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Saharan dust infrared optical depth and altitude retrieved from AIRS: a focus over North Atlantic – comparison to MODIS and CALIPSO

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Abstract

Monthly mean infrared (10 µm) dust layer aerosol optical depth (AOD) and mean altitude are simultaneously retrieved over the tropics (30° S-30° N) from six years of Atmospheric Infrared Sounder (AIRS) observations covering the period January 2003 to

- December 2008. The method developed relies on the construction of Look-up-Tables 5 computed for a large selection of atmospheric situations and follows two main steps: first, determination of the observed atmospheric thermodynamic situation and, second, determination of the dust properties. A very good agreement is found between AIRS-retrieved AODs and visible optical depths from the Moderate resolution Imaging
- Spectroradiometer (MODIS/Aqua) during the main (summer) dust season, in particu-10 lar for three regions of the tropical North Atlantic and one region of the north-western Indian Ocean. Outside this season, differences are mostly due to the sensitivity of MODIS to aerosol species other than dust and to the more specific sensitivity of AIRS to the dust coarse mode. AIRS-retrieved dust layer mean altitudes are compared to the
- Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP/CALIPSO) aerosol mean 15 layer altitude for the period June 2006 to December 2008. Results for a region of the north tropical Atlantic downwind of the Sahara show a good agreement between the two products ($\sigma \approx 370$ m). Differences observed in the peak-to-trough seasonal amplitude, smaller from AIRS, are principally attributed to the large difference in spatial sampling of the two instruments. They also come from the intrinsic limit in sensitivity of 20 the passive infrared sounders at low altitudes. These results however demonstrate the
- capability of high resolution infrared sounders to measure not only dust aerosol AOD but also the mean dust layer altitude.

Introduction 1

In its fourth report, the Intergovernmental Panel on Climate Change (Forster et al., 25 2007) notes that, if aerosol forcings are now better understood than at the time of the

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Third Assessment Report due to improved measurements and more comprehensive modelling, they remain the dominant uncertainty in radiative forcing. Amongst other aerosol species, mineral dust is a major contributor to total aerosol loading and has been the subject of an increasing number of studies. However, most remote sensing

- studies focus on the solar spectrum, whereas the closure of the terrestrial radiative balance also needs knowledge of the dust effect on terrestrial and atmospheric infrared radiation (Vogelmann et al., 2003). Yet, the dust radiative forcing in the thermal infrared (roughly 3 to 15 μm) cannot be well quantified from measurements in the visible spectrum because refractive index spectra, highly variable, are not reliable enough both in
- the infrared and in the visible, and because the infrared and visible spectra are not sensitive to the same ranges of particle sizes : the coarse mode (size range >1 μ m) is preferentially observed in the infrared, whereas the accumulation mode (0.1–1 μ m) is mostly observed in the visible.

Remote sensing in the thermal infrared has several other advantages: observations
are available both for daytime and nighttime, dust detection is possible over desert (Wald et al., 1998) and, even more important, vertical sounders allow retrieving dust layer mean altitude (Pierangelo et al., 2004, hereafter referred to as Pi2004). Measuring dust altitude may be of great importance for the study of dust transport, dust sources and deposit. Moreover, dust-forced cooling/warming of the atmosphere varies
as the dust layer ascends or descends and insufficient knowledge of the three dimen-

sional distribution of dust may cause significant errors in the determination of its effect on climate and in global warming prediction (Claquin et al., 1998; Alpert et al., 2004).

The new generation of high spectral resolution infrared sounders, such as the Atmospheric Infrared Sounder (AIRS) on Aqua, or the Infrared Atmospheric Sounder

Interferometer (IASI) on Metop, have already shown promising capabilities to retrieve aerosol properties such as optical depth, altitude, and mean particle size (Pierangelo et al., 2005) from space. In this paper, following the approach described in Pi2004, infrared optical depth and mean altitude of dust aerosol layer over tropical oceans (30° S-30° N) are retrieved from AIRS observations covering the six-year period Jan-

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uary 2003 to December 2008. This approach relies on the fact that longwave channels (8–12 μm) are sensitive to both the AOD and the altitude of the dust layer whereas shortwave channels (around 4 μm) are essentially sensitive to the dust optical depth (Pi2004). This behaviour, shown on Fig. 1, allows both properties to be retrieved simultaneously. Results are compared to MODIS/Aqua aerosol visible optical depths for the same time period and to Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP, launched onboard CALIPSO in April 2006 as part of the Aqua train) aerosol layer altitudes (http://www.icare.univ-lille1.fr/calipso/) for the period June 2006–December 2008. The PARASOL (Polarization and Anisotropy of Reflectances for Atmospheric Sciences coupled with Observations from a Lidar) aerosol products are also used in the comparison. Its main advantage is its capability to discriminate between spheri-

cal and non-spherical particles that are in the coarse mode, non-sphericity being an indicator of the presence of dust.

2 Data and method

15 2.1 Data used for inversion of dust aerosol characteristics: AIRS

Launched in May 2002 on board the NASA/Aqua platform, AIRS provides high spectral resolution measurements ($\lambda/\Delta\lambda$ =1200) of Earth emitted radiation in the spectral range 3.7 to 15.4 µm, at a spatial resolution of 13.5 km (size of the field of view at nadir). A 324 channels subset of AIRS data is currently distributed by NOAA/NESDIS (Goldberg et al., 2003), and archived at Laboratoire de Météorologie Dynamique since January 2003. In this study, we use clear-sky, nighttime, AIRS Level-1B brightness temperatures measured over ocean only. Cloud detection follows the method described in Pi2004. The 10 µm wavelength, which roughly corresponds to the maximum of the infrared emission from Earth, is chosen herein as the reference wavelength for infrared 25 optical depth.

The method developed follows two main steps. First, the observed atmospheric



thermodynamic situation is determined as accurately as possible; then, dust properties are retrieved following the method developed by Pi2004 with some significant improvements added. Both steps use "Look-up-Tables" (LUT) computed for a large selection of atmospheric situations consisting of about two thirds (567) of the 872 tropical situations from the climatological data base "Thermodynamic Initial Guess 5 Retrieval" (TIGR) (Chédin et al., 1985; Chevallier et al., 1998). All radiative transfer simulations are carried out using the fast line-by-line Automatized Atmospheric Absorption Atlas "4A" model (Scott and Chédin, 1981) coupled to the discrete ordinate algorithm (DISORT) (Stamnes et al., 1988) to account for dust particle scattering (see http://ara.lmd.polytechnique.fr/ and http://www.noveltis.net/4AOP/). The refractive in-10 dices of dust are taken from the "mineral transported" (MITR) model of the "Optical Properties of Clouds and Aerosols" (OPAC) data set (Hess et al., 1998, and references herein). The OPAC data set is a revised version of the data published in the book from d'Almeida et al. (1991), and the OPAC mineral transported (MITR) aerosol refractive

¹⁵ index mostly comes from the measurements of Volz (1973).

2.2 Data used for comparison and validation

2.2.1 MODIS

MODIS has been flying onboard the Aqua platform since May 2002. It is an imager operating in the visible part of the spectrum (36 spectral bands from visible to infrared wavelengths). This instrument is considered as a key sensor for satellite retrieval of aerosol properties and MODIS AOD retrievals have been widely validated with the ground-based sun photometers network AErosol RObotic NETwork (AERONET) data and compared to other satellite retrievals, model simulations and sun-photometers measurements (Remer et al., 2002, 2005; Kinne et al., 2003). Like AIRS, MODIS
 has the advantage of the global scale. Here, we use the 0.55 µm MODIS/Aqua AOD product (monthly level-3 data, MYD08_M3.005 products), given on a 1°×1° grid, over



mind that the aerosol retrieval algorithm over ocean has difficulties for deriving the actual aerosol properties over dusty regions. The non-sphericity of dust introduces artifacts in the retrieved particle size due to the phase function. It results in errors in the spectral dependance of the aerosol optical depth. Levy et al. (2003) evaluated the performance of the MODIS inversion in case of dust particles. The AOD is somewhat underestimated at 0.87 µm and overestimated at 0.47 µm. Nevertheless, the AODs at 0.66 µm fall within published estimates (Remer et al., 2002) and MODIS aerosol products provided at 550 nm are guite accurate enough for our application.

2.2.2 CALIOP

- CALIOP has been flying onboard the CALIPSO platform since April 2006. CALIOP provides information on the horizontal and vertical distributions of aerosols and clouds, as well as their optical and physical properties over the globe, with high spatial resolution from space (Winker et al., 2007; Kim et al., 2008). In order to compare our retrievals from AIRS to the vertical distribution and optical properties of aerosols obtained by
- ¹⁵ CALIOP, we have chosen to use the 5-km (horizontal) Level-2 Aerosol Layer Products. At the time we are writing this paper, the latest release of this data is version 2.01: this version provides us with the top and base altitude of each detected aerosol layer, the altitude of the centre of the attenuated backscatter coefficient profile ("centroid"), as well as the optical depth (still not considered appropriate for scientific publication,
- they are not used here) of each layer, at a spatial resolution of 5 km. These data also include a "feature sub-type classification flag", i.e. information on the aerosol sub-type, and an indication on the amount of horizontal averaging (5, 20 or 80 km) required for an aerosol layer to be detected.

Comparing CALIOP and AIRS products is not straightforward because of the extreme difference in their spatial resolutions. Comparisons between AIRS and CALIOP have consequently been made on the basis of their respective monthly means. Also, the layer properties algorithm developed for CALIOP can detect up to eight aerosol layers. On the contrary, our dust retrieval algorithm outputs a mean infrared optical



depth and a mean altitude of the dust layer, with low sensitivity to a complex layering of the dust. With the purpose of a simple and robust evaluation of AIRS retrievals, we have chosen to select cases for which only one aerosol layer is detected and measured by the lidar. This procedure also avoids computing an "average" altitude from various lidar layers with different composition or microphysical properties, which could not be compared with AIRS equivalent altitude, as the infrared effect of these layers might change deeply with composition or properties, especially the median size of particles. The main steps of this selection procedure can be summarized as follows: (1) all CALIOP shots contained in the region of study are selected; (2) selection of the cases with a single aerosol layer; (3) layers flagged as "dust" and "polluted dust" are finally selected, provided this assumption on the aerosol sub-type is "highly" confident. The subset of CALIOP data resulting from this selection represents about 64% of all CALIOP data where aerosol layers are detected.

2.2.3 PARASOL

PARASOL was launched in December 2004 and is also part of the A-Train. It carries a POLDER-type instrument (POLarization and Directionality of the Earth Reflectance, see Deschamps et al., 1994) which provides spectral, directional and polarized radiances. The individual foot-print is of 5.0×6.5 km² and the aerosol product is averaged over a 3×3 pixel grid, which results in an AOD of 15×19.5 km² resolution. Over ocean, the inversion algorithm is able to discriminate between small spherical particles that are in the accumulation mode as well as large spherical and non-spherical particles in the coarse mode (Herman et al., 2005). In the next, the non-spherical component is

directly related to the presence of dust particles.



2.3 Method

2.3.1 Atmosphere retrieval

Infrared radiances are primarily sensitive to the atmospheric state (temperature and water vapor profiles). This is why retrieving aerosol properties from infrared observations requires an accurate a priori knowledge of the atmospheric situation observed. For that purpose, 6 channels sensitive to temperature and water vapor and not or almost not sensitive to aerosols have been selected (see Table 1).

For these 6 channels, brightness temperatures (BTs) simulations are then carried out for 7 view angles (0 to 30° by steps of 5°), and for 567 atmospheres of the TIGR tropical dataset; results are stored in the "atmosphere-Look-Up-Tables". Then, for a given AIRS observation, a distance d_0 between observed and simulated BTs, taken from the LUT at the closest view angle, is calculated following Eq. (1) where BT_{obs}^i is the observed brightness temperature and BT_{calc}^i is the simulated brightness temperature for a given channel *i*.

15
$$d_0 = \sum_{i=1,7} \frac{\left(BT_{calc}^i - BT_{obs}^i\right)^2}{\sigma_i^2}$$

The distance is normalized by σ_i^2 , the variance of channel *i* over the LUT. Finally, the *N* best atmospheres, i.e. the *N* atmospheres with the lowest d_0 , are kept provided they satisfy the criterion $d_0 < \sim 0.2 d_{\text{lut}}$, d_{lut} being the mean distance calculated over the entire atmosphere-LUT, representative of its internal variability. *N* is up to 10 and situations with *N*<5 are rejected. This first step, aiming at selecting a reduced set of atmospheric situations corresponding to AIRS observations, is one of the improvements added to the method originally published by Pi2004.

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(1)



2.3.2 Aerosol monthly mean properties retrieval

The second step of the algorithm is the retrieval of dust aerosol properties (10 µm optical depth and mean altitude). First, channels the most appropriate to the retrieval of these two variables are selected as in Pi2004. Selection criteria are: (i) sensitivity to dust, (ii) no sensitivity to ozone, (iii) reduced sensitivity to water vapor. Regarding

- to dust, (ii) no sensitivity to ozone, (iii) reduced sensitivity to water vapor. Regarding the sensitivity to dust, a channel is selected either because its sensitivity is high, or because it is well correlated with a far more sensitive channel over a wide range of clear-sky atmospheric situations. This last type of selected channels adds information on other atmospheric variables, such as the temperature profile or water vapor content,
- thus bringing constraints to the retrieval. Eight channels have finally been selected (see Table 1 and Fig. 1). As an example, the sensitivity to AOD and altitude of channel 140 at 10.36 µm is illustrated on Fig. 2. Sensitivity to AOD (left) is understood as the result of a variation of 0.1 around the value given in abscissa for an altitude given in ordinate. Sensitivity to altitude (right) is understood as the result of a variation of ~500 m below
- ¹⁵ 2400 m and ~800 m above for an AOD given in ordinate (the variable step in altitude is due to the radiative transfer model layering). This figure shows that small AODs still give a significantly larger signal than the noise of the AIRS channel considered (see Table 1) provided the altitude is higher than ~1 km. It also shows that reliable altitude values can hardly be retrieved for small values of the AOD (less than ~0.1).
- The "aerosol-Look-Up-Tables" are built by computing the brightness temperatures for each of the eight channels selected, for the 567 atmospheric situations from the TIGR dataset, 7 viewing angles (0 to 30°), 9 dust AODs (0.0 to 0.8), and 8 mean altitudes of the layer (750 to ~5800 m). Table 2 gives all the entries to these LUTs. Data in the LUTs have been either directly computed using the radiative transfer model
- ²⁵ 4A/DISORT or interpolated (linearly or not) after a proper analysis of the sensitivity of each channel to variations of each of the aerosol properties.

Given an observed set of the eight AIRS selected channels, the LUT with the closest view angle is first selected. Then, the set of observed BTs is compared to similar

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sets extracted from this LUT restricted to the *N* atmospheric situations selected as in Sect. 2.3.1. The number of such sets, *P*, is equal to *N* times the number of AOD and altitude values sampled in the LUT ($N \times 9 \times 8$; see Table 2). The next step consists of defining a distance, D_{pixel} , between the observed set and each of these *P* simulated sets. This distance is written as:

$$D_{\text{pixel}}(\text{aod, alt}) = \frac{1}{N_{\text{spot}}} \sum_{1,N} \left[\alpha \sum_{j=1,8} \frac{\left(BT_{\text{calc}}^{j} - BT_{\text{obs}}^{j} \right)^{2}}{\sigma_{j}^{2}} + \beta \sum_{k=1,5} \frac{\left(\Delta BT_{\text{calc}}^{k} - \Delta BT_{\text{obs}}^{k} \right)^{2}}{\sigma_{k}^{2}} \right]$$

5

where the first term of the sum stands for the normalized distance between the observed and the LUT simulated BTs for the eight selected channels, the second term stands for the normalized distance between the observed and the LUT simulated BT 10 differences (gradients) for five couples of channels chosen as 313–177, 177–134, 315– 177, 166–135 and 140–134 (Pi2004), and N_{spot} stands for the total number of spots (AIRS fields of view) kept in the corresponding 1°×1° grid element (pixel). The coefficients α and β (α =0.8, β =0.2) weight the respective contributions of the individual channels and of the channel differences. For an atmosphere selected according to Sect. 2.3.1, the resulting distances may be illustrated owing to an AOD-altitude graph as shown in Fig. 3. On this figure, the 9×8 AOD-altitude bins highlight an area of smaller distances (blue) corresponding to the best candidate retrievals associated with the observation considered. This process is repeated for all the AIRS spots within a 1° by 1° latitude-longitude grid cell for a month. Resulting graphs are first stacked and 20 then averaged. Among the AOD-altitude bins thus obtained are only kept those for which the distance D_{nivel} is lower than a given threshold representative of the variability

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(2)





aging. Their mean provides the AOD-altitude monthly mean retrieved values and their standard deviation provides an estimate of the dispersion of the retrieval.

2.4 Robustness and limits of the algorithm

Several aspects of the retrieval algorithm: robustness to aerosol model (size distribution, shape, and refractive indices), possible contamination by other aerosol species, radiative transfer model bias removal, or cloud mask including discrimination between clouds and aerosols, etc., were investigated and details may be found in Pi2004. Results show that the effect of a change in the size distribution on the retrieved AOD and altitude is 10% at the maximum; the impact of asphericity is still smaller than the size impact, below 10%; the impact of refractive index is trickier since it depends on both the imaginary and real parts of the refractive index at the central wavelengths of the 8 channels. Pi2004 found that if the real aerosol is described by the original Volz (1973) data set, instead of the MITR model, then the optical depth might be slightly underestimated by about 10%, and the altitude slightly overestimated by 10%. Regarding the altitude,

- observations have shown that dust transport sometimes occurs in two or more distinct layers (Maring et al., 2003a; Mona et al., 2006; Papayannis et al., 2008). Thus, the (unknown) physical thickness of the dust layer and the number of layers are two potential sources of error that were also investigated in Pi2004. Intrinsically, the AIRS-retrieved altitude is an "infrared-equivalent" altitude, i.e. the altitude at which half of the dust op-
- tical depth is below and half of the optical depth is above. If the layer is homogeneous, it is the middle of this layer. A sensitivity study has been conducted for a thick single layer case (more than 3 km thick) and for a 2-layer case (one layer between 2000 and 2800 m altitude, and a second layer between 3700 and 4600 m altitude), for about 300 atmospheric situations: in both cases the mean retrieved altitude over the atmospheric
- TIGR dataset agrees within 200 m to this definition of the altitude. Furthermore, even if the vertical distribution of dust cannot be retrieved, a homogeneous layer located at the retrieved altitude is, in the infrared, optically equivalent to the real vertical profile. Therefore, it is appropriate for computing dust infrared forcing.

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Main limits of the algorithm are: (1) the systematic use of the MITR aerosol model not obviously best adapted to all situations: for example, aerosol layers may have different properties in terms of microphysics over western and eastern Atlantic; (2) the intrinsic difficulty of infrared sounders to measure close to the surface: AODs are reported only for altitudes greater than 1 km; (3) the frequent occurrence of clouds leading to observe a given 1°×1° pixel rarely more than 10–12 days per month; (4) the weakness of the aerosol signal for 10 µm AODs lower than 0.1. Limits similar to points (3) and (4) also affect visible observations, however to a lesser extent. Point (3) is not without consequences on the retrieved aerosol characteristics if one recalls the high temporal variability of dust events. For example, during the Puerto Rico Dust Experiment (PRIDE) campaign (28 June to 24 July 2000), dust was observed to reside in a deep mixed layer commonly referred to as the Saharan Air Layer (SAL) structure only during the last five days (Reid et al., 2003).

3 A focus on the Saharan air layer over North Atlantic: results and discussion

- ¹⁵ Satellite observations show continuous dust transport across the Atlantic ocean from the Saharan sources to the Caribbean and north America in the northern summer and to the Amazon basin during the northern winter (Prospero and Carlson, 1972; Carlson, 1979; Prospero et al., 2002; Koren et al., 2006). Analysis of satellite data has shown that ~240 Tg of dust are transported annually from Africa to the Atlantic Ocean,
- ~140 Tg are deposited in the Atlantic Ocean, ~50 Tg fertilize the Amazon Basin, 50 Tg reach the Caribbean, and 20 Tg return to Africa and Europe (Kaufman et al., 2005). Dust export is more abundant in the summer months as a result of large-scale Saharan dust outbreaks (Karyampudi et al., 1999). Summer dust transport to the Atlantic is largely controlled by dust emissions in the north western Sahel (Moulin and Chiapello,
- 25 2004). During winter, strong surface winds occur along the southern border of the Sahara, activating sources on the border of the Sahel, notably the Bodélé depression in northern Chad (Koren et al., 2006, and references herein). Dust outbreaks are



mostly confined to the SAL, that often extends to 5–6 km in height over west Africa due to intense solar heating in summer months. The airborne dust, which is well mixed within the SAL, is carried westward by the prevailing easterly flow in the latitude belt of 10° N–25° N (Karyampudi et al., 1999, and references herein). Approaching the west African coastline, the base of the SAL rises rapidly as it is undercut by the north-easterly trade winds, while the top subsides slowly (Karyampudi et al., 1999). Time variability is also an important characteristic of dust events and, if, as said earlier, dust was observed to reside in the classic SAL layer during the last five days of PRIDE, during the earlier portion of the study dust vertical distributions were considerably more
variable, sometimes with the dominant dust load confined to the marine boundary layer (MBL) and sometimes with the dust residing in a uniformly mixed layer extending from near the surface to about 4 km (Reid et al., 2003). As shown by Chiapello et al. (1995),

 dust transport occurs at lower altitudes in the trade winds layer during winter months. Six years (2003 to 2008) of AIRS nighttime observations for the tropical oceans
 (30° S–30° N) have been interpreted in terms of dust aerosol monthly mean optical depth and mean layer altitude.

3.1 Dust optical depth

3.1.1 Comparison between 10 μm AIRS and 0.55 μm MODIS dust AOD: Climatology

Results are first presented for the northern tropics (0° N–30° N) of the Atlantic Ocean and western Indian Ocean. Figure 4 compares 10 µm AIRS-retrieved (left) and 0.55 µm MODIS (right) monthly climatologies (1°×1° resolution) of the aerosol mean optical depth for the period 2003–2008. For significance purposes (see text, Sect. 2.4), AIRS AOD is averaged only for retrievals with layer mean altitude >1 km. Blank areas correspond to cloudy conditions or no retrievals. This figure highlights a good general agreement and both products clearly show dust transport from the Saharan sources to the Caribbean and north America in summer and to the Amazon basin in winter. Both



products also show a similar decrease of the optical depth from east to west, in coherence with the transport of the dust. Differences seen during winter between 0° N and 10° N are likely due to the fact that the AIRS product is essentially specific of the dust aerosol coarse mode when the MODIS product mostly integrates the total fine mode

- aerosol load, including dust, biomass burning (Prospero, 1999; Kaufman et al., 2005), pollution and sea-salt aerosols. In summer, AIRS AOD remains significant over the Caribbean. This is in agreement with the findings of PRIDE and in particular with the conclusions of Maring et al. (2003a,b) who note that the normalized mineral dust size distributions of particles smaller than 7.3 μm (coarse mode) over the Canary Islands
- and Puerto Rico were indistinguishable, indicating these particles were not preferentially removed during atmospheric transport. During the same campaign, Reid et al. (2003) report that, in most circumstances, gravitational settling does not appear to be an important factor in dictating dust vertical distribution. Over the Indian Ocean, the dust season as seen by AIRS starts earlier, by roughly one month, than seen by
- ¹⁵ MODIS. In favor of AIRS result is the maximum of activity in dust sources observed during pre-monsoonal (spring) and monsoonal (summer) period, with active areas during spring time between 17° N–22° N and 42° E–58° E (Léon and Legrand, 2003). Also, Li and Ramanathan (2002) show monthly variation of the AVHRR-retrieved AOD averaged over the 5 years from 1996 to 2000 for the Arabian Sea with an early start of the season in April (see their Fig. 7). However, the AIRS AOD result may be taken with
- care because of the simultaneously retrieved aerosol layer mean altitude approaching the limit of reliability of 1 km (see Sect. 2.4).

3.1.2 Comparison between 10 µm AIRS and 0.55 µm MODIS monthly mean AOD time series

²⁵ This good overall agreement is confirmed by Fig. 5 which shows time series (2003–2008) of the 10 μ m AIRS AOD and of the 0.55 μ m MODIS AOD averaged over three regions of the northern tropical Atlantic downwind of the Sahara, and one region south of the Arabian peninsula. Limits in latitude and longitude of these four regions are



given in Table 3. Near the African continent (Fig. 5a), the agreement between the two AOD time series appears quite good particularly during the dust season, from April to October. Both products show similar interannual variability with, for example, slightly weaker signatures for the years 2004 and 2008 in region (a). Outside the dust season, differences are probably explained by the sensitivity of MODIS to aerosols other than

- differences are probably explained by the sensitivity of MODIS to aerosols other than dust and to the accumulation mode (indeed MODIS inversion includes various accumulation and coarse mode combinations) instead of the coarse mode. As explained by Kaufman et al. (2005), the secondary MODIS winter peaks are most probably due to biomass burning smoke from savanna fires in the Sahel, eventually embedded in dust.
- For the southern tropics (not shown), a strong signature is present on the MODIS time series from May/June to September/October, in phase with the southern hemisphere fire season (Giglio et al., 2006). This signature is not seen in the AIRS AOD, as expected from the method. For the other months, the agreement is satisfactory. Similar conclusions are obtained for region (b) (Fig. 5b) with, however, less prominent winter
- secondary peaks. Region (c) (Fig. 5c) shows an AIRS AOD season lagging behind the MODIS AOD season. We see two tentative explanations to this phenomenon: (1) a start of the dust season very far from the sources dominated by the fine mode not seen by AIRS; (2) the use of the MITR aerosol model not obviously adapted to this situation (McConnell et al., 2008). The optical depth of the non-spherical coarse mode
- derived from PARASOL is reported in Fig. 6 for May, June and July 2007. Zone (c) is obviously on the edge of the dust plume in May/June, when dust is covering the area in July. AERONET measurements in La Parguera (18° N, 67° W), which is close to the center of the box, show the same tendancy (Fig. 7). In May, the AOD increases and is associated with a decrease of the Angström exponent due to the dust inflow
- over the area. The maximum of dust is observed in July and August, then the AOD starts to decrease and is due to smaller particles. The transition between two aerosol types in May/June may explain the time lag between MODIS and AIRS observations; the MODIS AOD in May/June is resulting from a combination of different types when AIRS is only sensitive to dust. It is however worth pointing out that, for this region,

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both AOD and altitude approach the level of significance (see next section) and AIRS results are probably less reliable than for the previous two regions. A deeper analysis of this problem is needed before concluding. Region (d) (Fig. 5d), south of the Arabian peninsula, shows a rather good agreement and confirms the early start of the AIRS seasonal signal discussed in the previous section. Altogether, during the dust season, a good correlation is observed between the two products ($R^2 \approx 0.65$). The value of the ratio of the 10 µm AIRS AOD to the 0.55 µm MODIS AOD has been computed for the peak dust season (JJA) of each year. For region (a), a mean value of about 0.57 is found with slightly larger values for 2003 and 2007 and slightly smaller values for 2005 and 2008. For region (b) this ratio is slightly smaller and less varying around a mean of 10 about 0.50. Region (d) shows a mean value of about 0.45, significantly less than region (a), both being close to their sources, but with presumably different dust compositions (Koven and Fung, 2006). These numbers compare well with the results of Highwood et al. (2003). Figure 5e also shows the number of items found in each region and considered for averaging optical depth monthly mean products. It depends on the size of each region as well as on the number of AIRS retrievals (generally smaller outside the

3.2 Dust altitude

3.2.1 AIRS mean layer altitude climatology

dust season, as seen in particular for regions (b) and (c)).

As for the AODs, results are first presented for the northern tropics (0° N-30° N) of the Atlantic Ocean and western Indian Ocean. Figure 8 shows AIRS-retrieved monthly climatology (1°×1° resolution) of the aerosol layer mean altitude for the period 2003–2008. For significance purposes (see text, Sect. 2.4), altitude is shown only for pixels with 10 µm AOD≥0.10. Locally, altitude reaches ~3500 m in July and August (mean over 6 years) and it is worth recalling that it represents the mean altitude of the dust coarse mode layer, with about half the AOD above and half below. These results bring into evidence: (1) a slow regular decrease of the altitude from east to west reported



in several studies (see, for example Colarco et al., 2003a,b, and references herein), and (2) during the peak of the dust season, a positive north-south gradient. The north-south gradient observed here was already noticed in Pi2004 and is confirmed by this 6-year climatology. It could be explained by uplifting at the Intertropical Convergence
 ⁵ Zone (ITCZ), located near 10° N in July. This hypothesis is in agreement with Colarco et al. (2003b), whose model simulations show a positive vertical mass flux around the ITCZ. Similarly, Karyampudi et al. (1999) tentatively explain the particular behaviour of the southern edge of the SAL by vertical mixing induced by strong vertical wind shears associated with the middle-level jet as well as by penetrating cumulous clouds. On the AOD and altitude maps of Figs 4 and 8 the blank areas south of the SAL precisely.

¹⁰ AOD and altitude maps of Figs. 4 and 8, the blank areas south of the SAL precisely correspond to the location of the ITCZ.

3.2.2 AIRS dust layer monthly mean altitude time series and comparison to CALIOP-altitude product

Figure 9 shows AIRS-retrieved dust layer monthly mean altitude time series (from Jan-¹⁵ uary 2003 to December 2008) averaged over region (a) of Table 3. A clear seasonal cycle is seen, with the monthly mean altitude (thick solid line) being higher during summer (June–September) than during winter (November–January). This transport pattern is consistent with conclusions from other studies (see, for example, Prospero et al. (1981), Chiapello et al. (1995)), the latter reporting higher altitudes in summer, with transport within the Saharan Air Layer (SAL), than in winter, with transport within the shallower easterly wind layer. Figure 9 also displays the standard deviation associated with AIRS monthly mean altitude ($\pm 1\sigma$, shaded) calculated from all items found in the region and for each month. Over the period processed, the mean standard deviation comes to about 370 m.

²⁵ The bottom dashed line shows the number of AIRS items (right ordinate) available in the statistics and indicates that less confidence must be given to winter months. For the period June 2006–December 2008, CALIOP-retrieved mean aerosol layer altitude product (centroid) is shown by the magenta solid line (see Sect. 2.2.2 describing how



CALIOP Level-2 data are selected). The agreement between AIRS and CALIOP mean altitude is satisfactory keeping in mind: (1) the extreme difference between the spatial sampling of the two instruments, and (2) the large standard deviation associated with CALIOP altitudes (vertical magenta thin lines). The difference seen between the

⁵ peak-to-trough amplitudes of the two products (smaller for AIRS) likely results from the large difference between their spatial resolutions. The spatial averaging of AIRS is indeed expected to smooth local extreme values measured by CALIOP. During the main dust season (June–September), AIRS altitudes are lower than CALIOP altitudes by about 500 m and agree better outside. This comparison illustrates the ability of infrared sounders to retrieve dust aerosols altitude guite accurately.

4 Conclusions

Infrared (10 μm) dust aerosol AOD and mean altitude have been retrieved over the tropics (30° N–30° S) from AIRS observations, covering the period January 2003–December 2008. AIRS AODs compare well with MODIS 0.55 μm AOD for three regions positioned
 over northern tropical Atlantic, from west to east downwind of the Sahara and one region of the Indian Ocean, south of the Arabian peninsula. This agreement is particularly satisfactory both during and outside the main (summer) dust seasons and differences between the two products mostly highlight the sensitivity of MODIS to biomass burning (not to speak of pollution or sea-salt aerosols) outside these seasons. For the region of the Atlantic Ocean the fartheat from the courses, the AIRS AOD season last behind

of the Atlantic Ocean the farthest from the sources, the AIRS AOD season lags behind the MODIS AOD season. We proposed two tentative explanations to this phenomenon:
 (1) a start of the dust season very far from the sources dominated by the fine mode not seen by AIRS, seemingly confirmed by PARASOL-retrieved aerosol optical thickness of the non-spherical mode at 0.55 μm; (2) the use of the MITR aerosol model not obviously adapted to this situation. The ratio 10 μm AOD to 0.55 μm AOD do not show an important interannual variability.

AIRS-retrieved dust mean layer altitude shows a slow regular decrease from east



to west Atlantic already reported in several studies as well as a clear seasonal cycle, with summer transport occurring at higher altitudes than spring transport, in agreement with former studies (Prospero et al., 1981; Chiapello et al., 1995). A north-south positive gradient observed (Pi2004) is confirmed here during the peak of the dust sea-

- son and could be explained by uplifting at the Intertropical Convergence Zone, located near 10° N in July (Colarco et al., 2003b; Karyampudi et al., 1999). For the period June 2006–December 2008, the comparison with CALIOP/CALIPSO mean altitude (centroid) of the dust layer shows a good agreement with the AIRS mean altitude although the comparison was limited to cases where the lidar detect only one aerosol
 layer (about 64% of the cases). The difference seen between the peak-to-peak ampli-
- tudes of the two products (smaller for AIRS) is thought to come mainly from the large difference between the spatial resolutions of the two instruments.

These results illustrate the ability of infrared sounders to retrieve dust layer $10 \,\mu m$ AOD as well as mean dust layer altitude quite accurately. Limits of this approach are

- ¹⁵ mostly due to the intrinsic limit of infrared sounders to sound close to the surface. Here, retrieved altitudes lower than 1 km have been discarded. The still too limited number of accurate refractive index measurements for various dust species in the infrared must also be underlined. We hope that additional measurements (see for example, Mc-Connell et al., 2008) are to be conducted, allowing deeper study of the impact of dust
- ²⁰ composition and opening the way to promising dust mineralogical characterizations from high spectral resolution satellite observations. The next step is to extend the retrieval to daytime observations and over land, which requires consideration of the infrared surface emissivity at high spectral resolution now available at LMD (Péquignot et al., 2008). These new developments are presently underway at LMD on the basis of
- observations made by the new very high resolution Infrared Atmospheric Sounder Interferometer (IASI), offering more and still cleaner channels. This will provide a unique global monitoring of dust sources over desert.

Finally, a satisfactory agreement has been found comparing the different retrievals from AIRS, MODIS and CALIOP. As reported by Liu and Mishchenko (2008), compari-

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son of aerosol datasets from several satellite-based observations is challenging. Each retrieval has its own limitations and shortcomings so large differences may occur. The present algorithm do not make exception and users should be aware of the condition of use and of the quality statements of the product.

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Table 1. AIRS selected channels number, wavenumber, wavelength, mean surface transmittance over the tropical situations of the climatological database "Thermodynamic Initial Guess Retrieval" (TIGR) and noise in Kelvin. Upper part of the table: 6 channels selected for atmosphere retrieval. Lower part of the table: 8 channels selected for aerosol retrieval.

Channel number 1–2378 (1–324)	Wavenumber (cm ⁻¹)	Wavelength (µm)	Surface transmittance	Noise (K) at 250 K
193 (87)	704.719	14.190	0.000	0.27
239 (102)	717.994	13.928	0.002	0.24
1278 (176)	1224.623	8.166	0.074	0.13
1901 (251)	2214.572	4.516	0.068	0.10
2111 (285)	2390.110	4.184	0.054	0.14
2120 (294)	2398.949	4.168	0.421	0.15
587 (134)	843.913	11.850	0.549	0.29
672 (135)	871.289	11.477	0.232	0.19
914 (140)	965.431	10.358	0.675	0.12
1142 (166)	1074.478	9.307	0.330	0.13
1285 (177)	1228.225	8.142	0.568	0.08
1301 (179)	1236.539	8.087	0.246	0.08
2325 (313)	2607.887	3.835	0.606	0.35
2333 (315)	2616.383	3.822	0.974	0.31

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Table 2. Characteristics of the calculated brightness temperatures Look-Up Table used for aerosol retrieval. BTs with bold values are calculated directly using the coupled radiative transfer code 4A+DISORT; BTs for other values are interpolated.

Parameter	Values
View angles (°)	0 , 5, 10, 15 , 20, 25, 30
AOD	0.0 , 0.10, 0.20, 0.30, 0.40 , 0.50, 0.60, 0.70, 0.80
Altitude (4A layer)	757 m (38) , 1258 m (37) , 1756 m (36), 2411 m (35) , 3254 m (34) , 4116 m (33) , 4965 m (32), 5795 m (31)





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Table 3. Regions of study

Region	Latitude		Longitude	
	South	North	West	East
(a)	10° N	28° N	35° W	10° W
(b)	7° N	28° N	60° W	35° W
(c)	10° N	30° N	85° W	60° W
(d)	8° N	25° N	40° E	65° E









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Fig. 1. Effect of mineral dust on AIRS brightness temperatures for 324 AIRS channels: (a) for three values of the 10 μ m AOD; (b) for three values of the mean layer altitude. "Reference" values: AOD=0.2, altitude=2411 m.



Fig. 2. Sensitivity of channel 140 (at 10.36 μ m, 965.4 cm⁻¹) to AOD for various mean altitudes of the aerosol layer (left), and to mean layer altitude for various AODs (right). Sensitivity to AOD corresponds to a variation of 0.1 around the AOD value considered; sensitivity to altitude corresponds to a variation of one layer of the 4A radiative transfer model layering (~500 m below 2400 m and ~800 m above) around the altitude value considered. The instrumental noise for this channel is 0.12 K.









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Fig. 4. Monthly climatology $(1^{\circ} \times 1^{\circ} \text{ resolution})$ of the aerosol layer mean optical depth seen by AIRS and MODIS over the period 2003–2008. Left: 10 µm AIRS-retrieved AODs; right: 0.55 µm MODIS-retrieved AODs. January: top; December: bottom.







Fig. 5. (a) to **(d)** Time series of $10 \,\mu$ m AIRS (red line, left ordinate) and $0.55 \,\mu$ m MODIS (green line, right ordinate) optical depths for the regions of Table 3: **(a)** east Atlantic, **(b)** middle Atlantic, **(c)** west Atlantic, and **(d)** south of the Arabian peninsula. **(e)** Time series of the number of items found in the corresponding regions.



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Fig. 6. Aerosol optical thickness of the non-spherical coarse mode at 0.55 µm observed by PARASOL in May (top), June (center) and July (bottom) 2007.





Fig. 7. Aerosol optical thickness (*y*-left axis) and Angström Exponent (*y*-right axis) as a function of the month (*x*-axis). The data are averaged over 8 years of measurements, from 2000 to 2008 (2003 is missing).



Fig. 8. Monthly climatology $(1^{\circ} \times 1^{\circ} \text{ resolution})$ of the aerosol layer mean altitude retrieved by AIRS over the period 2003–2008. For significance purposes (see text, Sect. 2.4), altitude is shown only for pixels with 10 µm AOD≥0.10.





Fig. 9. Time series of AIRS-retrieved monthly mean aerosol layer altitude (thick black line, solid if the number of items is statistically representative, dotted otherwise) over Atlantic region (a) of Table 3. The thin dashed line shows on the right ordinate the corresponding number of items in the region. The 1- σ envelope of the AIRS retrieval over the region is shown in grey. CALIOP mean (centroid) altitudes are shown in magenta for the period June 2006–December 2008.

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