

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

# Variability of subtropical upper tropospheric humidity

J.-M. Ryoo<sup>1</sup>, D. W. Waugh<sup>1</sup>, and A. Gettelman<sup>2</sup>

<sup>1</sup>Department of Earth and Planetary Science, Johns Hopkins University, Baltimore, MD, USA

<sup>2</sup>National Center for Atmospheric Research, Boulder, CO, USA

Received: 13 November 2007 – Accepted: 10 December 2007 – Published: 22 January 2008

Correspondence to: D. W. Waugh (waugh@jhu.edu)

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Abstract

Analysis of Atmospheric Infrared Sounder (AIRS) measurements for five northern winters shows significant longitudinal variations in subtropical upper tropospheric relative humidity (RH), not only in the climatological mean values but also in the local distributions and temporal variability. The largest climatological mean values in the northern subtropics occur over the eastern Pacific and Atlantic oceans, where there is also large day-to-day variability. In contrast, there are smaller mean values, and smaller variability that occurs at lower frequency, over the Indian and western Pacific oceans. These differences in the distribution and variability of subtropical RH are related to differences in the key transport processes in the different sectors. The large variability and intermittent high and low RH over the Eastern Pacific and Atlantic oceans, and to a smaller extent over the Indian ocean, are due to intrusions of high potential vorticity air into the subtropics. Intrusions seldom occur over the eastern Indian and western Pacific oceans, and here the subtropical RH is more closely linked to the location and strength of subtropical anticyclones. In this region there are eastward propagating features in the subtropical RH that are out of phase with the tropical RH, and are caused by modulation of the subtropical anticyclones by the Madden-Julian Oscillation.

## 1 Introduction

The Earth's climate is particularly sensitive to the water vapor content of the subtropical free troposphere (e.g. [Held and Soden, 2000](#)), and it is important to know the spatial and temporal variations of water vapor in this region as well the processes that determine this variability.

Satellite, and other, observations show that the subtropical upper troposphere is very dry (e.g. [Rind et al., 1993](#); [Soden and Bretherton, 1993](#); [Bates et al., 1996](#); [Read et al., 2001](#); [Gettelman et al., 2006](#)). However, it is not as dry as expected from the simple subsidence from the top of convective regions, and there are additional moisture

## Subtropical upper tropospheric humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

sources (e.g. [Held and Soden, 2000](#)). This additional moisture comes primarily from lateral mixing by “large-scale” advection (e.g. [Sherwood, 1996](#); [Salathe and Hartmann, 1997](#); [Pierrehumbert, 1998](#)). Although it is known that this mixing is driven by transient wave activity ([Pierrehumbert and Roca, 1998](#)), it is not known what dynamical processes or events are responsible for producing this lateral mixing, or how these processes are modified by changes in climate.

Here we aim to link variability in the distribution of upper tropospheric humidity (UTH) to dynamical processes. For this study we use data from Atmospheric Infrared Sounder (AIRS) instrument on the NASA Aqua satellite ([Aumann et al., 2003](#); [Fetzer et al., 2003](#)), which provides measurements of high vertical and horizontal resolution and daily global coverage for over five years. This dataset enables spatial and temporal variations in UTH to be examined (e.g. [Gettelman et al., 2006](#)). We focus on AIRS measurements in the tropics and northern subtropics during northern winter winters, as the lowest subtropical relative humidities occur during this period (e.g. Fig. 2 of [Gettelman et al., 2006](#)). The observed variations in UTH are then related to different dynamical processes, e.g., Rossby wave breaking along the tropopause and to modulation of subtropical anticyclones by the Madden Julian Oscillation.

The data used are described in the next section. In Sect. 3 the spatial and temporal variations in the RH distributions are examined. These variations are then related to transport processes in Sect. 4. Concluding remarks are given in the final section.

## 2 Data and methods

The UTH data used here is AIRS level-2 data retrievals (version 4) which has been binned into a 1° by 1° latitude-longitude grid as in [Gettelman et al. \(2006\)](#). The level 2 data include temperature and water vapor at vertical resolution around 1–2 km and horizontal resolution of around 50 km. See [Gettelman et al. \(2006\)](#) for details on the calculation of relative humidity (RH) and formation of the gridded data.

Validation of the AIRS data is not complete, but there have been several studies that

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

have compared between AIRS water vapor with balloon and in situ aircraft measurements (e.g. [Divakarla et al., 2006](#); [Tobin et al., 2006](#); [Hagan et al., 2004](#); [Gettelman et al., 2004](#)). Comparisons of upper tropospheric humidity with aircraft measurements show that AIRS retrievals capture the spatial variability in the aircraft in situ measurements and mixing ratios agree within 25% or better (e.g. [Hagan et al., 2004](#); [Gettelman et al., 2004](#)).

AIRS data are archived on 28 levels from the surface to the mesosphere. The AIRS water vapor is an average for a layer between two pressure levels. Following the AIRS convention each layer is referenced by the pressure at the bottom of the layer, e.g. water vapor and RH at 250 hPa corresponds to the layer from 250 to 200 hPa (which has midpoint at 225 hPa). We examine here AIRS RH for the 2002/2003 to 2005/2006 Northern Hemisphere (NH) winters (December, January, and February). We focus primarily on the 250 hPa layer but also consider the RH distributions in other layers.

Several different meteorological products are also used in our analysis. Horizontal winds and potential vorticity (PV) calculated from National Center for Environmental-National Center for Atmospheric Research (NCEP-NCAR) reanalysis data ([Kalnay et al., 1996](#)) are used to examine the horizontal flow and disturbances to the subtropical tropopause. These data are archived on a 2.5° latitude by 2.5° longitude grid. We focus on flow in the upper troposphere, and analyze horizontal velocities on the 200 hPa pressure surface and PV on the 350 K isentropic surface. (In the tropics and subtropics the 200 hPa pressure surface and 350 K isentropic surface occur at approximately the same height.)

Outgoing longwave radiation (OLR) is used as a simple proxy of the deep convection. The OLR data used are the NOAA spatially and temporally interpolated OLR ([Liebmann and Smith, 1996](#)), obtained from the NOAA-Cooperative Institute for Research in Environmental Sciences (CIRES) Climate Diagnostics Center (CDC; information available online at <http://www.cdc.noaa.gov/>). These data are stored on the same grid as the NCEP-NCAR reanalyses.

We also compare the RH fields with the Madden-Julian Oscillation (MJO). For this

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

analysis we use MJO indices obtained from the NOAA Climate Prediction Center (CPC) (<http://www.cpc.noaa.gov>). These indices are computed by applying an Extended Empirical Orthogonal Function (EEOF) analysis to the 200 hPa velocity potential. The first EEOF consists of ten time-lagged patterns (each pattern separated by 5 days), and ten MJO indices are formed by regressing daily data on to these patterns. Each index is associated with the phase when the equatorial velocity potential anomalies have propagated to a particular longitude.

### 3 Water vapor distribution

#### 3.1 Climatological distribution

We first consider the climatological northern winter (December–February) RH distributions. We focus on the distributions in the northern subtropics, but also consider, for comparison, the tropical distribution. As has been reported in many previous studies (e.g. [Rind et al., 1993](#); [Bates et al., 1996](#); [Read et al., 2001](#); [Gettelman et al., 2006](#)), the climatological mean RH in the subtropical upper troposphere is generally drier than in the tropics, see Fig. 1a. However, in both the tropics (5° S–5° N) and subtropics (15° N–25° N) there are significant zonal variations. In the tropics there is high RH in the convective regions (e.g., Indonesian region, tropical Africa, and tropical America) but there are also regions with low RH (in particular, the eastern tropical Pacific). In the subtropics there are local maxima over the eastern Pacific and eastern Atlantic oceans and minima over the Indian, central Pacific, and tropical America.

The above horizontal variations in RH occur throughout the middle and upper troposphere, see Fig. 1c, e. At all pressures between 600 and 150 hPa the largest tropical RH occurs in the Indonesian region (around 100° E) and tropical America (60° W), and largest subtropical RH in the eastern Pacific (around 120° W) and Atlantic (0° E). The magnitude, however, varies with altitude. In both the tropics and subtropics the lowest RH is found in the middle troposphere, around 500 hPa.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

We now consider the variability about these climatological mean values. Figure 1b shows the standard deviation of the daily 250 hPa RH ( $\sigma_{RH}$ ) for northern winter. As with the climatological mean value, there are large spatial variations in  $\sigma_{RH}$ . However, in contrast to the climatological mean values, the largest  $\sigma_{RH}$  occur in the subtropical regions. These maxima occur east of longitudes where the climatological tropopause reaches furthest south. (The contours in Fig. 1a are PV=1.5 PVU contours (1 PVU=10<sup>-6</sup> m<sup>2</sup> K kg<sup>-1</sup> s<sup>-1</sup>) marking the tropopause.) The smallest  $\sigma_{RH}$  are in the eastern tropical Pacific, where there are also very low mean values. Similar horizontal variations in  $\sigma_{RH}$  are found through the middle and upper troposphere, see Fig. 1d, f.

In both the tropics and subtropics the highest (lowest) variability occurs in regions with high (low) mean values. As a result the vertical cross sections of the mean and standard deviation shown in Fig. 1c–f have very similar spatial structure.

### 3.2 Probability density functions

As OLR has a logarithmic dependence on the water vapor distribution it is important to know not just the mean and standard deviation of RH but also the full distribution. We now briefly examine the probability distribution functions (PDFs) of RH in different regions. We focus on distributions on 250 hPa RH, but similar variations are found for other middle and upper troposphere levels.

Figure 2 compares tropical (5° S–5° N) and subtropical (15° N–20° N) PDFs for several different longitudes. In all regions the PDFs are very broad, but the characteristics of PDFs, including the location of the peak value and shape (skewness), varies between regions. As expected from the above analysis of the mean and standard deviation, the characteristics of the PDFs vary both with longitude and between the tropics and subtropics.

The characteristics of the PDFs can be divided into three broad categories, with differing contrasts between tropical and subtropical PDFs. In the first, which occurs over the Indian ocean and western Pacific (80° E to 180° E) and over the Atlantic ocean

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

(80° to 20° W), the tropical PDFs have a narrow peak at high RH ( $\approx 60\%$ ) with little air with low RH ( $<20\%$ ), whereas the subtropical PDFs have a narrow peak at low RH ( $<20\%$ ) with there is little air with high RH ( $>60\%$ ), see Fig. 2b,c, and e. In the second, which occur in the eastern Pacific (140°–80° W) and the eastern Atlantic (340°–360° E), the tropical and subtropical PDFs are also different (Fig. 2d and f). However, now the tropical PDF have a peak at low RH ( $<30\%$ ) and the subtropical PDFs are very broad with significant amounts of low and high RH. The third category occurs over the Indian ocean (0°–60° E) where, in contrast the above regions, the tropical and subtropical PDFs are similar, with peaks at intermediate RH (40–60%) (Fig. 2a).

The PDFs for all 10° latitude by 20° longitude regions shown in Fig. 2 are unimodal, even if the tropical and subtropical PDFs for the same longitude have peaks at very different values of RH. This applies for other regions of similar size, and also for the whole tropical region 30°S–30° N. This appears to contradict the analysis of Zhang et al. (2003) which showed that PDFs of tropical water vapor are often bimodal. However, PDFs of AIRS data for lower altitudes can be bimodal (not shown).

The PDFs discussed above have been formed from gridded data, in which individual measurements are averaged within 1° by 1° regions. This has the potential to impact the PDFs, e.g. averaging could remove extreme values. However, very similar PDFs are obtained if the individual AIRS measurements are used rather than the 1° by 1° averages (not shown).

### 3.3 Daily variability

To examine the time scales of the variability discussed above we examine the time series of daily data. Figure 3 shows Hovmoller plots of 250 hPa RH averaged over Fig. 3a 5° S–5° N and Fig. 3b 15°–25° N, for 3 NH winters (2002/2003 to 2004/2005). The longitudinal variations described in the previous subsections can be clearly seen in these plots, e.g., there is generally high tropical RH around 130° E and 60° W and high subtropical RH around 120° W and 0° E. Also, the temporal variability is larger in the subtropics, with maximum variability occurring at the same longitudes as maximum

mean values.

The time scale of variability also differs between regions: In some regions there is large day-to-day (synoptic) variability, while in others the variability appears at lower frequencies (week-month). In the subtropical eastern Pacific (around 120° W) and Atlantic (around 0° E) there is large day-to-day variability, with rapid transitions between dry and moist air (RH varies from greater than 80% to less than 20% within a few days). These locations with large daily variability are those regions with largest  $\sigma_{RH}$  (Fig. 1) and broad PDFs (Fig. 2). There is much less day-to-day variability in the tropics, with a tendency for more lower frequency variations.

The most prominent low frequency variations are between 60° E to 180° E where there are eastward propagating features with periods around 2 months. These features occur in both the tropics and subtropics. The tropical and subtropical features move at a similar speed, but are out of phase (e.g., when the RH is high in the tropics it is low in the subtropics) and shifted in longitude, with the low subtropical values appear around 30° east of the high tropical values.

Closer examination of Fig. 3 indicates there is also a connection between the temporal variability in the tropics and subtropics in the eastern Pacific (around 100° W). Here, very low values in tropics appear to be associated with the low subtropical values, which occur to the west and 1 to 2 days earlier.

Figure 3 shows 250 hPa RH, but very similar features are also observed at lower altitudes (down to around 600 hPa), and the daily and lower frequency variability is vertically coherent (e.g., see Fig. 7 of Gettelman et al. 2006).

## 4 Transport processes

The spatial variations in RH discussed above suggest that different processes are involved in controlling the humidity distribution. The variations of tropical RH are largely determined by the locations of convection, with high RH in these regions and low RH in the non-convective regions (e.g., compare OLR and RH in Fig. 1a). However, there

### Subtropical upper tropospheric humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



is not such a simple relationship in the subtropics where quasi-horizontal transport can play an important role. In this section we investigate the transport processes that influence subtropical RH by comparing the AIRS RH data with several different meteorological quantities.

#### 5 4.1 Potential vorticity intrusions

Figure 1 shows that the largest variability in tropical-subtropical RH occurs near the tropopause, especially in regions where the mean NH tropopause is furthest south. This suggests that variability in the tropopause location is a major cause of the variability in subtropical RH.

10 One cause of variability in the tropopause is Rossby wave propagation and breaking, which can lead to intrusions of air with high PV into the tropical UT. [Waugh \(2005\)](#) showed that there is low RH within and west of the “intrusions” of high PV, and high RH east of the intrusions. The high RH east of the intrusion is due to the transient deep convection and northward flow ahead of the intrusions (e.g. [Kiladis, 1998](#); [Waugh and Funatsu, 2003](#); [Funatsu and Waugh, 2007](#)) which brings moist air into the subtropical UT. In contrast, air within the high PV tongue is of stratospheric origin, and has very low RH. Examples of intrusions and their impact on RH can be seen in Fig. 4a and b, which show maps of 250 hPa RH for days when there are tongues of high PV in the subtropics over the eastern Pacific and the Atlantic (Fig. 4a, b) and over the Indian ocean (Fig. 4b). Consistent with [Waugh \(2005\)](#), there is high RH east of the high PV, and low RH within and west of the PV.

25 Here, we extend the analysis of [Waugh \(2005\)](#) by considering variability in the tropopause, and PV intrusions, at all longitudes and using a longer AIRS data record. The connection between PV intrusions and subtropical UTH can be seen in Fig. 3b where PV=1.5 PVU contours are overlaid on the RH field. Intermittent regions of high PV in Fig. 3b correspond to PV intrusions, e.g., the intrusions in Fig. 4a, b can be seen as PV contours in Fig. 3b. PV intrusions are common over the eastern Pacific and Atlantic oceans in Fig. 3b, consistent with the analysis of [Waugh and Polvani \(2000\)](#).

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Regions of high PV also occur over the Indian ocean region (around 60° E), but are less frequent and weaker (maximum PV is smaller). In all three regions there is low RH within and west of the high PV, and high RH to the east (Waugh, 2005).

To further investigate the connection between PV intrusions and RH a composite analysis is performed. PV intrusion events in the central-eastern Pacific and Atlantic are identified in the same manner as Waugh (2005): All days where  $PV \geq 2.5$  PVU at 17.5° N were identified, and all occurrences within 10° longitude or within 6 days were grouped together as a single intrusion event. The longitude of the maximum PV for each event is defined as the central longitude. Using this criteria there were 174 events in the central-eastern Pacific (170° E–90° W) and 172 events in the Atlantic (80° W–0° E). The same method, but lower critical value of PV (1.5 PVU) is used to identify intrusions over the Indian Ocean – western Pacific (50° E–130° E). A lower PV is used as the intrusions in this regions are weaker, and if 2.5 PVU is used only a few events would occur. Using 1.5 PVU there are 92 events in the Indian ocean – western Pacific region.

Figure 5a and b shows relative-longitude versus height cross section of composite-mean RH for all intrusions in the eastern Pacific (170° E–90° W) and Atlantic (80° W–0° E) oceans, respectively. (The relative longitude is the longitude relative to the central longitude of each event.) There is a similar mean RH structure for intrusions in both regions, with high RH ahead (east) of the PV intrusions and low RH behind and within the intrusions. Even though the high PV is confined to the upper troposphere (not shown), these regions of high/low RH are vertically coherent, and there is high RH down to below 400 hPa. High RH ahead and low RH in the intrusions is also seen in the composite for intrusions in the Indian ocean – western Pacific ocean region, shown in Fig. 5c. The RH ahead of the intrusions is lower than the mean for the eastern Pacific and Atlantic events, and there is weaker vertical penetration. This is consistent with weaker PV intrusions, reduced vertical ascent and weaker convection. The spatial structures shown in these intrusion composites are similar to those in the climatological mean RH at the mean locations of the intrusions (see Fig. 1), although the magnitude

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

of the variations is larger in the intrusion composites.

Another way to quantify the relationships between changes in PV and those in RH is to calculate temporal correlations. Figure 6a shows the longitudinal variation in the temporal correlation between subtropical RH and PV. In general there is a negative correlation, indicating that when PV is higher there is lower RH. This is consistent with high PV indicating dry air of stratospheric origin. However, the correlations are low and variations of PV do not explain much of the variability in RH. There are two factors that contribute to these low correlations. First, low RH is found not only within the high PV tongues but also west of these tongues. Second, the highest RH occurs east of high PV tongues. Because of the second factor we also consider the correlation between RH at a given longitude and PV 20° west of this longitude. As shown in Fig. 6b, this correlation is generally positive, and there are large correlations in regions where intrusions occur (around 70° E, 140° W, and 40° W). Outside these regions the correlation is low, indicating that RH fluctuations are not linked with PV fluctuations in these regions.

As discussed above the mechanism for high RH ahead of PV intrusions is a combination of convection and poleward advection (both induced by the intrusion, see Kiladis, 1998 and Funatsu and Waugh, 2007). To examine the connection with meridional velocity,  $v$ , we also show in Fig. 6b the longitudinal variation of the temporal correlation of co-located subtropical RH and  $v$ . The correlations are generally similar to that between RH and PV shifted west by 20°, with high correlations in same three regions where intrusions frequently occur. This adds support to the hypothesis that the intermittent high values of subtropical RH in the Indian, eastern Pacific, and Atlantic oceans are strongly coupled to the occurrence of PV intrusions.

## 4.2 Subtropical anticyclones and Madden-Julian Oscillation

It is notable in Fig. 6b that there are high correlations between RH and  $v$  in the western Pacific (120–150° E), where there is little correlation between RH and PV (either co-located or shifted in longitude). This correlation between RH and  $v$  can be also seen

### Subtropical upper tropospheric humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

in Fig. 7, which shows the time series of subtropical RH and  $v$  in the western Pacific for the 2002/2003 winter. Intrusions seldom occur in this region, and another process must be responsible for variations in the meridional flow and subtropical RH.

One possible process is advection by subtropical anticyclones. In the upper troposphere there are anticyclones in the subtropics slightly west of the equatorial convection (e.g. Sassi et al., 2002). There is northward flow on the western edge of the subtropical anticyclone, and southward flow on the eastern edge. The northward flow rapidly transports moist air from the equatorial convective region into the subtropics, and generally increases the subtropical humidity. In contrast, southward or weak meridional flow brings drier air down from the subtropical jet. Hence when there are strong northward velocities the RH increases whereas when there are weak or southward flow the RH generally decreases. The two cases are illustrated in Fig. 4c and d, which show days when there is high and low subtropical RH at 120° E, respectively. The northern subtropical in Fig. 4d is further west of its position in Fig. 4c, and as a result there is a shift in the high/low RH in the subtropics. There is a strong northward flow around 120°E on the first day, but a much weaker meridional flow in the second day.

As discussed above, there is significant low frequency (1–2 month) variability in the RH in the Indian and western Pacific oceans (see Fig. 3). This can also be seen in the RH and  $v$  time series in Fig. 7. Examination of maps such as those shown in Fig. 4 suggest that changes in the meridional velocities associated with subtropical anticyclones is a major cause of variability of subtropical RH in the western Pacific. One possible cause of this low frequency variability is the Madden-Julian Oscillation (MJO). The MJO is a major feature of intraseasonal variability in the equatorial troposphere with a periodicity of about two months (e.g. Madden and Julian, 1994). The MJO is characterized by eastward movement of regions of enhanced or suppressed tropical rainfall, which generally originate over the Indian Ocean and propagates into the central Pacific Ocean.

Several previous studies, using AIRS and other water vapor data, have shown a strong link between intraseasonal variations in upper tropospheric humidity and the

---

**Subtropical upper  
tropospheric  
humidity**J.-M. Ryoo et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

MJO (e.g. Mote et al., 2000; Sassi et al., 2002; Eguchi and Shiotani, 2004; Tian et al., 2006). However, these studies have generally focused on variations in the equatorial humidity, and not intraseasonal variations in subtropical humidity.

To explore the MJO-related variations in RH we use the CPC MJO indices described in Sect. 2. The temporal variation of the average of MJO indices centered at 120° E and 140° E is shown in Fig. 7. A negative index corresponds to a time with enhanced rainfall and a positive index to reduced rainfall. When the MJO index is positive (reduced tropical rainfall) there is generally northward  $v$  and higher RH in the subtropics, and the reverse for negative MJO index. Hence, the MJO appears to explain much of the low frequency variations in the subtropical RH and  $v$ .

We examine the MJO-related variations in RH in more detail by performing a composite analysis based on the MJO indices. For each of the ten indices we calculate composites of RH, and other fields, by averaging all days when the value of the index  $< -1$  (i.e. all days when there is enhanced rainfall at the given index location). To isolate the low-frequency component a 30–80 day Lanczos filter (e.g. Duchon, 1979) is applied to all data used in the composite analysis.

Figure 8 shows the composite RH (as well as OLR and horizontal wind) anomalies for several different MJO indices. Coherent variations in tropical OLR and RH anomalies can be seen in these plots. When the negative OLR anomalies are centered at 80° E (Index 1; Fig. 8a) there are positive RH anomalies in the same region, i.e. increased RH when increased convection. The negative OLR and positive RH equatorial anomalies remain co-located and move east as the phase of the MJO moves east, e.g., Fig. 8b. In the MJO phase with enhanced convection at 40° W (Fig. 8c) there is reduced equatorial convection over the Indian – western Pacific region and the equatorial OLR and RH anomalies are of the opposite sign to that for the MJO index at 80° E (Fig. 8a).

As well as the tropical RH anomalies there are also northern subtropical RH anomalies at the same longitude. However these anomalies are of the opposite sign to the tropical RH, and extend further to the east. These subtropical RH anomalies also move to east with the MJO. These MJO-related variations in subtropical RH occur because

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

the MJO influences not only tropical rainfall/convection but also influences the atmospheric circulation in the tropics and subtropics (e.g. [Sassi et al., 2002](#)). In particular, the upper tropospheric subtropical anticyclones associated with enhanced tropical convection move eastward with the MJO. As a result the meridional flow and subtropical RH changes with phase of the MJO. The out of phase relationship between tropical and subtropical RH at the same longitude is because the subtropical anticyclones are slightly west of the tropical convection, and there is weak or southward meridional flow, and hence reduced subtropical RH, at similar longitude to the enhanced convection.

There are also MJO anomalies in the subtropical eastern Pacific. When there are negative OLR (enhanced convection) at 80° E there are positive RH anomalies not only at this location but also in the subtropics around 130° W (Fig. 8a). Similarly, when there are positive OLR anomalies (reduced convection) at 80° E there are negative RH anomalies in the subtropics at 130° W (Fig. 8c). These eastern Pacific anomalies were noted by [Sassi et al. \(2002\)](#) in their analysis of UARS MLS data, and were attributed to residuals from previous cycles of the MJO. However, an alternative explanation is modulation of PV intrusions by the MJO. During the phase of MJO with enhanced convection over the east Indian Ocean the subtropical jet is weaker and equatorial westerlies stronger over the Pacific ([Matthews and Kiladis, 1999](#); [Sassi et al., 2002](#)), which are favorable conditions for Rossby wave breaking in the eastern Pacific ([Vaugh and Polvani, 2000](#)). Thus during this phase there could be more intrusions and increased RH in the subtropical eastern Pacific, and the reverse for the opposite phase.

## 5 Conclusions

The above analysis of AIRS measurements has shown that in both the tropics and subtropics there are significant longitudinal variations in RH during NH winter. These longitudinal variations occur not only in the climatological mean values but also in local distributions, with regions with larger mean values having larger variability and broader PDFs.

### Subtropical upper tropospheric humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

**Subtropical upper  
tropospheric  
humidity**

J.-M. Ryoo et al.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[⏪](#)[⏩](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

In the tropics there is high RH in the convective regions (e.g., Indonesian region, tropical Africa, and tropical America) but low mean RH in the eastern tropical Pacific. The daily variability and breadth of the PDFs are also smaller in the eastern tropical Pacific than in the tropical convective regions. In the subtropics there are local maxima over the eastern Pacific and eastern Atlantic oceans and minima over the Indian, central Pacific, and tropical America. The daily variability and breadth of the PDFs have maxima and minima in the same regions as the mean values.

The time scales of the variability also vary with longitude. In the subtropical eastern Pacific and Atlantic oceans there is large day-to-day (synoptic) variability, with rapid transitions between dry and moist air (RH varies from greater than 70% to less than 20% within a few days). There is smaller variability, which occurs at lower frequency, in the subtropical Indian and western Pacific oceans.

These differences in the distribution and variability of subtropical RH are related to the different transport processes that dominate in the different sectors. Comparison with potential vorticity (PV) shows that the intermittent high and low values of RH over the Eastern Pacific and Atlantic oceans (and to a smaller extent over the Indian ocean) are due to intrusions of high PV air into the subtropics. There is very dry air west and within these intrusions and moist air east of the intrusions. PV intrusions seldom occur over the eastern Indian and western Pacific oceans, and here the subtropical RH is more closely linked to the location and strength of subtropical anticyclones. In these regions there are eastward propagating features in the subtropical RH that are out of phase with the tropical RH, and are caused by modulation of the subtropical anticyclones by the MJO.

There are several aspects of the analysis presented here that could be examined further. One area of data analysis that deserves further examination is the PDFs for different regions. The analysis presented here shows some significant differences in the PDFs for different regions, but also suggests that they may be fit by a universal form. One possibility is the theoretical distribution law derived by Sherwood et al. (2006), which might enable the differences in PDFs to be related to differences in time scales

of processes controlling the RH distributions.

Comparison of the results presented here with analysis of other measurements of upper tropospheric humidity would allow the robustness of the results to be assessed. Several recent studies have compared upper tropospheric RH measurements from different satellite and/or aircraft instruments. These include [Read et al. \(2007\)](#) who compared AIRS RH with those from the new Microwave Limb Sounder (MLS) instrument on the Aura satellite, and [Ekstrom et al. \(2007\)](#) who compared satellite measurements from the UARS-MLS, Aura-MLS, and Odin-SMR. However, these studies did not examine in detail of the spatial and temporal variability of the humidity.

It would also be of interest to examine trajectory-based water vapor simulations in the different regions. Previous studies have shown that these simulations do a good job of reproducing UTH observations (e.g. [Pierrehumbert and Roca, 1998](#); [Dessler and Sherwood, 2000](#); [Waugh, 2005](#)). Detailed analysis of these calculations for different longitudes will enable the role of different processes to be examined and quantified.

Yet another extension of this analysis would be comparisons of the observed variability with that in climate models. [Gettelman et al. \(2006\)](#) compared water vapor from the CAM3 climate model with the AIRS climatology. It would be interesting to extend this to other climate models, and also to include a comparison with dynamical processes. The analysis here suggests that a correct simulation of the subtropical UTH distribution requires models to correctly simulate Rossby wave dynamics along the tropopause and the MJO.

*Acknowledgements.* The NOAA spatially and temporally interpolated OLR were obtained from the NOAA-CIRES Climate Diagnostics Center (<http://www.cdc.noaa.gov/>). This work was supported by grants from NASA and NSF.

**Subtropical upper tropospheric humidity**

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

⏪

⏩

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion



## References

- Aumann, H. H., Chahine, M. T., Gautier, C., et al.: AIRS/AMSU/HSB on the Aqua Mission: Design, science objectives and data products, *IEEE T. Geosci. Remote*, 41, 253–264, 2003. [1043](#)
- 5 Bates, J. J., Wu, X., and Jackson, D. L.: Interannual variability of upper-troposphere water vapor band brightness temperature, *J. Climate*, 9, 427–438, 1996. [1042](#), [1045](#)
- Dessler, A. and Sherwood, S. C.: Simulations of tropical upper tropospheric humidity, *J. Geophys. Res.*, 105, 20 155–20 163, 2000. [1056](#)
- Duchon, C. E.: Lanczos filtering in one and two dimensions, *J. Appl. Meteorol.*, 18, 1016–1022, 10  
1979. [1053](#)
- Divakarla, M. G., Barnet, C. D., Goldberg, M. D., et al.: Validation of AIRS temperature and water vapor retrievals with matched radiosonde observations and forecasts, *J. Geophys. Res.*, 111, D09S15, doi:10.1029/2005JD006116, 2006. [1044](#)
- Eguchi, N. and Shiotani, M.: Intraseasonal variations of water vapor and cirrus clouds in the tropical upper troposphere, *J. Geophys. Res.*, 109, D12106, doi:10.1029/2003JD004314, 15  
2004. [1053](#)
- Ekstroem, M., Eriksson, P., Rydberg, B., and Murtagh, D. P.: First Odin sub-mm retrievals in the tropical upper troposphere: humidity and cloud ice signals, *Atmos. Chem. Phys.*, 7, 459–469, 2007, <http://www.atmos-chem-phys.net/7/459/2007/>. [1056](#)
- 20 Fetzer, E., McMillin, L. M., Tobin, D., et al.: AIRS/AMSU/HSB validation, *IEEE T. Geosci. Remote*, 41, 418–431, 2003. [1043](#)
- Funatsu, B. M. and Waugh, D. W.: Connections between Potential Vorticity Intrusions and Convection in the Eastern Tropical Pacific, *J. Atmos. Sci.*, in press, 2007. [1049](#), [1051](#)
- 25 Gettelman, A., Weinstock, E. M., Fetzer E. J., et al.: Validation of Aqua satellite data in the upper troposphere and lower stratosphere with in situ aircraft instruments, *Geophys. Res. Lett.*, 31, 22, doi:10.1029/2004GL020730, 2004. [1044](#)
- Gettelman, A., Collins, W. D., Fetzer, E. J., Eldering, A., Irion, F. W., Duffy, P. B., and Bala, G.: Climatology of Upper-Tropospheric Relative Humidity from the Atmospheric Infrared Sounder and Implications for Climate, *J. Climate*, 19, 6104–6121, 2006. [1042](#), [1043](#), [1045](#), [1056](#)
- 30 Hagan, D. E., Webster, C. R., Farmer, C. B., et al.: Validating AIRS upper atmosphere water vapor retrievals using aircraft and balloon in situ measurements, *Geophys. Res. Lett.*, 31, L21103, doi:10.1029/2004GL020302, 2004. [1044](#)

## Subtropical upper tropospheric humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

- Held, I. M. and Soden, B. J.: Water vapor feedback and global warming, *Ann. Rev. Energy Environ.*, 25, 441–475, 2000. [1042](#), [1043](#)
- Kalnay, E., Kanamitsu, M., Kistler, R., et al.: The NCEP/NCAR 40-year reanalysis project, *Bull. Am. Meteorol. Soc.*, 77, 437–471, 1996. [1044](#)
- 5 Kiladis, G. N.: Observations of Rossby waves linked to convection over the eastern tropical Pacific, *J. Atmos. Sci.*, 55, 321–339, 1998. [1049](#), [1051](#)
- Liebmann, B. and Smith, C. A. : Description of a complete (interpolated) outgoing longwave radiation data set, *Bull. Amer. Meteor. Soc.*, 77, 1275–1277, 1996. [1044](#)
- Madden, R. A. and Julian, P. R.: Observations of the 40–50-Day Tropical Oscillation—A Review, *Mon. Weather Rev.*, 122, 814–837, 1994. [1052](#)
- 10 Matthews A. J. and Kiladis, G. N.: The tropical-extratropical interaction between high frequency transients and the Madden-Julian Oscillation, *Mon. Weather Rev.*, 127, 661–677, 1999b. [1054](#)
- Mote, P. W., Clark, H. L., Dunkerton, T. J., Harwood, R. S., and Pumphrey, H. C.: Intraseasonal variations of water vapor in the tropical upper troposphere and tropopause region, *J. Geophys. Res.*, 105, 17 457–17 470, 2000. [1053](#)
- Pierrehumbert, R. T.: Lateral mixing as a source of subtropical water vapor, *Geophys. Res. Lett.*, 25, 1784–1806, 1998. [1043](#)
- Pierrehumbert, R. T. and Rocca, R.: Evidence for control of Atlantic subtropical humidity by large scale advection, *Geophys. Res. Lett.*, 25, 4537–4540, 1998. [1043](#), [1056](#)
- 20 Read W. G., Waters J. W., Flower D. A., et al.: Upper-tropospheric water vapor from UARS MLS, *Bull. Am. Meteor. Soc.*, 76, 2381–2389, 1995.
- Read, W. G., Waters, J. W., Wu, D. L., et al.: UARS Microwave Limb Sounder upper tropospheric humidity measurement: Method and validation, *J. Geophys. Res.*, 106, 32 207–32 258, 2001. [1042](#), [1045](#)
- 25 Read, W. G., Lambert, A., Backmeister, J., et al.: Aura Microwave Limb Sounder Upper Tropospheric and Lower Stratospheric H<sub>2</sub>O and RHi Validation, *J. Geophys. Res.*, 112, D24S35, doi:10.1029/2007JD008752, 2007. [1056](#)
- Rind D., Chiou, E. W., Chu, W., et al.: Overview of the Stratospheric Aerosol and Gas Experiment II water vapor observations – Method, validation, and data characteristics, *J. Geophys. Res.*, 98, 4835–4856, 1993. [1042](#), [1045](#)
- 30 Salathe, E. P. and Hartmann, D. L.: A Trajectory Analysis of Tropical Upper-Tropospheric Moisture and Convection, *J. Climate*, 10, 2533–2547, 1997. [1043](#)

---

**Subtropical upper  
tropospheric  
humidity**J.-M. Ryoo et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion

- Sassi, F., Salby, M., Pumphrey, H. C., and Read, W. G.: Influence of the Madden-Julain Oscillation on upper tropospheric humidity, *J. Geophys. Res.*, 107, D23, 4681, doi:10.1029/2001JD001331, 2002. [1052](#), [1053](#), [1054](#)
- Sherwood, S. C.: Maintenance of the free-tropospheric tropical water vapor distribution, Part II: Simulation by large-scale advection, *J. Climate*, 9, 2919–2934, 1996. [1043](#)
- Sherwood, S. C., Kursinski, E. R., and Read, W. G.: A distribution law for free-tropospheric relative humidity, *J. Climate*, 19, 6267–6277, 2006.
- Soden, B. J. and Bretherton, F. P.: Upper-tropospheric relative-humidity from the GOES 6.7  $\mu\text{m}$  channel Method and climatology for July 1987, *J. Geophys. Res.*, 98, 16 669–16 688, 1993. [1042](#)
- Tian, B. J., Waliser, D. E., Fetzer, E. J., et al.: Vertical moist thermodynamic structure and spatial-temporal evolution of the MJO in AIRS observations, *J. Atmos. Sci.*, 63, 2462–2485, 2006. [1053](#)
- Tobin, D. C., Revercomb, H. E., Knuteson, R. O., et al.: ARM site atmospheric state best estimates for AIRS temperature and water vapor retrieval validation, *J. Geophys. Res.*, 111, D09S14, doi:10.1029/2005JD006103, 2006. [1044](#)
- Waugh, D. W.: Impact of potential vorticity intrusions on subtropical upper tropospheric humidity, *J. Geophys. Res.*, 110, D11305, doi:10.1029/2004JD005664, 2005. [1049](#), [1050](#), [1056](#)
- Waugh, D. W. and Funatsu, B. M.: Intrusions into Tropical Upper Troposphere: Three Dimensional Structure and accompanying Ozone and OLR distributions, *J. Atmos. Sci.*, 60, 637–653, 2003. [1049](#)
- Waugh, D. W. and Polvani, L. M.: Intrusions into the tropical upper troposphere, *Geophys. Res. Lett.*, 27, 3857–3860, 2000. [1049](#), [1054](#)
- Zhang, C., Mapes, B. E., and Soden, B. J.: Bimodality in tropical water vapor, *Q. J. Roy. Meteorol. Soc.*, 129, 2847–2866, 2003. [1047](#)

---

**Subtropical upper  
tropospheric  
humidity**J.-M. Ryoo et al.

---

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

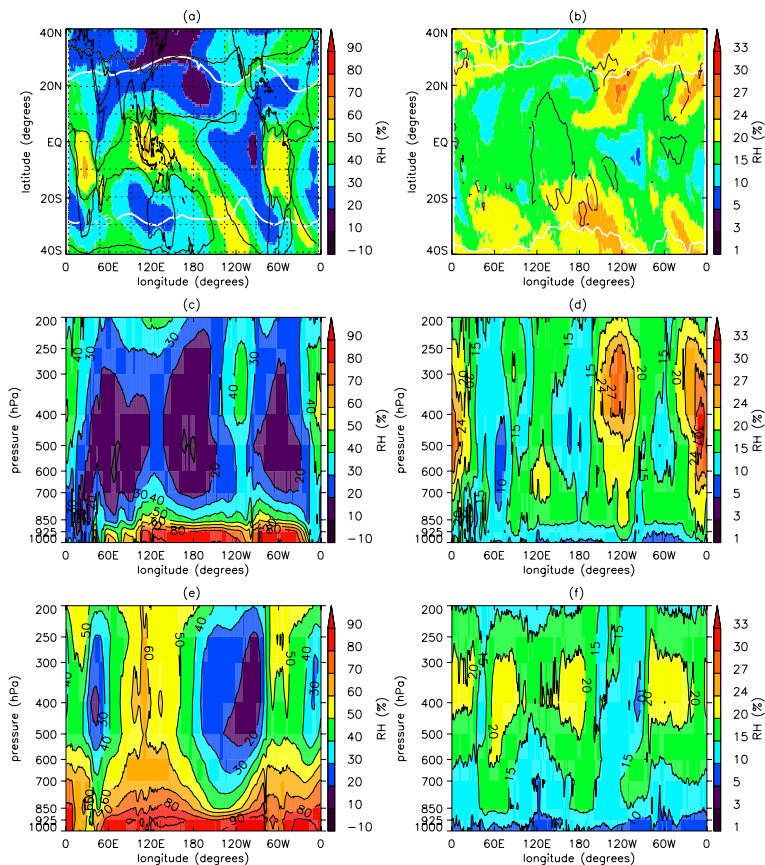
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

## Subtropical upper tropospheric humidity

J.-M. Ryoo et al.



**Fig. 1.** Climatological distributions of RH. Maps of **(a)** mean and **(b)** standard deviation at 250 hPa. Vertical cross sections of **(c)** mean and **(d)** standard deviation for average over  $15.5^{\circ}$  N to  $25.5^{\circ}$  N, and **(e)** mean and **(f)** standard deviation for average over  $5.5^{\circ}$  S to  $5.5^{\circ}$  N. In (a) white curves are  $PV = \pm 1.5$  PVU contours and black curves are  $OLR = 240$   $W/m^2$  contours, whereas in (b) white curves are  $PV = 1.5$  PVU contours and black curves are  $OLR = 70$   $W/m^2$  contours.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

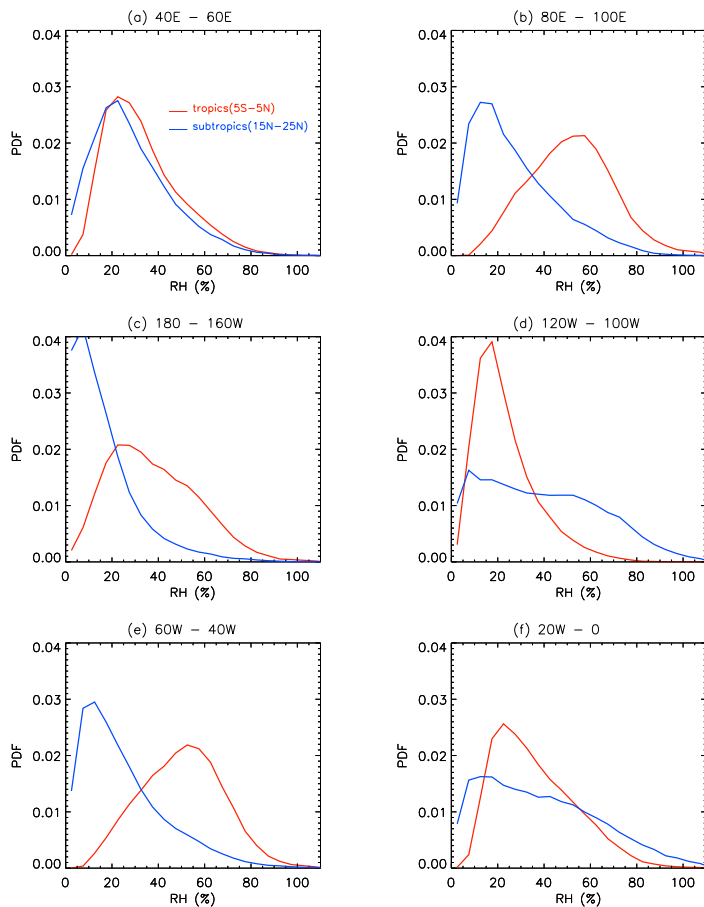
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

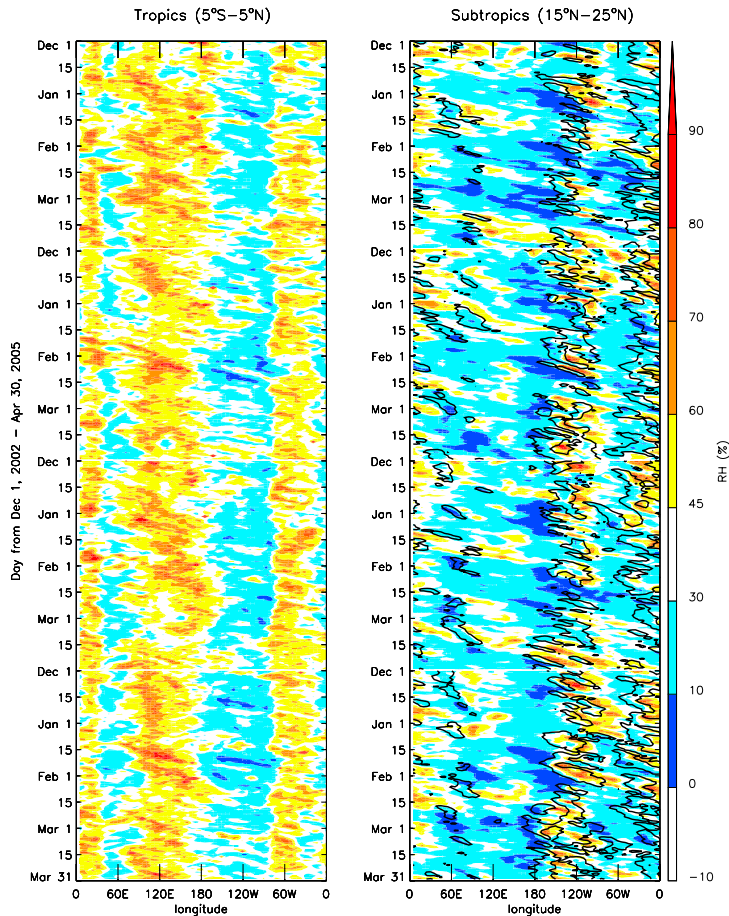
Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.



**Fig. 2.** PDFs of 250 hPa RH for 10° latitude by 20° longitude bins centered at **(a)** 50° E, **(b)** 90° E, **(c)** 170° E, **(d)** 110° W, **(e)** 50° W, and **(f)** 10° W. Tropical regions (5.5° S–5.5° N) are shown in red, and subtropical regions (15.5° N–25.5° N) in blue.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)



**Fig. 3.** Longitude-time variations of (a) tropical ( $5.5^{\circ}\text{S}$ – $5.5^{\circ}\text{N}$ ) and (b) subtropical ( $15.5^{\circ}\text{N}$ – $25.5^{\circ}\text{N}$ ) RH at 250 hPa, for three NH winters (December to March; 2002/2003 to 2004/2005). Contours in (b) show  $\text{PV}=1.5$  PVU contours.

Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

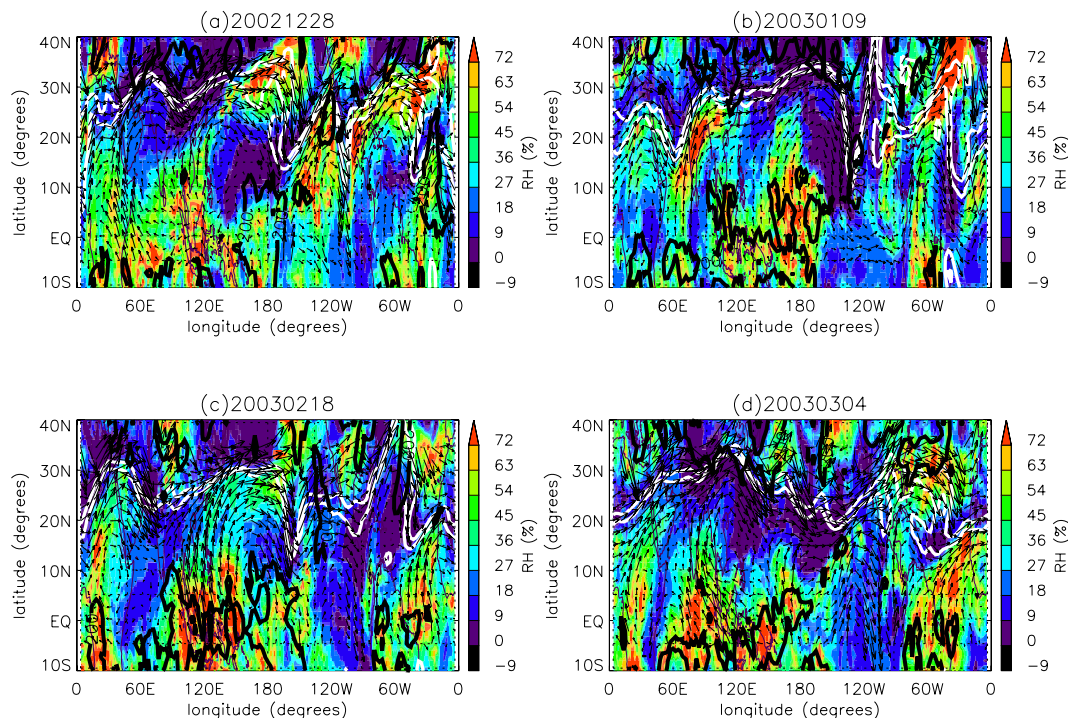
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.

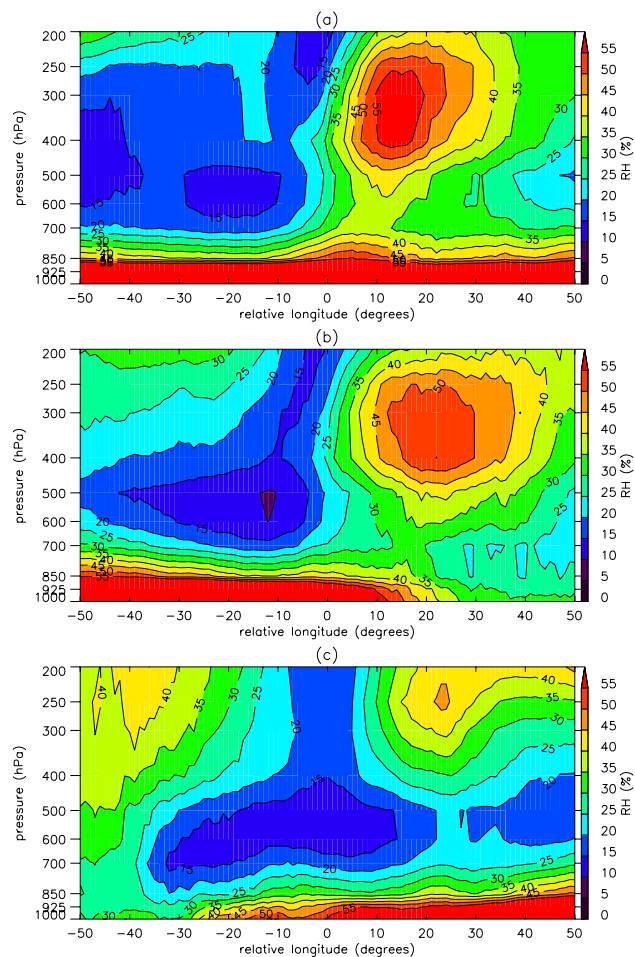


**Fig. 4.** Maps of 250 hPa RH (shading), 200 hPa winds (vectors), 350 K PV (white contours), and OLR (black contours) for (a) 30 December 2002, (b) 9 January 2003, (c) 18 February 2003, and (d) 4 March 2003. White curves are PV=1 and 2 PVU contours and black curves are OLR=240 W/m<sup>2</sup> contours.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.



**Fig. 5.** Vertical cross sections of composite RH for intrusions in **(a)** Pacific, **(b)** Atlantic, and **(c)** Indian oceans. Relative longitude is the longitude relative to central longitude of the PV intrusions.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

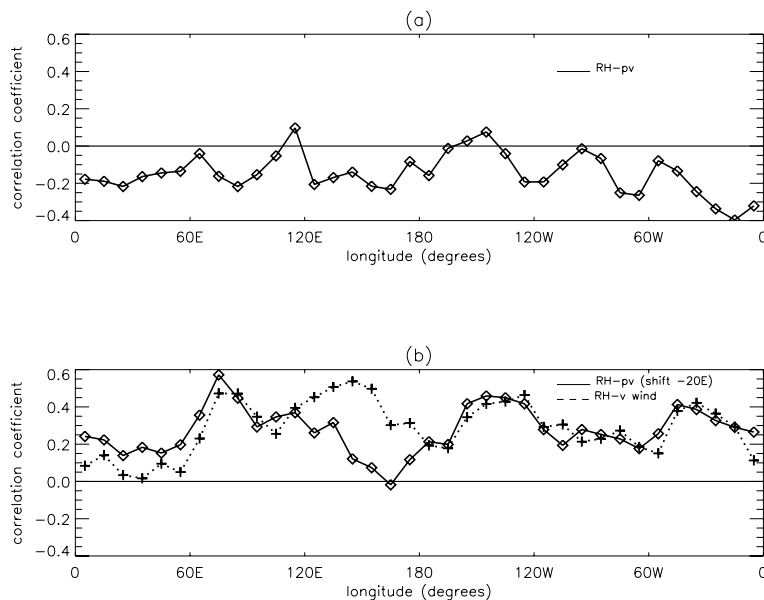
Printer-friendly Version

Interactive Discussion



Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.

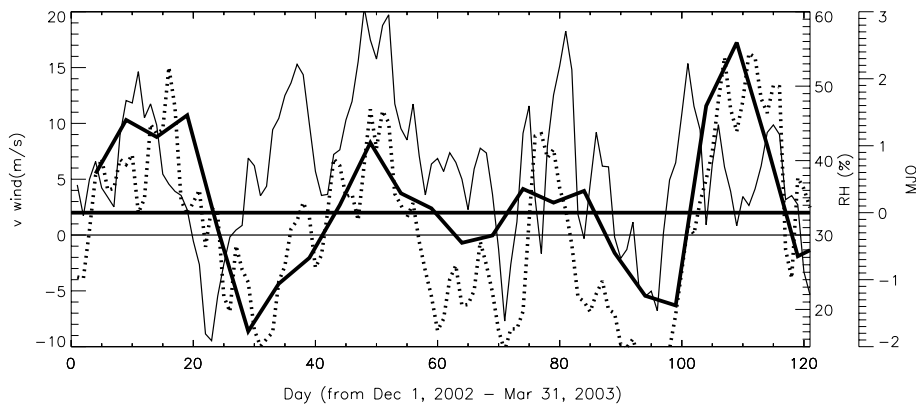


**Fig. 6.** Longitudinal variations of temporal correlations between RH and **(a)** co-located PV and **(b)** PV shifted to the west by  $20^\circ$  (solid) and co-located  $v$  (dashed). All fields are averages between  $15^\circ$  N and  $20^\circ$  N.

[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[◀](#)[▶](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.



**Fig. 7.** Time series of subtropical ( $15.5^{\circ}\text{N}$ – $25.5^{\circ}\text{N}$ ) RH (thin solid) and  $v$  (thin dashed), and of value of average between MJO indices at  $120^{\circ}\text{E}$  and  $140^{\circ}\text{E}$  (thick solid) for the 2002/2003 winter.

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

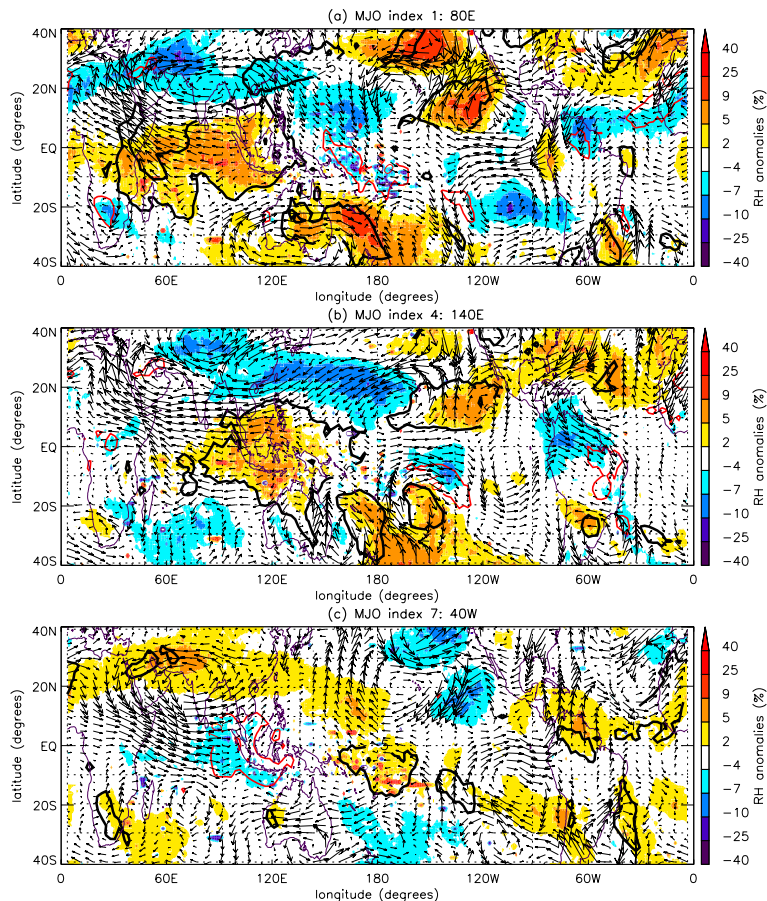
Full Screen / Esc

Printer-friendly Version

Interactive Discussion

Subtropical upper  
tropospheric  
humidity

J.-M. Ryoo et al.



**Fig. 8.** Maps of RH (shading), OLR (contours), and wind (vectors) anomalies for composite for MJO indices at **(a)** 80° E, **(b)** 140° E, and **(c)** 40° W. Black contours are positive OLR anomalies of  $5 \text{ W/m}^2$  and red contours are negative OLR anomalies of  $-10 \text{ W/m}^2$ .

Title Page

Abstract

Introduction

Conclusions

References

Tables

Figures

◀

▶

◀

▶

Back

Close

Full Screen / Esc

Printer-friendly Version

Interactive Discussion