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**Microphysical and  
optical properties of  
Arctic mixed-phase  
clouds**

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# Microphysical and optical properties of Arctic mixed-phase clouds – the 9 April 2007 case study

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## Abstract

Airborne measurements in Arctic boundary-layer stratocumulus were carried out near Spitsbergen on 9 April 2007 during the Arctic Study of Tropospheric Aerosol, Clouds and Radiation (ASTAR) campaign. A unique set of co-located observations is used to describe the cloud properties, including detailed in situ cloud microphysical and radiation measurements along with airborne and co-located spaceborne remote sensing data (Lidar on Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations [CALIPSO] and radar on CloudSat satellites). The CALIPSO profiles evidence a cloud top temperature which varies between  $-24^{\circ}\text{C}$  and  $-21^{\circ}\text{C}$ . The in situ cloud observations reveal that the attenuated backscatter signal from lidar along the aircraft trajectory is linked with the presence of liquid water and therefore confirms a cloud top layer dominated by liquid-water, which is a common feature observed in Arctic mixed-phase stratocumulus clouds. A low concentration of quite large ice crystals are also evidenced up to the cloud top and lead to significant CloudSat radar echo. Since the ratio of the extinction of liquid water droplets and ice crystals is high the broadband radiative effects near the cloud top are mostly dominated by water droplets. CloudSat observations as well as in situ measurements reveal high reflectivity factors (up to 15 dBZ) and precipitation rates ( $1\text{ mm h}^{-1}$ ). This feature is due to efficient ice production processes. About 25% of the theoretically available liquid water is converted into ice water with large ice crystals which precipitate. According to an estimation of the mean cloud cover, a considerable value of  $10^6\text{ m}^3\text{ h}^{-1}$  of fresh water could be settled over the Greenland sea pool. European Centre for Medium-Range Weather Forecast (ECMWF) operational analyses reproduces the variation of the boundary layer height along the flight track. However, small-scale features in the observed cloud field cannot be resolved by ECMWF analysis. Furthermore, ECMWF's diagnostic partitioning of the condensed water into ice and liquid reveals serious shortcomings for Arctic mixed-phased clouds. Too much ice is modeled.

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## 1 Introduction

Clouds play a crucial role in the radiative energy budget of the Arctic atmosphere. Sensitive feedback mechanisms include interaction of clouds with the usually high surface albedo in the ice covered Arctic regions, with aerosol, radiation, cloud water content, and cloud drop size (Curry et al., 1996). Especially, the impact of Arctic tropospheric mixed-phase clouds are difficult to predict by current weather and climate models (Inoue et al., 2006). Clouds have a wide variety of physical characteristics; therefore detailed measurements are a key requirement to improve our knowledge of the complex interactions between different physical processes. These measurements may serve as a basis for the development of more accurate microphysical and radiation parameterizations for regional Arctic climate models.

The microphysical properties of Arctic clouds are difficult to retrieve from satellite remote sensing because they are very complex and often are composed of solid and liquid water (mixed-phase clouds). Spherical liquid droplets scatter and absorb/emit atmospheric radiation differently compared to solid ice crystals which are clearly non-spherical. This is one of the reasons why largest errors in ISCCP (International Satellite Cloud Climatology Project, 2007) cloud climatology occur in the polar region (Rossow et al., 1993). Since the recent active remote observations from space (Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations, CALIPSO, Winker and Trepte, 2007 and CloudSat, Stephens et al., 2002) much more detailed cloud observations are now available. However, serious improvements in satellite retrievals are still hampered, mainly due to the lack of validation data from dedicated field experiments.

Within this context the Arctic Study of Tropospheric Aerosol, Clouds and Radiation (ASTAR) 2007 project focused on detailed in situ characterisation of microphysical and optical properties of Arctic mixed-phase clouds. The observations allow to study aerosol-cloud as well as cloud-radiation interactions and to develop adequate methods to validate cloud parameters retrieved from CALIPSO/CloudSat satellite remote sensing techniques.

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A unique combination of instruments was installed onboard of the Polar-2 aircraft operated by the Alfred Wegener Institute for Polar and Marine Research (AWI). These instruments include: a Polar Nephelometer (Gayet et al., 1997), a Cloud Particle Imager (CPI, Lawson et al., 2001) as well as standard Forward Scattering Spectrometer Probe (FSSP-100) to measure cloud particle properties in terms of scattering, morphology and size, and in-cloud partitioning of ice/water content. Remote sensing measurements were obtained onboard the Polar-2 aircraft from the Airborne Mobile Aerosol Lidar (AMALi, Stachlewska et al., 2004) and the Spectral Modular Airborne Radiation measurement system (SMART, Wendisch et al., 2001).

The paper describes in detail the microphysical and optical properties of a mixed-phase boundary-layer cloud observed on 9 April 2007. A unique set of concomitant observations is used for this description, including detailed in situ measurements along with airborne remote sensing observations and co-located spaceborne remote sensing data (Lidar on CALIPSO and radar on CloudSat satellites). The observations are then compared to European Centre for Medium-Range Weather Forecasts (ECMWF) analyses.

## 2 Instrumentation, weather situation and flight procedure

### 2.1 Instrumentation

ASTAR 2007 was carried out from 25 March to 19 April 2007, employing the specially equipped AWI Dornier 228-101 aircraft (Polar-2). The research aircraft was instrumented with common instruments for measurements of basic meteorological parameters along the flight track. The instruments used for the determination of microphysical and optical properties of Arctic clouds included three independent techniques: (1) the Polar Nephelometer, (2) the Cloud Particle Imager (CPI) and (3) the PMS FSSP-100 probe. The combination of these techniques provides a description of particles within a diameter range varying from a few micrometers (typically  $3\ \mu\text{m}$ ) to several millimeters.

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The accuracies of measurements could be hampered by the shattering of ice crystals on probes with shrouded inlet (Polar Nephelometer, CPI and FSSP for instance) (Korolev and Isaac, 2005; Heymsfield, 2007). For particle diameters larger than about 100  $\mu\text{m}$ , the number of shattered particles increases with the concentration of large particles. Techniques have been proposed by Field et al. (2003, 2006) to separate real and artefact-shattered crystals from information of ice particle inter-arrival times, making objective corrections possible. New particle image probes with high pixel resolution may also be used to quantify the contribution of shattering to the particle size distributions and optical properties (Lawson, 2008). However, these instruments were not available for the present study. The possible effects of ice-crystal shattering on the present study will be discussed together with the results below.

The Polar Nephelometer (Gayet et al., 1997) measures the scattering phase function of an ensemble of cloud particles (i.e., water droplets or ice crystals or a mixture of these particles ranging in size from a few micrometers to about 1 mm in diameter). Direct measurement of the scattering phase function allows the discrimination of particle shapes (spherical liquid water droplets or nonspherical ice crystals) and the calculation of the integrated optical parameters (such as extinction coefficient and asymmetry parameter, see Gayet et al., 2002). The accuracies of the extinction coefficient and asymmetry parameter derived from the Polar Nephelometer are estimated to be within 25% and 4%, respectively (Gayet et al., 2002). These measurement uncertainties could be affected by ice-crystal shattering on the probe inlet.

The CPI registers cloud-particle images on a solid-state, one-million pixels digital charge-coupled device (CCD) camera by freezing the motion of the particle using a 40 ns pulsed, high-power laser diode (Lawson et al., 2001). A particle detection system with upstream lasers defines the focal plane so that at least one particle in the image is in the focus. Each pixel in the CCD camera array has an equivalent size in the sample area of 2.3  $\mu\text{m}$ , so particles of sizes from approximately 10  $\mu\text{m}$  to 2 mm are imaged. The shadow depth of each pixel can be expressed in up to 256 grey levels; the refreshing rate of the CCD camera is 40 Hz. A video-processing tool identifies and

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sizes particles within the pixel array, saving only the regions of interest. The CPI images were processed using the software developed at the Laboratoire de Météorologie Physique LaMP, Lefèvre (2007). This software is based on the manual of the original CPIview software (see CPIview, 2005; Lawson et al., 2001; Baker and Lawson, 2006).

Moreover, it provides additional information on the ice-particle morphology that is not available from the CPIview software. Our software uses the method proposed by Lawson et al. (2006) for the determination of the ice water content from two-dimensional particle imagery.

The FSSP-100 instrument was also installed on the Polar-2 aircraft. It provides information on droplet size distribution for the size range of 2–47  $\mu\text{m}$  (Knollenberg, 1981; Baumgardner et al., 2002). The accuracies of the derived extinction coefficient and liquid water content have been estimated as 20% and 30%, respectively. Referring to the effects of shattering of ice crystals on FSSP data, the bulk parameters could be overestimated by about 15–20% (Heymsfield, 2007) and the particle concentration by a factor of 2 or 3 (Field et al., 2003). Similar measurement uncertainties due to shattering effects are expected for CPI data.

The Airborne Mobile Aerosol Lidar (AMALi) was operated onboard the Polar-2 aircraft in nadir configuration to probe the backscatter and depolarization properties of the atmosphere below the aircraft. The instrument, its performance and the retrieving technique for the final products are described by Stachlewska (2004, 2006) and Lampert et al. (2009). The Polar-2 aircraft was further equipped with the Spectral Modular Airborne Radiation measurement system (SMART, Wendisch et al., 2001) for spectral solar radiation measurements to derive cloud radiative properties (Ehrlich et al., 2008).

## 2.2 Meteorological situation

The observations discussed in this paper were obtained during the Polar-2 flight on 9 April 2007 between 08:30 and 10:50 UTC over the Greenland Sea in the vicinity of the West coast of Svalbard as displayed on Fig. 1. This figure represents the visible image of the Moderate-resolution Imaging Spectroradiometer (MODIS) satellite at

10:06 UTC and gives an overview of the cloud situation. The weather situation on that day was already described by Richter et al. (2008). The meteorological data are taken from operational ECMWF analyses. Figure 2 represents geopotential height (in gpdm), equivalent potential temperature and wind speed and direction at 850 hPa level on 9 April 2007 at 12:00 UTC. The approximate location of the airborne observations is indicated by a thick black line.

On the back of a slowly north-eastward propagating trough, cold air was ejected from higher latitudes towards Svalbard. This cold-air outbreak was associated with clouds forming south of the ice edge and extending far south (see Fig. 1). On 9 April 2007, a ridge built up west of Svalbard and disrupted the cold air outflow. After the passage of the ridge axis, warmer and moister tropospheric air from the South replaced the cold air masses from the North.

### 2.3 Flight procedure

On Fig. 1 the along-track of CALIPSO/CloudSat satellites is superimposed with a full black line; the thick white line represents the Polar-2 flight trajectory along which the airborne observations were carried out. The flight path was planned to fit with the satellite along-track with the interception point at 10:06 UTC. During the first part of the flight, simultaneous and co-located measurements with the AMALi lidar and the SMART albedometer (both directed in nadir) were performed. The aircraft altitude was 2700 m; the flight path length was about 250 km heading strait towards the way point A (see Fig. 1). In the second part of this flight, the aircraft performed a U-turn and descended through the cloud layer. In-situ measurements were carried out during successive descent/ascent slant profiles (between 1700 m/−21°C and 500 m/−12°C) in order to document the microphysical and optical properties of the cloud layer along a horizontal distance of about 250 km and with a heading towards the way point B (see Fig. 1).

In the following the microphysical and optical properties of the mixed-phase boundary-layer cloud are described and linked with the meteorological situation. The

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concomitant observations are associated with co-located spaceborne remote sensing data, i.e. CALIOP (Cloud-Aerosol Lidar operated at 532 nm and 1064 nm wavelengths with Orthogonal Polarisation at 532 nm) on CALIPSO satellite (Winker et al., 2003) and cloud profiling radar operated at 94 GHz on CloudSat satellite (Stephens et al., 2002).

5 The observations are then compared with the ECMWF analyses.

### 3 Cloud microstructure

The vertical structure of the Arctic mixed-phase cloud observed here is first discussed in terms of liquid water phase (supercooled water droplets, see Sect. 3.1) and then in terms of ice water phase (precipitating ice crystals, Sect. 3.2). The liquid water/solid ice phases have been discriminated according to the asymmetry parameter ( $g$ ), i.e. liquid water droplets reveal typical values of  $g > 0.8$  whereas ice crystals have lower  $g$ -values (Gayet et al., 2002). In other words and considering visible wavelengths, the first case addresses clouds that can optically be regarded as consisting of liquid water droplets, as the possible occurrence of ice crystals does not significantly affect the optical properties, whereas in the second case the ice-phase is optically dominant with only a weak contribution of possible water droplets on optical properties.

#### 3.1 Liquid water-phase

Figure 3a (left panel) displays the CALIOP attenuated backscatter profile (532 nm channel) at 10:06 UTC along the satellite track represented on Fig. 1 between latitudes 78.55° N and 79.25° N. The superimposed colored lines represent the Polar-2 flight altitude along the trajectory represented on Fig. 1. The aircraft trajectory has been corrected for advection according to the mean wind vector (8 m/s, 250°) in order to reduce inherent errors in comparing quasi-instantaneous spaceborne observations and aircraft measurements carried out during about 1 h. The four panels on Fig. 3a display the vertical profiles of several parameters obtained during the Polar-2 ascent-

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descent sequences. They are: the air temperature, the liquid water content (LWC), the extinction coefficient and the cloud effective diameter respectively. These three last parameters were derived from the FSSP-100 data.

The CALIOP measurements reveal a cloud top altitude which varies from 2200 m to 1700 m. The corresponding temperatures are  $-24^{\circ}\text{C}$  and  $-21^{\circ}\text{C}$ , respectively. The strong backscatter coefficient at the cloud top indicates a liquid water layer and multiple-scattering effects (Hu et al., 2007). The cloud layer is optically too thick and attenuates the laser beam significantly, thus reliable lidar measurements are limited to the upper cloud part. Only in a cloud gap at around  $79.2^{\circ}\text{N}$ , the lidar was able to penetrate to the surface through a cloud layer with a low optical depth indicating the occurrence of ice crystals. We notice this feature is confirmed with CALIOP depolarization observations (not showed here) and from remote sensing observations performed onboard Polar-2 during the first flight sequence above the cloud layer (not shown here). Due to the variation of the cloud top altitude the microphysical parameters are plotted with different colors according to the corresponding descent/ascent profiles. At the beginning of the first descent (red part), the liquid water content (LWC) reaches  $0.3\text{ g/m}^3$  at the cloud top. During the ascending green profile *LWC* remains lower than  $0.15\text{ g/m}^3$  whereas *LWC* increases to  $0.23\text{ g/m}^3$  during the last (blue) ascent. Similar altitude-variations of the extinction are observed with peaks up to  $35\text{ km}^{-1}$  whereas on the average, the effective diameter increases with height from  $15\text{ }\mu\text{m}$  at 700 m up to  $28\text{ }\mu\text{m}$  at 1700 m. No drizzle drops (i.e. droplet diameters larger than  $100\text{ }\mu\text{m}$ ) were detected in this case from the analysis of the CPI images. Assuming a cloud base at 1200 m, the estimated adiabatic *LWC* at the highest cloud top level detected by CALIOP (2200 m) is  $0.6\text{ g/m}^3$ , a significant higher value than the observations. This subadiabatic *LWC* feature is mainly caused by the fractional cloudiness as evidenced from CALIOP observations and by efficient glaciation processes which deplete liquid water as discussed in chapter 3.3 below (Bergeron-Findeisen process).

The left panel in Fig. 3b displays the FSSP-100 and CPI particle size distributions (averaged over the flight sequence on Fig. 3a). The upper-right panel represents the

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average scattering phase function (without normalization in units of  $\mu\text{m}^{-1}\text{sr}^{-1}$ ) measured by the Polar Nephelometer (filled-circle symbols) and the theoretical phase function (cross symbols) calculated from the FSSP-100 size distribution assuming (spherical) cloud droplets. The mean values of the parameters (see left panel in Fig. 3b) indicate cloud droplet concentration ( $40\text{ cm}^{-3}$ ), liquid water content ( $0.06\text{ g m}^{-3}$ ), extinction coefficient ( $10\text{ km}^{-1}$ ), effective diameter ( $18\text{ }\mu\text{m}$ ), asymmetry parameter (0.838) and ice concentration of particle with  $D > 50\text{ }\mu\text{m}$  ( $1.21\text{ l}^{-1}$ ). The upper-right panel of Fig. 3b shows that the calculated phase function agrees very well at any scattering angles with the observations from the Polar Nephelometer. In other words, the modeled value of the extinction coefficient matches with the measured one. This means that Polar Nephelometer measurements are likely not affected by the presence of ice-crystals detected by the CPI since the liquid water (FSSP-100) to ice crystals (CPI) extinction ratio is about 100 ( $10\text{ km}^{-1}/0.1\text{ km}^{-1}$ ). Subsequently the FSSP-100 cloud droplet measurements seem not to be significantly contaminated by ice-crystal shattering effects due to a low concentration of ice particles with diameter larger than  $100\text{ }\mu\text{m}$  ( $<0.5\text{ l}^{-1}$ ). Likewise the droplet shattering seems unlikely as well due to a low concentration of cloud droplets ( $\sim 40\text{ cm}^{-3}$ ).

### 3.2 Ice phase

Figure 4a (left panel) with the same representation as Fig. 3a displays the reflectivity factor of the CloudSat radar at 10:06 UTC along the satellite track represented on Fig. 1 between latitudes  $78.55^\circ\text{ N}$  and  $79.25^\circ\text{ N}$ . The Polar-2 flight altitude is superimposed to the reflectivity factor with a black line. The four panels on Fig. 4a display the vertical profiles of the following parameters: the concentration of ice particles ( $D > 50\text{ }\mu\text{m}$ ), the ice water content (IWC), the extinction coefficient and the effective diameter  $D_{\text{eff}}$ . These parameters were derived from the CPI data. It should be noticed that the in situ parameters reported on Figs. 3a and 4a originate from simultaneous measurements carried out along the flight track and are separated on the base of g-values.

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The CloudSat profile reveals a well defined radar-signal zone (red area) with quite large values of the reflectivity factor up to 15 dBZ. This radar echo is observed beneath the highest liquid water dominated cloud layer detected by CALIOP (see Fig. 3a). A weaker radar echo is detected at about 78.6° N latitude whereas scattered echoes are observed between 79.15° N and 79.25° N. Due to surface effects the radar data are not reliable on the first 500 m above the open sea surface; consequently these data have been removed. According to the flight trajectory most of the in situ observations were performed during the first descent-sequence through the high radar echo core (see Fig. 4a). The CloudSat signal is correlated with ice precipitation since concomitant in situ measurements reveal the presence of quite large ice crystals with effective diameter ranging from about 100  $\mu\text{m}$  to 200  $\mu\text{m}$  and a mean asymmetry factor of 0.778. This feature has already been observed in Arctic mixed-phase clouds (see among others Shupe et al., 2006). The largest values of the ice particle concentration ( $800\text{ l}^{-1}$ ), IWC ( $0.15\text{ g/m}^3$ ) and extinction ( $30\text{ km}^{-1}$ ) are observed at an altitude of about 1000 m when the aircraft reaches the middle of the radar echo core. It should be noticed that quite large ice crystals ( $D_{\text{eff}} \sim 100\text{ }\mu\text{m}$ ) are detected up the cloud top but with a lower concentration ( $\sim 5\text{ l}^{-1}$ ). This feature explains the observations of radar echoes from CloudSat up to the top of the cloud layer.

The ice particle shape classification (represented by percentage for number concentrations and for  $D > 50\text{ }\mu\text{m}$ ) is depicted on Fig. 5 including some examples of crystal images sampled by the CPI. At the highest in-cloud Polar-2 flight level (1700 m/−21°C) the analysis of the particle shapes shows that column, graupels and plates are the dominant shapes (25% each on the average) as exemplified on Fig. 5a. Side-plane ice crystals (40%), plates (10%) and graupels (10%) are on the average observed near 1000 m/−16°C (see examples on Fig. 5b) whereas side-plane and irregular ice crystals are observed near the lowest sampled cloud level (500 m/−12°C, Fig. 5c).

Figure 4b summarizes the microphysical and optical properties of the ice water-phase of the Arctic layer cloud. Assuming that the FSSP probe measures only water droplets, the comparison of the measured phase function and the theoretically cal-

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culated one for the assumed pure water cloud shows (see Fig. 4b, right panel) that scattering by ice particles is considerably stronger at any scattering angles but particularly at side angles between  $60^\circ$  and  $130^\circ$ , leading to a significantly smaller  $g$ -value (0.778) than for the scattering by water clouds. This result confirms the findings by Sassen and Liou (1979) for the mixed-phase clouds formed in their laboratory experiments and those by Gayet et al. (2002) from in situ measurements. Furthermore, the small bump near  $145^\circ$  on the measured scattering phase function suggests the presence of relatively small amount of water droplets which still contribute to the scattering properties. This feature may be qualitatively confirmed by the FSSP measurements which evidence a droplet concentration of  $2 \text{ cm}^{-3}$  and an effective diameter of  $23 \mu\text{m}$ . Nevertheless, because of the presence of relatively large concentration of ice crystals larger than  $100 \mu\text{m}$  ( $5 \text{ l}^{-1}$ ), FSSP-100 and Polar Nephelometer measurements are very likely contaminated by ice crystal shattering, which cannot be quantitatively evaluated without specific instruments such as the Fast-FSSP (Field et al., 2003) and the 2D-S (Lawson et al., 2008). According to the CPI measurements, the mean values of the parameters (see Fig. 4b) indicate concentration of ice particle larger than  $50 \mu\text{m}$  ( $30 \text{ l}^{-1}$ ), ice water content ( $0.02 \text{ g m}^{-3}$ ), extinction coefficient ( $0.6 \text{ km}^{-1}$ ), effective diameter and asymmetry parameter (0.778).

### 3.3 Discussion of observations

Compared to the results from McFarquhar et al. (2007) obtained near Barrow (Alaska) in mixed-phase clouds, the Arctic boundary-layer cloud presented here exhibits a deeper water layer (up to  $\sim 1000 \text{ m}$  versus  $580 \text{ m}$ ) with higher and colder cloud top ( $2200 \text{ m}/-24^\circ\text{C}$  versus  $1150 \text{ m}/-15^\circ\text{C}$  on the average). The liquid water cloud has similar microphysical properties with mean droplet concentration and effective diameter of  $40 \text{ cm}^{-3}$  and  $18 \mu\text{m}$  respectively, whereas a significantly larger ice particle concentration ( $30 \text{ l}^{-1}$  versus  $2.8 \text{ l}^{-1}$  on average) is evidenced. The liquid fraction defined by  $f_l = \text{LWC}/(\text{LWC} + \text{IWC})$ , is subsequently lower (varying between 0.80 and 0.43 from the cloud top to the cloud base versus 0.97–0.70) and indicates a less pronounced domi-

nance of the liquid water phase.

The analysis of the results of Figs. 3 and 4 shows that CALIOP and CloudSat observations can be interpreted in terms of cloud microphysical and optical properties. The observed mixed-phase cloud exhibits a cloud top layer dominated by liquid-water in which ice precipitation was yielded. The observation by eyes of glory when flying above the cloud layer clearly indicates liquid water cloud droplets. This is a common feature observed in Arctic mixed-phase stratocumulus clouds (Hobbs and Rangno, 1998; Lawson et al., 2001; McFarquhar et al., 2007; Verlinde et al., 2007), which was observed even for cloud top temperatures down to  $-25^{\circ}\text{C}$  during ASTAR. A low concentration of quite large ice crystals is evidenced up to the cloud top and lead to significant CloudSat radar echo ( $-5\text{ dBZ}$ ). Since the liquid water (FSSP-100) to ice crystals (CPI) extinction ratio is on the average about 100 ( $10\text{ km}^{-1}/0.1\text{ km}^{-1}$ ) the broadband radiative effects near the cloud top are mostly dominated by water droplets as described by Ehrlich et al. (2008) from spectral solar radiation measurements and by Richter et al. (2008) from airborne Lidar observations.

According to the investigations from Cho et al. (2008) the relationship between layer-averaged depolarization ratio ( $\delta$ ) and layer attenuated backscatter ( $\gamma'$ ) measured by CALIOP gives typical signatures depending on different cloud categories including stratiform clouds in Polar regions. Figure 6 represents the  $\delta$ - $\gamma'$  relationship obtained from the CALIOP measurements discussed in this paper. Our in situ observations confirm that for water clouds consisting of spherical liquid droplets,  $\delta$  and  $\gamma'$  are positively correlated (Hu et al., 2007) and are in agreement with the relationships from Cho et al. (2008) in stratiform polar clouds obtained during one year in latitude belts  $60^{\circ}$ - $90^{\circ}$  in both hemispheres. If most of the scattered data points on Fig. 6 address water droplets, some data points with negative  $\delta$ - $\gamma'$  relationships are seen as ice crystals (Hu et al., 2007). As already discussed above, they correspond to observations for which the lidar was able to penetrate to the surface and detected precipitating ice particles. The observation of ice columns and plates at the uppermost cloud levels may explain the location of the data points on Fig. 6 in the upper left portion of the scatter plot and

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consisting of oriented ice crystals as hypothesized by Hu et al. (2007). Nevertheless, because only a few data points are considered the results should be confirmed from new observations performed in Arctic mixed-phase clouds during POLARCAT (Mioche et al., 2009).

In situ measurements as well as CloudSat observations evidence very efficient ice production processes since about 25% of the theoretically available liquid water (estimated adiabatic value of  $0.6 \text{ g/m}^3$ ) is converted into ice water ( $0.15 \text{ g/m}^3$ , see Fig. 4a) with large ice crystals ( $D_{\text{eff}}$  up to  $250 \mu\text{m}$ ) which precipitate down to the sea level (confirmed by visual observations on board). This feature is highlighted by the relatively strong radar echo core (up to 15 dBZ) on Fig. 4a. The quantitative comparison of reflectivity factors between CloudSat and in situ observations is displayed on Fig. 7. The method of data processing to derive cloud parameters from in situ data has been described by Mioche et al. (2009). The results highlight a good agreement for the observations carried out around  $79^\circ \text{N}$  whereas some discrepancies due to likely co-location differences are observed for other echo regions.

Boundary layer mixed-phase clouds such as described in this study in the vicinity of the Svalbard archipelago may cover considerable areas and may last several days. They are generally observed during spring and autumn seasons and are related to cold air outbreaks coming from Northern ice fields (Richter et al., 2008; Kolstadt et al., 2008). We have evidenced that such kind of clouds exhibit rather efficient precipitation formation (see also among others McFarquhar et al., 2007). Tziperman and Gildor (2002) have hypothesizing that the temperature-precipitation feedback may play an important role in determining the stability of the thermohaline circulation. Therefore, the precipitation rate over the Greenland sea pool is roughly estimated in the following.

The precipitation rate is related to the reflectivity factor as illustrated on Fig. 8 (both parameters are derived from CPI measurements) noting that a reflectivity factor of 15 dBZ corresponds to a precipitation rate of  $1 \text{ mm h}^{-1}$ . Hypothesizing an area of  $1000 \text{ km} \times 1000 \text{ km}$  (approximate area of the Greenland sea pool), a precipitation cover of 5% (rough value from CloudSat data and MODIS cloud field in this study) and a

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mean precipitation rate of 0.05 mm/h (mean value from our in situ measurements), a considerable value of  $10^6 \text{ m}^3 \text{ h}^{-1}$  of fresh water could be settled over the Greenland sea pool. Obviously this quantity should be much more accurately evaluated from the interpretation of systematic CloudSat observations and TERRA/MODIS cloud field products over the considered areas.

#### 4 Comparison with ECMWF analyses

Some of the operational ECMWF analyses are compared with the observations in order to discuss the reliability of microphysical parameterizations which are still a key issue, particularly for Arctic mixed-phase clouds.

Figure 9 displays the CALIOP attenuated backscatter profile along the aircraft trajectory between the latitudes  $77.7^\circ$  and  $79.4^\circ$  North. Superimposed are the contour lines of the potential temperature ( $\theta$ ) and the condensed water content ( $\text{CWC}=\text{LWC}+\text{IWC}$ ) from ECMWF's operational analyses. Both  $\theta$  and CWC fields are spatially and temporally interpolated on the Polar 2 flight track.

The CALIOP attenuated backscatter reveals an almost gradual increase of the cloud top height towards north. This observation agrees with the superimposed isentropic (constant  $\theta$ ) surfaces and the CWC which both indicate an increase of the boundary layer depth. As indicated in Fig. 2, air masses with different origin have been sampled: During the southern portion of the research flight, remnants of the cold-air outbreak associated with a shallower cloud top height were observed. This air mass was gradually replaced by warmer air originating from the south, which we sampled during the descent-ascent sequences in the northern portion of the flight. A good agreement is found between the structure of the modelled CWC fields and the CALIOP observations. As a matter of fact the cloud top and cloud base defined by the contour of the threshold modelled CWC value ( $0.0025 \text{ g/kg}$ ) fit well with the main observed cloud feature. The cloud top level increases from 1100 m to 2200 m (towards the Northern part) whereas the coherent cloud base remains at a quasi-constant altitude (600 m). However, due to

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the coarse spatial horizontal resolution of about 25 km, the ECMWF analyses cannot resolve the mesoscale features of the observed scattered clouds. These results confirm previous Arctic cloud comparisons with the ECMWF model output during SHEBA (Beesley et al., 2000) who found good correlation with observations and the vertical extent of clouds.

Now we compare the ECMWF CWC with the in-situ observations point-by-point in a similar way as Sandvick et al. (2007). For this purpose, we interpolate the six hourly ECMWF data to the time, latitude, longitude and altitude of each single airborne measurement point. Figure 10 compares the modelled (left panel) and measured quantities (right panel): The red and black symbols indicate the liquid water phase (LWC) and the ice water phase (IWC), respectively. Mean values of measured LWC and IWC were calculated over a horizontal distance of about 1500 m. The horizontal bars represent the standard deviations which result from the horizontal cloud variability over this distance. Figure 10 clearly reveals that the partitioning between ice and liquid phase in the ECMWF analyses is different compared to the observations. The ECMWF analysis shows that most of the cloud layer consists of ice and only in the lowermost cloud layer (between 600 m and 1500 m) liquid water is found. Thus, the modelled liquid fraction ( $f_l$ ) ranges from 0 to about 0.1 against 0.43–0.8 as calculated from the observations. However, the measurements show that liquid water dominates the upper part of the cloud. This faulty feature of the ECMWF analysis can be explained by the temperature-dependent diagnostic partitioning scheme between liquid water and ice water phases, as the ECMWF only transport a single variable for the condensed water prognostically. The condensation phase is a diagnostic function of temperature varying from 100% ice at 250 K ( $-23^{\circ}\text{C}$ ) to 100% liquid at 273 K. Indeed, for temperature smaller than 253 K ( $-20^{\circ}\text{C}/1500\text{ m}$ ),  $f_l$  is smaller than 2% only. Furthermore, the magnitudes of the modelled LWC and IWC values on Fig. 10 are much smaller than the observed ones (by a factor of about 10).

If the presence of ice is well predicted by the model, although with significant differences in magnitude compared to the observed values, the properties of the precip-

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itation which fall down to the sea surface due to large ice crystals are not resolved by the model (see black symbols on Fig. 10) as well as the scattered feature of the precipitation fields evidenced from CloudSat (see Fig. 4a).

These results confirm the conclusions by Beesley et al. (2000) that the ECMWF model did not reproduce the observed fraction of water (due the temperature-dependent parameterization of water phases) and provided a systematic underestimation of the liquid phase. Morrison and Pinto (2006), Sandvik et al. (2007) and Prenni et al. (2007) also reported on inadequate microphysical schemes underestimating the liquid phase for Arctic mixed-phase clouds.

## 5 Conclusions

The combination of CALIPSO/CloudSat data with co-located in situ observations gives new insights on mixed-phase layer clouds in Arctic region. The results may serve to improve model predictions and satellite retrievals and can be summarized as the following:

The mixed-phased cloud on 9 April 2007 exhibits a cloud top layer dominated by liquid-water in which ice precipitation was yielded. This confirms the common feature observed in Arctic mixed-phase stratocumulus clouds even for cloud top temperatures down to  $-25^{\circ}\text{C}$  during ASTAR. A low concentration of quite large ice crystals is also evidenced up to the cloud top and leads to significant CloudSat radar echo. Since the liquid water to ice crystals extinction ratio is high the broadband radiative effects near the cloud top are mostly dominated by water droplets.

Very efficient ice production processes are evidenced in this boundary layer clouds since about 25% of the theoretically available liquid water is converted into ice water with large ice crystals which precipitate down to the sea level. This feature is highlighted by the relatively high CloudSat radar echo core (up to 15 dBZ). The precipitation rate was related to the reflectivity factor and for the assumption of rough estimates of cloud overcast, precipitation cover and mean precipitation rate a considerable value of

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$10^6 \text{ m}^3 \text{ h}^{-1}$  of fresh water could be settled over the Greenland sea pool during the 9 April 2007 situation.

ECMWF simulations reproduce the variation of the boundary layer and a subsequent good agreement is found between the vertical structure of the modelled condensed water content fields and the observations. The modelled cloud top and cloud base fit well with the main observed cloud feature. However, the ECMWF analyses cannot resolve the mesoscale features of the observed scattered clouds but rather give a continuous cloudy layer. The comparison with the observations clearly shows that the ECMWF model reveals a serious shortcoming in that most of the cloud layer is classified as ice clouds and only in the lowermost cloud layer liquid water is found. Thus the modelled liquid fraction ( $f_l$ ) is significantly underpredicted because of the temperature-dependent partitioning scheme between liquid water and ice water phases in the model. Furthermore, the magnitudes of the modelled LWC and IWC values are much smaller than the observed ones (by a factor of about 10). The properties of the precipitation which falls down to the sea surface as large ice crystals are not resolved (see red symbols on Fig. 10) as well as the scattered feature of the precipitation fields evidenced from CloudSat. These results confirm previous conclusions that the ECMWF model did not reproduce the observed fraction of water and provided a systematic underestimation of the liquid phase.

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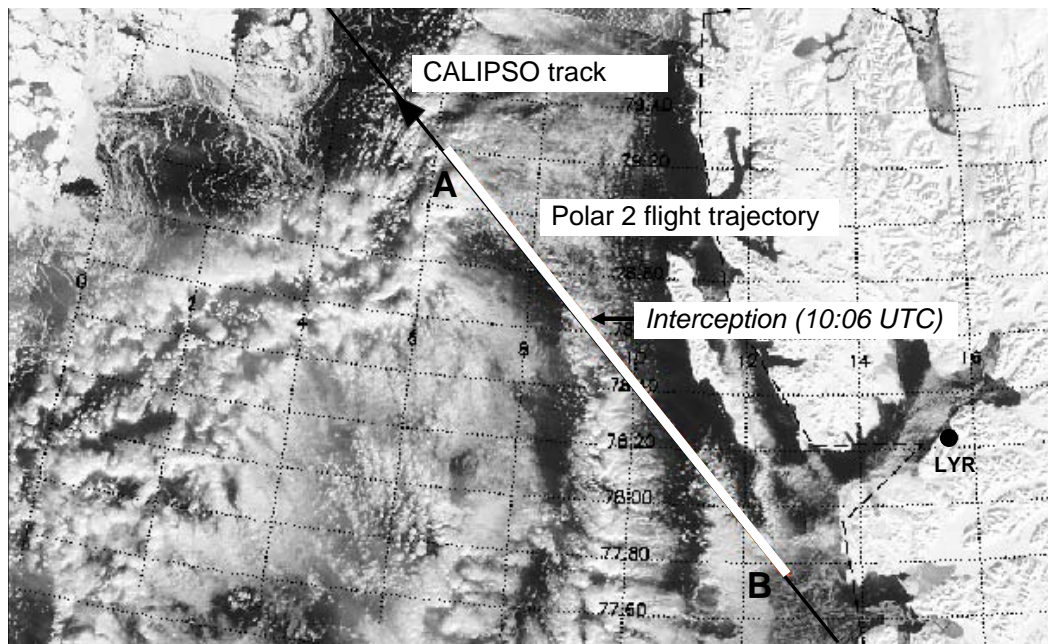
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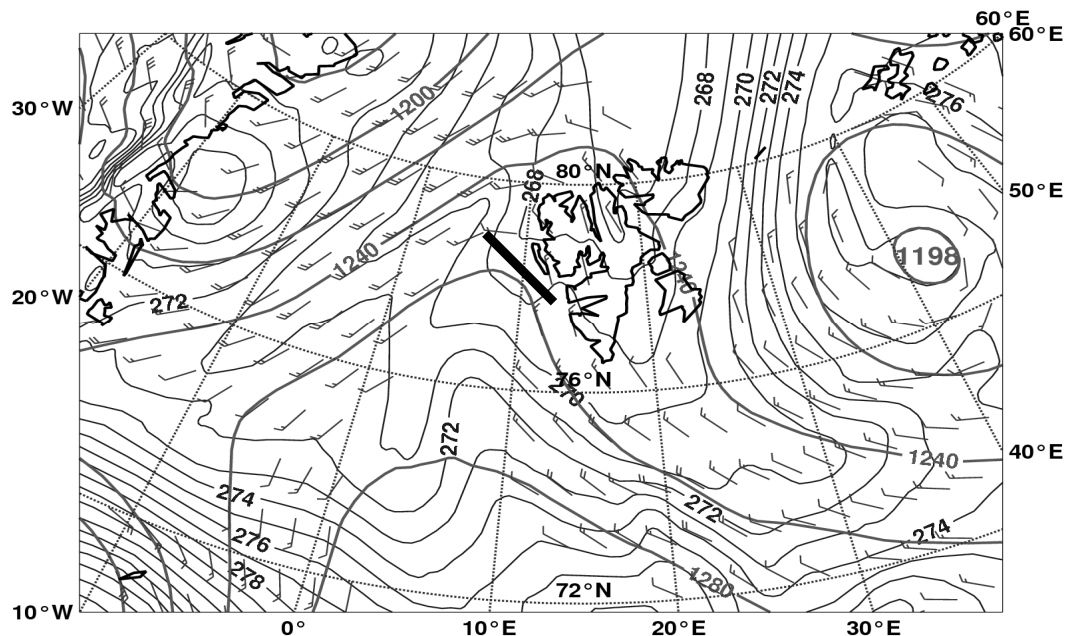


**Fig. 1.** Satellite picture taken on 9 April 2007 at 10:06 UTC by MODIS (visible channel). The Polar-2 flight trajectory between the way points A and B is superimposed to the CALIPO/CLOUDSAT overpasses. The Polar-2 interception point with the satellite track is indicated. LYR is the location of Longyearbyen.

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**Fig. 2.** Geopotential, wind vector and potential temperature fields obtained from the ECMWF analysis at 850 hPa for 12:00 UTC. The black line represents the airborne observation area.

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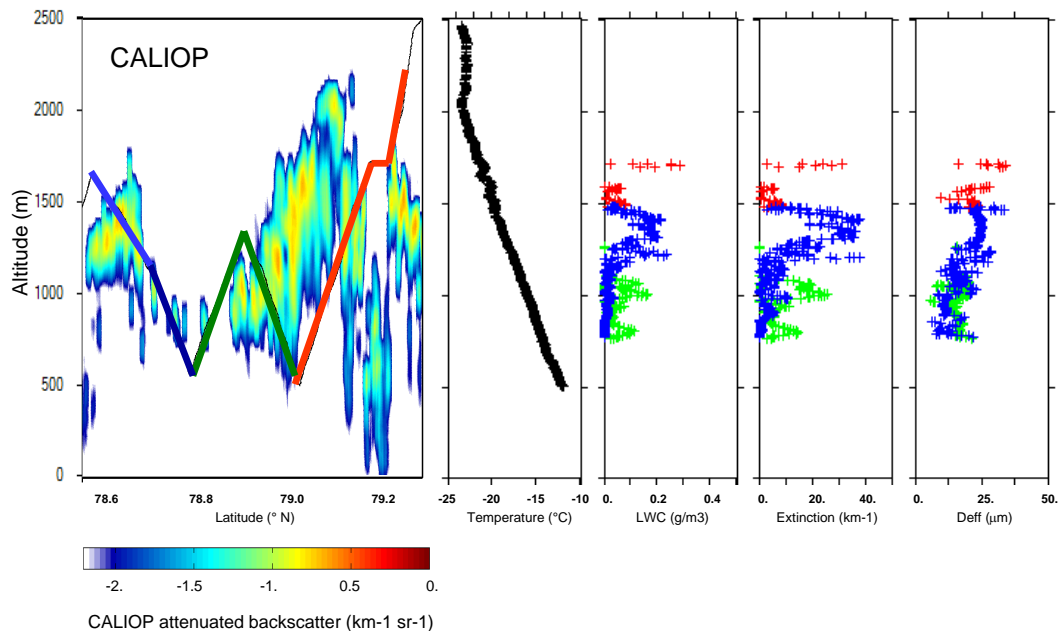
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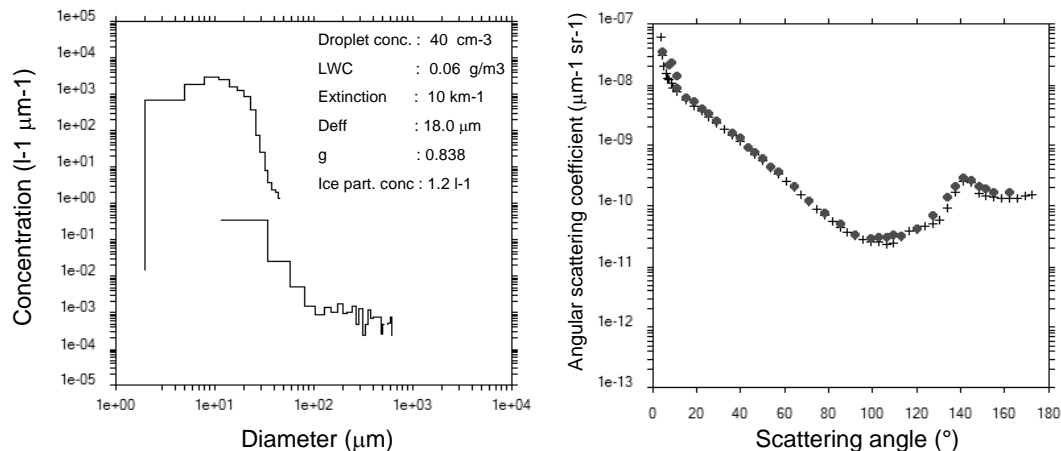
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**Fig. 3a.** CALIOP attenuated backscatter profile (532 nm channel) at 10:06 UT along the satellite track represented on Fig. 1 between latitudes 78.55 N and 79.25 N. In colored line is superimposed the Polar-2 flight altitude. The four panels display the vertical profiles of the following parameters obtained during the Polar-2 ascent-descent sequences: air temperature, liquid water content, extinction coefficient and the effective diameter respectively. The symbols are colored according to the flight sequences.

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**Fig. 3b.** Liquid water-phase cloud properties. Right panel: Mean scattering phase function measured by the Polar Nephelometer (circle symbols) and scattering phase function obtained by Mie theory (cross symbols) calculated with the average droplet size distribution measured by the FSSP-100 over the same time-period. Left panel: FSSP-100 and CPI mean size distributions. Are also reported the mean values of the pertinent microphysical & optical parameters (Cloud droplet concentration, LWC: liquid water content, extinction coefficient,  $D_{\text{eff}}$ : effective diameter,  $g$ : asymmetry parameter. The concentration of ice particles with  $D > 50 \mu m$  calculated from the CPI is also indicated.

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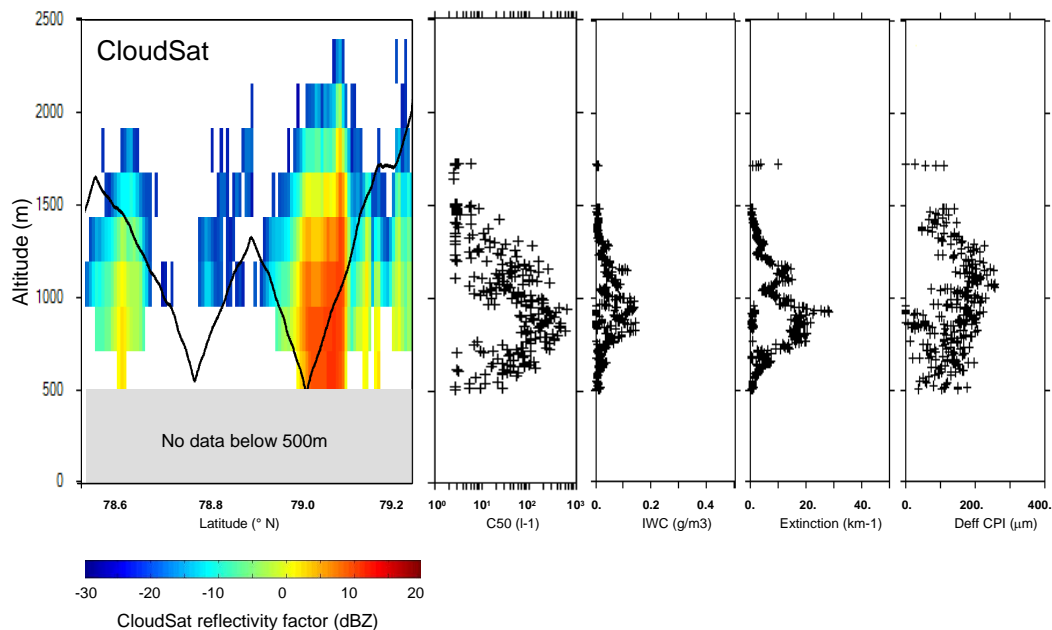
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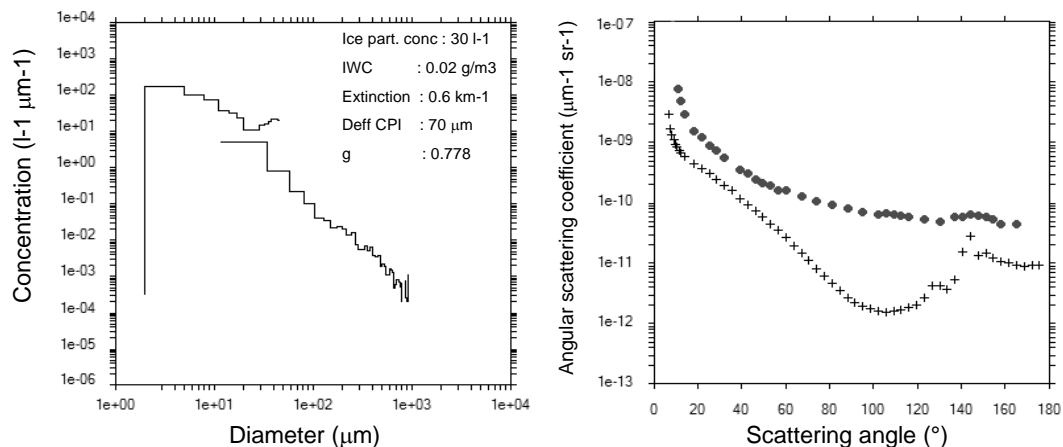
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**Fig. 4a.** CloudSat Reflectivity factor profile at 10:06 UT along the satellite track represented on Fig. 1 between latitudes 78.55 N and 79.25 N. The black line represents the Polar-2 flight altitude. The four panels display the vertical profiles of the following parameters obtained during the Polar-2 ascent-descent sequences: concentration ice particles ( $D > 50 \mu m$ ), ice water content, extinction coefficient and the effective diameter of ice particles (all these parameters are calculated from the CPI instrument).

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**Fig. 4b.** Ice water-phase cloud properties. Right panel: Mean scattering phase function measured by the Polar Nephelometer (circle symbols) and scattering phase function obtained by Mie theory (cross symbols) calculated with the average droplet size distribution measured by the FSSP-100 over the same time-period. Left panel: FSSP-100 and CPI size-distributions. Are also reported the mean values of the pertinent microphysical & optical parameters (Concentration of ice particles with  $D > 50 \mu m$ , IWC: ice water content, extinction coefficient,  $D_{eff}$ : effective diameter,  $g$ : asymmetry parameter).

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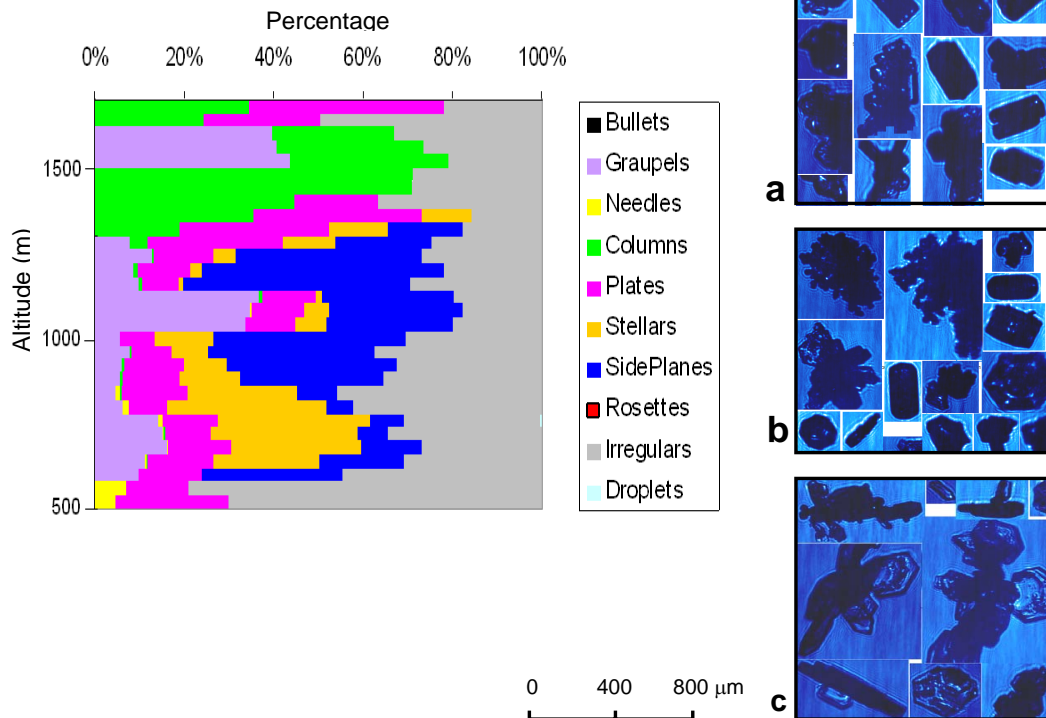
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**Fig. 5.** Vertical profile of the ice particle shape classification (represented for number and for  $D > 50 \mu\text{m}$ ) with examples of ice particles images sampled by the CPI probe at three flight levels : **(a)** : 1700 m / -21°C, **(b)** 1000 m / -16°C, **(c)** 500 m / -12°C.

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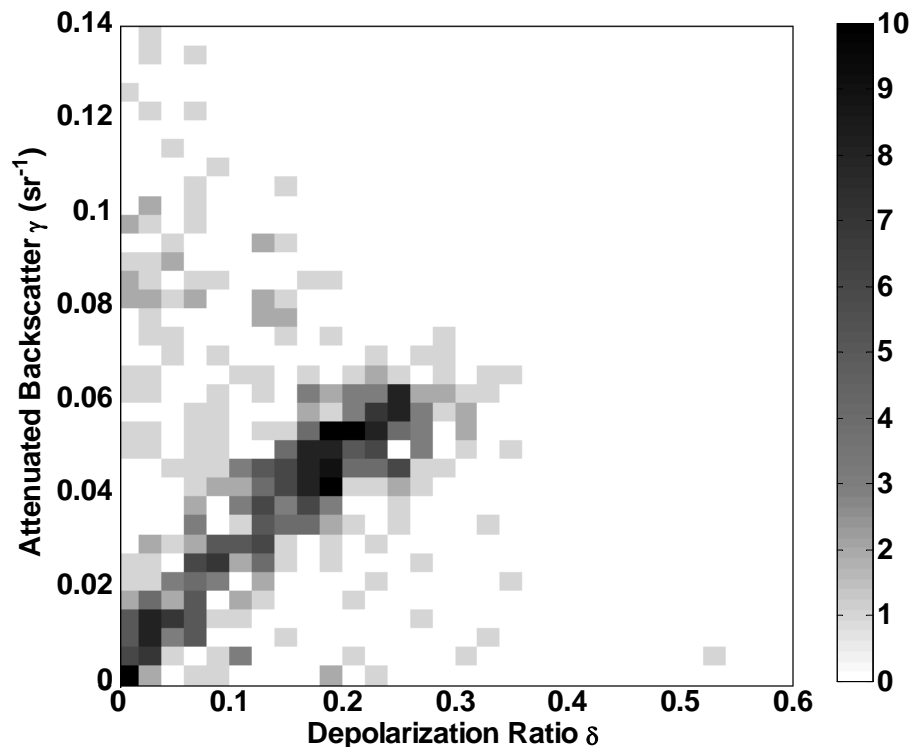
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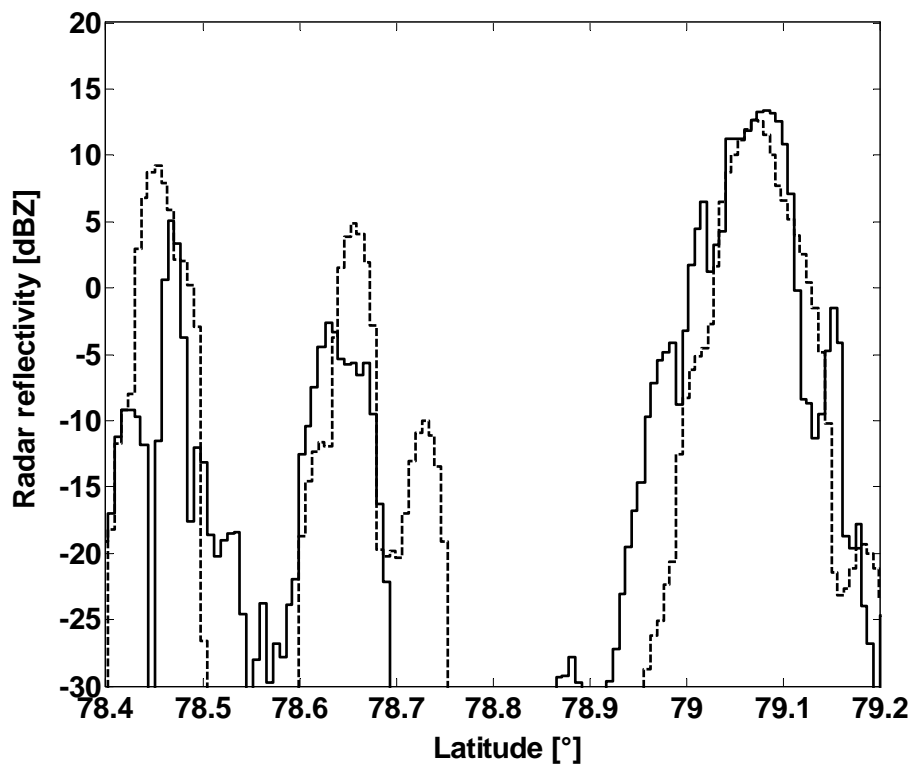


**Fig. 6.** CALIOP  $\delta$ – $\gamma'$  relationship obtained for measurements obtained on 9 April 2007. The color of each pixel represents the frequency of occurrence for a  $\Delta\delta$ – $\Delta\gamma'$  box with  $0.02$  by  $0.004 \text{ sr}^{-1}$  interval. The CALIOP horizontal resolution is  $333 \text{ m}$ .

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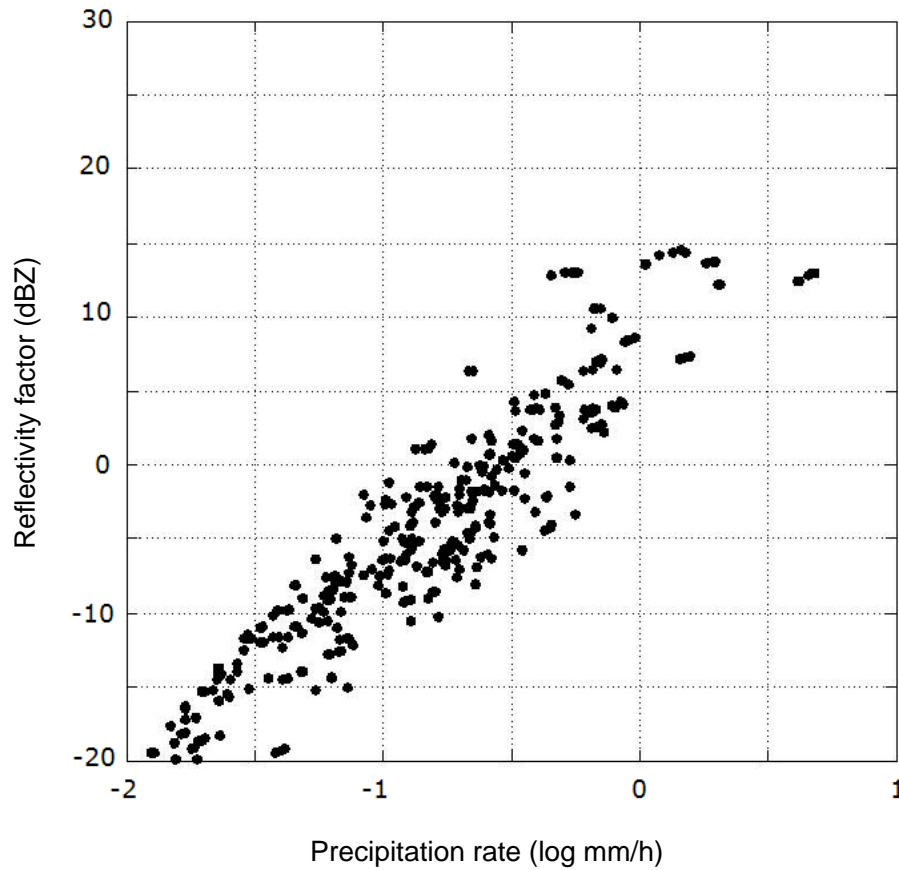
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**Fig. 7.** Time-series of the reflectivity factor derived from CPI measurements and CloudSat reflectivity along the Polar-2 flight trajectory.

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**Fig. 8.** Relationship between the reflectivity factor and the precipitation rates derived from CPI measurements.

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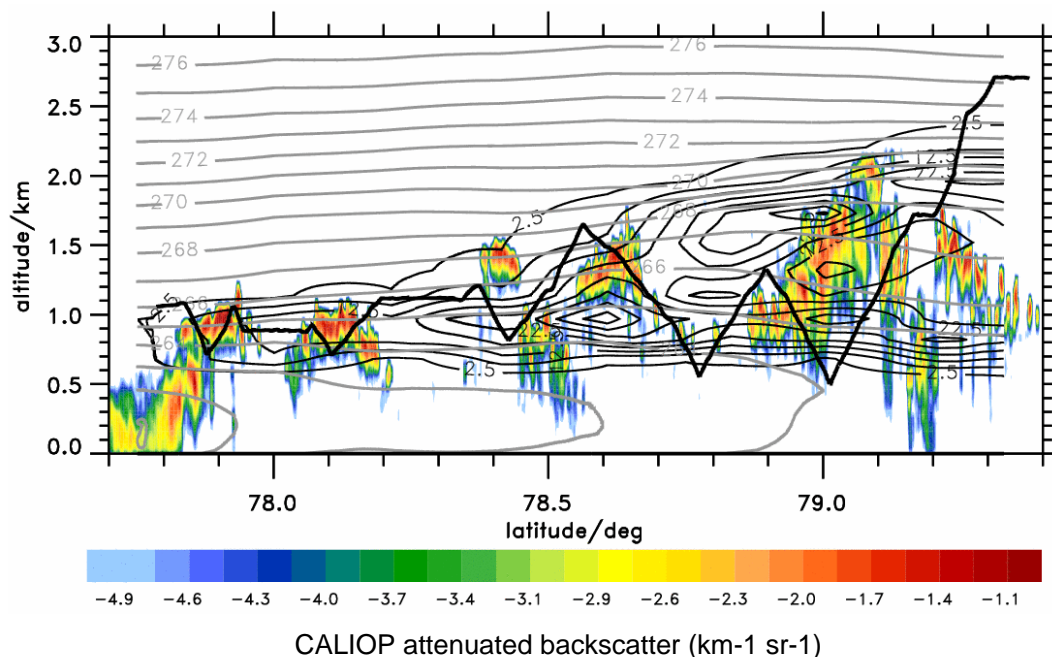
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**Fig. 9.** CALIOP attenuated backscattering profile between the latitudes 77.7° and 79.4° North with the aircraft trajectory. Are superimposed the potential temperature and condensed water content (CWC) contour lines. These two last parameters are issued from interpolated ECMWF operational analyses.

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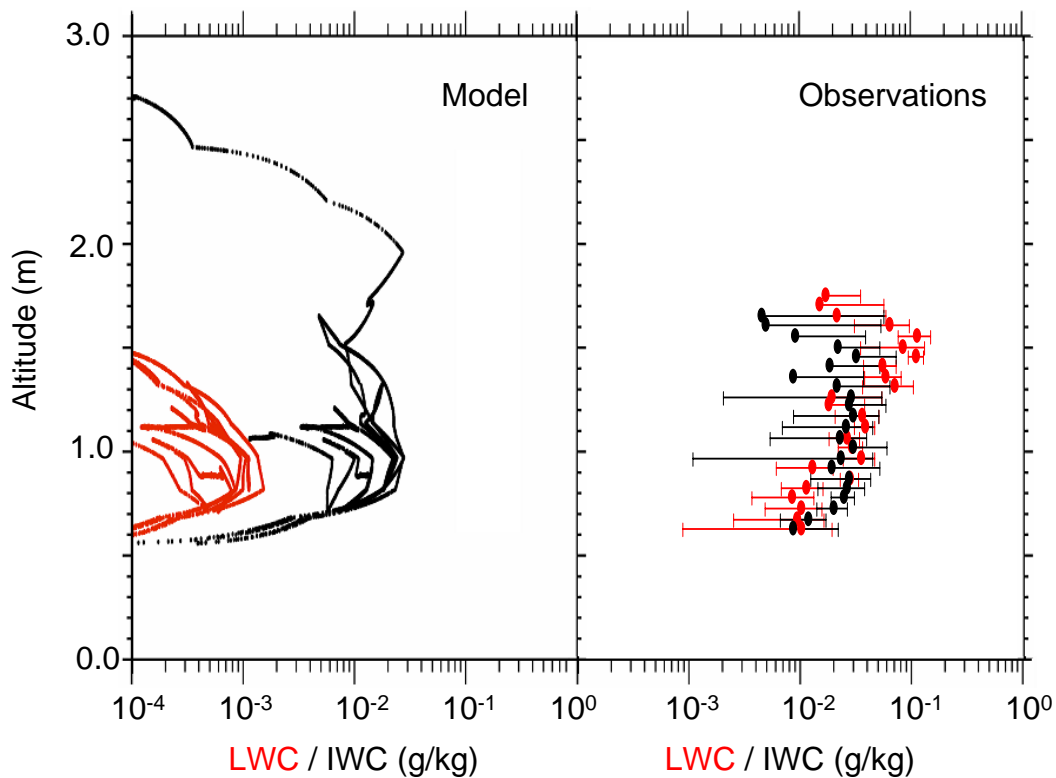
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**Fig. 10.** Vertical profiles of the modelled and observed liquid water content (red symbols). The black symbols represent the modelled and observed ice water content.

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