

1 Continental anthropogenic primary particle number 2 emissions

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10

11 Abstract

12 Atmospheric aerosol particle number concentrations impact our climate and health in ways
13 different from those of aerosol mass concentrations. However, the global, current and future,
14 anthropogenic particle number emissions and their size distributions are so far poorly known.
15 In this article, we present the implementation of particle number emission factors and the
16 related size distributions in the GAINS (Greenhouse gas – Air pollution Interactions and
17 Synergies) model. This implementation allows for global estimates of particle number
18 emissions under different future scenarios, consistent with emissions of other pollutants and
19 greenhouse gases. In addition to determining the general particulate number emissions, we
20 also describe a method to estimate the number size distributions of the emitted black carbon.
21 The first results show that the sources dominating the particle number emissions are different
22 to those dominating the mass emissions. The major global number source is road traffic,
23 followed by residential combustion of biofuels and coal (especially in China, India and
24 Africa), coke production (Russia and China), and industrial combustion and processes. The
25 size distributions of emitted particles differ across the world, depending on the main sources:
26 in regions dominated by traffic and industry, the number size distribution of emissions peaks
27 in diameters range from 20 to 50 nm, whereas in regions with intensive biofuel combustion
28 and/or agricultural waste burning, the emissions of particles with diameters around 100 nm
29 are dominant. In the baseline (current legislation) scenario, the particle number emissions in
30 Europe, Northern and Southern Americas, Australia, and China decrease until 2030, whereas

1 especially for India, a strong increase is estimated. The results of this study provide input for
2 modelling of the future changes in aerosol-cloud interactions as well as particle number
3 related adverse health effects, e.g., in response to tightening emission regulations. However,
4 there are significant uncertainties in these current emission estimates and the key actions for
5 decreasing the uncertainties are pointed out.

6 **1. Introduction**

7 Aerosol particles affect both our health and the climate in many ways. These effects depend
8 partly on the composition of the particles and partly on their sizes and concentrations (WHO,
9 2013; Stocker et al., 2013). Furthermore, different effects are linked to different metrics of
10 concentration –mass and number. Because of the cubic relation between particle mass and
11 diameter, d_p , it is common that these metrics of concentration are dominated by particles with
12 very different sizes. Aerosol number concentrations are typically dominated by particles in
13 ultrafine particle (UFP) size range, with $d_p < 0.1 \mu\text{m}$, or the smaller end, roughly $< 0.3 \mu\text{m}$, of
14 fine particles (FP, here $0.1 - 2.5 \mu\text{m}$). On the contrary, the mass concentration depends mostly
15 on the larger and heavier, but typically fewer FP, with $d_p > 0.3 \mu\text{m}$ (see Fig. 1 for schematic
16 representation). Because the particles in different size ranges originate from different sources
17 and atmospheric processes impact them differently, the particle number (PN) concentrations
18 and particle mass concentrations (PM, e.g. $\text{PM}_{2.5}$ describing mass concentration of particles
19 with $d_p < 2.5 \mu\text{m}$) are often poorly correlated even if considering only stationary
20 measurements (e.g. Rodriguez et al., 2007; Rodrigues and Cuevas, 2007).

21 According to WHO (2013), there is increasing epidemiological evidence on the association
22 between short-term exposures to ultrafine particles and cardiorespiratory health, as well as the
23 health of the central nervous system. Clinical and toxicological studies indicate that the
24 mechanisms that cause the health effects of ultrafine particles are (in part) not the same as due
25 to larger particles, such as $\text{PM}_{2.5}$ or PM_{10} (WHO, 2013). Also the climate effects of aerosol
26 particles depend on their size (Stocker et al., 2013). All particles can, depending on their
27 chemical composition, either absorb solar radiation (mainly black carbon aerosol) or scatter it
28 partly back to space. In addition to these so called aerosol-radiation interactions, the particles
29 with diameter close to or over $0.1 \mu\text{m}$ can act as cloud condensation nuclei (CCN), i.e. they
30 can form cloud droplets when the air mass moves upwards and cools down. Since the clouds
31 efficiently reflect solar radiation back to space, these aerosol-cloud interactions have a
32 significant cooling effect on our climate. One of the problems in assessing the total radiative

1 forcing of aerosols is the non-linear relationships of these different interactions, e.g.
2 depending on the initial sizes and atmospheric growth of black carbon particles, their
3 warming effect due to light-absorption can be turned over, either partly or entirely, by their
4 ability to act as CCN and thus form cooling cloud droplets (e.g. Chen et al., 2010). The future
5 reductions in anthropogenic emissions of aerosol and their precursors have been estimated to
6 accelerate global warming (e.g. Wigley, 1989; Arneth et al., 2009; Makkonen et al., 2012;
7 Westervelt et al., 2015). However, the changes in aerosol-cloud interactions have been so far
8 (if not ignored) assessed by assuming similar relative changes in particle mass and number
9 emissions, which leads to incorrect results if the actual size distributions of emitted particles
10 change.

11 The ultrafine and fine particles originate from a number of sources and atmospheric
12 processes. New particle formation (i.e. nucleation) produces particles with diameters below 2
13 nm (0.002 μm) from vapours such as sulphuric acid, organic vapours and nitrogen containing
14 bases. This can happen both during regional scale atmospheric new particle formation events
15 and at a smaller scale, for example in combustion plumes, when vapours suddenly cool
16 immediately upon their introduction to ambient air. In this work, the latter, particles formed
17 during the initial cooling and rapid dilution after the vapours are emitted to atmosphere, are
18 also considered primary particles in addition to those emitted directly in particle phase.
19 Somewhat larger UFP particles, still in nucleation mode size range, are formed e.g. in new
20 particle formation processes occurring already before they are emitted to the atmosphere and
21 thus producing cores for cooling vapours to condense on (e.g. Rönkkö et al., 2007; Lähde et
22 al., 2010). Black carbon, i.e. soot particles, formed in flames by agglomeration of cyclic
23 carbon molecules and emitted often with a coating of condensed organic or inorganic vapours,
24 are also partly in UFP size range ($< 0.1 \mu\text{m}$), but their size distribution extends to FP size
25 range. FP are emitted also from other thermal sources, as well as from mechanical sources
26 like dust resuspension, wear, fragmentation and suspension of biological matter. Fine
27 particles are also formed from ultrafine particles by growth via atmospheric condensation of
28 anthropogenic and biogenic organic compounds, sulphuric acid and nitrates on the particle's
29 surface. Biogenic condensation growth of UFP is a significant contributor to fine particle
30 number concentrations. It has been estimated that out of the total number of fine particles over
31 the European continent, roughly 50 % have been formed through growth of UFP by
32 condensed biogenic organic vapours (Paasonen et al., 2013a).

1 The legislation on aerosol emissions and concentrations is based on particle mass, mainly due
2 to the well-established knowledge on the correlation of $PM_{2.5}$ and adverse health effects (Pope
3 et al., 2002, 2009). However, the increasing evidence of the adverse health impacts of UFP as
4 well as the unresolved significant uncertainties on the aerosol-climate effects due to aerosol-
5 cloud interactions, require more attention to the anthropogenic particle number emissions.
6 The mass emissions cannot be directly converted to number emissions, because the ratio of
7 mass and number emissions depends greatly on the size distribution of emitted particles.
8 Additionally, because the main removal mechanism of the smallest of UFP in the atmosphere
9 is their coagulation to larger particles (e.g. Kerminen et al., 2001), a decrease in e.g. $PM_{2.5}$
10 emissions might even increase PN concentrations (Pirjola et al., 2015).

11 In global climate modelling work, the number emissions are typically extracted from mass
12 emissions applying constant factors and size distributions for different highly aggregated
13 source sectors (e.g., traffic, biomass burning, power generation, etc.). This approach can be
14 used to produce future scenarios also for number emissions and their size distributions. An
15 example of such an approach is the widely applied emission database, the AeroCom project
16 (Dentener et al., 2006), in which the size distributions are fixed and averaged over wide
17 variety of different sources under the main sectors. Thus, the changes in technology and fuels
18 are reflected in number emissions through a linear dependence between mass and number
19 emissions, since the size distribution is assumed to remain the same. On the other hand, the
20 aerosol number emissions and their size distributions with information on different emission
21 abatement techniques have been studied lately resulting in a size-resolved European particle
22 number emission inventory (Denier van der Gon et al., 2010; 2013; 2014; Kulmala et al.,
23 2011) which has been tested in several UFP modelling exercises (e.g. Fountoukis et al., 2012;
24 Kukkonen et al., 2015). Emission inventories are not directly applicable for estimating the
25 future trends in emissions as they are based on available statistics, which generally lag several
26 years behind present day. However, in combination with projections of activity data and
27 assumptions about penetration of control technologies a present day inventory can form a
28 starting point for projected future emissions.

29 Here we describe and present the first results of the implementation of aerosol number
30 emission factors and their size distribution to the global emission scenario model GAINS
31 (Greenhouse gas – Air pollution Interactions and Synergies; Cofala et al, 2007; Amann et al,
32 2011) developed at IIASA (International Institute for Applied Systems Analysis, Austria).

1 The implementation of these factors in the GAINS-Europe model, describing only European
2 emissions, was published in a IIASA report (Paasonen et al., 2013b).

3 We also estimate emissions and size distribution of the black carbon containing particles and
4 the black carbon cores in them. The GAINS model has a more detailed technological structure
5 than many available inventories and thus we are able to estimate the implications of future
6 abatement technology changes on number emissions and size distributions. GAINS has been
7 previously applied to analyse the effect of emission abatement policies and other factors
8 affecting the emissions in terms of traditional air pollutants, including particle mass, and
9 greenhouse gases. The GAINS model has been to support the Commission in the review of
10 the Thematic Strategy on Air Pollution (TSAP; European Commission, 2005) and its related
11 legal instruments on ambient air quality and national emission ceilings through modelling of
12 emission baselines and scenarios for different policy options and their related impacts
13 (Amann et al., 2013). With the implementation of aerosol number emission factors to GAINS,
14 the future particle number emissions can be estimated in a consistent manner with other air
15 pollutants and greenhouse gases. This information can be used for estimating the effects of
16 emission regulations and technological improvements on the health effects of ultrafine
17 particles and on aerosol-climate effects in future decades, as well as for planning particle
18 number emission measurements for the sources that are so far not well enough reported.

19

20 **2. Methods**

21 **2.1. The GAINS model**

22 The GAINS (Greenhouse gas – Air pollutant Interactions and Synergies) model (Amann et
23 al., 2011) is an integrated assessment model that brings together information on the sources
24 and impacts of air pollutant and greenhouse gas emissions and their interactions. GAINS
25 combines data on economic development, the structure, control potential and costs of
26 emission sources, the formation and dispersion of pollutants in the atmosphere and an
27 assessment of environmental impacts of pollution.

28 GAINS assesses all the main air pollutants and greenhouse gases (SO₂, NO_x, PM, NMVOC,
29 NH₃, CO₂, CH₄, N₂O, F-gases) with more than 1000 measures to control the emissions to the
30 atmosphere for each of its nearly 170 regions. Applying built in source-receptor relationships
31 (developed in collaboration with atmospheric groups running chemical transport models for a
32 given domain), GAINS identifies the least-cost balance of emission control measures across

1 pollutants, economic sectors and countries that meet user-specified air quality and climate
2 targets.

3 In GAINS, emissions from different sources are calculated with three basic input parameters
4 (Klimont et al., 2002):

- 5 - Annual activity levels (A) in a given sector, corresponding to certain fuels (e.g., fuel
6 wood used (burned) per year in domestic single house boilers),
- 7 - Shares (X) of abatement technologies applied to fuel consumption of the activity (e.g.,
8 improved boilers with accumulation tank, pellet boilers, boilers with electrostatic
9 precipitator, etc.) such that $\sum X=1$,
- 10 - Emission factors (EF) for each sector-fuel-technology –combination (emissions per unit
11 of activity).

12 Activity levels A in GAINS are based on the information from international statistics
13 available from International Energy Agency (IEA), Organisation for Economic Co-operation
14 and Development (OECD), United Nations (UN) and Food and Agriculture Organization of
15 the United Nations (FAO), Eurostat, and national statistics. The shares of control technologies
16 X are derived from published information on national and international legislation, for
17 example for transport sector from diesel.net, discussions with the national experts, and
18 scientific publications where similar assessment has been performed. The emission factors EF
19 are determined from the scientific publications and measurement databases.

20 The yearly emissions E in region i are calculated as

$$21 \quad E_i = \sum_{ijkm} E_{ijkm} = \sum_{ijkm} A_{ijkm} X_{ijkm} EF_{ijkm} , \quad (1)$$

22 where the indices j refer to source sector, k to fuel and m to abatement technology.

23 Within GAINS, future emissions are estimated for different scenarios of anthropogenic
24 activities (e.g., energy use), for which shares X of different technology levels for all emission
25 sources are assumed. Here we present results based on the Current Legislation (CLE) baseline
26 scenario created in the ECLIPSE project, specifically version 5 of this scenario
27 (ETP_CLE_v5, Klimont et al., 2016a, 2016b; Stohl et al., 2015).

28

29 **2.2. Particle number emission factors and size distributions**

1 The determination of emission factors (EF_{PN}) for particle number (PN) emissions and particle
2 size distributions (PSD) is based on the European particle number emission inventory
3 developed by TNO (Netherlands Organisation for Applied Scientific Research; Denier van
4 der Gon et al., 2009, Denier van der Gon et al., 2010) during the EUCAARI project (Kulmala
5 et al., 2011). The emission factors and emissions described both in TNO work and in this
6 study include both the particles emitted to atmosphere directly in particle phase, as well as
7 those formed from vapours immediately after the emission during the rapid cooling and
8 dilution of the exhausts. We consider here particles of both these types as primary particles.
9 The uncertainties related to the emission factors in terms of particles formed immediately
10 after the emissions are discussed in Sect. 4.1.

11 Particle size distributions present the size segregation of the number emissions into size
12 classes, i.e., the proportions P_n of the total number of emitted particles in each size sector n .
13 Thus, the emission factor for a single size class n is written as

$$14 \quad EF_{PN,n} = P_n EF_{PN}, \quad (2)$$

15 and the $\sum P_n = 1$. Values for the proportions P_n are calculated from modal presentations of
16 PSDs, consisting of one to three lognormal modes. The diameter ranges of the size classes
17 applied in the GAINS emissions are shown in Table 1.

18 EF_{PN} s were determined through two alternative ways. For some source sectors, including
19 traffic and domestic combustion, both EF_N s and PSDs were determined from the literature
20 directly (these are called hereafter as direct emission factors). For other source sectors, EF_{PN} s
21 were determined based on PM_{10} mass emission factors ($EF_{PM_{10}}$) from an earlier version of the
22 GAINS model (Kupiainen and Klimont, 2007). However, deriving an EF_{PN} directly from the
23 $EF_{PM_{10}}$ would make the EF_{PN} very sensitive to the estimated number of close to $1 \mu m$ particles,
24 since their mass is significantly larger in comparison to the mass of those with diameter below
25 or around 100 nm. Thus, emission factors for PM in the size range 10-300 nm ($EF_{PM_{0.3}}$) were
26 first derived from $EF_{PM_{10}}$ based on literature on emission mass size distributions and particle
27 densities (M. Kulmala et al., 2011, H. Denier van der Gon et al., 2010). Then, by applying the
28 particle number size distributions from the literature, the EF_{PN} s consistent with $EF_{PM_{0.3}}$ were
29 resolved. The latter type of emission factors is called PM-based emission factors, hereafter.

30 In our analysis, we employ for many source sectors the emission factors and size distributions
31 provided in the TNO studies (Denier van der Gon et al., 2009, Denier van der Gon et al.,

1 2010). However, for sources that are most important for particle numbers, such as road
2 transport and wood combustion in the domestic sector, we developed new emission factors
3 and size distributions in order to better fit in the GAINS model, especially in terms of the
4 emission abatement technologies within it. The modifications to the TNO study are described
5 below.

6 We extended the PSDs in GAINS to cover sizes from electrical mobility diameter (d_M) of 3
7 nm up to aerodynamic diameter (d_A) of 1 μm (see Table 1), whereas the particle size range in
8 the TNO study was from $d_M = 10$ nm to $d_A = 300$ nm. The size range was extended to larger
9 sizes in order to allow for comparison between the emission factors for particle number and
10 PM1 mass, the latter being determined as the total mass of particles with $d_A \leq 1$ μm .
11 Additionally, even though the number share of particles larger than 300 nm in all emitted
12 particles is negligible, large particles are important in some source sectors. The extension
13 towards smaller diameters was made to provide the whole particle size range for climate
14 model calculations, but it should be noted that no modes with diameters below 10 nm were
15 introduced. These extensions of the particle size ranges required recalculation of the $\text{EF}_{\text{PN}:S}$
16 for source sectors that were originally based on $\text{PM}_{0.3}$ emission factors, with the formula

$$17 \quad \text{EF}_N = \frac{1}{\rho \sum_n P_n \frac{\pi}{6} d_n^3} R(\text{PM}_{0.3}/\text{PM}_1) \text{EF}_{\text{PM}_1}, \quad (3)$$

18 where ρ is the estimated density of the emitted particles, P_n is the proportion of particles in
19 size class n out of the total number of emitted particles, d_n is the geometric mean diameter of
20 the particles in size class n , and $R(\text{PM}_{0.3}/\text{PM}_1)$ describes the ratio of $\text{PM}_{0.3}$ and PM_1 –masses.
21 The values for ρ , $R(\text{PM}_{0.3}/\text{PM}_1)$ and PSDs were taken from the TNO analysis, with the
22 exception of the PSDs mentioned below.

23 New PSDs were introduced for road transport sources with the highest activities (diesel heavy
24 duty trucks and busses, both diesel and gasoline light duty trucks and passenger cars), based
25 on the EU FP7 project TRANSPHORM database (Vouitsis et al., 2013). Additionally, the
26 emission factors for diesel fuelled road transport were made dependent on the fuel sulphur
27 content (FSC), based on vehicle-specific FSC dependent emission factors provided by the
28 Laboratory of Applied Thermodynamics at the Aristotle University of Thessaloniki, which is
29 responsible also for the TRANSPHORM database. Also EF_N :s and PSDs for domestic wood
30 combustion (including pellet burning and medium size district heating boilers) and for
31 shipping emissions (fuel sulphur content –dependent EFs and PSDs) were updated (domestic

1 sector: Gaegauf et al., 2001, Emma Hedberg et al., 2002, L. S. Johansson et al., 2004, C.
2 Johansson et al., 2008, Kinsey et al., 2009, Lamberg et al., 2011, Bäfver et al., 2011, C.
3 Boman et al., 2011, Pettersson et al., 2011, Chandrasekaran et al., 2011; shipping: Hobbs et
4 al., 2000, Sinha et al., 2003, Petzold et al., 2008, Murphy et al., 2009, Moldanova et al., 2011,
5 Diesch et al., 2013), as well as for two stroke vehicles in road transport (Ntziachristos et al.,
6 2005, Etissa et al., 2008). A new PSD was introduced also for flaring in gas and oil industry
7 (Canteenwalla et al., 2006). The EF_{PN} for tire wear, previously based on $EF_{PM0.3}$, was replaced
8 with a direct PN emission factor (Dahl et al., 2006).

9 We note that many of the measured EF_{PN} 's and PSDs are not representing the particles which
10 either have diameters below 10 nm or are volatile in temperatures above typical atmospheric
11 temperatures. Thus, it is likely that in the current set of emission factors the nucleation mode
12 particles ($d_p < 20$ nm), which are formed from vapour molecules during their initial cooling
13 when introduced to the atmosphere, is largely overlooked.

14

15 **2.3. Black carbon size distribution estimates**

16 In addition to determining the emission factors and size distributions for total particle number
17 emissions, we also made estimates for black carbon emission size distributions. Two different
18 size distributions were determined, one for the whole particles in black carbon mode
19 (BC_{mode}), which considers both the black carbon cores and the condensed material on them,
20 and one for the black carbon cores of these particles (BC_{core}).

21 The division of emitted particles to black carbon containing particles and other particles was
22 made depending on the source of particles and the geometric mean diameters of the number
23 size modes of the emitted particles. Naturally, only the combustion related sources were
24 considered to produce black carbon. Of the combustion sources, only the modes with
25 geometric mean diameters (GMD) equal to or above 50 nm were taken as black carbon
26 modes. This rough estimate for a minimum GMD was chosen, because the agglomeration in
27 BC formation produces a roughly lognormal mode and we assumed that would not form
28 particles in the smallest size ranges of the modes with GMD below 50 nm (Sorensen et al.,
29 1996; Kholghy et al., 2013). This assumption seems reasonable for diesel-fuelled vehicles,
30 but might not be valid for gasoline-fuelled vehicles (Liggio et al., 2012). However, as the
31 global emissions from diesel-fuelled vehicles are found to dominate the transport emissions,
32 we will leave the further improvements on defining the black carbon modes to future studies.

1 The size distribution of the black carbon cores in the black carbon containing particles was
 2 calculated with two combinations of assumptions. In both it was assumed that all the BC
 3 mode particles (defined as above) have a black carbon core and that both the core and the
 4 particle are spherical. The difference was that in one calculation we assumed that there is only
 5 organic carbon (OC) condensed on the BC core, and in the other calculation that all PM₁
 6 additional to BC is condensed onto this core. The shares of BC, OC and other PM₁ were
 7 defined with mass emission factors for BC, OC and PM₁ in GAINS. A further, simplified
 8 assumption was made that the shares of BC and OC (or BC and other PM₁, when considered
 9 as an additional condensed matter) were the same in all BC containing particles regardless
 10 their size. This might slightly overestimate the share of condensed matter in BC mode for the
 11 sources in which there is significant non-BC mode (with GMD<50 nm). The geometric mean
 12 diameters of the BC-cores were derived simply from these assumptions based on the mass
 13 emission factors and BC-mode geometric mean diameter GMD_{BCmode}. For the case of only
 14 OC condensing on the particles the geometric mean diameter of the core was

$$15 \quad GMD_{BCcore1} = GMD_{BCmode} \times \left(\frac{EF_{BC}}{EF_{BC} + EF_{OC}} \right)^{1/3} \quad (4)$$

16 and, for the case of all PM₁, except for BC, assumed to be formed through condensation

$$17 \quad GMD_{BCcore2} = GMD_{BCmode} \times \left(\frac{EF_{BC}}{EF_{PM1}} \right)^{1/3}. \quad (5)$$

18

19 **2.4. Uncertainties**

20 In the results presented in Section 3 we have not depicted error bars or shown other
 21 illustration of uncertainties. The major sources of uncertainties are mentioned in text within
 22 Sect. 3, and discussed in more detail in Sect. 4.

23

24 **3. Results**

25 The calculated aerosol number emissions in 2010 were dominated by ultrafine particles,
 26 which contributed to total PN emissions by about 80 %. However, emissions from different
 27 sources varied in terms of particle size, which is presented in the lower panel of Fig. 2 as the
 28 division of number emissions to UFP and FP size ranges in each source sector. The upper
 29 panel of Fig. 2 shows the shares of different sources in the global anthropogenic continental
 30 total particle number emissions, number emissions of ultrafine particles (UFP, $d_p < 0.1\mu\text{m}$)

1 and FP ($d_p > 0.1\mu\text{m}$), as well as mass emissions of particles with $d_p < 1\mu\text{m}$ (PM_{10}), all for year
2 2010. The main source of UFP was road transport, corresponding to 40 % of UFP emissions
3 and thus being the largest contributor to total aerosol particle number emissions. Also power
4 production contributed to the UFP emissions with 20 % share, mainly due to emissions from
5 coke production, and residential combustion with 17 % share. In FP size range, the shares of
6 residential combustion and road transport were quite similar, roughly 30 % each, whereas the
7 mass emissions of particles with diameters below $1\mu\text{m}$ (PM_{10}) were dominated by residential
8 combustion ($> 50\%$). These differences indicate the need for assessing the size segregated
9 number emissions of aerosols in addition to mass emissions, in order to better understand
10 their role in atmospheric processes as well as their climate and health effects. It is also
11 important to notice that there is most probably more difference between number emissions
12 and $\text{PM}_{2.5}$ mass emissions (which is often the regulated and monitored quantity) than between
13 number emissions and PM_{10} emissions.

14

15 **3.1. Overall emissions in different parts of the world**

16 Total annual aerosol number emissions and their current trend for different continents, with
17 Eurasia divided to major countries and the rest of Europe and Asia, are depicted in Figure 3.
18 The future trend is based on the current legislation baseline scenario (ETP_CLE_v5, Klimont
19 et al., 2016a, 2016b; Stohl et al., 2015). In 2010, China had by far the major PN emissions
20 with 40 % estimated share of the global emissions, followed by Asia (excl. China, India and
21 Russia) and Europe (excl. Russia). However, the actions determined in the current legislation
22 scenario resulted in decrease of emissions in China, as well as in Europe, North- and South-
23 America. On the contrary, especially in India, but also in Russia, Asia and Africa, the increase
24 in activities seem to offset the benefits of more stringent legislation. The global sum of
25 continental anthropogenic emissions is expected to decrease from 2010 to 2020 by roughly 15
26 % (from 1.5×10^{28} to 1.3×10^{28} particles/year), but remains quite constant from 2020 to 2030.

27 **3.2. Main aerosol number sources in 2010 and expected changes until 2030**

28 The aerosol number emissions were dominated by road transport in Europe, Northern and
29 Southern Americas, Asia and Australia in 2010 (blue bars in Figure 4). In Africa and India the
30 emissions from residential combustion were the main sources together with road transport,
31 whereas in Russia, the emissions from industrial processes, road transport and non-road
32 transport were on a similar level. In China, the major source sector for particle number

1 emissions was power production, followed by residential and industrial combustion
2 emissions. In general, it should be noted that with the current set of emission factors the
3 uncertainties are lesser in Northern America and Europe, where most of the applied emission
4 factor measurements are made (more in Section 4).

5 In the following subsections (3.2.1.-3.2.5.), we discuss separately the major sources of aerosol
6 number emissions and their predicted changes from 2010 to 2030. In these subsections, the
7 percentages given for the shares of different sources refer to emissions in 2010, if not stated
8 otherwise.

9

10 **3.2.1. Power production emissions**

11 The dominance of the power production emissions in China was caused by the emissions
12 from coke production, which accounted for 95 % of Chinese power production emissions in
13 2010. Also the significant contributions of power production to emissions in Russia and India
14 were caused by coke production (88 % and 79 %, respectively).

15 The coke production emissions in China were estimated to decrease over 50 % from 2010 to
16 2020, whereas in India and Russia coke production emissions were predicted to increase by
17 200 % and 70 %, respectively. The decrease in Chinese emissions resulted mainly from large
18 scale replacement and closure of small inefficient coke ovens with modern installations, often
19 equipped also with measures to capture and remove dust emissions, which offsets the 20 %
20 increase in activity level. For India and Russia, changes in abatement technology shares did
21 not take place in the applied CLE-scenario, and thus the changes were due to increased
22 activity levels only.

23 However, the coke production emissions are subject to significant uncertainties. Additionally,
24 the emission factors applied for (coal-fired) power plants are not dependent on the sulphur
25 removal technologies or sulphur contents of the fuels, but only on particle removal
26 technologies. The applied power plant emission factors are designed for power plants in
27 Europe, where sulphur removal technologies are in place. This may cause significant
28 underestimation in the emission estimates for power plants using high sulphur fuels (for other
29 power production sources than coke production) in many parts of the world, where a
30 significant fraction of the power plants are not equipped with such technologies. Thus, the
31 presented results on power production emissions have to be considered as preliminary

1 estimates. It seems obvious that coke production causes at least a significant part of the
2 aerosol number emissions in question, but the future trends especially in China are very
3 uncertain, depending on the rate of activity level increase and overall emission factor decrease
4 due to improving technology. These uncertainties, also influenced with the general
5 uncertainties related to the representativeness of the PN emission factors for nucleation mode
6 sulphate/sulphuric acid particles, are discussed in more detail in Sect. 4.

7

8 **3.2.2. Residential combustion**

9 Residential combustion was a significant source of particles, especially in China, India and
10 Africa. All these emissions originated mainly from cooking stoves, but used fuels varied. In
11 India, firewood, agricultural residues and coal contributed each by a share of 25 % or more to
12 the residential combustion emissions, and also dung combustion had a share of over 10 %. In
13 China 64 % of the emissions originated from coal combustion, roughly 24 % from
14 combustion of agricultural residues and only 7 % from firewood combustion, whereas in
15 Africa 85 % of emissions came from firewood combustion (activity levels for dung
16 combustion are available only for India). The uncertainties related to residential combustion
17 emissions are discussed in Section 4.

18 In India and Africa the residential combustion emissions were expected to increase slightly
19 due to the increase in the activity levels. On the other hand, the emissions from residential
20 combustion in cooking stoves in China were estimated to decrease by 25-30 % per decade due
21 to the reduced coal use in residential sector which results in an overall decrease in residential
22 combustion emissions in China.

23

24 **3.2.3. Industrial combustion and processes**

25 Industrial combustion was estimated to contribute significantly to the total aerosol number
26 emissions in China and India, and the emissions from industrial processes were notable in
27 Russia and India. In China, the industrial combustion emissions were dominated by cast iron
28 production (75 % of industrial combustion emissions in 2010) and cement production (10 %),
29 whereas in India the cement production contributed to the industrial combustion emissions by
30 50 % and cast iron production by less than 10 %. It is notable that in India 20 % of industrial
31 combustion emissions were related to biomass fuel combustion.

1 Of industrial processes, the main source of particle number emissions was estimated to be
2 basic oxygen furnaces, producing over 80 % of Indian and 50 % of Russian emissions. In
3 Russia the other main sources were primary aluminium production (17 %), open hearth
4 furnaces (16 %) and electric arc furnaces (13 %), the latter contributing by 13 % also to
5 Indian industrial processes emissions.

6 For all industrial emissions, PM-based emission factors were applied. Thus, the differences in
7 PN emission factors for different emission abatement technologies are not expected to be
8 fully consistent (see Sect. 4).

9

10 **3.2.4. Traffic emissions**

11 The emissions from traffic were the major source of aerosol particles in most parts of the
12 world in 2010. This was the case especially in Western countries and Asia excluding China,
13 India and Russia. Interestingly, even though the total consumption of fuels in road traffic was
14 highest in Northern America (42 000 PJ/year compared to 31 000 PJ/year in Asia and 27 000
15 PJ/year in Europe) the calculated emissions were the highest in Asia and the lowest in N-
16 America. The low emissions in Northern America were due to much smaller percentage of
17 diesel vehicles than in Europe, whereas the high emissions in Asia were due to *i*) the
18 significant share of (diesel) fuel having higher sulphur content than in Europe and N-
19 America, and *ii*) the smaller proportion of vehicles with new emission abatement
20 technologies.

21 Based on the measurements collected by Vouitsis et al. (2013), applied for PN emission
22 factors in the GAINS model, the tightening regulation on particle mass emissions decreased
23 drastically the number emissions, as well. This lead to a major decrease in European, N-
24 American and Australian emissions from 2010 to 2030, as can be seen in Figs. 3 and 4.
25 Additionally, traffic emissions are the only source of particulate matter, for which also
26 number emissions have been regulated. The new diesel vehicles under EURO VI -technology
27 are limited not to have higher number emissions than $6 \cdot 10^{11}/\text{km}$ for passenger cars (the same
28 limit should be applied also for gasoline vehicles after 2017) and $6-8 \cdot 10^{11}/\text{kWh}$ for heavy-
29 duty vehicles. However, these limits are set only for solid particles larger than 23 nm. In
30 practice, this means that only particles with black carbon core are taken into account, since
31 the secondary particles are not considered as solid (they evaporate when the sample is heated)
32 and the nucleation mode particles with a non-volatile core (Rönkkö et al., 2007; Lähde et al.,

1 2010) have diameters well below 23 nm after evaporation of condensed matter. Thus, the
2 particle number emission limits mentioned above are in principle reached already when older
3 diesel vehicles are equipped with Diesel Particle Filter (DPF) (Samaras et al., 2005).

4 In addition to the emission abatement technologies and fuel type (here in principle gasoline
5 vs. diesel, since the global shares of gas or ethanol fuelled vehicles are very small), the
6 particle number emissions from traffic were highly sensitive to fuel sulphur content (FSC).
7 This effect is demonstrated in Table 2, where we present the relative change in road transport
8 PN emissions arising from the assumption of replacing all the diesel fuel with ultra low FSC
9 diesel, such as demanded by legislation e.g. in EU and U.S. Table 2 shows how much the
10 emissions would decrease, in comparison to the actual CLE scenario, if all the consumed
11 diesel fuel was replaced with ultra low FSC diesel. In Europe, there are some non-EU
12 countries for which, in the CLE scenario, the share of higher FSC diesel remains constant
13 until 2030. Since the total European road traffic emissions are decreasing significantly due to
14 the improving emission abatement technologies, the relative share of emissions from higher
15 FSC diesel increases with time. The table also reveals, that the expected decrease in road
16 transport emissions in Australia, Africa, Southern America and Russia from 2010 to 2020 (see
17 Fig. 4) was caused by decreasing the FSC in diesel, whereas (according to CLE scenario) in
18 China, India and Asia the share of ultra low FSC diesel is either not increasing or the effect of
19 its increase is (partly) invalidated by the increasing volume of road transport.

20

21 **3.2.5. Other significant sources**

22 Agriculture has a significant share on particle number emissions in Russia, India and Africa
23 and these emissions were entirely (>99 %) caused by agricultural waste burning (in which
24 slash and burn of forests or other vegetation and forest fires were not included).

25 In Russia, Europe and Northern America the non-road transport emissions formed a
26 considerable part of the emissions. However, this large non-road transport share was partly
27 due to including the gas pipeline compressor emissions in this sector. These were dominant in
28 Russian non-road transport emissions (95%) and constituted a major source also in Northern
29 America (35 %). In Europe the non-road transport emissions came mainly from maritime
30 vessels and the inland waterway transport was also a significant contributor to Northern-
31 American emissions.

1 One PN source, which might have a notable share in regional emissions but was not included
2 in this study due to lack of data on number emission factors, are brick kilns. Brick kilns are a
3 significant source of PM especially in India and other Southeast Asia (Bhat et al, 2014).

5 **3.3. Spatial distribution of emissions**

6 Aerosol particles are short-lived climate forcers with lifetimes roughly up to a week and the
7 aerosol number size distributions evolve rapidly especially under high concentrations close to
8 the sources. Thus, the regional particle concentrations leading to health and climate effects
9 cannot be defined with emissions described in country or region level, but it is essential to
10 assess the emissions with higher spatial resolution. The gridding of emissions down to
11 $0.5^{\circ} \times 0.5^{\circ}$ resolution, as applied in the GAINS emission model allows for estimating the
12 regional concentrations when combined with air quality or climate models. The gridded
13 particle number emissions presented here can be downloaded from GAINS model website
14 (<http://www.iiasa.ac.at/web/home/research/researchPrograms/air/ECLIPSEv5.html>) with a
15 distribution to different size bins as presented in Sect. 3.4.

16 In the upper panel of Figure 5 the gridded global emissions are presented for the year 2010.
17 The gridded emissions ranged in a span of various orders of magnitude (note the logarithmic
18 colour axis in Fig. 5, where the values below 10^{16} particles/ km^2/year are shown as having the
19 value of 10^{16}). The highest emissions were seen in North-Eastern China, but all the continents
20 had various grid cells with emissions higher than 10^{21} #/ km^2/year .

21 In the lower panel of Fig. 5, we have depicted the estimated change in total aerosol particle
22 number emissions from 2010 to 2030 based on the Current legislation scenario. The main
23 areas of significant decrease in emissions were Western Europe, Eastern United States, Brazil,
24 Australia, Japan and China, whereas the emissions in Africa, India and European part of
25 Russia were predicted to increase notably.

27 **3.4. Emission number size distributions**

28 The number size distributions of the major source sectors is presented for years 2010 and
29 2030 in Fig. 6 (upper panels), respectively. Here we divided the emissions to different sectors
30 (e.g. according to the used fuel) than in previous figures in order to present the differences in
31 size distributions and total emissions related to the different fuels. Especially the domestic

1 combustion of coal and biomass resulted in notably different size distribution with peak
2 values in 20-40 nm and ~100 nm, respectively. The most significant single particle number
3 sources mentioned in Sect. 3.2 (road transport with diesel fuel and coke production) had peak
4 values in sizes from 30 to 50 nm in diameter. The difference in size distributions from
5 different sources was visible also when assessing the regional emissions (Fig. 6, bottom
6 panels). In 2010, the emissions in Africa and India were dominated with biofuel combustion
7 and agricultural waste burning peaking at diameters close to 100 nm, whereas the other
8 regions showed highest emissions around 40 nm diameter. However, the estimated increases
9 in Indian power production, industrial and road traffic emissions towards 2030 moved the size
10 distribution to smaller diameters. On the contrary, the notable decrease in Australian road
11 traffic emissions shifted the size distribution to larger sizes, because one of the main sources
12 in 2030 was estimated to be agricultural waste burning.

13

14 **3.4.1. Black carbon emission size distribution**

15 The size distributions of black carbon containing particles as well as the size distribution of
16 the black carbon cores for year 2010, calculated with Eq. (4), are presented in Figure 7. The
17 global black carbon mode particle emissions were dominated with diesel-fuel road
18 transportation, but the contributions of domestic biomass combustion and agricultural waste
19 burning were much higher than for the total particle numbers (compare to Fig. 6, upper left
20 panel). The black carbon mode count median diameter varied from 70 to 100 nm. This
21 variation seems to be at least partly due to the amount of vapours condensed on the black
22 carbon cores: the black carbon core size distributions shown in middle and right panels of Fig.
23 7 show more similar count median diameters of roughly 60 nm for all other sources than
24 industrial combustion and domestic coal combustion. The difference between the assumptions
25 of the composition of the coating of BC cores, i.e. the choice between coating including only
26 OC (Fig. 7, middle panel) and coating including all PM₁ except BC (figure not shown), was
27 significant only in industrial combustion emissions, for which the BC core mode shifted to
28 much smaller sizes (from ~100 nm to 30-40 nm) when assuming all PM₁ is condensed on BC
29 cores. This is because in industrial PM₁ combustion emissions the shares of OC and BC are
30 relatively small. It is to be noted that the method of defining the source-specific BC modes
31 was approximate, as discussed in Sect. 2.3, and some of the sub-50 nm particles here defined
32 as non-BC particles might in reality have a BC core. Even though this possible

1 underestimation of smaller BC particles is unlikely to concern the diesel emissions (Liggio et
2 al., 2012), which is the main source for BC number emissions, the black carbon size
3 distributions from other sources should be assessed in more detail in future.

4

5 **3.5. Future trends of emissions in different PN and PM metrics**

6 The projected future trends of PN emissions (UFP and FP separately) and, for comparison,
7 the mass emissions PM_{1} , $PM_{2.5}$ and PM_{BC} are depicted in Figure 8 with indicated global
8 contributions of different source sectors. The significant contribution of road traffic to PN
9 emissions caused a decrease from 2010 to 2020 in PN emissions in both UFP and FP size
10 range and the decrease in UFP emissions was enhanced by the decrease in coke production
11 emissions. The decrease in PN emissions was predicted to stop after 2020 due to increase in
12 industrial emissions. This was estimated to cause a slight increase in UFP emissions from
13 2020 to 2030, but the global FP number emissions seemed to remain constant. Comparison to
14 PM mass emissions revealed that the trends of particle numbers and mass can be very
15 different. The major source in all the depicted mass emissions, PM_{1} , $PM_{2.5}$ and PM_{BC} , was
16 residential combustion, but PM_{1} and BC emissions from residential combustion emissions
17 were estimated to decrease more than $PM_{2.5}$. As the $PM_{2.5}$ emissions showed the steepest
18 increase in industrial emissions, whereas the BC emissions are affected very little by
19 industrial process emissions, the total $PM_{2.5}$ emissions showed increase, PM_{1} remained rather
20 constant and BC emissions showed clear decrease.

21 In most parts of the world, the future changes in UFP and FP emissions are predicted to be
22 rather similar (Fig. 9), but the relative change in UFP emissions is typically a bit more
23 pronounced than that of FP particles. However, especially in India the UFP emissions are
24 estimated to increase much more than FP emissions. This is because the emissions from
25 residential combustion and agricultural waste burning, which emit both FP and UFP, are not
26 increasing in India, but the industrial, traffic and coke production emissions, all emitting
27 mainly in UFP size range, are predicted to increase significantly (see Fig. 4). Also in Russia,
28 which is the other area where the number emissions are clearly increasing, the relative
29 increase of UFP emissions is larger than that of FP emissions. In Russia the road traffic
30 emissions are predicted to decrease and the increase in UFP emissions is mainly caused by
31 increases in emissions from industrial processes, coke production and gas pipeline

1 compressors. The mass emissions are depicted also in Fig. 9 for reference, but the reasons for
2 different regional trends are not discussed here.

3

4 **4. Uncertainties related to the particle number emission factors**

5

6 This article has its main focus on describing the implementation of particle number emission
7 factors in the global GAINS emission scenario model. We present the initial results and
8 demonstrate the future needs for improving the emission factor database. The uncertainties in
9 the particle number emission factors are large and often based on gap-filling. Based on the
10 presented results, further research can be planned and we see these estimates, albeit uncertain,
11 as progress and part of the results.

12 The uncertainties in the emission factors are due to the following main reasons, *i*) the lack of
13 reliably reported measurements for the particle number emission factors and the related size
14 distributions, *ii*) geographic unrepresentativeness of the applied emission factors, *iii*)
15 application of number emissions factors based on PM mass emission factors (instead of
16 applying a direct number emission factor), and *iv*) a lack of representative measurements for
17 fuels with high and/or varying sulphur contents. High sulphur contents give rise to high
18 emission of particles of a very small size (<10nm), these numbers can be expected to
19 dominate total PN emissions in many sources.

20 The above listed causes for uncertainties are in many cases linked, e.g. the reason for applying
21 PM-based emission factors for determining number emission factors is due to the lack of
22 available direct number emission factors. They also make the geographic variation of
23 uncertainties very prominent. In Europe and Northern America, the overall uncertainties, even
24 though significant in absolute values, are smaller in comparison to the other continents, both
25 in terms of current and future emissions. This is because most of the emission factor
26 measurements have been conducted in these continents and in both the dominant sources of
27 emissions are road traffic and residential wood combustion, both with well-established direct
28 number emission factor database for different emission abatement technologies. On the
29 contrary, the emission factors for the dominant particle number sources in Asia (including
30 China, India and Russia) are in most cases based on only few (often European or American)
31 studies, and the effect of emission abatement technologies is typically based on PM₁ emission

1 factors. Also the pronounced wood combustion emissions from cooking in Africa are based
2 on emission factors from (traditional western) heating stoves and are thus rather uncertain.
3 In the following we discuss shortly the most important individual causes for uncertainties in
4 the results presented in Sect. 3.

5

6 **4.1. General causes of uncertainties in PN emission factors**

7

8 ○ Applying PM-based emission factors in general

9 The emission abatement technologies have typically different removal efficiencies for
10 particles with different diameters. However, when the emission factors for different
11 technologies are determined by simply scaling the emission factor with the corresponding
12 change in PM emission factor, the PSD remains unchanged. This may result in erroneous
13 estimates of EF_{PN} , e.g. if a source with high emissions of fine particles and condensable
14 vapours is controlled with a removal technology for the fine particles, the formation of
15 ultrafine particles from the vapours may increase due to drastic decrease in the condensation
16 sink for the vapours and coagulation sink for the freshly formed particles.

17

18 ○ Effect of sulphur on PSDs and emission factors

19 It is well known that sulphuric acid, formed from SO_2 after oxidation to SO_3 , is a key player
20 in atmospheric new particle formation. It has been also shown in many studies that, by
21 increasing the fuel sulphur content, the primary emissions of ultrafine particles are increased
22 (e.g. Rönkkö et al., 2013). However, the nucleation mode particles formed from sulphur (and
23 other condensable vapours) are often not well, in some cases even at all, represented in the PN
24 emission factors and PSDs in the literature. Some instruments applied for the measurements
25 are not able to measure concentrations of particles with diameters below 10 nm, and in some
26 cases the nucleation mode particles are evaporated before they are detected. It can be
27 expected that, by making new experiments on the PN emission factors and PSDs with
28 instruments suitable for detection of nucleation mode particles, the overall figure of UFP
29 emissions will alter significantly. It might be also possible to derive semi-empirical estimates
30 of the nucleation mode particle emissions by taking into account the SO_2 emissions.

1 Additional uncertainties related to sulphur emissions arises from the lack of emission factors
2 for different fuel sulphur contents in sources other than road traffic. Especially in coal
3 combustion the emissions can be expected to depend heavily on the coal sulphur content.
4 Also for the road traffic emissions, the uncertainties are considerably higher for higher FSC
5 diesel than for ultra low FSC diesel or gasoline.

6

7 ○ Effects of ambient conditions on emissions

8 The numbers and size distributions of emitted particles depend also on the ambient conditions
9 in which they are emitted, e.g. on temperature. The volatility of vapours is strongly dependent
10 on temperature, which naturally causes evaporation when fuel is heated. Some of the vapours
11 that do not effectively condense onto particles and/or form new nucleation mode particles in
12 room temperatures may still be condensable when temperature is lower. This would affect the
13 emissions most probably in the colder parts of the world and especially in winter. Also the
14 particle concentrations prior to emission can be presumed to affect the PN number emissions
15 (at least when the immediate formation of secondary particles are considered as PN emission),
16 due to the competition of (emitted) vapour uptake between new particle formation and
17 condensation to pre-existing particles. These kinds of effects are, however, issues for future
18 research and their impact cannot be implemented directly to the GAINS model.

19

20 **4.2. Sector-specific causes of uncertainties in PN emission factors**

21

22 ○ Coke production

23 Emission factor for coke production is based on PM₁ emission factors and the conversion
24 from mass to number factor and the particle number size distribution are derived from a
25 publication by Weitkamp et al. (2005), in which the authors study the emissions from a large
26 coke production facility near Pittsburgh, U.S. Other studies for comparing the number size
27 distribution related to coke production, especially in Asia, are needed for verifying the drastic
28 impacts of coke production to regional aerosol emissions. Furthermore, the effects of
29 emission abatement technologies – such as cyclone, 1- and 2-field electrostatic precipitators
30 and high efficiency dedusters – on the particle size distribution and number emission factor
31 need to be studied.

1

2 ○ Residential coal combustion

3 Residential coal combustion number emission factors are PM-based and were produced with
4 particle size distributions taken from Bond et al. (2002). Further studies for different coal
5 types, including varying sulphur contents, and stove technologies are needed to better
6 estimate the share of residential coal combustion on the particle number emissions especially
7 in China.

8

9 ○ Residential wood combustion in traditional cooking stoves

10 The emission factors for the cooking stoves e.g. in African and Asian countries have been
11 adapted from no-control emission factors for heating stoves, which are mostly based on
12 Northern-European and –American studies. Obtaining emission factors for traditional cooking
13 stoves down to a three stone fire, would give better picture on the residential combustion
14 emissions especially in Africa. Furthermore, estimating the dung combustion activity levels in
15 countries other than India could alter the overall figure to some extent.

16

17 ○ Power plant and industry emissions

18 The emission factors for power plants and industry are all PM-based, which causes
19 uncertainties especially when assessing the future emissions with improved technologies.
20 Also the fuel sulphur contents are not taken into account, which increases the uncertainty
21 levels.

22

23 **5. On the effects of anthropogenic emissions on particle number**
24 **concentrations**

25 In this paper we have presented the first results of global anthropogenic primary particle
26 number emissions from the GAINS model. It is important to note that e.g. the future trends
27 presented here should not be interpreted as trends for future particle number concentrations,
28 because the relation between particle number emissions and number concentrations are far
29 from linear. Typically, particle number concentrations vary much less than the emissions,
30 because *i*) in the areas of low anthropogenic primary emissions the natural emissions and

1 atmospheric aerosol formation (both in terms of vapours condensing on pre-existing particles
2 and formation of new particles from vapours) play a relatively more important role (Paasonen
3 et al., 2013a) and *ii*) the most efficient sink for the smallest of aerosol particles in nucleation
4 mode is their coagulation with larger particles (e.g. Kerminen et al., 2001). Because this
5 coagulation sink of particles correlates in many cases with the number emissions (e.g. in the
6 street canyons both the number concentrations and sink are high, and in general both increase
7 when approaching the emission source), the implementation of the GAINS number emissions
8 to air quality or climate models even with the higher spatial resolution ($0.5^\circ \times 0.5^\circ$) may lead
9 to overestimating the concentrations. In order to better approach e.g. the health effects of
10 particle number concentration within cities, it is possible to downscale the GAINS emissions
11 to a street canyon scale with the methods presented by Kieseewetter et al. (2014).

12 Comparison of the global emission trends of different aerosol concentration metrics (Figs. 8-
13 9) reveals their different predicted trends. The global mass emissions of black carbon aerosol,
14 the main aerosol component causing global warming, are predicted to decrease in the future,
15 whereas the global emissions of cooling aerosols, i.e. mass emissions non-BC aerosol
16 (cooling due to scattering of solar radiation) and the number emissions of FP (acting as cloud
17 condensation nuclei, CCN) are predicted to increase or decrease less than BC mass emissions.
18 The predicted changes in BC mass emissions and PN emissions suggest that, even though the
19 BC particles can act as CCN after atmospheric aging (Chen et al., 2010), the overall global
20 decrease in BC mass emissions does not lead to similar decrease in number emission of FP.
21 However, it should be noted that the climate effects do not follow directly the emissions,
22 especially in the case of cloud droplet formation. There are several processes, which can
23 either overrule or dampen the formation of cloud droplets from emitted FP. Firstly, the UFP
24 from both anthropogenic emissions and atmospheric new particle formation grow to CCN-
25 sizes, and this growth produces often much more CCN than primary FP emissions, and
26 secondly, the boundary layer height and dilution also affect the concentration levels resulting
27 from the emissions (Paasonen et al., 2013a). Thirdly, the cloud droplet concentration (at least
28 partly) saturates when CCN concentrations increase, which lessens the cloud forming effect
29 of FP emitted in moderately or more polluted areas (e.g. Gultepe and Isaac, 1999).

30

31 **6. Conclusions**

1 The aerosol particle number (PN) emission factors and the related size distributions have been
2 implemented in the global GAINS model. The regional PN emissions are dominated by
3 different sources than e.g. the particle mass emissions. In most parts of the world the
4 emissions from diesel fuelled road vehicles were the major source in 2010. Other significant
5 sources for particle numbers were residential combustion of biofuels and coal (especially in
6 China, India and Africa), coke production (Russia and China), industrial combustion and
7 processes (Russia, China and India) and gas pipeline compressors in Russia. However, the PN
8 emission factors for residential coal combustion, coke production and gas pipeline
9 compressors have high uncertainties, which can be reduced only with further new
10 experimental studies on the emission factors.

11 According to the current legislation scenario, the PN emissions are expected to decrease
12 significantly by 2030 in Europe, Northern and Southern Americas and Australia (64%, 49%,
13 26% and 76%, respectively), mainly because of introduction of Diesel Particulate Filters
14 (DPF) in order to comply with new diesel vehicle legislation; the DPFs cut efficiently both
15 particle mass and number emissions. In Southern America and Australia the decrease in road
16 traffic emissions is also partly due to intended switch to ultra-low sulphur content fuels,
17 which are already the only fuel type in use in Northern-America and most of the European
18 countries. Also in China the total PN emissions are estimated to decrease by 23% from 2010
19 to 2030, mainly due to the decreases in coke production and residential coal combustion
20 emissions. However, in India the emissions are increasing by over 80 % from 2010 to 2030,
21 in Russia by 37% and in the rest of Asia by 19%, whereas in Africa the emissions are
22 estimated to increase only by 7%.

23 The number size distributions of particles differ significantly depending on the source. In
24 terms of the major number sources, traffic, coke production and residential coal combustion
25 show highest emissions in ultrafine particle (UFP) size range, with diameters between 30 and
26 50 nm, whereas the residential biofuel combustion and agricultural waste burning, as well as
27 industrial combustion, show peaks with diameters around 100 nm. These differences,
28 naturally, cause variation in the total number size distributions of emitted particles in different
29 parts of the world.

30 The sizes of emitted particles are important when assessing the impacts of the emitted
31 particles. The globally significant climate impact of particle number concentrations arises
32 from the aerosol-cloud interactions, i.e. the activation of particles with diameters close to or

1 over 100 nm as cloud droplets. On the other hand, the adverse health effects related to particle
2 number concentration are coupled with UFP concentrations. This, together with the
3 dominance of traffic emissions in this size range and the fact that road traffic is a pollution
4 source very close to our every day life, arises need for better assessment of size segregated
5 PN emissions also in the population health perspective. Thus, this work provides input for
6 both climate and air quality modelling and makes the evaluation between the effects of the
7 future changes in aerosol number emissions and aerosol mass emissions possible.

8 However, the work described in this paper is the first implementation of the particle number
9 emissions to an emission scenario model such as GAINS. In order to improve the estimates of
10 current and future PN emissions, more experiments on the PN emission factors and size
11 distributions of the sources indicated in Sect. 4 are crucial, as well as a thorough reassessment
12 of the effects of fuel sulphur content and ambient conditions on the emission.

13

14 **Acknowledgements**

15 This work was funded by the Academy of Finland Centre of Excellence (grants no. 1118615
16 and 272041), European Commission 7th Framework projects ECLIPSE (Project no. 282688),
17 PEGASOS (265148), TRANSPHORM (243406) and ‘Assessment of hemispheric air pollution
18 on EU air policy’ (contract no. 07.0307/2011/605671/SER/C3) and by the Nordic Top-level
19 Research Initiative (TRI) Cryosphere-Atmosphere Interactions in a Changing Arctic Climate
20 (CRAICC). We thank Leonidas Ntziachristos and Ilias Vouitsis at Aristotle University of
21 Thessaloniki (Greece) for help and assistance in applying the emission factors for road
22 transport sector and Professor Qiang Zhang from Tsinghua University (Beijing, China) for the
23 spatial distribution of Chinese power plants for 2000, 2005, and 2010.

24

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- 1 Table 1. The diameter ranges of particles in the size classes applied for PN emissions.
 2 Diameters are electrical mobility diameters, except for * aerodynamic diameter (see text).

Size class, <i>n</i>	1	2	3	4	5	6	7	8	9
Minimum d_p (nm)	3	10	20	30	50	70	100	200	400
Maximum d_p (nm)	10	20	30	50	70	100	200	400	1000*

3

- 4 Table 2. The relative change in annual road transport PN emissions in comparison to the CLE
 5 scenario, if (in addition to the technological advancements described in the CLE scenario) all
 6 the diesel fuel (consumed in road transport) is assumed to be replaced with ultra low sulphur
 7 content –fuel (FSC = 10 ppm). The lowest row shows the change in total emissions from all
 8 sources. Note that e.g. in Europe, the impact increases with time, because in the CLE scenario
 9 the emissions decrease drastically in most countries, but a small share of high FSC fuel
 10 remains present in some (non-EU) countries. Thus, the proportion of the high FSC
 11 contribution to total emissions in the CLE scenario increases with time.

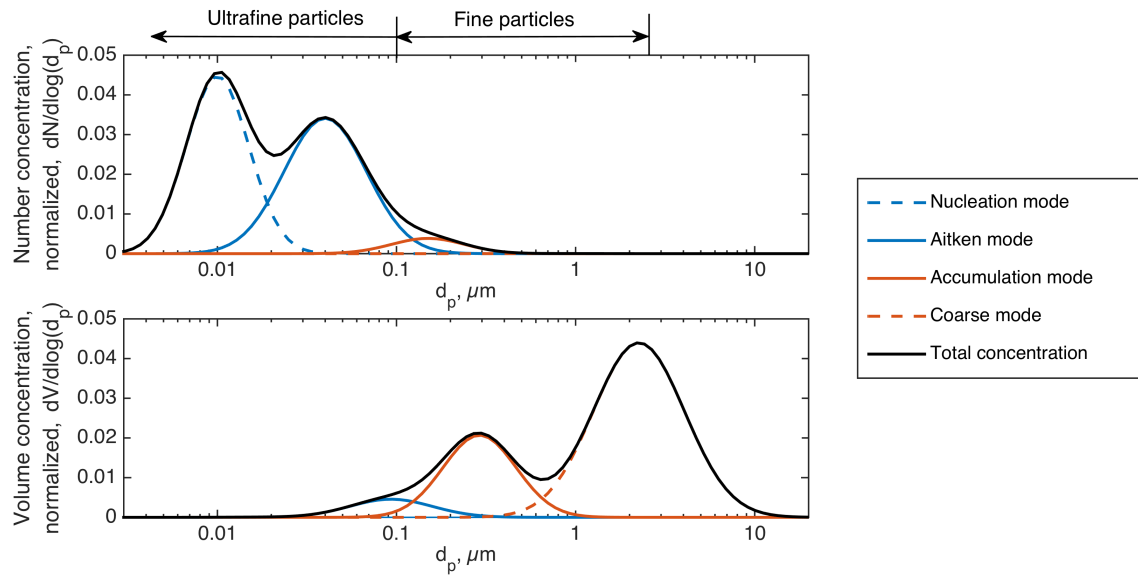
12

	2010	2020	2030
Europe	-5%	-8%	-24%
N. America	0%	0%	0%
Russia	-48%	-1%	-3%
China	-30%	-31%	-33%
India	-32%	-41%	-39%
Asia	-44%	-29%	-32%
S. America	-35%	-1%	-2%
Africa	-55%	-7%	-8%
Australia	-51%	0%	0%
Global road traffic	-32%	-21%	-27%
Global total	-11%	-5%	-6%

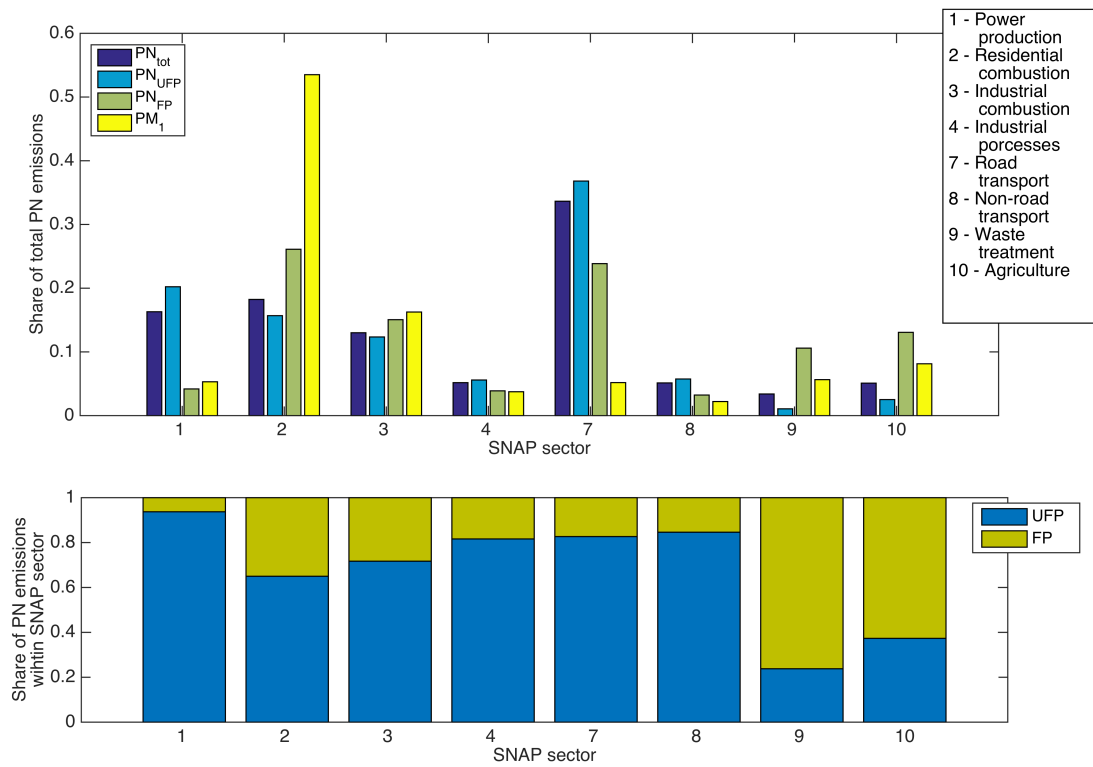
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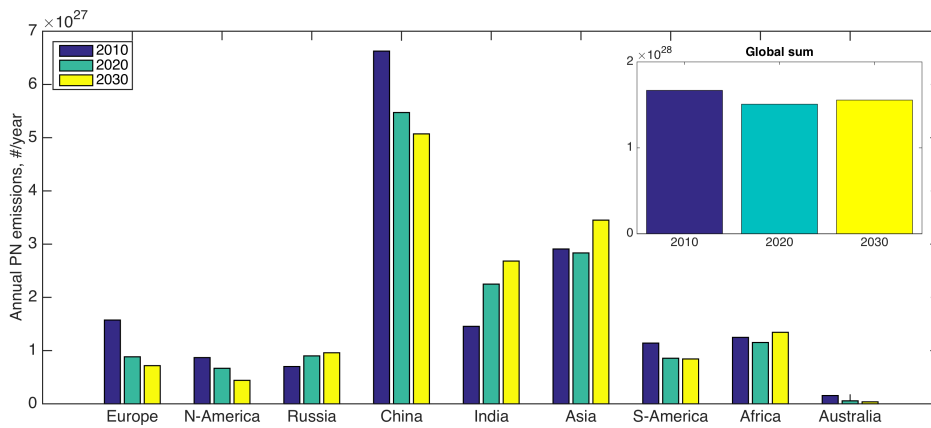
1 Figures and figure captions



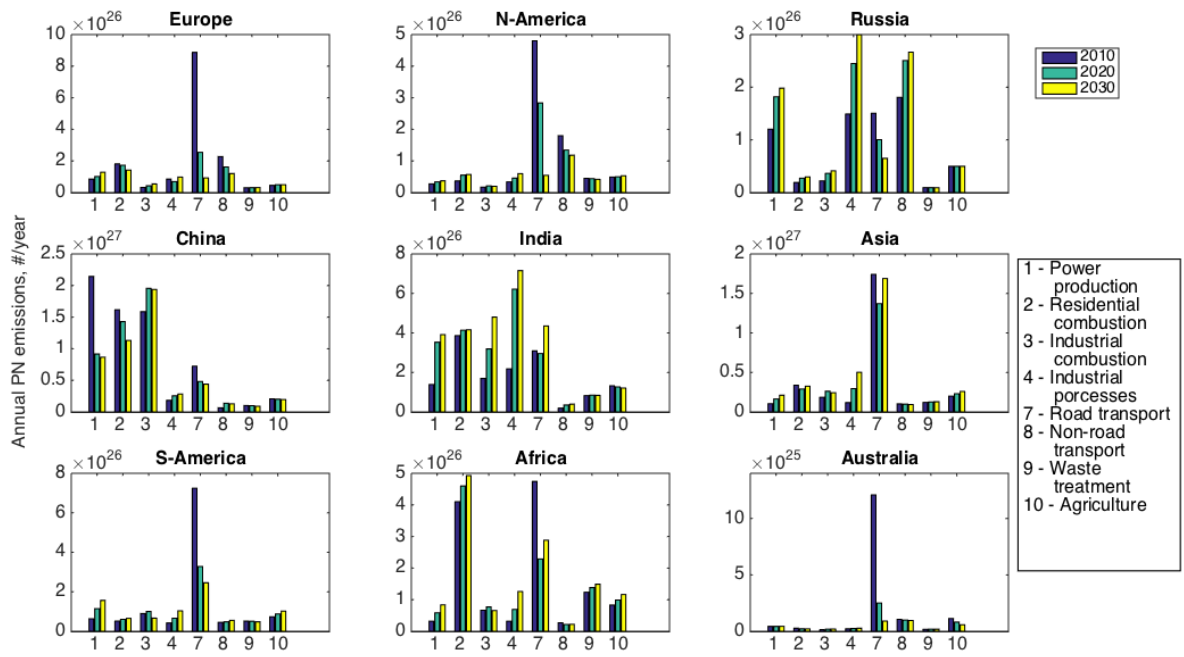
2
3 Figure 1. Number size distribution of a fictional and simplified particle population within the
4 planetary boundary layer with four lognormal particle size modes (upper panel) and the same
5 population represented with mass size distribution (lower panel). Note that in literature it is
6 common to use term “fine particles” (FP) when referring to all particles with diameters below
7 2.5 μm , including ultrafine particle (UFP) size range. However, in this article we exclude
8 UFP size range from FP.



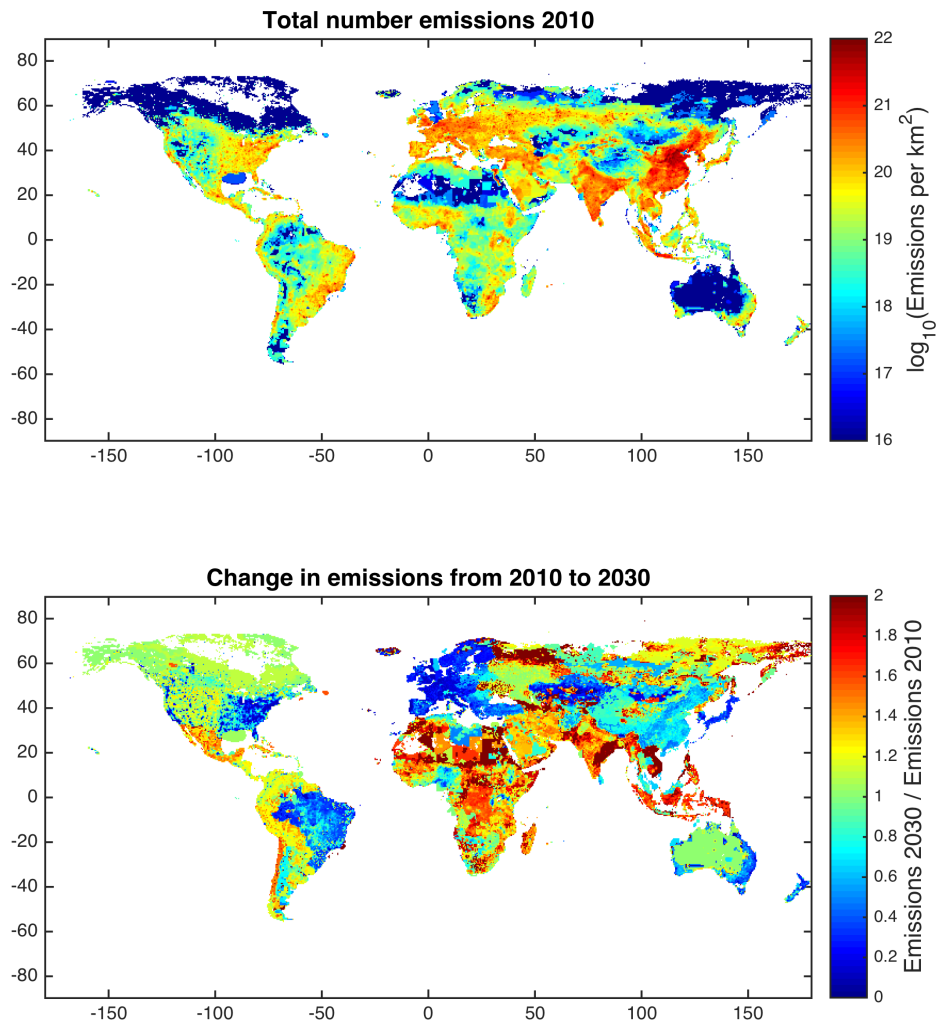
1
 2 Figure 2. Upper panel: shares of different source sectors in number emissions of all (PN_{tot}),
 3 ultrafine (PN_{UFP}) and fine (PN_{FP}) particles and in aerosol mass emissions of particles with
 4 diameters below $1 \mu m$ (PM_1) for 2010. Lower panel: shares of UFP and FP in PN emissions
 5 for each SNAP-sector.



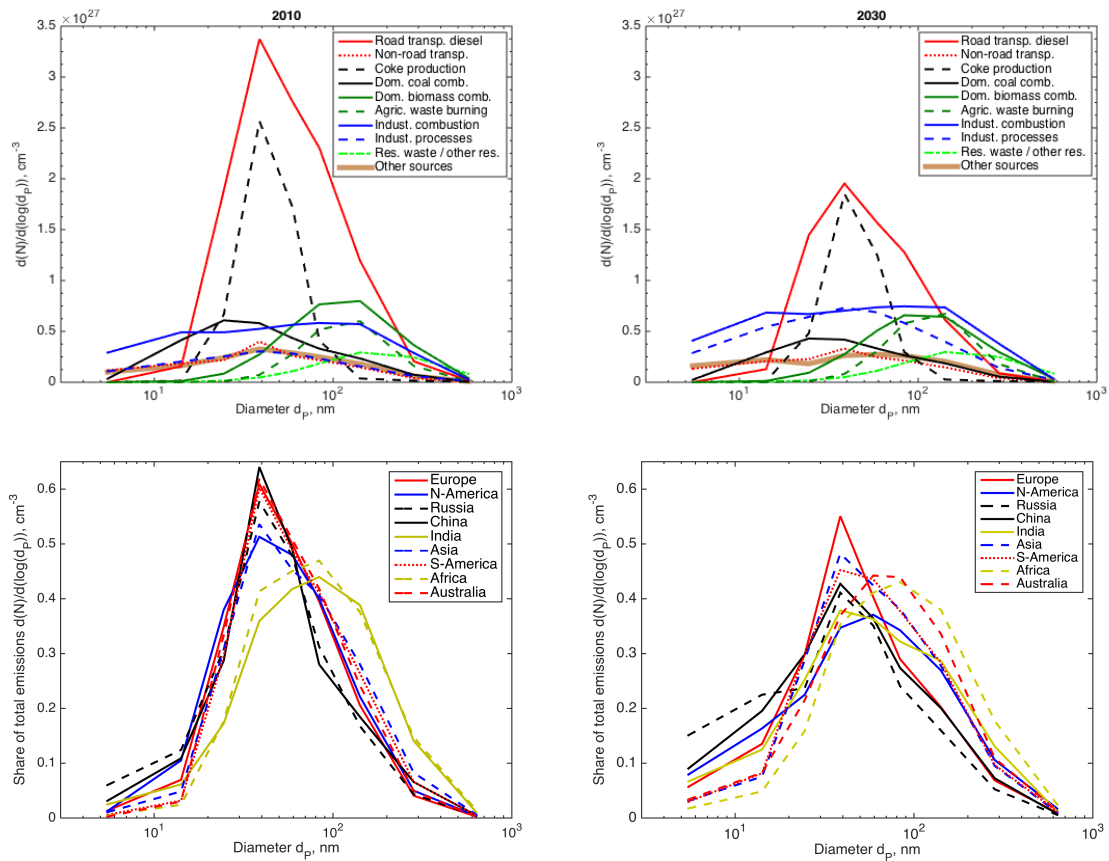
6
 7 Figure 3. Evolution of continental anthropogenic particle number emissions from 2010 to
 8 2030 according to the current legislation scenario in different parts of the world and the whole
 9 world.



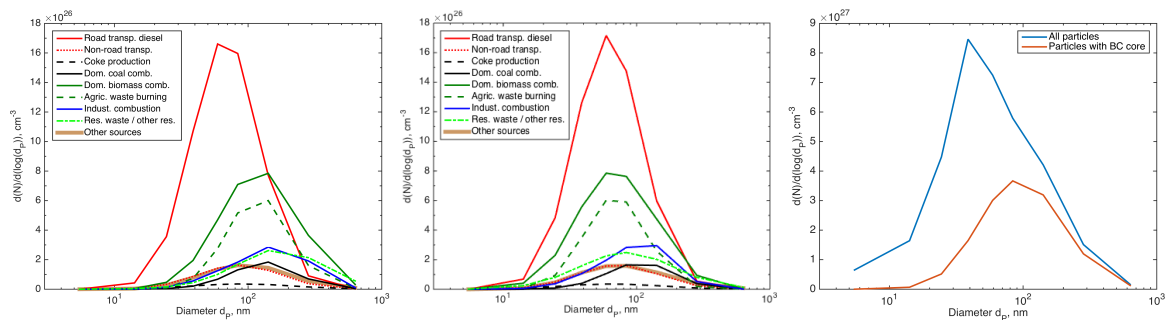
1
 2 Figure 4. Contributions of different source sectors to particle number emissions in different
 3 parts of the world, from 2010 to 2030. Note the different Y-axis scales.



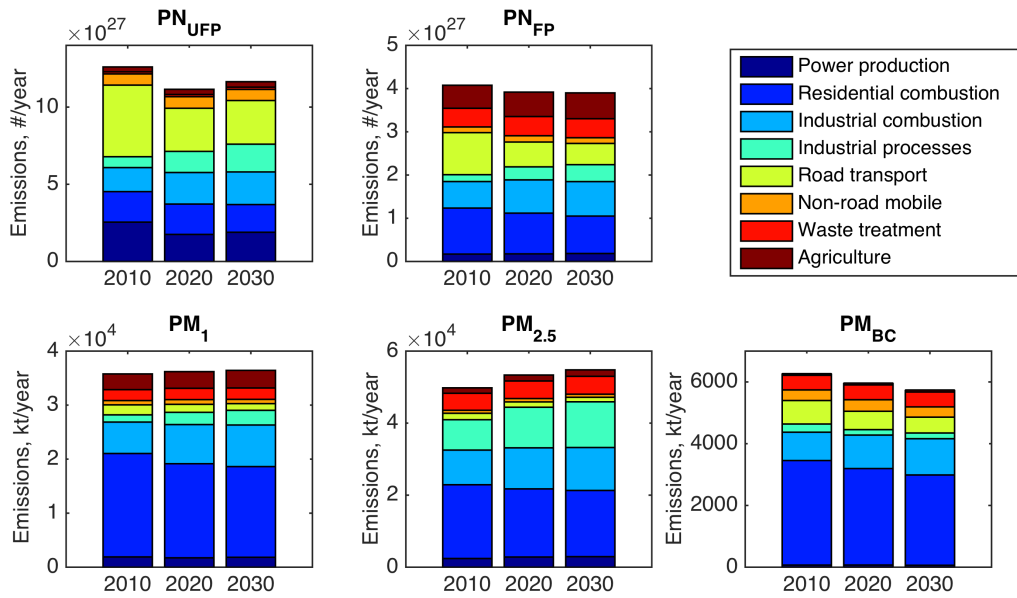
1
 2 Figure 5. Spatial distribution (in $0.5^\circ \times 0.5^\circ$ grid) of global continental anthropogenic particle
 3 number emissions in units $\#/km^2$ (upper panel) and predicted relative change in particle
 4 number emission from 2010 to 2030 (lower panel). The gridded emissions are available from
 5 <http://www.iiasa.ac.at/web/home/research/researchPrograms/air/ECLIPSEv5.html>.



1
2 Figure 6. Particle number size distributions of the major global aerosol emission sources
3 (upper panel) and normalized number size distributions for each region (lower panel). The left
4 side figures are for 2010 and the right side ones for 2030.

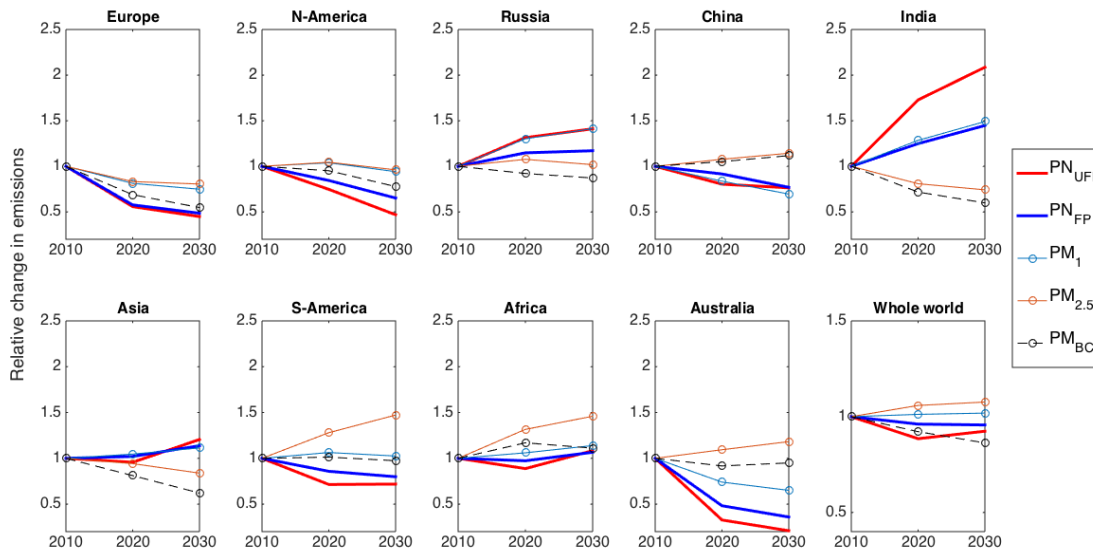


5
6 Figure 7. Estimated global number size distributions of the black carbon mode particles (left
7 panel) and of their black carbon cores, assuming only OC is condensing on the BC cores
8 (middle panel). Number size distribution of global total PN emissions and emissions of
9 particles with BC core (right panel). The source categories in left and middle panel are the
10 same as in Fig. 6.



1

2 Figure 8. Shares of different source sectors to the future global trends particle number and
 3 mass emissions under current legislation scenario: PN emissions in ultrafine and fine size
 4 ranges and particle mass emissions PM_1 , $PM_{2.5}$ and black carbon.



5

6 Figure 9. Continental future trends of particle number and mass emissions under current
 7 legislation scenario. Emissions are normalized to unity in 2010. Note the different y-axis scale
 8 in the subplot for the Whole world.