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Widening the gap between measurement and modelling of secondary organic aerosol properties?

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Abstract

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The link between measured sub-saturated hygroscopicity and cloud activation potential of secondary organic aerosol particles produced by the chamber photo-oxidation of α -pinene in the presence or absence of ammonium sulphate seed aerosol was investigated using two models of varying complexity. A simple single hygroscopicity parameter model and a more complex model (incorporating surface effects) were used to assess the detail required to predict the cloud condensation nucleus (CCN) activity from the sub-saturated water uptake. Sub-saturated water uptake measured by three hygroscopicity tandem differential mobility analyser (HTDMA) instruments was used to determine the water activity for use in the models. The predicted CCN activity was compared to the measured CCN activation potential using a continuous flow CCN counter.

Reconciliation using the more complex model formulation with measured cloud activation required widely different assumed surface tension behavior of the growing droplet; this was entirely determined by the instrument used as the source of water activity data. This unreliable derivation of the water activity as a function of solute concentration from sub-saturated hygroscopicity data indicates a limitation in the use of such data in predicting cloud condensation nucleus behavior of particles with a significant organic fraction. Similarly, the ability of the simpler single parameter model to predict cloud activation behaviour was dependent on the instrument used to measure sub-saturated hygroscopicity and the relative humidity used to provide the model input. However, agreement was observed for inorganic salt solution particles, which were measured by all instruments in agreement with theory.

The difference in HTDMA data from proven instruments means that it cannot be stated with certainty the detail required to predict the CCN activity from sub-saturated hygroscopicity. In order to narrow the gap between measurements of hygroscopic growth and CCN activity the processes involved must be understood. It is impossible to say from the results presented here whether: i) Surface tension suppression occurs

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ii) Bulk to surface partitioning is important iii) The water activity coefficient changes significantly as a function of the solute concentration.

1 Introduction

Aerosol in the atmosphere comprises numerous and diverse components originating from both natural and anthropogenic activities. In addition to the already well-established presence of the major inorganic components, studies over the last few decades have identified that a significant fraction of aerosol is composed of organic material in a variety of locations across the world e.g. (Andreae et al., 1988; Middlebrook et al., 1998; Zhang et al., 2007; Heald et al., 2008). A large proportion of this can be secondary; that is, formed or transformed in the atmosphere (Kanakidou et al., 2005; Hallquist et al., 2009), in large part by interaction with gaseous components. The composition of secondary organic aerosol (SOA) in the atmosphere is complex and this may be expected to propagate into the properties and behavior. However, since it may comprise a large fraction of the ambient aerosol, SOA will significantly contribute to the aerosol direct and indirect effects on climate and weather (Haywood and Boucher, 2000; Forster et al., 2007). Quantification of SOA formation, transformation and properties is important in adequately accounting for its effects. Most specifically, it is necessary to quantify the optical properties, hygroscopicity and cloud droplet activation behavior of SOA and how they relate to each other. The relationship between these last two properties is the focus of this work.

The reactions leading to SOA formation are complex and may proceed via multiple pathways, forming numerous oxygenation products from each of many potential parent volatile organic compounds (VOCs) such as α -pinene (Jenkin, 2004). Photochemical reaction chamber studies have been used to explore specific atmospheric processes with reduced complexity (Baltensperger et al., 2005; Carter et al., 2005). With respect to aerosol processes, it has been shown that it is necessary to work at conditions and concentrations approaching those found in the atmosphere to more closely repli-

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cate particle composition and behavior: The effects of VOC to NO_x ratio (Presto et al., 2005b), water vapour concentration (Seinfeld et al., 2001) and photochemical aging (Presto et al., 2005a) have all been shown to affect SOA formation. Duplissy et al. (2008) showed that decreasing the initial VOC concentration towards atmospheric levels altered the aerosol composition and the hygroscopic properties. It is not entirely clear which aspects of atmospheric processes must be studied under conditions of increased complexity to enable extrapolation of experimental results to provide atmospherically relevant representations. In order to simulate atmospheric processes it is important that those dominating behavior in the artificial environment are the same as those in the atmosphere. This has hitherto been attempted by creating conditions in the chamber with atmospherically realistic ratios and concentrations of the major oxidising agents and precursors.

Describing the aerosol water uptake in the warm moist atmosphere (both cloud-free and in-cloud) requires an adequate description of the equilibrium behavior of a liquid solution droplet with respect to the water content of its environment. In bulk solution Raoult's law describes the relevant thermodynamic quantity, the water activity (a_w). The influence of curvature relevant to activation of sub-micron aerosol particles is captured in the Kelvin term (incorporating the effect of surface tension on equilibrium droplet size). These are combined in the primitive form of the Köhler equation (Köhler, 1936; McFiggans et al., 2006), relating the equilibrium droplet size to the saturation ratio of water (or the relative humidity):

$$S = \frac{\text{RH}}{100} = a_w \exp K_e = a_w \exp \left(\frac{4\sigma_w \sigma_{\text{sol}}}{RTD} \right) \quad (1)$$

where S is the saturation ratio, RH is the relative humidity, a_w is the water activity, K_e is the Kelvin factor, σ_w is the partial molar volume of water, σ_{sol} is the surface tension of the solution at the droplet composition, R is the universal gas constant, T is the droplet temperature and D is the particle diameter.

It is extremely difficult to directly measure all the individual variables in Eq. (1), and a general analytical solution to the relationship is generally not accessible or practical.

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Simplification of the Köhler equation is therefore required to relate sub- and supersaturated aerosol behavior. Simplification is achieved in different model solutions by treating varying amounts of the thermodynamics and applying empirical parameterisations. The primitive Köhler equation can be simplified for example by assuming ideal behavior, solubility is often considered assuming fractions of the particle are either insoluble or fully soluble and surface tension is sometimes assumed to be that of pure water. All these assumptions and more are reviewed in (McFiggans et al., 2006).

The sub- and supersaturated properties may be described solely by the quantities included in, and the relationship defined by the Köhler equation (Eq. 1). Measurements of these properties should therefore be consistent with predictions made with a suitably accurate and precise form of the relationship. This manuscript is concerned with testing the consistency of such measurements with predictions made using two different levels of simplification of the Köhler equation. Focusing on the simplest form of the expression, a working hypothesis may be framed as follows: supersaturated behavior can be accurately predicted from measured sub-saturated behavior using a single parameter to represent hygroscopicity in the Köhler equation, assuming the surface tension is always that of water. The study described in this paper uses measurements of sub- and supersaturated hygroscopicity during chamber photo-oxidation experiments to address this hypothesis.

Photo-oxidation experiments were performed in the presence and absence of an inorganic seed. Two models were used to parameterise the sub-saturated water uptake of the aerosol formed and predict their potential cloud condensation nuclei (CCN) activity, the Aerosol Diameter Dependent Equilibrium Model (ADDEM) (Topping et al., 2005a,b) and the “Kappa Köhler Model” (κ -model) (Petters and Kreidenweis, 2007).

ADDEM is used to investigate the effect of surface tension, molecular weight, density and bulk-surface partitioning whilst deriving the a_w from hygroscopicity tandem differential mobility analyser (HTDMA) measurements. The κ -model has the advantage of being relatively simple; it will be used with the mean hygroscopic growth factors at a single RH from the HTDMAs as the input, to predict the CCN activity. The model

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predictions will then be compared to the measured CCN activity.

2 Methods

2.1 Experimental design

The experiments in this study were performed at the Paul Scherrer Institute (PSI) smog chamber (Paulsen et al., 2005). Briefly, the chamber comprises a 27 m³ Teflon bag which can be illuminated by 4 xenon arc lamps; a purified air system supplies the chamber with particle free air, CO₂ and O₂ are controlled to typical atmospheric levels and other trace gases are scrubbed out to sub ppb levels. A mass flow system allows the controlled addition of NO_x, O₃ and water vapour to the chamber as required.

Experiments were conducted to investigate the hygroscopic properties of aerosol produced from the photo-oxidation of α -pinene. The experiments were initiated by injecting a nominal concentration of the precursor into the conditioned chamber, as described in Table 1. Oxidation of the precursor was then initiated by illuminating the chamber. Additionally for seeded experiments a background concentration of ammonium sulphate aerosol was injected prior to illumination. The seed aerosol was generated using an electrospray generator (TSI, model 3484), filling the chamber with a uniform concentration of nearly monodisperse aerosol (mean diameter 33 nm, geometric standard deviation of 1.3) within 1 h (for more details see Meyer et al., 2009).

The mobility number size distribution was measured for the duration of each experiment using a scanning mobility particle sizer comprising a differential mobility analyser (DMA, TSI 3071) and a condensation particle counter (CPC, TSI, 3022). The initial near mono-disperse seed aerosol then allowed the proportion of ammonium sulphate seed and SOA for particular diameter particle in the chamber to be estimated by analysis of the number size distribution, as the seeded experiments progressed. The volume fraction of the SOA in a particle of a given dry size at a certain time was determined from the evolution of the particle number size distribution measured by the SMPS. The

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calculation of the SOA fraction is described explicitly in Meyer et al. (2009), by assuming that the percentile values of seed diameter are equal throughout the experiment and ignoring coagulation the error is approximately 5%.

The sub-saturated water uptake was measured using 3 different HTMDA instruments (H_{MAN}, H_{QUT} and H_{PSI}) (Cubison et al., 2005; Johnson et al., 2008; Duplissy et al., 2009). The HTDMAs were used to determine the mean hygroscopic growth factor (GF_{D0,RH}) for particles of a selected dry size (D_0) and at a set RH) using the inversion, analysis and quality assurance procedures of Gysel et al. (2009). The HTDMAs ran humidograms where the RH was systematically stepped over a range of ~50% to >91% to determine GF as a function of RH. The potential of the aerosol to act as CCN was measured by the DMT continuous flow CCN counter (Roberts and Nenes, 2005). The CCN counter was operated in a polydisperse and a monodisperse mode. In the monodisperse mode the aerosol was size selected using a DMA before being sampled by the CCN counter, operated in parallel to a CPC (TSI 3025) in order to determine the fraction of particles that have been activated (F_A) at a set supersaturation as a function of diameter. In polydisperse mode the CCN counter sampled directly from the chamber.

During the unseeded α -pinene experiments the CCN counter was run in polydisperse mode. The mode of the size distribution increases rapidly during the unseeded experiments, making it difficult to constrain the activation behavior by sampling a single diameter. By sampling the polydisperse aerosol at a number of set point supersaturations exhibiting a range of activation behavior the S_c can be determined as a function of dry diameter (D_0) when convolved with the size distribution assuming that the aerosol is internally mixed and that the larger aerosol particles are always more CCN active. These assumptions are backed up by previous unseeded α -pinene SOA studies in the PSI chamber where HTDMA measurements showed an internally mixed aerosol and that the size dependence of the hygroscopic growth factor at any one time was not large and likely attributable to the Kelvin effect alone (Duplissy et al., 2008). During the seeded experiments the CCN counter was run in monodisperse mode. By select-

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ing dry diameters above the mode of the number size distribution (thus minimising the number of multiply charged particles sampled) the effect of the newly formed SOA on the activation behavior could be measured. Because the CCN activation behavior is well defined for the ammonium sulphate seed of a specific dry size, the seeded experiments were better suited to the monodisperse sampling mode. In each sampling mode the supersaturation set point was increased in a series of 5 steps over ~20 min periods to build up an activation spectrum.

2.2 Quantitative validation of the instrumentation using nebulised ammonium sulphate

10 Validation of the performance of all the instruments was carried out by sampling pure ammonium sulphate particles. A solution of ammonium sulphate (Fluka, >99.5%) was nebulised (TSI, 3076) and dried to below 10% RH by passing the aerosol through a desiccant. The flow stream was sampled by the CCN counter and each of the 3 HTDMA instruments.

15 Prior to entering the CCN counter the ammonium sulphate aerosol was size selected using a DMA (TSI, 3071). Six sizes between 30 and 130 nm were selected in turn. By adjusting the temperature gradient down the column (i.e. the set point supersaturation), the activated fraction (the number of CCN divided by the total number of particles of the size selected at a supersaturation) was measured as it increased from 0 to

20 1. An accurate calibration of the CCN counter was obtained by assuming that the temperature gradient at which 50% of the singly charged particles are counted as CCN corresponds to the S_c calculated for the size selected aerosol using ADDEM (Topping et al., 2005a) thus providing an accurate calibration of the temperature gradient set in the CCN counter. For a perfectly monodisperse and internally mixed aerosol the

25 transition into an activated cloud droplet should occur abruptly over an infinitesimal increase in supersaturation (i.e. 0% of the aerosol should be activated or 100%). What actually is observed is a continuous increase in activated fraction over finite changes in supersaturation, so the assumption made is that this was caused by the finite width of

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the DMA transfer function which was assumed to be symmetrical and therefore did not bias the results. Aerosol particles with diameters between 30 and 130 nm were chosen for calibration because they activate across the operational range of supersaturations in the CCN counter (~0.1 to 1.0%). Figure 1 (left panel) shows the measured S_c at the 5 set point supersaturations compared to the theoretical values (ADDEM).

The 3 HTDMA instruments sampled the nebulised ammonium sulphate aerosol, selecting dry diameters of 150, 105 and 100 nm, respectively. Diameters above 100 nm were selected as the Kelvin effect is relatively unimportant at these sizes and therefore does not introduce uncertainty in comparing results to model predictions. In addition, 10 systematic instrument errors due to small errors in the size selection of the sample are minimised. A humidogram was obtained with each HTDMA by increasing the RH from the minimum set point (~10%) to the maximum (>90% depending on the individual instrument). It can be seen from Fig. 1 (right panel) that all 3 instruments measured the hygroscopic growth factor in good agreement of each other and with ADDEM. 15 There was some deviation from theory at the deliquescence point (theoretically 79.9% for $D_0=150$ nm) seen by H_{MAN} (only the deliquesced growth factors are shown in Fig. 1). The reasons for observed non-prompt deliquescence in HTDMA instruments have been investigated previously (Biskos et al., 2006; Duplissy et al., 2009) and was likely due in this instance to the aerosol RH in the second DMA not being constant 20 over the measurement interval due to small changes in RH of H_{MAN} 's sample aerosol at the inlet to the second DMA which is used to control the RH of the second DMA. The performance of these HTDMA instruments is discussed in more detail later.

2.3 Model descriptions

2.3.1 ADDEM

25 The Aerosol Diameter Dependent Equilibrium Model (ADDEM) has previously been used to predict aerosol sub-saturated water uptake or the S_c of an aerosol particle given the molecular identity and mole fractions of its constituents and the particle size

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(Topping et al., 2005a,b, 2007). In this mode of operation, ADDEM directly solves the primitive form of the Köhler equation (Eq. 1), evaluating the a_w using a combination of a mole fraction scale ionic interaction model for inorganic components and a group contribution method for organic components, as detailed in the citations above. In this 5 current work, estimates for the a_w relationships in ADDEM were obtained directly by combining the HTDMA humidogram measurements with a range of estimates for the effective molar mass and pure component density assuming a single aqueous solute. The molar mass values (200, 300, 400 and 500 g mol⁻¹) and densities (1200, 1400, 1300, 1600 and 1800 kg m⁻³) were used to derive water activities and were selected 10 to provide a sensitivity across the possible range in the real atmosphere. For the α -pinene derived SOA produced in the PSI chamber the density is \sim 1300 kg m⁻³ (Alfarra et al., 2006). The densities and molar masses were used within Eqs. (14)–(16) of Kreidenweis et al. (2005) to derive expressions where the Van't Hoff factor varies as 15 a function of concentration and is incorporated within ADDEM. Thus this approach uses the GF_{D0,RH} at all RHs to generate the a_w behavior as a function of component mole fraction to avoid use of one point to represent hygroscopicity across the full RH range. The a_w is calculated from GF_{D0,RH} by assuming the surface tension is that of water in the Kelvin term. This will only introduce a small error (\ll 1%) if the surface tension is not that of water as the Kelvin term is always close to 1 at the RHs in the 20 HTDMA. Since the HTDMA instruments have a maximum controllable RH, data are unavailable approaching the point of activation. Therefore in order to parameterise the water content beyond the maximum RH (91 to 95% depending on the HTDMA) it was necessary to extrapolate the measured humidogram. The full shape of the growth factor curve (humidogram) has been used to extrapolate toward the very dilute solution 25 at the point of activation using cubic spline interpolation, using the fact that the mole fraction of water is 1 when a_w is 1 as the end point. Predictions from ADDEM directly used these relationships to predict the critical point. Combinations of all the physical properties (molar mass and density) were employed to provide a range of fitted a_w . In addition, each a_w fit was used with the surface tension of pure water and with surface

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tension varying as a function of solute concentration using a parameterisation based on Suwanee River fulvic acid (Topping et al., 2007). Finally, a version of ADDEM incorporating bulk to surface partitioning using a Suwanee River fulvic acid surface tension parameterisation was used (Topping et al., 2007). By including this effect we
 5 need to account for how material distributes itself between the bulk and surface layer, thus influencing concentrations in the Raoult and Kelvin terms. Importantly, calculating this distribution of material between a bulk and surface layer relies on knowledge of the surface tension profile, or the relation between surface tension and concentration. Unfortunately such data are only available for a limited number of compounds and
 10 therefore a proxy was used in this study. Detailed equations for partitioning calculations can be found in (Topping et al., 2007).

2.3.2 κ -model

The κ -model (Petters and Kreidenweis, 2007) employs a simplified form of the primitive Köhler equation (Eq. 1), whereby the saturation ratio (S) between the droplet and air interface can be represented in terms of just its dry diameter, a constant surface tension and a hygroscopicity parameter (κ). Once a value for κ has been calculated the water uptake across all saturation conditions can be determined. The κ value defines the relationship between a_w and the volume of solute (V_s) and solvent (V_w) as shown in Eq. (3). Equation (3) is then defined in terms of the particle's growth factor ($GF_{D0,RH}$).
 15 To calculate the Kelvin term (K_e) the assumption that the surface tension (σ) is that of water ($\sigma=\sigma_w$) which is widely used in conjunction with the κ parameter is applied. Combined with the expression for a_w , this yields the simplified κ -Köhler equation (3).
 20

$$\frac{1}{a_w} = 1 - \kappa \frac{V_s}{V_w} \quad (2)$$

$$S \approx \frac{GF_{D0,RH}^3 - 1}{GF_{D0,RH}^3 - (1 - \kappa)} \exp \left(\frac{4v_w \sigma_w}{R T GF_{D0,RH} D_0} \right) \quad (3)$$

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The κ -model can be solved using a measured value of the mean hygroscopic growth factor from the HTDMA. The κ value of a multicomponent particle can also be approximated using the ZSR mixing rule (Stokes and Robinson, 1966) whereby a κ value can be calculated from the volume weighted κ_i 's of a particle's components as shown in Eq. (4), where ϵ_i is the volume fraction of each component.

$$\kappa = \sum \epsilon_i \kappa_i \quad (4)$$

In this study κ values for the SOA were calculated directly from the unseeded SOA humidograms measured by each HTDMA instrument at different RHs. The κ values for ammonium sulphate was calculated from the theoretical $S_c(D_0)$ (Topping et al., 2005a). For the seeded experiments the volume fraction of organic and ammonium sulphate seed was calculated from the number size distribution as described in Sect. 2.1. Then using Eq. (4) κ was derived for the seeded experiments. The S_c for the particles could then be predicted using the κ -model and compared to the values measured by the CCN counter.

15 3 Results and discussion

3.1 HTDMA measurements: unseeded experiments

Two unseeded photo-oxidation experiments were performed as detailed in Table 1. The experimental phenomenology was (repeatably) as follows: within 2 h, the nucleation of aerosol could be detected using a condensation particle counter (TSI, 3025) with a nominal detection efficiency at 3 nm. Several hours after nucleation the coagulation and loss rates to the chamber walls became greater than the new particle formation rate, which then quickly decreases to zero. Using an estimate of the total mass concentration of particles from the scanning mobility particle sizer (TSI SMPS, 3080) (assuming constant particle sphericity and density of 1300 kg m^{-3} as measured by Alfarra et al. (2006) for α -pinene SOA from photo-oxidation experiments performed

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previously), detectable condensation of VOC oxidation products continues for at least 8 h after nucleation. At this stage the mass loss rate equals the mass formation rate, leading to the peak in mass concentration.

The hygroscopic growth factor of the aerosol was measured by the 3 HTDMAs at a constant RH for the first 6 to 8 h of each experiment (shown in Duplissy et al., 2009). Comparing the 8.9 ppbv and 37 ppbv experiments a systematic difference in the growth factor of ~0.1 was measured by H_{MAN} and the limited data available from H_{QUT} also showed a similar difference in the growth factors, H_{PSI} did not see a difference. It has been shown in a previous study (Duplissy et al., 2008) that much larger changes in the initial VOC mixing ratio (i.e. 10 ppbv vs. 250 ppbv) can lead to substantial differences in hygroscopic growth factors; here we see that relatively small changes in the experimental conditions may have an effect. To mitigate these effects, subsequent investigation of the seeded systems were carried out at a nominal precursor mixing ratio of 10 ppbv. The lowest mixing ratio was chosen so as to be nearer atmospheric levels.

In order to provide a parameterisation of the sub-saturated water uptake the growth factor of the pure α -pinene SOA was measured at a series of RHs from ~40% up to ~95% (or as high as the individual HTDMA would allow). These humidograms (shown in Fig. 2) were measured later in the experiments once the growth factor and the aerosol mass had stabilised. The growth factor increases smoothly with increasing RH in agreement with previous studies of this type of system (Duplissy et al., 2008; Varutbangkul et al., 2006) from 10% RH upwards (i.e. there is always water associated with the aerosol even at low RHs). There are clear differences in the growth factors measured by the 3 HTDMAs. H_{PSI} measures the highest growth factors systematically above the other HTDMAs, whilst H_{MAN} and H_{QUT} measure growth factors within experimental error of each other up to ~86% RH. These differences mean that the a_w parameterisations will not be the same. The parameterisation for ADDEM which utilises the full shape of the humidogram will be affected, with all 3 instruments approaching $a_w=1$ from a different direction. The κ parameterisation will differ between instruments, with agreement dependent on the specific $GF_{D0,RH}$ used.

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It can be seen in Fig. 2 that there is a discrepancy between the 3 HTDMA instruments for the unseeded SOA experiments. Agreement between the instruments and with theory for the pure ammonium sulphate was observed (shown in Fig. 1). Given the agreement for the pure ammonium sulphate experiment we know that the aerosol is being properly humidified to the set point RH and the DMAs are sizing properly (which was also verified using polystyrene latex spheres). Although the fundamental principle of the 3 HTDMA's design is the same, there are certain design features unique to each and there is clearly some property of the SOA produced in the chamber that makes it behave differently. The specific design differences of these 3 HTDMAs along with 3 others is discussed in detail in Duplissy et al. (2009). Here we will focus mainly on the consequences of these discrepancies with respect to parameterisation of water uptake from growth factor measurements and the ability to make CCN activation predictions from them. It is worth however discussing some of the possible reasons for the differences. Potential causes of the discrepancy were identified and investigated during the experiments.

3.1.1 Conditioning of the aerosol prior to sizing in the first DMA may affect its properties

H_{MAN} sampled the aerosol from the chamber through several metres of stainless steel and copper tubing directly into the first DMA, without pre-drying the sample. The first DMA was conditioned to less than 10% RH by drying the sheath air. The assumption is that the aerosol is sufficiently dry once it enters the sizing region of the DMA where the aerosol dry size is selected. This assumption was tested by placing a diffusion drier intermittently in front of the first DMA during one of the unseeded α -pinene experiments. Comparing the measurements no obvious difference was seen. This is not surprising. Given that the aerosol in the chamber is conditioned to 50% RH at 20°C and the laboratory temperature was typically in excess of 25°C meaning that the RH of the sample will have dropped to less than 37% RH prior to entering the first DMA, any artefact would not account for the difference in GF observed. A second test was performed on

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H_{MAN} during an unseeded experiment; the RH in the second DMA was reduced to less than 10% and the GF was measured. The resulting GF was $\sim 1.0 \pm 0.01$, indicating that the RH and the size of the aerosol in the first and second DMAs was the same (i.e. after conditioning the particles for 30 s at less than 10% RH they do not dry further).

5 H_{PSI} had a much shorter sampling line to the chamber than H_{MAN} and H_{QUT} and is in a temperature controlled enclosure. Potentially material may volatilise in the longer, warmer sampling lines altering the properties. It should be noted that the CCN counter was connected to the same inlet as H_{MAN} and H_{QUT} , therefore the CCN counter's sample would be affected in the same way.

10 3.1.2 Conditioning of the aerosol in the humidifier may affect it properties

H_{MAN} and H_{PSI} use a Gore-Tex® membrane with a counter flow of humid air to condition the sample aerosol. H_{QUT} used Nafion® membranes to condition both the sheath and sample flows. Therefore we see no link between the humidification method and the discrepancy. H_{PSI} does however obtain humidification by reducing the temperature of the sample between its two DMAs by $\sim 4^\circ\text{C}$.

15 So it could be that there is an effect from condensing semi-volatiles in the re-circulating sheath system or that providing a temperature drop is a more efficient humidification process than altering the partial pressure of water on the outside of a membrane alone. However if there were a significant kinetic limitation on the water uptake of the SOA the humidifier with the

20 longest residence time would be expected to grow the particles most fully. This is not observed for these HTDMAs. H_{MAN} had the longest residence time (~ 30 s) and H_{QUT} had the shortest (~ 4 s) and measured similar growth factors. H_{PSI} which gave the highest growth factor had a residence time of ~ 15 s. For the same type of experiment two different HTDMAs with residence times of 2 s and 0 s did show a reduced

25 growth factor compared to H_{PSI} consistent with a residence time effect (Duplissy et al., 2009). Sjogren et al. (2007) demonstrated that residence time in the humidifier can alter the measured water uptake depending on the composition, such an explanation

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is not consistent with H_{MAN} having the longest residence time.

3.2 CCN potential: unseeded experiments

The right hand panel of Fig. 2 shows the S_c at different diameters throughout the experiment derived from the CCN counter's measurements. Figure 2 shows the S_c decreasing with increasing diameter as expected. The higher precursor concentration (37 ppb) experiment nucleated more particles which grew more quickly to larger diameters than the lower concentration experiment, despite this $S_c(D_0)$ was within the calculated uncertainty for the two experiments. The measured values are consistent with other studies of α -pinene SOA measured in the PSI chamber (Duplissy et al., 2008; Jurányi et al., 2009). No significant change in hygroscopicity is observed with time, indicated by the fact that the measured S_c runs almost parallel to the line of constant κ shown in Fig. 2. These measured values will be compared to the predictions of the models.

3.3 Parameterisation of the organic water uptake

As described in Sect. 2.3.1 ADDEM utilised the full shape of the HTDMA's humidiograms to derive the a_w . Figure 3 shows the parameterised a_w for each of the HTDMAs, for this illustration a molecular weight of 500 g mol^{-1} and a density of 1300 kg m^{-3} have been used. On each line a black square indicates the highest point on the HTDMA growth factor curves (highest RH=highest a_w neglecting curvature), the shape of the curves below this point is determined by the measured growth factors which in turn determines the trajectory of the interpolation. From this point on the full shape of the growth factor curve has been used to extrapolate toward $a_w=1$. Predictions from ADDEM use these lines to predict the critical point. For clarity, a purple box has been inserted around the region where ADDEM might search the a_w space whilst converging on the critical point. The κ -model differs in that one point, often 90% RH (as indicated by the black circles in Fig. 3 purely for illustration) is used to extrapolate toward dilute

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solutions. The black lines extending from the a_w at 90% RH shows how the κ derived a_w extends to dilute conditions from the single point. Note how the shape of the humidograms causes the varying deviations from the single point extrapolation.

3.4 CCN predictions: unseeded experiments

5 CCN activity was predicted for the unseeded α -pinene experiments based on the parameterised a_w from the 3 different HTDMAs using the ADDEM and κ -models and is presented in Fig. 4. The 3 rows of panels correspond to the 3 HTDMAs. The ADDEM predictions have been carried out with several different sets of assumed organic component properties. All permutations use the same parameterisation of a_w as a function 10 of solute concentration derived from the three different HTDMA instruments, as discussed in Sect. 2.3.1. It should be noted that, because the humidograms were taken late in the experiments, the particle dry sizes used to derive a_w were above 100 nm.

In the first set of cases the surface tension is assumed to be that of pure water (red triangles). The second set uses the solution concentration dependent surface 15 tension parameterisation (green circles). The ADDEM predictions of S_c for the unseeded experiments are made as a function of dry diameter, using a_w derived from each HTDMA and a range of realistic molecular weights ($200\text{--}500\text{ g mol}^{-1}$) and densities ($1200\text{--}1800\text{ kg m}^{-3}$). The bulk to surface partitioning calculation from each HTDMA is plotted as black crosses in Fig. 4.

20 In the κ -model simulations, all “hygroscopicity parameters” are supposed to be captured in the κ value derived from the HTDMA growth factor measured at a single RH. Calculations are presented using 3 κ values based on 3 different relative humidities, plotted as orange squares. The 3 κ values are from RHs of 80%, 90% and the maximum set by the HTMDA, showing the effect of increasing dilution on the predicted S_c . 25 The range of the experimental CCN data is plotted on each graph in blue.

The ADDEM predictions assuming the surface tension of water (red triangles) differ from the κ -model predictions (orange squares). Using κ values calculated from the HTDMA data at higher RHs the predicted S_c is closer to ADDEM predicted values. For

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the data from H_{QUT} , ADDEM is in agreement at the highest RH, but significantly lower when κ is derived at the lower RH. κ from H_{PSI} increases slightly with RH (shown in the inset of Fig. 2) however κ derived S_c is within experimental uncertainty of ADDEM at all RHs. The a_w parameterisations from H_{MAN} give κ predictions lower than ADDEM at all RHs. It is evident that the κ -model predicted S_c can be lower or higher than the ADDEM predictions, depending on the HTDMA used, leading to different conclusions about the possible effects of changing solution concentration on the a_w . The differences between the models are caused largely by the way the a_w parameterisations change with increased dilution (as illustrated in Fig. 3). The inclusion of the surface tension parameterisation in ADDEM reduces the predicted S_c significantly for all 3 HTDMA parameterisations.

It was found that the sensitivity of the ADDEM predictions to the molecular weight and density is relatively small compared the other differences in the applied models. The lowest molecular weight (200 g mol^{-1}) and highest density (1800 kg m^{-3}) gives the highest S_c when assuming the surface tension of water, the trend is reversed when the surface tension parameterisation is included.

To recap: S_c predictions using κ derived from all the HTDMAs converge towards the ADDEM predicted S_c at higher RHs when assuming the surface tension of water. For H_{MAN} , the κ -model predictions remain outside the uncertainty attributable to the growth factor measurements (see supplementary material <http://www.atmos-chem-phys-discuss.net/9/22619/2009/acpd-9-22619-2009-supplement.pdf> for details of the measurement uncertainties), while for H_{PSI} the difference is within the uncertainty for κ calculated at all RHs. For H_{QUT} the κ values depend relatively strongly on the $GF_{D0, RH}$ used to calculate them, however the predictions are always above the measured values. ADDEM relies on extrapolated predictions of a_w in very dilute regions (beyond that which is measured by the HTDMA). When the RH used to derive κ is increased, the same conditions are approached (but not always reached). If a lower RH is used to derive κ , the calculations diverge.

The above discussion regarding ADDEM assumes that bulk to surface partitioning

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can be ignored. Inclusion of this effect accounts for the distribution of material between the bulk and surface layer, influencing the concentration of solute in the Raoult and surfactant in the Kelvin terms. A full discussion of this process is presented in Sorjamaa et al. (2004). The black crosses in Fig. 4 show predicted S_c using partitioning calculations to alter the concentrations used within the Raoult and Kelvin terms, but also considering a decrease in surface tension. For H_{MAN} and H_{QUT} the predictions including partitioning (black crosses) increase the predicted S_c compared to considering surface tension reduction alone, however the predicted S_c is still less than assuming the surface tension of water in ADDEM and the κ -model. For H_{PSI} , the partitioning calculations (black crosses) actually increase S_c beyond predictions assuming the surface tension of pure water. The surface tension profile could be altered to make the two lines meet but it is difficult to justify, because the a_w profile derived from H_{PSI} combined with an appropriately modified surface tension isotherm is unrealistic. It should also be noted in this case that, the lower the RH from which κ was derived, the closer the agreement between the κ -model and bulk-surface partitioning within ADDEM.

It is the case for each HTDMA that the measured S_c (blue lines on Fig. 4) does not follow one of the prediction methods across all sizes, rather each HTDMA appears to converge towards a model scenario. This could be due to the fact that the growth factors used to derive the a_w (for both ADDEM and the κ -model) were measured 8 to 10 h into the experiment. The hygroscopicity measured by the HTDMAs increases during the first hours of the experiment before stabilising (Duplissy et al., 2009) and as seen in previous experiments in the PSI chamber (Duplissy et al., 2008; Baltensperger et al., 2005). Therefore the derived a_w might be expected to under-predict the S_c initially. The S_c at 100 nm in Fig. 4 were measured ~3 h after lights on (Fig. 2 shows the time series). There is insufficient time to measure a full humidogram under stable conditions to provide input to ADDEM during the first hours of the experiments, κ -model predictions however, result in behavior consistent with the proceeding observations. It may be that the accuracy of the surface parameterisations varies with diameter for example, but due to the instrument discrepancies this cannot be resolved.

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The comparison of the ADDEM and κ -model simulations with the measured S_c for the unseeded experiments can be summarised as follows:

- i) using a_w derived from H_{MAN} the ADDEM predictions agree with the CCN counter data within measurement error when the reduced surface tension profile is applied. For particles with smaller diameters earlier in the experiments the bulk to surface partitioning calculations give agreement within experimental error, but diverge as the experiments progress. κ -model predictions with κ derived from the growth factor are always above the measured values. ADDEM predictions assuming the surface tension of water do not represent the CCN counter data within the calculated uncertainties.
- ii) using a_w derived from H_{QUT} the ADDEM predictions agree with the CCN counter data within measurement error when the reduced surface tension profile is applied and when bulk to surface partitioning is considered. The κ predictions from the measured growth factors and the ADDEM predictions assuming the surface tension of water are outside of the measured data uncertainty.
- iii) for a_w derived from H_{PSI} , the experimental CCN data agree with the κ -model and ADDEM using the surface tension of water within the uncertainty of the measured values. ADDEM including bulk to surface partitioning predicts S_c within the uncertainty of the measured S_c . ADDEM predictions with reduced surface tension alone under-predict S_c .

20 3.5 Measurements: seeded experiments

A series of experiments were conducted using an ammonium sulphate seed aerosol in the chamber (under the conditions outlined in Table 1). The S_c measured by the CCN counter in these experiments are shown in Figs. 5, 6 and 7 (blue squares). For the seeded experiments the CCN counter was operated with a DMA upstream as described in the experimental section. At the start of the experiments the S_c measured was consistent with those expected from the ammonium sulphate seed alone, S_c as a function of dry diameter predicted using ADDEM is shown for the seed (grey bars) and is initially transected by the measured CCN data. As the experiments progress the

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semi-volatile reaction product of the α -pinene condense into the aerosol phase. Careful choice of the seed surface area meant that condensation onto the seed dominated the organic aerosol formation and nucleation was not observed.

As the fraction of newly condensed material increases with time we saw a corresponding increase in the S_c due to the less hygroscopic nature of the organic material. After 3 to 4 h $S_c(D_0)$ was similar to the values observed in the unseeded SOA experiments. This is expected as the particles are now nearly 100% organic, but it does indicate that the oxidation of the VOC in both the unseeded and seeded cases took place at a similar rate.

10 3.6 Parameterisation of the sub-saturated water uptake and CCN predictions: seeded experiments

Figures 5, 6 and 7 show a comparison of predicted and measured S_c values for the seeded experiments corresponding to predictions made using the data from the 3 different HTDMA instruments. On each panel there are 3 subplots corresponding to the 15 3 seeded experiments. The x-axis shows the “time after lights on” and the right hand y-axis shows the calculated organic mass fraction (using SMPS data) which is plotted as pink crosses. The ADDEM predictions (using a molar mass of 500 g mol^{-1} and density of 1300 kg m^{-3}) using the surface tension of water are shown in red and using the mixture surface tension are shown in green. For the κ -model, the κ values for the 20 organic fraction were taken from the HTDMA data from the unseeded experiments at 90% RH, the κ values for the inorganic fraction were taken from ADDEM predictions of pure ammonium sulphate at the point of activation. The κ predictions are shown as orange squares. The experimentally determined S_c values are shown as blue circles.

For each HTDMA the CCN activity and model agreement tends towards that observed for the unseeded experiments as the organic fraction and dry size of the particles increases. The measured $S_c(D_0)$ increases significantly as the organic fraction increases from 0 for the 50 nm particles in the top panels of Figs. 5, 6 and 7, the addition of the organic material reducing the hygroscopicity. Comparing the model predictions

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to the measured data when the organic fraction is less than ~70%, ADDEM assuming the surface tension of water and the κ -model gives the best agreement for H_{MAN} and H_{QUT} , whilst the a_w from H_{PSI} predicts S_c that are always lower than the measured values. That is the measured gradient in S_c as a function of increasing diameter is greater than that of any of the prediction methods, indicating a significant change in hygroscopicity with time. This is consistent with the fact that all prediction methods used hygroscopicity derived later in the experiment as mentioned in Sect. 3.4.

For H_{MAN} and H_{QUT} the κ -model predictions sometimes fall on top of the ADDEM predictions with surface tension suppression (notably at 90 nm). This is because the change in the a_w from 90% RH to the point of activation used in ADDEM compared to the κ -model is cancelled out by the surface tension reduction.

When the organic fraction is greater than ~80% the behavior is similar to the unseeded experiments. The a_w derived from H_{MAN} gives the best predictions when using ADDEM with the inclusion of the reduced surface tension profile. The κ -model predictions from H_{MAN} tends to over-predict the S_c increasingly the larger the organic fraction. Assuming the surface tension of water in ADDEM consistently overpredicts the S_c for H_{MAN} . The a_w derived from H_{QUT} gives the best predictions when using ADDEM with the inclusion of the reduced surface tension profile. Both the κ -model and ADDEM predictions from H_{QUT} overpredict the S_c . Using a_w and κ derived from H_{PSI} (Fig. 7), the κ -model and ADDEM give agreement with the measured S_c , whereas the inclusion of a reduced surface tension profile leads to an under-prediction of S_c .

4 Conclusions

Results from the experiments suggest that predictions of CCN activity made from H_{MAN} and H_{QUT} compare well with the experimental data when employing the full complexity approach used within ADDEM along with a surface tension parameterisation based on fulvic acid. However, predictions made from H_{PSI} compare well using either the ADDEM model and assuming the surface tension of water or the κ -model. Incorporating bulk to

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surface partitioning in ADDEM predicts S_c values within experimental uncertainty for all 3 HTDMAs (see supplementary material <http://www.atmos-chem-phys-discuss.net/9/22619/2009/acpd-9-22619-2009-supplement.pdf> for full details of the measurement uncertainties). However, results from H_{PSI} are unique in that partitioning calculations cause predictions to be higher than the results from ADDEM combined with assumption of using the surface tension of pure water. Previously, when a_w has been calculated from a purely theoretical basis, the opposite has been found. This is a result of the relatively high hygroscopicity measured using H_{PSI} combined with an inappropriate proxy for the surfactant behavior in this case study. It would be possible to match the experimental data to the full complexity model outputs by varying the surface tension profile or find an “optimum” κ value (by choosing a value at the RH which matches best). However, these “optimum” fitted parameters will be instrument dependent.

Overall it would appear that variability across instruments measuring the growth factor of the same “pure SOA”, used as an input to drive model predictions across the whole range of current theoretical complexities, is enough to restrict our ability to say with any confidence which process, or combination of processes, is important. This can be restated that there are too many free parameters which cannot be teased apart using a combination of the HTDMA and CCN instrument. This results from the inadequate accuracy of the HTDMA and its range of application (not a high enough RH).

The fact that 3 HTDMAs measuring the same organic aerosol produced quite different parameterisations of the a_w makes it impossible to predict with confidence the cloud activation potential of the aerosol from the hygroscopic growth factor alone using any of the models. Depending on which HTDMA’s data is considered a different conclusion is reached as to which parameter the point of activation into a cloud droplet is most sensitive to. Tools such as ADDEM were not designed to rely on data derived from HTDMAs, the variation in critical points resulting from such a treatment being substantial. On the other hand, the κ -model was designed to work with precisely this sort of data yet the results are extremely instrument dependent. Despite all 3 HTDMAs sampling the same aerosol, its treatment within the instrument results in different mea-

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sured growth factors outside the perceived measurement uncertainty. This discrepancy could not be explained by any obvious instrumental differences and was unique to the SOA generated in the photo-oxidation chamber.

Previous studies of SOA have identified that the CCN activity is higher than might be expected from the observed sub-saturated water uptake (Prenni et al., 2007; Engelhart et al., 2008). It has been shown that a change in solution non-ideality can account for this discrepancy (Wex et al., 2009; Petters et al., 2009), an important step towards closing the gap between observations and understanding of SOA properties. Without the ability to measure above ~98% RH (Hennig et al., 2005) HTDMAs cannot directly probe this effect. In the present study it is shown that the a_w profiles derived from the HTDMA measurements depend on the instrument used, allowing different conclusions to be reached surrounding the importance of the various terms in the Köhler equation when considered separately.

The current study clearly demonstrates that uncertainties in measurements using current HTDMA instrumentation cannot be reconciled with CCN measurements using consistent assumptions for aerosol properties. It may be concluded that there is a wide gap between the properties of organic aerosol particles derived from detailed interpretation of measurements from different combinations of instruments, which are demonstrably capable of resolving inorganic aerosol behavior. In the real atmosphere, there may be a significant and variable contribution from both inorganic and organic components. Whilst assuming conventional values for hygroscopicity of organic material, for the purposes of the Raoult contribution, one might expect S_c to be dominated by the inorganic to organic ratio, the degree to which surface tension suppression may play a role could be significant but cannot be resolved using the approach outlined here. Furthermore, the difference between whether the organic material is as hygroscopic as measured by H_{PSI} or less so as measured by H_{MAN} and H_{QUT} will determine the importance of the organic material through its impact on the Raoult term of the Köhler equation.

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Table 1. Experimental conditions; proton-transfer mass spectrometer measured precursor concentration (conc.), duration, precursor (VOC) to NO_x ratio, type (seeded or unseeded) and the date (during August 2006) for reference with Duplissy et al. (2009) and Meyer et al. (2009). For all experiments the chamber was conditioned to 20°C and 50% RH.

Conc.	Duration	VOC:NO _x	Type	Date
8.9 ppb	12 h	1:1	Unseeded	8
37.0 ppb	25 h	1:2	Unseeded	11
10.3 ppb	8 h	2:1	Seeded	17
9.95 ppb	7 h	2:1	Seeded	22
10.62 ppb	23 h	2:1	Seeded	28

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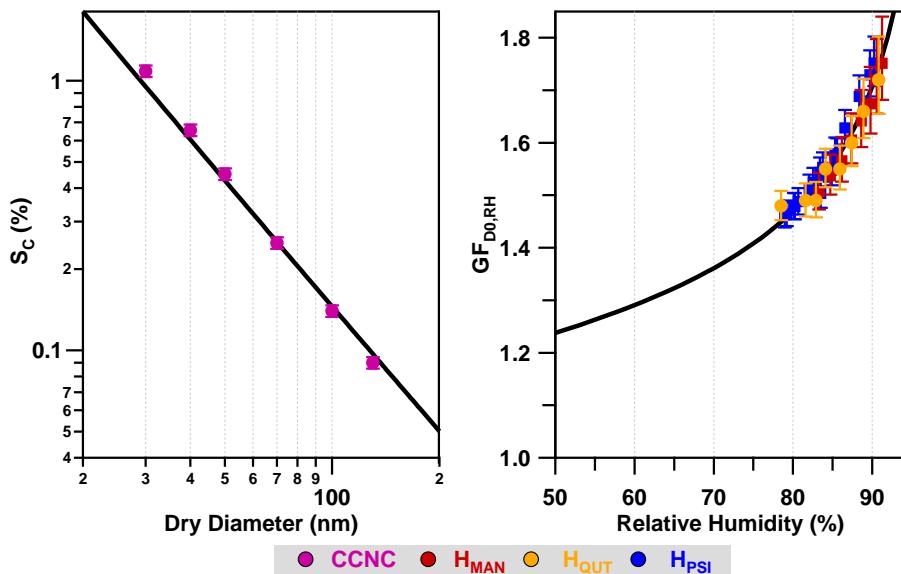


Fig. 1. Nebulised aerosol measurements. Left hand side: measured (purple circles) and theoretical critical supersaturations (black line) for ammonium sulphate. Right hand side: humidogram measurements for ammonium sulphate from the 3 HTDMAs (coloured symbols) plotted against theory (black line).

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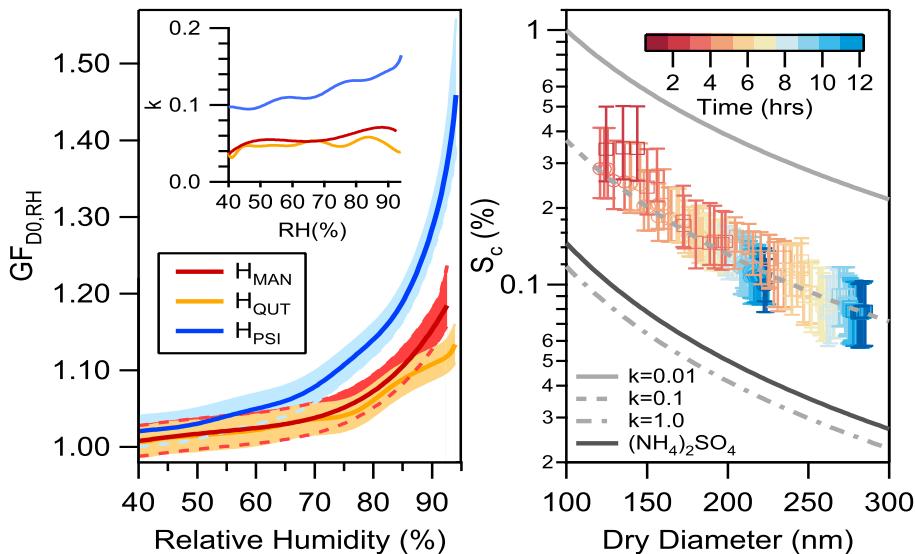


Fig. 2. Unseeded experiments. Left hand side: Humidograms taken by the 3 HTDMAs for the unseeded SOA experiments, the shaded bands indicate the sensitivity to the uncertainty in the RH (1.5% for H_{MAN}/QUT and 1% for H_{PSI}). The inset in the left hand panel shows the κ values for each HTDMA as a function of RH. Right hand side: S_c measured by the CCN counter for the unseeded SOA experiments (coloured circles, 8.9 ppbv and squares, 37 ppbv. Also indicated for reference are 3 lines of constant κ (at $\kappa=0.01$, 0.10 and 1.0) and pure $(NH_4)_2SO_4$ from ADDEM.

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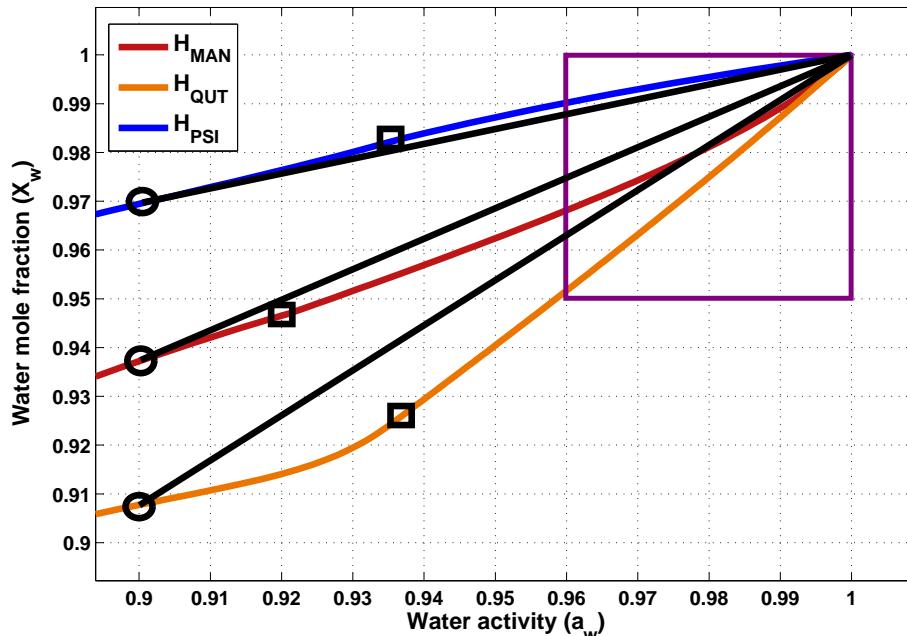


Fig. 3. Water activity curves for the 3 HTDMAs, extended beyond the measurement region to one (black boxes indicate the highest measured value by each HTDMA). The figure illustrates the extrapolations made using ADDEM and the κ -model, which are required to obtain a value for the water activity when making a prediction of their CCN activity. The black line extending from the point at $a_w=90$, shows the difference between the single point derivation and the parameterisation based on the humidogram used by ADDEM. The black squares show the point equivalent to the maximum RH set by the HTDMA.

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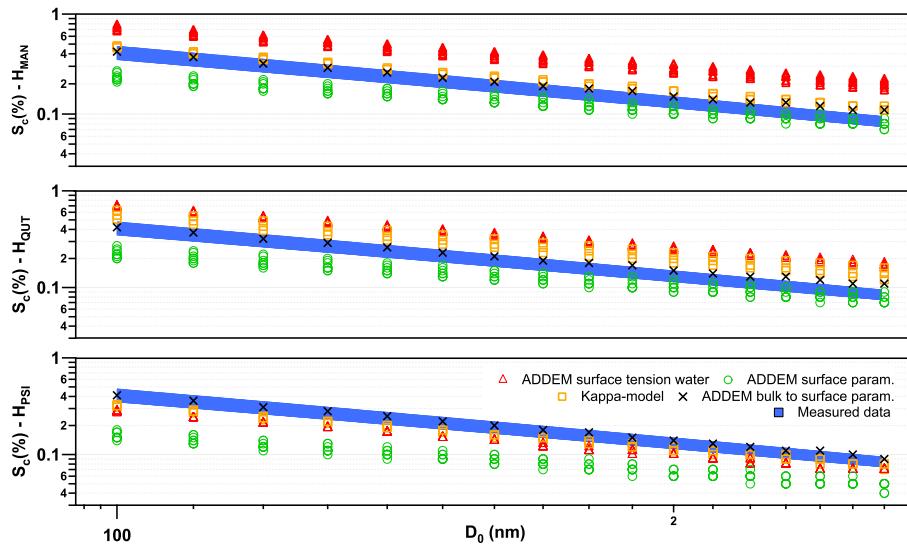


Fig. 4. Pure α -pinene SOA experiments: S_c predictions using the 3 HTDMAs to parameterise the water activity. Top panel shows H_{MAN} , the middle panel shows H_{QUT} and the bottom panel shows H_{PSI} . Red triangles are ADDEM predictions for the range of molecular weights and densities assuming the surface tension of water. Green circles are ADDEM predictions with the parameterised organic component surface tension for each molecular weight and density pair. κ -model predictions are shown for 80% RH and the highest RH set by each HTDMA. The blue band represents the range of experimental data measured by the CCN counter. The black crosses are the ADDEM predictions incorporating bulk to surface partitioning.

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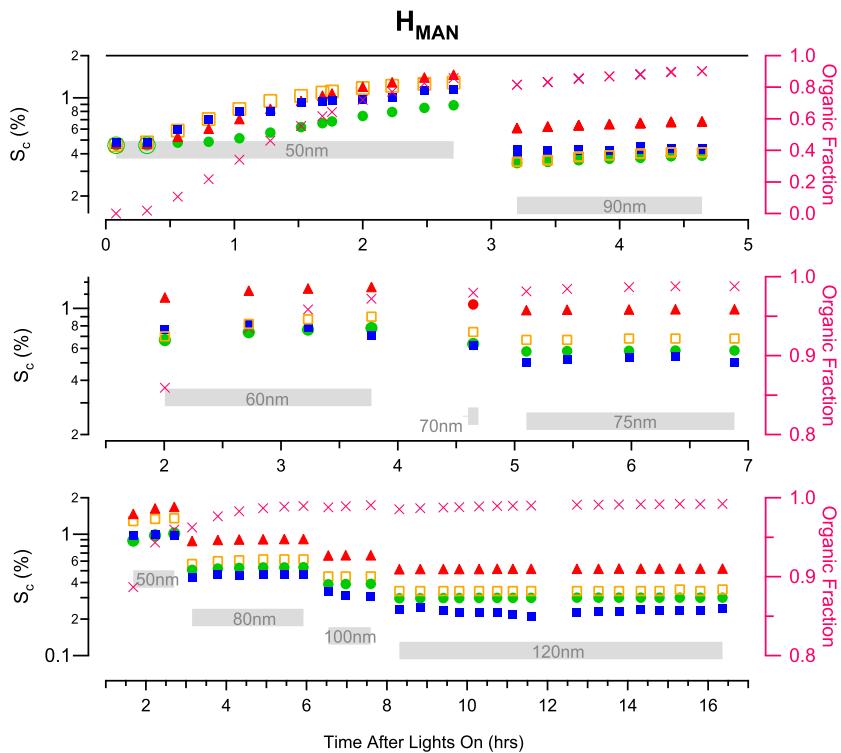


Fig. 5. Seeded experiments – S_c predictions from the H_{MAN} parameterisations. The 3 panels correspond to different experiments; 28th (top), 22nd (middle) and 17th (bottom). The 3 panels show S_c predicted using ADDEM with the surface tension of water (red triangles), ADDEM including surface tension suppression (green circles) and the κ -model (orange squares). The measured S_c values are shown (blue squares) and pure ammonium sulphate is also shown for reference for the selected dry size (grey lines). The right hand axis shows the organic fraction (pink crosses).

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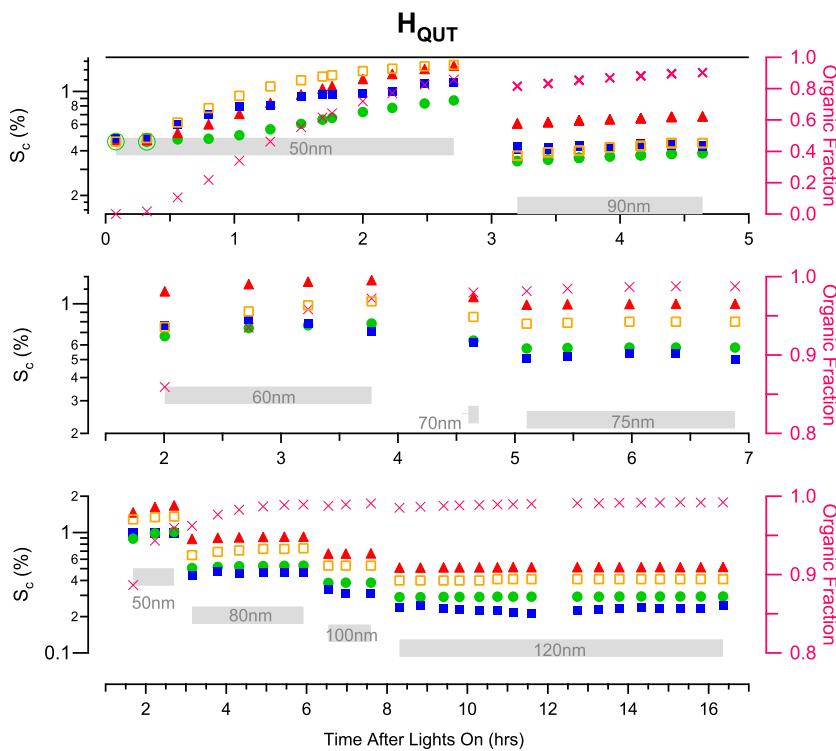


Fig. 6. Seeded experiments – S_c predictions from the H_{QUT} parameterisations. The 3 panels correspond to different experiments; 28th (top), 22nd (middle) and 17th (bottom). The 3 panels show S_c predicted using ADDEM with the surface tension of water (red triangles), ADDEM including surface tension suppression (green circles) and the κ -model (orange squares). The measured S_c values are shown (blue squares) and pure ammonium sulphate is also shown for reference for the selected dry size (grey lines). The right hand axis shows the organic fraction (pink crosses).

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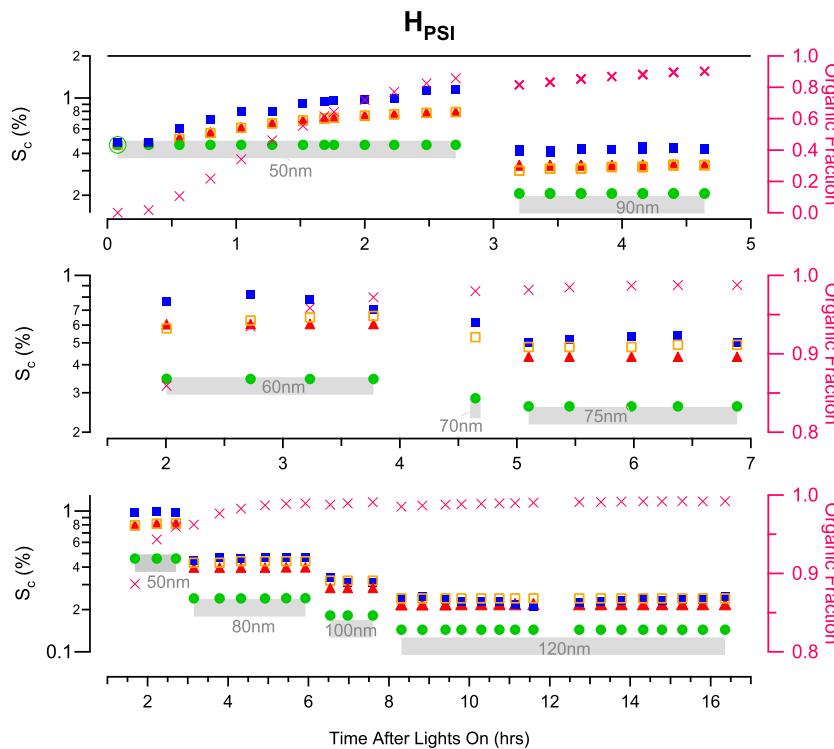


Fig. 7. Seeded experiments – S_c predictions from the H_{PSI} parameterisations. The 3 panels correspond to different experiments; 28th (top), 22nd (middle) and 17th (bottom). The 3 panels show S_c predicted using ADDEM with the surface tension of water (red triangles), ADDEM including surface tension suppression (green circles) and the κ -model (orange squares). The measured S_c values are shown (blue squares) and pure ammonium sulphate is also shown for reference for the selected dry size (grey lines). The right hand axis shows the organic fraction (pink crosses).

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