainment drag parameters. The slope flow is computed for each  
196 grid cell and added to the initial wind in that grid cell. Additional details can be found in  
197 Forthofer et al. (2009).

198

199 WindNinja was used to dynamically downscale hourly 10-m wind predictions from the above  
200 NWP models. The WindNinja computational domain was constructed from 30-m resolution

201 Shuttle Radar Topography Mission (SRTM) data (Farr et al., 2007). The 10-m NWP winds were  
202 bilinearly interpolated to the WindNinja computational domain and used as the initial wind  
203 field. Layers above and below the 10-m height were fit to a logarithmic profile (neutral  
204 atmospheric stability) based on the micrometeorological model. The computational domain  
205 consisted of 20 vertical layers. The first grid layer is 1.92 m AGL and the average model top  
206 height is 931 m AGL.

## 207 *2.6. Terrain representation*

208 The four NWP models used in this study employ an implementation of the WRF model. They  
209 use different initial and boundary conditions, incorporate different parameterizations for sub-  
210 grid processes, such as land surface fluxes, convection, and PBL evolution, but in terms of  
211 surface wind predictions under the conditions investigated in this study (inland, dry  
212 summertime conditions), the horizontal grid resolution is arguably the most important  
213 difference among the models. The horizontal grid resolution affects the numerical solution  
214 since fewer terrain features are resolved by coarser grids. Coarser grids essentially impart a  
215 smoothing effect which distorts the actual geometry of the underlying terrain (Fig. 1). As  
216 horizontal cell size and terrain complexity increase, the accuracy of the terrain representation  
217 and thus, the accuracy of the near-surface flow solution deteriorate.

## 218 219 **3. Evaluations with field observations**

### 220 *3.1. Observations at Big Southern Butte*

221 Surface wind data (Butler et al., 2015) collected from an isolated mountain (Big Southern Butte,  
222 hereafter 'BSB'; 43.395958, -113.02257) in southeast Idaho were used to evaluate surface wind  
223 predictions (Fig. 1). BSB is a predominantly grass-covered volcanic cinder cone with a  
224 horizontal scale of 5 km and a vertical scale of 800 m and surrounded in all directions by the  
225 relatively flat Snake River Plain. The portion of the Snake River Plain surrounding BSB slopes  
226 downward gently from the northeast to the southwest.

227

228 Three-meter wind speeds and directions were measured with cup-and-vane anemometers at  
229 53 locations on and around BSB. The anemometers have a measurement range of 0 to 44 m s<sup>-1</sup>,  
230 a resolution of 0.19 m s<sup>-1</sup> and 1.4°, and are accurate to within ±0.5 m s<sup>-1</sup> and ±5°. The  
231 anemometers measured wind speed and direction every second and logged 30-s averages. We  
232 averaged these 30-s winds over a 10-min period at the top of each hour (five minutes before  
233 and 5 minutes after the hour). The 10-min averaging period was chosen to correspond roughly  
234 with the time scale of wind predictions from the NWP forecasts. The NWP output is valid at a  
235 particular instant in time, but there is always some inherent temporal averaging in the  
236 predictions. The temporal averaging associated with a given prediction depends on the time-  
237 step used in the NWP model and is typically on the order of minutes. The 10-min averaged  
238 observed data are referred to in the text as 'hourly' observations (since they are averaged at  
239 the top of each hour) and are compared directly with the hourly model predictions.

240

241 Butler et al. (2015) observed the following general flow features at BSB. During periods of weak  
242 synoptic and mesoscale forcing (hereafter, referred to collectively as ‘external forcing’), the  
243 observed surface winds at BSB were decoupled from the large-scale atmospheric flows, except  
244 for at high-elevation ridgetop locations. Diurnal slope flows dominated the local surface winds  
245 under periods of weak external forcing. There were frequent periods of strong external forcing,  
246 during which the diurnal slope winds on BSB were completely overtaken by the larger-scale  
247 winds. These periods of strong external forcing at BSB were typically characterized by large-  
248 scale southwesterly flow aligned with the Snake River Plain, although occasionally there were  
249 also strong early morning winds from the northeast. Under periods of strong external forcing  
250 wind speeds commonly varied by as much as  $15 \text{ m s}^{-1}$  across the domain due to mechanical  
251 effects of the terrain (e.g., speed-up over ridges and lower speeds on leeward slopes).  
252 Additional details regarding the BSB field campaign can be found in Butler et al. (2015).

### 253 *3.2. Evaluation methods*

254 Hourly observations were compared against corresponding hourly predictions from the most  
255 recent model run. Modeled and observed winds were compared by interpolating the modeled  
256 surface wind variables to the observed surface sensor locations at each site. The 10-m winds  
257 from the NWP forecasts were interpolated to sensor locations, using bilinear interpolation in  
258 the horizontal dimension and a log profile in the vertical dimension. A 3-D interpolation  
259 scheme was used to interpolate WindNinja winds to the sensor locations. This 3-D  
260 interpolation was possible because the WindNinja domain had layers above and below the

261 surface sensor height (3.0 m AGL). A 3-D interpolation scheme was not possible for the NWP  
262 domains since there were not any layers below the three meter surface sensor height.

263

264 Model performance was quantified in terms of the mean bias, root-mean-square error (RMSE),  
265 and standard deviation of the error (SDE):

$$266 \quad \overline{\varphi'} = \frac{1}{N} \sum_{i=1}^N \varphi' \quad (1)$$

$$267 \quad \text{RMSE} = \left[ \frac{1}{N} \sum_{i=1}^N (\varphi'_i)^2 \right]^{1/2} \quad (2)$$

$$268 \quad \text{SDE} = \left[ \frac{1}{N-1} \sum_{i=1}^N (\varphi'_i - \overline{\varphi'})^2 \right]^{1/2} \quad (3)$$

269 where  $\varphi'$  is the difference between simulated and observed variables and  $N$  is the number of  
270 observations.

### 271 3.3. Case selection

272 We selected a five-day period from July 15-19 2010 for model evaluations. This specific period  
273 was chosen because it included periods of both strong and weak external forcing, conditions  
274 were consistently dry and sunny, and was a period for which we were able to acquire forecasts  
275 from all NWP models selected for investigation in this study.

276

277 The observed data from the five-day period were broken into periods of upslope, downslope,  
278 and externally-driven flow conditions to further investigate model performance under these  
279 particular types of flow regimes. We used the partitioning schemes described in Butler et al.  
280 (2015). Externally-driven events were partitioned out by screening for hours during which wind  
281 speeds at a designated sensor (R2, located 5 km southwest of the butte in flat terrain) exceeded  
282 a predetermined threshold wind speed of  $6 \text{ m s}^{-1}$ . This sensor was chosen because it was  
283 located in flat terrain far from the butte and therefore was representative of near-surface  
284 winds that were largely unaffected by the butte itself. Hours of upslope and downslope flows  
285 (i.e., observations under weak external forcing) were then partitioned out of the remaining  
286 data. Additional details regarding the partitioning scheme can be found in Butler et al. (2015).  
287 Statistical metrics were computed for these five-day periods.

288 We also chose one specific hour representative of each flow regime within the 5-day period to  
289 qualitatively investigate model performance for single flow events under the three flow  
290 regimes. This directly comparison of NWP model predictions, downscaled predictions, and  
291 observations for single events in order to get a visual sense for how the models performed  
292 spatially while avoiding any inadvertent complicating issues that may have arose from temporal  
293 averaging over the flow regimes.

## 294 **4. Results and discussion**

### 295 *4.1. Overview of the five-day simulations*

296 Fig. 2 shows observed vs. forecasted wind speeds during the five-day period. The following  
297 generalizations can be made. The NWP models predicted wind speeds below  $5 \text{ m s}^{-1}$

298 reasonably well on average, although HRRR tended to over predict at speeds below 3 m s<sup>-1</sup> (Fig.  
299 2). There is a lot of scatter about the regression lines, but the regressions follow the line of  
300 agreement fairly well up to observed speeds around 5 m s<sup>-1</sup>. Downscaling did not improve wind  
301 speed predictions much in this range. NWP forecast accuracy declined for observed speeds  
302 between 5 and 10 m s<sup>-1</sup>, and accuracy sharply dropped off for observed speeds above 10 m s<sup>-1</sup>.  
303 This is indicated by the rapid departure of the NWP model regression lines from the line of  
304 agreement (Fig 2). Downscaling improved wind speed predictions for all NWP forecasts for  
305 observed speeds greater than around 5 m s<sup>-1</sup> and the biggest improvements were for observed  
306 speeds greater than 10 m s<sup>-1</sup> (Fig. 2). This is indicated by the relative proximity of the  
307 downscaled regression lines to the line of agreement (Fig. 2).

308

309 Poor model accuracy at higher speeds is largely due to the models under predicting windward  
310 slope and ridgetop wind speeds. Observed speeds at these locations were often three or four  
311 times higher than speeds in other locations in the study area (e.g., note the spatial variability in  
312 Fig 3). Butler et al. (2015) showed that the highest observed speeds occurred on upper  
313 elevation windward slopes and ridgetops and the lowest observed speeds occurred on the  
314 leeward side of the butte and in sheltered side drainages on the butte itself. Downscaling with  
315 WindNinja offers improved predictions at these locations as indicated by Fig. 2 (regression lines  
316 in closer proximity to the line of agreement) and Fig. 3 (spatial variability in predictions more  
317 closely matches that of the observations).

318



319 Additionally, the downscaled NAM wind speeds were as accurate as the downscaled HRRR and  
320 WRF-UW wind speeds (Fig. 2). This indicates that the NAM forecast was able to capture the  
321 important large-scale flow features around BSB such that the additional resolution provided by  
322 HRRR and WRF-UW was not essential to resolve additional flow features in the large scale flow  
323 around BSB.

324

325 The accuracy of the NAM forecast at BSB is likely due to the fact that Snake River Plain which  
326 surrounds BSB is relatively flat and extends more than 50 km in all directions from the butte.  
327 Even a 12 km grid resolution would be capable of resolving the Snake River Plain and diurnal  
328 flow patterns within this large, gentle-relief drainage. Coarse-resolution models would not be  
329 expected to offer this same level of accuracy in areas of more extensive complex terrain,  
330 however. In areas surrounded by highly complex terrain it may be necessary to acquire NWP  
331 model output on finer grids in order to resolve the regional flow features.

332

333 The NWP forecasts predicted the overall temporal trend in wind speed (Fig. 3), but  
334 underestimated peak wind speeds due to under predictions on ridgetops and windward slopes  
335 as previously discussed, and also occasionally in the flat terrain on the Snake River Plain  
336 surrounding the butte (Fig. 4).

337

338 NWP models with coarser resolution grids predicted less spatial variability in wind speed (Fig.  
339 3). This is because there were fewer grid cells covering the domain, and thus fewer prediction  
340 points around the butte. The spatial variability in the downscaled wind speed predictions more  
341 closely matched that of the observed data, although the highest speeds were still under  
342 predicted (Fig. 3). Although downscaling generally improved the spatial variability of the  
343 predictions, there were cases where NWP errors clearly propagated into the downscaled  
344 simulations. For example, HRRR frequently over predicted morning wind speeds associated  
345 with down-drainage flow on the Snake River Plain; this error was amplified in the downscaled  
346 simulations, especially at the ridgetop locations (e.g., Fig. 3-4, 15-17 July).

347

348 The mean bias, RMSE, and SDE for wind speed and wind direction were smaller in nearly all  
349 cases for the downscaled simulations than for the NWP forecasts during the five-day period  
350 (Table 2). Mean biases in wind speed were all slightly negative and NAM and WRF-UW had the  
351 largest mean biases. The RMSE and SDE in wind speed were largest for HRRR. Although mean  
352 bias, RMSE, and SDE in wind direction for the downscaled forecasts were smaller or equal to  
353 those for the NWP forecasts, the differences were small, with a maximum reduction in mean  
354 bias in wind direction of just 4°.

355

356 It is difficult to draw too many conclusions from the spatially and temporally averaged 5-day  
357 statistics, however, since this period included a range of meteorological conditions (e.g., high-

358 wind events from different directions, upslope flow, downslope flow) each of which could have  
359 been predicted with a different level of skill by the models. Qualitatively, however, the 5-day  
360 results demonstrate that the spatial variability in the downscaled winds better matches that of  
361 the observed winds at BSB (Fig. 3) and, although the reductions were small in some cases,  
362 nearly all statistical metrics also improved with downscaling. The analysis is broken down by  
363 flow regime in the next section for more insight into model performance.

#### 364 *4.2. Performance under Upslope, downslope, and externally-forced flows*

365 Local solar heating and cooling was a primary driver of the flow during the slope flow regime at  
366 BSB (Butler et al. 2015), with local thermal effects equal to or exceeding the local mechanical  
367 effects of the terrain on the flow. Because there is weak external forcing (i.e., input wind  
368 speeds to WindNinja are low), the downscaling is largely driven by the diurnal slope flow  
369 parameterization in WindNinja during the slope flow regimes.

370

371 During upslope flow, the diurnal slope flow parameterization increases speeds on the windward  
372 slopes and reduces speeds (or reverses flow and increases speeds, depending on the strength  
373 of the slope flow relative to the prevailing flow) on lee slopes due to the opposing effects of the  
374 prevailing wind and the thermal slope flow. The parameterization has the opposite effect  
375 during downslope flow; windward slope speeds are reduced (or possibly increased if downslope  
376 flow is strong enough to reverse the prevailing flow) and lee side speeds are enhanced.

##### 377 *4.2.1 Wind speed*

378 The biggest improvements in wind speed predictions from downscaling occurred during  
379 externally-driven flow events (Fig. 5). This is not surprising since the highest spatial variability in  
380 the observed wind speeds occurred during high-wind events due to mechanically-induced  
381 effects of the terrain, with higher speeds on ridges and windward slopes and lower speeds in  
382 sheltered side drainages and on the lee side of the butte (Fig. 6-8). Since WindNinja is designed  
383 primarily to simulate the mechanical effects of the terrain on the flow, it is during these high-  
384 wind events that the downscaling has the most opportunity to improve predictions across the  
385 domain. This has important implications for wildfire applications since high-wind events are  
386 often associated with increased fire behavior.

387

388 The NWP models tended to under predict wind speeds on the windward slopes, ridgetops, and  
389 surrounding flat terrain, and over predict on the lee side of the butte during high wind events  
390 (e.g., Fig. 6). The largest NWP errors in wind speed during high wind events were on the  
391 ridgetops, where speed-up occurred and the NWP under predicted speeds. These largest wind  
392 speed errors were reduced by downscaling (e.g., Fig. 6). Downscaling reduced NWP wind speed  
393 errors in most regions on the butte, although the general trend of under predicting wind speeds  
394 on the windward side and over predicting on the lee side did not change (e.g., Fig. 6).

395

396 There were consistent improvements in predicted wind speeds from downscaling during the  
397 upslope regime, although the improvements were smaller than for the externally-driven regime  
398 (Fig. 5). Wind speeds were lower during the slope flow regimes than during the externally-

399 forced regime (Fig. 6-8), and thus, smaller improvements were possible with downscaling.

400 There was some speed-up predicted on the windward side of the butte during the

401 representative upslope case which appeared to match the observed wind field (Fig. 8).

402

403 Results were mixed for the downslope regime, as wind speeds improved with downscaling for

404 WRF-UW and NAM, but not for WRF-NARR or HRRR (Fig. 5). The poor wind speed predictions

405 from HRRR during the downslope regime is partly due to the fact that HRRR tended to over

406 predict early morning winds associated with down drainage flows on the Snake River Plain.

407 These errors were amplified by the downscaling, especially at ridgetop locations (Fig. 4). In

408 reality, the high-elevation ridgetop locations tended to be decoupled from lower-level surface

409 winds during the slope flow regimes due to flow stratification. WindNinja assumes neutral

410 atmospheric stability, however, so this stratification is not handled. A parameterization for

411 non-neutral atmospheric conditions is currently being tested in Windninja.

412

413 The diurnal slope flow parameterization in WindNinja resulted in lower speeds on the

414 windward side and higher speeds on the lee side of the butte for the representative downslope

415 case (Fig. 7). These downscaled speeds better matched those of the observed wind field,

416 although speeds were still under predicted for ridgetops and a few other locations around the

417 butte (Fig. 7). The high observed speeds at the ridgetop locations are not likely due to thermal

418 slope flow effects, but could be from the influence of gradient-level winds above the nocturnal

419 boundary layer. These ridgetop locations are high enough in elevation (800 m above the

420 surrounding plain) that they likely protruded out of the nocturnal boundary layer and were  
421 exposed to the decoupled gradient-level winds. Butler et al. (2015) noted that ridgetop winds  
422 did not exhibit a diurnal pattern and tended to be decoupled from winds at other locations on  
423 and around the butte. Lack of diurnal winds at the summit of the butte is also confirmed by  
424 National Oceanic and Atmospheric Administration Field Research Division (NOAA-FRD) mesonet  
425 station data collected at the top of BSB (described in Butler et al., 2015;  
426 <http://www.noaa.inel.gov/projects/INLMet/INLMet.htm>).

427

428 Under predictions on the lower slopes and on the plain surrounding the butte could be due to  
429 overly weak slope flows being generated by the slope flow parameterization in WindNinja (Fig.  
430 7-8). Overly weak slope flows could be caused by a number of things: improper  
431 parameterization of surface or entrainment drag parameters, poor estimation of the depth of  
432 the slope flow, or deficiencies in the micrometeorological model used. The slope flow  
433 parameterization is being evaluated in a companion paper.

#### 434 *4.2.2 Wind direction*

435 The biggest improvement in wind direction predictions from downscaling occurred during the  
436 downslope regime (Fig. 5). Wind direction improved with downscaling for all NWP models  
437 during periods of downslope flow. This indicates that the diurnal slope flow model helped to  
438 orient winds downslope. This is confirmed by inspection of the vector plots for the  
439 representative downslope case which show the downscaled winds oriented downslope on the  
440 southwest and northeast faces of the butte (Fig. 7). Downscaling reduced speeds on the

441 northwest (windward) side of the butte, but did not predict strong enough downslope flow in  
442 this region to reverse the flow from the prevailing northwest direction (Fig. 7). This again  
443 suggests that perhaps the diurnal slope flow algorithm is predicting overly weak slope flows.

444

445 Wind direction predictions during the upslope regime also improved with downscaling for all  
446 NWP models except HRRR (Fig. 5). Downscaled winds for the representative upslope case were  
447 oriented upslope on the southwest (lee side) of the butte and matched the observed winds in  
448 this region well (Fig. 8). This is an improvement over the NWP wind directions on the lee side of  
449 the butte.

450

451 There was no improvement in wind direction predictions with downscaling during the  
452 externally-driven regime (Fig. 5). Looking at the vector plots during the representative  
453 externally-driven event (Fig. 6), it is clear why this would be. The representative event was a  
454 high-wind event from the southwest. Wind directions are well predicted on the windward side  
455 of the butte, but not on the leeward side, where the observed field indicates some recirculation  
456 in the flow field (Fig. 6). The prevailing southwesterly flow is captured by the NWP model, but  
457 the lee side recirculation is not. WindNinja does not predict the lee side recirculation, and thus,  
458 the downscaling does not improve directions on the lee side of the butte (Fig. 7). This is an  
459 expected result, as WindNinja has been shown to have difficulties simulating flows on the lee  
460 side of terrain features due to the fact that it does not account for conservation of momentum  
461 in the flow solution (Forthofer et al., 2014a).

462 **5. Summary**

463 The horizontal grid resolutions of NWP models investigated in this study were too coarse to  
464 resolve the BSB terrain. Results showed that the NWP models captured the important large-  
465 scale flow features around BSB under most conditions, but were not capable of predicting the  
466 high spatial variability (scale of 100s of meters) in the observed winds on and around the butte  
467 induced by mechanical effects of the terrain and local surface heating and cooling. Thus,  
468 surface winds from the NWP models investigated in this study would not be sufficient for  
469 forecasting wind speeds on and around the butte at the spatial scales relevant for processes  
470 driven by local surface winds, such as wildland fire spread.

471

472 Wind predictions generally improved for all NWP models by downscaling with WindNinja. The  
473 biggest improvements occurred under high-wind events (near-neutral atmospheric stability)  
474 when observed wind speeds were greater than  $10 \text{ m s}^{-1}$ . This finding has important  
475 implications for fire applications since increased wildfire behavior is often associated with high  
476 winds. Downscaled NAM wind speeds were as accurate as downscaled WRF-UW and HRRR  
477 wind speeds, indicating that a NWP model with 12 km grid resolution was sufficient for  
478 capturing the large-scale flow features around BSB.

479

480 WindNinja did not predict the observed lee-side flow recirculation at BSB that occurred during  
481 externally-forced high wind events. Previous work has shown that WindNinja has difficulties



482 simulating lee-side flows (Forthofer et al., 2014a). This is partly due to lack of a momentum  
483 equation in the WindNinja flow solution as discussed in Forthofer et al. (2014a). Work is  
484 currently underway to incorporate an optional momentum solver in WindNinja which is  
485 anticipated to improve flow predictions on the lee-side of terrain obstacles.

486

487 Results indicated that WindNinja predicted overly weak slope flows compared to observations.  
488 Weak slope flow could be caused by several different issues within the diurnal slope flow  
489 parameterization in WindNinja: improper parameterization of surface or entrainment drag  
490 parameters, poor estimation of the depth of the slope flow, or deficiencies in the  
491 micrometeorological model. These issues will be explored in future work.

492

493 This work constitutes evaluation of a diagnostic wind model at unprecedented high spatial  
494 resolution and terrain complexity. While extensive evaluations have been performed with data  
495 collected in less rugged terrain (e.g., Askervein Hill and Bolund Hill, relatively low elevation hills  
496 with simple geometry), to our knowledge, this study is the first to evaluate a diagnostic wind  
497 model with data collected in terrain with topographical ruggedness approaching that of typical  
498 landscapes in the western US susceptible to wildland fire. This work demonstrates that NWP  
499 model wind forecasts can be improved in complex terrain, especially under high-wind events,  
500 through dynamic downscaling via a mass-conserving wind model. These improvements should  
501 propagate on to more realistic predictions from other model applications which are sensitive to

502 surface wind fields, such as wildland fire behavior, local-scale transport and dispersion, and  
503 wind energy applications.

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511

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596

597 **Tables**

598 Table 1. Model specifications.

Model	Horizontal grid resolution	Number vertical layers	First layer height <sup>a</sup> (m AGL)	Top height <sup>a</sup> (m AGL)	Numerical core	Run frequency
NAM	12 km	26	200	15000	NMM	00z, 06z, 12z, 18z
WRF-UW	4 km	38	40	16000	ARW	00z, 12z
HRRR	3 km	51	8	16000	ARW	hourly
WRF-NARR	1.33 km	33	38	15000	ARW	NA
WindNinja	138 m	20	1.92	931	NA	NA

599 <sup>a</sup>Approximate average height AGL.

600



601 Table 2. Model mean bias, root-mean-square error (RMSE), and standard deviation of errors (SDE) for surface wind speeds and  
 602 directions during the 5-day evaluation period at Big Southern Butte. Downscaled values are in parentheses. Smaller values are in  
 603 bold. The 5-day period includes the Downslope, Upslope, and Externally-driven time periods.

Time period	Statistic	NAM	WRF-UW	HRRR	WRF-NARR
Wind Speed ( $\text{m s}^{-1}$ )					
5-day	Bias	-0.84 ( <b>-0.67</b> )	-1.17 ( <b>-0.95</b> )	-0.40 ( <b>-0.14</b> )	-0.31 ( <b>-0.08</b> )
	RMSE	2.31 ( <b>2.04</b> )	2.39 ( <b>2.07</b> )	2.52 ( <b>2.47</b> )	2.33( <b>2.21</b> )
	SDE	2.15 ( <b>1.92</b> )	2.08 ( <b>1.83</b> )	2.49 ( <b>2.47</b> )	2.31 ( <b>2.21</b> )
Downslope	Bias	-1.07 ( <b>-0.76</b> )	-1.15 ( <b>-0.74</b> )	<b>-0.09</b> (0.48)	-0.48 ( <b>0.12</b> )
	RMSE	2.08 ( <b>1.92</b> )	2.03 ( <b>1.83</b> )	<b>2.36</b> (2.66)	<b>2.19</b> (2.28)
	SDE	1.79 ( <b>1.77</b> )	<b>1.67</b> (1.68)	<b>2.36</b> (2.62)	<b>2.14</b> (2.28)
Upslope	Bias	-0.81 ( <b>-0.74</b> )	-1.11 ( <b>-0.98</b> )	-0.81 ( <b>-0.75</b> )	0.06 ( <b>0.05</b> )
	RMSE	1.73 ( <b>1.62</b> )	2.02 ( <b>1.86</b> )	1.93 ( <b>1.81</b> )	1.86 (1.86)
	SDE	1.52 ( <b>1.44</b> )	1.69 ( <b>1.58</b> )	1.76 ( <b>1.64</b> )	1.86 (1.86)
Externally-driven	Bias	<b>-0.57</b> (-0.62)	<b>-1.28</b> (-1.32)	<b>-0.94</b> (-1.03)	<b>-0.22</b> (-0.33)
	RMSE	3.06 ( <b>2.48</b> )	3.21 ( <b>2.58</b> )	3.17 ( <b>2.59</b> )	2.92 ( <b>2.39</b> )
	SDE	3.00 ( <b>2.40</b> )	2.94 ( <b>2.22</b> )	3.02 ( <b>2.38</b> )	2.92 ( <b>2.37</b> )
Wind Direction ( $^{\circ}$ )					
5-day	Bias	59 ( <b>56</b> )	57 ( <b>53</b> )	64 ( <b>60</b> )	57 ( <b>54</b> )
	RMSE	76 ( <b>72</b> )	74 ( <b>71</b> )	80 ( <b>76</b> )	73 ( <b>71</b> )
	SDE	47 ( <b>46</b> )	47 ( <b>46</b> )	47 ( <b>46</b> )	46 (46)
Downslope	Bias	67 ( <b>60</b> )	61 ( <b>56</b> )	76 ( <b>67</b> )	66 ( <b>61</b> )
	RMSE	83 ( <b>77</b> )	78 ( <b>72</b> )	88 ( <b>81</b> )	81 ( <b>75</b> )
	SDE	49 ( <b>47</b> )	48 ( <b>46</b> )	46 (46)	47 ( <b>45</b> )
Upslope	Bias	55 ( <b>52</b> )	58 ( <b>54</b> )	56 (56)	52 ( <b>49</b> )
	RMSE	70 ( <b>67</b> )	74 ( <b>71</b> )	72 (72)	68 ( <b>65</b> )
	SDE	44 ( <b>42</b> )	46 ( <b>45</b> )	45 (46)	44 ( <b>42</b> )
Externally-driven	Bias	<b>48</b> (49)	<b>45</b> (46)	51 ( <b>50</b> )	44 ( <b>46</b> )
	RMSE	<b>64</b> (65)	<b>63</b> (65)	68 ( <b>67</b> )	<b>62</b> (65)
	SDE	<b>43</b> (44)	<b>44</b> (47)	45 ( <b>44</b> )	<b>43</b> (46)

604

605 **Figures**

606

607 Figure 1. Terrain representation (m ASL) in WindNinja, WRF-NARR, HRRR, and WRF-UW for the  
608 Big Southern Butte. Crosses indicate surface sensor locations. Maps are projected in the  
609 Universal Transverse Mercator (UTM) zone 12 coordinate system. Axis labels are eastings and  
610 northings in m. Profiles in gray are the average elevations for rows and columns in the panel.  
611 NAM (12 km) terrain is represented by just four cells and is not shown here.

612

613 Figure 2. Observed vs. predicted wind speeds for the 5-day evaluation period at Big Southern  
614 Butte. Dashed black line is the line of agreement. Colored lines are linear regressions  
615 (quadratic fit); dashed lines are NWP models and solid lines are NWP forecasts downscaled with  
616 WindNinja. Shading indicates 95% confidence intervals.

617 Figure 3. Observed (black) and predicted (colored) winds speeds at all sensors for 15 July 2010–  
618 19 July 2010 at Big Southern Butte. Top panels are WindNinja predictions. Bottom panels are  
619 NWP predictions.

620 Figure 4. Observed (black line) and predicted (colored lines) wind speeds for sensor R2 located  
621 5 km southwest of Big Southern Butte on the Snake River Plain and sensor R26 located on a  
622 ridgetop. Dashed colored lines are NWP models and solid colored lines are WindNinja.

623 Figure 5. Root-mean-square error in wind speed (left) and wind direction (right) at Big Southern  
624 Butte for the five-day evaluation period ( $N = 4149$ ), and downslope ( $N = 1593$ ), upslope ( $N =$   
625  $717$ ), and externally -driven ( $N = 966$ ) periods within the five-day period. Sample size,  $N =$   
626 number of hours x number of sensor locations.

627 Figure 6. Predicted and observed winds for an externally-forced flow event at Big Southern  
628 Butte.

629 Figure 7. Predicted and observed winds for a downslope flow event at Big Southern Butte.

630 Figure 8. Predicted and observed winds for an upslope flow event at Big Southern Butte.