1 **Responses to Referee #1:**

In their contribution, the authors presented potential roles of climate variability and change in recent increased haze pollution events in east China. This paper could be a welcome reference in the literature.

In recent years, haze pollution has been a particularly acute issue in east China. 5 The reasons behind recent increase of haze pollution events are complex. A number of 6 7 studies have discussed this problem from human activity perspective, i.e., increased emissions into atmosphere due to urban and industrial pollution. However, little 8 attention has been paid to this issue from climate variability and change perspective. 9 The authors showed decadal trends in haze day in northeast and southeast China and 10 their relationships with Arctic sea ice extent, precipitation and surface winds. The 11 12 results can improve our understanding of physical processes that influence haze variability in east China. Below I list some points, which the authors need to address. 13

14 **Reply:** Thanks for your suggestions, which have been addressed point by point in the

15 following and the corresponding corrections have been presented in the manuscript.

16

Page 4/ Line9: Better outline the two regions (R1 and R2) in Figure 1. How many
 stations are used to calculate the averaged haze day in R1 and R2, respectively?
 Are the haze day trends sensitive to the number of stations used?

Reply: (1) These two regions have been shown in Figure 1 [P14] as the following.
According to the statistics, there are 112 stations included in R1 and 104 in R2 [P4
L11-12].

(2) As the suggested, further analysis is implemented and results indicate that the
trends of haze days in these two regions show almost no sensitive to the number of
stations used. However, a relative larger trend can be observed in southern China than
that in northern China, which is also clear in Figure 1.



Figure 1. Linear trend of station winter haze days in the three periods: (a) 1960-1979,
(b) 1980-1999, and (c) 2000-2012. R1 and R2 are the two regions that discussed in
the text. The circle with cross means the change is significant at the 95% confidence
level. Units: day year⁻¹.

6

7 2. Page 4/Line 10: Explanation of the definition of haze day is needed.

Reply: [P4 L3-5] The numbers of the monthly haze days that used in this study are 8 directly derived from the collections by the National Meteorological Information 9 Center of China Meteorological Administration. The haze days are generally 10 11 determined according to the immediately weather phenomenon by the sophisticated observers. Thus, the definition of haze day has not been shown in this study. 12 Additionally, the measured haze occurrences are also defined based on the 13 observations of visibility and relative humidity according to specified criteria, which 14 vary between organizations (e.g., World Meteorological Organization and UK Met 15 16 Office) and personal views (e.g., Vautard et al., 2009; Ding and Liu, 2014). In our 17 early study, we adopted a comprehensive judgment method with visibility of less than 10 km, relative humidity at less than 90% and the wind speed lower than 7 m/s (Chen
 and Wang, 2015).

3

Ding, Y. H., and Liu, Y. J.: Analysis of long-term variations of fog and haze in China 4 in recent 50 years and their relations with atmospheric humidity, Sci. China Earth Sci., 5 6 57, 36–46, 2014. 7 Vautard, R., Yiou, P., and Oldenborgh, G.: Decline of fog, mist and haze in Europe 8 over the past 30 years, Nat. Geosci., 2, 115–119, doi:10.1038/NGEO414, 2009. 9 Chen, H. P., and Wang, H. J.: Haze Days in North China and the associated 10 atmospheric circulations based on daily visibility data from 1960 to 2012, J. Geophys. Res. Atmos., 120, 5895–5909, doi:10.1002/2015JD023225, 2015. 11 12 3. Page 4/Line 13: "sea ice extent" should be "sea ice concentration". 13 14 **Reply:** [P4 L15-16] Sorry for misleading and this sentence has been reworded as "The autumn ASI index is calculated as the total sea ice extent in the region of 15 16 Arctic". 17 4. Page 4/Line 14-16: Add "China Statistical Yearbook" in the reference list. 18 19 **Reply:** The 'China Statistical Yearbook' is published every year and there are at least 20 27 references from 1986 to 2012 which can be all found in the network. Thus, these 21 references have not been listed in the manuscript. 22 23 5. Page 5/Line 17: It seems that for R2, the variability of winter haze day becomes 24 larger in recent years as compared to the first and second periods. 25 **Reply:** It is actually true and it is apparent in Figure 2, which needs further studies in 26 the future. 27 28 6. Page 6/Line 4: A little bit more discussion regarding the influence of Arctic sea 29 ice loss on atmospheric circulation anomalies over east China is needed.

30 **Reply:** [P6 L6-11] Thanks for the suggestions. Actually, there are already some

1 discussions can be found in section 4 for this perspective. As the suggestion, more discussion regarding this has been added in the current version of this study as "Early 2 studies (e.g., Wang et al., 2015) have indicated that the reduction of autumn ASI can 3 lead to positive sea level pressure anomalies in mid-latitude Eurasia, northward shift 4 of track of cyclone activity in China and weak Rossby wave activity in eastern China 5 6 during winter season. These atmospheric circulation changes favor less cyclone activity and more stable atmosphere in eastern China, resulting in more haze days 7 8 there."

9

Wang, H. J., Chen, H. P., and Liu, J.: Arctic sea ice decline intensified haze pollution
in eastern China. Atmos. Oceanic Sci. Lett., 8, 1-9, 2015.

12

7. Page 6/Line 14-15: I suggest the authors add some discussion about the possible
factors contributing to increased precipitation during the first and second period
and decreased precipitation during the third period.

16 **Reply:** Thanks for the suggestions. However, this article is mainly focused on the 17 discussion about the influences of precipitation on the haze pollution, not the reasons 18 for the precipitation changes. This suggestion as you proposed is beyond the scope of 19 this article, thus the discussions about the precipitation changes have not been added 20 in the current version.

21

8. It is not clear how the authors define ">" and "<" signs in Figure 6? Relative to
what? Please clarify.

Reply: [P19] (1) ">" means "larger than" and "<" means "less than" in Figure 6,
which have been clarified in the figure caption.

(2) The comparisons are implemented among these three periods that discussed in the
paper, i.e. the second period is compared with the first period and the third period is
compared with the second period.

29

30 9. Page 8/Line 15-21: I would like to suggest the authors to add more discussion

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about the projected changes in precipitation and surface winds over east China in near term based on recent studies, CMIP5 model projections.

3 **Reply:** [P8 L22-27] Some discussions have been added as "Projections from CMIP5 models indicate that the low-level atmosphere tends to be more unstable and the 4 atmosphere humidity will decrease in eastern China (Wang et al., 2015). 5 Simultaneously, the winter precipitation in eastern China is projected to increase (Tian 6 et al., 2015), but the surface winds decrease (Jiang et al., 2013). Thus there will be 7 8 both favorable and unfavorable factors for haze occurrences in the near future based 9 on the model projections. However, there is no doubt that, with the projected sea ice 10 extent decrease (Kirtman et al., 2013), weakening of the winter East Asian monsoon wind (Wang et al., 2013) and total energy consumption increase, the haze pollution in 11 12 eastern China may continue to be a serious problem in the near future."

13

Wang, H. J., Chen, H. P., and Liu, J.: Arctic sea ice decline intensified haze pollution
in eastern China. Atmos. Oceanic Sci. Lett., 8, 1-9, 2015.

16 Tian, D., Guo, Y., and Dong, W. J.: Future changes and uncertainties in temperature

17 and precipitation over China based on CMIP5 models. Adv. Atmos. Sci., 32(4),

18 487-496, doi:10.1007/s00376-014-4102-7, 2015.

Jiang, Y., Luo, Y., and Zhao, Z. C.: Maximum wind speed changes over China. Acta
Meteor. Sinica, 27(1), 63-74, doi:10.1007/s13351-013-0107-x, 2013.

Kirtman, B. et al.: Near-term Climate Change: Projections and Predictability. In:
Climate Change 2013: The Physical Science Basis. Contribution of Working Group I
to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change
[Stocker, T. F., Qin, D., Plattner, G.-K., Tignor, M., Allen, S. K., Boschung, J.,
Nauels, A., Xia, Y., Bex, V., and Midgley, P. M. (eds.)]. Cambridge University Press,
Cambridge, United Kingdom and New York, NY, USA, pp. 953–1028,

- 27 doi:10.1017/CBO9781107415324.023, 2013.
- 28 Wang H. J., He, S. P., and Liu, J. P.: Present and Future Relationship between the East
- Asian winter monsoon and ENSO: Results of CMIP5. J. Geophys. Res. Ocean, 118,
- 30 1-16, DOI:10.1002/jgrc.20332, 2013.

1 **Responses to Referee #2:**

2 The impact of climate change on air quality is an important cross-disciplinary topic. Previous studies were mainly conducted by using numerical models and there is limited 3 4 number of studies based on analysis of observational data, especially in China. This study 5 presented a very interested analysis on the trend of number of haze days and investigated the influences from Artic sea ice extent, precipitation and surface wind speed for different 6 7 decades based on measurements at 756 ground station during 1960-2012. This paper is 8 generally well-written and provides a different but unique angle of view from climatologist on 9 the trend of air pollution in eastern China. I would like to recommend its publication in 10 Atmospheric Chemistry and Physics after the following minor/technical points addressed 11 appropriately.

Reply: Thanks for your suggestions, which have been addressed point by point in thefollowing and the corresponding corrections have been presented in the manuscript.

14

15 Main comments:

If I understand it correctly, the "haze day data" (i.e. the term defined as "monthly haze day data" at L1, Page 4.) used in the trend analysis is the "total number of haze day in a month". In the text, this term is not very clear defined. It will be better to give some details of the data in Sect. 2 and clarified this term because it is not widely used in countries other than China. Also, it will be better to include some previous works e.g.
 Chen et al., 2015, which used the same dataset, as a reference in this section.

Reply: (1) Yes, the "monthly haze day" referred in this study actually is the "total number of haze in a month", which has been clarified in the paper.

(2) The previous works (e.g. Chen et al., 2015) as you referred is the paper by Chen and
Wang, 2015, JGR? If yes, we should clarify that the haze day in that work is defined
using visibility, relative humidity, and wind speed, which is different from the data that
used in this study. However, similar results can be found between these two datasets. The
haze days that used in this study are generally determined according to the immediately
weather phenomenon by the sophisticated observers, which have been used in another

work (Wang et al., 2015). These corrections have been clarified in the current version of the manuscript.

3

2

2) The discussions in the last paragraph of Sect. 3 (L3-L19, Page 7): The authors tried to 4 discuss the reasons of the contradict trends between haze pollution and emission control. 5 6 One point worthwhile to be mentioned here is that the trend of haze pollution based on 7 "haze day data" actually is the trend of frequency (of haze day) but not the averaged 8 pollution concentrations. The former might link more with the change in occurrence 9 frequency of extremely stagnant weather, which was influenced by natural climate 10 variability (Zhang et al., 2016), but the latter will be more related to the emission and 11 control measures. Here a comparison with the two variables should consider the 12 differences, at least mention the possible influence. In additional, this part probably can 13 be moved into the Section 4 as the last discussion point highlighted for policy makers. 14 Reference: Zhang, Y. et al., Impact of synoptic weather patterns and inter-decadal climate variability on air quality in the North China Plain during 1980-2013, Atmos. Environ., 124, 15 16 119-128, 2016.

17 Reply: As your suggestion, more discussions have been added and this part has been also 18 moved to Section 4.

19

20 Minor comments:

- Line 21-22, page 2: "particulate matter 2.5", Please change it to "fine particulate matter
 (PM2.5)" or "particulate matter with mean aerodynanmic diameter less than 2.5
 micrometers".
- 24 **Reply:** Corrected.
- 25 2) Line 20-21, Page 3: "a recent study further reveals that", should you have a reference here?
 26 For example, "a recent study by Wang (2015) further revealed that".
- 27 **Reply:** Corrected.
- 3) Figure 6: What does "Jiangnan (JN)" in the figure notes mean? Maybe change it to
 "South China".
- 30 **Reply:** Corrected. "Jiangnan (JN)" has been changed to R2 and "Huang-Huai (HH)" to

- 1 R1, which have been labeled in Figure 1.

| 1 | Understanding the Recent Trend of Haze Pollution in |
|----|---|
| 2 | Eastern China: Roles of Climate Change |
| 3 | |
| 4 | H. J. Wang ^{1,2,3} and H. P. Chen ^{2,3,1} |
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| 11 | |
| 12 | Correspondence to: H. J. Wang (wanghj@mail.iap.ac.cn) |
| 13 | |

14 Abstract

In this paper, the variation and trend of haze pollution in eastern China for winter of 15 1960-2012 were analyzed. With the overall increasing number of winter haze days in 16 the period, the five decades were divided into three sub-periods based on the changes 17 of winter haze days (WHD) in central North China (30°N-40°N) and eastern South 18 19 China (south of 30°N) for east of 109°E mainland China. Results show that WHD kept gradual increasing during 1960-1979, overall stable during 1980-1999, and fast 20 increasing during 2000-2012. The author identified the major climate forcing factors 21 22 besides total energy consumption. Among all the possible climate factors, variability of the autumn Arctic sea ice extent, local precipitation and surface wind during winter 23 is most influential to the haze pollution change. The joint effect of fast increase of 24 total energy consumption, rapid decline of Arctic sea ice extent and reduced 25 precipitation and surface winds intensified the haze pollution in central North China 26 27 after 2000. There is similar conclusion for haze pollution in eastern South China after 2000, with the precipitation effect being smaller and spatially inconsistent. 28

1 **1. Introduction**

In recent years, China has suffered from increased severe haze events that have 2 caused strongly impacts on society, ecosystem, and human health. For example, the 3 eastern China was hit by a prolonged and heavy haze event in January 2013, which 4 made Beijing reaching its highest level of air pollution and led to the first haze orange 5 alert in Beijing's meteorological history (e.g. Ding and Liu, 2014; Zhang et al., 2014). 6 7 Furthermore, serious health problems have been induced from respiratory illness to heart disease, premature death, and cancer with the intensification of air pollution 8 (Wang and Mauzerall, 2006; Xu et al., 2013; Xie et al., 2014). Thus, increased 9 attentions have been reported to the issue of haze both from the government bodies 10 and the general publics, and some air pollution prevention actions have been 11 implemented and have stipulated strict controls on coal consumption, industry 12 production, vehicles, etc. 13

Early studies have documented that the haze days are generally increase in 14 15 economically developed eastern China but decrease in the less economically developed regions in China (e.g. Niu et al., 2010; Wu et al., 2010; Ding and Liu, 16 2014), and this increasing trend of haze is reported to be more pronounced since 2001 17 (Sun et al., 2013). Thus, the human activities, such as rapid urbanization and 18 economic development, are generally considered as the major contributors to this 19 long-term increasing trend of haze in eastern China (Wang et al., 2013). For example, 20 21 in Beijing, vehicles are reported to be the biggest source of fine particulate matter $\frac{2.5}{2.5}$ (PM_{2.5}), accounting for 25% of the pollution, and the coal combustion and 22 23 cross-regional transport are the second greatest source, both accounting for 19%, despite some debates still exist (He et al., 2013; Zhang et al., 2013). Similar 24 25 phenomenon can be observed in the other regions in China, such as in Chengdu city over southwestern China in which the secondary inorganic aerosols and coal 26 combustion can account for 37 \pm 18% and 20 \pm 12% of the air pollutants, respectively 27 28 (Tao et al., 2014).

1 Evidently, there is no doubt that there is a great role can be found from the human activities to the strongly increase of haze days in China. However, our deeply analysis 2 in this study indicates that the variations of haze days show different trends in the past 3 decades over eastern China, with increase in 1960-1979, no obvious change in 4 1980-1999 (even decrease over northern part of eastern China), and rapidly increase 5 since 2000, which presents a disagreement with the persistently and rapidly increase 6 of the total energy consumption over this region in the past. So, the impacts from the 7 8 climate change must be considered when talking about the changes of haze events 9 because the climate change can significantly influence the air pollution via variation 10 of local atmospheric circulation. Some early studies have revealed that the increased haze days in eastern China may be associated with decreases of the surface wind 11 12 speed (Xu et al., 2006; Gao et al., 2008; Niu et al., 2010) and the relative humidity in the atmosphere (Ding and Liu, 2014). Wu et al. (2008) indicate that the occurrences of 13 heavy haze events in the Pearl River Delta region of China are generally concurrently 14 15 with the stronger zonal circulations in the midtroposphere and weaker winds on the 16 surface. Chen and Wang (2015) reveal that the severe haze events in boreal winter over northern China generally happen under a favorable atmospheric background, 17 18 with the weakened northerly winds and the development of inversion anomalies in the lower troposphere, the weakened East Asian trough in the midtroposphere, and the 19 20 northward East Asian jet in the high troposphere. Additionally, a recent study (Wang et al., 2015) further reveals that the Arctic sea ice decline can intensify the haze 21 pollution over eastern China and account for approximately 45-67% of the interannual 22 23 to interdecadal variability of haze occurrences. However, the possible reasons for the 24 different trends of haze days (varying from decades) over eastern China have not been 25 revealed so far, although the ambient conditions of the haze occurrences have been well analyzed as well as the reason of its long-term increasing trend, which is thus to 26 be our interest and topic in this study. 27

28

29 **2. Data and Methods**

The monthly haze day data for 756 meteorological stations in China during 1 1960-2013 have been collected by the National Meteorological Information Center of 2 the China Meteorological Administration. The haze days from this dataset are 3 generally determined according to the immediately weather phenomenon by the 4 sophisticated observers. The monthly haze days here is the total numbers of haze day 5 in a month, which has been also used in the previous works (e.g., Wang et al., 2015). 6 For the site-observation, it was rejected if there are miss values in time series. Thus a 7 8 subset of total 542 stations is selected. We focus our analysis on haze pollution over eastern China (east of 109°E, south of 40°N, mainland China) in this study. As have 9 10 been indicated, more than 40% haze pollution occurred in boreal winter (current year December and following year January-February), hence we focus on the winter 11 12 season. We here after focus our analysis in two regions, R1 (east of 109°E in 30°N-40°N, including 112 stations) and R2 (east of 109°E; and south of 30°N, 13 including 104 stations) in mainland China. Regional Hhaze day is defined as the 14 average in the region R1 or R2. The Arctic sea ice extent (ASI) is calculated from the 15 Hadley Centre (HadISST1) with $1^{\circ} \times 1^{\circ}$ resolution for 1870-2013 (Rayner et al, 2003). 16 17 The autumn ASI index is calculated as the area averaged total sea ice extent in the region of north 45°NArctic. The annual statistics of total energy consumption that 18 19 providing for each province in China are obtained from the journal of 'China 20 Statistical Yearbook' that published every year.

21

22 **3. Results**

Heavy haze events can not only strongly affect the traffic but also induce serious health problems from respiratory illnesses to heart disease, premature death and cancer (Pope and Docheru, 2006; Wang and Mauzerall, 2006). The intensified air pollution in China can be more or less attributed to the increased emissions of pollutants into the atmosphere as the result of rapid economic development thus fast increase of fossil fuel energy consumption and urbanization. Meanwhile, climate change can also significantly influence the air pollution via variation of local
 atmospheric circulation and precipitation.

As indicated by numerous studies, air pollution has generally been intensified in 3 eastern China in past half-century, with more haze days and increased PM_{2.5} 4 concentration during winter and spring (e.g. Wang et al, 2015). However, based on 5 our current studies, recent trend during 2000-2012 is different from that during 6 7 1980-1999 or 1960-1979 (Fig. 1). During 1960-1979, there is a general consistent increasing trend of winter haze days (WHD) in Beijing-Tianjin-Hebei area and in the 8 lower reaches of Yangtze River Valley. There is no significant trend over 9 10 southeastern coastal region of China. In the second period (1980-1999), there are generally increasing trends south of 30°N but some decreasing trends in regions 11 12 between 30°N and 40°N in eastern China. During recent period (2000-2012) there are generally large increasing trends in the region south of 40°N in eastern China. During 13 all the three period, there is no significant trend in northeastern China and eastern 14 15 Inner-Mongolia.

16 Thus, our first-question is why there are some decreasing trends of WHD during the second period (1980-1999) when the rapid economy has been growing continuously 17 18 from late 1970s up to present. We then plotted the WHD together with total energy consumption in R1 and R2 (Fig. 2). We found that WHD keeps gradual increasing 19 20 during the first period, remains stable or slightly decreases during the second period, and then increases fast along with the rapid increase of total energy consumption 21 during the recent period. Therefore, the contradiction between the no-increasing 22 23 WHD and increasing energy consumption during the second period must be explained by other factors, most reasonably, some climate factors. 24

One of the possible major climate factors is the Arctic sea ice extent (Deser et al., 26 2010; Liu et al., 2012; Li and Wang, 2013; Li and Wang, 2014), whose relationship 27 with the haze pollution in eastern China was first indicated by Wang et al. (2015). 28 Here we show the apparent out-of-phase interannual relationship between the Autumn 29 Arctic sea ice extent and WHD for both R1 and R2 in Fig. 3, with high correlation

1 coefficients of -0.70 and -0.87 respectively during 1960-2012, -0.60 and -0.82 respectively during 1980-2012. Meanwhile the WHDs in R1 and R2 are temporally 2 correlated each other at 0.75 during 1960-2012 in the interannual variability. With the 3 significant impact of sea ice extent on the haze pollution, the fact that sea ice extent 4 remains generally stable can largely explain the no-increase of WHD during the 5 second period even along with economic development and total energy consumption 6 increase. In addition, the rapid decline of the sea ice extent in recent two decades can 7 8 also largely explain the fast increase of WHD in both northern and southern areas of 9 eastern China. Early studies (e.g., Wang et al., 2015) have indicated that the reduction of autumn ASI can lead to positive sea level pressure anomalies in mid-latitude 10 Eurasia, northward shift of track of cyclone activity in China and weak Rossby wave 11 12 activity in eastern China during winter season. These atmospheric circulation changes favor less cyclone activity and more stable atmosphere in eastern China, resulting in 13 more haze days there. 14

15 Precipitation change is another important factor that has significant impact on the 16 haze pollution, via the wet removal effect of atmospheric pollutants. Here we plot the 17 spatial distribution of the linear trend of station winter precipitation in eastern China 18 for each of the three periods (Fig. 4). It is clear that R2 has generally increasing trend 19 of precipitation during the first and second periods while R1 has apparent decreasing 20 trend during the third period. Therefore, the precipitation trends favor WHD 21 decreasing in R2 in the first and second periods and favor WHD increasing in R1 during the third period. In this regard, the impacts of both the sea ice extent and 22 precipitation trends in R1 help to intensify the haze pollution in the central North 23 24 China (R1) in recent period. While the precipitation trend in R2 (R1) is generally 25 small in recent period (first two periods), thus has smaller impacts on WHD as compared to sea ice extent. 26

The simultaneous WHD-precipitation correlation coefficient is -0.11 and -0.16 respectively for R1 and R2 during 1961-2011. However, the WHD-precipitation correlation coefficient is -0.60 and -0.41 respectively for R1 and R2 during 1980-2011. Besides, we should not neglect the effect of changing surface winds. As shown in Fig. 5, there is generally weak reduction of surface winds in eastern China before year 2000, but spatially inconsistent trends of surface wind after 2000. Region R2 has the upward trend of surface wind after 2000, while R1 has upward and downward trends respectively in the north and south parts of the region.

6 Therefore, the precipitation trends in eastern China and the sea ice extent can explain 7 larger proportion of WHD variance since 1980s in eastern China besides emission of 8 pollutants by human beings. After the year 2000, from climate change perspective, the 9 intensified WHD in R1 is a joint effect of sea ice decline and precipitation and surface 10 wind decrease whereas the intensified WHD in R2 is mainly induced by the sea ice 11 decline (the surface wind weak increase is not favorable to WHD increase).

In Fig. 2, the year-to-year variation for summer haze days (SHD) is shown as well by 12 the blue curve, indicating slight trend and rapid increase before and after 2000 for the 13 two regions. Thus the intensification of the haze pollution in eastern China after 2000 14 is significant both in winter and summer. Changes of summer precipitation and 15 near-surface wind should be directly associated with the SHD trend. Even though we 16 did not find significant correlation between the SHD and the Arctic sea ice extent in 17 the year-to-year variability, the SHD increase after 2000 may also be related to the 18 Arctic sea ice. In addition, as shown in Zhu et al. (2011), the Pacific Decadal 19 Oscillation (PDO) phase change in late 1990s may have impacts on the summer 20 atmospheric circulation and precipitation changes in eastern China. Therefore 21 understanding of the climate mechanisms for the SHD change calls more 22 23 investigations from both local and remote perspectives.

Another widely concerned question is, has the governmental control on pollutant emissions received positive effect? Based on our analysis, the answer is affirmative. This can be demonstrated by comparing the PM2.5 content in large city like Beijing, Tianjin, Hangzhou, Xi'an, Changehun, Shanghai, and Guangzhou between 2003 and 2013, where all the cities have much reduced PM2.5 content in 2013 than 2003 during summer season (Cao et al., 2014). However, there has been no improvement of air

quality for winter season. Then, how to understand such difference between air 1 quality change between summer and winter? The key impact factor is the Artic sea ice 2 extent. On one hand, the winter atmospheric circulation in eastern China is 3 significantly modulated by the preceding autumn Arctic sea ice extent thus the sea ice 4 decline can intensify the haze pollution in eastern China even though the total 5 emission of pollutant into the atmosphere has been reduced. On the other hand, sea ice 6 extent has no significant influence on the summer atmospheric circulation, thus the 7 effect of cutting off the pollutant emission can be evidently observed. In other words, 8 the winter haze pollution would be more serious if the government has not controlled 9 the pollutant emission after the year 2000. Definitely, controls on the pollutant 10 emission always have positive effects and should be always encouraged. 11

12

13 4. Conclusions and discussions

Based on our above analysis, the Arctic sea ice extent has the most apparent impacts on the haze pollution in eastern China among other climate factors including precipitation and surface wind since 1980s. After the year 2000, the sea ice decline and precipitation decrease in central North China jointly intensified the haze pollution, whereas the net effects of sea ice decline and surface wind increase also intensified the haze pollution in eastern South China. Our overall analysis and conclusions are schematically summarized in Fig. 6.

However, two other points should be addressed here. The first point relates the 21 22 inter-correlation among sea ice extent, precipitation, and surface winds. Based on our previous study (Wang et al., 2015), the Arctic sea ice decline may favor the Rossby 23 wave activity weakening in eastern China south of 40°N thus leads to the precipitation 24 decrease during winter season. Meanwhile, the change of sea ice extent may also have 25 moderate impacts on both the zonal and meridional surface winds in eastern China. 26 Secondly, recent trend after 2000 should be paid more attention. As we concluded 27 above, both the Arctic sea ice decline and the precipitation decreasing in central North 28

1 China, along with the total energy consumption increase, favors the haze pollution 2 intensifying. In eastern South China, there are two apparent factors (sea ice decline 3 and total energy consumption increasing) that help to intensifying the haze pollution 4 except the precipitation. In addition, the surface wind keeps overall decreasing in 5 central North China reflects the East Asian winter monsoon weakening after 1960 6 particularly after mid-1980s (Wang and He, 2012).

7 Projections from CMIP5 models indicate that the low-level atmosphere tends to be more unstable and the atmosphere humidity will decrease in eastern China (Wang et 8 al., 2015). Simultaneously, the winter precipitation in eastern China is projected to 9 increase (Tian et al., 2015), but the surface winds decrease (Jiang et al., 2013). Thus 10 there will be both favorable and unfavorable factors for haze occurrences in the near 11 future based on the model projections. However, there is no doubt that, With with the 12 projected sea ice extent decrease (Kirtman et al., 2013), weakening of the winter East 13 14 Asian monsoon wind (Wang et al., 2013) and total energy consumption increase, the haze pollution in eastern China may continue to be a serious problem in the near 15 future. There are already series of governmental plans to address the air pollution 16 17 issues in Beijing-Tianjin-Hebei area and Yangtze-River Delta as well as the Pearl River Delta even though the future climate change is not favorable to the air pollution 18 19 reduction.

Another widely concerned question is, has the governmental control on pollutant 20 emissions received positive effect? Before addressing this question, one point should 21 be kept in your mind that the trend of haze pollution mentioned in this study is the 22 23 trend of frequency (haze day) but not the averaged pollution concentrations. The former is generally linked more with the change in occurrence of extremely stagnant 24 25 weather, which was influenced by natural climate variability (Zhang et al., 2016), while the latter is more related to the emission and control measures. Based on our 26 analysis, the answer is affirmative. This can be demonstrated by comparing the PM2.5 27 content in large city like Beijing, Tianjin, Hangzhou, Xi'an, Changchun, Shanghai, 28 and Guangzhou between 2003 and 2013, where all the cities have much reduced 29

PM2.5 content in 2013 than 2003 during summer season (Cao et al., 2014). However, 1 there has been no improvement of air quality for winter season. Then, how to 2 understand such difference between air quality change between summer and winter? 3 The key impact factor is the Artic sea ice extent. On one hand, the winter atmospheric 4 circulation in eastern China is significantly modulated by the preceding autumn Arctic 5 sea ice extent thus the sea ice decline can intensify the haze pollution in eastern China 6 even though the total emission of pollutant into the atmosphere has been reduced. On 7 8 the other hand, sea ice extent has no significant influence on the summer atmospheric circulation, thus the effect of cutting off the pollutant emission can be evidently 9 observed. In other words, the winter haze pollution would be more serious if the 10 government has not controlled the pollutant emission after the year 2000. Definitely, 11 12 controls on the pollutant emission always have positive effects and should be always 13 encouraged.

14 In Fig. 2, the year-to-year variation for summer haze days (SHD) is shown as well by the blue curve, indicating slight trend and rapid increase before and after 2000 for the 15 16 two regions. Thus the intensification of the haze pollution in eastern China after 2000 is significant both in winter and summer. Changes of summer rainfall and 17 near-surface wind should be directly associated with the SHD trend. Even though we 18 did not find significant correlation between the SHD and the Arctic sea ice extent in 19 20 the year to year variability, the SHD increase after 2000 may also be related to the Arctic sea ice. In addition, as shown in Zhu et al. (2011), the Pacific Decadal 21 Oscillation (PDO) phase change in late 1990s may have impacts on the summer 22 atmospheric circulation and precipitation changes in eastern China. Therefore 23 understanding of the climate mechanisms for the SHD change calls more 24 investigations from both local and remote perspectives. 25

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- 14 **Figures**





Figure 1. Linear trend of station winter haze days in the three periods: (a) 1960-1979,
(b) 1980-1999, and (c) 2000-2012. <u>R1 and R2 are the two regions that discussed in</u>
the text. The circle with cross means the change is significant at the 95% confidence
level. Units: day year⁻¹.



Figure 2. Time series for winter haze days (red curve), summer haze days (blue curve)
and total energy consumption (bar) for (a) region R1 (30°N-40°N) and (b) region R2
(south of 30°N) in east of 109°E of mainland China.



Figure 3. Temporal variations of winter haze days (WHD) for R1 (blue) and R2 (red),
and autumn Arctic sea ice extent (ASI) (black). The results of correlation coefficient
(CC) analysis are: CC(WHD-R1, WHD-R2)=0.75 in 1960-2012 and 0.58 in
1980-2012; CC(WHD-R1, ASI)= -0.70 in 1960-2012 and -0.60 in 1980-2012;
CC(WHD-R2, ASI)= -0.87 in 1960-2012 and -0.82 in 1980-2012.



Figure 4. Linear trend of station winter precipitation (mm/day) in the three periods: (a)
1961-1979, (b) 1980-1999, and (c) 2000-2011. The circle with cross means the
change is significant at the 95% confidence level.



Figure 5. Same as in Figure 4 but for the winter surface wind speed (m/s).



Figure 6. Summary of the haze pollution change in eastern China and various
influencing factors including climate change. The time series for winter haze days and

their linear trends are plotted on the top. <u>The signs of ">" mean "larger than", "<"</u>
<u>mean "less than", and "≈" mean "equivalent". The comparisons are implemented</u>
<u>among these three periods, i.e. the second period is relative to the first period and the</u>
third period is relative to the second period.