

**Seasonality of O₃
production efficiency
in free troposphere**

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Seasonal variability of measured Ozone production efficiencies in the lower free troposphere of Central Europe

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In this study we present the seasonal variability of ozone production efficiencies (E_N), defined as the net number of ozone molecules produced per molecule of nitrogen oxides (nitrogen oxide (NO) + nitrogen dioxide (NO_2)= NO_x) oxidized to NO_z (total reactive nitrogen (NO_y)– NO_x) for a seven-year period (1998–2004) at the Swiss high-alpine research station Jungfraujoch (JFJ), 3580 m a.s.l. This dataset is a unique long-term data series of nitrogen levels in the free troposphere over Central Europe and hence it offers an excellent opportunity to perform such an analysis and provide further evidence to the photochemical origin of the ozone spring maximum at locations of the northern hemisphere distant from nearby pollution sources. Experimentally derived daily E_N values have been selected for 571 days out of the 2557 days from 1998 to 2004, from which an average ozone production efficiency of 18.8 ± 1.3 molecules of O_3 produced per molecule of NO_x oxidized was calculated. This value indicates the great potential and importance of photochemical ozone production in the free troposphere. The monthly means of experimentally derived daily E_N values show a seasonal variation with lower values from May to August, which can be probably attributed to more efficient vertical transport of polluted air masses from the atmospheric boundary layer up to JFJ. In agreement, theoretically derived monthly E_N values show similar seasonal variation. The ratio NO_y/CO , a parameter to assess the aging process that has occurred in an air parcel, was used as a criterion to disaggregate the 571 selected days between undisturbed and disturbed free tropospheric (FT). The monthly means of experimentally derived E_N values for the undisturbed FT conditions show a distinct seasonal cycle with higher values in the cold season from November to April. The E_N values for undisturbed FT conditions are particularly higher than the respective monthly E_N values for disturbed FT conditions from February to October. It should be noted that the monthly E_N values of March ($E_N=35.8$) and April ($E_N=34.9$) are among the highest values throughout the year for undisturbed FT conditions at JFJ. These results highlight the key and possibly the dominant role for photochemistry in the observed build-up of

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1 Introduction

Over the last couple of decades it has become apparent that the measured annual cycle of ozone in certain locations of the northern hemisphere, distant from nearby pollution sources, shows a distinct maximum during spring. The magnitude of this maximum also seems to have increased with time (Monks, 2000). There has been much debate as to the origins of this phenomenon and on the relative contribution from photochemistry and stratospheric intrusions (Davies and Schuepbach, 1994; Stohl et al., 2003 and references therein). Nowadays, there is broad agreement that photochemistry is the major contributor to the observed background ozone levels in the troposphere (Penkett, 1988; Crutzen et al., 1999; Lelieveld and Dentener, 2000). According to the existing photochemical theory the relative importance of ozone production and loss processes in the remote troposphere is highly sensitive to competition between reaction of peroxy radicals with NO and cross- or self-reactions of the peroxy radicals, hence the local NO_x and peroxy radical concentrations (e.g. Crutzen, 1988). This theory has been subject to intense investigation due to the observed ozone increase in the troposphere of the Northern Hemisphere over the last century as a result of increased anthropogenic emissions of ozone precursors (Volz-Thomas and Kley, 1988; Staehelin et al., 1994). In contrast, there is still no over-arching consensus as to the mechanisms that lead to the formation of the spring ozone maximum, although a number of chemical theories were developed to explain the observed spring ozone maximum in the free troposphere (FT) of the Northern mid-latitudes (Penkett and Brice, 1986; Liu et al. 1987; Yienger et al., 1999; Monks, 2000).

Ozone in the remote free troposphere has a longer lifetime, which enables transport from regional to hemispheric scale and hence proportionally greater influence on climate than ozone near the surface (Lacis et al., 1990). Therefore, measurements in the free troposphere of the relevant trace gases such as NO_x, NO_y, CO, CH₄, VOCs and

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H₂O that control the ozone abundances are essential for our understanding. It should be noted that such measurements are sparse compared to boundary layer data (Zellweger et al., 2003). Nitrogen oxides (NO_x=NO+NO₂) are the limiting precursors for O₃ production throughout most of the troposphere, and also directly influence the abundance of the hydroxyl radical concentration in the troposphere (e.g. Crutzen, 1988). Emissions of reactive nitrogen species occur primarily as NO, followed by oxidation to NO₂. Reactive nitrogen (NO_y) is defined as the sum of NO_x and its atmospheric oxidation products (NO_z). The lifetime of NO_x before photochemical conversion to NO_z is less than a day in summer at mid-latitudes (Logan, 1983). NO_z comprises mostly peroxyacetyl nitrate (PAN) and nitric acid (HNO₃), along with HNO₄, N₂O₅, NO₃ and other PAN homologues (PANs) and organic nitrates (Emmons et al., 1997). The relative abundance of the components of NO_y varies significantly. For instance, NO₂ is often dominant close to sources, while PAN tends to be the most abundant constituent in regionally polluted air masses where there is more active organic photochemistry and inorganic nitrates are most abundant in more remote areas of the troposphere (Zellweger et al., 2003).

A common index to estimate the ozone production in polluted areas as well as the clean free troposphere, is the ratio $\Delta O_3/\Delta NO_z$, where NO_z=(NO_y-NO_x) is the sum of NO_x oxidation products. This index, known as the ozone production efficiency (E_N), describes the number of O₃ molecules chemically produced per molecule of NO_x oxidized to NO_z (Liu et al., 1987) and has been estimated from $\Delta O_3/\Delta(NO_z)$ ratios (Sillman et al., 1990; Trainer et al., 1993; Olszyna et al., 1994; Carpenter et al., 2000; Rickard et al., 2002 and references therein). Values of E_N can be estimated from the slope of O₃ versus NO_z plots, whilst the ordinate intercepts of such plots have been interpreted as the 'background' level of ozone. Estimates of the amount of ozone formed in a particular region can then be deduced from E_N and known NO_x emissions (Liu et al., 1987). E_N depends on the NMHC/NO_x ratio as well as UV radiation, water vapour, and the ozone concentration itself. However, at NO_x values less than a few parts per billion by volume, O₃ formation is NO_x limited (Liu et al., 1987) and therefore independent

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of hydrocarbon concentrations. The ozone production efficiency decreases when NO_x mixing ratios reach higher values than approximately 500 pptv (Liu et al., 1987).

Generally, $\Delta\text{O}_3/\Delta\text{NO}_z$ provides an upper limit for E_N because HNO_3 (one of the major contributors to NO_z) is wet and dry deposited more rapidly than O_3 , while another limitation is the mixing of different air masses before arriving at the measuring site (Nunnermacker et al., 1998). Several factors can reduce the calculated E_N value for FT air. For example, mixing with boundary layer air will decrease O_3 and increase NO_x and NO_y mixing ratios, which results in lower E_N values. The reformation of NO_x from PAN may in some cases compensate this overestimation. Simulations of an air mass that was initialized with a mix of boundary layer and FT air at the altitude of the JFJ under summer time conditions, showed that NO_x re-formation from PAN is the main source of NO_x in the FT, even if an air mass remains at constant altitude (Henne et al., 2005b). However, PAN decomposition is temperature dependent and hence seasonally dependent. For example during FREETEX '98 in early spring, it was shown that PAN decomposition did not contribute to NO_x at JFJ (Carpenter et al., 2000). Oxidation of NO_x to PNA (HO_2NO_2) may also result in reducing the derived E_N values (Carpenter et al., 2000). PNA formation usually is not an important process in the surface layer because it rapidly thermally decomposes. However, the lifetime of PNA at -10°C is sufficient to allow the build-up of its daytime concentration to tens of parts per trillion by volume. During summer JFJ conditions, PNA does not play a significant role, since temperatures are about 0°C (Henne et al., 2005b). Furthermore, HNO_3 scavenging is slow in the upper troposphere, so that $\Delta\text{O}_3/\Delta\text{NO}_z$ should provide a rather good estimate of the true ozone production potential in the FT.

E_N has been extensively investigated in recent years by researchers in the United States and Canada, but fewer studies have been carried out in Europe. Furthermore, few studies exist referring to E_N determinations in the FT, which are mainly based on aircraft measurements in air masses transported downwind of pollution sources, and even fewer on the seasonal variability of E_N . An overview of previous E_N determinations can be found in Rickard et al. (2002). As far as it concerns previous published

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work on the seasonality of E_N , there is sparseness of detailed studies. Based on box model calculations for sea level mid-latitudes Liu et al. (1987) found similar behaviour of E_N versus NO_x for summer and winter but with summer values approximately a factor of 10 larger reflecting the higher photochemical activity in summer. Hirsch et al. (1996) showed from 4-year measurements at a rural and forested site at Harvard Forest, Massachusetts, that E_N increases from 4 in May to 8 in June–July and gradually decreases back to 4 by early October, attributing this seasonal trend to onset of biogenic emission of isoprene. The variation of E_N with NO_x as measured during three intensive field campaigns at two European coastal sites (Mace Head, Ireland and Weybourne, UK) suggests that ozone production efficiency is relatively insensitive to both geographical location and season (Rickard et al., 2002).

In this study we present the seasonal variability of ozone productions efficiencies (E_N), which have been calculated from observed $\Delta\text{O}_3/\Delta\text{NO}_2$ ratios for disturbed and undisturbed FT conditions based on continuous measurements of NO, NO_2 , NO_y , O_3 and CO carried out regularly for a seven-year period (1998–2004) at the Swiss high-alpine research station Jungfraujoch (JFJ), 3580 m a.s.l.

2 Data and methods

2.1 Site description and characteristics

The Jungfraujoch (JFJ) Observatory (7.98 E/46.55 N) is located in the Swiss Alps, on a ridge extending from south-west to north-east, at an elevation of 3580 m a.s.l. To the north, the slope drops steeply down to 2000 m in a horizontal distance of 2–3 km. The great Aletsch glacier with a length of 22 km lies to the south of this rocky ridge. JFJ is located, in winter and often in spring and autumn, in the lower FT, whereas there is clear influence from the planetary boundary layer (PBL) at least during daytime in summer when convective activity is enhanced (Baltensperger et al., 1997; Lugauer et al., 1998; DeWekker et al., 2004; Henne et al., 2004; Li et al., 2005). Hence, chemical and

meteorological criteria are essential to distinguish between free tropospheric conditions and air masses disturbed by pollution sources (Brönnimann, 1999; Lugauer et al., 2000; Nyeki et al., 2000; Zellweger et al., 2000, 2003; Carpenter et al., 2000; Forrer et al., 2000; Schuepbach et al., 2001; DeWekker et al., 2004; Henne et al., 2004).

5 2.2 Data and filtering

Because the instrumental details have already been described elsewhere (Zellweger et al., 2000) only a brief summary of experimental aspects is presented here. Total NO_y measurements commenced in March 1997 at the JFJ, while the measurements of NO and NO_2 started in June 1991. NO , NO_x and NO_y were measured with a commercially available instrument (CraNO_x, Ecophysics) using two chemiluminescence detectors (CLD 770 AL pptv) with temperature-controlled reaction chambers. NO_x was measured as NO after photolytic conversion (PLC 760). NO_y species were converted on a heated gold catalyst (300°C) with 2% CO (99.997%, Messer-Griesheim GmbH) as a reducing agent. The instrumental detection limit for NO , NO_2 and NO_y was 50 pptv for 2 min and 20 pptv for 30 min average values, respectively. Overall uncertainties in the measurements were estimated to be $\pm 5\%$ for NO , $\pm 10\%$ for NO_2 and $\pm 9\%$ for NO_y at ambient levels of 500 pptv (1σ). During the FREETEX 1998 field campaign NO_x and NO_y were measured independently by University of East Anglia (UEA) using a custom-built Cranox system and the agreement between the UEA and EMPA NO_x and NO_y data was within about 10% (Carpenter et al., 2000; Zellweger et al., 2000). Both CO and O_3 were continuously monitored with commercially available instruments by the Swiss National Air Pollution Monitoring Network (NABEL) (APMA-360, Horiba, for CO ; Thermo Environmental Instruments, Model 49C, for O_3). The detection limit was 30 ppbv for CO and 0.5 ppbv for O_3 (30 min average). More detailed information including measurement uncertainties and the calibration procedure can be found in Zellweger et al. (2000). Finally the meteorological measurements including wind speed and direction, relative humidity, temperature, global radiation and atmospheric pressure at JFJ were provided by MeteoSwiss, Zürich.

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The use of filters at the JFJ (and other measurement sites) is crucial for the interpretation of data from such measurements sites. Carpenter et al. (2000) and Zanis et al. (2000) used filters based on chemical parameters to select clean free tropospheric days during the FREETEX '98 campaign, while Schuepbach et al. (2001) performed a filtering analysis for the long-term ozone record at JFJ using the wind sector and speed in association with a night-time window as filters. There are also a number of studies in which filters based mainly on meteorological parameters were used to discriminate between undisturbed (i.e. clean) and disturbed (i.e. influenced by regional pollution sources) free tropospheric conditions at the JFJ (Lugauer et al., 1998; 2000; Forrer et al., 2000; Zellweger et al., 2003; Henne et al., 2005a; Henne et al., 2005b). Zellweger et al. (2003) suggested that an alternative parameter to assess the aging process that has occurred in an air parcel is the NO_y/CO ratio, which accounts for both deposition and dilution effects. The relationship between CO and NO_y was previously used to assess anthropogenic input to air masses by Parrish et al. (1991). Close to anthropogenic sources, the NO_y/CO ratio averages ~0.1, whereas values of ~0.005 are observed in the upper troposphere (Jaeglé et al., 1998). This is in line with recent findings of Stohl et al. (2002) where the original emission ratio between NO_y and CO was assumed to be 0.16. This ratio dropped to values below 0.01 for air masses older than four days in the continental outflow region of North America. However, it should be noted that the NO_y/CO ratio also shows a seasonal variation, with lower values during the winter months due to a longer lifetime of CO. Zellweger et al. (2003) showed from NO_y and CO measurements at JFJ from April 1997 to March 1999 that undisturbed FT conditions are always accompanied by the lowest NO_y/CO ratios, indicating advanced aging of these air masses. This ratio may therefore be an alternative method to distinguish between disturbed and undisturbed FT conditions at the JFJ and hence is used in the current analysis.

2.3 Observed and steady state calculations of E_N

Using the whole dataset (1998–2004), ozone production efficiencies (E_N) have been calculated on a daily basis for daytime periods. Specifically, 30 min averages of O_3 and NO_z values were used from 8 a.m. to 8 p.m. (UTC) to determine the slope of O_3 versus NO_z for each individual day from the 2557 days between 1998 and 2004. An example of the calculation of a daily E_N value is illustrated in Fig. 1 for date 24 July 2003. Then the calculated daily E_N values were only used for the subsequent analyses if the following criteria were fulfilled. First, concurrent measurements of O_3 , NO_x , NO_y and CO were available for the individual days. With this first criterion 2120 days were selected out of the 2557 days. Second, the correlation coefficient between O_3 and NO_z exceeded the value of +0.5. With this second criterion 617 days were selected. Third, the regression coefficient $\Delta O_3/\Delta NO_z$ of O_3 versus NO_z , which corresponds to the E_N , was statistically significant at the 95% level. With this third criterion 598 days were selected. Finally, the calculated daily E_N values, which were outside the range of the average of all daily E_N values ± 2 sigma, were considered as outliers and have been excluded from further analysis. With the above criteria 571 out of 2557 days have been selected for further analysis from 1998 to 2004.

Zanis et al. (2000) have shown that for mid- NO_x conditions typical for JFJ, the important peroxy/hydroxyl radical ratio can be expressed by an empirical equation, which takes account of radical recombination and inter-conversion through O_3 , as well as NO_x processes, although it assumes no NMHC chemistry:

$$\frac{[HO_2 + CH_3O_2]}{[OH]} = \frac{k_{OH+CO} \cdot [CO] + k_{OH+CH_4} \cdot [CH_4] + k_{OH+O_3} \cdot [O_3]}{k_{HO_2+NO} \cdot [NO] + k_{HO_2+O_3} \cdot [O_3] + 2 \cdot \sqrt{k' \cdot f \cdot j(O^1D)} \cdot [O_3]} \quad (1)$$

It has been shown that the ratio $HO_2+CH_3O_2/OH$, calculated with the empirical expression (1), approximates the ratio calculated by a CO- CH_4 photochemical box model very well for the whole range of NO concentrations (Zanis et al., 2000). The required values of O_3 , CO, NO, NO_2 , temperature, H_2O , and pressure in Eq. (1) were based

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on the observations. All the necessary kinetic data for the calculation of the rate constants are based on Sander et al. (2003). A methane (CH₄) mixing ratio of 1800 ppbv was assumed in Eq. (1). The ozone photolysis rate $j(O^1D)$ for the 15th of each month was calculated by the HARWELL radiative transfer model (Hough, 1988). The Harwell radiative transfer model has been adjusted for the conditions at the JFJ by setting the ground at 3 km, and using a surface albedo of 0.65. The coefficient k' is a composite rate constant made up from the individual rate constants of the self- and cross-reactions for HO₂ and CH₃O₂ and f is the proportion of O¹D which reacts with water vapor. The moles of ozone produced from solely CO and CH₄ chemistry [PO₃] per mole of NO_x oxidized [RNO_x] can thus be estimated by multiplying Eq. (1) by $k_{HO_2+NO}[NO] / k_{OH+NO_2+M}[NO_2]$:

$$E_N = \frac{[PO_3]}{[RNO_x]} = \frac{k_{HO_2+NO} \cdot [NO]}{k_{OH+NO_2+M} \cdot [NO_2]} \cdot \frac{k_{OH+CO} \cdot [CO] + k_{OH+CH_4} \cdot [CH_4] + k_{OH+O_3} \cdot [O_3]}{k_{HO_2+NO} \cdot [NO] + k_{HO_2+O_3} \cdot [O_3] + 2 \cdot \sqrt{k' \cdot f \cdot j(O^1D)} \cdot [O_3]} \quad (2)$$

Carpenter et al. (2000) have used Eq. (2) to calculate a “lower limit” E_N value for typical mid- to low-NO_x conditions often experienced at the JFJ research station, in free tropospheric air masses. Sensitivity analysis of Eq. (2) for typical JFJ conditions throughout the year revealed that E_N is mainly sensitive to NO and NO₂. For example, 20% increase in NO₂, NO, O₃, CH₄, CO and temperature, result to percentage change for E_N value of −16%, 9%, −3.5%, 2.8%, 1%, and 1%, respectively, while E_N is almost insensitive to H₂O, pressure and $j(O^1D)$.

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3 Results and discussion

3.1 An overview of the measurements at JFJ from 1998 to 2004

An overview of monthly median values of NO_x , NO_y , NO_z , CO and O_3 over the whole period 1998–2004 without any filter is given in Fig. 2. Generally, as it is shown in Fig. 2, O_3 displays a broad spring- summer maximum from April to August peaking in May. The broad spring-summer maximum at the JFJ has been previously reported by Schuepbach et al. (2001) while a similar seasonality has been also reported based on surface ozone measurements at Arosa, Switzerland (Staehelin et al., 1994) and on ozonesonde measurements in the lower FT at Payerne, Switzerland (Staehelin and Schmid, 1991). The May peak in O_3 is evidenced in the monthly means of 5 individual years out of the 7 years of measurements from 1998 to 2004 while in 1999 there is an ozone peak in April and in 2003 there is an ozone peak in August (see Fig. 3). The ozone peak in August 2003 corresponds to the record-breaking heat wave affecting the European continent in summer 2003 (Schär et al., 2004; Ordóñez et al., 2005). Higher CO mixing ratios are observed during the cold season from November to April due to the longer lifetime of CO (Fig. 2). Interestingly, CO consistently peaks in April for the whole period from 1998 to 2004 as illustrated in Fig. 3 although considering the lifetime of CO the maximum would rather be expected in mid-winter.

Concerning NO_y , higher concentrations were observed at the JFJ from April to August peaking in April (Fig. 2). This April peak in NO_y is observed in the monthly means of 5 individual years out of the 7 years of measurements from 1998 to 2004 (Fig. 3). The NO_y peak in August 2003 may be explained as for O_3 by the heat wave affecting the European continent. Generally, the higher NO_y concentrations during the warm season from late spring to early autumn were attributed to enhanced vertical transport processes during these seasons (Zellweger et al., 2000). The monthly median NO_z concentrations show similar seasonal variability with NO_y concentrations indicating the dominant role of NO_z in NO_y partitioning. Concerning the NO_y partitioning, Zellweger et al. (2003) showed that PAN was found to be the dominant NO_y species during spring

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and summer, NO_2 was most abundant during autumn and winter, whereas particulate nitrate was found to contribute significantly to total NO_y during thermally induced vertical transport.

The monthly median NO_x concentrations in Fig. 2 show a peak value in April but do not reveal a distinct seasonal pattern. This is possibly due to the opposite sign of two processes; the thermally induced vertical transport that peaks in summer and vents fresh pollution into the FT and the chemical lifetime of NO_x that is significantly longer in winter (Liu et al., 1987). The monthly means of NO_x concentrations for each individual year between 1998 and 2004 reveal primary and secondary peak concentrations in April and November for 5 years out of the 7 years of measurements while for the other two years peak concentrations are present in March and October. It should be noted that the monthly mean values of NO_x and NO_y were often found to be a factor of two or more higher than the corresponding median values due to the occurrence of relatively short episodes with high concentrations, which can be attributed to periods with transport of polluted air masses from source regions to the JFJ caused by various meteorological processes (Zellweger et al., 2000).

A striking feature, as inferred from Fig. 2 and Fig. 3, is the monthly peak values of NO_y , NO_z , and NO_x in April, which is a consistent feature for most of the individual years between 1998 and 2004 in accordance with what it is also observed for CO. In contrast, the period when thermally induced vertical transport gets more efficient is the warm period from May to September with the highest potential in July and August (Lugauer et al., 1998; Henne et al., 2005a). This implies that presumably other transport processes of polluted air masses from regional to hemispheric scale can account for the April peak in NO_y , NO_x and CO at JFJ in combination with the relatively longer chemical lifetime of these species in early spring compared to summer. The NO_y data from 1996 to 2001 at Zugspitze (not shown here), Germany (World Data Centre for Greenhouse Gases; <http://gaw.kishou.go.jp/wdcgg.html>) also reveal a clear mean seasonal cycle with peak in April in agreement with JFJ confirming the theory of larger scale processes. In addition, Penkett and Brice (1986) based on the analysis of PAN

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measurements showed a large PAN increase in background Northern Hemisphere air during springtime and developed a theory to explain the observed spring ozone maximum in the FT since PAN is an excellent tracer of the photochemical activity. It should be also noted that the seasonal variation of the frequency of south foehn events from April 1997 to March 1999 at JFJ shows a peak in April (Zellweger et al., 2003) while Campana et al. (2005) reported enhanced O₃ and NO_y levels at Arosa during south foehn events in spring.

Figure 4 shows the monthly means of O₃ versus NO_z at JFJ from 1998 to 2004. The explained variance is 62% while the regression coefficient $\Delta\text{O}_3/\Delta\text{NO}_z$ from the scatter of O₃ versus NO_z is 21.5 ± 1.9 . A prerequisite for the calculation of E_N is to follow the same air mass from the source to the receptor. The estimate of $\Delta\text{O}_3/\Delta\text{NO}_z$ from Fig. 4, although it assumes different air masses with different background ozone mixing ratios throughout the year, reflects the annual average ozone production efficiency at JFJ and indicates the great potential and importance of photochemical ozone production in the FT. The intercept reflecting the present time background ozone on which photochemistry builds on throughout the year is estimated to be 40.7 ± 1.2 ppbv and compares well to winter ozone concentrations at JFJ.

3.2 Selected daily values of E_N

The daily E_N values derived from the slope ($\Delta\text{O}_3/\Delta\text{NO}_z$) for 571 days, selected out of the 2557 days from 1998 to 2004 following the selection criteria described in Sect. 2.3 give an average ozone production efficiency of 18.8 ± 1.3 (the error bar denotes the 95% confidence interval), which is comparable with the E_N value of 21.5 ± 1.9 derived from the monthly means of O₃ and NO_z at JFJ from 1998 to 2004 shown in Fig. 4. However, Fig. 5 shows that there is large scatter of these experimentally derived daily E_N values ranging from 1 to 72 molecules of O₃ produced per molecule of NO_x oxidized to NO_z, which suggests the influence of both free tropospheric and polluted air masses with different chemical composition and aging at the JFJ. In previous published work, Carpenter et al. (2000), based on a 5-week observation of O₃/NO_z ratios during

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5 FREETEX '98 at Jungfraujoch in March and April, derived E_N values of about 20 to 30 molecules of O₃ produced per NO₂ molecule oxidised in free tropospheric conditions while a E_N value of about 4 was calculated in photochemically aged air from South Europe. Prévôt et al. (1997), based on aircraft measurements in the Swiss Alps as part of the POLLUMET study, calculated E_N values of 13.6 under NO_x-limited conditions and of 4.2 close to the NO_x/VOC limiting transition point. Thielmann et al. (2002) based on field measurements in the Italian Po basin derived E_N values at a rural station as low as 2.5 for air masses advected from Milan, Italy. Chin et al. (1994) reported a mean O₃ production efficiency in the U.S. boundary layer of 5.5 with values being more than 10 2 times higher in the western United States (9.1) compared to the east (4.2) due to lower NO_x concentrations in the west. Based on measurements from three intensive field campaigns at two European coastal sites (Mace Head, Ireland and Weybourne, UK) it was shown that the calculated ozone production efficiency in clean oceanic air masses was approximately 65, which was in contrast to values between 4 and 6 for more polluted air masses from the UK and continental Europe (Rickard et al., 2002).

15 It was pointed out in Sect. 2.2 that the NO_y/CO ratio could be used as an alternative method to distinguish between disturbed FT conditions (air masses influenced by recent emissions) and undisturbed FT conditions (aged air masses) at the JFJ. These experimentally derived daily E_N values versus the respective daily values of the ratio NO_y/CO show a general decreasing exponential trend in E_N with increasing NO_y/CO (Fig. 5) which is in agreement with previous studies which have shown the exponential decrease of E_N as NO_x levels increase (e.g. Liu et al., 1987; Carpenter et al., 2000; Rickard et al., 2002). Caution must be exercised in the implication of photochemistry in such correlations, because a relationship would also be expected if O₃ and NO_z were 20 exported from a common source and consequently diluted. However, if this were the case, the O₃/NO_z ratio would not be expected to change, whereas Fig. 5 reveals the expected decrease of E_N with concentration of oxidized nitrogen. It should also be noted that for the vast majority of the daily values the NO_y/CO ratio is lower than 0.01. Stohl et al. (2002) reported that the NO_y/CO ratio dropped to values below 0.01 for air 25

masses older than four days in the continental outflow region of North America, where warm conveyor belts are responsible for the vertical lifting of pollutants.

Theoretical values of E_N were calculated by the steady state Eq. (2) for varying NO_y/CO by setting the levels of O_3 , CO , H_2O , temperature, pressure, NO/NO_x and NO_x/NO_y to their median values from the measurements carried out at JFJ from 1998 to 2004. These theoretically derived E_N values for varying NO_y/CO levels are shown with a black solid line in Fig. 5, which compares rather well with the general decreasing exponential trend from the experimentally derived E_N values. Nevertheless, the experimentally derived E_N values show a scatter around the theoretical curve. Since E_N is mainly sensitive to NO and NO_2 as pointed in Sect. 2.3, in order to illustrate uncertainty of the theoretically derived E_N curve, we calculated E_N values from the steady state Eq. (2) for varying NO_y/CO by setting the ratios NO/NO_x and NO_x/NO_y to their 1st and 3rd quartile values of the 1998–2004 record (instead of their median values) while keeping all the other variables (O_3 , CO , H_2O , temperature, pressure) constant at their median values. The E_N values for 1st and 3rd quartiles are displayed as dashed lines in Fig. 5. While the theoretical values cover most of the higher E_N values, most of the lower E_N cases are not covered by the theoretical estimation.

3.3 Seasonality of E_N with respect to undisturbed and disturbed FT conditions

The experimentally derived daily E_N values of Fig. 5 and the daily intercept values have been used to calculate the monthly mean values. These monthly means of experimentally derived E_N and intercept values are illustrated in Fig. 6 along with the monthly means of the respective NO_y/CO values and the frequency of the selected days per month from which the monthly means were calculated.

Note from Fig. 6 that the highest frequencies of the selected days per month, for which daily values of E_N were experimentally derived, are observed during March, April and May. The monthly means of experimentally derived daily E_N values show a seasonal variation with lower values during the warm period from May to August, which is accompanied by higher values of the NO_y/CO ratio. The seasonal variation

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in the NO_y/CO ratio can be attributed on the one hand to a longer lifetime of CO during the cold season and on the other hand to enhanced vertical transport during the warm season. However, the fact that the NO_y/CO level in April is comparable with the NO_y/CO levels from May to September is primarily due to the peak NO_y concentration in April (see Fig. 2 and Fig. 3). This cannot be attributed to thermal vertical mixing but rather to other transport processes of polluted air masses from regional to hemispheric scale. It can be inferred from Fig. 6 that the seasonal variation of E_N values with lower values from May to August can be partially attributed to more efficient vertical transport of polluted air masses from the atmospheric boundary layer up to JFJ during the warm period.

The monthly means of the intercept, which reflects the background ozone, were calculated from the daily intercept values and demonstrate a seasonal cycle oscillating around a mean level of 43 ± 3 ppbv (the error bar denotes the 95% confidence interval of the mean) with amplitude ranging from about 35 ppbv in winter months to about 50 ppbv within the period between April and August.

Figure 6 also shows that the monthly means of experimentally derived E_N values compare rather well with theoretically derived E_N values. Both experimentally and theoretically derived E_N values demonstrate similar seasonal variation. The theoretical monthly E_N values were calculated from the steady state Eq. (2) by setting the levels of NO, NO₂, O₃, CO, H₂O, temperature and pressure to their median monthly values from the daily mean values of the 571 selected days. In order to get a range for the theoretical monthly E_N values, we calculated E_N values from the steady state Eq. (2) by setting the levels of NO, NO₂, O₃, CO, H₂O, temperature and pressure to a 10% sensitivity to the respective monthly median values.

Taking into account the results by Zellweger et al. (2003), who showed from measurements of NO_y and CO at JFJ that undisturbed FT conditions are always accompanied by the lowest NO_y/CO ratios, we used this ratio to distinguish between disturbed and undisturbed FT conditions at the JFJ. Initially, the experimentally derived E_N values were disaggregated in two classes, one class including days when the respective daily

NO_y/CO value was lower than the median monthly value of the ratio NO_y/CO (less disturbed FT conditions) and one class including days when the respective daily NO_y/CO value was higher than the median monthly value of the ratio NO_y/CO (more disturbed FT conditions). The monthly means of E_N values, which were calculated for these two individual classes (NO_y/CO < median and NO_y/CO > median) at JFJ, are illustrated in Fig. 7a indicating that the monthly means of experimentally derived E_N values for the less disturbed FT conditions (NO_y/CO < median) are generally higher than the respective monthly E_N values for the more disturbed FT conditions (NO_y/CO > median) except in November and January. However, this separation method in two classes based on the median value of NO_y/CO is rather crude and hence a stricter criterion was applied to disaggregate the daily E_N values using the 1st and 3rd quartile monthly values of the NO_y/CO ratio (see Table 1). A day was characterised as undisturbed FT if the respective daily NO_y/CO value was lower than the monthly 1st quartile value of the NO_y/CO ratio while a day was characterised as disturbed FT if the respective daily NO_y/CO value was higher than the monthly 3rd quartile value of the NO_y/CO ratio. Indicatively, the 1st quartile value of the NO_y/CO ratio ranges from 0.0020 in February to 0.0075 in July, while the 3rd quartile value of the NO_y/CO ratio ranges from 0.0054 in December to 0.0128 in July (see Table 1). Table 1 also shows that the respective seasonal 1st quartile values of the NO_y/CO ratio range from 0.0022 in winter (DJF) to 0.0063 in summer (JJA) with spring (MAM) and autumn (SON) values being around 0.004. These 1st quartile NO_y/CO ratio values in winter, spring and autumn are in agreement with the respective seasonal values indicated from meteorological analysis by Zellweger et al. (2003) for undisturbed FT conditions. Only the 1st quartile NO_y/CO ratio value in summer is slightly higher than the respective summer value indicated in the study of Zellweger et al. (2003) for undisturbed FT conditions but within the standard error. It should always be kept in mind that this selection criterion, to use the 1st and 3rd quartile monthly value of the NO_y/CO ratio, is not an absolute way to distinguish between disturbed and undisturbed FT conditions but only a relative way to sample air masses with different characteristics. Hence, the relative selection criterion with upper

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and lower quartiles is a compromise in order to have an adequate number of days per month for the calculation of monthly E_N values. For example when using an absolute criterion for the NO_y/CO ratio being lower than a value of around 0.004, only a few days were selected from May to September.

5 Following this methodology with the 1st and 3rd quartile monthly values of the NO_y/CO ratio as cut-off values, the experimentally derived daily E_N values were disaggregated into two classes for disturbed and undisturbed FT conditions at JFJ. The monthly means of E_N values, which were calculated for these two individual classes (disturbed and undisturbed FT conditions) at JFJ, are illustrated in Fig. 7b along with the frequency of the undisturbed FT (or disturbed FT) days per month used for the
10 calculation of the monthly E_N value. The annual mean E_N value for undisturbed FT conditions (<1st quartile) is 27.4 ± 4.9 molecules of O_3 produced per molecule of NO_x oxidized, while for disturbed FT conditions (>3rd quartile) it is 14.4 ± 4.7 (the error bars denotes the 95% confidence interval of the mean). The monthly means of experimentally
15 derived E_N values for the undisturbed FT conditions are generally higher than the respective monthly E_N values for the disturbed FT conditions except in November. This reflects the expected decrease of E_N with increasing concentration of oxidized nitrogen. The monthly mean E_N values for the disturbed FT conditions are around 10 for all months from February to October with no distinct seasonal pattern while the monthly
20 E_N values for the undisturbed FT conditions show a distinct seasonal cycle with higher values in the cold season from November to April.

If we disregard the peak monthly E_N value of December ($E_N=46.9$) for undisturbed FT conditions, which was calculated from only 8 days, the monthly E_N values of March ($E_N=35.8$) and April ($E_N=34.9$) are the highest values throughout the year for undisturbed FT conditions at JFJ. In addition these high E_N values of March and April result
25 from the highest frequencies of selected days per month with undisturbed FT conditions (18 days for both months) (see Fig. 7b). It should be noted that the monthly E_N values for the disturbed FT conditions from November to January are higher than 24 and almost comparable with the monthly E_N values for the undisturbed FT conditions

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(except in December), which implies that the criterion used to disaggregate disturbed and undisturbed FT conditions for these months is not a discernible selection rule. The difference of E_N values between undisturbed FT and disturbed FT conditions during the warm period of the year from May to September can be partially attributed to more frequent and apparent episodes with thermally induced vertical transport of polluted air masses from the atmospheric boundary layer up to JFJ. However, the difference of E_N values between undisturbed FT and disturbed FT in February, March and April cannot be associated to more efficient thermal vertical mixing but rather to other transport processes of polluted air masses from regional to hemispheric scale.

Preliminary analysis of the back trajectories for the disturbed and undisturbed FT days in April using the British Atmospheric Data Centre (BADC) trajectory tool (<http://badc.nerc.ac.uk>) which derived the parcel paths from the ECMWF analysed winds with $2.5^\circ \times 2.5^\circ$ resolution points to transport processes from regional scale (such as dynamical uplifting within synoptic frontal systems) to hemispheric scale (intercontinental transport) as a source of pollutants (and hence of precursors for ozone production) at the lower FT during early spring. However, taking into account the trajectory uncertainties associated with the low resolution of the wind fields and the complexity of the alpine topography there is an effort in progress to investigate in detail the origin of the air masses for undisturbed and disturbed FT conditions during spring with more elaborate trajectory tools and meteorological analysis.

The monthly E_N values for the undisturbed FT conditions (shown in Fig. 7b) can be multiplied with the respective observed monthly NO_z values (shown in Fig. 8), to obtain the monthly quantity ΔO_3 . Taking into account the respective monthly ozone production efficiency, the quantity ΔO_3 reflects how much ozone would be produced on the way to JFJ if all the observed monthly NO_z concentration at JFJ were obtained from the oxidation of NO_x following the way to JFJ. As can be noted from Fig. 8 the monthly mean values of the quantity ΔO_3 show a rather similar seasonal variation with the respective observed monthly O_3 values (as calculated from the days with undisturbed FT conditions at JFJ) with a peak in April. The ΔO_3 builds up on the monthly ozone

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background as reflected from the respective monthly intercept value of the regression. Hence calculated monthly ozone values were derived from the addition of the monthly ΔO_3 values and the respective monthly intercept values for undisturbed FT conditions. Fig. 8 indicates that these calculated monthly ozone values show good agreement with the observed monthly ozone values for undisturbed FT conditions.

The evidences from Fig. 7b and Fig. 8 highlight the great potential and importance of photochemical ozone production in the FT during the spring season, thus indicating a key and possibly dominant role for photochemistry in the observed build-up of tropospheric ozone in the winter-spring transition period. This is in line with the findings of FREETEX campaigns between 1996 and 2001 (Zanis et al., 2003).

4 Summary and conclusions

In this study we investigate the seasonal variability of ozone productions efficiencies (E_N) at the high-alpine research station Jungfraujoch (JFJ) based on continuous measurements of nitrogen oxide (NO), nitrogen dioxide (NO_2), total reactive nitrogen (NO_y) and ozone (O_3) carried out regularly for a seven-year period (1998–2004). This dataset is a unique long-term data series of nitrogen levels in the FT over Central Europe and hence it provides an excellent opportunity to perform such an analysis.

A striking feature is the monthly peak values of NO_y , NO_2 , and NO_x in April, which is a consistent feature for most of the individual years between 1998 and 2004 in accordance with the CO observations. This feature cannot be associated to thermally induced vertical transport from the boundary layer but rather to larger scale transport processes of polluted air masses from regional to hemispheric scale.

Experimentally derived daily E_N values have been selected for 571 days out of the 2557 days from 1998 to 2004, from which an average ozone production efficiency of 18.8 ± 1.3 molecules of O_3 produced per molecule of NO_x oxidized was calculated. This E_N value, which is comparable with the E_N value of 21.5 ± 1.9 derived from the monthly means of O_3 and NO_2 at JFJ from 1998 to 2004, indicates the great potential and

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importance of photochemical ozone production in the FT. In agreement with theoretical steady-state calculations, these experimentally derived daily E_N values exhibited a general decreasing exponential trend with increasing NO_y/CO ratio, a parameter to assess the aging process that has occurred in an air parcel.

5 The monthly means of experimentally derived daily E_N values showed a seasonal variation with lower values from May to August, which can be partially attributed to more efficient vertical transport of polluted air masses from the atmospheric boundary layer up to JFJ during the warm period. Both experimentally and theoretically derived E_N values demonstrate a similar seasonal variation.

10 Taking into account that undisturbed FT conditions are always accompanied by the lowest NO_y/CO ratios, we used the 1st and 3rd quartile values of this ratio to disaggregate the experimentally derived daily E_N values into two classes for disturbed and undisturbed FT conditions at JFJ. The monthly means of experimentally derived E_N values for the undisturbed FT conditions are generally higher than the respective monthly E_N values for the disturbed FT conditions except in November, which reflects the expected decrease of E_N with increasing concentration of oxidized nitrogen and pollution levels in the disturbed FT conditions. The monthly mean E_N values for the disturbed FT conditions are relatively constant and remain around 10 for all months from February to October while the monthly E_N values for the undisturbed FT conditions show a distinct seasonal cycle with higher values in the cold season from November to April.

20 The monthly E_N values of March ($E_N=35.8$) and April ($E_N=34.9$) are among the highest values throughout the year for undisturbed FT conditions at JFJ. Furthermore, the monthly mean values of the quantity ΔO_3 , which reflects how much ozone would be produced in a month from the oxidation of NO_x to the observed monthly NO_z concentration taking into account the respective monthly ozone production efficiency, show a rather similar seasonal variation with the respective monthly O_3 values with a peak in April. These results highlight the key and possibly the dominant role for photochemistry in the observed build-up of tropospheric ozone in the winter-spring transition period.

25 *Acknowledgements.* We acknowledge that the International Foundation High Altitude Re-

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search Stations Jungfrauoch and Gornergrat (HFSJG), made it possible to carry out our measurements. Measurements were made by the Swiss National Air Pollution Monitoring Network (NABEL) on behalf of the Swiss Federal Office for the Environment (FOEN). The financial support of the NABEL network by FOEN is highly acknowledged.

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Table 1. Monthly, seasonal and annual statistics of the NO_y/CO values for the 571 selected days between 1998 and 2004.

	Min	1stQu	Median	Mean	3rdQu	Max
January	0.0007	0.0025	0.0041	0.0046	0.0069	0.0087
February	0.0015	0.0020	0.0035	0.0046	0.0069	0.0130
March	0.0015	0.0024	0.0041	0.0054	0.0070	0.0197
April	0.0026	0.0052	0.0075	0.0080	0.0107	0.0163
May	0.0032	0.0053	0.0070	0.0079	0.0093	0.0163
June	0.0028	0.0054	0.0081	0.0083	0.0112	0.0160
July	0.0027	0.0075	0.0093	0.0102	0.0128	0.0219
August	0.0028	0.0062	0.0076	0.0082	0.0094	0.0163
September	0.0025	0.0060	0.0075	0.0083	0.0096	0.0204
October	0.0014	0.0034	0.0045	0.0058	0.0066	0.0150
November	0.0015	0.0033	0.0055	0.0055	0.0067	0.0164
December	0.0013	0.0021	0.0037	0.0042	0.0054	0.0132
Winter	0.0007	0.0022	0.0037	0.0045	0.0064	0.0132
Spring	0.0015	0.0041	0.0062	0.0071	0.0092	0.0197
Summer	0.0027	0.0063	0.0081	0.0088	0.0113	0.0219
Autumn	0.0014	0.0042	0.0059	0.0067	0.0084	0.0204
Annual	0.0007	0.0041	0.0066	0.0071	0.0092	0.0219

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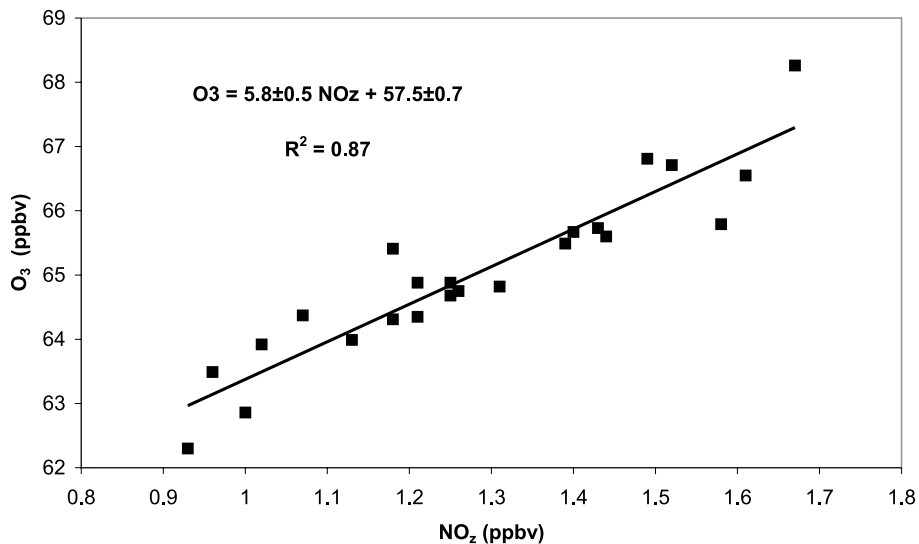


Fig. 1. Scatter plot of half-hourly averages of O₃ versus NO₂ at JFJ from 08:00 to 20:00 UT on 24 July 2003.

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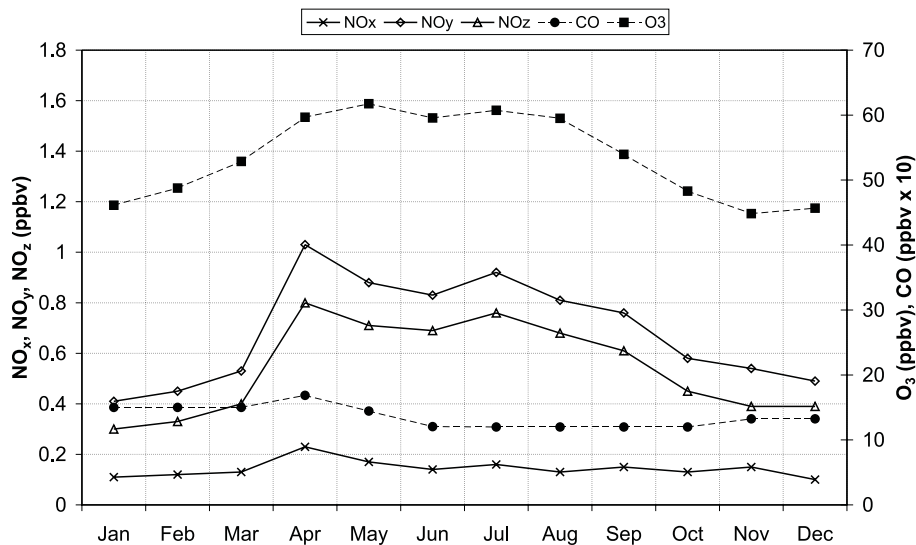


Fig. 2. Median monthly values of NO_x, NO_y, NO_z, CO and O₃ at JFJ over the whole period between 1998 and 2004.

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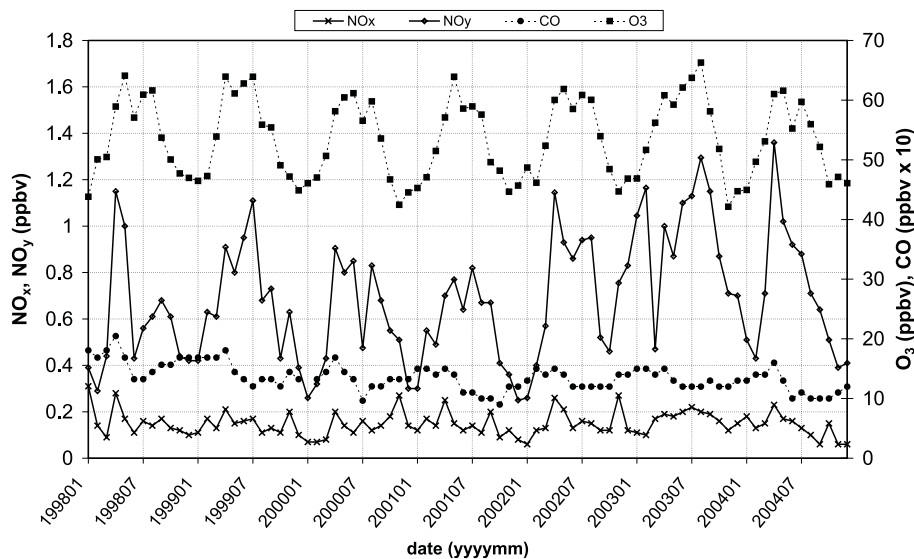


Fig. 3. Individual monthly mean values of NO_x, NO_y, CO and O₃ at JFJ from 1998 to 2004.

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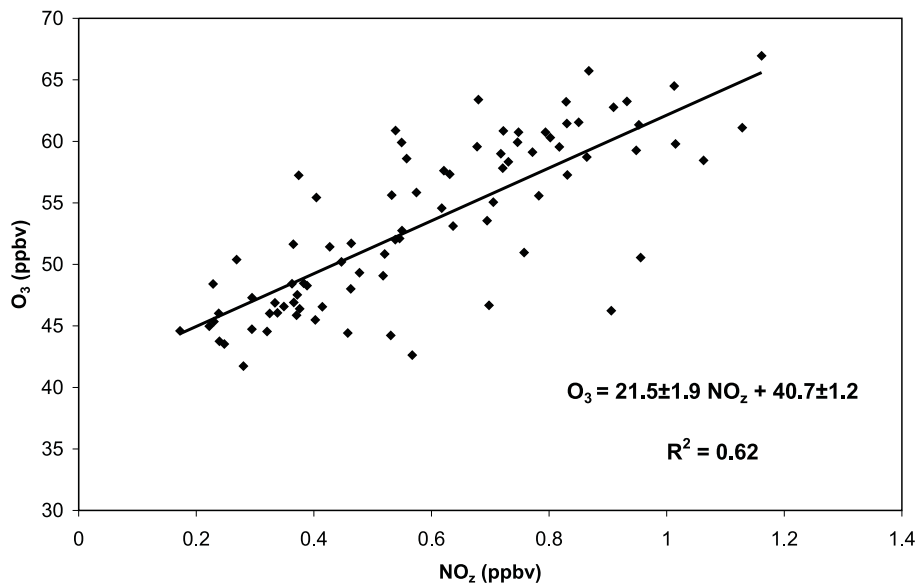


Fig. 4. Scatter plot of monthly means of O₃ versus NO₂ at JFJ from 1998 to 2004.

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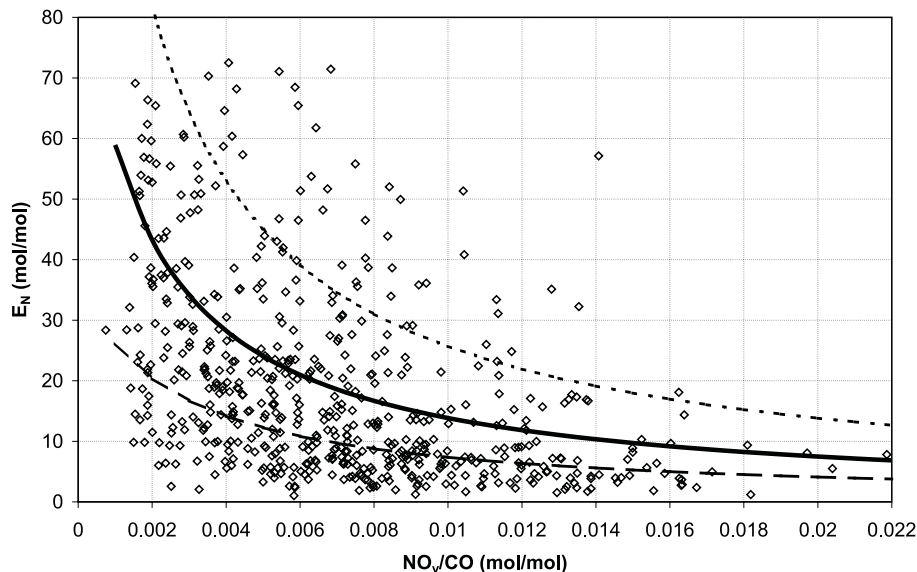


Fig. 5. Scatter plot of the experimentally derived daily values of E_N ($\Delta O_3/\Delta NO_2$) versus the respective daily values of the ratio NO_y/CO for the 571 selected days between 1998 and 2004 following the selection criteria described in Sect. 2.3. The black solid line denotes a theoretical curve of E_N values calculated by the steady state Eq. (2) for varying NO_y/CO by setting the levels of O_3 (median 54.6 ppbv), CO (median 140 ppbv), H_2O (median 3.0 g/kg), temperature (median $-4.8^\circ C$), pressure (median 657.1 hPa), NO/NO_x (median 0.20) and NO_x/NO_y (median 0.20) to their median values from the 571 days selected days. The dotted and dashed lines denote the respective theoretical E_N values by setting the ratio NO_x/NO_y to their 1st quartile value (0.13) and 3rd quartile value (0.31) from the 571 selected days (instead of their median values) while keeping all the other variables (O_3 , CO, H_2O , temperature, pressure) constant to their median values.

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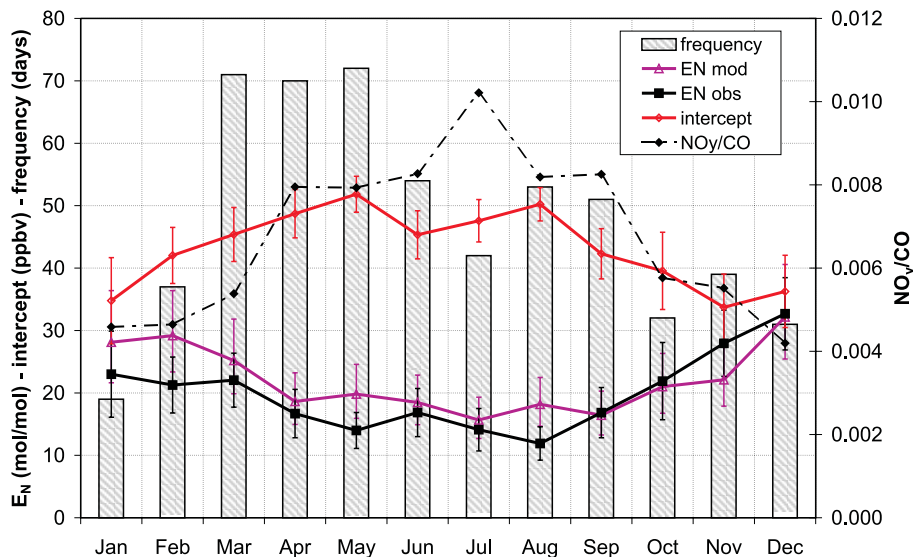


Fig. 6. Seasonal variation of monthly means of experimentally derived E_N ($\Delta\text{O}_3/\Delta\text{NO}_z$) values and of the intercept along with the monthly means of the respective NO_y/CO values. The frequency of the selected days per month, for which daily values of E_N were experimentally derived following the selection criteria described in Sect. 2.3, are also superimposed with grey column bars. Theoretically derived (modelled) monthly E_N values are also demonstrated with open pink triangles. The theoretical E_N values were calculated from the steady state Eq. (2) by setting the levels of NO , NO_2 , O_3 , CO , H_2O , temperature and pressure to their median monthly values from the 571 days selected days between 1998 and 2004 following the selection criteria described in Sect. 2.3. The error bars on the monthly means of experimentally derived E_N denote their 95% confidence interval. The error bars on the theoretical E_N values denote their 10% sensitivity to the median value.

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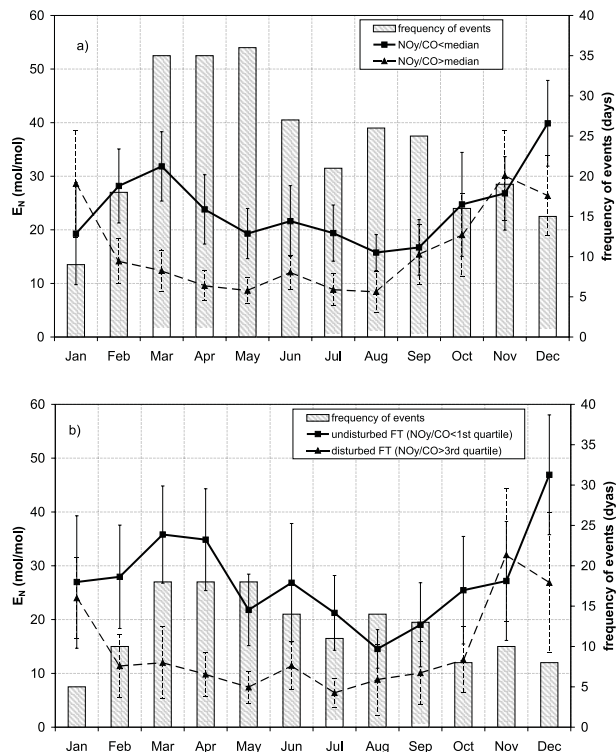


Fig. 7. (a) Seasonal variation of monthly means of experimentally derived E_N ($\Delta\text{O}_3/\Delta\text{NO}_z$) values a) for days that the respective daily NO_y/CO value was lower (or higher) than the median monthly value of the ratio NO_y/CO and (b) for days that the respective daily NO_y/CO value was lower than the monthly 1st quartile value of the ratio NO_y/CO (characterised as undisturbed FT conditions) or higher than the monthly 3rd quartile value of the ratio NO_y/CO (characterised as disturbed FT conditions). The frequency of the days per month from which the respective monthly E_N values were calculated is superimposed with grey column bars. Error bars denote 95% confidence interval of the monthly mean values of E_N .

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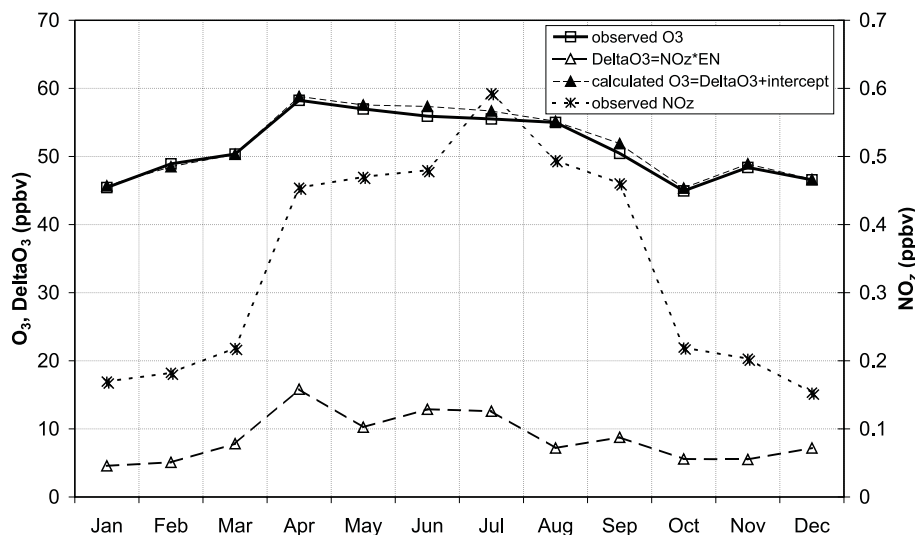


Fig. 8. Seasonal variation of monthly means of observed O₃ (solid squares) and NO_z (asterisks) concentrations calculated from the days with undisturbed FT conditions at JFJ from 1998 to 2004. With open triangles are illustrated monthly Δ O₃ values derived from the multiplication of the monthly experimentally derived E_N values for undisturbed FT conditions with the respective monthly observed NO_z values. With solid triangles are illustrated calculated ozone values derived from the addition of the monthly Δ O₃ values and the respective monthly intercept values for undisturbed FT conditions.

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