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Cloud condensation nuclei in polluted air and biomass burning smoke near the mega-city Guangzhou, China – Part 1: Size-resolved measurements and implications for the modeling of aerosol particle hygroscopicity and CCN activity









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#### Abstract

Atmospheric aerosol particles serving as cloud condensation nuclei (CCN) are key elements of the hydrological cycle and climate, but their abundance, properties and sources are highly variable and not well known. We have measured and characterized

5 CCN in polluted air and biomass burning smoke during the PRIDE-PRD2006 campaign on 1–30 July 2006 at a rural site ~60 km northwest of the mega-city Guangzhou in southeastern China.

CCN efficiency spectra (activated fraction vs. dry particle diameter; 20–300 nm) were recorded at water vapor supersaturations (*S*) in the range of 0.07% to 1.27%. Depending on *S*, the dry CCN activation diameters were in the range of 30–200 nm, corresponding to effective hygroscopicity parameters  $\kappa$  in the range of 0.1–0.5. The hygroscopicity of particles in the accumulation size range was generally higher than that of particles in the nucleation and Aitken size range. The campaign average value of  $\kappa$  for all aerosol particles across the investigated size range was 0.3, which equals the average value of  $\kappa$  for other continental locations. During a strong local biomass burning event, the activation diameters increased by ~10% and the average value of

 $\kappa$  dropped to 0.2, which can be considered as characteristic for freshly emitted smoke from the burning of agricultural waste. At low *S* ( $\leq$ 0.27%), the maximum activated fraction remained generally well below one, which indicates substantial proportions of externally mixed CCN-inactive particles with much lower hygroscopicity – most likely soot particles (up to ~60% at ~250 nm).

The mean CCN number concentrations ( $N_{\rm CCN,S}$ ) ranged from 1100 cm<sup>-3</sup> at S=0.07% to 16 000 cm<sup>-3</sup> at S=1.27%, representing ~7% to ~85% of the total aerosol particle number concentration. Based on the measurement data, we have tested different model approaches (power laws and  $\kappa$ -Köhler model) for the approxima-

<sup>25</sup> different model approaches (power laws and  $\kappa$ -Kohler model) for the approximation/prediction of  $N_{\text{CCN,S}}$  as a function of water vapor supersaturation, aerosol particle number concentration, size distribution and hygroscopicity. Depending on S and on the model approach, the relative deviations between measured and predicted  $N_{\text{CCN,S}}$ 

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ranged from a few percent to several hundred percent. The largest deviations occurred at low *S* and with power laws based on particle number concentration. With the  $\kappa$ -Köhler model and a constant hygroscopicity parameter of 0.3, the deviations were on average less than ~20%, which confirms that  $\kappa$ =0.3 may be suitable for approximat-

- <sup>5</sup> ing the hygroscopicity and CCN activity of continental aerosols in large scale models of the atmosphere and climate. On the other hand, the temporal variations of  $N_{\text{CCN,S}}$ observed during the biomass burning event and in diurnal cycles could not be captured with constant  $\kappa$  (deviations up to ~80%). With variable  $\kappa$  values obtained from individual CCN efficiency spectra, the relative deviations were on average less than ~10%
- and hardly exceeded 20%, confirming the applicability of the  $\kappa$ -Köhler model approach for efficient description of the CCN activity of atmospheric aerosols. Note, however, that different types of  $\kappa$ -parameters have to be distinguished for external mixtures of CCN-active and -inactive aerosol particles.

#### 1 Introduction

- Atmospheric aerosol particles that enable the condensation of water vapor and formation of cloud droplets are called cloud condensation nuclei (CCN). Elevated concentrations of CCN tend to increase the concentration and decrease the size of droplets in a cloud. Besides changing the optical properties and radiative effects of clouds on climate, this may lead to the suppression of precipitation in shallow and short-lived clouds but also to greater convective overturning and more precipitation in deep con-
- vective clouds (Rosenfeld et al., 2008). The response of cloud characteristics and precipitation processes to increasing anthropogenic aerosol concentrations represents one of the largest uncertainties in the current understanding of climate change. One of the crucial underlying challenges is to determine the ability of aerosol particles to act
- as CCN under relevant atmospheric conditions, an issue that has received increasing attention over the past years (McFiggans et al., 2006; IAPSAG, 2007; IPCC, 2007; Andreae and Rosenfeld, 2008, and references therein).





In order to incorporate the effects of CCN in meteorological models at all scales, from large eddy simulation (LES) to global climate models (GCM), knowledge of the spatial and temporal distribution of CCN in the atmosphere is essential (Huang et al., 2007). In recent years, anthropogenic emissions of aerosol particles and precursors from Asia have increased significantly (Streets et al., 2000, 2008; Richter et al., 2005;

- from Asia have increased significantly (Streets et al., 2000, 2008; Richter et al., 2005; Shao et al., 2006), and numerous studies indicate that anthropogenic aerosol particles have changed cloud microphysical and radiative properties (Xu, 2001; Liu et al., 2004; Massie et al., 2004; Zhang et al., 2004; Wang et al., 2005; Qian et al., 2006; Zhao et al., 2006; Li et al., 2007; Rosenfeld et al., 2007; Deng et al., 2008). Thus, CCN
- <sup>10</sup> data are required for assessing the impact of anthropogenic aerosol on regional and global climate, but only few CCN measurements have been performed in Asia and in the vicinity of mega-cities and city-clusters which are major source regions of air particulate matter (e.g. Matsumoto et al., 1997; Yum et al., 2005, 2007; Kuwata et al., 2007, 2008).
- The Pearl River Delta (PRD) in southeastern China is one of the main centers of economic activity and growth in Asia. Due to strong anthropogenic emissions, the PRD region is often plagued with high aerosol concentrations that lead to not only low visibility, but can also impact the regional radiative balance, precipitation patterns and hydrological cycles (Hagler et al., 2006; Andreae et al., 2008; Fan et al., 2008;
   Wendisch et al., 2008; Zhang et al., 2008).

Within the "Program of Regional Integrated Experiments of Air Quality over the Pearl River Delta" intensive campaign in July 2006 (PRIDE-PRD2006), we have measured and characterized the CCN properties of aerosol particles in polluted air and biomass burning smoke near the mega-city Guangzhou as a function of particle diameter (30–

<sup>25</sup> 300 nm) and water vapor supersaturation (0.07–1.27%). In this manuscript, we focus on the results of the size-resolved CCN measurements and on the implications for different approaches of approximating and predicting CCN number concentrations. A follow-up study will address the relations between aerosol chemical composition and CCN activity (Rose et al., 2008a).





#### 2 Methods

#### 2.1 Measurement location, meteorological conditions and supporting data

The measurements were performed over the period of 1–30 July 2006 in Backgarden (23.548056° N, 113.066389° E), a small village ~60 km northwest of Guangzhou on
the outskirts of the densely populated center of the PRD. Due to the prevailing southeast monsoon circulation at this time of year, the air masses came mainly from the south/southeast, making this site a rural receptor site for the regional pollution resulting from the outflow of the city cluster around Guangzhou. The average meteorological conditions (arithmetic mean±standard deviation) for the campaign were: 28.9±3.2°C
ambient temperature, 78.0±13.7% ambient relative humidity (RH), 997±4 hPa ambient pressure, 1.8±1.2 m s<sup>-1</sup> local wind speed, 143±53° local wind direction. For more information about the measurement location and meteorological conditions see Garland et al. (2008b).

A two-story building was used exclusively to house the measurement campaign, with <sup>15</sup> most of the instruments placed in air conditioned rooms on the top floor and sample inlets mounted on the rooftop. The main aerosol inlet used in this study was equipped with a Rupprecht & Patashnick PM<sub>10</sub> inlet (flow rate 16.7 L min<sup>-1</sup>). The sample flow passed through stainless steel tubing (1.9 cm i.d., 5.1 m length) and a diffusion dryer with silica gel/molecular sieve cartridges (alternating regeneration with dry pressurized <sup>20</sup> air, regeneration cycles 15–50 min, average RH=33±7%). After drying, the sample flow was split into separate lines. One led to the CCN measurement setup described below (0.9 cm i.d. stainless steel, ~4 m length, flow rate 1.5 L min<sup>-1</sup>); another one was used

for aerosol particle size distribution measurements (3–900 nm) with a Twin Differential Mobility Particle Sizer (TDMPS). The inlet, dryer and size distribution measurements were operated by the Leibniz Institute for Tropospheric Research (IfT).

Besides aerosol particle size distribution and CCN activity, on which we focus in this manuscript, a wide range of other aerosol, gas phase, and meteorological parameters were measured to characterize local and regional air pollution (Garland et al., 2008b;



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Hua et al., 2008a; Liu et al., 2008). These will be used in a follow-up study addressing the relations between aerosol chemical composition and CCN activity (Rose et al., 2008a).

2.2 CCN measurement and data analysis

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5 2.2.1 Instrumentation and measurement procedure

Size-resolved CCN efficiency spectra (CCN activation curves) were measured with a Droplet Measurement Technologies continuous flow CCN counter (Roberts and Nenes, 2005; Lance et al., 2006) coupled to a Differential Mobility Analyzer (DMA; TSI 3071) and a condensation particle counter (CPC; TSI 3762; Frank et al., 2006; Rose et al., 2008b).

The CCN counter (CCNC) was operated at a total flow rate of  $0.5 \text{ Lmin}^{-1}$  with a sheath-to-aerosol flow ratio of 10. For the campaign, the average sampling pressure and temperature as measured inside the CCNC were  $(1006\pm6)$  hPa and  $(23.7\pm1.4)^{\circ}$ C, respectively. The effective water vapor supersaturation (*S*) was regulated by the temperature difference between the upper and lower end of the CCNC flow column ( $\Delta T$ ) and calibrated as described below and in Rose et al. (2008b).

For each CCN measurement cycle,  $\Delta T$  was set to 5 different levels in the range of 1.98–16.9 K corresponding to *S* values of 0.068% to 1.27%. For each  $\Delta T$  and *S*, respectively, the diameter of the dry aerosol particles selected by the DMA (*D*) was set

- <sup>20</sup> to 9 different values in the range of 20–290 nm. At each *D*, the number concentration of total aerosol particles (condensation nuclei, CN),  $N_{CN}$ , was measured with the CPC, and the number concentration of CCN,  $N_{CCN}$ , was measured with the CCNC. The integration time for each measurement data point was 50 s, the recording of a CCN efficiency spectrum ( $N_{CCN}/N_{CN}$  vs. *D*) took ~16 min, and the completion of a full
- <sup>25</sup> measurement cycle comprising CCN efficiency spectra at 5 different supersaturation levels took ~85 min (including 5 min for adjustment between the highest and lowest level of *S*). Note that for the lowest supersaturation level applied in the atmospheric



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measurements, the mean value of S=0.068% was used for the calculations outlined below, but for simplicity a value of 0.07% is listed in figures and tables.

#### 2.2.2 Calibration of CCN counter

With respect to the effective water vapor supersaturation *S*, the CCNC was calibrated with ammonium sulfate aerosol as described by Rose et al. (2008b). During the campaign, five calibration experiments were performed, and in each of these experiments multiple CCN efficiency spectra were recorded for 5 different  $\Delta T$  values. The midpoint activation diameter of each CCN efficiency spectrum was taken as the critical dry diameter for the CCN activation of ammonium sulfate particles, and the corresponding critical supersaturation was calculated with an activity parameterization Köhler model (AP3; Rose et al., 2008b) that can be regarded as the most accurate reference available. Note that other frequently used Köhler models and the corresponding calibration lines would deviate by up to 20% or more, and care has to be taken when comparing the results of different CCN measurement and model studies (Rose et al., 2008). The calculated critical supersaturation was taken as the effective supersaturation at the given  $\Delta T$  value.

Figure 1 shows the average CCN efficiency spectra obtained from the 5 calibration experiments with ammonium sulfate aerosol, and the corresponding average calibration parameters are given in Table 1. A linear least-squares fit to the data pairs of *S* and  $\Delta T$  was taken as the CCNC calibration line for the entire campaign:  $S = k_s \Delta T + S_0$ with  $k_s = 0.08041\%$  K<sup>-1</sup> and  $S_0 = -0.09109\%$ ,  $R^2 = 0.9929$ . It was applied to calculate *S* from the average value of  $\Delta T$  recorded during each measurement of a CCN efficiency spectrum of atmospheric aerosol. As detailed by Rose et al. (2008b) variations in *S* are mostly due to variations of the CCNC inlet temperature. The standard deviations of the calibration data points and their maximum deviations from the calibration line ( $\Delta S/S$ ) as listed in Table 1 indicate a relative uncertainty of less than ~7% for *S* in the CCN measurements reported in this study.

The measured atmospheric CCN efficiency spectra were corrected for multiply charged particles as described by Frank et al. (2006) and for the DMA transfer function as described by Rose et al. (2008b). For the multiple charge correction we used the total aerosol particle number size distributions measured in parallel with the TDMPS. For

- several days TDMPS data were not available, and no charge correction was performed. Nevertheless, the CCN data from these days remained comparable with the others, because the effects of the charge correction were generally small (<5% change in activation diameters and other parameters used for further analysis).
- <sup>10</sup> The CCN efficiency spectra were also corrected for the differences in the counting efficiencies of the CCNC and the CPC. If the CCNC and CPC counting efficiencies were the same, a maximum activated fraction of  $N_{\rm CCN}/N_{\rm CN} \approx 1$  would be expected for ammonium sulfate calibration aerosol particles at all supersaturation levels. As illustrated in Fig. 1, however, the measured maximum value of  $N_{\rm CCN}/N_{\rm CN}$  was close to one only
- <sup>15</sup> for large particles. For smaller particles the measured maximum levels of  $N_{\rm CCN}/N_{\rm CN}$ decreased with decreasing particle size, which can be attributed to a decrease in the counting efficiency of the CCNC (most likely due to wall losses in the tubing inside the instrument). To correct for this bias, we have fitted an asymptotic function to the data points of the calibration efficiency spectra that reached at least 95% of their respective maximum values (red line in Fig. 1):  $f_{\rm corr} = x_1 - x_2 \cdot x_3^D$  with  $x_1 = 1.00547$ ,  $x_2 = 0.26208$ ,  $x_3 = 0.98024$ ,  $R^2 = 0.70881$ . The inverse of this correction function was multiplied with all the atmospheric  $N_{\rm CCN}/N_{\rm CN}$  data points after the charge and transfer function corrections. In the following, for simplicity, the corrected CCN efficiency spectra are referred to as the "measured" CCN efficiency spectra.
- The deviations of the highest  $N_{\rm CCN}/N_{\rm CN}$  measurement values from the counting efficiency correction function determined in the calibration experiments ( $f_{\rm corr}$ , Fig. 1) indicate a relative uncertainty of ~5% for the CCN efficiencies determined for atmospheric aerosols (corrected CCN efficiency spectra). For the period after 20 July the relative

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uncertainty increased to  $\sim$ 10%, as indicated by a decrease in the observed maximum CCN efficiencies (offset in the CCNC flow rate).

2.2.4 Parameters derived from the CCN efficiency spectra

Basic spectral parameters

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<sup>5</sup> The measured CCN efficiency spectra were fitted with a cumulative Gaussian distribution function (CDF; Rose et al., 2008b):

$$f_{N_{\rm CCN}/N_{\rm CN}} = a \left( 1 + \operatorname{erf}\left(\frac{D - D_a}{\sigma_a \sqrt{2}}\right) \right)$$
(1)

The following best-fit parameters were determined for each spectrum: the maximum activated fraction MAF<sub>f</sub>=2a, the midpoint activation diameter  $D_a$ , and the CDF standard deviation  $\sigma_a$ . The fit function was also used to calculate the 50% activation diameter  $D_{50}$  at which  $N_{\rm CCN}/N_{\rm CN}$ =0.5. In addition to the 3-parameter CDF fits with varying a,  $D_a$ , and  $\sigma_a$ , we have also performed 2-parameter CDF fits which were forced to MAF<sub>f</sub>=1 by fixing the parameter a at 0.5 and varying only  $D_a$  and  $\sigma_a$ . For the midpoint activation diameters and CDF standard deviations obtained from these fits we use the

symbols  $D_t$  and  $\sigma_t$ . In addition to the above CDF fit-based parameters, the CCN efficiency measured at the largest diameter of each spectrum ( $D_{max}$ ) was also taken for further analysis and discussion: MAF<sub>m</sub>= $N_{CCN}/N_{CN}$  at  $D_{max}\approx 270$  nm.

Characteristic examples of atmospheric CCN efficiency spectra of atmospheric aerosols and the corresponding CDF fits and parameters are illustrated in Fig. 2. Fig-

- <sup>20</sup> ure 2a shows an "ideal" spectrum that is characteristic for internally mixed aerosols with homogeneous composition and hygroscopicity of the particles (similar to ammonium sulfate calibration aerosol). In this case, the observed CCN efficiencies reach up to one (MAF<sub>f</sub>  $\approx$  MAF<sub>m</sub>  $\approx$  1), and the activation diameters and standard deviations derived from the 3-parameter and 2-parameter CDF fits are essentially the same ( $D_a \approx D_{50} \approx D_t$ ;  $\sigma_a \approx \sigma_t$ ).
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At medium and high supersaturation (S=0.47-1.27%), most CCN efficiency spectra were qualitatively similar to the one in Fig. 2a. At low supersaturation (S=0.07-0.27%), however, most CCN efficiency spectra deviated from the "ideal" shape and looked like the exemplary spectrum displayed in Fig. 2b, which is characteristic for externally mixed <sup>5</sup> aerosols.

In these cases, the highest observed CCN efficiencies remain well below one (MAF<1), which indicates an external mixture of CCN-active particles with CCNinactive particles, whereby the difference in CCN activity is due to chemical composition and hygroscopicity (not particle size). Test experiments with different CCNC flow rates yielded the same result, indicating that the observed deviations of MAF from unity were not governed by potential kinetic limitations of water uptake in the CCNC.

For CCN efficiency spectra with MAF<1 the activation diameters and standard deviations derived from the 3-parameter and 2-parameter CDF fits are not the same: the 3-parameter fit results represent the average properties of the CCN active aerosol particle fraction, whereas the 2-parameter fit results approximate the overall properties of

ticle fraction, whereas the 2-parameter fit results approximate the overall properties o the external mixture of CCN-active and CCN-inactive particles.

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The difference between unity and the maximum observed CCN efficiency ( $(1-MAF_m \text{ or } 1-MAF_f, \text{ respectively})$  represents the fraction of externally mixed CCN-inactive particles at  $D_{\text{max}}$  or averaged over the diameter range of  $D_a$  to  $D_{\text{max}}$ , respectively. The

- <sup>20</sup> CDF standard deviations are general indicators for the extent of external mixing and heterogeneity of particle composition in the investigated aerosol:  $\sigma_a$  characterizes the CCN-active particles in the size range around  $D_a$ , and  $\sigma_t$  characterizes the overall heterogeneity of CCN-active and -inactive particles in the size range around  $D_t$ . Under ideal conditions, the CDF standard deviations should be zero for an internally mixed,
- <sup>25</sup> fully monodisperse aerosol with particles of homogeneous chemical composition. Even after correcting for the DMA transfer function, however, calibration aerosols composed of high-purity ammonium sulfate exhibit small non-zero  $\sigma_a$  values that correspond to ~3% of  $D_a$  and can be attributed to heterogeneities of the water vapor supersaturation profile in the CCNC or other non-idealities such as particle shape effects (Rose et

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al., 2008b). Thus  $\sigma_a/D_a$  values close to ~3% indicate internally mixed CCN, whereas higher values indicate external mixtures of particles with different chemical composition and hygroscopicity, respectively.

#### Effective hygroscopicity parameters

- <sup>5</sup> As proposed by Petters and Kreidenweis (2007), an effective hygroscopicity parameter  $\kappa$  can be used to describe the influence of chemical composition on the CCN activity of aerosol particles, i.e. on their ability to absorb water vapor and act as CCN. Based on Köhler theory,  $\kappa$  relates the dry diameter of aerosol particles to the critical water vapor supersaturation, i.e. the minimum supersaturation required for cloud droplet formation.
- <sup>10</sup> For a given supersaturation,  $\kappa$  allows calculating the critical dry particle diameter, i.e. the minimum diameter required for the particle to be CCN-active. According to measurements and thermodynamic models,  $\kappa$  is zero for insoluble materials like soot, ~0.1 for secondary organic aerosols, ~0.6 for ammonium sulfate and nitrate, and ~1 for sodium chloride and sea spray aerosols. The effective hygroscopicity of mixed aerosols
- <sup>15</sup> can be approximated by a linear combination of the  $\kappa$ -values of the individual chemical components weighted by the volume or mass fractions. On average, continental and marine aerosols tend to cluster around  $\kappa$ =0.3 and  $\kappa$ =0.7, respectively (Andreae and Rosenfeld, 2008; Kreidenweis et al., 2008; Pöschl et al., 2008).

For all data pairs of supersaturation and activation diameter derived from the CCN efficiency spectra measured in this study,  $\kappa$  parameters were calculated from the following Köhler model equation (equivalent to Eq. 6 of Petters and Kreidenweis, 2007, and Eq. A30 of Rose et al., 2008b):

$$s = \frac{D_{\text{wet}}^3 - D^3}{D_{\text{wet}}^3 - D^3(1 - \kappa)} \exp\left(\frac{4\sigma_{\text{sol}}M_{\scriptscriptstyle W}}{R\,T\,\rho_{\scriptscriptstyle W}\,D_{\text{wet}}}\right) \tag{2}$$

 $\kappa$  was determined by inserting the observed activation diameter ( $D_a$ ,  $D_{50}$  or  $D_t$ ) for  $D_{125}$  and varying both  $\kappa$  and the droplet diameter  $D_{wet}$  until the saturation ratio s was at

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the same time equivalent to the prescribed supersaturation *S* and to the maximum of a Köhler model curve of CCN activation (numerical minimum search for -s and for |s-(1+S/100%)| with Matlab "fminsearch" and start values of  $\kappa=0.2$  and  $D_{wet}=D$ ).

For the temperature we inserted T=298.15 K, the droplet surface tension was approximated by that of water ( $\sigma_{sol}=0.072 \text{ Jm}^{-2}$ ), and the other parameters were set to  $R=8.315 \text{ JK}^{-1}$  (gas constant),  $\rho_w=997.1 \text{ kg m}^{-3}$  and  $M_w=0.018015 \text{ kg mol}^{-1}$  (density and molar mass of water).

 $\kappa_a$  calculated from the data pairs of *S* and  $D_a$  characterizes the CCN-active particles in the size range around  $D_a$ .  $\kappa_t$  calculated from  $D_t$  characterizes the mixture of CCN-10 active and -inactive particles in the size range around  $D_t$ .  $\kappa_{50}$  calculated from  $D_{50}$ characterizes primarily the CCN-active particles in the size range around  $D_{50}$  but it is also influenced by the CCN-inactive fraction. It is less well-defined and meaningful than  $\kappa_a$  and  $\kappa_t$ , but it has been included for completeness and for comparison with other studies reporting only  $D_{50}$  as the CCN activation diameter (e.g. Kuwata et al., 2008).

CCN size distributions and number concentrations

CCN size distributions  $(dN_{\rm CCN}/d\log D)$  were calculated by multiplying the CCN efficiency spectra (3-parameter CDF fits of  $N_{\rm CCN}/N_{\rm CN}$ ) with the total aerosol particle (CN) number size distributions measured in parallel  $(dN_{\rm CN}/d\log D)$ . In these calculations, the fit parameter *a* was limited to a maximum value of 0.5 (MAF<sub>f</sub>=1), because CCN concentrations exceeding CN concentrations are physically not realistic. Near the activation diameter, the size resolution of the CCN efficiency spectra was generally higher than that of the CN size distributions measurement data from the TDMPS ( $d\log D$ =0.083). Thus the CN size distributions were linearly interpolated on a grid with ten-fold smaller size steps.

Total CCN concentrations ( $N_{CCN,S}$ ) were calculated by stepwise integration of the CCN size distributions with  $d\log D = 0.0083$  from 3 to 900 nm. Note that insufficient size-resolution near the activation diameter can lead to substantial deviations in the





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calculation of total CCN number concentrations (up to ~10% at low *S*, up to ~5% at high *S* with  $d\log D = 0.083$  vs.  $d\log D = 0.0083$  in this study).

#### 3 Results and discussion

- 3.1 CCN efficiency spectra and related parameters
- <sup>5</sup> During the 30 day campaign period of PRIDE-PRD2006, we measured ~2200 sizeresolved CCN efficiency spectra (activation curves) for atmospheric aerosols at water vapor supersaturations in the range of 0.07% to 1.27%. Exemplary spectra are shown in Fig. 2, and the derivation and interpretation of characteristic parameters is explained in Sect. 2.2.4.
- 10 3.1.1 Campaign averages

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Figure 3 shows campaign averages of the atmospheric CCN efficiency spectra at the six investigated supersaturation levels. The average parameters derived from the CCN efficiency spectra are summarized in Table 2, and their statistical distributions are illustrated in the online supplementary material: http://www.atmos-chem-phys-discuss. net/8/17343/2008/acpd-8-17343-2008-supplement.pdf (Figs. S1–S6).

As expected, the midpoint activation diameters  $D_a$  increased with S and were larger than the critical dry diameters for CCN activation of pure ammonium sulfate particles at the same supersaturation levels. At medium and high supersaturation (S=0.47-1.27%), the CCN efficiency spectra generally reached up to one (MAF<sub>f</sub>≈1) and the <sup>20</sup> relative standard deviations of the 3-parameter CDF fits were small ( $\sigma_a/D_a\approx10\%$ ), which implies that nearly all aerosol particles larger than the midpoint activation diameter ( $D>D_a$ ) were CCN-active. At low supersaturation (S=0.07-0.27%), however, the maximum activated fractions remained on average well below one, which indicates a substantial proportion ( $1-MAF_f$ ) of externally mixed CCN-inactive particles with much



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lower hygroscopicity. At S=0.07%, the average MAF<sub>f</sub> was only ~0.75 with minimum values as low as ~0.4, i.e. even at diameters as large as ~250 nm an average of ~25% and up to ~60% of the aerosol particles were not CCN-active. To our knowledge such high proportions of externally mixed CCN-inactive particles have not been observed <sup>5</sup> before in atmospheric aerosols.

Sensitivity tests with the  $\kappa$ -Köhler model described in Sect. 2.2.4 (Petters and Kreidenweis, 2007) indicate that particles as large as ~250 nm must have an effective hygroscopicity parameter  $\kappa$ <0.1 to be not activated at S=0.07%. On the other hand,  $S \approx 0.7\%$  would be required to activate 300 nm particles that are wettable but completely insoluble and non-hygroscopic ( $\kappa$ =0). Most likely the CCN-inactive particles were freshly emitted (non-aged/non-coated) soot particles with  $\kappa \approx 0.01$ , which will be detailed and discussed further in a follow-up study based on Volatility Tandem DMA (VTDMA) and chemical composition data (Rose et al., 2008a). Other recent studies from PRIDE-PRD2006 (Garland et al., 2008b) and from a similar field campaign in the vicinity of Beijing (Cheng et al., 2008; Garland et al., 2008a; Wehner et al., 2008; Wiedensohler et al., 2008) also indicate strong regional pollution with large proportions and external mixtures of soot particles in the atmospheric aerosol near Chinese

Figure 4a gives an overview of the maximum activated fractions (MAF<sub>f</sub>) and normalized standard deviations ( $\sigma_a/D_a$ ) of the 3-parameter CDF fits as well as the normalized standard deviations of the 2-parameter CDF fits ( $\sigma_t/D_t$ ) to the measured CCN efficiency spectra. The average parameter values are plotted against the corresponding average midpoint activation diameters ( $D_a$ ,  $D_t$ ) that have been observed at the six prescribed levels of water vapor supersaturation (S=0.07–1.27%).

mega-cities and city-clusters.

As detailed in Sect. 2.2.4,  $\sigma_a/D_a$  characterizes the heterogeneity of CCN-active particles in the size range around  $D_a$ , whereas  $\sigma_t/D_t$  characterizes the overall heterogeneity of aerosol particles in the size range around  $D_t$ .

For small particles in the nucleation or Aitken size range (~30–70 nm), the heterogeneity parameters  $\sigma_a/D_a$  and  $\sigma_t/D_t$  were nearly identical and close to ~10%. This is

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clearly higher than the ~3% observed for aerosols of homogeneous chemical composition (e.g. pure ammonium sulfate), indicating that the particles in this size range were not fully internally mixed with respect to their solute content. For larger particles in the accumulation size range (~70–200 nm),  $\sigma_a/D_a$  remained at ~10% whereas  $\sigma_t/D_t$  increased strongly up to ~25% at ~200 nm. This confirms that the CCN-active particles in the accumulation size range had faith homogeneous prepartice but were externally

in the accumulation size range had fairly homogeneous properties but were externally mixed with CCN-inactive particles.

Figure 4b gives an overview of the effective hygroscopicity parameters ( $\kappa_a$ ,  $\kappa_t$ ) that have been derived from the midpoint activation diameters ( $D_a$ ,  $D_t$ ) of the 3-parameter and 2-parameter CDF fits, respectively. As detailed in Sect. 2.2.4,  $\kappa_a$  calculated from *S* and  $D_a$  characterizes the average hygroscopicity of CCN-active particles in the size range around  $D_a$ , whereas  $\kappa_t$  calculated from *S* and  $D_t$  characterizes the average hygroscopicity of the total ensemble of aerosol particles in the size range around  $D_t$ .

For small particles in the nucleation or Aitken size range (~30–70 nm),  $\kappa_a$  and  $\kappa_t$ <sup>15</sup> were nearly identical and close to ~0.3. For larger particles in the accumulation size range (~70–200 nm), however,  $\kappa_a$  increased substantially to ~0.4–0.5, whereas  $\kappa_t$ increased only slightly to ~0.35.

Overall, larger particles were on average more hygroscopic but also more heterogeneous than smaller particles. The observed values of  $\kappa_a$ ,  $\kappa_t$ ,  $\sigma_a$ ,  $D_{a,}$ ,  $\sigma_t/D_t$ , and MAF<sub>f</sub> suggest that the particles in the nucleation or Aitken size range were mostly composed of organics and sulfate and largely but not fully internally mixed, whereas the parti-

cles in the accumulation size range consisted mostly of an external mixture of soot particles ( $\kappa < 0.1$ ; ~25% at ~200 nm) and sulfate-rich particles ( $\kappa \approx 0.4-0.5$ ; ~75% at ~200 nm). Note that the properties of large accumulation mode particles are not only

<sup>25</sup> important for cloud formation at low and medium supersaturation (low and moderate updraft velocities; Segal and Khain, 2006; Reutter et al., 2008) but also for aerosol optical properties and direct radiative effects on climate (Garland et al., 2008b). These and other aspects of aerosol chemical composition and mixing state will be further explored and discussed in more detail in a follow up study (Rose et al., 2008a). Averaged

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over all diameters, the mean hygroscopicity parameter values for the entire campaign were  $\kappa_a$ =0.34 and  $\kappa_t$ =0.30 (Table 2).

#### 3.1.2 Time series and biomass burning event

Figure 5 shows time series of characteristic parameters ( $D_a$ ,  $D_t$ , MAF<sub>f</sub>, MAF<sub>m</sub>,  $\sigma_a$ ,  $\sigma_t$ ,  $\sigma_a/D_a$ ,  $\sigma_t/D_t$ ,  $\kappa_a$ ,  $\kappa_t$ ) derived from the atmospheric CCN efficiency spectra measured throughout the campaign. For clarity, the parameters in Fig. 5c–j are shown only for the smallest and largest supersaturations that were measured during the entire campaign (S=0.07%, S=0.87%). The temporal evolution of most parameters at S=0.07% was qualitatively similar to S=0.27%, and that at S=0.87% was representative for S=0.47– 1.27%.

Most parameters exhibited pronounced diurnal cycles which are consistent with the results of other recent studies from PRIDE-PRD2006 (Garland et al., 2008b; Hua et al., 2008b). The diurnal cycles in CCN properties will be described and discussed together with the variability of other aerosol properties including chemical composition, volatility, and optical parameters in a follow up study (Rose et al., 2008a). As illustrated in Fig. 5i

and optical parameters in a follow up study (Rose et al., 2008a). As illustrated in Fig. 51 and j, both the fitted and the measured maximum activated fractions ( $MAF_f$ ,  $MAF_m$ ) dropped by ~10% after 20 July, which is most likely due to a measurement artifact (offset in the flow rate of the CCNC).

In addition to the diurnal variability, several CCN parameters exhibited pronounced

<sup>20</sup> changes during high pollution events. Especially on 23–26 July, the midpoint activation diameters and standard deviations of the CDF fits increased and the hygroscopicity parameters decreased relative to the campaign average (Fig. 5, panels a–h). The changes indicate an increase in the proportion of particulate matter with low hygroscopicity (organic substances) and in the heterogeneity of particles (external mixing), and they were most pronounced for small particles (~30–80 nm; S≥0.27%).

The highly polluted period of 23–26 July 2006 was characterized by intense local biomass burning and very high aerosol mass concentrations (Garland et al., 2008b). During this period, the source of the pollution was evident and unique: the burning of

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plant waste by local farmers was visible in the vicinity surrounding the measurement site, and it was the only time that such intense local biomass burning and pollution occurred during the campaign. The observation of heavy biomass burning event started after a power outage in the evening of 22 July and ended by heavy rainfalls beginning
at ~13:00 on 26 July. Thus the period of 23 July 00:00–26 July 12:59 will be referred to as the "biomass burning event (BBE)". The average CCN parameters for this period are summarized in Table 2.

Figure 6 shows the CCN efficiency spectra averaged over the biomass burning event (red), over the entire campaign (black) and over the entire campaign excluding the biomass burning event (green). During the BBE, the CCN efficiency spectra were shifted towards larger particle sizes for all supersaturations, reflecting lower CCN activity than during the rest of the campaign. The increase of  $D_a$  was most pronounced for S=0.67% (+25% during the BBE) and least different for S=0.07% and S=1.27% (+10% during the BBE). Moreover, for all supersaturations except 0.07%, the standard deviations of the CDF fits and heterogeneity parameters ( $\sigma/D$ ), respectively, increased

by factors up to ~2, indicating a strong increase in heterogeneity of small particles (30– 100 nm, Table 2). Only the maximum activated fractions did not change significantly during the biomass burning event, i.e. the externally mixed fraction of particles that could not be activated at low *S* remained the same. The average spectra for the entire campaign and for the campaign excluding the biomass burning did hardly differ from each other.

Figure 7 gives an overview of the effective hygroscopicity parameters ( $\kappa_a$ ,  $\kappa_t$ ) that have been derived from the midpoint activation diameters ( $D_a$ ,  $D_t$ ) averaged over the entire campaign and over the biomass burning event. Figure 7a shows that during the

<sup>25</sup> BBE the average hygroscopicity of CCN-active particles was substantially reduced at all sizes. Averaged over all diameters, the mean value of  $\kappa_a$  during the BBE was ~30% lower than during the rest of the campaign: 0.24 vs. 0.34 (Table 2).

As illustrated in Fig. 7b, the average hygroscopicity of the total aerosol, including CCN-active and -inactive particles, was also strongly reduced for small particles





(<100 nm) but not so much for large particles (~200 nm). Averaged over all diameters, however, the mean value of  $\kappa_t$  during the BBE was also ~30% lower than during the rest of the campaign: 0.21 vs. 0.30 (Table 2).

To our knowledge, these are the first size-resolved CCN field measurement data and hygroscopicity parameters reported for freshly emitted biomass burning smoke in the atmosphere. They are consistent with earlier lab studies reporting low hygroscopicity of freshly emitted biomass burning particles (Rissler et al., 2006; Andreae and Rosenfeld, 2008).

3.2 CCN size distributions and number concentrations

- Figure 8 shows total aerosol particle (CN) and CCN number size distributions averaged over the entire campaign and over the biomass burning event, respectively. The corresponding averages of the total CCN number concentrations ( $N_{CCN,S}$ ) and of the total CCN efficiencies ( $N_{CCN,S}/N_{CN,tot}$ ) are summarized in Table 2.
- As illustrated in Fig. 8a, the average CN size distribution for the entire campaign was <sup>15</sup> monomodal with a maximum at ~75 nm, and the corresponding total particle number concentration was  $N_{CN,tot}=1.8 \times 10^4$  cm<sup>-3</sup> (Table 2). At S=0.07% the CCN size distribution accounted only for ~5% of  $N_{CN,tot}$ , because only a minor fraction of the CN were larger than the activation diameter (~200 nm). At S=0.27-0.87% the CCN activation diameters were close to the maximum of the CN number mode and the integral <sup>20</sup> CCN efficiencies were substantially higher ( $N_{CCN,S}/N_{CN,tot}=35-74\%$ ; Table 2). During the biomass burning event (Fig. 8b), the CN size distribution was broader and the
- maximum was shifted to larger sizes (~120 nm, a value typical of biomass smoke; Reid et al., 2005). The average number concentration of CN was slightly smaller (~ $1.5 \times 10^4$  cm<sup>-3</sup>), but due to the larger average particle sizes the CCN number concentrations at *S*=0.07% and 0.27% were much higher (+70% and +15%, respectively).
- For  $S \ge 0.47\%$ , however,  $N_{\text{CCN,S}}$  decreased compared to the rest of the campaign (Table 2).

Figure 9 shows time series of  $N_{CN,tot}$ ,  $N_{CCN,S}$  and  $N_{CCN,S}/N_{CN,tot}$  throughout the cam-17361

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paign. The values of  $N_{CN,tot}$  as well as of  $N_{CCN,S}$  exhibited high temporal variability over the ranges of ~10<sup>3</sup>-4×10<sup>4</sup> cm<sup>-3</sup> for  $N_{CN,tot}$  and ~10<sup>2</sup>-3×10<sup>3</sup> cm<sup>-3</sup>, ~10<sup>3</sup>-2×10<sup>4</sup> cm<sup>-3</sup>, and ~3×10<sup>3</sup>-3×10<sup>4</sup> cm<sup>-3</sup> for  $N_{CCN,S}$  at *S*=0.07%, 0.27%, and 0.87%, respectively. Box plots illustrating the statistical distribution are given in the online supplement (Fig. S6), and the corresponding mean values and standard deviations are listed in Table 2. To our knowledge, these are the highest CCN number concentrations that have been measured and reported so far (Andreae, 2008; Andreae and Rosenfeld, 2008; Wiedensohler et al., 2008).

The trend of  $N_{\text{CN,tot}}$  was generally followed by  $N_{\text{CCN,S}}$  at  $S \ge 0.47\%$ , but not at <sup>10</sup> S=0.07-0.27%. During the biomass burning event, for instance,  $N_{\text{CCN,S}}$  at S=0.07%reached its highest level, whereas  $N_{\text{CN,tot}}$  exhibited medium to low values (Fig. 9a), resulting in a pronounced maximum of the integral CCN efficiency  $N_{\text{CCN,S}}/N_{\text{CN,tot}}$ (Fig. 9b).

3.3 Prediction of CCN number concentration

In this section we compare different model approaches for the approximation/prediction of CCN concentration as a function of water vapor supersaturation, aerosol particle number concentration, size distribution and hygroscopicity: 1) the classical power law approach relating N<sub>CCN,S</sub> to N<sub>CCN,1</sub>, i.e. to the CCN concentration at S=1%; 2) a modified power law approach relating N<sub>CCN,S</sub> to the concentration of aerosol particles with D>30 nm (N<sub>CN,30</sub>); and 3) the κ-Köhler model approach relating N<sub>CCN,S</sub> to the aerosol particle size distribution (dN<sub>CN</sub>/dlogD) and hygroscopicity. For all data points obtained during the campaign, the model results were compared with the measurement results, and the mean values of the relative deviations are summarized in Table 3.

#### 3.3.1 Classical power law

Figure 10 shows the average values of  $N_{\text{CCN,S}}$  plotted against *S* and a power law fit of the form  $N_{\text{CCN,S}} = N_{\text{CCN,1}} \cdot (S/(1\%))^k$  (Pruppacher and Klett, 1997). The obtained fit 17362



parameter  $N_{\text{CCN},1} \approx 1.4 \times 10^4 \text{ cm}^{-3}$  is substantially higher than any previously reported value, and  $k \approx 0.65$  is within the range of values reported for other continental locations (0.4–0.9; Pruppacher and Klett, 1997; Andreae, 2008). The relative deviations of the measured data points from the power law were on average in the range of 40–80% for S=0.27-1.27% but as high as 270% for S=0.07% (Table 3).

#### 3.3.2 Modified power law

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Figure 11 shows all measured values of  $N_{\text{CCN,S}}$  plotted against  $N_{\text{CN,30}}$  and power law fits of the form  $N_{\text{CCN,S}}=N_{\text{CN,30}}\cdot s^{-q}$  with s=1+S/(100%). An overview of the q values and correlation coefficients is given in Table 4. In this approach, CN with D<30 nm were excluded, because they are generally not CCN-active and highly variable due to new particle formation (nucleation events). Moreover, the water vapor saturation ratio swas used instead of the supersaturation S, because the exponent varies more regularly with s than with S (Table 4: monotonous dependence of q on s vs. non-monotonous dependence of Q on S). At high supersaturations ( $S \ge 0.47\%$ ),  $N_{\text{CCN,S}}$  was closely correlated to  $N_{\text{CN,30}}$  ( $R^2 = 0.80-0.98$ ), and the mean relative deviations between the power law fit and the measured values of  $N_{\text{CCN,S}}$  were only 4–27% (Table 3). At S=0.27% the correlation was much worse ( $R^2 = 0.61$ , mean deviation 40%), and at S = 0.07% there was practically no correlation and the individual  $N_{\text{CCN,S}}$  data points deviated by up to one order of magnitude from the power law fit ( $R^2 = 0.09$ , mean deviation 59%).

<sup>20</sup> 3.3.3 *κ*-Köhler model

In Fig. 12, predicted CCN number concentrations ( $N_{\text{CCN,S,p}}$ ) that were obtained with the  $\kappa$ -Köhler model and different hygroscopicity parameters are plotted against measured values of  $N_{\text{CCN,S}}$ .  $N_{\text{CCN,S,p}}$  was calculated by integrating the measured CN size distribution above the critical dry particle diameter for CCN activation that corresponds to the given values of  $\kappa$  and S (Sects. 2.2.4 and 3.2).

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As illustrated in Fig. 12a, the predicted and measured values of  $N_{\text{CCN,S}}$  are in very good agreement, when for each data point  $\kappa_t$  was taken from the CCN efficiency spectrum measured in parallel to the CN size distribution. With this approach, the mean relative deviation averaged over all supersaturations was only 7%, and the overall mean

- <sup>5</sup> bias of the model values was +5% (Table 3; largest deviations and bias at low *S*). The agreement demonstrates that  $\kappa_t$  indeed reflects the average hygroscopicity of the investigated atmospheric aerosol particles. The time series in Fig. 13a shows that the relative deviations between predicted and measured  $N_{\text{CCN,S}}$  were generally positive and less than 20%.
- <sup>10</sup> Fair agreement was also achieved when the campaign average value of  $\kappa_t$ =0.30 and the corresponding constant activation diameters for the prescribed supersaturation levels (210, 86, 59, 47, 39, and 30 nm; *S*=0.07–1.27%) were used for the calculation of  $N_{\text{CCN,S}}$  from the individual measured CN size distributions. With this approach, the overall mean relative deviation was twice as high but the bias was hardly higher than <sup>15</sup> when using individual  $\kappa_t$  values (13% and +6%, respectively; Table 3; Fig. 12b). Note that the campaign average value of  $\kappa_t$  equals the average value of hygroscopicity parameters observed or inferred for other continental locations (Andreae and Rosenfeld, 2008; Pöschl et al., 2008).

As illustrated by the time series in Fig. 13b, the approach using a constant average value of  $\kappa$ =0.3 cannot fully account for the observed temporal variations in aerosol composition and CCN properties. It yields relative deviations in the range of -40% to +80% of  $N_{\rm CCN,S}$ . Under most circumstances, however, i.e. for 77% of all data points, the deviations were still less than ±20%, which appears quite reasonable for data that span more than two orders of magnitude. Even during the BBE, which was characterized by ~30% lower hygroscopicity parameters ( $\kappa_t \approx 0.2$ ; Sect. 3.1.2), the average

<sup>25</sup> terized by ~30% lower hygroscopicity parameters ( $\kappa_t \approx 0.2$ ; Sect. 3.1.2), the average deviation between predicted and measured  $N_{\text{CCN},\text{S}}$  was only 20%. The regular pattern of positive and negative deviations observed during the rest of the campaign can be attributed to pronounced diurnal cycles of aerosol composition and CCN properties (Rose et al., 2008a).

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With all other types of hygroscopicity parameters (average or individual values of  $\kappa_a$  and  $\kappa_{50}$ ), the positive bias was higher than with  $\kappa_t$  (9–14%, Table 3), and the relative deviations were of similar magnitude as with constant  $\kappa_t$ =0.3 (10–16%, Table 3).

#### 4 Summary and conclusions

<sup>5</sup> The dry CCN activation diameters measured during PRIDE-PRD2006 at S=0.07–1.27% were in the range of 30–200 nm, corresponding to effective hygroscopicity parameters κ in the range of 0.1–0.5. The mean value of κ characterizing the hygroscopicity of all aerosol particles averaged over the whole campaign and investigated size range was 0.3, which equals the average value of κ observed or inferred for other continental locations (Andreae and Rosenfeld, 2008; Pöschl et al., 2008). Particles in the nucleation or Aitken size range (*D*≈30–70 nm, κ≈0.25) were on average less hygroscopic than particles in the accumulation size range (*D*≈70–200 nm, κ≈0.35).

During a strong local biomass burning event (BBE) the aerosol particles were generally less CCN active (~10% larger activation diameters, mean  $\kappa \approx 0.2$ ), and in partic-<sup>15</sup> ular the hygroscopicity of small particles decreased more than that of large particles ( $\kappa \approx 0.15$  for  $D \approx 30-100$  nm;  $\kappa \approx 0.3$  for  $D \approx 200$  nm). The small particles were also more heterogeneously mixed as indicated by an increase in the width of the CCN efficiency spectra (up to twofold increase of heterogeneity parameter  $\sigma/D$ ). Due to the very intense local sources and high level of pollution, the  $\kappa$  values observed during the BBE can be regarded as characteristic for freshly emitted smoke from the open burning of agricultural waste.

At low *S* ( $\leq$ 0.27%), the maximum activated fraction remained generally well below one, which indicates substantial proportions of externally mixed CCN-inactive particles with much lower hygroscopicity ( $\kappa \approx 0.01$ ) – most likely soot particles.

At S=0.07%, the average MAF<sub>f</sub> was only ~0.75 with minimum values as low as ~0.4, i.e. even at diameters as large as ~250 nm an average of ~25% and up to ~60% of the aerosol particles were not CCN-active. To our knowledge such high proportions of

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externally mixed CCN-inactive particles have not been observed before in atmospheric aerosols. Note, however, that these CCN-inactive particles contributed only around ~3% to the total aerosol particle number concentration. The integral CCN efficiencies at moderate supersaturations ( $N_{\text{CCN,S}}/N_{\text{CN,tot}}\approx 0.36-0.53$  at S=0.27%-0.47%, Table 2) were even slightly higher than the global average value reported by Andreae (2008) ( $N_{\text{CCN,S}}/N_{\text{CN,tot}}\approx 0.36$  at S=0.4%).

From the measured CCN efficiency spectra and total aerosol particle (CN) size distributions, we derived CCN size distributions and total CCN number concentrations  $(N_{\text{CCN,S}})$ . On average,  $N_{\text{CCN,S}}$  ranged from  $1100 \text{ cm}^{-3}$  at S=0.07% to  $16\,000 \text{ cm}^{-3}$  at S=1.27%, representing ~7% to ~85% of the total aerosol particle number concentration ( $N_{\text{CN,tot}}$ ). During the biomass burning event, the CN size distribution was broader and the maximum was shifted to larger sizes (from ~75 nm to ~120 nm). The average number concentration of CN was slightly smaller (~ $1.5 \times 10^4 \text{ cm}^{-3}$  vs. ~ $1.8 \times 10^4 \text{ cm}^{-3}$ ), but due to the larger average particle sizes the CCN concentrations at low supersaturation were substantially higher (+100% at S=0.07%, +10% at S=0.27%). For high supersaturations ( $S \ge 0.47\%$ ), however,  $N_{\text{CCN,S}}$  decreased by up to ~30% compared to the rest of the campaign.

Based on the measurement data, we have tested different model approaches (power laws and  $\kappa$ -Köhler models) for the approximation/prediction of  $N_{\text{CCN,S}}$  as a function of

- <sup>20</sup> water vapor supersaturation, aerosol particle number concentration, size distribution and hygroscopicity. Depending on *S* and on the applied type of power law or hygroscopicity parameter, the relative deviations between measured and predicted  $N_{\text{CCN,S}}$ can range from a few percent to several hundred percent. The largest deviations occurred at low *S* and with power laws based on particle number concentration without size information. Much better predictions could be made when using measured aerosol
  - size distributions in combination with  $\kappa$ -Köhler models.

With variable  $\kappa$  values obtained from individual CCN efficiency spectra, the relative deviations between measured and predicted  $N_{\text{CCN,S}}$  were on average less than ~10% and did hardly exceed 20%. These results confirm the applicability of the  $\kappa$ -



Köhler model approach for efficient description of the CCN properties of atmospheric aerosols. Note, however, that in case of externally mixed CCN-active and -inactive aerosol particles, the use of  $\kappa$  parameters derived from different types of fits to the measured CCN efficiency spectra (2- or 3-parameter CDF) can lead to substantially different results – especially at low *S* (increase of deviations by up to a factor of ~4).

Assuming a constant average value of  $\kappa$ =0.3, the deviations were on average still less than ~20%, which confirms earlier studies indicating that "size matters more than chemistry" for the CCN activity of aerosol particles (Dusek et al., 2006) and that  $\kappa$ =0.3 may be suitable for approximating the hygroscopicity and CCN activity of continental aerosols in large scale models of the atmosphere and climate. On the other hand, temporal variations such as the observed biomass burning event and diurnal cycles led to relative deviations of up to 80%, which cannot be captured with a constant hygroscopicity parameter.

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**Table 1.** Characteristic parameters from the 5 calibration experiments performed during the campaign (arithmetic mean±standard deviation). The last column shows the maximum relative deviation of individual calibration data points from the average calibration line (S vs.  $\Delta T$ ), indicating maximum relative uncertainties in S.

Δ <i>Τ</i> [K]	<i>D<sub>a</sub></i> [nm]	<i>S</i> [%]	∆ <i>S</i> / <i>S</i> [%]
1.99±0.02	158.8±5.2	$0.072 \pm 0.004$	6.9
4.46±0.01	66.7±1.9	0.28±0.01	5.8
10.70±0.02	35.3±1.0	0.75±0.04	5.9
$14.44 \pm 0.01$	28.2±0.8	1.06±0.05	6.7
16.95±0.02	24.9±0.7	$1.29 \pm 0.06$	6.7

**Table 2.** Characteristic average CCN parameters (arithmetic mean values±standard deviation) for the entire campaign, for the biomass burning event (BBE, 23–26 July) and for the campaign excluding the BBE: midpoint activation diameters  $(D_a, D_{50}, D_t)$ , maximum activated fractions (MAF<sub>f</sub>, MAF<sub>m</sub>), CDF standard deviations ( $\sigma_a$ ,  $\sigma_t$ ), heterogeneity parameters ( $\sigma_a/D_a$ ,  $\sigma_t/D_t$ ), hygroscopicity parameters ( $\kappa_a, \kappa_{50}, \kappa_t$ ), number concentrations of total aerosol particles (3–900 nm,  $N_{\text{CN,tot}}$ ) and of cloud condensation nuclei ( $N_{\text{CCN,S}}$ ), and ratio of  $N_{\text{CN,tot}}$  to  $N_{\text{CCN,S}}$  as defined in Sect. 2.2.4.  $n_{es}$  and  $n_{sd}$  are the numbers of averaged CCN efficiency spectra and size distributions, respectively.

S [%]	<i>D<sub>a</sub></i> [nm]	D <sub>50</sub> [nm]	$D_t$ [nm]	$MAF_{f}$	MAF <sub>m</sub>	$\sigma_a$ [nm]	$\sigma_t$ [nm]	$\sigma_a/D_a$	$\sigma_t/D_t$
Entire of	campaign								
0.07	186.9±11.4	199.5±29.8	206.4±18.3	0.74±0.13	0.74±0.14	17.3±10.3	48.2±23.3	$0.09 \pm 0.06$	0.23±0.10
0.27	81.3±8.8	83.0±9.9	85.2±12.8	$0.89 \pm 0.09$	0.93±0.10	9.4±6.9	$14.0 \pm 9.6$	0.11±0.07	0.16±0.10
0.47	59.5±6.9	60.0±7.3	61.2±13.1	$0.95 \pm 0.07$	$0.98 \pm 0.08$	6.0±4.7	$6.9 \pm 5.7$	$0.10 \pm 0.06$	0.11±0.07
0.67	49.1±5.9	49.6±6.1	50.1±6.4	0.96±0.07	$0.99 \pm 0.08$	5.5±4.2	6.5±4.9	0.11±0.07	0.12±0.08
0.87	41.2±4.6	41.4±4.6	41.6±5.0	$0.98 \pm 0.07$	1.00±0.08	4.5±3.1	4.8±3.2	0.11±0.06	0.11±0.06
1.27	32.0±3.5	32.2±3.5	32.4±3.6	$0.97 \pm 0.05$	1.02±0.05	4.6±2.6	5.3±2.8	0.14±0.07	0.16±0.07
BBE									
0.07	202.4±9.4	216.4±45.8	216.5±16.6	0.75±0.10	0.75±0.11	15.9±8.8	36.3±16.2	0.08±0.04	0.17±0.07
0.27	94.3±11.8	97.4±12.8	99.0±12.5	0.88±0.08	0.92±0.10	18.6±8.4	23.7±8.0	0.19±0.08	0.24±0.07
0.47	69.0±9.0	70.2±9.6	71.6±10.1	0.92±0.05	$0.96 \pm 0.05$	11.7±6.3	14.0±7.2	0.16±0.07	0.19±0.08
0.67	60.0±6.9	61.4±7.0	62.6±7.5	0.92±0.05	0.94±0.06	$12.5 \pm 4.1$	$14.9 \pm 5.5$	$0.20 \pm 0.05$	0.23±0.07
0.87	47.2±5.6	47.8±5.9	48.6±6.8	0.94±0.04	0.97±0.05	7.4±3.3	8.6±4.4	0.15±0.06	0.17±0.07
1.27	35.1±4.2	35.6±4.2	36.2±3.8	$0.92 \pm 0.07$	$0.99 \pm 0.03$	6.5±3.3	8.4±2.5	0.18±0.08	0.23±0.06
Entire of	campaign excl	uding BBE							
0.07	184.6±9.8	197.0±25.9	204.9±18.1	0.73±0.13	0.74±0.14	17.5±10.5	50.0±23.7	0.10±0.06	0.24±0.10
0.27	79.5±6.6	81.1±7.6	83.4±11.6	$0.89 \pm 0.09$	0.93±0.10	8.2±5.6	12.7±9.0	0.10±0.06	0.15±0.09
0.47	58.3±5.5	58.7±5.9	59.8±12.8	0.95±0.07	0.98±0.08	5.2±3.9	6.0±4.8	$0.09 \pm 0.05$	0.10±0.06
0.67	48.2±4.7	48.6±4.8	49.0±5.1	$0.96 \pm 0.07$	$0.99 \pm 0.08$	4.9±3.6	5.7±4.1	0.10±0.06	0.11±0.07
0.87	40.5±3.9	40.6±3.8	40.8±4.0	$0.99 \pm 0.07$	1.01±0.08	4.2±2.9	4.4±2.7	0.10±0.06	0.10±0.06
1.27	31.3±2.9	31.5±2.9	31.6±3.0	0.98±0.04	1.02±0.06	4.2±2.3	4.6±2.4	0.13±0.06	0.14±0.07

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### S [%] Ka K<sub>t</sub> K<sub>50</sub>

Table 2. Continued.

S [%]	K <sub>a</sub>	к <sub>50</sub>	ĸ	$N_{\rm CN,tot}  [\rm cm^{-3}]$	$N_{\rm CCN,S}  [\rm cm^{-3}]$	$N_{\rm CCN,S}/N_{\rm CN,tot}$	n <sub>es</sub>	n <sub>sd</sub>
Entire	campaign							
0.07	$0.46 \pm 0.09$	$0.40 \pm 0.09$	$0.35 \pm 0.09$		$1099 \pm 795$	0.07±0.05	387	301
0.27	0.37±0.10	0.35±0.10	0.33±0.11		6640±3975	0.36±0.16	381	287
0.47	0.31±0.09	$0.31 \pm 0.09$	0.30±0.10		9553±5117	0.53±0.19	413	321
0.67	$0.28 \pm 0.08$	0.27±0.09	$0.26 \pm 0.09$		10872±6066	0.59±0.19	275	210
0.87	0.27±0.08	0.27±0.08	0.27±0.08		12884±6440	0.70±0.18	400	312
1.27	0.27±0.08	0.27±0.08	0.27±0.08		15819±5756	0.85±0.10	112	86
all	0.34±0.11	0.32±0.10	0.30±0.10	18216±8022			1968	1517
BBE								
0.07	$0.36 \pm 0.05$	$0.32 \pm 0.08$	$0.30 \pm 0.06$		1986±1210	0.14±0.06	49	48
0.27	0.24±0.09	0.22±0.09	0.21±0.08		7233±4008	0.46±0.16	45	44
0.47	0.21±0.08	$0.20 \pm 0.08$	0.19±0.08		8823±4470	0.59±0.16	47	46
0.67	0.15±0.06	0.14±0.05	0.13±0.06		8597±5774	0.54±0.12	22	20
0.87	0.19±0.06	0.18±0.06	0.17±0.07		11119±5863	0.72±0.15	43	41
1.27	0.21±0.07	$0.20 \pm 0.07$	0.19±0.06		13563±4247	0.84±0.08	19	19
all	0.24±0.10	0.22±0.09	0.21±0.09	15273±6562			225	218
Entire	campaign exc	luding BBE						
0.07	$0.48 \pm 0.08$	$0.41 \pm 0.09$	0.36±0.09		925±529	0.05±0.03	338	253
0.27	$0.39 \pm 0.09$	$0.37 \pm 0.09$	0.35±0.10		6531±3968	0.35±0.15	336	243
0.47	0.33±0.08	0.32±0.08	0.32±0.09		9675±5216	0.52±0.19	366	275
0.67	$0.29 \pm 0.08$	$0.28 \pm 0.08$	0.27±0.08		11111±6062	$0.60 \pm 0.20$	253	190
0.87	$0.29 \pm 0.08$	$0.28 \pm 0.08$	0.28±0.08		13151±6493	0.70±0.19	357	271
1.27	$0.29 \pm 0.07$	0.28±0.07	0.28±0.07		$16460 \pm 5989$	0.85±0.10	93	67
all	0.35±0.11	0.33±0.10	0.32±0.10	18710±8140			1743	1299

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**Table 3.** Characteristic deviations between measured CCN number concentrations  $N_{\text{CCN,S}}$  and CCN number concentrations predicted by different model approaches ( $N_{\text{CCN,S,p}}$ ): arithmetic mean values of the relative bias ( $\Delta_b N_{\text{CCN,S}} = (N_{\text{CCN,S,p}} - N_{\text{CCN,S}})/N_{\text{CCN,S}}$ ) and of the total relative deviation ( $\Delta_d N_{\text{CCN,S}} = |N_{\text{CCN,S}}| - N_{\text{CCN,S}}|/N_{\text{CCN,S}}$ , including systematic and statistical errors). CPL is the classical power law and MPL the modified power law approach, respectively.  $n_{sd}$  is the number of data points.

S [%]	CF	۶L	М	PL	$\kappa_t$ ind	ividual	$\kappa_a$ ind	ividual	<i>к</i> <sub>50</sub> inc	lividual	κ=	0.3	$\kappa_{a} =$	0.34	κ <sub>50</sub> =	0.32	n <sub>sd</sub>
	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	bias [%]	dev. [%]	
0.07	268.7	271.5	29.1	59.0	10.1	12.1	41.4	41.4	23.8	27.0	1.5	19.0	15.9	23.8	8.7	20.8	301
0.27	46.7	82.5	22.7	40.3	6.5	7.1	12.6	12.9	9.6	10.0	5.3	15.2	12.2	17.7	8.8	16.3	287
0.47	38.1	74.9	15.3	27.3	4.3	5.7	6.4	7.1	5.4	6.0	7.9	12.2	12.3	14.5	10.2	13.3	321
0.67	55.0	84.6	13.5	22.3	3.2	4.2	4.9	5.6	4.2	4.7	10.6	13.1	14.1	15.6	12.4	14.3	210
0.87	42.2	70.5	7.7	13.5	2.7	3.4	3.4	3.8	3.2	3.5	7.4	8.8	9.7	10.5	8.6	9.7	312
1.27	24.4	45.2	0.9	3.8	4.1	4.2	4.5	4.6	4.3	4.5	5.4	5.8	7.1	7.1	5.9	6.1	86
all	87.9	114.1	16.8	31.2	5.4	6.5	13.6	14.0	9.1	10.2	6.2	13.2	12.4	15.9	9.3	14.4	1517

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Table	4.	Fit	parameter	Q	and	q of	the	fit	functions	N <sub>CCN,S</sub> =	= <i>N</i> <sub>CN,30</sub> ·	$(S/(1\%))^Q$	and
N <sub>CCN,S</sub> is the r	=N <sub>CN</sub> numb	<sub>I,30</sub> ∙ <i>s</i> er of	e <sup>-q</sup> , respecti data points	vely	∕. Th∈	cori	elatio	on c	coefficient	R <sup>2</sup> is the	same f	or both fits.	n <sub>sd</sub>

S [%]	S	Q	q	$R^2$	n <sub>sd</sub>
0.07	1.0007	1.08	3912	0.09	301
0.27	1.0027	0.65	316.1	0.61	287
0.47	1.0047	0.61	98.13	0.80	321
0.67	1.0067	0.79	47.37	0.88	210
0.87	1.0087	1.28	20.52	0.94	312
1.27	1.0127	-0.35	6.54	0.98	86



**Fig. 1.** CCN efficiency spectra obtained from 5 calibration experiments with ammonium sulfate aerosol performed during the campaign (data points and CDF fits). The red line is the asymptotic function that was used to correct for different counting efficiencies of the CPC and the CCNC ( $f_{corr}$ ).



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**Fig. 2.** Exemplary CCN efficiency spectra **(a)** with "ideal" shape (12 July 2006, 18:55–19:06), and **(b)** with low maximum fraction of activated particles (9 July 2006, 03:23–03:34): measurement data points (corrected according to Sect. 2.2.3; black dots); 3-parameter CDF fit (black solid line) with fit parameters  $D_a$  (pink line), and  $\sigma_a$  (distance between pink dashed lines); 2-parameter CDF fit (blue dash-dotted line) with fit parameters  $D_t$  (green line) and  $\sigma_t$  (distance between green dashed lines).



**Fig. 3.** CCN efficiency spectra at S=0.07%-1.27% averaged over the entire campaign. The data points are median values calculated from the CDF fits to all measured spectra at the particle diameters initially selected with the DMA (20–290 nm). The error bars extend from the lower to the upper quartile, and the lines are 3-parameter CDF fits to the data points (Sect. 2.2.4).

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**Fig. 4.** Characteristic parameters derived from the CCN efficiency spectra averaged over the entire campaign: (a) maximum activated fractions  $(MAF_f)$  and heterogeneity parameters  $(\sigma_a/D_a, \sigma_t/D_t)$ ; (b) hygroscopicity parameters  $(\kappa_a, \kappa_t)$  plotted against the midpoint activation diameter  $(D_a \text{ or } D_t$ , respectively). The data points are median values corresponding to a given level of supersaturation, and the error bars extend to lower and upper quartiles.





**Fig. 5.** Time series of the characteristic parameters derived from the CCN efficiency spectra measured at different supersaturations plotted against the date in July 2006.

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Fig. 5. Continued.



**Fig. 6.** CCN efficiency spectra for different supersaturation levels and periods: entire campaign (black), biomass burning event (BBE, red), and entire campaign excluding the BBE (green). The data points are median values, and solid lines are CDF fits through them.

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**Fig. 7.** Hygroscopicity parameters (a) for the CCN-active particles ( $\kappa_a$ ) and (b) for the total aerosol ( $\kappa_t$ ) averaged over different periods: the entire campaign, the biomass burning event and the campaign excluding the biomass burning event. The data points are median values corresponding to a given level of supersaturation, and the error bars extend to lower and upper quartiles.





**Fig. 8.** Number size distributions of total aerosol particles (CN) and cloud condensation nuclei (CCN) averaged over **(a)** the entire campaign and **(b)** the biomass burning event. The CCN size distributions were calculated by multiplying the median CN size distribution with the median CCN efficiency spectra from Fig. 3. For clarity and to avoid potential biases due to different averaging times, CCN size distributions are displayed only for the supersaturation levels covered throughout the campaign.





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**Fig. 10.** CCN number concentrations ( $N_{\text{CCN,S}}$ ) averaged over the entire campaign and plotted against water vapor supersaturation (*S*). The data points are median values, and error bars extend to lower and upper quartiles. The red line is a classical power law fit of the function  $N_{\text{CCN,S}} = N_{\text{CCN,1}} \cdot (S/(1\%))^k$  with the best fit parameters  $N_{\text{CCN,1}} = 13\,699\,\text{cm}^{-3}$  and k = 0.65 ( $R^2 = 0.97$ , n = 6).







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**Fig. 12.** Predicted CCN number concentrations ( $N_{\text{CCN,S,p}}$ ) based on the  $\kappa$ -Köhler model approach (a) with variable values of  $\kappa_t$  as derived from individual CCN efficiency spectra and (b) with a constant average value of  $\kappa$ =0.3 plotted against the measured CCN number concentrations ( $N_{\text{CCN,S}}$ ).

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**Fig. 13.** Time series of the ratio of predicted and measured CCN number concentrations  $(N_{\text{CCN,S,p}}/N_{\text{CCN,S}})$  based on the  $\kappa$ -Köhler model approach **(a)** with  $\kappa_t$  as derived from the individual CCN efficiency spectra and **(b)** with a constant average value of  $\kappa$ =0.3 plotted against the date in July 2006.

