



1    **Understanding the Recent Trend of Haze Pollution in**  
2    **Eastern China: Roles of Climate Change**

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13

14    **Abstract**

15    In this paper, the variation and trend of haze pollution in eastern China for winter of  
16    1960-2012 were analyzed. With the overall increasing number of winter haze days in  
17    the period, the five decades were divided into three sub-periods based on the changes  
18    of winter haze days (WHD) in central North China (30°N-40°N) and eastern South  
19    China (south of 30°N) for east of 109°E mainland China. Results show that WHD  
20    kept gradual increasing during 1960-1979, overall stable during 1980-1999, and fast  
21    increasing during 2000-2012. The author identified the major climate forcing factors  
22    besides total energy consumption. Among all the possible climate factors, variability  
23    of the autumn Arctic sea ice extent, local precipitation and surface wind during winter  
24    is most influential to the haze pollution change. The joint effect of fast increase of  
25    total energy consumption, rapid decline of Arctic sea ice extent and reduced  
26    precipitation and surface winds intensified the haze pollution in central North China  
27    after 2000. There is similar conclusion for haze pollution in eastern South China after  
28    2000, with the precipitation effect being smaller and spatially inconsistent.



## 1 1. Introduction

2 In recent years, China has suffered from increased severe haze events that have  
3 caused strongly impacts on society, ecosystem, and human health. For example, the  
4 eastern China was hit by a prolonged and heavy haze event in January 2013, which  
5 made Beijing reaching its highest level of air pollution and led to the first haze orange  
6 alert in Beijing's meteorological history (e.g. Ding and Liu, 2014; Zhang et al., 2014).  
7 Furthermore, serious health problems have been induced from respiratory illness to  
8 heart disease, premature death, and cancer with the intensification of air pollution  
9 (Wang and Mauzerall, 2006; Xu et al., 2013; Xie et al., 2014). Thus, increased  
10 attentions have been reported to the issue of haze both from the government bodies  
11 and the general publics, and some air pollution prevention actions have been  
12 implemented and have stipulated strict controls on coal consumption, industry  
13 production, vehicles, etc.

14 Early studies have documented that the haze days are generally increase in  
15 economically developed eastern China but decrease in the less economically  
16 developed regions in China (e.g. Niu et al., 2010; Wu et al., 2010; Ding and Liu,  
17 2014), and this increasing trend of haze is reported to be more pronounced since 2001  
18 (Sun et al., 2013). Thus, the human activities, such as rapid urbanization and  
19 economic development, are generally considered as the major contributors to this  
20 long-term increasing trend of haze in eastern China (Wang et al., 2013). For example,  
21 in Beijing, vehicles are reported to be the biggest source of particulate matter 2.5  
22 (PM<sub>2.5</sub>), accounting for 25% of the pollution, and the coal combustion and  
23 cross-regional transport are the second greatest source, both accounting for 19%,  
24 despite some debates still exist (He et al., 2013; Zhang et al., 2013). Similar  
25 phenomenon can be observed in the other regions in China, such as in Chengdu city  
26 over southwestern China in which the secondary inorganic aerosols and coal  
27 combustion can account for  $37 \pm 18\%$  and  $20 \pm 12\%$  of the air pollutants, respectively  
28 (Tao et al., 2014).



1 Evidently, there is no doubt that there is a great role can be found from the human  
2 activities to the strongly increase of haze days in China. However, our deeply analysis  
3 in this study indicates that the variations of haze days show different trends in the past  
4 decades over eastern China, with increase in 1960-1979, no obvious change in  
5 1980-1999 (even decrease over northern part of eastern China), and rapidly increase  
6 since 2000, which presents a disagreement with the persistently and rapidly increase  
7 of the total energy consumption over this region in the past. So, the impacts from the  
8 climate change must be considered when talking about the changes of haze events  
9 because the climate change can significantly influence the air pollution via variation  
10 of local atmospheric circulation. Some early studies have revealed that the increased  
11 haze days in eastern China may be associated with decreases of the surface wind  
12 speed (Xu et al., 2006; Gao et al., 2008; Niu et al., 2010) and the relative humidity in  
13 the atmosphere (Ding and Liu, 2014). Wu et al. (2008) indicate that the occurrences of  
14 heavy haze events in the Pearl River Delta region of China are generally concurrently  
15 with the stronger zonal circulations in the midtroposphere and weaker winds on the  
16 surface. Chen and Wang (2015) reveal that the severe haze events in boreal winter  
17 over northern China generally happen under a favorable atmospheric background,  
18 with the weakened northerly winds and the development of inversion anomalies in the  
19 lower troposphere, the weakened East Asian trough in the midtroposphere, and the  
20 northward East Asian jet in the high troposphere. Additionally, a recent study further  
21 reveals that the Arctic sea ice decline can intensify the haze pollution over eastern  
22 China and account for approximately 45-67% of the interannual to interdecadal  
23 variability of haze occurrences. However, the possible reasons for the different trends  
24 of haze days (varying from decades) over eastern China have not been revealed so far,  
25 although the ambient conditions of the haze occurrences have been well analyzed as  
26 well as the reason of its long-term increasing trend, which is thus to be our interest  
27 and topic in this study.

28

## 29 **2. Data and Methods**



1 The monthly haze day data for 756 meteorological stations in China during  
2 1960-2013 have been collected by the National Meteorological Information Center of  
3 the China Meteorological Administration. For the site-observation, it was rejected if  
4 there are miss values in time series. Thus a subset of total 542 stations is selected. We  
5 focus our analysis on haze pollution over eastern China (east of 109°E, south of 40°N,  
6 mainland China) in this study. As have been indicated, more than 40% haze pollution  
7 occurred in boreal winter (current year December and following year  
8 January-February), hence we focus on the winter season. We here after focus our  
9 analysis in two regions, R1 (east of 109°E in 30°N-40°N) and R2 (east of 109°E, and  
10 south of 30°N) in mainland China. Haze day is defined as the average in the region R1  
11 or R2. The Arctic sea ice extent (ASI) is calculated from the Hadley Centre  
12 (HadISST1) with  $1^\circ \times 1^\circ$  resolution for 1870-2013 (Rayner et al, 2003). The autumn  
13 ASI index is calculated as the area-averaged sea ice extent in the region of north 45°N.  
14 The annual statistics of total energy consumption that providing for each province in  
15 China are obtained from the journal of 'China Statistical Yearbook' that published  
16 every year.

17

### 18 3. Results

19 Heavy haze events can not only strongly affect the traffic but also induce serious  
20 health problems from respiratory illnesses to heart disease, premature death and  
21 cancer (Pope and Docheru, 2006; Wang and Mauzerall, 2006). The intensified air  
22 pollution in China can be more or less attributed to the increased emissions of  
23 pollutants into the atmosphere as the result of rapid economic development thus fast  
24 increase of fossil fuel energy consumption and urbanization. Meanwhile, climate  
25 change can also significantly influence the air pollution via variation of local  
26 atmospheric circulation and precipitation.

27 As indicated by numerous studies, air pollution has generally been intensified in  
28 eastern China in past half-century, with more haze days and increased  $PM_{2.5}$



1 concentration during winter and spring (e.g. Wang et al, 2015). However, based on  
2 our current studies, recent trend during 2000-2012 is different from that during  
3 1980-1999 or 1960-1979 (Fig. 1). During 1960-1979, there is a general consistent  
4 increasing trend of winter haze days (WHD) in Beijing-Tianjin-Hebei area and in the  
5 lower reaches of Yangtze River Valley. There is no significant trend southeastern  
6 coastal region of China. In the second period (1980-1999), there are generally  
7 increasing trends south of 30°N but some decreasing trends in regions between 30°N  
8 and 40°N in eastern China. During recent period (2000-2012) there are generally large  
9 increasing trends in the region south of 40°N in eastern China. During all the three  
10 period, there is no significant trend in northeastern China and eastern Inner-Mongolia.

11 Thus, our first question is why there are some decreasing trends of WHD during the  
12 second period (1980-1999) when the rapid economy has been growing continuously  
13 from late 1970s up to present. We then plotted the WHD together with total energy  
14 consumption in R1 and R2 (Fig. 2). We found that WHD keeps gradual increasing  
15 during the first period, remains stable or slightly decreases during the second period,  
16 and then increases fast along with the rapid increase of total energy consumption  
17 during the recent period. Therefore, the contradiction between the no-increasing  
18 WHD and increasing energy consumption during the second period must be explained  
19 by other factors, most reasonably, some climate factors.

20 One of the possible major climate factors is the Arctic sea ice extent (Deser et al.,  
21 2010; Liu et al., 2012; Li and Wang, 2013; Li and Wang, 2014), whose relationship  
22 with the haze pollution in eastern China was first indicated by Wang et al. (2015).  
23 Here we show the apparent out-of-phase interannual relationship between the Autumn  
24 Arctic sea ice extent and WHD for both R1 and R2 in Fig. 3, with high correlation  
25 coefficients of -0.70 and -0.87 respectively during 1960-2012, -0.60 and -0.82  
26 respectively during 1980-2012. Meanwhile the WHDs in R1 and R2 are temporally  
27 correlated each other at 0.75 during 1960-2012 in the interannual variability. With the  
28 significant impact of sea ice extent on the haze pollution, the fact that sea ice extent  
29 remains generally stable can largely explain the no-increase of WHD during the



1 second period even along with economic development and total energy consumption  
2 increase. In addition, the rapid decline of the sea ice extent in recent two decades can  
3 also largely explain the fast increase of WHD in both northern and southern areas of  
4 eastern China.

5 Precipitation change is another important factor that has significant impact on the  
6 haze pollution, via the wet removal effect of atmospheric pollutants. Here we plot the  
7 spatial distribution of the linear trend of station winter precipitation in eastern China  
8 for each of the three periods (Fig. 4). It is clear that R2 has generally increasing trend  
9 of precipitation during the first and second periods while R1 has apparent decreasing  
10 trend during the third period. Therefore, the precipitation trends favor WHD  
11 decreasing in R2 in the first and second periods and favor WHD increasing in R1  
12 during the third period. In this regard, the impacts of both the sea ice extent and  
13 precipitation trends in R1 help to intensify the haze pollution in the central North  
14 China (R1) in recent period. While the precipitation trend in R2 (R1) is generally  
15 small in recent period (first two periods), thus has smaller impacts on WHD as  
16 compared to sea ice extent.

17 The simultaneous WHD-precipitation correlation coefficient is -0.11 and -0.16  
18 respectively for R1 and R2 during 1961-2011. However, the WHD-precipitation  
19 correlation coefficient is -0.60 and -0.41 respectively for R1 and R2 during 1980-2011.  
20 Besides, we should not neglect the effect of changing surface winds. As shown in Fig.  
21 5, there is generally weak reduction of surface winds in eastern China before year  
22 2000, but spatially inconsistent trends of surface wind after 2000. Region R2 has the  
23 upward trend of surface wind after 2000, while R1 has upward and downward trends  
24 respectively in the north and south parts of the region.

25 Therefore, the precipitation trends in eastern China and the sea ice extent can explain  
26 larger proportion of WHD variance since 1980s in eastern China besides emission of  
27 pollutants by human beings. After the year 2000, from climate change perspective, the  
28 intensified WHD in R1 is a joint effect of sea ice decline and precipitation and surface



1 wind decrease whereas the intensified WHD in R2 is mainly induced by the sea ice  
2 decline (the surface wind weak increase is not favorable to WHD increase).

3 Another widely concerned question is, has the governmental control on pollutant  
4 emissions received positive effect? Based on our analysis, the answer is affirmative.  
5 This can be demonstrated by comparing the PM<sub>2.5</sub> content in large city like Beijing,  
6 Tianjin, Hangzhou, Xi'an, Changchun, Shanghai, and Guangzhou between 2003 and  
7 2013, where all the cities have much reduced PM<sub>2.5</sub> content in 2013 than 2003 during  
8 summer season (Cao et al., 2014). However, there has been no improvement of air  
9 quality for winter season. Then, how to understand such difference between air  
10 quality change between summer and winter? The key impact factor is the Arctic sea ice  
11 extent. On one hand, the winter atmospheric circulation in eastern China is  
12 significantly modulated by the preceding autumn Arctic sea ice extent thus the sea ice  
13 decline can intensify the haze pollution in eastern China even though the total  
14 emission of pollutant into the atmosphere has been reduced. On the other hand, sea ice  
15 extent has no significant influence on the summer atmospheric circulation, thus the  
16 effect of cutting off the pollutant emission can be evidently observed. In other words,  
17 the winter haze pollution would be more serious if the government has not controlled  
18 the pollutant emission after the year 2000. Definitely, controls on the pollutant  
19 emission always have positive effects and should be always encouraged.

20

#### 21 **4. Conclusions and discussions**

22 Based on our above analysis, the Arctic sea ice extent has the most apparent impacts  
23 on the haze pollution in eastern China among other climate factors including  
24 precipitation and surface wind since 1980s. After the year 2000, the sea ice decline  
25 and precipitation decrease in central North China jointly intensified the haze pollution,  
26 whereas the net effects of sea ice decline and surface wind increase also intensified  
27 the haze pollution in eastern South China. Our overall analysis and conclusions are  
28 schematically summarized in Fig. 6.



1 However, two other points should be addressed here. The first point relates the  
2 inter-correlation among sea ice extent, precipitation, and surface winds. Based on our  
3 previous study (Wang et al., 2015), the Arctic sea ice decline may favor the Rossby  
4 wave activity weakening in eastern China south of 40°N thus leads to the precipitation  
5 decrease during winter season. Meanwhile, the change of sea ice extent may also have  
6 moderate impacts on both the zonal and meridional surface winds in eastern China.  
7 Secondly, recent trend after 2000 should be paid more attention. As we concluded  
8 above, both the Arctic sea ice decline and the precipitation decreasing in central North  
9 China, along with the total energy consumption increase, favors the haze pollution  
10 intensifying. In eastern South China, there are two apparent factors (sea ice decline  
11 and total energy consumption increasing) that help to intensifying the haze pollution  
12 except the precipitation. In addition, the surface wind keeps overall decreasing in  
13 central North China reflects the East Asian winter monsoon weakening after 1960  
14 particularly after mid-1980s (Wang and He, 2012).

15 With the projected sea ice extent decrease (Kirtman et al., 2013), weakening of the  
16 winter East Asian monsoon wind (Wang et al., 2013) and total energy consumption  
17 increase, the haze pollution in eastern China may continue to be a serious problem in  
18 the near future. There are already series of governmental plans to address the air  
19 pollution issues in Beijing-Tianjin-Hebei area and Yangtze-River Delta as well as the  
20 Pearl River Delta even though the future climate change is not favorable to the air  
21 pollution reduction.

22 In Fig. 2, the year-to-year variation for summer haze days (SHD) is shown as well by  
23 the blue curve, indicating slight trend and rapid increase before and after 2000 for the  
24 two regions. Thus the intensification of the haze pollution in eastern China after 2000  
25 is significant both in winter and summer. Changes of summer rainfall and  
26 near-surface wind should be directly associated with the SHD trend. Even though we  
27 did not find significant correlation between the SHD and the Arctic sea ice extent in  
28 the year-to-year variability, the SHD increase after 2000 may also be related to the  
29 Arctic sea ice. In addition, as shown in Zhu et al. (2011), the Pacific Decadal





1 Oscillation (PDO) phase change in late 1990s may have impacts on the summer  
2 atmospheric circulation and precipitation changes in eastern China. Therefore  
3 understanding of the climate mechanisms for the SHD change calls more  
4 investigations from both local and remote perspectives.

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6

#### 7 **Acknowledgements**

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9 (Grants 41421004 and 41130103).

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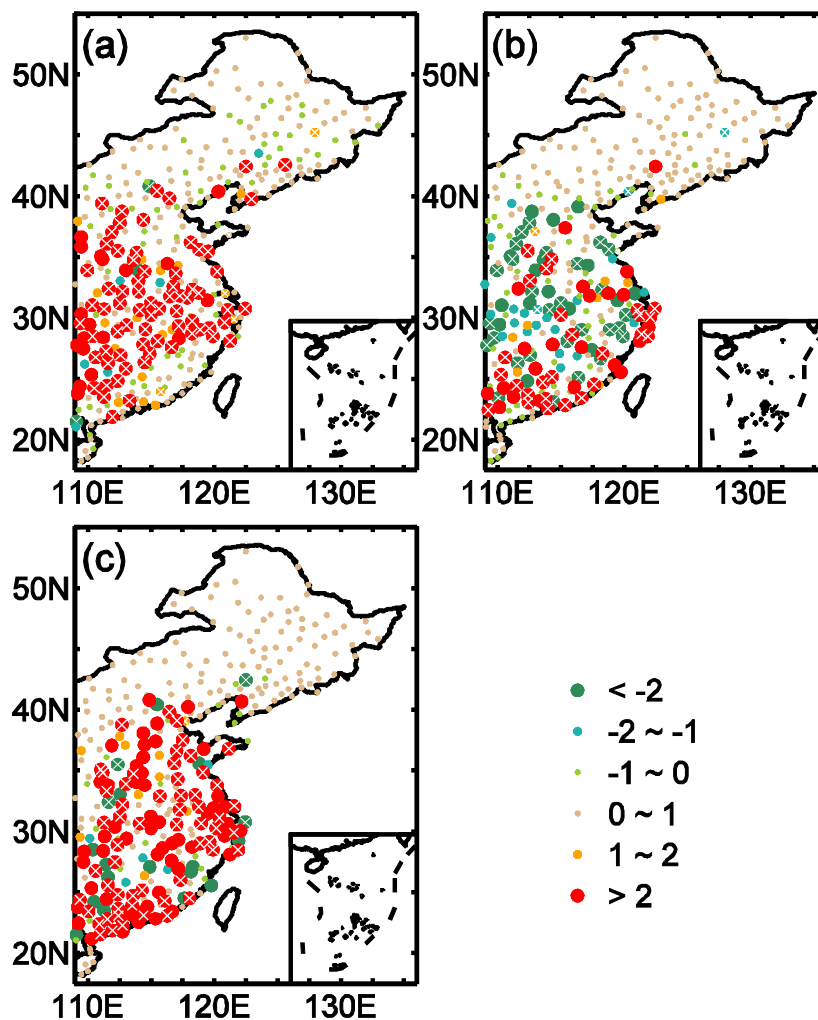
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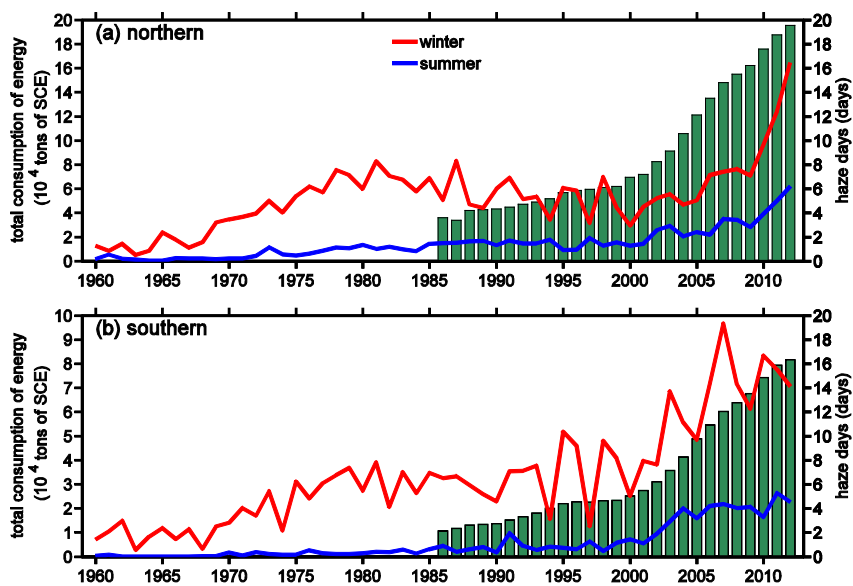


## 1 Figures



2

3 **Figure 1.** Linear trend of station winter haze days in the three periods: (a) 1960-1979,  
4 (b) 1980-1999, and (c) 2000-2012. The circle with cross means the change is  
5 significant at the 95% confidence level. Units:  $\text{day} \cdot \text{year}^{-1}$ .



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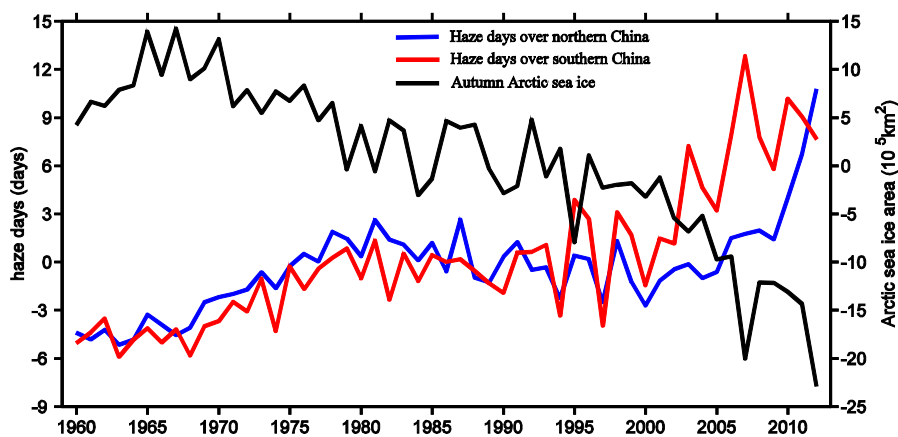
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**Figure 2.** Time series for winter haze days (red curve), summer haze days (blue curve) and total energy consumption (bar) for (a) region R1 (30°N-40°N) and (b) region R2 (south of 30°N) in east of 109°E of mainland China.



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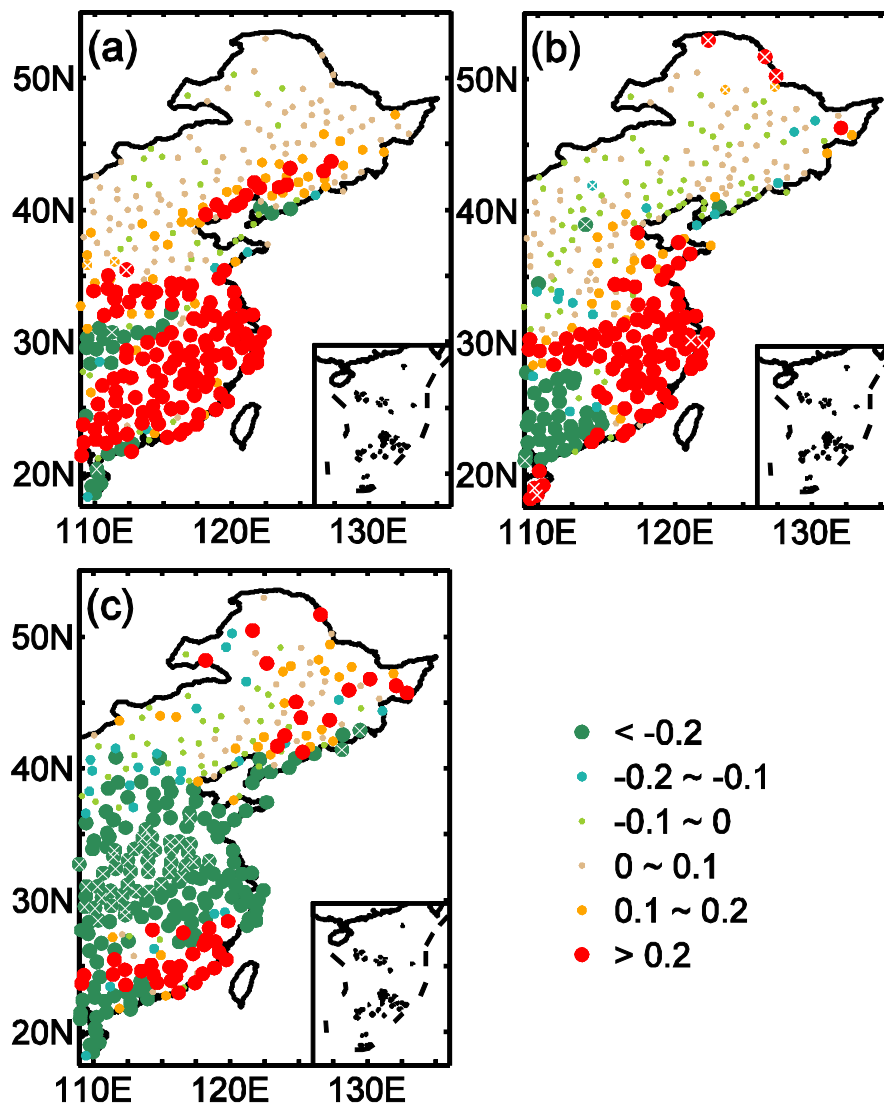
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3 **Figure 3.** Temporal variations of winter haze days (WHD) for R1 (blue) and R2 (red),  
4 and autumn Arctic sea ice extent (ASI) (black). The results of correlation coefficient  
5 (CC) analysis are:  $CC(\text{WHD-R1}, \text{WHD-R2})=0.75$  in 1960-2012 and  $0.58$  in  
6 1980-2012;  $CC(\text{WHD-R1}, \text{ASI})= -0.70$  in 1960-2012 and  $-0.60$  in 1980-2012;  
7  $CC(\text{WHD-R2}, \text{ASI})= -0.87$  in 1960-2012 and  $-0.82$  in 1980-2012.

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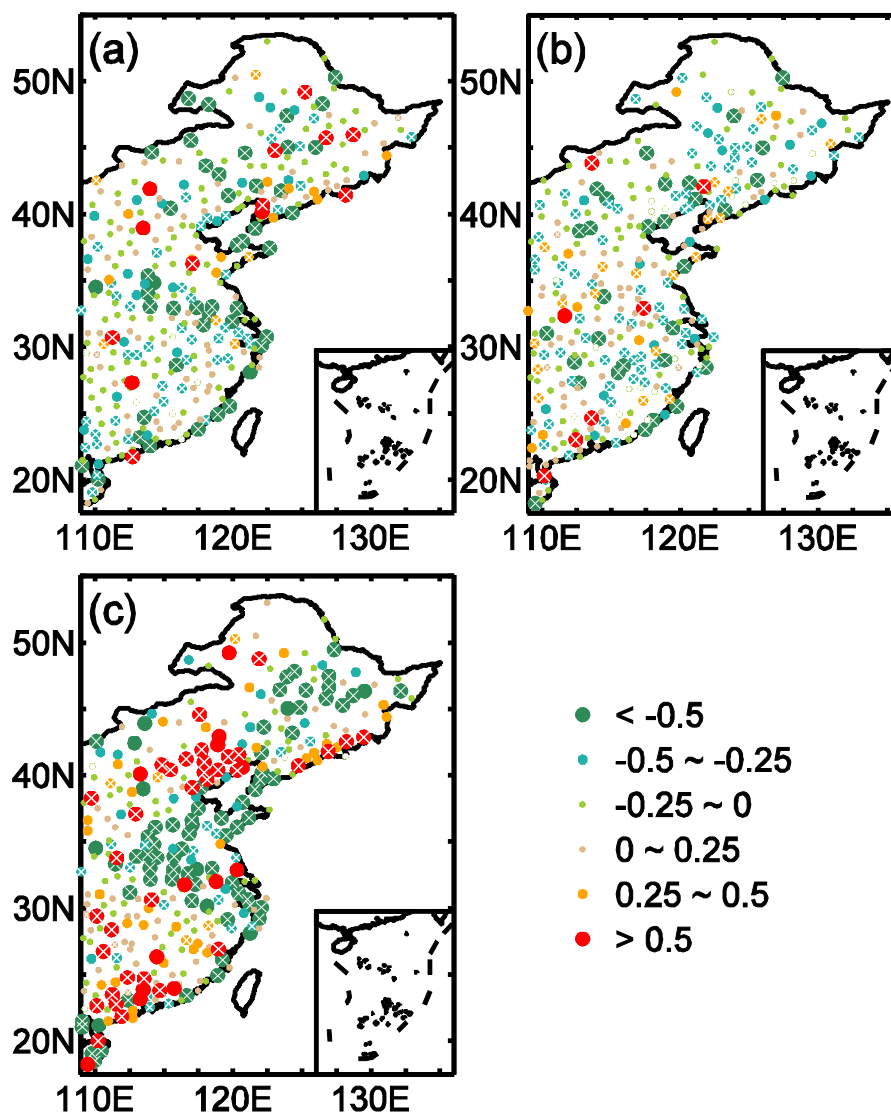


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3 **Figure 4.** Linear trend of station winter precipitation (mm/day) in the three periods: (a)  
4 1961-1979, (b) 1980-1999, and (c) 2000-2011. The circle with cross means the  
5 change is significant at the 95% confidence level.

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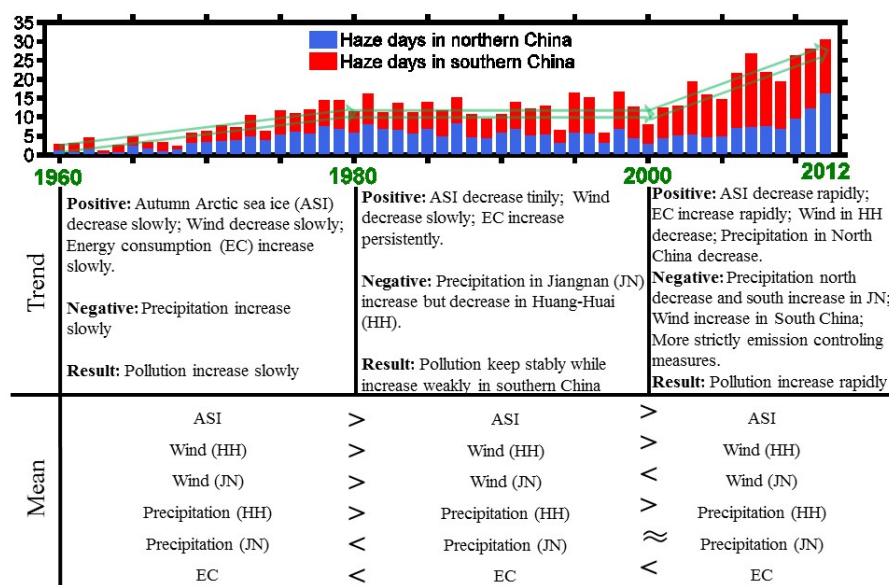
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**Figure 5.** Same as in Figure 4 but for the winter surface wind speed (m/s).



1

2 **Figure 6.** Summary of the haze pollution change in eastern China and various  
 3 influencing factors including climate change. The time series for winter haze days and  
 4 their linear trends are plotted on the top.

5