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**Impact of STE on
tropospheric ozone
in the Arctic**

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The governing processes and timescales of stratosphere-to-troposphere transport and its contribution to ozone in the Arctic troposphere

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Abstract

We used the seasonality of a combination of atmospheric trace gases and idealized tracers to examine stratosphere-to-troposphere transport and its influence on tropospheric composition in the Arctic. Maximum stratosphere-to-troposphere transport of CFCs and O₃ occurs in April as driven by the Brewer-Dobson circulation. Stratosphere-troposphere exchange (STE) occurs predominantly between 40° N to 80° N with stratospheric influx in the mid-latitudes (30–70° N) accounting for 67–81% of the air of stratospheric origin in the Northern Hemisphere extratropical troposphere. Transport from the lower stratosphere to the lower troposphere (LT) takes three months on average, one month to cross the tropopause, the second month to travel from the upper troposphere (UT) to the middle troposphere (MT), and the third month to reach the LT. During downward transport, the seasonality of a trace gas can be greatly impacted by wet removal and chemistry. A comparison of idealized tracers with varying lifetimes suggests that when initialized with the same concentrations and seasonal cycles at the tropopause, trace gases that have shorter lifetimes display lower concentrations, smaller amplitudes, and earlier seasonal maxima during transport to the LT. STE contributes to O₃ in the Arctic troposphere directly from the transport of O₃ and indirectly from the transport of NO_y. Direct transport of O₃ from the stratosphere accounts for 78% of O₃ in the Arctic UT with maximum contributions occurring from March to May. The stratospheric contribution decreases significantly in the MT/LT (20–25% of total O₃) and shows a very weak March–April maximum. Our NO_x budget analysis in the Arctic UT shows that during spring and summer, the stratospheric injection of NO_y-rich air increases NO_x concentrations above the 20 pptv threshold level, thereby shifting the Arctic UT from a regime of net photochemical ozone loss to one of net production with rates as high as +16 ppbv/month.

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1 Introduction

Ozone (O_3) plays a vital role in the oxidation capacity of the troposphere and it is an important greenhouse gas, particularly in the upper troposphere (UT). An increase in tropospheric ozone was responsible for one-third (0.4 – 0.5°C) of the observed warming trend between 1890–1990 in the Arctic during winter and spring (Shindell et al., 2006). The origin, trends, and distribution of ozone in the Arctic have important implications for both air quality and climate change.

Ozone measurements in the Arctic troposphere show a clear annual cycle with a maximum in spring (Penkett and Brice, 1986; Oltmans and Levy, 1994; Simmonds et al., 1997; Logan et al., 1999). The origin of the spring ozone maximum in the Northern Hemisphere (NH) is still not well-understood (Monks, 2000), despite the extensive observational and modeling efforts in the last few decades to quantify the relative contribution of the stratosphere-to-troposphere transport (STT) of ozone, in situ photochemical production and the destruction of tropospheric ozone. This springtime maximum has been attributed to both maximum stratospheric flux (e.g., Danielsen and Mohnen, 1977; Oltmans, 1981; Levy et al., 1985; Logan, 1985, 1999; Wang et al., 1998) and active photochemical production (e.g., Liu et al., 1987; Wang et al., 1998; Yienger et al., 1999). Surface measurements of radioactive debris (^{90}Sr) and Beryllium-7 (^7Be) also show a spring maximum associated with the seasonal cycle of stratosphere-troposphere exchange (STE) (e.g. Fry et al., 1960; Dibb et al., 1994). However, the spring peak is not unique to chemical species of stratospheric origin. Observations at Mace Head, Ireland show a spring maximum in many trace gases (e.g., carbon monoxide (CO), carbon dioxide (CO_2), nitrous oxide (N_2O), and methane (CH_4)), whether they have stratospheric sources or not (Derwent et al., 1998). Interestingly, the isotopic ratio of $^{10}\text{Be}/^7\text{Be}$ indicates a twofold increase of stratospheric influence at the surface at Alert during late summer, not spring (Dibb et al., 1994).

The extensive measurements from aircraft campaigns also complicate the conventional understanding of the impact of STE. Airborne measurements obtained during

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the Tropospheric Ozone Production about the Spring Equinox (TOPSE) experiment (Atlas et al., 2003) show strong stratospheric intrusions with elevated levels of ozone mostly in the UT. The field observations revealed that the spring ozone maximum in the free troposphere is mostly due to in situ photochemistry (Atlas et al., 2003; Browell et al., 2003). In contrast, significant enhancements of stratospheric O₃, reactive nitrogen (NO_y) and ⁷Be were observed in the Arctic middle troposphere (MT) in summer during the NASA Arctic Boundary Layer Expedition (ABLE) 1988 (ABLE 3A) and 1990 (ABLE 3B) expeditions (e.g. Harriss et al., 1992, 1994; Browell et al., 1992, 1994; Dibb et al., 1992; Talbot et al., 1992, 1994). The more recent Intercontinental Chemical Transport Experiment – North America Phase A (INTEX-A) mission also showed significant stratospheric influence in the northern hemisphere (NH) mid-latitudes during summer (Thompson et al., 2007; Liang et al., 2007) and that the stratospheric influence was more persistent and prevalent than previously considered (Thompson et al., 2007).

Recent studies by Nevison et al. (2004) and Liang et al. (2008) found that chlorofluorocarbons (CFCs) and N₂O, species whose seasonal cycle is influenced by STE, show a spring minimum (maximum stratospheric influence) in the NH extra-tropical UT. The minimum propagates slowly to the lower troposphere (LT) and occurs three months later in late summer near the surface. This suggests slower downward transport following STE than originally expected and thus LT measurements of short-lived trace gases may have little dependence on STE.

Stratospheric injection is also an important source of nitrogen oxides (NO_x=NO+NO₂) in the Arctic troposphere. NO_x plays a critical role in tropospheric ozone photochemistry. A typical critical range of NO_x is 10–150 pptv, which together with levels of O₃ and H₂O, determines the O₃ production efficiency in the background troposphere (Lin et al., 1988; Sillman et al., 1990). Though the total stratospheric contribution (0.64 TgN/yr) is small as compared to the global tropospheric NO_x budget (~40 TgN/yr), the injection of NO_y-rich stratospheric air is concentrated in the polar UT during spring and summer (Levy et al., 1999). During this time, NO_x mixing ratios in the Arctic free troposphere increase from ~10–20 pptv to ~30 pptv

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(Stroud et al., 2003). Near the lower end of the critical range (10–20 pptv), production of ozone is slow and net destruction dominates (Klonecki and Levy, 1997). As NO_x increases to 150 pptv, production of ozone increases and the chemical ozone tendency first changes from negative to positive and then increases rapidly with a linear dependence on NO_x concentrations (Klonecki and Levy, 1997; Jaeglé et al., 1999). Therefore, a quantitative understanding of the stratospheric contribution to NO_x in the Arctic troposphere is important in understanding NO_x seasonal variations and the associated impacts on the seasonal transition in ozone photochemical production efficiency. Previous measurements have documented the presence of elevated NO_y in the Arctic troposphere during winter–spring (Bottenheim and Gallant, 1989; Honrath and Jaffe, 1992; Honrath et al., 1996; Wang et al., 2003). Understanding the recycling between NO_x and its reservoir species, peroxyacetyl nitrate (PAN) and nitric acid (HNO_3), is critical to unraveling the O_3 - NO_x photochemistry in the Arctic.

In past literature, STE and STT are sometimes used interchangeably. For this study, it is important to distinguish between the two. We use STE to refer to mass exchange between the stratosphere and the troposphere that occur near the tropopause. We expand the conventional definition of STT to include the stratosphere-to-troposphere transport and the subsequent transport within the troposphere.

In this study, we investigate STT and its impact on tropospheric O_3 and NO_x chemistry using a combination of atmospheric trace gases and dynamic tracers with the Global Modeling Initiative (GMI) chemistry transport model (CTM). A model description and evaluation are given in Sects. 2 and 3, respectively. A discussion of the dynamics and timescales of STT is presented in Sect. 4. In Sect. 5, we examine and quantify the influence of STE on tropospheric composition. We consider both the primary effect, direct transport of stratospheric O_3 into the troposphere, and secondary effect, in situ formation of O_3 from NO_y of stratospheric origin. Conclusions are presented in Sect. 6.

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2 Model description

2.1 The global modeling initiative combined stratosphere-troposphere CTM

The GMI CTM combines a tropospheric O₃-NO_x-hydrocarbon mechanism (Bey et al., 2001) with a stratospheric mechanism (Douglass et al., 2004). It includes 117 species, 322 chemical reactions, and 81 photolysis reactions. Aerosols, including sulfate, dust, sea-salt, organic carbon, and black carbon, obtained from the Goddard Chemistry Aerosol Radiation and Transport (GOCART) model for 2001 (Chin et al., 2002), are input into the CTM. The advection scheme is described by Lin and Rood (1996). The convective transport scheme, described in Rasch et al. (1997), incorporates a shallow convection algorithm (Hack, 1994) with a deep convection scheme (Zhang and McFarlane, 1995). Photolysis rates are computed with the optimized FastJx scheme (Bian and Prather, 2002). Fossil fuel emissions are described in Bey et al. (2001) and Duncan et al. (2007b). Biofuel and biomass burning emissions are from Yevich and Logan (2003) and Duncan et al. (2003), respectively. A detailed description of the GMI CTM can be found in Duncan et al. (2007a).

Here we include results from a GMI full chemistry simulation driven by five years of meteorological fields from the Goddard Modeling and Assimilation Office (GMAO) GEOS-4 general circulation model (GEOS-4 GCM) (Bloom et al., 2005). The five-year meteorological fields are forced with observed sea surface temperatures between 1994 and 1998, but otherwise do not correspond to any specific year. CTM simulations using the GEOS-4 GCM meteorological fields produce a realistic mean Brewer-Dobson circulation with mean age of stratospheric air that is similar to observations (Strahan and Douglass, 2004; Douglass et al., 2008), which is important for this study because the magnitude and seasonal cycle of STE is strongly tied to the Brewer-Dobson circulation through the overworld wave driving (Holton et al., 1995). Olsen et al. (2004) showed that the exchange of mass and ozone between the stratosphere and troposphere in a CTM simulation driven with the GEOS-4 GCM meteorological fields compares well with estimates derived from observations. In comparison, a CTM simulation using an older

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version of the GEOS-4 Data Assimilation System (GEOS-4 DAS) produces a “fast” Brewer-Dobson circulation and “young” age of air as compared to the observations (Douglass et al., 2008). Although the Brewer-Dobson circulation and age of air are much improved using time-averaged meteorological fields from a more recent version of the GEOS-4 DAS (Pawson et al., 2007), a GMI full chemistry simulation using the GEOS-4 DAS meteorological fields clearly shows the model’s inadequacy in correctly simulating the amplitude and phase of the seasonal cycle of O_3 , HNO_3 and CFCs in the UT. The simulated STE-related seasonal cycle of these trace gases peaks 1–2 months early and the amplitude is significantly smaller than the observations. Hence, for this study, we focus on the results from the GMI simulation driven by the GEOS-4 GCM meteorological fields.

To address the proposed tasks of this study, we included a stratospheric ozone diagnostic (O_3ST) and a set of dynamic tracers in our simulation using a two-year subset of the meteorological fields from 1996–1997.

i) *GMI stratospheric ozone tracer*

We used O_3ST to separate ozone from the stratosphere from that produced in the troposphere. O_3 and O_3ST are transported separately in the GMI CTM. Above the tropopause, O_3ST is the same as O_3 . In the troposphere, O_3ST is treated like O_3 but without any tropospheric production. O_3ST is destroyed by photolysis, by the reaction with OH and HO_2 , and surface deposition. The difference between O_3 and O_3ST (O_3 minus O_3ST) corresponds to ozone produced in the troposphere, O_3TR . Our O_3ST is a good first-order proxy for the influence of STE on tropospheric O_3 .

ii) *GMI dynamic tracers*

During downward transport to the LT, which occurs over several months, the removal mechanisms and overall lifetime of a trace gas can have a substantial impact on its seasonality. We designed a set of dynamic tracers with varying lifetimes in the GMI CTM simulation to track STT and determine the impact of

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lifetime on STE-related seasonality. The set includes four tracers with lifetimes of one month ($DT_{1\text{-month}}$), two months ($DT_{2\text{-month}}$), four months ($DT_{4\text{-month}}$), and six months ($DT_{6\text{-month}}$). For the timescale of tropospheric transport, $DT_{1\text{-month}}$ serves as a good proxy for short-lived radioactive tracers, e.g. ^{90}Sr and ^7Be , and $DT_{6\text{-month}}$ is representative, for our purposes, of long-lived tracers, e.g. CFCs. The combination of tracers with varying lifetimes from 1–6 months offers valuable information on how trace gases with intermediate lifetimes, e.g. O_3 and HCl , would behave during STT. At each time step, the mixing ratios of the dynamic tracers above the tropopause are set to a prescribed annual cycle with an annual average of 100 ppbv and peak-to-trough amplitude of 100 ppbv. The prescribed annual cycle maximizes in April to mimic the annual cycle of CFC-12 in the extratropical lower stratosphere (LS). The dynamic tracers are transported into the troposphere and removed with an exponential decay rate of $1/\tau$ (τ is the lifetime of the tracer).

To better understand the process of STE and its governing factors, we use a set of sub-tracers with a lifetime of six months each to track STT at seven 10-degree latitude bands between 20°N and 90°N . As described in the preceding paragraph, each sub-tracer is fixed with the same annual cycle in the above-tropopause region at the latitude where it descends across the tropopause and is set to zero at all other latitudes above the tropopause. For example, the tracer to track STT at $30\text{--}40^\circ\text{N}$ is set to prescribed monthly values above the tropopause between $30\text{--}40^\circ\text{N}$ and zero everywhere else above the tropopause. The sub-tracers are transported separately in the troposphere and their sum equals $DT_{6\text{-month}}$.

We also use a dynamic tracer (DT_{fix}) that has a lifetime of six months and a fixed constant mixing ratio of 100 ppbv throughout the year above the tropopause. The combination of $DT_{6\text{-month}}$ and DT_{fix} quantifies the relative contribution of STE to a trace gas in the troposphere due to the seasonal variations in the tropopause height and STE flux versus the seasonal variations of concentrations of that trace gas in the LS.

2.2 GEOS CCM CFC-12 simulation

We include in this study the simulated CCl_2F_2 (CFC-12) between 1995 and 2004 from the Goddard Space Flight Center (GSFC) GEOS Chemistry Climate Model (GEOS CCM) Version 1 (Stolarski et al., 2006; Pawson et al., 2008). The GEOS CCM couples the GEOS-4 GCM (Bloom et al., 2005) with a stratospheric chemistry module (Douglass et al., 2004). CFC-12 is emitted at the surface and destroyed photolytically in the stratosphere. In the troposphere, loss of CFC-12 is negligible and CFC-12 can be used as a tracer for air mass transport. In addition to CFC-12, we include a tagged tracer, CFC-12S, to track stratospheric influence in the troposphere. Above the tropopause, total CFC-12 equals CFC-12S. In the troposphere, CFC-12S represents an aged component of total CFC-12 that is transported from the stratosphere to the troposphere. A detailed description of the CFC-12 simulation is included in Liang et al. (2008).

3 Model validation using observations

In this section, we evaluate the simulated CFC-12, nitric acid (HNO_3), and O_3 with observations in the LS and troposphere.

3.1 A summary of observations

3.1.1 Ozonesondes

We use 10 years of ozonesonde data at three Arctic stations: Alert (82.3° N, 62.3° W), Eureka (80.0° N, 86.0° W), and Resolute (75.0° N, 95.0° W). This study includes sounding data taken from 1995 to 2005 with a weekly to bi-weekly launch schedule. The ozonesondes were launched by the Meteorological Service of Canada and are publicly archived at the World Ozone and UV Data Centre <http://www.woudc.org>. From this network, we calculate monthly mean profiles of ozone mixing ratio and pressure for each station at a vertical resolution of 0.5 km.

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3.1.2 ACE-FTS measurements

We use space-borne measurement of CFC-12, HNO_3 , and O_3 from the Atmospheric Chemistry Experiment (ACE) (Bernath et al., 2005). The ACE instrument on the Canadian satellite SCISAT-1 was launched on 12 August 2003 and has been fully operational since January 2004. One primary scientific goal for ACE is to understand the chemical and dynamical processes that control the distribution of O_3 in the stratosphere and UT, particularly in the Arctic. The satellite has a 74° inclined orbit at an altitude of 650 km with both global and high latitude coverage. The principal SCISAT-1 is a high resolution (0.02 cm^{-1}) infrared Fourier transform spectrometer (ACE-FTS) operating from 750–4400 cm^{-1} . The ACE-FTS provides profiles of atmospheric constituents with an altitude resolution of ~ 4 km. A second instrument, Measurement of Aerosol Extinction in the Stratosphere and Troposphere Retrieved by Occultation (MAESTRO), is a dual optical spectrophotometer that covers the 285–1030 nm spectral region. The MAESTRO measures ozone, nitrogen oxide, and aerosol/cloud extinction at a vertical resolution of 1–2 km.

3.1.3 MkIV balloon measurements

We also use six balloon measurements of CFC-12, HNO_3 , and O_3 from the Jet Propulsion Laboratory (JPL) MkIV balloon-borne interferometer, which is a high-resolution Fourier Transform Infrared Spectrometer (Toon et al. 2001). Four of the six balloon measurements were launched in Esrange, Sweden, in December 1999, March 2000, December 2000, and April 2003. Two were launched in Fairbanks, Alaska, in May 1997 and July 1997.

3.1.4 Comparison of simulations and observations

Figure 1 shows the ten-year monthly averaged ozonesonde data at three Canadian polar sites, Alert, Eureka, and Resolute. Ozone at these sites shows a maximum in

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February–March and a late summer/early fall (August–October) minimum in the LS (Fig. 1, top panels). In the free troposphere, O₃ shows a prominent April–May maximum and gradually decreases to a fall/winter (October–January) minimum (Fig. 1, 2nd and 3rd rows). In the LT, maximum O₃ appears in spring (March–April) and minimum in summer (July–August) (Fig. 1, bottom panels). The simulated O₃ agrees well with the ozonesonde data. The difference between the simulated and the observed annual average concentration ranges from –12.8% to 5.5% and the correlation of determination (r^2) of the monthly mean mixing ratios range from 0.83–0.95 at all levels. Of particular importance to the STE process, the model reproduces well the observed phase and amplitude of the seasonal cycle in the LS. In the free troposphere, the model simulates well the phase of the seasonal cycle but underestimates the amplitude by ~30% in the UT.

Figure 2 shows the comparison of the simulated CFC-12, HNO₃ and O₃ with the ACE and MkIV measurements. In the LS and UT, CFC-12 shows a seasonal minimum while HNO₃ and O₃ show a maximum in late winter/spring (February–April) (Fig. 2). This is the result of active springtime photochemistry and wintertime downwelling associated with the Brewer-Dobson circulation. The latter brings high-altitude air that is CFC-depleted and rich in HNO₃ and O₃ (Nevison et al., 2004; Liang et al., 2008). The simulated CFC-12, HNO₃, and O₃ agree relatively well with the ACE measurements, but to a lesser degree with the MkIV balloon measurements. Note that HNO₃/O₃ (CFC-12) concentrations increase (decrease) rapidly with altitude in the UT/LS region. Therefore the comparison between model and observation is sensitive to the sampling location. Part of difference between the model and observation is by this limited sampling. The ACE measurements are available at limited geographical locations/altitudes and the MkIV measurements only include six daily profiles (compared to the model results that are averaged for 5 years and over the entire Arctic UT/LS). However, in the Arctic UT/LS region, where observations are limited, these measurements offer valuable information for model validation. These comparisons, in addition to that with the ozonesonde, indicate that the model presents a reasonable representation of the

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chemistry and dynamics that regulates the concentrations of CFC-12, HNO_3 , and O_3 in the Arctic UT/LS.

Our simulated CFC-12 compares well with surface measurements at multiple observation sites from the Advanced Global Atmospheric Gases Experiment (AGAGE) (Prinn et al., 2000) and National Oceanic and Atmospheric Administration – Global Monitoring Division (NOAA-GMD) (Montzka et al., 1999; Thompson et al., 2004) throughout the globe. The difference between the simulated CFC-12 and the observations is less than 2%. A detailed comparison is presented in Liang et al. (2008). Of particular relevance to this study, the model reproduces well the amplitude and phase of the seasonal cycle of CFC-12, which is predominantly driven by STT after the mid-1990's (Liang et al., 2008). This suggests a realistic representation of STE/STT in the GEOS-4 GCM meteorological fields.

4 Stratosphere-to-troposphere transport

In this section we examine the concentrations and annual cycles of the dynamic tracers and CFC-12 to understand the stratospheric injection of trace gases and their subsequent transport within the troposphere.

4.1 Timescale and mechanism

Figure 3 shows the monthly mean concentration of $\text{DT}_{6\text{-month}}$ in the northern extratropical troposphere. The mixing ratio of $\text{DT}_{6\text{-month}}$ in the UT is significantly lower (annual average ~ 36.5 ppbv between $30\text{--}90^\circ$ N) with a smaller amplitude of the annual cycle (22.5 ppbv) as compared to the prescribed annual cycle above the tropopause (annual average = 100 ppbv, amplitude = 100 ppbv) (Fig. 3, top panels). The seasonal maximum is delayed from April to May, indicating an average transport time of one month across the tropopause. This is consistent with the findings from Haynes et al. (1991) and Stohl (2001). They suggested that while stratospheric intrusions are

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commonly associated with synoptic-scale tropopause folding events, on the very large scale, it is the slow transport processes that control the net STE flux as determined by the downward control principal. As air of stratospheric origin travels downward, the concentration of $DT_{6\text{-month}}$ continues to decrease to 24 ppbv in the middle troposphere (MT) (Fig. 3, middle panels) and 22 ppbv in the lower troposphere (LT) (Fig. 3, bottom panels). The attenuation in the annual amplitude is more significant, a factor of 4 (5.8–6.6 ppbv). The maximum concentration of $DT_{6\text{-month}}$ occurs in June in the MT and July in the LT. This suggests an average downward propagation time of one month from the UT to the MT and an additional one to the LT. We decompose $DT_{6\text{-month}}$ into contributions from STE at seven 10° latitude bands. STE occurs predominantly between 40° N to 80° N (>95%). The strongest injection is in the $50\text{--}60^\circ$ N band, contributing 30% of total stratospheric air in the NH extra-tropical troposphere. This agrees well with the results from Hsu et al. (2005) who found stratospheric O_3 influx occurred predominantly in the midlatitudes during spring and summer while the high latitudes was not a significant region of STE.

There is no significant difference in the concentration, amplitude, and latitudinal composition of $DT_{6\text{-month}}$ between the mid and high latitudes because of efficient mixing in the troposphere (Fig. 3). This mixing occurs via transport associated with the extra-tropical cyclones, the most important exchange mechanism in the extra-tropics (Cotton et al., 1995). Following STE, the air of stratospheric origin is transported downward and equatorward in the dry airstreams (DA) in the extra-tropical cyclones (Browning et al., 1997). The stratospheric air is recirculated back to the higher latitudes in the cold conveyor belt (CCB) and the warm conveyor belt (WCB) in cyclones. Because these air streams in extra-tropical cycles show low coherency, the air of stratospheric origin experiences rapid filamentation and mixes with the background tropospheric air during transport (Stohl, 2001). A more detailed explanation of transport of air between high and low latitudes and different layers of the atmosphere associated with the air streams in the cyclones can be found in Cooper et al. (2000) and Stohl (2001).

The flux of a trace gas across the tropopause is determined by the convolution of

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the air mass flux and its concentration in the LS (Olsen et al, 2004). The comparison between DT_{fix} , with constant mixing ratio above the tropopause, and $DT_{6\text{-month}}$, with a specified annual cycle above the tropopause, segregates the contribution from seasonal changes in mass flux (Fig. 4). In the mid-latitude UT, the maximum of DT_{fix} occurs in February (Fig. 4, 2nd row) as a result of maximum cross-tropopause flux at this time (Olsen et al., 2004). This maximum appears three months earlier than that of $DT_{6\text{-month}}$. The maximum of DT_{fix} , with the same lifetime as $DT_{6\text{-month}}$, occurs one month later (March) in the MT (Fig. 4, 3rd row) and two months later (April) in the LT (Fig. 4, bottom row).

The phase difference between DT_{fix} and $DT_{6\text{-month}}$ suggests that, for a CFC-like tracer that is significantly influenced by the Brewer-Dobson circulation, its annual cycle in the troposphere due to STE is dominated by the seasonal change in its concentration in the LS. DT_{fix} in the polar troposphere is not particularly different from that in the mid-latitudes, but its seasonal maximum is delayed by one month implying that mass transport across the troposphere occurs later in the high latitudes.

4.2 Impact of lifetime

The lifetime of a trace gas exerts a significant impact on its concentration and the timing of its seasonal maximum/minimum during STT. Figure 4 shows the annual cycle of four STE tracers with various fixed lifetimes in the troposphere. Though initialized with the same concentration in the stratosphere, the tracers exhibit a different seasonality in the troposphere. The seasonal variation of downward transport of $DT_{4\text{-month}}$ is similar to that of $DT_{6\text{-month}}$ with comparable amplitudes and coincident seasonal maxima, but smaller concentrations (20–25%). $DT_{2\text{-month}}$ shows a coincident seasonal maximum (May) and similar amplitude in the UT as $DT_{4\text{-month}}$ and $DT_{6\text{-month}}$ (Fig. 4, 2nd row). As the tracer continues to descend into the MT and LT, its amplitude is only 2/3 of that of $DT_{6\text{-month}}$ and its maximum occurs one month earlier (May in the MT and June in the LT) (Fig. 4, two bottom rows). Due to its fastest destruction rate (short lifetime), $DT_{1\text{-month}}$ has the lowest concentration and the smallest annual amplitude as com-

pared to the other three tracers. Its seasonal maximum occurs in May throughout the troposphere, only one month behind the seasonal maximum above the tropopause.

The contrast between the idealized tracers shows that during STT, tracers that have a shorter lifetime display lower concentrations, smaller amplitudes, and earlier seasonal maxima. The difference between tracers is most apparent in the mid-latitude LT. This explains why short-lived STE tracers like ^7Be and ^{90}Sr have a spring maximum and STE tracers like CFC-12 that are inert in the troposphere show maximum stratospheric influence in late summer. In addition, this implies that while many trace gases that are influenced by STE, e.g., O_3 , CFCs, ^7Be , HCl, HNO_3 , are highly correlated in a stratospheric intrusion event (e.g. Marcy et al., 2004; Liang et al., 2007), their monthly-averaged influences in the troposphere can be significantly different due to chemical removal and scavenging.

4.3 Impact of lower stratospheric variation

The STT-driven seasonal cycle of a trace gas in the troposphere is also impacted by the latitudinal differences in the seasonal cycle of that trace gas in the LS. This is demonstrated by the differences in the seasonality between CFC-12 and the idealized tracers (Fig. 4). In contrast to the idealized tracers forced with the same seasonal cycle in the NH stratosphere, the seasonal cycle of CFC-12 is different in the mid-latitude LS and the polar LS. The amplitude of the seasonal cycle of CFC-12 in the polar LS is twice that in the mid-latitudes and the seasonal minimum occurs one month later. This implies that while the quasi-horizontal transport along isentropes into the mid-latitude UT/LS region is more efficient and more rapid, the vertical downward penetration associated with the Brewer-Dobson circulation is strongest near the pole and plays a dominant role in determining the seasonal cycle of CFC-12 in the LS and the troposphere. In particular, the timing of the seasonal minimum of CFC-12 in the troposphere is dominated by STE in the high latitudes. The high latitude stratospheric influx is first transported to the mid-latitudes in the dry intrusions behind the cold fronts in the mid-latitude cyclones and then back to the polar region in the warm and cold conveyor belts ahead of

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the warm fronts. Daily maps of CFC-12S clearly show this recirculation between the mid and high latitudes associated with extra-tropical cyclones (not shown). As a result of the extra transport time needed in this latitudinal recirculation, the seasonal minimum (maximum stratospheric influence) of CFC-12S in the troposphere occurs 1–2 month later as compared to that of the dynamic tracer $DT_{6\text{-month}}$.

4.4 A schematic summary

We summarize the timescale of STT and the relative contribution from STE in the mid and high latitudes in a schematic diagram (Fig. 5), an augmented version of Fig. 3 from Holton et al. (1995). The STE occurs adiabatically and diabatically through a variety of eddy exchange activities, including tropopause folds and upper tropospheric troughs (Holton et al., 1995). The average transport time from the LS to the UT in the extra-tropics is one month. Subsequent transport of stratospheric air to the LT takes two months, one month from the UT to the MT and an additional month from the MT to the LT. STE occurs predominantly in the mid-latitudes, with the stratospheric injection between 30–70° N accounting for 67–81% of the air of stratospheric origin in the free troposphere and the injection between 70–90° N for the remaining 19–33%. This is because quasi-isentropic horizontal mixing in the mid-latitude UT/LS is more efficient and significantly faster than vertical transport near the pole, as the later occurs via slow diabatic descent accompanied by radiative cooling (Holton et al., 1995). After entering the troposphere, the air of stratospheric origin also experiences efficient exchange between the mid and the high latitudes via various air streams, i.e. dry intrusions, cold and warm conveyor belts, in the extra-tropical cyclones. The timescale of exchange between the mid latitudes and the polar region is on the order of one month.

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5 Stratospheric contribution to ozone in the Arctic troposphere

5.1 Direct transport of stratospheric ozone

We contrast the seasonal cycle of O_3 and CFC-12 in the Arctic troposphere to determine the difference in their STT. Compared with CFC-12, which is inert in the troposphere, the downward transport of stratospheric O_3 is clearly impacted by photochemical destruction (Fig. 6). The downward propagation of the seasonal cycle of O_3 is similar to that of CFC-12 in the UT. However, the maximum concentration of O_3 appears 1–2 months earlier than the CFC-12 minimum in the MT, due to a shorter lifetime particularly in summer. In the LT, O_3 has a spring maximum and a summer minimum as regulated by photochemical production and loss.

We further quantify the contribution from STE and tropospheric in situ production to O_3 and its seasonal cycle in the troposphere (Fig. 7). The largest source of O_3 in the UT is the transport of O_3 from the LS. Stratospheric O_3 (O_3 ST), on average, contributes 89.6 ppbv, 77.6% of total O_3 (115.4 ppbv), with a broad spring maximum from March to May. The stratospheric contribution decreases significantly in the MT (13.0 ppbv, 24.5%) and the LT (7.8 ppbv, 19.9%), with a very weak seasonal cycle (amplitude \sim 1–2 ppbv) that peaks in March–April. The diminished seasonal cycle is a result of a coincident increase of stratospheric input from spring to summer and a decrease in the O_3 lifetime from 4 months in March to $<$ 1 month in July–August. In situ photochemical production accounts for 75–80% of O_3 at pressures higher than 450 hPa and plays a key role in determining the seasonal cycle of O_3 in the MT and LT. Interestingly, O_3 produced in the troposphere (O_3 TR) shows a different seasonality at various altitudes. O_3 TR in the UT gradually increases from \sim 15 ppbv in March to peak values of \sim 40 ppbv in June–July. O_3 TR in the MT shows a broader peak which starts in May and extends from late spring to summer. In the LT, O_3 TR has a distinctive spring maximum and summer minimum, implying a regime shift from net photochemical production during spring to net destruction in summer in the NH extra-tropics (Yienger et al., 1999).

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5.2 Secondary impact via STT of NO_y

In this section, we analyze the GMI results to understand how stratospheric influx of NO_y affects the photochemical production of ozone in the Arctic troposphere. We define NO_y as the sum of NO_x, HNO₃, peroxyacetyl nitrate (PAN), other organic nitrates, NO₃, N₂O₅, HONO and HO₂NO₂.

Figure 8 shows the seasonal evolution of NO_x and its important reservoir species, HNO₃ and PAN, in the Arctic troposphere. Throughout most of the troposphere, NO_x is <20 pptv in all seasons. Near the surface, NO_x shows a weak summer maximum with mixing ratios reaching ~30-40 pptv. NO_x in the UT displays a broader spring-early fall maximum, well-connected to the maximum in the LS. HNO₃ has a strong vertical gradient with concentrations decreasing rapidly with decreasing altitude (~1 ppbv at 200 hPa and ~20–40 pptv at surface). Unlike other trace gases of stratospheric origin, HNO₃ shows no significant seasonal variation in the troposphere except a weak maximum in February–March in the middle troposphere. PAN is an important tropospheric reservoir species for NO_x. Since PAN can release NO_x in the remote troposphere via thermal decomposition, it is of particular importance to the secondary production of ozone (Moxim et al., 1996; Schultz et al., 1999). PAN shows a commonly observed spring maximum (~250 pptv) throughout the Arctic troposphere due to wintertime accumulation and active spring photochemical production (e.g. Penkett and Brice, 1986; Honrath and Jaffe, 1992; Jaffe, 1993; Bottenheim et al., 1994). In contrast to the summer minimum (~60 pptv) near the surface, PAN shows a secondary peak during summer in the UT. Our simulated NO_x and NO_y, seasonality, and NO_y speciation agree well with ground measurements described in Honrath and Jaffe (1992) and Jaffe (1993), aircraft measurements from the NASA ABLE (Talbot et al., 1992, 1994) and TOPSE missions (Stroud et al., 2003), lending confidence in the NO_x chemistry in the GMI model.

A small addition in NO_x can shift the background atmosphere from a regime of net photochemical destruction to one of net production. Figure 9 shows the net ozone production rate, P(O₃), from GMI in the Arctic troposphere. In general, photochem-

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ical destruction exceeds production and the Arctic troposphere regime is one of net photochemical ozone loss. This is consistent with the springtime measurements from the TOPSE field mission (Cantrell et al., 2003). Near the surface, weak net ozone production (0–4 ppbv/month) occurs in summer. Strong net ozone production occurs in the UT in spring and summer with maximum production rates as high as +16 ppbv month in June. Net ozone production coincides with $\text{NO}_x > 20\text{--}30$ pptv, indicating that $\text{NO}_x = 20\text{--}30$ pptv is an important threshold in the tropospheric ozone chemistry in the Arctic background atmosphere. $\text{P}(\text{O}_3)$ displays a linear dependence on the NO_x concentration when NO_x exceeds 20 pptv, similar to the $\text{P}(\text{O}_3)\text{--NO}_x$ relationship observed in the mid-latitude UT during the Subsonic assessment: Ozone and NO_x experiment (SONEX) (Jaeglé et al., 1999). This confirms the vital role of the NO_x in controlling ozone production efficiency in the Arctic troposphere.

We perform a detailed budget analysis of NO_x and NO_y , including transport and chemical production and loss, in the Arctic UT (450 hPa–tropopause) to examine the impact of STE on NO_x in the polar troposphere (Fig. 10). Note that emissions from lightning and removal via wet deposition are not shown as these impacts are small in the Arctic UT. NO_y in the UT shows a prominent seasonal cycle with a spring maximum, similar to the other STE-related chemical species (Fig. 10a). HNO_3 and PAN account for 50% (240 pptv) and 42% (205 pptv), respectively, of the simulated NO_y and the remaining is in the form of NO_x (25 pptv, 5%) and HO_2NO_2 (21 pptv, 4%). The concentrations of NO_3 , N_2O_5 and HONO are small. Figure 10b shows the monthly gain and loss of NO_y in the Arctic UT due to vertical transport and horizontal transport from the mid-latitudes. There is a net influx of NO_y from the mid-latitudes to the polar UT from winter to early spring, mostly in the form of HNO_3 . However, NO_y remains relatively constant as this net influx is balanced by either recirculation back to the mid-latitudes (January) or transport to the stratosphere (February–March). Stratospheric influx of NO_y (80% in HNO_3 and 12% in NO_x) accompanies the onset of active STE in spring, persisting until mid-fall. Most of the stratospheric influx descends into the MT/LT while a small portion advects to the lower latitudes via horizontal transport.

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We calculate the conversion rates between NO_x and its reservoir species, HNO_3 and PAN, using the model archived reaction rates (Fig. 10c). Note that NO_x is in fast balance with the organic nitrates so that while the conversion between NO_x and these nitrates are large, the net production of NO_x is small. Photochemical production and destruction of NO_x reach a maximum in summer due to higher temperature and more sunlight. In spring, HNO_3 photolysis and its reaction with OH exceed the conversion of NO_x to NO_y , resulting in net production of NO_x and a rapid increase in NO_x mixing ratio. A fraction of this NO_x reacts with hydrocarbons to form PAN. In summer, the photochemical loss of NO_x exceeds the photochemical production and NO_x is converted to HNO_3 and PAN. The annual net production of PAN (2.60×10^6 kgN) in the Arctic UT is comparable to that transported from the mid-latitudes (2.66×10^6 kgN). While the spring maximum in PAN is mostly due to transport from the mid-latitudes, the secondary summer peak is a result of in situ production. Most of the PAN in the UT descends into the MT/LT and further enhances ozone production in the Arctic LT via thermal decomposition. This impact can extend as far as the northern mid-latitudes due to Arctic outflow which has been documented by many early studies (Bottenheim and Gallant, 1989; Honrath and Jaffe, 1992; Honrath et al., 1996; Fenneteaux et al., 1999).

6 Conclusions

Stratosphere-to-troposphere transport of ozone is an intricate interplay of dynamics and chemistry. We used the seasonality of atmospheric trace gases, including CFC-11, O_3 and dynamic tracers, to examine the dynamics of STE/STT and its influence on the Arctic tropospheric ozone.

The seasonality of the stratospheric contribution of a trace gas in the troposphere is determined by the following factors.

- *Seasonality in STE flux.* For atmospheric trace gases like CFC-12 and O_3 , their seasonal cycles in the troposphere due to STE are dominated by the seasonal

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change in their concentrations in the LS driven by the Brewer-Dobson circulation.

- *Latitudinal variation in Seasonality.* Due to the differences in STE, fast quasi-horizontal transport in the mid-latitudes versus slow downward penetration in the Arctic, latitudinal differences in the seasonal cycle of a trace gas in the LS can also affect the timing of its seasonal maximum/minimum.
- *Tropospheric lifetime.* When initialized with the same concentration and seasonal cycle in the LS, trace gases that have a shorter lifetime have smaller stratospheric contributions, smaller amplitudes, and earlier seasonal maxima in the troposphere.

Stratosphere-troposphere exchange (STE) occurs predominantly between 40° N to 80° N. Stratospheric injection in the mid-latitudes accounts for 67–81% of the stratospheric influence in the NH extratropical troposphere. The mass downward transport across the tropopause and into the LT is slow. The complete transport from the LS to the LT takes three months on average, one month across the tropopause followed by an additional month from the upper troposphere (UT) to the middle troposphere (MT) and a third one from the MT to the LT.

We found that STE contributes to O₃ in the Arctic troposphere (70–90° N) via both direct transport and the secondary production from transport of NO_y. Direct transport of O₃ from the stratosphere is the dominant contributor of O₃ in the Arctic UT (78% on annual average) and has a broad spring maximum from March to May. In the MT/LT, stratospheric O₃ accounts for 20–25% of total O₃ and shows a very weak spring maximum. STE also injects NO_y-rich air, mostly HNO₃, into the UT. This induces an increase in NO_x above the 20 pptv threshold during spring and summer and subsequently results in a photochemical regime shift from net photochemical ozone destruction to net photochemical production. A fraction of the NO_x released from HNO₃ forms PAN which then descends into the lower altitudes and further enhances tropospheric ozone production via thermal decomposition. O₃ produced in this secondary process, together with that from direct transport, is embedded in air masses of stratospheric

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origin with many tracers, i.e. CFCs and NO_y, displaying significant stratospheric characteristics. The mixture starts from the UT in spring and descends slowly, reaching the MT/LT in summer. This conceptual model provides a good explanation of the intriguing seasonality of stratospheric influences observed during many previous aircraft missions.

Although O₃ shows a spring maximum throughout the Arctic troposphere, this maximum is associated with different processes at different altitudes. The spring peak in the UT is due to maximum STT while the peak in the MT/LT is mostly the result of in situ photochemistry.

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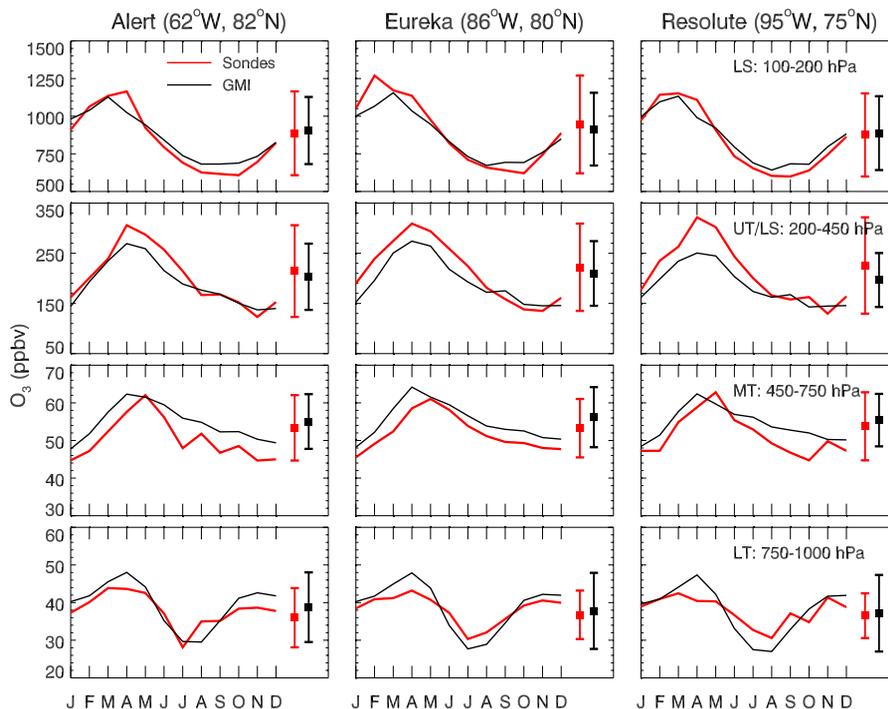


Fig. 1. Seasonal cycle of ozone from ozonesondes (red) and model (black) in the LS and troposphere at Alert, Eureka, and Resolute. The filled squares and error bars on the right of each panel indicate the annual average mixing ratio and amplitude of annual cycle (maximum–minimum).

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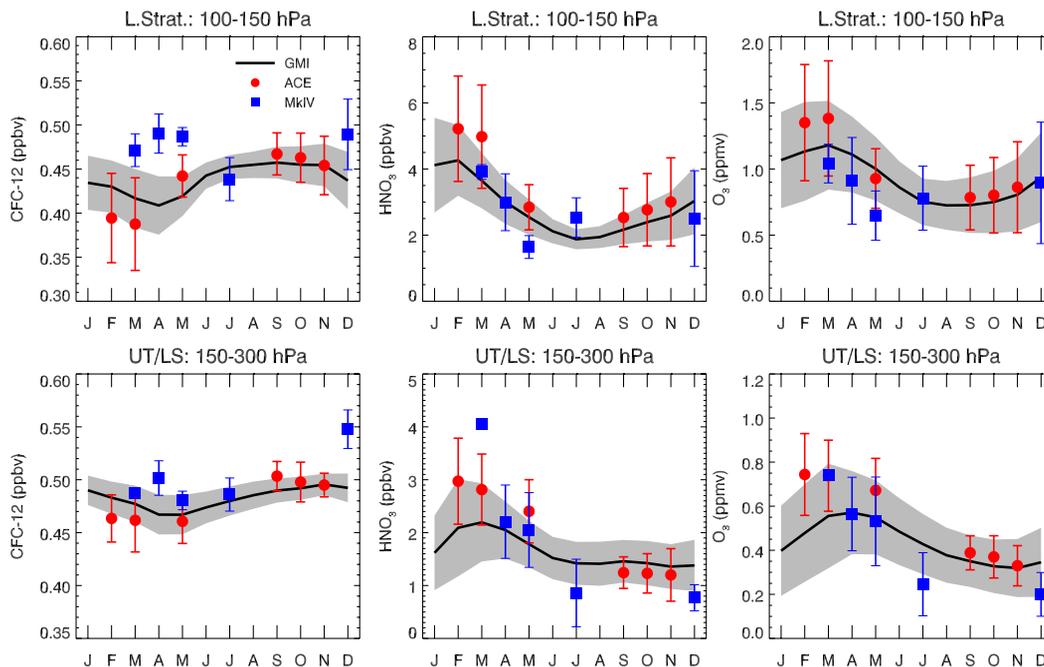


Fig. 2. Annual cycle of observed and simulated (black lines with one standard deviation shown in gray shading) CFC-12, HNO_3 , and ozone in the stratosphere and near-tropopause region in the Arctic ($70\text{--}90^\circ\text{N}$). ACE observations and MkIV balloon measurements are plotted as red circles and blue squares, respectively. Vertical error bars indicate one standard deviation.

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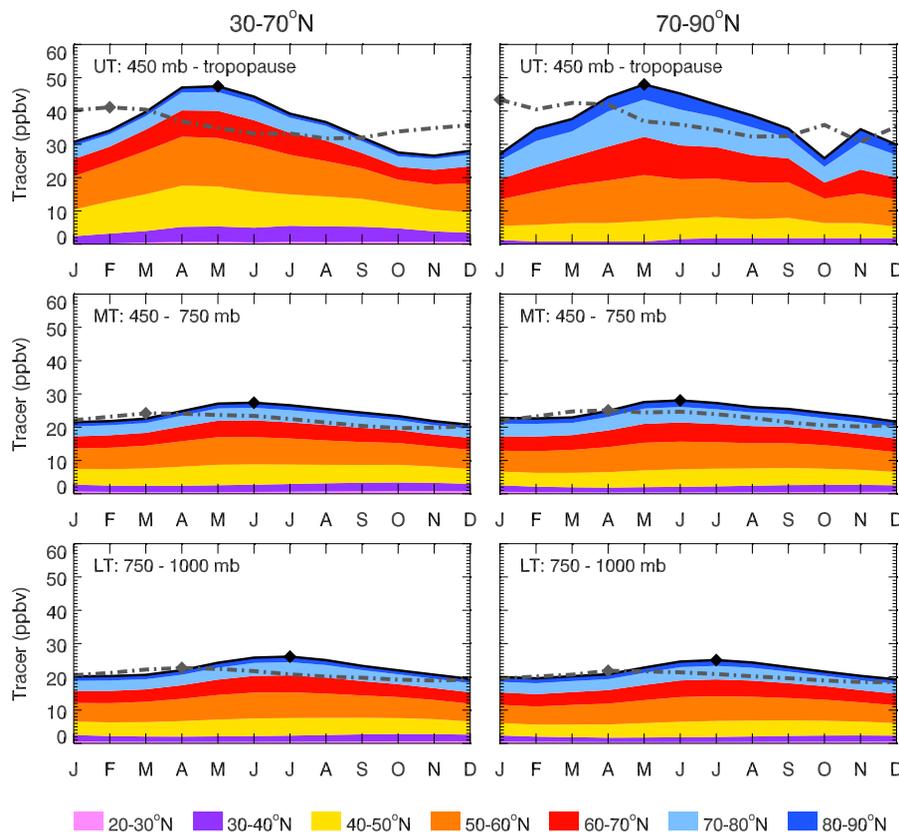


Fig. 3. Monthly mean contribution of STE from seven 10° -latitude bands from $20\text{--}90^\circ\text{N}$ to $DT_{6\text{-month}}$ in the NH troposphere from $30\text{--}70^\circ\text{N}$ (left panels) and $70\text{--}90^\circ\text{N}$ (right panels). Each shade corresponds to the contribution from one 10° -latitude band and the stacked sum is the total mixing ratio of $DT_{6\text{-month}}$ as outlined by the black solid line. The gray dash-dotted line shows DT_{fix} . The diamond symbols mark the seasonal maximum concentration.

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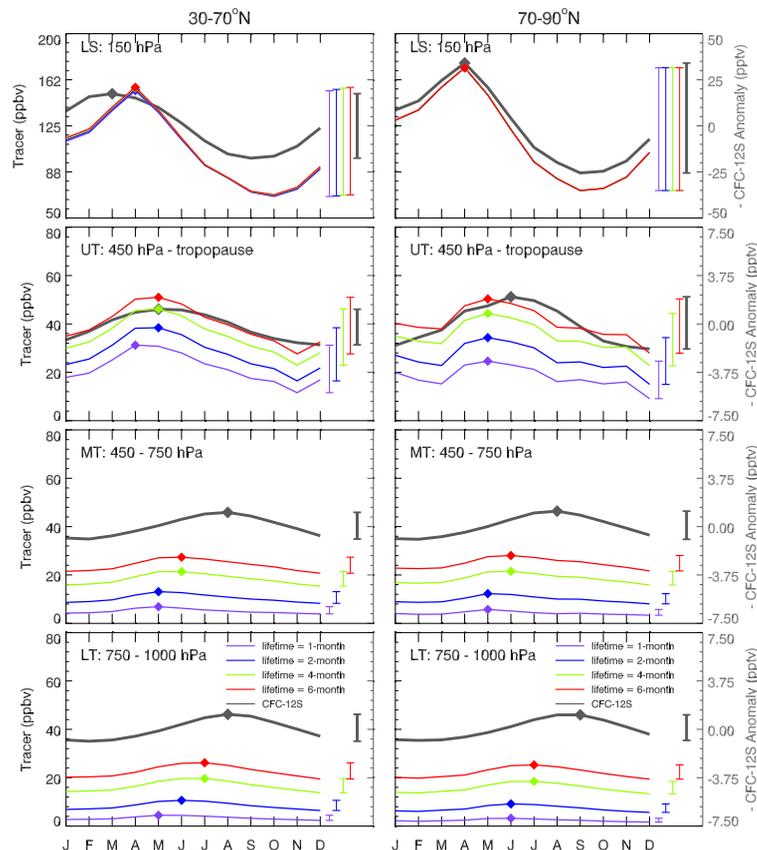


Fig. 4. Seasonal cycle of the dynamic tracers (color lines) in the NH troposphere. For easy comparison, the opposite sign of the stratospheric CFC-12 tracer anomalies ($-CFC-12S$ anomaly) is plotted in gray. The diamond symbols mark the seasonal maximum concentration. Vertical bars on the right of each panel show the amplitude of seasonal variation.

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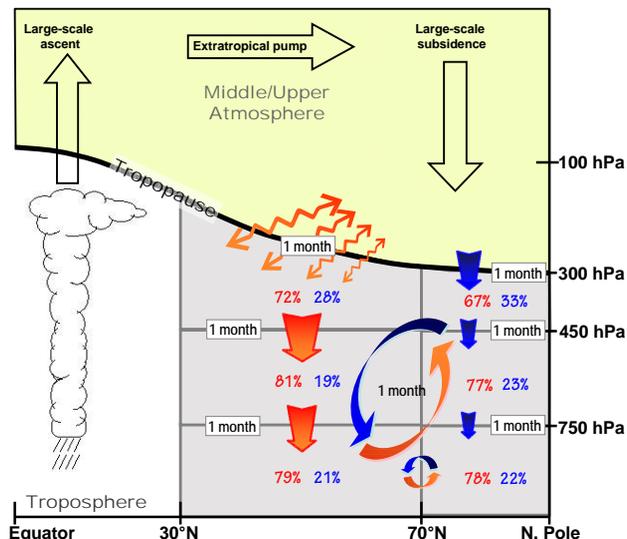


Fig. 5. A schematic diagram of STE and subsequent transport into the lower troposphere, augmented based on Fig. 3 in Holton et al. (1995). The wavy orange arrows across the tropopause represent quasi-horizontal STE in the mid-latitudes (30–70° N) by eddy motions. The blue arrow across the polar tropopause denotes STE in the high latitudes mostly via slow diabatic descending. The orange and blue arrows in the troposphere illustrate the subsequent transport of stratospheric air with the approximate length of transport time from one region to the next denoted by “1 month” labels. Descent of air from the tropopause to the lower surface takes a total of 3 months. After entering the troposphere, air of stratospheric origin also experiences efficient exchange between the mid and high latitudes via various air streams in the extra-tropical cyclones and low level advection and the timescale of exchange between the mid latitudes and the polar region is on the order of one month. The percents indicate the relative composition of stratospheric influence (red for stratospheric air via STE between 30–70° N and blue for that occur >70° N) in different regions in the NH mid-latitude and polar troposphere. Stratospheric influence in the NH troposphere is dominated by STE in the mid-latitudes, accounting for 67–81% of total stratospheric air.

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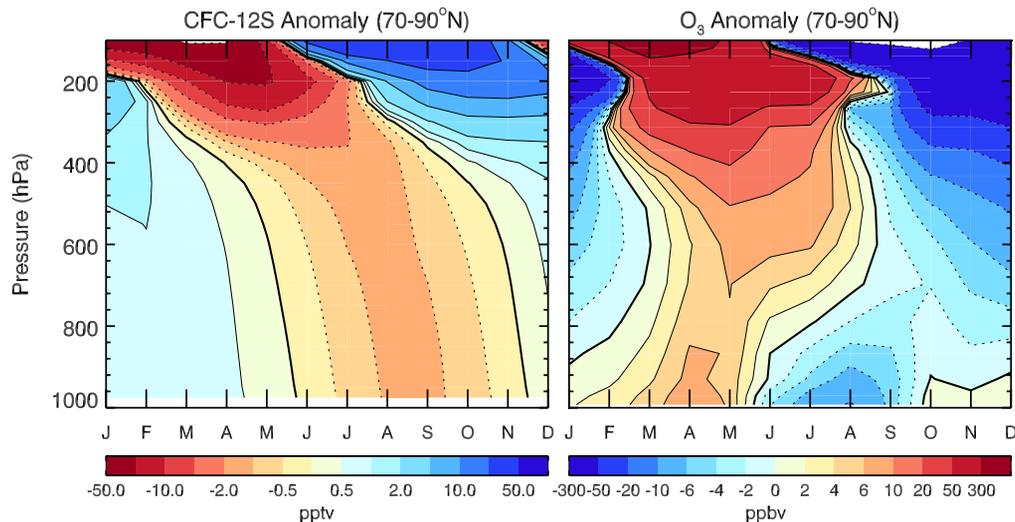


Fig. 6. Seasonal cycle of monthly mean stratospheric CFC-12 tagged tracer (CFC-12S) and ozone anomaly averaged between 180° W– 180° E and 70 – 90° N. CFC-12S is a tagged component of CFC-12 used to track the downward transport from the stratosphere to the troposphere.

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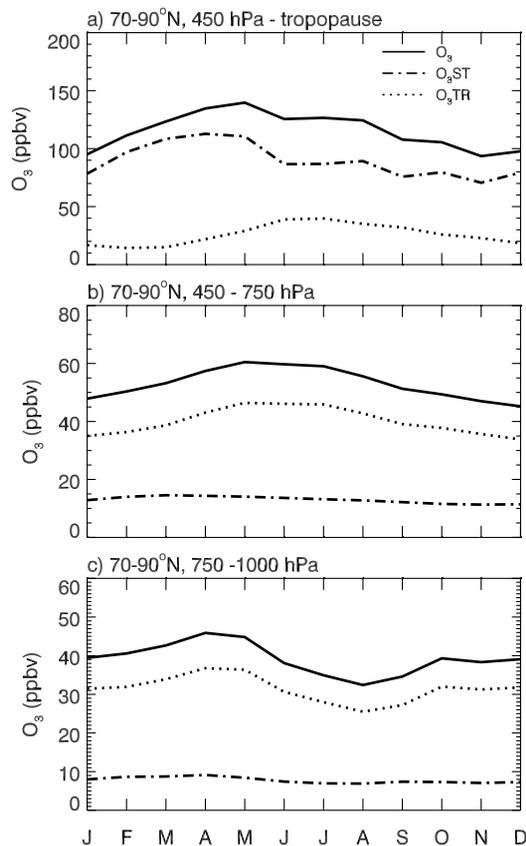


Fig. 7. Seasonal cycle of simulated monthly mean ozone (solid lines), stratospheric ozone contribution (dash-dotted lines) and their difference (tropospheric ozone, dotted lines) averaged in the Arctic (180° W–180° E, 70–90° N) between **(a)** 450 hPa - Tropopause, **(b)** 450–750 hPa, **(c)** 750–1000 hPa.

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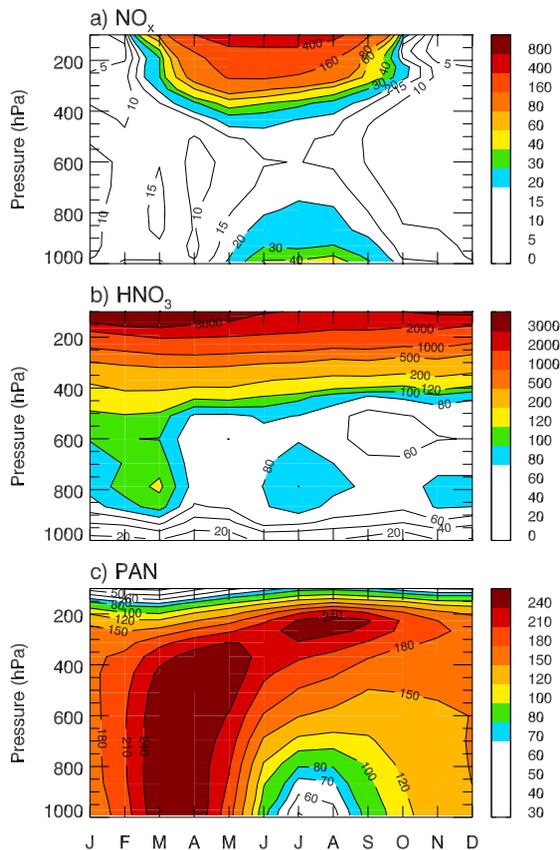
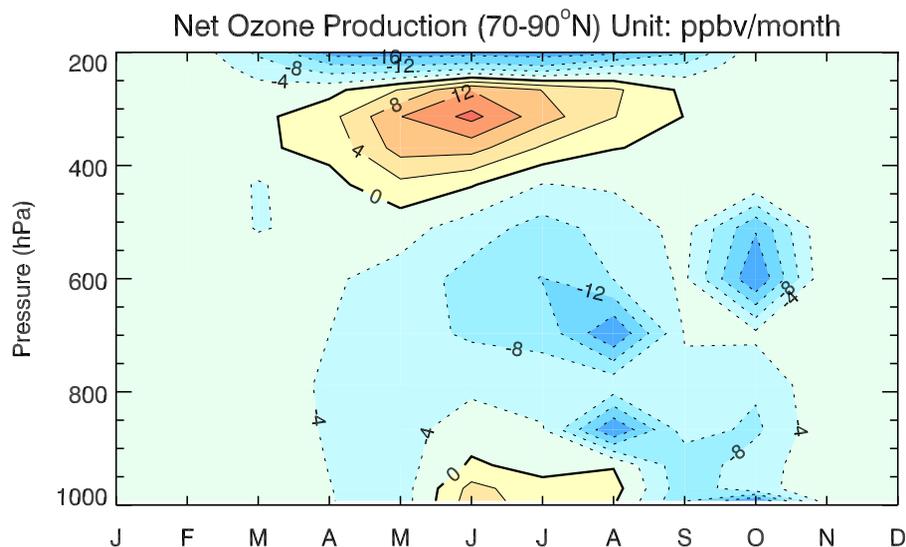


Fig. 8. Seasonal cycle of simulated zonally averaged monthly mean **(a)** NO_x , **(b)** HNO_3 , and **(c)** PAN in the Arctic (70–90° N). Units are pptv.

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**Fig. 9.** Seasonal variation of net ozone production in the Arctic.

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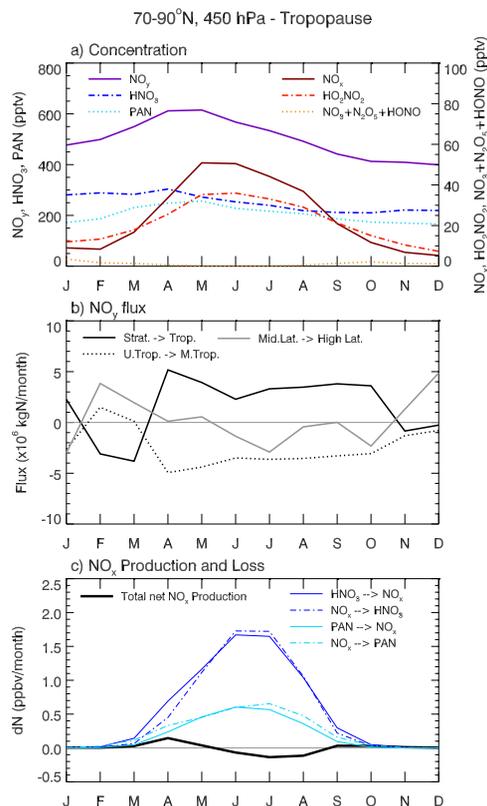


Fig. 10. (a) Seasonal cycle of zonally averaged, monthly mean NO_y , HNO_3 , PAN, NO_x , HNO_4 , $\text{NO}_3 + \text{N}_2\text{O}_5 + \text{HNO}_2$ averaged in the Arctic UT (70–90°N and 450 hPa–tropopause). **(b)** Vertical and meridional fluxes of NO_y into the Arctic UT. Note positive values indicate net gain of NO_y and negative values represent loss. **(c)** Conversion rates between NO_x and its reservoir species, HNO_3 (blue) and PAN (aqua). Production of NO_x is plotted as solid lines and loss in dash-dotted lines. Total net NO_x production from all NO_x -related reactions is plotted as thick black line.

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