1 Evaluation of New Secondary Organic Aerosol Models for a Case

2 Study in Mexico City

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25 Supplementary Information Section

26 Section SI-1: List of abbreviations

27	AMS	Aerodyne aerosol mass spectrometer		
28	BBOA	Biomass burning organic aerosols		
29	BG-SOA	Background SOA		
30	DF	Dilution factor		
31	DOAS	Differential optical absorption spectroscopy		
32	FTIR	Fourier transform infrared spectroscopy		
33	G model	Glyoxal SOA model; uses Volkamer et al. (2007a)		
34		parameterization		
35	G-SOA	Glyoxal SOA		
36	G-SVOC _g	Measured gas-phase glyoxal		
37	GC-FID	Gas chromatography coupled to flame ionization detector		
38	НОА	Hydrocarbon-like organic aerosols		
39	IVOC	Intermediate volatility organic compound		
40	LIF	Laser induced fluorescence		
41	MCMA-2003	Mexico City Metropolitan Area 2003 field campaign		
42	MILAGRO-2006	Megacity Initiative: Local and Global Research		
43		Observations 2006 field campaign		
44	NR-PM ₁	Non-refractory submicron particulate matter		
45	NT model	Non-traditional SOA model; uses Robinson et al. (2007)		
46		parameterization		
47	NT-P-S/IVOC	Primary emitted S/IVOC in non-traditional SOA model		

48	NT-SOA	SOA formed in non-traditional SOA model		
49	NT-P-SVOC	Primary emitted SVOC in non-traditional SOA model		
50	NT-S-SVOC _g	Secondary gas-phase SVOC formed in non-traditional SOA		
51		model		
52	NT-S-SVOC _{g+p}	Total semivolatile (i.e. condensable) material formed in		
53		non-traditional SOA model; NT-SSVOC _{$g+p$} = NT-SOA +		
54		NT-SSVOC _g		
55	OA	Organic aerosols		
56	OOA	Oxygenated organic aerosols		
57	OOA-I	Fresher OOA		
58	OOA-II	More aged OOA		
59	PBL	Planetary boundary layer		
60	PMF	Positive matrix factorization		
61	POA	Primary organic aerosols		
62	P-S/IVOC	Primary emitted S/IVOC in non-traditional SOA model		
63	Q-AMS	Quadrupole-based Aerodyne aerosol mass spectrometer		
64	SOA	Secondary organic aerosols		
65	S/IVOC	Semi-volatile and intermediate volatility organic		
66		compounds		
67	SVOC	Semi-volatile organic compound		
68	$S/IVOC_{i,j,g^+p}$	Semivolatile material formed in NT model, where i is		
69		initial volatility bin, j is the oxidation generation of		

70		products from primary emitted S/IVOC, and g and p refers
71		to gas- and particle-phase, respectively.
72	VOC	Volatile organic compound
73	T model	Traditional SOA model; uses only Koo et al. (2003)
74		parameterization
75	T-SOA	SOA formed in traditional model
76	UMR	Unit mass resolution
77	UT model	Updated traditional SOA model; uses Koo et al. (2003)
78		parameterization for all species except for high-yield
79		aromatics for which Ng et al. (2007) parameterization is
80		used
81	UT-PVOC	Secondary product VOC (PVOC) formed in updated
82		traditional model
83	UT-SOA	SOA formed in updated traditional model
		SOA formed in updated traditional model
84	UT-SVOC _g	Secondary gas-phase SVOC formed in updated traditional
84 85	UT-SVOC _g	-
	UT-SVOC _g UT-SVOC _{g+p}	Secondary gas-phase SVOC formed in updated traditional
85	5	Secondary gas-phase SVOC formed in updated traditional model
85 86	5	Secondary gas-phase SVOC formed in updated traditional model Total semivolatile (i.e. condensable) material formed in

90 Section SI-2: Additional Support of PMF Results used in This Study

91 The HOA spectrum retrieved from PMF (shown in Figures SI-25a) is similar to 92 those of hydrocarbons and has a low oxygen-to-carbon atomic ratio (O/C), and its time 93 series correlates well with combustion tracers such as CO, NO_x, and EC, with ambient 94 ratios (e.g. HOA/CO) consistent with those determined by other methods and studies 95 (Zhang et al., 2005ab; Takegawa et al., 2006; Lanz et al., 2007, 2008; Ulbrich et al., 96 2008; Aiken et al., 2007, 2008a). For these reasons it is interpreted as a surrogate of 97 urban POA. Note that sources such as meat cooking and plastic burning produce AMS 98 spectra very similar to vehicle emissions (Mohr et al., 2008) and thus these and other 99 reduced OA primary sources are likely grouped into HOA by factor analysis.

100 OOA spectra have high oxygen content and show similarities to chamber SOA 101 spectra such as high m/z 44 and low signal at higher m/z (Figures SI-25b and SI-25c), and 102 typically correlate with photochemical products such as O₃, O_x, glyoxal, and ammonium 103 nitrate during periods dominated by SOA production (Zhang et al., 2005b; Volkamer et 104 al., 2006; Aiken et al., 2008a; Herndon et al., 2008). OOA correlates strongly with the 105 SOA estimated with up to four other independent methods (Kondo et al., 2007; 106 Takegawa et al., 2006; Aiken et al., 2008b; Docherty et al., 2008). For these reasons 107 OOA is interpreted as an SOA surrogate arising from urban precursors.

108 BBOA correlates well with biomass burning tracers, such as acetonitrile, 109 levoglucosan, elemental potassium and satellite fire counts (Aiken et al., 2008ab). The 110 case studies described here were characterized by low regional BB influence (from forest 111 and agricultural fires), as described later in this section, and thus the contribution of 112 regional BB to OOA should be very small. The emitted VOC and SOA formed from 113 urban burning sources such as biofuel use and trash burning are accounted for properly in 114 our analyses, since we use the measured VOC (from all sources) in the model. 115 Primary OA from regional biomass burning (forest and agricultural fires) can be an 116 important contributor to OA concentrations in Mexico City (Salcedo et al., 2006; Molina 117 et al., 2007; Aiken et al., 2008b). During MCMA-2003 the regional BB influence was 118 important during the last part of the campaign, from about April 23 to May 4, 2003 119 (Salcedo et al., 2006; Molina et al., 2007). Figure SI-26 shows the time series of several 120 variables and tracers related to biomass burning. The OA concentration is approximately

121 constant (besides a strong diurnal cycle) during the first part of the campaign and then 122 steadily increases during the BB-influenced period, together with the AMS proxy for 123 levoglucosan ("excess" m/z 60; m/z 60 / OA) and consistent with results from PMF. Fine 124 K arises from both biomass burning and dust sources (Johnson et al., 2006; Querol et al., 125 2008). The concentration of K is smallest at the very beginning of campaign and 126 increases significantly towards the end of the campaign. MODIS satellite fire counts (C. 127 Wiedinmyer, NCAR, pers. comm.) are consistent with these tracers and show very low 128 fire activity in the region around the MCMA from the beginning of April until the later 129 part of the month. Fire counts in all of Mexico also have a minimum in the period April 130 9-14.

131 BB tracers are low during the case study of April 9, 2003, as shown in Figure SI-132 27. In particular fine K reaches the *lowest value of the field campaign* during our case 133 study, and m/z 60 does not show an enhancement that would be indicative of fire 134 influence. Fire counts in the MCMA and surrounding mountains are zero. The period 135 around our case study was cloudy, which could bias the fire counts low if the thermal 136 signal from a fire is blocked by a cloud. However clouds are also associated with rain and 137 reduced radiation and thus fires are less likely under clouds. We are only aware of one 138 study which has quantified this effect: Schroeder et al. (2008) reported that the 139 probability of fires below clouds in the Amazon was about 1/4-1/3 of the probability of fires when clouds were absent, indicating that the potential bias of the MODIS fire counts 140 141 due to cloud presence is small. Taken together these pieces of evidence strongly 142 suggested that our case study was not affected by primary emissions from regional 143 biomass burning.

144 Zinc (Zn) from PIXE measurements is a tracer for industrial emissions in Mexico 145 City. Mass loadings of Zn follow a different pattern than the BB tracers (Johnson et al., 146 2006), with a minimum during the weekend of the holy week holiday period (April 18-147 20), consistent with an industrial source. April 9, 2003 shows the highest levels of Zn 148 during MCMA-2003 in the early morning sample (Figure 1d). However, Zn loadings fall 149 to a low value for 12-6 pm, indicating that the industrial emissions associated with high 150 Zn loadings in early morning were not present later in the day, and that the increase is 151 OOA was unrelated to the sources of Zn.

- 152 Finally, note that if some of the locally-formed SOA arises from precursors
- 153 emitted from biomass burning sources (either urban or regional), it should be accounted
- 154 for by our model. Grieshop et al. (2008) report on chamber aging experiments of wood
- smoke, and conclude that a traditional SOA model using the measured gas-phase species
- 156 underpredicts the SOA formed in the aging of biomass burning emissions by a factor of
- 157 5. The main SOA precursors according to the traditional model are light aromatic and
- 158 monoterpenes, which are also included in our model. Grieshop et al. (2008) conclude that
- 159 the rest of the observed SOA arises from a NT-SOA mechanism, which should also be
- 160 accounted for in our model since the S/IVOC volatility distribution of wood smoke is
- similar to that from engine exhaust (Lipsky and Robinson, 2006; Shrivastava et al.,
- 162 2006).

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Lumped precursor species name	Measured precursor species	<i>k_{OH}</i> (<i>k_{O3}</i>) (cm ³ molec ⁻¹ s ⁻¹)	Stoichiometric SOA yield, α (dimensionless)	c* (300 K) (μg m ⁻³)
One-product precurso	ors and the second s		•	
AAR3	Methylcyclopentane	8.43×10^{-12}	0.004	1.35
	Cyclohexane			
	Methylcyclohexane			
	C7-Cycloparaffins			
	n-Heptane, Heptanes isomers			
	n-Octane, Octane isomers			
	n-Nonane, Nonane isomers			
AAR4	>C8-Cycloparaffins	1.23×10^{-11}	0.014	1.80
	n-Decane, Decane isomers			
	Undecane isomers			
	>C12-isomers			
OLE1	Propene	3.16×10 ⁻¹¹ (8.92×10 ⁻¹⁸)	0.002	0.90
	1-Butene			
	1-Pentene, 1-Pentene isomers			
	1-Hexene isomers			
OLE3	1,3-Butadiene	$6.59 \times 10^{-11} (1.21 \times 10^{-16})$	0.004	1.12
	2-Pentene isomers			
	2-Hexene isomers			
	Cyclopentene			
	>=Cyclohexene			
C7OL	Heptene isomers	6.34×10 ⁻¹¹ (1.15×10 ⁻¹⁶)	0.013	1.35
C8OL	Octene isomers	6.34×10 ⁻¹¹ (1.15×10 ⁻¹⁶)	0.035	1.58
C9OL	Nonene isomers	6.34×10 ⁻¹¹ (1.15×10 ⁻¹⁶)	0.044	1.58
PHEN	Phenol	1.63×10-11	0.031	1.35

Table SI-1: Speciation and assumptions of UT model (adapted from Koo et al., 2003 and Ng et al., 2007).

Lumped precursor species name	Measured precursor species	<i>k_{OH}</i> (<i>k_{O3}</i>) (cm ³ molec ⁻¹ s ⁻¹)	Stoichiometric SOA yield, α (dimensionless)	c*(300 K) (μg m ⁻³)
One-product precurso	brs – cont.			
BALD	Benzaldehyde	1.15×10 ⁻¹¹	0.0008	1.58
	Aromatic aldehydes			
CRES	Cresols	4.1×10 ⁻¹¹	0.034	1.58
ISOP ¹	Isoprene	$1.02 \times 10^{-10} (1.28 \times 10^{-17})$	0.015	0
Two-products precurs	sors			
TERP	Terpenes	5.37×10 ⁻¹¹ (8.52×10 ⁻¹⁷)	High-NO _x P1: 0.038	3.35
			High-NO _x P2: 0.326	143.2
ARO1 ²	i) Toluene	i) 5.96×10 ⁻¹²	High-NO _x P1: 0.058	2.54
	ii) Ethylbenzene	ii) 9.57×10 ⁻¹² ;	High-NO _x P2: 0.113	23.28
	i-, n-Propylbenzene		Low-NO _x : 0.36	N/A
	i-Butylbenzene			
	o-, m-, p-Ethyltoluene			
	Diethylbenzene isomers			
BENZ	Benzene	1.23×10 ⁻¹²	High-NO _x P1: 0.072	0.33
			High-NO _x P2: 0.888	121.63
			Low-NO _x : 0.37	N/A
$ARO2^2$	i) 1,2,3-, 1,2,4-, 1,3,5-	i) 4.32×10 ⁻¹¹ ;	High-NO _x P1: 0.031	1.44
	Trimethylbenzene	ii) 2.36×10 ⁻¹¹ ;	High-NO _x P2: 0.09	39.47
	ii) m-xylene	iii) 1.43×10 ⁻¹¹ ;	Low-NO _x : 0.30	N/A
	iii) p-xylene	iv) 5.20×10 ⁻¹¹ ;		
	iv) Styrene	v) 2.30×10 ⁻¹¹		
	v) Naphthalene			
	Methylnaphthalene isomers			
	Other naphthalenes			

- 189 ΔH_{vap} for all SOA products, except low-NO_x SOA products, is 36 kJ mol⁻¹ (Volkamer et al., 2006). Low-NO_x SOA products are
- 190 considered non-volatile (c*=0).
- ¹Soprene aerosol yields are adopted from Kroll et al., 2005b.
- 2 ARO1 and ARO2 are defined after original Koo et al. (2003) lumping as AAR5 + toluene, and AAR6 + AAR7, respectively.

Lumped S/IVOC	Lumped S/IVOC fraction of total (%)	ΔH_{vap} (kJ mol ⁻¹)	c* (300 K) (µg m ⁻³)
NT-P-S/IVOC _{1,g+p}	1.2	112	0.01
NT-P-S/IVOC _{2,g+p}	2.4	106	0.1
NT-P-S/IVOC _{3,g+p}	3.6	100	1
NT-P-S/IVOC _{4,g+p}	5.6	94	10
NT-P-S/IVOC _{5,g+p}	7.2	88	100
NT-P-S/IVOC _{6,g+p}	12	82	1000
NT-P-S/IVOC _{7,g+p}	16	76	10000
NT-P-S/IVOC _{8,g+p}	20	70	100000
NT-P-S/IVOC _{9,g+p}	32	64	1000000

Table SI-2: Assumptions of the NT model (Robinson et al., 2007).

All species are reacting only with OH⁻, with $k_{OH} = 4 \times 10^{-11} \text{ cm}^3 \text{ molec}^{-1} \text{ s}^{-1}$.

Table SI-3: Gas-particle distribution of primary emissions S/IVOC at different

197 temperatures and OA concentrations.

Т (%С)	$a_{1}(u_{1}a_{2}m^{-3})$	Gas-phase	Gas-phase / particle-
T (°C)	<i>c_{0A}</i> (µg m ⁻³)	Fraction (%)	phase Ratio
20	10	87	6.7
20	1	93	13.3
20	0.1	96	24.0
0	10	79	3.8
0	1	87	6.7
0	0.1	92	11.5
-20	10	67	2.0
-20	1	77	3.3
-20	0.1	85	5.7

199 Supplementary Information Figure Captions

200 Figure SI-1: HOA and OOA results from custom principal component analysis method

- 201 (CPCA; Zhang et al., 2005a) used in Volkamer et al. (2006) and from the PMF method
- used in this paper.
- 203 Figure SI-2: Size distributions of the selected AMS species during April 9, 2003 for the
- following time periods: 5 am 8 am (red), 9 am 12 pm (yellow), 12 pm 3 pm (green),
- 205 and 3 pm 6 pm (blue).
- **Figure SI-3**: Data used to calculate primary particle-phase emissions in NT model:
- 207 temperature (Fig SI-3a), planetary boundary layer (PBL) height (Fig. SI-3b), ratio of the
- 208 P-S/IVOC mass in gas vs. particle-phase according to the equilibrium calculation with
- the HOA concentration and temperature and the parameters from Robinson et al. (2007)
- 210 (SI-6ac), and estimated HOA ("interpolated HOA") (Fig. SI-3d). Also shown in Figure
- 211 SI-3d are calculated primary emissions per time bin (10 min) Δ HOA. Note that the first
- 212 point in the Δ HOA time series (Fig. SI-3d) (1.69 µg m⁻³ 10min⁻¹, or ~10% of the total
- Δ HOA) is to account for the P-S/IVOC in equilibrium with the HOA already present at
- 214 that point when our simulation starts. The variations in Δ HOA are coming from point-to-
- 215 point variations in PBL height.

Figure SI-4: Comparison of the relative time dependence of measured AMS OOA and six different variants of the T and UT models also shown in Fig. 4. The model SOA is multiplied by a different factor in each case in order to allow the evaluation of the relative time dependence.

Figure SI-5: Parameters related to the calculation of the low- and high-NO_x branching ratio for the UT model. Panel (a): measured NO concentration. Panel (b): measured HO_2 .

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225 Figure SI-6: Concentrations of lumped model species for UT-SOA (Fig. SI-6a), UT-226 SVOC_g (Fig. SI-6b) and UT-PVOC (Fig. SI-6c) at 2 pm during our case study. 227 Figure SI-7: Comparison of measured OOA and model SOA for selected runs of T 228 model (T₃-SOA and UT₁-SOA) for four other days of MCMA-2003. Also shown are the 229 T₃-SOA and UT₁-SOA results for April 9, 2003 simulations (Fig. 4 of main text). 230 Figure SI-8: Concentrations of lumped species from the NT model at 2 pm during our 231 case study. The upper three panels show NT-SOA and the lower three panels show NT-S-232 SVOC_g. The secondary NT-SOA (pink) and NT-S-SVOC_g (blue) are stacked on top of 233 the primary model species NT-POA (gray) and NT-P-S/IVOC_g (dashed gray). Since the 234 distribution of these species is really two-dimensional, we have lumped them in three 235 different ways for one-dimensional representation: the left panels lump the species by the 236 number of oxidation steps undergone, the middle panels by the current saturation 237 concentration of the species, and the right panels by the initial lumped bin of the species 238 (which is directly related to the initial saturation concentration). 239 Figure SI-9: Left: evolution of gas- and particle-phase species during April 10, 2003. 240 Right: total model species compared to measured species for April 10, 2003. 241 Figure SI-10: Left: evolution of gas- and particle-phase species during April 13, 2003. 242 Right: total model species compared to measured species for April 13, 2003. 243 Figure SI-11: Changes in speciation of model SOA and gas-phase species due to 244 evaporation and condensation under equilibrium ("model thermal denuder") for 2 pm

species in our case study. Fig. SI-11a and b: UT model species. Fig. SI-11c and d: NT
model species binned by current volatility.

Figure SI-12: Results of kinetic evaporation calculation for model thermal denuder when

- evaporation coefficient is decreased from 1 (base-case) to 0.1, 0.01 and 0.001. Each panel
- compares the model base-case equilibrium (Fig. 10a) and kinetic (Fig. 10b) calculations
- to the kinetic calculations with evaporation coefficients 0.1, 0.01 and 0.001. Fig. SI-12a:
- 251 NT model POA; Fig. SI-12b: total model SOA; Fig. SI-12c: NT model SOA; Fig. SI-12d:

UT model SOA.

- 253 Figure SI-13: Results of kinetic evaporation calculation for model thermal denuder. Left:
- results when ΔH_{vap} of UT model is changed to 100 kJ mol⁻¹. Right: results when ΔH_{vap} of
- 255 NT model is changed to 36 kJ mol^{-1} .
- 256 Figure SI-14: Results of kinetic calculation for model thermal denuder for different
- residence times: 3s (left) and 22s (right).
- **Figure SI-15**: Results of kinetic evaporation calculations with evaporation coefficient = 1
- for changing particle size for \pm 200 nm. Fig. SI-15a: NT model POA; Fig. SI-15b: total
- 260 model SOA; Fig. SI-15c: NT model SOA; Fig. SI-15d: UT model SOA.
- 261 Figure SI-16: Results of kinetic evaporation calculations with evaporation coefficient =
- 262 0.1 for changing particle size for \pm 200 nm. Fig. SI-16a: NT model POA; Fig. SI-16b:
- total model SOA; Fig. SI-16c: NT model SOA; Fig. SI-16d: UT model SOA.
- Figure SI-17: Changes in model species upon dilution for species present at 2 pm during
- our case study. Fig. SI-17a and b: UT model species. Fig. SI-17c and d: NT model
- species binned by current volatility.

Figure SI-18: Results of alternative dilution case study when the background SOA
concentration is zero.

269 Figure SI-19: Results of alternative dilution case study when the background SOA

270 concentration is $1 \ \mu g \ m^{-3}$.

271 Figure SI-20: Changes in model species upon aging without dilution, starting with the

speciation at the end of our case study at 2 pm. Left panels: UT model species. Right

273 panels: NT model species binned by current volatility.

Figure SI-21: Results of sensitivity studies of the NT model when different amounts of

275 IVOC are specified. Upper panels: SVOC : IVOC = 1 : 1 (left: SOA; right: gas-phase

species). Middle panels: SVOC : IVOC = 1 : 3. Bottom panels: SVOC : IVOC = 1 : 1.5

277 (base case).

Figure SI-22: Model SOA base case results with the updated NT model parameters

279 (Grieshop et al., 2008).

280 Figure SI-23: Volatility of each model SOA as well as total model SOA in kinetic

calculation for the updated NT model parameters (Grieshop et al., 2008).

282 Figure SI-24: Atomic O/C ratios for model SOA species with the updated NT model

283 parameters (Grieshop et al., 2008).

Figure SI-25: Comparison of spectra from PMF output and characteristic spectra from

the AMS spectral database (Ulbrich et al., 2008). Fig. SI-25a: AMS database spectrum of

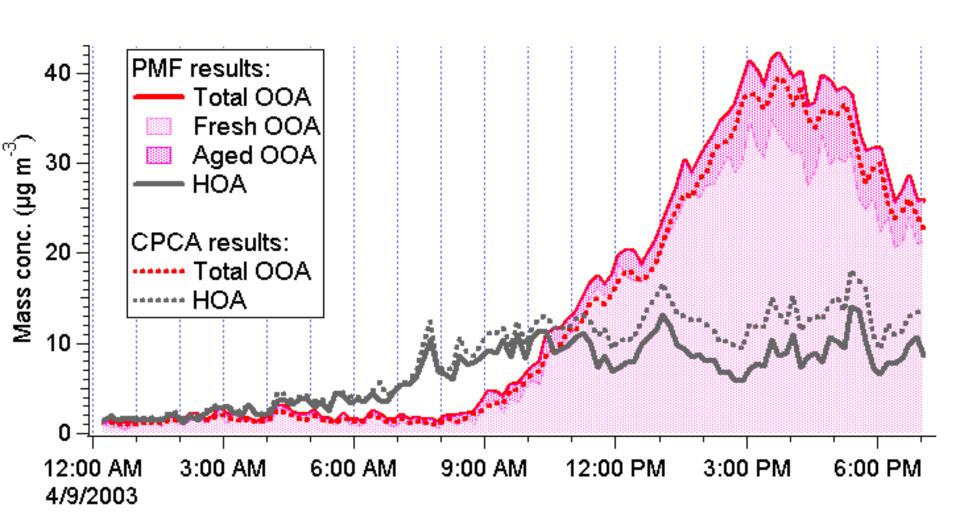
286 diesel exhaust (Canagaratna et al., 2004) vs. HOA spectrum from our case study. Fig. SI-

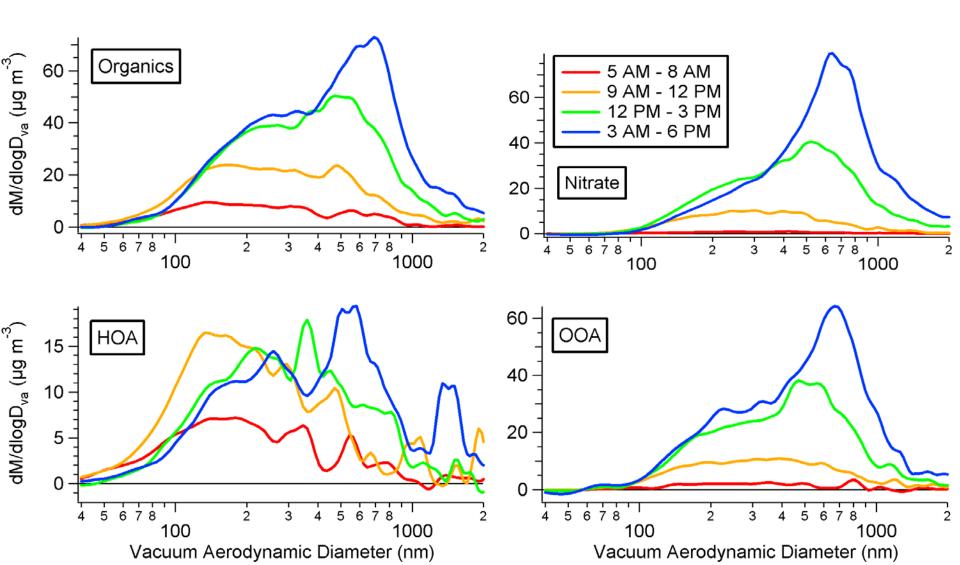
287 25b: AMS database spectrum of fulvic acid (Alfarra, 2004) vs. OOA-I spectrum from our

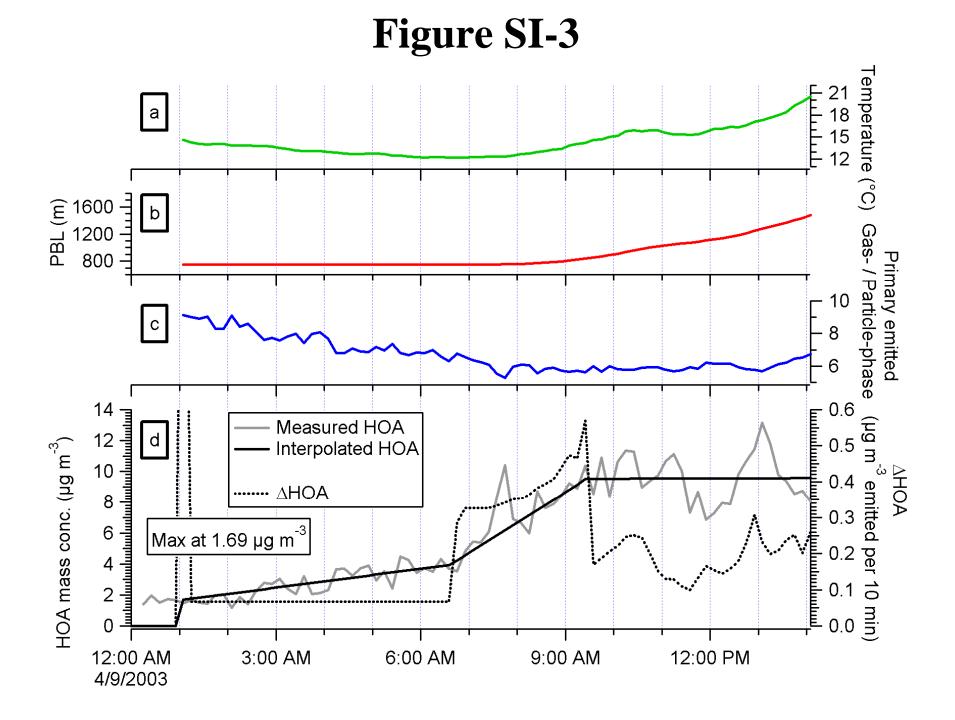
288 case study. Fig. SI-25c: AMS spectrum of SOA from toluene photooxidation (Aiken et

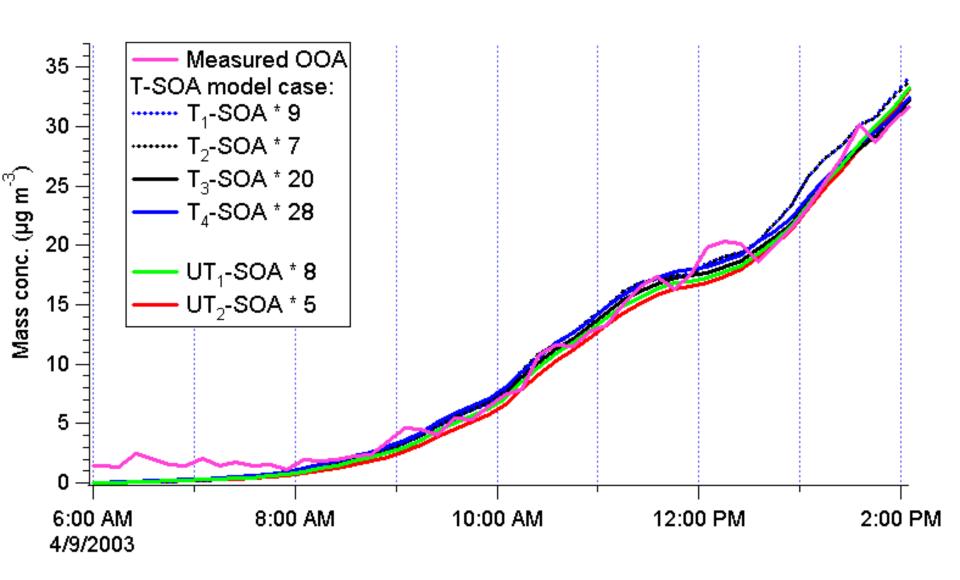
al., 2008a) vs. OOA-II spectrum from our case study.

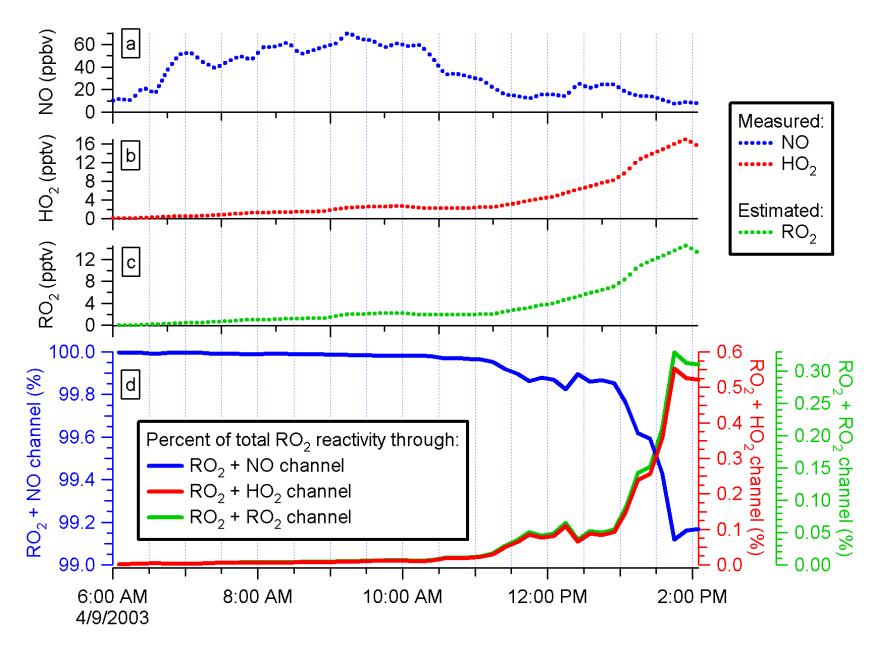
290	Figure SI-26: (a) Time series for all of the MCMA-2003 campaign for the following
291	variables: AMS total OA, AMS proxy for levoglucosan ("excess m/z 60", m/z 60 / OA),
292	MODIS satellite daily fire counts in the region encompassing the MCMA and the
293	surrounding mountains and all of Mexico, and PIXE elemental potassium (K) and zinc
294	(Zn) from two impactor stages that capture particles in the size range 70-1150 nm.
295	Figure SI-27: (a) Time series during the period of April 9, 2003 case study for the
296	following variables: AMS total OA, AMS proxy for levoglucosan ("excess m/z 60", m/z
297	60 / OA), MODIS satellite daily fire counts in the region encompassing the MCMA and
298	the surrounding mountains and all of Mexico, and PIXE elemental potassium (K) and
299	zinc (Zn) from two impactor stages that capture particles in the size range 70-1150 nm.
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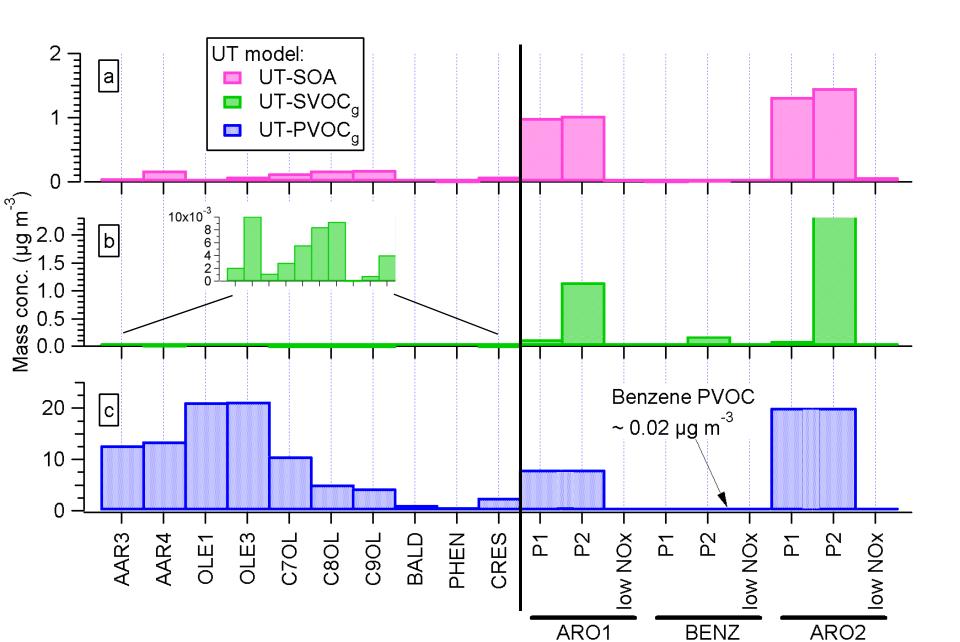


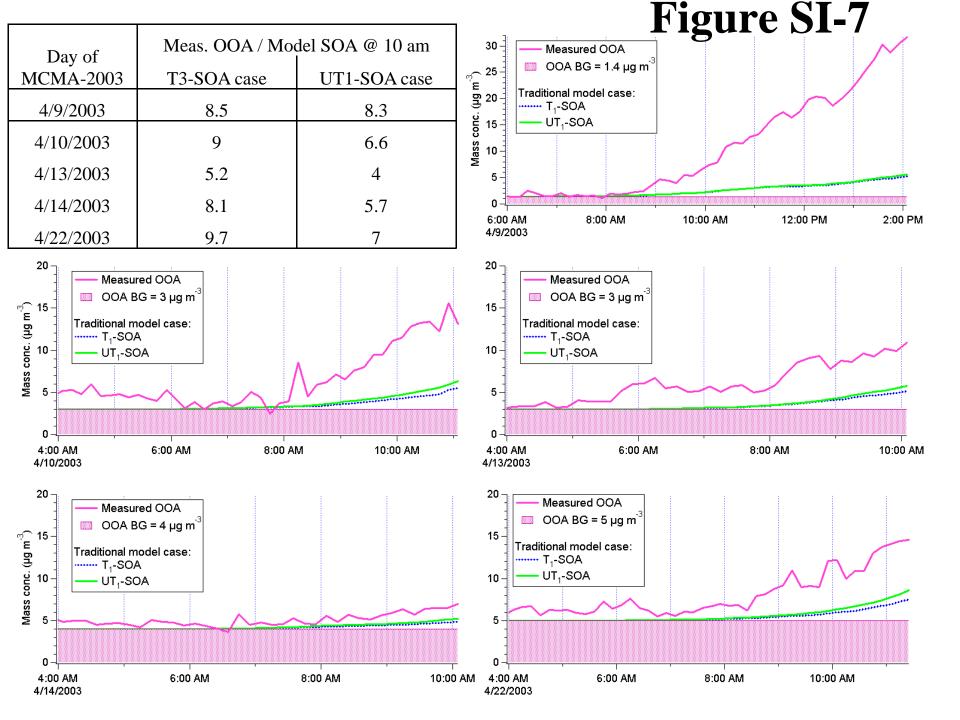


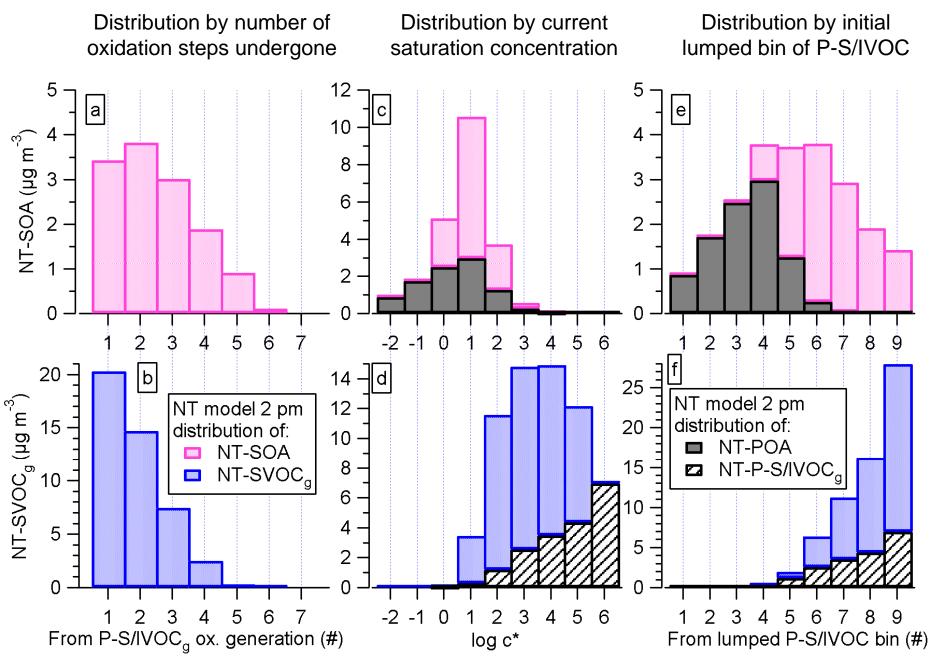


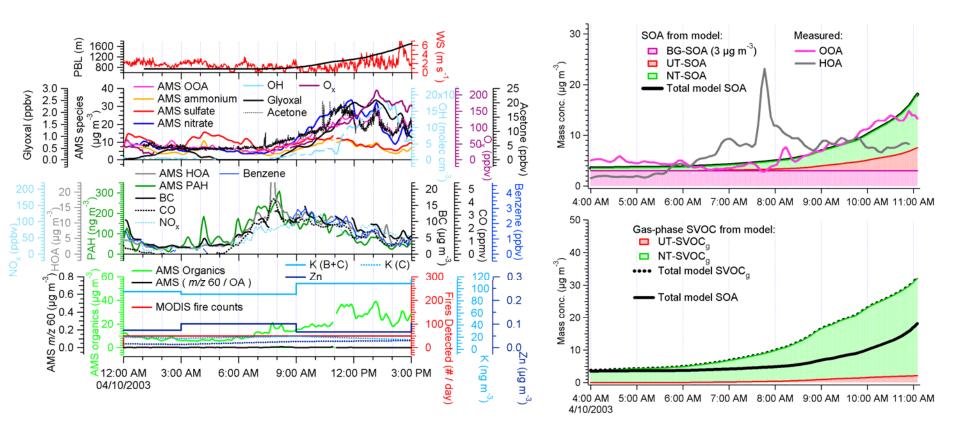


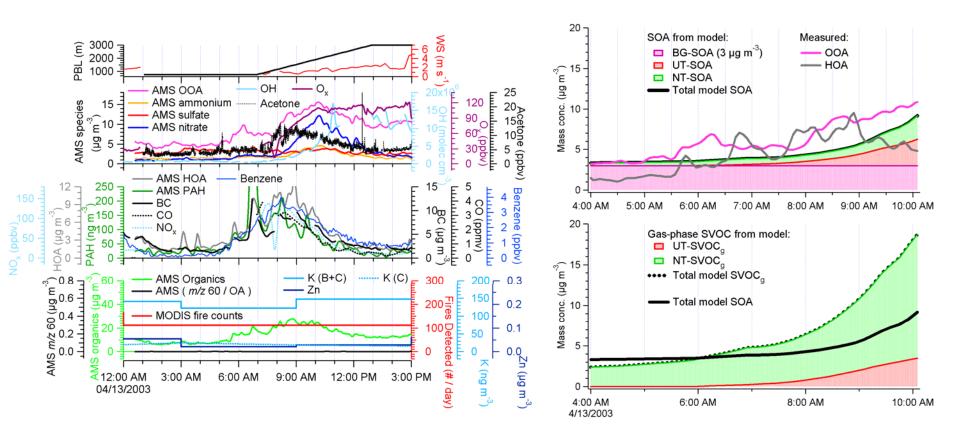


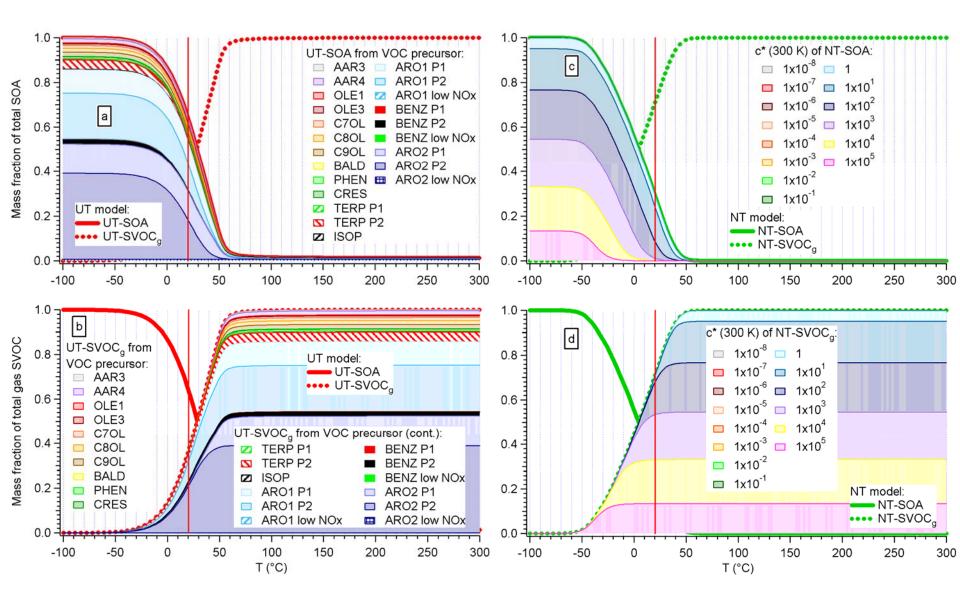


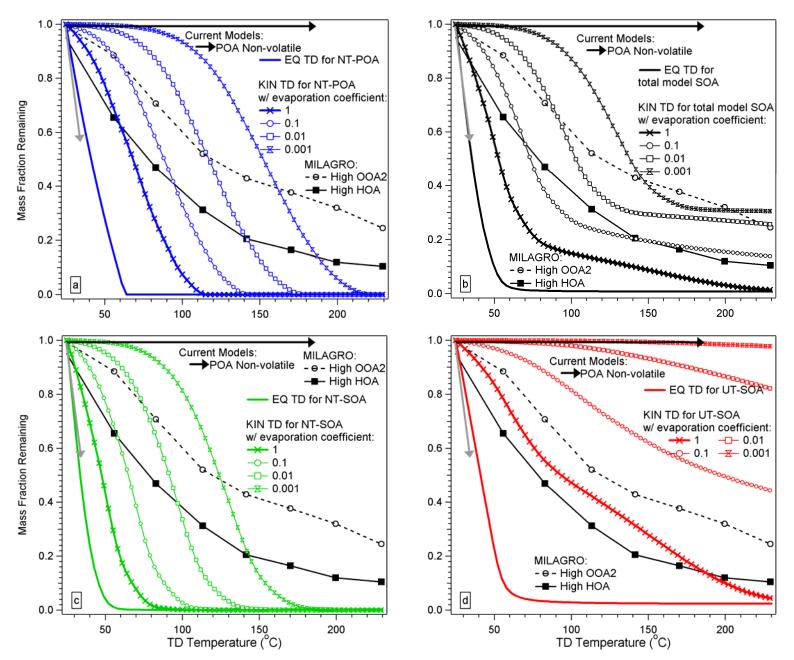


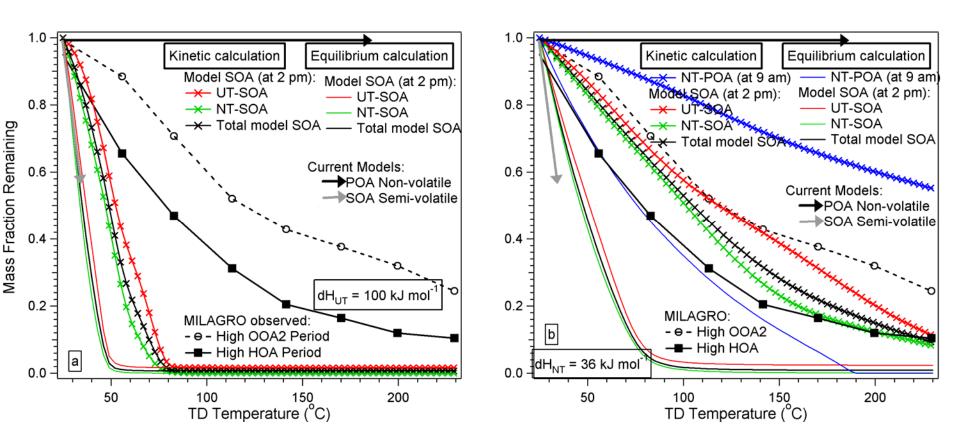


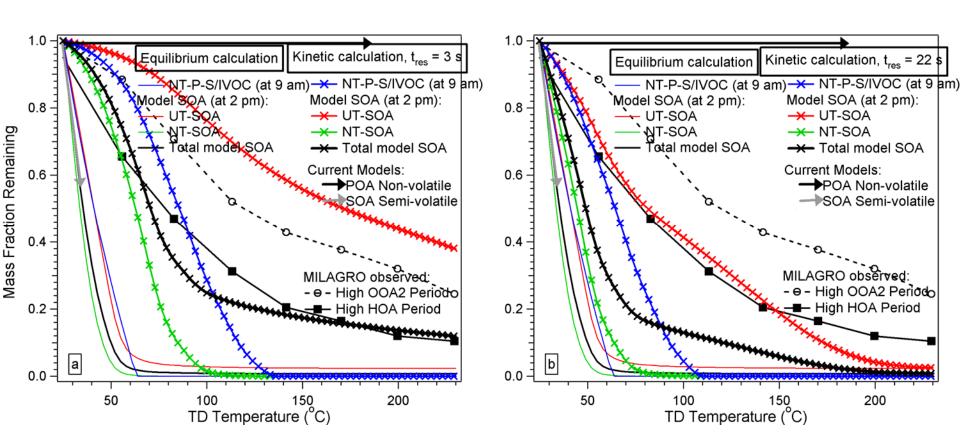


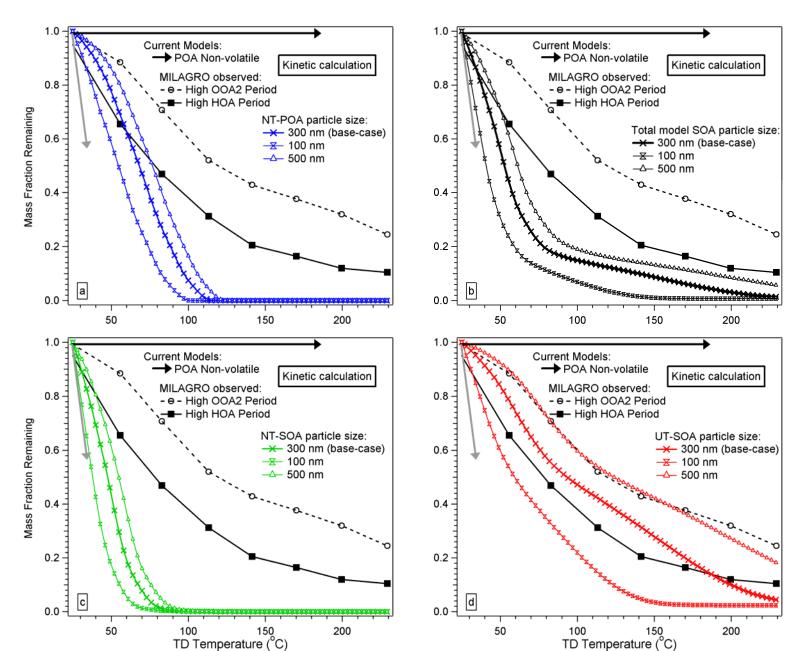


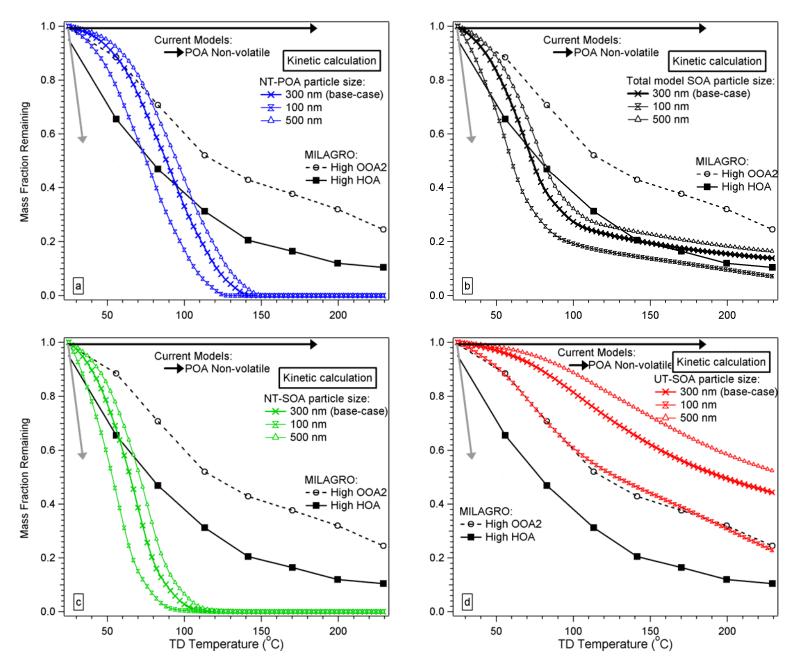


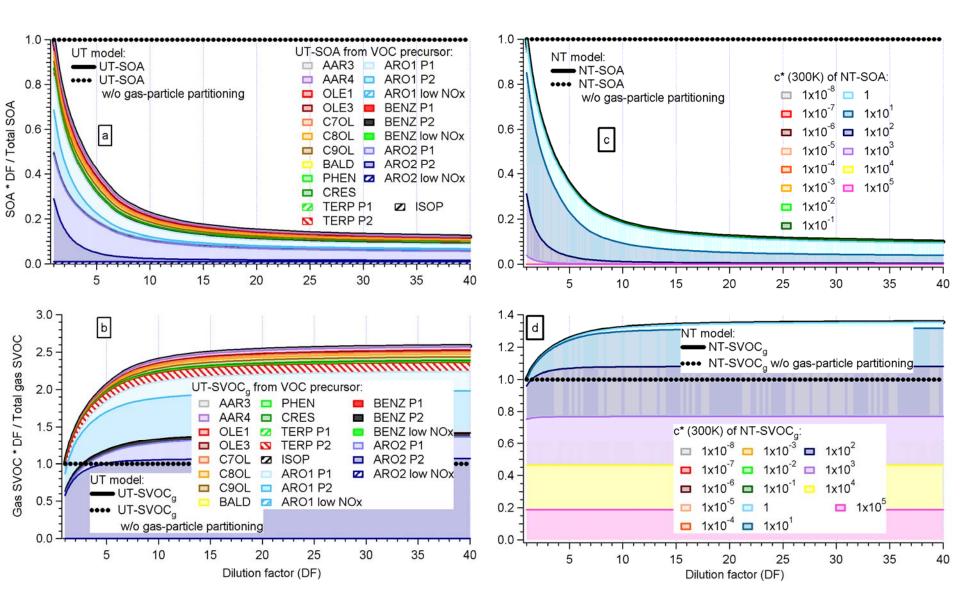


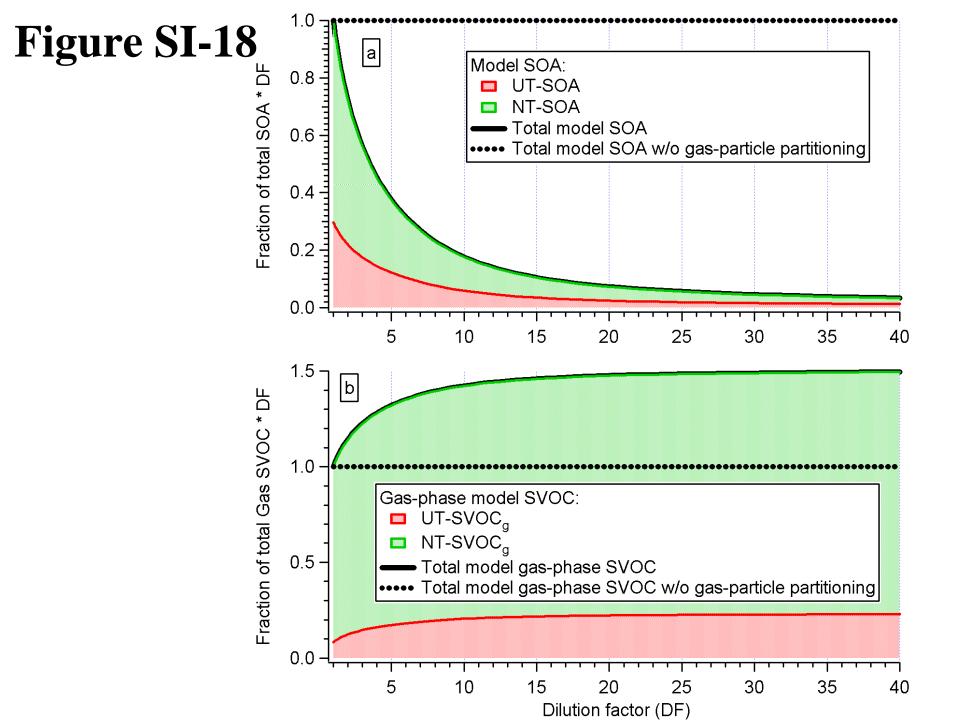


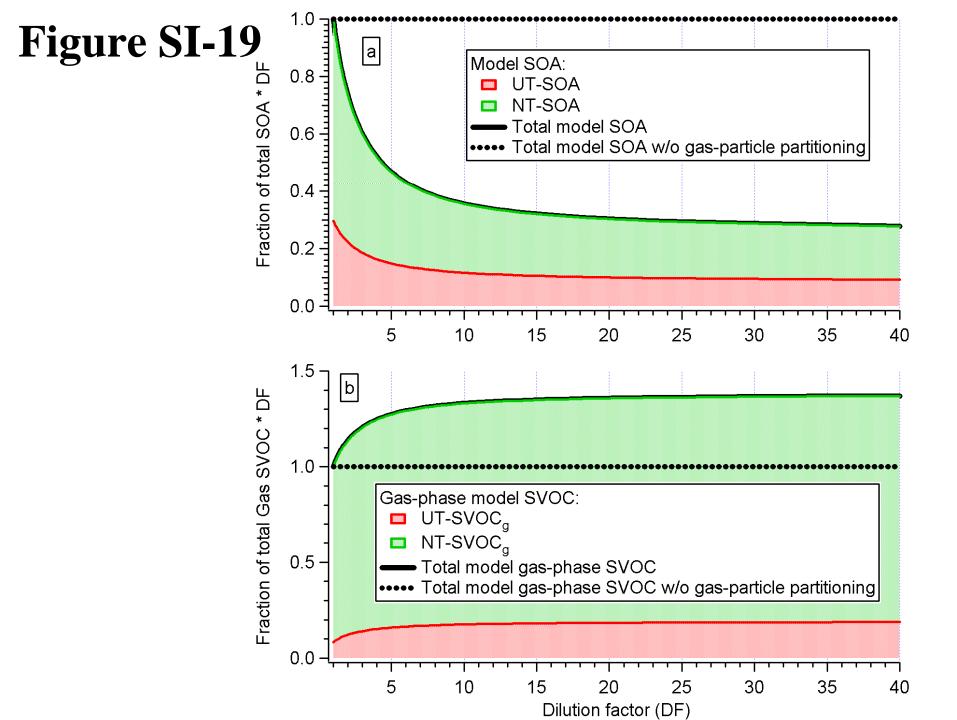


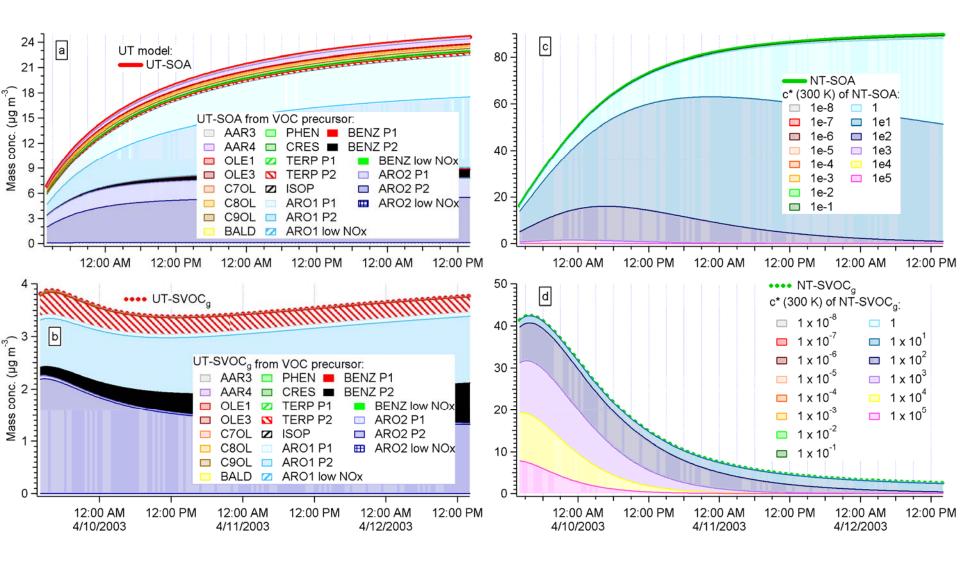


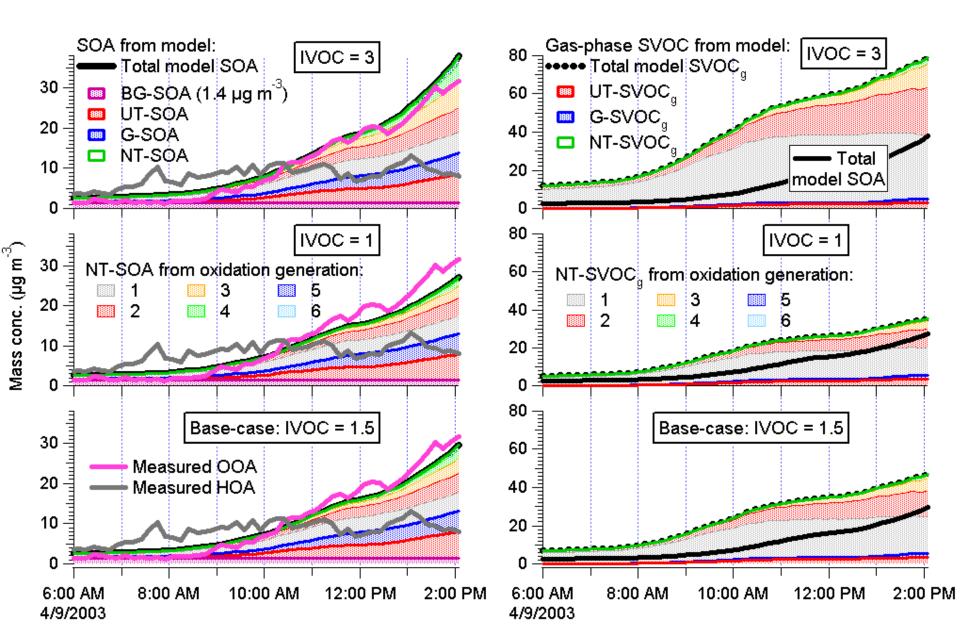


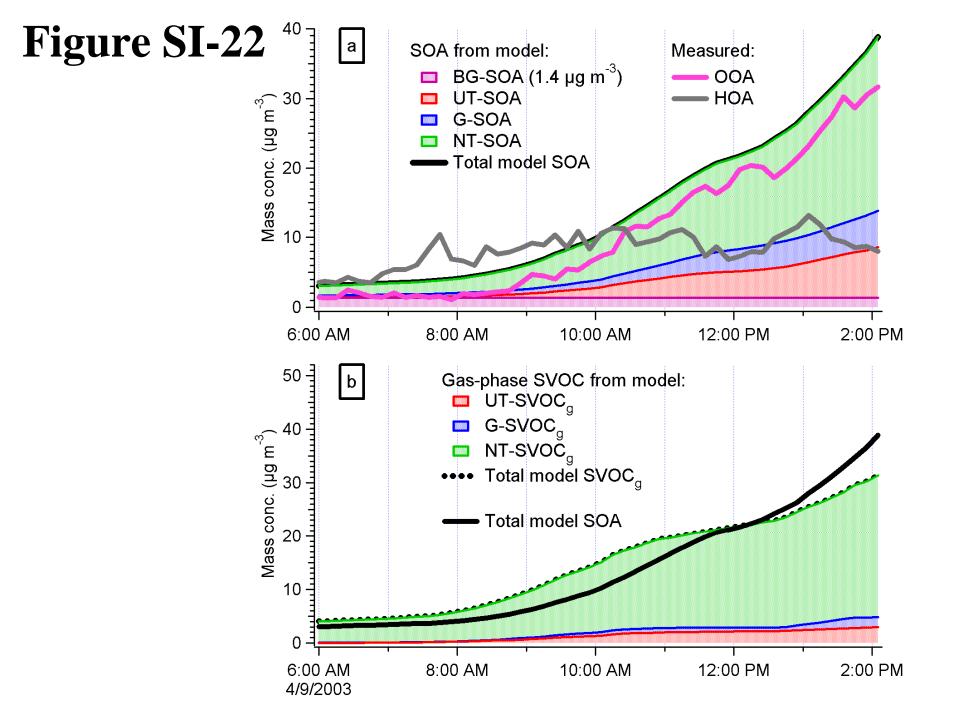


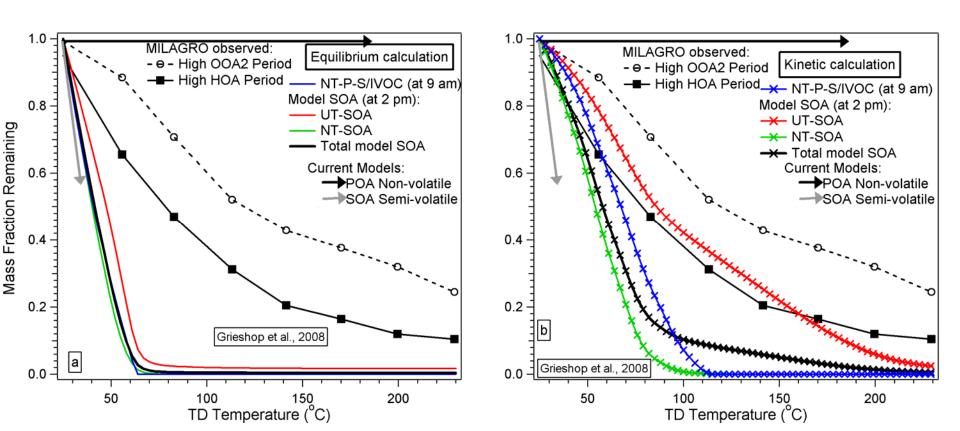


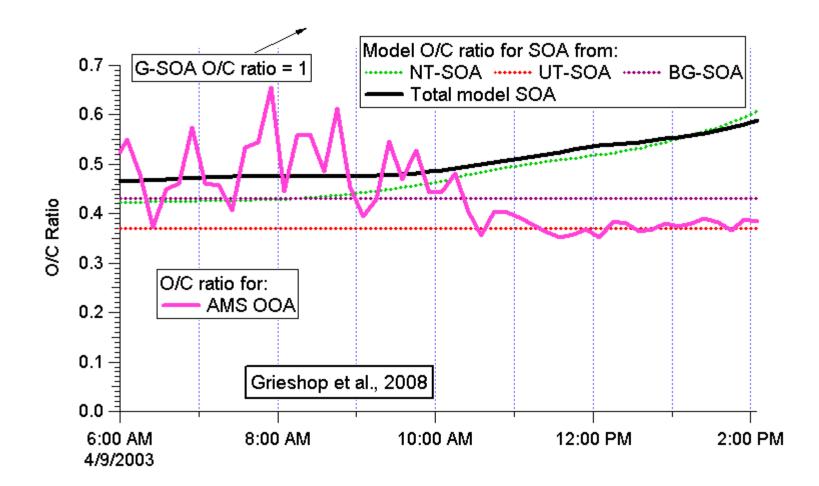


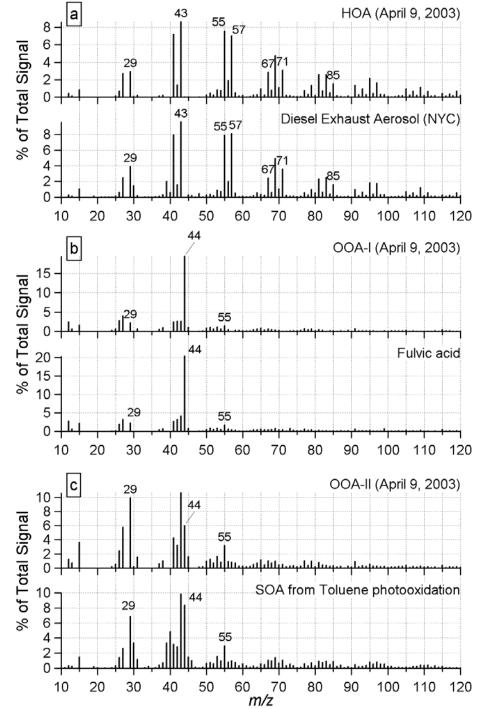


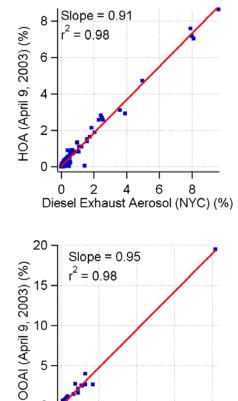


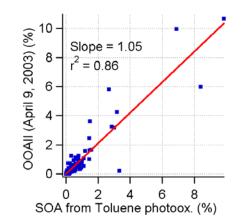












5 10 15 Fulvic acid (%)

