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What can we learn about ship emission inventories from measurements of air pollutants over the Mediterranean Sea?

E. Marmer¹, F. Dentener¹, J. v. Aardenne¹, F. Cavalli¹, E. Vignati¹, K. Velchev¹, J. Hjorth¹, F. Boersma², G. Vinken³, N. Mihalopoulos⁴, and F. Raes¹

¹European Commission, Joint Research Centre, Institute of Environment and Sustainability, via E. Fermi, 2749, 21027 Ispra, Italy

²KNMI, Climate Observations Department, Wilhelminalaan 10, 3732 GK De Bilt, The Netherlands

³Eindhoven University of Techology, Bezoekadres, Den Dolech 2 5612 AZ Eindhoven, The Netherlands

⁴University of Crete, Department of Chemistry, 71003 Heraklion, Greece

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Correspondence to: E. Marmer (elina.marmer@jrc.it)

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Abstract

Ship emission estimates diverge widely for all chemical compounds for several reasons: use of different methodologies (bottom-up or top-down), activity data and emission factors can easily result in a difference from a factor of 1.5 to two orders of magnitude. Despite these large discrepancies in existing ship emission inventories for air pollutants very little has been done to evaluate their consistency with atmospheric measurements at open sea. Combining three sets of observational data – ozone and black carbon measurements sampled at three coastal sites and on board of a Mediterranean cruise ship, as well as satellite observations of atmospheric NO₂ column concentration over the same area – we assess the accuracy of the three most commonly used ship emission inventories, EDGAR FT (Olivier et al., 2005), emissions described by Eyring et al. (2005) and emissions reported by EMEP (Vestreng et al., 2007). Our tool is a global atmospheric chemistry transport model which simulates the chemical state of

- the Mediterranean atmosphere applying different ship emission inventories. The simulated contributions of ships to air pollutant levels in the Mediterranean atmosphere are significant but strongly depend on the inventory applied. Close to the major shipping routes relative contributions vary from 10 to 50% for black carbon and from 2 to 12% for ozone in the surface layer, as well as from 5 to 20% for nitrogen dioxide atmospheric column burden. The relative contributions are still significant over the North African
- ²⁰ coast, but less so over the South European coast. The observations poorly constrain the ship emission inventories in the Eastern Mediterranean where the influence of uncertain land based emissions, the model transport and wet deposition are at least as important as the signal from ships. In the Western Mediterranean, the regional EMEP emission inventory gives the best match with most measurements, followed by Eyring
- ²⁵ for NO₂ and ozone and by EDGAR for black carbon. Given the uncertainty of the measurements and the model, each of the three emission inventories could actually be right, implying that large uncertainties in ship emissions need to be considered for future scenario analysis.

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What can we learn about ship emission inventories?





1 Introduction

Ship emissions and their impacts on environment became a "hot" issue in the past decade for atmospheric research and air pollution and climate policy. Recent publications on health impacts of particles emitted by ships (Corbett et al., 2007a), acidifica-

- tion and eutrofication of water and soil in coastal regions caused by sulfur and nitrogen deposition (Derwent at al., 2005), climate cooling owing to the high sulfur content of marine fuel (Devasthale et al., 2006; Laurer et al., 2007), climate warming caused by the emissions of GHGs (Stern, 2007) and absorbing black carbon (Lack et al., 2008), to name just a few, contributed to our knowledge of present environmental impacts of this
- steadily growing emission source. They also raised public awareness and put a growing pressure on policy makers to find an international agreement on ship emission regulations, as ship emissions are known to be one of the least regulated anthropogenic sources (Eyring et al., 2005). In Europe, where the land based emissions of sulfur have been successfully reduced since 1980's, the ships are the only growing source
- of sulfur emissions. Unless more action is taken, by the year 2020 sulfur and nitrogen emissions from ships threaten to exceed all European land sources combined (CAFE, 2005), with a similar situation in the USA (ARB, 2006). International shipping is a major source of sulfur emissions in Asia because the rapid growth of Asian economies results in a corresponding growth of shipping trade (Streets et al., 1997, 2003). Last year, the
- ²⁰ International Maritime Organization (IMO) unanimously adopted amendments to the MARPOL Annex VI regulations to reduce SO_x , NO_x and particulate emissions from ships (IMO, 2008). The revised Annex VI will enter into force in July 2010. In support of the policy, research is required to investigate how the implementation of these regulations, combined with the predicted future growth of ship traffic and the geographical
- expansion of waterways and ports are going to affect the atmospheric composition. Several future emission scenarios have recently been developed (i.e. Granier et al., 2006; Eyring et al., 2007; Cofala et al., 2007). Starting point for these scenarios are various global and regional emission inventories, which largely differ in their ship emis-

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sion estimates in total and for different compounds. These differences are caused by different methodologies as well as uncertainties in the emission factors. For instance, European inventories tend to give a higher estimate for the European regions than the global inventories (Wang et al., 2008), mainly because domestic shipping is not represented in the activity data on which the global inventories are based. Verification of the consistency of ship emissions inventories with observations is a difficult task due to lack of observations over the open sea.

We evaluate three widely used ship emission inventories – EDGAR FT 2000 (Olivier et al., 2005), Eyring et al. (2005) and EMEP (Vestreng et al., 2007) – by implementing

- them in a chemistry transport model TM5 (Krol et al., 2005) and comparing the results with available observations. Our focus is on the Mediterranean Sea, first of all because its atmosphere appears to be one of the most polluted in the world (Kouvarakis et al., 2000; Lelieveld and Dentener, 2000) and significant contribution of the very dense ship traffic connecting the Atlantic and the Indian Oceans is to be expected. Inland seas
- with intense transit and local ship traffic and high population density are especially affected by ship emissions, as found i.e. over the Mediterranean (Marmer and Langmann, 2005) and the Marmara Sea (Deniz and Durmusoĝlu, 2008). The second not less important reason is the fact that here we have a unique set of observational data from continuous onboard ship measurements (Velchev et al., 2009). In addition, the observations obtained from the OMI satellite over this area are for the first time used

to constrain ship emissions.

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Finally we present a new global ship emission inventory EDGARv4 aimed to consolidate the advantages and disadvantages of the regional and global inventories.

2 Ship emission inventories

²⁵ We have examined six different ship emission inventories focusing on the Mediterranean Sea (Fig. 1), before choosing three of them for closer evaluation. All inventories include the emissions of nitrogen oxides (NO_x) , sulfur oxides (SO_x) , carbon oxide



(CO) and non methane volatile organic carbons (NMVOCs). While in global inventories carbonaceous particles such as black and organic carbon (BC and OC) are included explicitly, the regional inventories give the particulate emissions as $PM_{2.5}$ (Table 1). For this study we have applied the speciation based on Anersson-Skøld and Simpson (2001), attributing 40% of the total $PM_{2.5}$ to OC and 20% to BC (the remaining 40% assumed to be inorganics).

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The global emission inventories EDGAR FT 2000 (Olivier et al., 2005, with OC and BC emissions based on Bond et al., 2004), later referred to as EDGAR, and Eyring et al. (2005), later referred to as Eyring, both compiled for the year 2000, are available on a 1°×1° horizontal resolution and their spatial distribution is based on AMVER data (Endresen et al., 2003). Despite the same resolution and spatial patterns, there is a large disagreement in terms of the emission totals in the Mediterranean Sea (Table 1). SO_x and NO_x emissions reported by Eyring are a factor of 1.6 and 2.2 higher than in EDGAR, respectively. This difference can be explained by the difference in the method-

¹⁵ ology (see Appendix A) – in EDGAR a top-down approach is applied, which is based on bunker fuel statistics from International Energy Agency (IEA); Eyring et al. (2005) have applied the so called activity based top-down approach which is based on the information on ships and engine types (Lloyd's Register of Shipping, 1999). This activity based top-down approach results in higher emission estimate for SO_x and NO_x.

On the contrary, EDGAR reports higher values than Eyring for black carbon. The averaged emission factor for black carbon in EDGAR is 1.02 g kg⁻¹ and is based on Bond et al. (2004). In Eyring et al. (2005), this emission factor is given as 0.18 g kg⁻¹ and is based on Sinha et al. (2003). In the regional inventory EMEP (Vestreng et al., 2007) with twice as much BC as in EDGAR, the emission factors are given as a range from 0.18 g kg⁻¹ to 1.1 g kg⁻¹ depending on the fuel type, getting closer to the recently obtained emission factors ranging from 0.36(±0.23) g kg⁻¹ to 0.97(±0.66) g kg⁻¹ for different vessel types (Lack et al., 2008). In Eyring and EMEP ca. 2 times more OC than BC is emitted (please note that in EMEP we artificially partition PM_{2.5} in 20% BC and 40% OC). In EDGAR, on the contrary, the OC emissions make 1/3 of the BC

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emissions.

The regional European inventories, ENTEC (Whall et al., 2002), EMEP (Vestreng et al., 2007), CONCAWE (2007) and IIASA (Cofala et al., 2007), all share the same spatial distribution and the same methodology and hence deliver comparable emission estimates for all compounds. The methodology applied here is a bottom-up approach and it is based on the distance each ship covers, the information provided by the Lloyd's Intelligence Unit (LMIU, 2004). Regional inventories give a much higher emission es-

- timate for the Mediterranean Sea for all compounds (except NMVOCs) as compared to the global inventories not only because of different methodologies but also due to the inclusion of domestic shipping in the regional inventories (Wang et al., 2008). The ship emissions of NMVOC are most uncertain. In EDGAB a very low NMVOC emis-
- ship emissions of NMVOC are most uncertain. In EDGAR a very low NMVOC emission factor has been assumed (Appendix A) resulting in two orders of magnitude lower emissions as compared to all the other inventories. Only in Eyring the evaporation of hydrocarbons during loading and handling has been considered, making some 50%
 of the total NMVOC emissions, which explains the difference between Eyring and all
- regional inventories.

One of the main advantages of the global inventories is their global coverage. Furthermore, the top-down approach used for global inventories allows a much faster emission calculation than the more detailed hence time consuming bottom-up approach used by the regional inventories. On the other hand, the resolution of the

- ²⁰ proach used by the regional inventories. On the other hand, the resolution of the regional inventories is usually finer and their spatial distribution much more accurate. Since international fuel statistics do not include the fuel consumed for domestic ship traffic from harbor to harbor within the same country it has not been represented by the global inventories. The domestic ship traffic can be significant for the inland seas
- like the Mediterranean, which is surrounded by 22 countries. Applying bottom-up approach on a global scale would not only be much too costly, but would also be limited by the global unavailability of detailed ship movement data.

In order to consolidate the advantages of global and regional inventories, a new EDGAR version 4 (EDGARv.4) global emission inventory has been compiled. It is

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using top-down approach like all the previous versions of EDGAR, but the calculation takes into account 15 different vessel types and distinguishes between harbor and sea activities. Furthermore, it combines AMVER and ICOADS ship activity data sets (Wang et al., 2008) largely improving the spatial resolution from $1^{\circ} \times 1^{\circ}$ to $0.1^{\circ} \times 0.1^{\circ}$.

Additionally to the international fuel statistics, the national fuel data is used to account for the domestic ship traffic, its contribution ranging from 17–27% of total emissions, depending of different assumptions (Appendix A).

In all investigated inventories a temporally constant emission flux was assumed, i.e. the emissions are constant throughout the year. More details on methodology, distribution and coverage of different inventories can be found in Appendix A.

3 Modeling set-up

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3.1 Ship emissions

For the model simulations in this study we have implemented the two global inventories (EDGAR and Eyring) and one regional inventory (EMEP) embedded into EDGAR on ¹⁵ the global scale, to simulate the contribution of ship emissions to air pollution, attempting to evaluate the inventories by comparing the simulated ozone and black carbon surface concentrations with measurements sampled onboard a cruise ship and at three coastal sites, and the simulated atmospheric NO₂ columns with those obtained from satellite data. For a more detailed evaluation we have separated the Mediterranean in

- 2 regions the Eastern Mediterranean, referred to as EM (6 W to 15 E) and the Western Mediterranean, referred to as WM (16 E to 36 E). For the substances analyzed in this work, the NO_x, NMVOCs and BC, the emissions for these areas are shown in Table 2. It should be noticed, that the East-West distribution in EDGAR and Eyring is nearly 40/60 for all compounds, while in EMEP it is close to 50/50. This discrepancy is
 25 probably due to the domestic shipping which is not considered in the global inventories
- and according to EMEP predominates in the Eastern part.





3.2 Other emissions

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Only ship emissions have been varied in the different model runs, all other emissions – natural and anthropogenic – have been kept unchanged. The emissions are summarized in Appendix B.

5 3.3 Chemistry transport model TM5

The TM5 is an off-line global transport chemistry model (Krol et al., 2005) and is driven by ECMWF ERA-40 reanalysis meteorological data. It has a spatial global resolution of 6°×4° and a two-way zooming algorithm that allows regions to be resolved at a finer resolution of 1°×1°. To smooth the transition between the global 6°×4° region and the regional 1°×1° domain (Mediterranean Sea in the present application), a domain with a 3°×2° resolution (Northern Hemisphere in the present application) has been added. In the current version, the model has a vertical resolution of 34 layers, defined in a hybrid sigma-pressure coordinate system with a higher resolution in the boundary layer and around the tropopause. The height of the first layer is approximately 50 m.

¹⁵ The model transport has been extensively validated using ²²²Rn and SF6 (Peters at al., 2004; Krol et al., 2005) and further validation was performed within the EVER-GREEN Project (Bergamaschi et al., 2006).

Gas phase chemistry is calculated using the CBM-IV chemical mechanism (Gery et al., 1989a,b) modified by Howeling et al. (1998), solved by means of the EBI method (Hertel et al., 1993). Dry deposition is calculated using the ECMWF surface characteristics and the resistance method (Ganzeveld and Lelieveld, 1995).

The aerosol compounds are considered only by mass and include sulfate, nitrate and ammonium, black and organic carbon, sea salt and dust. Black carbon is assumed to reside in the accumulation mode with a mass mean radius of $0.14 \,\mu$ m for wet and dry removal. In cloud-free model grid-cells BC is considered hydrophobic and does not take up water. In grid-cells containing clouds a constant interstitial mass fraction is assumed and the rest is scavenged with the same efficiency as sulfate.



We have performed six different model simulations (Table 3) for the meteorological year 2006. In each simulation, all land based emissions have been kept unchanged (see Sect. 3.2). In order to calculate the impact of ship emissions on the atmospheric concentration, we have performed a simulation which did not include any ship emissions at all; this experiment is referred to as NON. Two global ship emission inventories have been implemented, EDGAR FT 2000 – the simulation referred to as EDG – and Eyring – the simulation referred to as EYR. The regional EMEP ship emission inventory was selected for the forth experiment. Since we run our model on a global scale, this inventory was embedded into the global EDGAR ship emission inventory. We refer to this experiment as the probability of the probability of the simulation for the global EDGAR ship emission inventory.

- this experiment as to EMEDG. In order to analyze the role of NMVOC from ships for the surface ozone formation, we have run a sensitivity study with the same ship emissions as in EMEDG for all species but without any NMVOCs released from ships. This sensitivity experiment is referred to as EMEDG-noVOC. In all experiments described above, ship emissions have been released into the first vertical model layer and distributed
- between 0–30 m, while in real world ship stacks can reach as high as 50 m. In the EMEDG_H experiment we have tested the sensitivity towards the emission height by distributing all ship emissions between 30 and 100 m above surface. The global emission inventories refer to the year 2000, EMEP refers to the year 2004, while the model simulations represent the year 2006. With an annual growth rate of 2.5% (Endresen et al. 2006).
- al., 2003), 16% more ship emissions would be released for EDGAR and Eyring, and 5% for EMEP in 2006. The resulting surface concentrations for BC and NO_2 can be easily calculated, but not for ozone due to non-linearity (see Sect. 4.2). This assumption would result in a 1.2% higher BC concentration for the EMEDG simulation, 1.3% for EDG and 0.4% for EYR. For NO_2 , the concentration would increase by 2.2% for the
- ²⁵ EMEDG simulation, by 2.4% for EDG and by 5% for EYR. We did not correct for these differences but they will not affect the conclusions drawn below.





4 Observations

4.1 Onboard ship observations

A set of monitoring instruments has been installed on board of a Mediterranean cruise ship Costa Fortuna with regular weekly routes in the Western Mediterranean during late spring, summer and fall and in the Eastern Mediterranean during winter (Velchev et al., 2009, and Fig. 1). This campaign was launched in fall 2005 and is still on-going. Measurements of ozone, black carbon and the aerosol size distribution are performed every 10 min and the meteorological data are logged every 15 min.

The instruments are placed in a cabin at the front of the ship, 47 m above sea-level.
Ozone is measured by a Thermo C49 ozone analyzer, equivalent BC was measured by a 2-wavelength aethalometer from Magee Scientific (model AE 21). The stated precision of the ozone analyzer is 1 ppbv, the observed zero-drift between calibrations was 1 ppbv and the span-drift was between 0 and 3%. Thus for the ozone concentrations measured over the Mediterranean Sea the typical uncertainty is approximately 2 ppbv.

- ¹⁵ While the aethalometer measures black carbon as the attenuation of light divided by an absorption cross section, the source characterization studies that form the basis of the emission inventories mainly rely on determinations of "elemental carbon" (Bond et al., 2004). Elemental carbon is determined by a thermo-optical method and defined operationally. Several studies show close to linear correlations between black
- ²⁰ carbon and elemental carbon concentrations, however the proportionally factor shows large variations between different sampling sites (Jeong et al., 2004). The aethalometer measurements of BC on Costa Fortuna were carried out using the value of the absorption cross section provided by the manufacturer; the method was compared to thermo-optical determinations of elemental carbon during two campaigns; for aerosols over the sea, the methods were found to agree within a range of 25%.

The aethalometer being an optical method has the disadvantage, that other absorbing material than black carbon, such as mineral dust, can contaminate the measurements. To filter our data from the suspected dust contamination we used a method

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described by Cavalli et al. (2009). Most dust events took place during winter months when the ship has been on cruise in the Eastern Mediterranean. This finding can be confirmed by the results from satellite observations (Papadimas at al., 2008) showing high frequency of dust events in the Eastern Mediterranean during winter.

⁵ Meteorological data (surface pressure and air temperature) were provided from the automatic measurement station on the ship. Information on the position, speed and direction of the ship were available, identifying situations where contamination from the emissions of the ship itself might interfere with the measurements.

The cruise ship is mostly sailing during the evening/night and stays in harbors during the day; exceptions are the routes Tunis-Palma and Alexandria-Messina, where both day and night hours are included. For our purpose we only consider the open sea measurements removing the data collected in harbors from 2 h prior to arrival to 2 h after the departure.

The TM5 model samples ozone, black carbon and the meteorological data every 30 min simultaneously with the cruise ship. We have compared the ozone and black carbon measurements separately averaged over all Eastern and all Western cruises with the model results from each of the experiments.

4.2 Satellite measurements

The OMI instrument is a nadir viewing imaging spectrograph that measures the solar radiation backscattered by the Earth's atmosphere and surface over the entire wavelength range from 270 to 500 nm with a spectral resolution of about 0.5 nm. The 114° viewing angle of the telescope corresponds to a 2600 km wide swath on the surface, which enables measurements with a daily global coverage (Boersma et al., 2007). The error of a single OMI retrieval is best referred to as 1.0×10¹⁵ molecules cm⁻²+30%, for detailed error analysis please see Boersma et al. (2004, 2007). The TM5 has sampled the NO₂ atmospheric column simultaneously with the satellite overpass at 13:30 h local time. The OMI pixels (from 13×24 km² in nadir up to 26×135 km² at the edges of the swath) have been interpolated to the 1°×1° grid, requiring at least 50% of the



grid to be covered by OMI data. The grid boxes not meeting this criterion were marked as cloudy and filtered. The same grid boxes were also filtered from the TM5 samples as well to assure better comparability. Thus if OMI has seen more than 50% of the grid box as "cloudy", this grid box has been excluded from the evaluation, because the clouds screen the NO₂ below, which can not be retrieved. For statistical significance only summertime observations have been taken into account, when cloud free conditions prevail. The monthly mean NO₂ columns for June, July and August 2006 resulting from the experiments NON, EDG, EYR and EMEDG have been compared with satellite measurements.

10 5 Results

All comparisons with observational data show that the TM5 model can simulate the level of air pollutants over the Mediterranean Sea reasonably well.

5.1 Black carbon

In this version of TM5 black carbon is treated as bulk mass, e.g. we do not consider particle size and number distribution. Black carbon does not participate in chemical reactions, once emitted it is advected by turbulence and large scale eddies and is removed by dry and wet deposition, thus acting as a passive tracer. Therefore we expect a linear model response of black carbon surface concentration to varying emissions (Fig. 2). Releasing the emissions at higher levels 30–100 m as in the experiment EMEDG-H

- rather than 0–30 m reduces the mean surface concentration by 3.6%. This difference is slightly larger in summer with relatively stable atmospheric conditions (WM cruises) than in winter (EM cruises). The relative contribution of ship emissions to the surface BC also varies quite significantly for different emission inventories. In EYR, the simulation with lowest BC emissions, this contribution is limited to the Western part close to the mean surface and does not exceed 100% (Fig. 0). In EMEDO, the simulation is limited to the Western part close to the mean surface and does not exceed 100% (Fig. 0).
- to the main shipping route and does not exceed 10% (Fig. 3). In EMEDG, the simula-



tion with highest BC emissions, the contribution is 35–50% close to the main shipping routes in the Eastern and the Western part, about 15–25% over large distances over the North African coast during summer, while at the Southern European coast the contribution is less than 15%. Comparison of the mean measurements obtained during all

- Eastern and all Western cruises with the mean of simultaneously sampled model BC concentrations shows a slight overestimation of the simulated BC of the cruises in the WM (April to October, Table 4). Even the simulation without ships gives a higher BC concentration than the observed one indicating too high emissions from land based sources in the model. If we look separately at the results for the leg Tunis-Palma de
- Mallorca (TP, Fig. 1), which crosses the highly frequented shipping route at 37 N, the agreement largely improves and we find the best agreement with EMEDG (Table 4). In vicinity of the emission sources, the properties of black carbon correspond better to those assigned to it in the model, before internal mixing with other particles and aging can have an affect on its hydroscopicity and wet deposition. The underprediction of BC
- ¹⁵ during the EM winter cruises (January to March) could result from too high deposition of BC in the model. There is a pronounced annual cycle in the simulated BC surface concentration with a maximum in July and a minimum in March. To a great extend the annual cycle in the model is driven by precipitation and wet deposition of BC (Fig. 4). It is difficult to conclude about the BC annual cycle over the Mediterranean based on the
- on-board measurements, since the ship travels in different regions during the different seasons, but from the BC measured at Finokalia, a site on Crete Island in the Eastern Mediterranean, no pronounced annual cycle could be detected. A comparison of BC observations at this site with model simulations shows that during winter, the surface BC is underestimated by the model, while it is overestimated during summer (Fig. 5).
- Looking at the average value for all cruises, the EMEDG simulation provides the best agreement with observations. Considering the uncertainty of the optical method, estimated at 25% (see Sect. 4.1), all the inventories produce results within the uncertainty limits.

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5.2 Ozone

Ship emissions contribute to a much lesser degree to the surface ozone as compared to BC. During the summer 2006, this contribution reached 2–4% over the Sea in EDG. The maximum contribution of 12% (EYR simulation) can be found in summer over

- ⁵ the Strait of Gibraltar, which links the Mediterranean Sea to the North Atlantic Ocean (Fig. 6). The relation between the emissions of the precursor gases NO_x (NO_2+NO) and NMVOCs, and surface ozone is highly non linear: Linearity can be found between the NO_x emissions and the NO_2 concentration levels (Fig. 7). The relation between the NO_x emissions and the surface ozone is not that simple: initially, increase of NO_x
- emissions results in an enhanced surface ozone concentration, in the EMEDG simulation, however, we find lower surface ozone as compared to EYR despite higher NO_x emissions (Fig. 8). Our analysis shows that when the threshold value of 1.2 Tg year⁻¹ NO_x emissions is exceeded, the surface ozone goes down. In this analysis we need to consider the impact of hydrocarbons, which show a highly non-linear behavior. In
- the NON simulation, without any emissions over the Mediterranean, we find the highest mean NMVOC surface concentration of all simulations, 17.8 ppbv(C). From NON to EMEDG-noVOC we have added ship emissions of all the components except of the NMVOCs, only to find the lowest mean NMVOC concentration of all simulations, 17.2 ppbv(C). Despite the fact that the VOC ship emissions are either zerro (in NON
- and EMEDG-noVOC) or differ by over two orders of magnitude (between EDG and EYR), their effect on the surface concentration ranges only within 3%. Our analysis of the very complicated photochemical patterns between NO_x, NMVOCs and ozone shows a relationship between the NMVOC/NO_x ratio and the ratio of ozone production rate to the ozone loss rate (Fig. 9). At low NMVOC/NO_x ratios the relation of ozone production to ozone loss remains low and it grows with the growing ratio. After reaching
- the maximum at a threshold ratio of NMVOC/NO_x=8, it begins to decrease. Because of this very high sensitivity of ozone levels to the emissions of NO_x and especially NMVOCs, the importance of obtaining more accurate amount of NMVOC ship emis-

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sions is essential in order to study and understand their possible impact on the ozone levels especially in such polluted areas as the Mediterranean Sea.

In terms of ozone measurements, the best agreement is achieved over the WM during the "summer" (April to October) cruises. The observed mean ozone of 48.9 ppbv

- ⁵ is best simulated in EMEDG, the EYR simulation gives slightly higher value and EDG slightly lower. Without ship emissions, the simulated mean ozone over the WM decreases by 2 ppbv (Table 5). Much higher mean value has been observed (42.7 ppbv) during the EM cruises (January–March) than the model predicts (maximal simulated value 38.2 ppbv in EYR). Lelieveld et al. (2002) and Gerasoupoulos et al. (2005) identi-
- fied transport from the European continent as the main mechanism that controls ozone levels in the Eastern Mediterranean. Therefore we can not simply translate this underestimation into the shortcomings of the local emissions. Inaccuracy in land based emissions of the ozone precursors; simulated vertical mixing and transport could be additional sources of uncertainty. Averaged over all cruises, Eyring inventory presents
- the bests results. Figure 10a, b shows the comparison with observations at three coastal sites (Fig. 1), averaged over the same time period as the Eastern (1 January–3 March 2006) and the Western (26 April–31 October) cruises. At the western Mediterranean site Cabo de Creus (ES10) (Fjaeraa and Hjellbrekke, 2008) and the eastern Mediterranean site Finokalia, the model performs better during summer than during winter. During summer, EYR simulation gives the best agreement for Cabo de Creus,
- ²⁰ winter. During summer, EYR simulation gives the best agreement for Cabo de Creus, in agreement with the results for the WM cruises. For Finokalia the summertime ozone is best simulated with EMEDG.

At the central Mediterranean site Giordan Lighthouse (MT01) (Fjaeraa and Hjellbrekke, 2008) the summertime surface ozone is overestimated by all simulations. Dur-

ing winter we find an underestimation by all simulations at all three sites. Seasonal variation of ship emissions, not included in our inventories, could possibly improve the model performance regarding surface ozone (see Sect. 6).

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5.3 NO₂ column burden

The advantage of satellite measurements for validation of ship emission inventories is their spatial coverage. As compared to the ship observations we have a good coverage of continuous measurements which enables us to compute mean values over reasonably long time period. What we can observe from the satellite is the total atmospheric burden rather than the surface concentration which might let us wonder whether such local surface sources as ships can leave a signal on these observations. From the OMI satellite picture a weak but significant signal from the main ship route crossing the Mediterranean Sea is clearly recognizable (Fig. 11a). In order to make the data comparable to the model results, we interpolate it from the original resolution of OMI (see Sect. 4.2) to the model resolution of 1°×1°. This interpolation causes a smearing of the signal and it nearly disappears over the Eastern part of the Sea but can still be well recognized in the Western part (Fig. 11b). The total atmospheric NO₂ burden over the Mediterranean Sea is overpredicted by the model, the NON simulation gives the

¹⁵ best quantitative agreement with the satellite observations (Table 6). We compare the burden over the particular area of the main shipping routes in the Eastern and Western Mediterranean as can be seen from space (Fig. 12). While for the Eastern part EDG, the simulation with lowest NO_x emissions, gives the best results for the summer mean NO₂ burden, it is significantly higher over the Western Mediterranean, and is best re ²⁰ produced by the EMEDG simulation. For the Western Mediterranean we find a good agreement with measurements for both, NO₂ and ozone, and in both cases it is the EMEDG simulation that produces best matching results.

6 Conclusions and discussion

All ship emission inventories analyzed here give different estimates for all compounds; these disagreements mainly result from different methodologies, activity data and emission factors. The emission factors present the highest source of uncertainty for black

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carbon, which vary by a factor of 5, and even more for NMVOCs which vary by two orders of magnitude. Emission factors for NO_x and SO₂ agree much better among the inventories. Furthermore, the global inventories apply international bunker statistics, in which the fuel consumed for the domestic shipping is not included. The national fuel

- statistics have been taken into account in the new EDGARv4 inventory, but the spatial information for the domestic shipping has not yet been provided. Comparing the emission totals we find that at least 20% of the emissions in the Mediterranean account for the domestic traffic. All regional inventories are based on individual ship movements, providing a better fleet coverage, but this information is not available on a global scale,
- thus this method can not be applied globally. Seasonal variability of ship traffic has not been included in any of the inventories; all emissions are assumed temporally constant which presents an additional uncertainty.

So what did we learn from the measurements about the ship emission inventories? We can say with a relative certainty, that ship emissions have a significant impact on air pollution over the Mediterranean region. The evidence for this impact is the ship signal which is recognizable from the satellite NO_2 retrievals especially over the Western Mediterranean. Over the Eastern Mediterranean, we find a spatially narrow signal in

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the satellite NO₂ retrievals which is not reproducible by 1°×1° resolution applied in this study. With EDGARv4, we now have a much finer resolved emission inventory which
should be applied in a model with a corresponding 0.1°×0.1° resolution in future.

We can divide our results in four categories – Western Mediterranean summer, Western Mediterranean winter, Eastern Mediterranean summer and Eastern Mediterranean winter. We compare NO₂ satellite retrievals for Eastern and Western Mediterranean summer (June, July and August). The ship measurements of ozone and black car-²⁵ bon are available for the Western Mediterranean summer (April to October) and the Eastern Mediterranean winter (January–March). We have further analyzed all year ozone measurements from three observational sites in the Western (Cabo de Creus), Central (Giordan Lighthouse) and Eastern (Finokalia) part, and all year black carbon measurements from an observational site in the Eastern Mediterranean (Finokalia).

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For all pollutants, we find the best agreement between the model and the observations in the WM during the dry summer. For NO₂, EMEP, emission inventory with highest NO_x emissions, produces best results in the Western Mediterranean which is corroborated by the good agreement of EMEDG (the corresponding simulation) ozone with measurements during Western cruises. For the western observational site Cabo de Cruise it is the EYR simulation (Eyring inventory) which agrees best with the observed ozone. Simulated ozone concentrations for EMEP and Eyring emission inventories are very similar. While 1.8 times more NO_x is released in EMEP than in Eyring in the Western part, 2.6 more NMVOCs are released in Eyring than in EMEP. Due to complicated non linear photochemistry and the sensitive relationship of NO_x, NMVOCs and

- ¹⁰ plicated non linear photochemistry and the sensitive relationship of NO_x , NMVOCs and ozone, we are unable to give preference to one of these inventories based on ozone and NO_2 measurements only. Ship stack measurements of NMVOCs in order to better determine the emission factor for this group of compounds can greatly contribute to the improvement of NMVOC ship emission estimates. Furthermore we recommend mea-
- ¹⁵ suring NMVOC on-board the cruise ship along with ozone and NO_x (the NO_x analyzer has been installed on board in 2008). Better knowledge of the NMVOC emissions and ambient concentrations over the sea can improve our knowledge on the impact of ship emissions on surface ozone. Black carbon is slightly overestimated during the Western cruises, as well as at the eastern site Finokalia during the dry season. Even without
- ship emissions, the simulated BC concentration exceeds the observed one on average. For the Tunis-Palma leg, which is largely dominated by local ship emissions there is a very good agreement with simulated BC, also here the best results are achieved with EMEP.

The emissions in the Eastern Mediterranean are not constraint with the measure-²⁵ ments used in this study. During the EM summer, very low NO_2 has been observed as compared to the simulations. The EDG inventory with lowest NO_x ship emissions shows the best agreement. Surface ozone is underestimated during the EM cruises. It is also underestimated for all sites (eastern and western) during winter. There are two possible explanations for the underestimation of BC during the cold wet season in the

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Eastern part – either the model deposits too much BC by the wet deposition, or it could be attributed to missing emission sources – marine or land – during winter. Incorrect temporal variability of BC land sources like biomass burning could be the reason for the slight overestimation during summer and the underestimation during winter.

All ship emission inventories analyzed in this work are assumed to be temporally constant. In this study, summertime simulations of all compounds have shown better agreement with measurements as compared to winter. Different seasonal patterns are given by global ship activity data sets ICOADS and AMVER and need more investigation (Dalsøren et al., 2008). Including the temporal variability in ship emission inventories could possibly improve the simulations of ozone and BC during winter.

Considering the uncertainties in the measurements and the model, we can say that all ship emission estimates lay within the uncertainty range. While more stack measurements and detailed fleet statistics can further improve our knowledge on ship emissions, the difficulty to construct an accurate emission estimate will remain a challenge for this highly uncertain emission source. Given the expected growth of ship traffic and the expansion of waterways and ports, scenario calculations are needed to determine

the impact of emission reduction policies on air quality and climate. For these calculations, the whole range of existing inventories needs to be considered in order to determine the propagation of these uncertainties in the future scenarios.

20 Appendix A

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Ship emission inventories – methodologies and emission factors

Global and European regional inventories are calculated based on different methodologies.

Global emission inventories are calculated applying the top-down approach. Topdown approach is defined as breaking down a system to gain insight into its compositional sub-systems. In our context it means that the emissions are calculated based

on the total fuel consumption. Geo-spatial information is not included here. The total fuel consumption is either based on energy statistics data (classical top-down, EDGAR FT, Diagram A3) or estimated from the fleet activity (activity based top-down, Eyring, Diagram A5). The new EDGARv4 inventory (Diagram A4) applies a technology split
 ⁵ accounting for different ship types and sea and port activities. The calculated global ship emissions total is allocated to each grid cell proportional to the value of each grid represented by spatial proxies of the ship activity patterns from the AMVER (Automated Mutual-Assistance Vessel Rescue System) data set (Endresen et al., 2003) or on the combined AMVER/ICOADS (International Comprehensive Ocean-Atmosphere Data Set) data (Wang et al., 2008).

In regional inventories, the bottom-up approach is applied (Diagram A6). In a bottomup approach the individual base elements of the system are first specified in great detail. These elements are then linked together to form the main system. Thus, the emissions are calculated based on information from individual vessels and its movements.

A1 Methods

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A1.1 Top-down (Table A1a)

Based on fuel consumption.

- (a) Bunker fuel statistics provided by the International Energy Agency (IEA), spa-
- tial distribution based on AMVER Ship Reporting System Data (Endresen et al., 2003).
- (b) Bunker fuel statistics privided by IEA and national fuel statistics to account for the domestic ship traffic, spatial distribution based on combined AMVER/ICOADS activity data (Wang et al., 2008).
- (c) Activity based total fuel consumption based on fleet activity data, spatial distribution based on AMVER Ship Reporting System Data (Endresen et al., 2003).

A1.2 Bottom-up (Table A1b)

Emissions calculated by engine type/engine speed/fuel type and vessel movements, for vessels >500 GT, data on vessel characteristics provided by Lloyd's Register Fairplay (LRF, 2004). Top-down approach for smaller vessels and fishing vessels.

- (a) Provided by Lloyd's Marine Intelligence Unit (LMIU, 2004) for four discrete months in 2000 to reflect seasonal variations in shipping activity, the results multiplied by 3. In-port times derived from a questionnaire survey of ports. Separate analysis for ferries and fishing vessels.
 - (b) Based on (a), assuming a growth factor of 2.5% per year (Endresen et al., 2003).
- (c) Same as (a), using additional literature data on port surveys, resulting in an increase of 20% in average port times as compared to (a). Top-down approach for smaller (100–500 GT) vessels, assuming additional 10% of emissions in the coastal 12-mile zones. More detailed spatial resolution of emissions, distinguishing national, international, by flag state and within 12-mile zones.
- (d) Same as (a), but up-dated for 2005, manual addition of approx. 100 000 passenger vessel movements using time tables. Different methodology for determining the relative percentages of RO (residual oil) and MD (marine diesel) in total fuel consumed.
 - A2 EMEP domain and resolution (Table A1b)
- ²⁰ Approx. 40 W–60 E lat, 30 N–90 N lon, North-East Atlantic, North, Baltic, Black and Mediterranean Seas, 0.5°×0.5°.

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A4.1 Domestic navigation in EDGARv4

Some of the fuel being used at open sea is related to inland transportation for example from one harbor to another harbor in the same country. This fuel is excluded from the IEA statistics on international bunker fuel but included in national bunker statistics.

Globally, the variety of ship types in domestic navigation is large and is dependent on the geography of countries. For most countries no detailed split between vessel types and amount of fuel consumed is available. As first estimate until better statistics become available all domestic fuel consumption has been assigned to freight traffic with emission factors according to Dalsøren et al. (2008). Furthermore, in the region of interest, we do not know to what extend the fuel has been consumed in the Mediterranean Sea rather than for inland shipping (lakes and rivers), and for some of the countries, in other adjacent seas. Therefore we give a range from 50% to 90% assigned to the Mediterranean Sea, resulting in a contribution of the domestic ship traffic to the emission totals of 17% to 27%, respectively (Table 1).

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A5 EYRING-Approach

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A6 ENTEC-Approach (Whall and Stavrakaki, 2008)

Appendix B

Other emissions

Only ship emissions have been varied for the different model simulations. All other emissions have been kept the same for all simulations (Tables B1–B3).

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Table 1. Ship emissions for the Mediterranean Sea from different inventories.

Tg/yr	EDGAR FT 2000	EDGARv4 2000		EYRING 2000	EMEP 2004	IIASA 2000	CONCAWE 2005
		int	total				
SO ₂	0.309	0.380	0.470	0.492	1.199	1.280	0.826
NOx	0.406	0.626	0.760	0.902	1.745	1.820	1.448
NMVOC	0.0008	0.020	0.024	0.130	0.058	0.0620	0.0510
BC	0.012	0.0015	0.0018	0.002	0.027	n.s.	n.s.
OC	0.0038	0.005	0.006	0.0056	0.053	n.s.	n.s.
PM _{2.5}	n.s.	n.s.	n.s.	n.s.	0.132	n.s.	0.091

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Table 2. Ship emissions in the Western (from 6 W to 15 E) and the Eastern (from 16 E to 36 E) Mediterranean.

	EDGAR FT		EYRING		EMEP	
Emissions [Tg/yr]	WEST	EAST	WEST	EAST	WEST	EAST
NO _x	0.229	0.177	0.509	0.393	0.938	0.806
NMVOC	0.0004	0.0003	0.077	0.053	0.030	0.026
BC	0.007	0.005	0.0012	0.0009	0.0142	0.0123

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Table 3. Input to the various model runs.

NON	No ship emissions
EDG	EDGAR FT 2000 (Olivier et al., 2005) for the year 2000
EYR	Eyring et al. (2005) for the year 2000
EMEDG	EMEP ship emissions for Europe for the year 2004 (Vestreng et al., 2007)
	globally embedded in EDGAR FT 2000
EMEDG-noVOC	like EMEDG but no NMVOCs released from ships
EMEDG_H	like EMEDG but ship emissions released between 30–100m

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Table 4. Observed and simulated mean black carbon surface concentrations and standard deviation, averaged over the cruises. The best simulation is highlighted in boldface.

Mean and st. dev. of surface BC	EM Jan–Mar 06	WM Apr–Oct 06	Tunis-Palma Apr–Oct 06	All cruises Jan–Dec 06
$ng m^{-3}$	4 cruises	26 cruises	26 cruises	34 cruises
observed	376±351	516 ± 375	320 ± 205	465±382
EYR	235±267 224±265	594 ± 512 561±512	269 ± 154 224 ± 141	431 ± 477 404 ± 474
EMEDG EMEDG H	261±277 255+270	660±531 634±519	330±188 310+172	474±503 452+490
NON	221±264	554±513	214±140	398±473

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Table 5. Observed and simulated mean ozone surface concentration and standard deviation, averaged over the cruises. The best simulation is highlighted in boldface.

Mean and st. dev. of surface Ozone ppbv	EM Jan–Mar 06 4 cruises	WM Apr–Oct 06 26 cruises	All cruises Jan–Dec 06 34 cruises
observed	42.7±6	48.9±11	44.7±11
EDG	36.9±6	48.1±12	42.8±11
EYR	38.2±7	49.5±12	44.2±12
EMEDG	36.7±7	48.9±13	43.1±13
NON	35.5±6	46.7±11	41.2±11

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Table 6. Mean NO_2 atmospheric column and standard deviation, summer 2006 as observed from the OMI satellite and simulated by TM5 over the shipping route in the WM (0–13 E, 36–37 N) and the EM (15–33 E, 31–36 N) and the mean over the whole Mediterranean Sea.

Mean NO_2 column 10^{15} molecules cm ⁻²	WM shipping route	EM shipping route	Mediterranean Sea
OMI	1.39±0.4	1.16±0.2	1.29±0.6
EDG	1.14±0.3	1.18±0.2	1.32±0.6
EYR	1.28±0.3	1.27±0.2	1.38±0.7
EMEDG	1.44 ± 0.4	1.48 ± 0.3	1.49±0.7
NON	1.02 ± 0.3	1.11±0.2	1.27±0.6

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 Table A1a.
 Global ship emission inventories.

GLOBAL	EDGAR FT	EDGARv4	EYRING
Base year	2000	2000	2000
Method	A1.1a Diagram A3	A1.1b Diagram A4	A1.1c Diagram A5
Fleet coverage	international shipping	domestic and international shipping	international shipping
	cargo and passenger >100 GT	cargo and passenger	cargo and passenger >100 GT
Domain and	global	global	global
resolution	1°×1°	0.1°×0.1°	1°×1°
Additional	NMVOC emissions	NMVOC emissions	NMVOC emissions
comments	from fuel only	from fuel only	from fuel (51%)
			and loading (49%)

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Table A1b. Regional ship emission inventories.

EUROPEAN	ENTEC	EMEP	IIASA	CONCAWE
Base year	2000	2004	2000	2005
Method	A1.2a Diagram A6	A1.2b Diagram A6	A1.2c Diagram A6	A1.2d Diagram A6
Fleet coverage	domestic and	domestic and	domestic and	domestic and
	international	international	international	international
	>500 GT	>500 GT	>100 GT	n.s.
Domain				Mediterranean Sea
and resolution	A2	A2	A2	50×50 km ² ,
				$10 \times 10 \text{ km}^2$
				in the 12-mile zone
Additional	NMVOC emissions	NMVOC emissions	NMVOC emissions	NMVOC emissions
comments	from fuel only	from fuel only	from fuel only	from fuel only

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Table A2.	Implied emission	factors for the	Mediterranean	Sea.
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g/kWh	NO _x	SO ₂	NMVOC	BC	OC	PM _{2.5}
EDGAR FT	15.3	13.5 ^a /4.5 ^b	0.027	0.225	0.072	
EDGARv4	14.1	8.0	0.48	0.04	0.1	
EYRING	16.5	9.1	2.5	0.04	0.1	
ENTEC	9.25	9.46	0.29	n.s.	n.s.	n.s.
EMEP	9.25	9.46	0.29	n.s.	n.s.	n.s.
IIASA	9.25	9.46	0.29	n.s.	n.s.	n.s.
CONCAWE	8.93	7.56	0.27			0.50

^a residual; ^b diesel.

Table B1. Natural emissions.

NATURAL EMISSIONS	ANNUAL GLOBAL Tg/yr	REFERENCE
DUST	1.68e+003	Ginoux et al. (2001, 2004)
SEA SALT	4756.87	ON-LINE Vignati et al. (2009), dev. from Gong et al. (2003) in 2 modes
DMS	10.0887 Tg(S)/yr	calculated on-line
VOLCANIC SO ₂ degassing by continuous volcanoes	25.0	GEIA (Andres and Kasgnoc, 1998), Volcano height based on Halmer et al. (2002)
degassing by explosive volcanoes	4.0	GEIA (Halmer and Schmincke, 2000)
NATURAL NH ₃	2.43	Bouwman et al. (1997)
	8.1536	Bouwman et al. (1997)
AGRIC. NH ₃	52.6	Strengers et al. (2004)
NAT. A. AGRIC. SOIL NO _x	5.8 Tg(N)/yr	Yienger and Levi (1995)
SOA	19.1 Tg(OM)/yr	Guenther et al. (1995), conversion factor 0.15

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Table B2.Anthropogenic emissions.

ANTHROPOGENIC EMISSIONS	ANNUAL GLOBAL Tg/yr	REFERENCE
INDUSTRIAL		
CO	37.6	Dentener et al. (2006)
NMVOC	39.3	
NO _x	33.7	
SO ₂	87.6	
BC	3.3	
POM	3.1	
NH ₃	0.5	Strengers et al. (2004)
DOMESTIC		
CO	238.4	Dentener et al. (2006)
NMVOC	28.8	
NO _x	4.9	
SO ₂	9.5	
BC	3.34	
POM	13.6	
NH ₃	5.8	Strengers et al. (2004)
TRANSPORT		
CO ROAD	185.9	EDGAR FT 2000
CO OFF-RD	12.8	Olivier et al. (2005)
NMVOC ROAD	33.7	
NMVOC OFF-RD	4.6	
NO _x ROAD	28.4	
NO _x OFF-RD	5.9	
SO ₂ ROAD	3.7	
SO ₂ OFF-RD	1.96	
BC ROAD	0.7	Dentener et al. (2006)
BC OFF-RD	0.5	
POM ROAD	0.9	
POM OFF-RD	0.5	

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Table B3.Biomass burning emissions.

BIOMASS BURNING	ANNUAL GLOBAL Tg/yr	REFERENCE
СО	507.5	Global Fire Emissions Database
NMVOC	31.3	(van der Werf et al., 2004)
NO _x	2.3	
SO ₂	2.8	
NH ₃	6.0	
BC	3.5	
POM	37.2	

Fig. 1. The Mediterranean Sea in the model resolution is indicated yellow. Green line indicates Western Cruises (April–October 2006, 26 cruises). Blue line indicates the Tunis-Palma leg. Red line indicates Eastern Cruises (January–March 2006, 3 cruises). Three observational sites ES10 (Cabo de Creus, Spain, 42.3 N, 3.3 E), MT01 (Giordan Lighthouse, Malta, 36.1 N, 14.2 E) and Finokalia (Greece, 35.3 N, 25.7 E).

Fig. 2. BC ship emissions and the mean modeled 2006 BC surface concentration over the Mediterranean Sea. Yellow EYR, brown EDG, light blue EMEDG, dark blue EMEDG-H.

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Fig. 5. Onboard ship measurements and measurements at Finokalia (35.3 N, 25.7 E) compared with model simulations for BC, mean surface concentration and standard deviation.

TM5 contribution of ships to 03 surface concentration [%], JJA 2006

Fig. 7. NO_x ship emissions and the mean modeled 2006 NO_x surface concentration over the Mediterranean Sea. Grey NON, yellow EYR, brown EDG, light blue EMEDG.

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Fig. 8. NO_x ship emissions and the mean modeled 2006 ozone surface concentration over the Mediterranean Sea. Grey NON, yellow EYR, brown EDG, light blue EMEDG, dark blue EMEDG_noVOC.

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Fig. 9. The mean NMVOC/NO_x concentration ratio and mean Ozone Production/Loss rate ratio over the Mediterranean Sea, annual mean 2006. Grey NON, yellow EYR, brown EDG, light blue EMEDG, dark blue EMEDG_noVOC.

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Fig. 10. Onboard ship measurements and station measurements compared with model simulations for surface ozone, mean surface concentration and standard deviation; (a) 1 January-3 March 2006, EM cruises and (b) 26 April-31 October 2006, WM cruises. Stations: Cabo de Creus (ES10), Giordan Lighthouse (MT01) and Finokalia (cruises and site coordinates see Fig. 1).

a. OMI resolution

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OMI JJA 2006 col no2 [1e15 molec/cm^2], different resolutions

Fig. 11. NO₂ columns over the Mediterranean, sea grid-boxes only, seasonal mean, JJA 2006:

(a) as retrieved from the OMI satellite, original resolution, (b) satellite data interpolated on the

model grid 1×1 degrees.

Fig. 12. NO₂ columns averaged over the Mediterranean, the Western and Eastern shipping routes as seen by satellite, seasonal mean and standard deviation, JJA, 2006.

