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Trend in ice moistening the stratosphere – constraints from isotope data of water and methane

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9, 16973-16991, 2009

ACPD

Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References

Figures

Title Page

Abstract Inti

Conclusions Re

Tables F

I

Back

Full Screen / B

Back Close
Full Screen / Esc

Printer-friendly Version
Interactive Discussion



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Abstract

Water plays a major role in the chemistry and radiative budget of the stratosphere. Air enters the stratosphere predominantly in the tropics, where the very low temperatures around the tropopause constrain water vapour mixing ratios to a few parts per million. Observations of stratospheric water vapour show a large positive long-term trend, which can not be explained by change in tropopause temperatures. Trends in the partitioning between vapour and ice of water entering the stratosphere have been suggested to resolve this conundrum. We present measurements of stratospheric H₂O, HDO, CH₄ and CH₃D in the period 1991–2007 to evaluate this hypothesis. Because of fractionation processes during phase changes, the hydrogen isotopic composition of H₂O is a sensitive indicator of changes in the partitioning of vapour and ice. We find that the seasonal variations of H₂O are mirrored in the variation of the ratio of HDO to H₂O with a slope of the correlation consistent with water entering the stratosphere mainly as vapour. The variability in the fractionation over the entire observation period is well explained by variations in H₂O. The isotopic data allow to conclude that the trend in ice arising from particulate water is no more than 0.01±0.13 ppmv/decade in the observation period. Our observations suggest that between 1991 and 2007 the contribution from changes in particulate water transported through the tropopause plays only a minor role in altering in the amount of water entering the stratosphere.

Introduction

Air enters the stratosphere predominantly through the tropical tropopause, where the cold temperatures limit water vapor mixing ratios to a few parts per million by volume (ppmv)(Brewer, 1949; Holton et al., 1995). Stratospheric water is an important greenhouse gas and plays a major role in the stratospheric chemistry (Brasseur and Solomon, 2005; Forster and Shine, 2002). The reported opposite trends of stratospheric water and tropical tropopause temperatures (Rosenlof et al., 2001; Zhou et

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References

Figures

Title Page **Abstract** Conclusions Back Full Screen / Esc Printer-friendly Version



al., 2001) suggest that our understanding of processes controlling water entering the stratosphere (H₂O^{entry}) is incomplete. During the last years, our understanding of the processes that control water entering the stratosphere on annual and interannual timescales has improved greatly (Weinstock et al., 1995; Mote et al., 1996; Jensen 5 and Pfister, 2004; Fueglistaler et al., 2005; Randel et al., 2006; Read et al., 2008). However, the reported long-term trends remain unexplained (Rosenlof et al., 2001; Oltmans and Hofman, 1995; Fueglistaler and Haynes, 2005). A major impediment to better understanding is that trend estimates based on different instruments are inconsistent (Randel et al., 2004; Scherer et al., 2008).

The general upward transport across the tropopause is thought to be the consequence of the wave-driven stratospheric circulation, but the degree to which this transport is accounted for by convection that detrains directly into the stratosphere is still under debate (Fueglistaler et al., 2009). Air rising slowly with the wave driven circulation experiences cooling up to the tropopause, leading to cirrus formation and subseguent gravitational removal of the ice. Conversely, convection detraining above the level of neutral buoyancy may either have a drying effect (because the temperature of the convective core may be below ambient temperatures), or a moistening effect (because in convective transport water in the condensed phase may greatly exceed that in the vapour phase). The latter effect may be particularly pronounced for convection that detrains above the cold point tropopause, as the detraining air masses mix with a subsaturated environment. In both cases, water may enter the stratosphere not only as vapour, but also in the condensed phase which subsequently evaporates in the stratosphere. Because of the general isotopic enrichment of these ice crystals, water entering the stratosphere as ice would lead to a net enrichment of stratospheric water.

Hence, observations of the isotopic fractionation of water can provide important insight into the processes that control the dehydration as the air passes through the tropical tropopause (Moyer et al., 1996; Kuang et al., 2003; Keith, 2000; Webster and Heymsfeld, 2003). HDO provides a sensitive test of whether ice lofting contributes significantly to the observed variability of H₂O. Since HDO has a lower vapor pressure

ACPD

9, 16973-16991, 2009

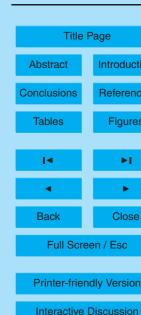
Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References

Figures





than H_2O , the D/H ratio in the remaining vapor progressively decreases as water vapor condenses (Johnson et al., 2001). If all condensate were immediately removed from an air mass (so-called Rayleigh fractionation), the HDO/ H_2O ratio at the tropopause would be about 10 times smaller than that at the surface (Moyer et al., 1996; Keith, 2000), corresponding to δD =-900 in the commonly used delta-notation. However, measured values for δD lie between -300 and -700 (Moyer et al., 1996; Kuang et al., 2003; Johnson et al., 2001; Payne et al., 2007; Nassar et al., 2007; Steinwagner et al., 2007).

Isotope measurements suggest a significant role of ice for the water budget of air that eventually enters the stratosphere, either by direct convective injection or incomplete sedimentation of in-situ formed cirrus clouds (Moyer et al., 1996; Kuang et al., 2003; Keith, 2000; Webster and Heymsfield, 2003). The partitioning between vapour and ice of water entering the stratosphere is currently unknown, but it has been suggested that the amount of ice directly delivered to the stratosphere increases with time due to the increase of tropospheric aerosol production as a consequence of the Asian industrialization and tropical biomass burning (Sherwood, 2002; Notholt et al., 2005). Such processes could explain the enigmatic long term trend of stratospheric water vapor.

2 Balloon borne observations

Here, we make use of remote sensing data from the JPL MkIV balloon borne solar occultation FTIR (Fourier transform infrared) spectrometer (Toon, 1991). The spectra used in this work were collected between 1991 and 2007 from the balloon measuring at an altitude at 33–40 km altitude as the sun was rising or setting through the limb of the earth's atmosphere. Observations were performed near 35° N and 65° N. The retrieved volume mixing ratio profiles extend from the middle troposphere to 35 km in 1 km steps. The solar occultation measurements provide a very high sensitivity to atmospheric trace species at high vertical resolution at the expense of degraded spatial resolution (400 km in line of sight). The broad spectral coverage of 650–5650 cm⁻¹ allows a large number of different gases to be measured simultaneously in the same air

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere





mass. The high spectral resolution of 0.01 cm⁻¹ enables individual spectral lines of the different water and methane isotopes to be distinguished (see Supplement http://www. atmos-chem-phys-discuss.net/9/16973/2009/acpd-9-16973-2009-supplement.pdf).

The retrieval was performed to determine the volume mixing ratio profiles of H₂O, HDO as well as the CH₄ and CH₃D necessary for analysis of the isotope budget. In the spectral microwindows chosen for analysis, the individual spectral lines of the different isotopes of interest do not overlap significantly, enabling a truly independent retrieval of each isotope. Figure 1 shows a typical set of measured profiles and the corresponding calculated relative difference of the isotopic ratios, the delta values (see Eqs. 2–5). Note that because of the long optical path through the atmosphere, small scale variations as observed in upper tropospheric air masses (Webster and Heymsfield, 2003) will be smoothed out.

The measured spectra were analyzed by least squares fitting of calculated spectra to the measured ones. The forward calculation and the fitting were performed with the GFIT algorithm, a line-by-line radiative transfer code developed at JPL (Sen et al., 1996). The spectral data were taken from the HITRAN 2004 data base (Rothman et al., 2005), with recent updates to H₂O and HDO (Robert Toth, JPL). Suitable microwindows for each trace gas and isotope have been selected using an automatic linefinding program (Notholt et al., 2006). For each trace gas and isotope between 10 and 40 separated micro-windows have been chosen, and the individual results have been averaged, weighted by their individual precisions, which is estimated from the quality of the fits to the measured spectra.

The reported error in the retrieval of volume mixing ratio represents the relative precision of the retrieval at the corresponding levels. When calculating the ratio $[HDO^{entry}]/[H_2O^{entry}]$ or δD^{entry} as shown in Fig. 1 the error bars have been calculated following the error propagation rules (see Supplement http://www. atmos-chem-phys-discuss.net/9/16973/2009/acpd-9-16973-2009-supplement.pdf). In order to achieve the required precision for the analysis, we average data (weighted by the precision on each level) in two separate layers, namely between 380 and 425 K,

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

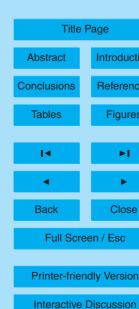
J. Notholt et al.

Introduction

References

Figures

Close





and 500–800 K, reducing the uncertainties substantially.

Isotopic fractionation

The relative difference of isotopic ratios, the delta values, is defined as (Kaye, 1987):

$$\delta D = \frac{\left(N(D)/N(H)\right)_{\text{sample}}}{\left(N(D)/N(H)\right)_{\text{standard}}} - 1 \tag{1}$$

where N(D) and N(H) stands for the number of deuterium and hydrogen isotopes, respectively, and (N(D)/N(H))_{standard} is the isotope ratio of the reference, the Standard Mean Ocean Water (SMOW), equal to 155.76×10⁻⁶. Equation (1) in units of the volume mixing ratio this reads similarly

$$\delta D = \frac{\left([HDO]/[H_2O]\right)_{sample}}{\left([HDO]/[H_2O]\right)_{standard}} - 1$$
 (2)

The results of Eqs. (1) and (2) are normally expressed in per mille. In the stratosphere, the total amount of hydrogen (i.e. $n(H_1, total) = 2n(H_2, O) + 4n(CH_4) + 2n(H_2)$) and deuterium (i.e. n(D,total)=n(HDO)+n(CH₃D)+n(HD)) are effectively conserved within these six gases. Equation (2) can be modified to account for the chemical conversions between the trace gases and their isotopes. The volume mixing ratios of HDO and H₂O at the tropopause, [HDOentry] and [H2Oentry], corrected for the chemical conversion in the stratosphere are given by (McCarthy et al., 2004; Rahn et al., 2003):

$$[HDO^{entry}] = [HDO] - ([CH_3D^{tr}] - [CH_3D]) - ([HD^{tr}] - [HD])$$
 (3)

$$[H_2O^{entry}] = [H_2O] - 2([CH_4^{tr}] - [CH_4]) - [H_2^{tr}] - [H_2]$$
(4)

H₂ and HD cannot be measured in the infrared spectral region, but have been calculated using the correlations with CH₄ (McCarthy et al., 2004; Rahn et al., 2003). In the 16978

ACPD

9, 16973–16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References

Figures

M

Close





calculations for [HDO^{entry}] and [H₂O^{entry}], the balloon-borne observations are complemented with the entry volume mixing ratios of methane and molecular hydrogen, and their deuterated isotopologues from tropospheric in-situ data of CH₄^{tr}, H₂^{tr}, CH₃D^{tr} and HD^{tr}. This closure of the hydrogen and deuterium budget is possible because neither methane not molecular hydrogen show significant fractionation during transport into the stratosphere. For CH₄^{tr} we take the global tropospheric values from the NOAA ESRL data base (ftp://ftp.cmdl.noaa.gov/ccg/). The trend in the isotopic ratios CH_3D^{tr}/CH_4^{tr} and HD^{tr}/H₂^{tr} is 0.09%/year and 0.16%/year, respectively (Rhee et al., 2006). All entry volume mixing ratios are at the time of entry into the stratosphere, which is the date of measurement in the stratosphere, minus the age of air at the measured position. The age of air has been determined from the measured CH₄ values as described (Rahn et al., 2003). Having determined [H_2O^{entry}], [HDO^{entry}], we finally can calculate δD^{entry} of water entering the stratosphere.

$$\delta D^{\text{entry}} = \frac{[\text{HDO}^{\text{entry}}]/[\text{H}_2\text{O}^{\text{entry}}]}{([\text{HDO}]/[\text{H}_2\text{O}])_{\text{standard}}} - 1 \tag{5}$$

where ([HDO]/[H2O])_{standard} is SMOW expressed as volume mixing ratio. It may be important to emphasize that chemical processing in the stratosphere will change the concentration of the individual tracers, but does not affect the budget. Similarly, mixing processes do not affect the budget, but lead to smoothing (in time) of entry mixing ratio variations.

Results

To study processes that control δD^{entry} and H_2O^{entry} in more detail, we separately analyze data from the lower stratosphere (380-425 K, ~16-18 km altitude) and from the middle stratosphere (500-800 K, ~21-30 km) (Fig. 1). In the lower layer, relatively fast

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Interactive Discussion

Introduction

References

Figures

Title Page **Abstract** Conclusions **Tables** Back Full Screen / Esc Printer-friendly Version quasi-isentropic mixing and poleward transport occurs (Randel et al., 2004). Observations in this layer sample a mixture of air masses that recently (weeks to months) passed the tropopause, with comparatively large variability in H_2O^{entry} and δD^{entry} due to variability in conditions at entry. Conversely, measurements in the upper layer are located in the well-mixed stratospheric 'surf zone' (McIntyre and Palmer, 1984). As such, they exhibit both a much older mean age in the order of years and a broader age distribution, and, as pointed out above, provide low-pass (in time) filtered data of conditions at entry.

4.1 Short-term variability at 380–425 K

Figure 2a shows the mixing ratio of [HDO^{entry}] as a function of [H₂O^{entry}] for all single balloon profiles, averaged in the 380–425 K layer. Figure 2c shows the same information, expressed as δD^{entry} . The measurements show, as may be expected, a strong correlation between HDO and H₂O. We have calculated a set of Rayleigh fractionation curves (Johnson et al., 2001), black curves in Fig. 2a and c. The Rayleigh fractionation depends on the temperature and humidity (Keith, 2000; Johnson et al., 2001; Kaye, 1987). The Rayleigh curves have been calculated with the following initial conditions: H₂O mixing ratio of 15 ppmv, and isotopic depletions ranging from δD =–200‰ (top curve in Fig. 2a) to δD =–500‰ (lowest curve) in steps of δD =50‰. The range of the initial conditions corresponds to the variations observed in the TTL (Moyer et al., 1996; Kuang et al., 2003; Webster and Heymsfield, 2003). Depending on the initial δD , the Rayleigh fractionation curves follow different paths, but all exhibit similar slopes in the range of our H₂O^{entry} data.

Given the strong evidence from the absolute δD depletion (i.e. the general isotopic enrichment compared to a Rayleigh process initialized in the boundary layer) that evaporating ice plays a significant role in the TTL water budget (Moyer et al., 1996), and the nearly constant isotopic depletion in profiles at a given time observed by ATMOS (Kuang et al., 2003), it is remarkable that the temporal variability at 380–425 K shows progressive isotopic depletion with lower water mixing ratios. Perhaps even more re-

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere



markable is the fact that the observations are close to the theoretical Rayleigh fractionation curves in the area of interest. Our data suggests the existence of an isotopic analogue to the water vapor tape recorder effect (Mote et al., 1996). Lack of detection in the ATMOS (Kuang et al., 2003) profiles may be due to insufficient accuracy and vertical resolution; more recent data from MIPAS indeed shows clear evidence for such seasonal variations (Steinwagner et al., 2009).

The measured data points have been fitted by a Rayleigh curve plus a linear term to account for other contributions such as ice. Since the physical relation between HDO^{entry} and H₂O^{entry} is given in Fig. 2a we fitted the data in Fig. 2a, but showed the results also in the commonly used delta notation (Fig. 2b). The solid brown line is the best fit, weighted by the individual 1σ precision of the individual data points. The linear term of the fitted curve shows a marginal positive slope, which may be explained with a small contribution from isotopically heavy ice, that increases with increasing H₂O.

Since it is not possible to derive the absolute amount of water entering as ice directly. we proceed as follows to estimate the variability and trend in the contribution from ice. The total amount of H₂O and HDO transported through the tropical tropopause can be expressed as a sum of gas phase water and ice.

$$n(H_2O^{\text{total}}) = n(H_2O^{\text{gas}}) + n(H_2O^{\text{ice}})$$
(6)

$$n(\mathsf{HDO}^{\mathsf{total}}) = n(\mathsf{HDO}^{\mathsf{gas}}) + n(\mathsf{HDO}^{\mathsf{ice}}) \tag{7}$$

Both equations are connected by the fractionation. This allows to estimate the dependence of H₂O^{ice} as a function of the H₂O^{total}. We calculate the gas phase isotopic depletion following Rayleigh fractionation. The uncertainties in the knowledge of δD^{ice} makes it difficult to determine the increase in the absolute partitioning between water and ice. The isotopic depletion of ice is not well constrained from observations; a sensible value used below is $\delta D = -300$ (Webster and Heymsfield, 2003). The retrieved increase in H₂O^{ice} varies, depending on the assumed Rayleigh curves. We obtain an increase in [H₂O^{ice}] between 0.1–0.7 ppmv for an increase in [H₂O^{total}] from 2–5 ppmv.

ACPD

9, 16973–16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References

Figures

Title Page **Abstract** Conclusions **Tables** Back Full Screen / Esc Printer-friendly Version



Due to the freedom in the choice of the Rayleigh curve we do not interpret the absolute numbers for $[H_2O^{ice}]$ and focus only on the trend in (H_2O^{ice}) .

To summarise, our data confirm previous findings of a strong isotopic enrichment of air entering the stratosphere, which may arise from evaporation of convectively lofted ice (Moyer et al., 1996; Kuang et al., 2003; Johnson et al., 2001; Payne et al., 2007; Nassar et al., 2007; Steinwagner et al., 2007). However, our data also yield a correlation of δD^{entry} with $H_2 O^{entry}$ not seen in previous measurements. The slope of this correlation is close to that of Rayleigh fractionation, which suggests that in-situ dehydration plays an important role. Further, the observed slope, being slightly steeper than pure Rayleigh, implies that the amount of ice transported into the stratosphere is positively correlated with total $H_2 O^{entry}$.

4.2 Long-term variability at 500-800 K

The interannual variability of δD^{entry} is estimated from the observations made at 500–800 K in well mixed stratospheric air. Since $[H_2O^{entry}]$ and δD^{entry} have been corrected for the tropospheric trends in CH_4 and H_2 and their isotopes, these measurements reveal the trend in the fractionation of water passing the tropopause. Figure 3 shows the averages of H_2O , HDO, and δD^{entry} (or the ratio $[HDO^{entry}]/[H_2O^{entry}]$) at 500–800 K as a function of year. Dehydration in the arctic vortex could affect isotopic depletion of water in a poorly constrained way. Hence, the data measured at 65° N are shown, but not included in the following analysis. A linear fit (red line in Fig. 3) through the measured δD^{entry} (weighted by the individual 1σ precision) yields a weak trend in the delta values of 11.1±12.3%/decade (1σ precision) of water entering the stratosphere for the period 1991–2007. This isotopic enrichment may be a consequence of changes in the fractionation of vapour or ice entering the stratosphere, or in the partitioning between these two sources. In the following, we determine the trend in particulate water that is not correlated with variations in vapour entry mixing ratios.

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere



5 Trend in particulate water

The measured correlation of the seasonal variabilities of [HDO^{entry}] to [H₂O^{entry}] at 380–425 K (brown line in Fig. 2) allows to calculate δD^{entry} at 500–800 K for the measured [H₂O^{entry}] at 500–800 K. This gives calculated values for δD^{entry} at 500–800 K under the hypothesis that interannual variability is governed by the same processes as the variability on shorter timescales, which we have shown to be consistent with temperature dependant Rayleigh fractionation plus a seasonal dependent ice contribution. The linear fit through these predictions (black line in Fig. 3) yields a trend of 10.5%/decade, which is statistically not significantly different from the observed trend. Hence, our data shows a tendency for isotopic enrichment over the period of observation, but there is no indication that the contribution from ice would have changed (as may be expected due to trends in aerosol loading) in a manner other than that seen in short term variations.

Our findings do not depend on assumptions on the tropopause temperature trend. Furthermore, our data interpretation depends only on the slopes of the curves in Figs. 2 and 3, but not on their absolute values. Changing the strength of the spectral lines for the retrieval within realistic limits ($\pm 10\%$) causes a bias in the absolute values of the delta values, but does not affect the slopes. Changing the tropospheric trends of CH₄, H₂ and their isotopologues HD and CH₃D within realistic limits ($\pm 20\%$) changes the slope of the delta values by $\pm 2\%$ /decade, which is within the uncertainties of the slopes. Changing the age-of-air within realistic limits ($\pm 20\%$) changes the slope by $\pm 2\%$ /decade, also within the uncertainties of the slopes.

The long-term change in the amount of ice transported through the tropopause that is not predicted from the short-term variations can be calculated in a similar way as described in Sect. 5.1. Assuming a mean mixing ratio of water vapor transported through the tropopause of about 3–4 ppmv (Fueglistaler et al., 2005; Lambert et al., 2007) and $\delta D=-300\%$ for convectively lofted ice (Webster and Heymsfield, 2003) the 0.6±12.3%/decade deviation between the observed and predicted trends

ACPD

9, 16973-16991, 2009

Trend in ice moistening the stratosphere



in δD^{entry} converts to an increase in the amount of ice delivered to the stratosphere of 0.01±0.13 ppmv/decade. Note that these results are relatively insensitive to the exact value of water entering the stratosphere, but are sensitive to δD of ice entering the stratosphere. The closer the isotopic composition of this "additional" ice is to that of the ₅ average total water entering the stratosphere, the less sensitive is our method.

We also checked the hypothesis that the observed δD trend arises solely from ice, which means we completely ignore the observed [HDO^{entry}]:[H₂O^{entry}] relation at 380– 425 K (Fig. 2). Assuming again ice clouds of $\delta D = -300\%$, this yields an upper limit of [H₂O^{ice}] of 0.12 ppmv/decade for convectively lofted ice.

Because of the broad age spectrum in the layer of 500-800 K, we expect that seasonal effects in the fractionation should be marginal. Taking only the autumn flights (reducing the number of data points and increasing the uncertainty) for the calculation above gives an increase in the measured delta values of 8.0±13.5%/decade and 4.3%/decade for the predicted one. The difference in these trends of 3.7±13.5%/decade correspond to an increase in ice of 0.04±0.16 ppmv/decade, only slightly larger than the value from above.

Conclusions

Together, the seasonal and interannual changes in δD^{entry} suggest that between 1991 and 2007 the contribution from changes in particulate water transported through the tropopause plays only a minor role in altering in the amount of water entering the stratosphere. The change in the amount in particulate water calculated are within the uncertainty of model studies of stratospheric water that ignore the contribution of particulate water (Fueglistaler and Haynes, 2005). Moreover, they are about an order of magnitude smaller than what would be required for a trend of the magnitude as proposed (Rosenlof et al., 2001).

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ACPD

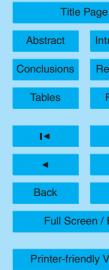
9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Introduction

References





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ACPD

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J. Notholt et al.

Title Page



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J. Notholt et al.

Introduction

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Figures

Close

Title Page Abstract Conclusions **Tables** T⋖ Back Full Screen / Esc Printer-friendly Version



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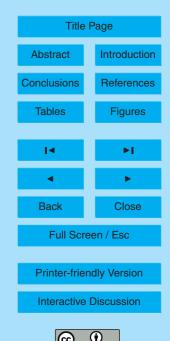
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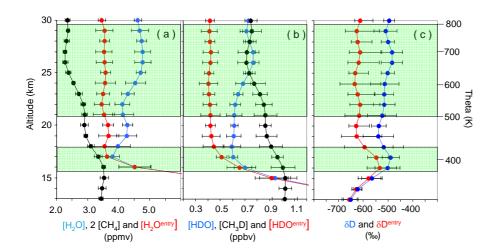


Fig. 1. Volume mixing ratio profiles of the trace gases measured, together with the derived stratospheric entry and entry delta values. The data shown are the results from a single balloon profile, launched at 33° N on 29 September 1996. The error bars give the 1σ precision. The shaded areas denote the altitude regions used for the analysis, 380–425 K and 500–800 K. The calculation of [H₂O^{entry}], [HDO^{entry}] and δ D^{entry} is described in the text. **(a)** Mixing ratios of [H₂O], 2×[CH₄] and [H₂O^{entry}]. **(b)** Mixing ratios of [HDO], [CH₃D] and [HDO^{entry}]. **(c)** Calculated delta values for δ D and δ D^{entry}.

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

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Title Page	
Abstract	Introd
Conclusions	Refer
Tables	Fig
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Back	Cl
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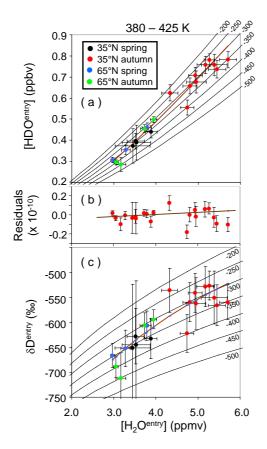


Fig. 2. Correlation plot of [H₂O^{entry}] versus [HDO^{entry}] and δ D^{entry} for the altitude range 380–425 K. The error bars give the 1σ precision. (a) [H₂O^{entry}] versus [HDO^{entry}]. The brown line is the weighted fit of the Rayleigh curve plus a linear term to the measured data. The set of calculated Rayleigh curves are given as black lines, ranging from δ D=-200‰ to δ D=-500‰. (b) The residuals are measurements in winus fit, the brown line gives the linear term in the fit. (c) The same expression as in (a), but expressed as [H₂O^{entry}] versus δ D_{entry}.

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Title Page





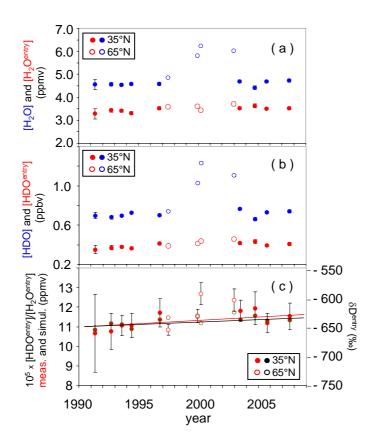


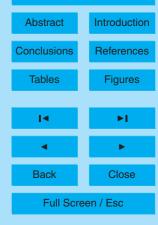
Fig. 3. Measured and simulated [H₂O^{entry}] and δ D^{entry} as a function of year together with their calculated trends at 500–800 K. The error bars for the measurements give the 1 σ precision. **(a)** Variability of measured [H₂O] and [H₂O^{entry}]. **(b)** Variability of measured [HDO] and [HDO^{entry}]. **(c)** Measured (red) ratio [HDO^{entry}]/[H₂O^{entry}] (left scale) or delta values δ D^{entry} (right scale). The black symbols give simulated data. The red line give the linear fits to the measured data at 35° N, the black one the linear fit to the simulated data at 35° N.

9, 16973-16991, 2009

Trend in ice moistening the stratosphere

J. Notholt et al.

Title Page





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