## 1 Anonymous Referee #1:

2

3 <u>General comments:</u> The authors analyze datasets from a number of studies to examine the 4 influence of biomass burning (BB) particles on Arctic clouds. It is a difficult undertaking,

4 influence of biomass burning (BB) particles on Arctic clouds. It is a difficult undertaking,
 5 since there are not only many datasets but also many different instruments. The subject is

6 important, for the reasons the authors discuss, and I think the authors have done a good

- 7 job of estimating some potential effects of BB particles on Arctic clouds as the title
- 8 describes.
- 9 Thank you.

10 That said, there are improvements needed before the paper is worthy of publication in

11 ACP. The estimates of radiative forcing need to be clarified, as does the use of the term

12 "background", and there is a lot of speculation made in Section 3.3 that is not

13 substantiated by the observations and adds considerably to the length of the paper.

- 14 Detailed comments follow.
- 15 *Please see our responses to the individual detailed comments below.*
- 16 <u>Major comments:</u>

17 1) The radiation forcing estimate given in the abstract, discussed on page 22844 and

again in the conclusions needs clarification. On Page 22844, you say "Therefore, the -2 to

19 -4 W m<sup>-2</sup> range is only applicable in the subarctic in some conditions. Nonetheless, this

20 estimate at least provides a rough indication of how important these effects might be."

21 Putting aside the surface albedo, is the -2 to -4 W m<sup>-2</sup> estimate for local effects by BB on

clouds, or is it based on some anticipated coverage of the Arctic by clouds and BB

23 plumes? Also, most of the observations were from studies conducted during springtime.

Is your forcing calculated for the spring or does it include the summer too when the sun is

- 25 higher and the albedo is lower? Please elaborate.
- 26 Thanks for pointing out that this was unclear. We now add more detail and 27 supporting information, as follows (with changes in bold): 28 29 Section 3.2 30 Based on model output by McComiskey et al. (2008) (their Fig. 2a), we estimate that given the case study median ACI value of 0.05, the smoke-derived cloud 31 32 albedo effect on summertime local shortwave radiative forcing could be between -2 to -4 W m<sup>-2</sup> for regions with surface albedo of  $\sim 0.15$ . Typical 33 34 shortwave spectrum broadband (0.3–5.0 μm) albedos over subarctic Canada 35 range from ~0.09-0.17, compared to ~0.23-0.71 in the winter (Davidson and 36 Wang, 2005); thus, any local forcing in other seasons from smoke ACI effects 37 would likely be reduced, compared to the summer. The McComiskey et al. 38 (2008) output was **also** based on the assumption of homogeneous, unbroken clouds with CCN concentrations of 600 cm<sup>-3</sup>, a LWP of 50 g m<sup>-2</sup>, and a cloud base 39 40 height of 500 m. Such surface albedo and cloud/aerosol conditions are similar to 41 some of the summer terrestrial conditions sampled over Canada during ARCTAS-

- 42 B. The summer subarctic biomass burning clouds we describe from ARCTAS-B 43 CCN and LWP levels bracket the model's assumptions, ranging between 1-94 g  $m^{-2}$  and 68-6670 cm<sup>-3</sup>, respectively. However, cloud base heights were typically 44 higher than the model assumed-500 m, and although unbroken clouds are 45 observed there, the ACI value we use was determined in a broken cloud system. 46 47 Periodic broken cloud conditions, cloud heterogeneity (McComiskey et al., 2008), and the patchiness of smoke will all reduce the net cloud albedo radiative forcing 48 over wider spaces and times. Therefore, the -2 to -4 W  $m^{-2}$  range is only 49 50 applicable in the subarctic in some summertime conditions. Nonetheless, this 51 estimate at least provides a rough indication of how important these local 52 effects might be during the most relevant time periods (i.e., when burning is 53 most likely to occur).
- 54 *Changes to abstract text are as follows:*

"Using our calculated ACI values, we estimate that the smoke-driven cloud
albedo effect may decrease local summertime shortwave radiative flux by 2–4
W m<sup>-2</sup> or more under some low and homogeneous cloud cover conditions in the
subarctic, although the changes should be smaller in high surface albedo regions
of the Arctic."

60 *And changes to text in the conclusions are as follows:* 

61 "Based on a previous model study by McComiskey et al. (2008), the ACI value of
62 0.05 from the case study suggests that smoke may reduce local summertime
63 radiative flux via the cloud albedo effect by between 2-4 W m<sup>-2</sup> or more under
64 low and homogeneous cloud cover conditions in the subarctic. At higher
65 latitudes where surface albedo is already high, the impact on radiative flux is
66 likely to be smaller."

67 2) Section 3.3 uses four pages and five figures to suggest that coagulation of particles 68 associated with a clean environment might influence the hygroscopicity of BB particles 69 by up to 10-20%. It relies on one reference (Lohmann and Leck, 2005) and later adds a 70 second (in section 4: Lawler et al) to suggest the hygroscopicity of smaller particles in the 71 Arctic may be relatively high. This process may be worthy of mention, but there are 72 many things discussed in this section that are speculative without sufficient justification; I 73 have made several specific comments about this below. The presentation related to this 74 section needs work, and overall I feel it detracts from the main aspect of the paper 75 already presented. This section really forms the basis for a different paper, and I think it 76 should be treated that way or the presentation should be made much more concise.

We have now made this section much more concise. We have reduced the text
from 10 to 4 paragraphs, we have removed figures 11-13, and we have condensed
figures 9 and 10 into one figure. A figure has also been removed from the
associated Appendix (now Appendix A). To better substantiate our case, we have
taken into account the references that the reviewer gave us in the specific

comments below (Leaitch et al., and Tunved et al), and have added various other
references as well. To reduce speculation, we have better clarified the conditions
in which the process in discussion could matter (e.g., summertime Arctic regions
with dilute smoke, and subarctic areas where dilute smoke is mixing with oceanic
air masses).

87 Furthermore, because the reviewer suggested making this section more cohesive 88 with the rest of the paper, we have reframed the discussion as more of an 89 uncertainty for the ACI value at dilute smoke concentrations. Framing the 90 discussion in this way makes this section more seamless with the rest of the 91 discussion. Simultaneously, it allows us to keep in the information we think is 92 relevant to this work and it also allows us to address the portion of reviewer's 93 comment #3 below where it was suggested that we add more discussion on diluted 94 smoky air masses. Please see responses to the specific comments 21-25 below 95 and the new section 3.3 and new Appendix A for more details.

96 3) Use of the term "background". Page 22833, lines 17-21 – These concentrations are 97 high, particularly the sulphate and BC values. They are not "background" values. The 98 sulphate and BC values (<0.9 and <0.3) represent Arctic Haze. They are reference values for your BB assessment, but the use of the term 'background' is inappropriate. Page 99 100 22834, lines 1-7 – The CO levels mentioned here are clearly not background values. They 101 too are simply reference values for BB. Values of 0.2 ppb of acetonitrile can be found 102 over the ocean (e.g. de Gouw et al., J. Geophys. Res., 108, 2003). On page 22835, line 3, 103 you put background in parentheses, whereas everywhere else it is not. Replacing 104 background, everywhere used, with "reference" would suffice. Additional related 105 comment - You appear to be mostly considering direct hits of the BB plume on the cloud. 106 But BB plumes may disperse and dilute leaving lower concentrations of BB particles 107 available to still influence cloud, and such influence could be relatively more significant 108 in the long run (e.g. less impaired by competition for water vapour).

## 109 Since there are multiple related points in this comment, we will address them 110 individually, in a-d below:

a) Page 22833, lines 17-21 – These concentrations are high, particularly the sulphate and
BC values. They are not "background" values. The sulphate and BC values (<0.9 and</li>
<0.3) represent Arctic Haze. They are reference values for your BB assessment, but the</li>

- 114 use of the term 'background' is inappropriate.
- 115Thanks for pointing out that mistake there was actually a typo here. The values116for  $SO_4^{2-}$  and BC should have been listed at 0.3  $\mu$ g m<sup>-3</sup> and 0.12  $\mu$ g C m<sup>-3</sup>,117respectively. Although the  $SO_4^{2-}$  background cloud data weren't shown in the118original ACPD paper, if one were to back-calculate from the ln(BC) data119presented in Figures 6 and 7, one can see that that BC data never rose above this120point in "background" clouds.

b) Page 22834, lines 1-7 – The CO levels mentioned here are clearly not background
values. They too are simply reference values for BB.

| 123<br>124<br>125 | Here we disagree. Before explaining why, we first just to provide a little more clarification on our methods. The CO, $CH_3CN$ , BC, and $SO_4^{2^2}$ data were only used for classifying "background" air masses in ARCTAS – as stated in the text, |
|-------------------|--|
| 126               | in all other campaigns a "background" classification was obtained by using a   |
| 127               | $CN_{PCASP}$ concentration of <127 particles cm <sup>-3</sup> . We feel fairly confident that this   |
| 128               | non-ARCTAS particle concentration cutoff really does indicate background   |
| 129               | conditions, as based on the information in Table 6, which shows that ARCTAS  |
| 130               | pollutant levels in air masses that satisfy this criterion (based on $CN_{PCASP}$  |
| 131               | equivalent data) are all well below literature reported "background"   |
| 132               | concentrations for the Arctic. Note also that the values of $SO_4 < 0.3 \ \mu g \ m^{-3}$ and  |
| 133               | $BC < 0.12 \ \mu g \ C \ m^{-3}$ we used for ARCTAS data are also well below literature  |
| 134               | reported concentrations – again, our apologies for the typo previously. Secondly,  |
| 135               | we also wanted to mention that within ARCTAS, "background" air was not based   |
| 136               | solely on $SO_4$ and BC values. To be classified as background, the air masses also  |
| 137               | at the <u>same time</u> had to have CO concentrations $< 123$ ppbv and CH <sub>3</sub> CN levels $<$   |
| 138               | 0.14 ppbv.   |

- 139 Regarding the references listed on Page 22834, lines 1-7 (Lathem et al. (2013), 140 Moore et al. (2011), and Lance et al. (2011)), CO cutoffs of 160-170 ppbv were 141 used along with CH<sub>3</sub>CN cutoffs of 0.1 ppbv. In these publications, these cutoff 142 values were not used by the authors of those studies merely as reference values 143 for comparison to polluted cases, but specifically as classification criteria for 144 "background" or "clean" air masses. To clarify why the authors considered these values "background" and to provide more information on why we chose the 145 146 123 ppbv CO value for our threshold, we now add the following text:
- 147 "For comparison, Lathem et al. (2013) and Moore et al. (2011) defined 148 background air masses as having CO and CH<sub>3</sub>CN values at <170 ppbv and 0.1 149 ppbv, respectively, and Lance et al. (2011) used a criterion of ~160 ppbv CO. 150 Such high background CO values are observed periodically over springtime 151 Alaska due to higher emissions from Asia during spring and reduced 152 photochemical loss during winter months (Brock et al., 2011). In 2008 153 specifically (during a similar time period as ARCTAS-A), background CO was 154 elevated further due to unusually early and frequent Asian wildfires that year 155 (Moore et al., 2011). However, background Arctic CO levels can frequently be 156 lower than these values. For example, during a separate summer campaign in 157 2011 over eastern Canada, Sakamoto et al. (2015) observed and used a lower 158 background CO threshold of 120 ppbv. Our chosen CO threshold of 123 ppbv, 159 was chosen in part because it enabled the use of a consistent value to 160 characterize background conditions across the wide temporal and spatial 161 region covered during ARCTAS."
- 162To determine background conditions, we not only used a CO cutoff of 123 ppbv,163but we also combined the gaseous tracer criteria (CO and  $CH_3CN$ ) with a164complementary combination of aerosol tracer criteria (SO<sub>4</sub> and BC), making our165ARCTAS classification of "background" air as or more rigorous than any other

similar study for this region that we are aware of. For the various reasons listed
above, we believe the term "background" is appropriate, and have kept it in.

168 c) Values of 0.2 ppb of acetonitrile can be found over the ocean (e.g. de Gouw et al., J.
169 Geophys. Res., 108, 2003).

170 Values of CH<sub>3</sub>CN up to 0.2 ppbv have been observed over the ocean in some mid-171 latitude locations such as in the de Gouw et al. study mentioned above. However, 172 in the Arctic, observations suggest that the range of background acetonitrile data 173 is closer to  $\sim 0.050$  ppb in the marine boundary layer to < 0.140 ppb in the free 174 troposphere (e.g., Warneke et al. (2009); Kupiszewskiet al., (2013); A. Wisthaler, 175 unpublished data). For the reader's reference, the Kupiszewskiet al. and 176 Warneke et al. references have been added into the discussion of background 177 *CH*<sub>3</sub>*CN* as follows:

- "Although for simplicity we define a single background Arctic CH<sub>3</sub>CN level here,
  background CH<sub>3</sub>CN can range from ~0.050 ppbv in the Arctic marine boundary
  layer to ~0.14 ppbv at altitudes of ~8 km (Kupiszewski et al., 2013; Warneke et
  al., 2009; A. Wisthaler, personal communication, 2015)."
- 182 183 However, even in the unlikely case that Arctic background CH<sub>3</sub>CN levels ever did 184 reach levels as high as 0.2 ppbv, we do not believe it would affect any of our 185 results in a meaningful way. For a background classification, it only means that 186 we are being more conservative in our cutoff when we say nothing with CH<sub>3</sub>CN 187 values > 0.14 ppbv can be classified as background. For smoke cases, an ARCTAS CH<sub>3</sub>CN value of 0.2 ppbv alone was not enough for a smoke 188 189 classification; CO, SO<sub>4</sub>, and BC also had to be elevated (in ISDAC criteria were 190 based on SPLAT II particle chemical composition). We now add a line to section
- 191 *2.4 mentioning this to the reader, with new text in bold.*
- "ARCTAS "biomass burning" influenced air masses were classified following the
   procedure of Lathem et al. (2013), where BB-influenced air masses have
   concentrations of >175 ppbv and 0.2 ppbv CO and CH<sub>3</sub>CN, respectively. A
   manual scan indicated that aerosol pollutant tracers BC and submicron SO<sub>4</sub><sup>2-</sup>
   were always elevated with respect to background concentrations under these
   conditions in this dataset."
- d) You appear to be mostly considering direct hits of the BB plume on the cloud. But BB
  plumes may disperse and dilute leaving lower concentrations of BB particles available to
  still influence cloud, and such influence could be relatively more significant in the long
  run (e.g. less impaired by competition for water vapour).

## 202That is a good point. In the multi-campaign analysis, it was unfortunately not203possible to include any intermediate conditions between BB and background end204points because we did not have a good way to ensure moderately low particle205concentrations were due to smoke and not some other aerosol source. In the case206study we only had 3 intermediate points between smoky conditions and

- 207 background conditions, and so we did not try to draw conclusions from those
  208 points alone.
- 209This uncertainty from competition for water has already been mentioned in the210last paragraph of methods section 2.5, and we touch on it again in new text in the211discussion (please also see our response to comment #18). In addition to that
- 212 discussion (preuse also see our response to comment 410). In dualition to that 212 discussion, we have now rephrased section 3.3 so that it touches closely upon
- 213 uncertainties in diluted clouds caused by potential enhancements in
- 214 *volume/changes in hygroscopicity from background particles mixing with smoke.*
- 215 We also bring up this uncertainty in the last sentence of the concluding section 4:

## 216 "Future remote sensing or ground-based analyses may be able to more 217 completely address the different impacts of dilute vs. concentrated smoke 218 aerosols in Arctic clouds."

219

220 4) Page 22830-22831, first two paragraphs of section 2.2.2 – There is very little about the 221 qualities of either the CAPS-CAS and the FSSP-100 droplet measurements. The FSSP-222 100 measurements are at least discussed relative to other independent measurements 223 (LWC from hot-wire), but it seems that the CAPS-CAS observations are assumed to be 224 of high quality without any demonstration of such. Based on the LWC and N(liq) in 225 Table 8, the mean size of the volume weighted distribution varies between about 5 um 226 diameter to 3.5 um diameter, which means that about half of the LWC and most of the 227 droplet numbers are below those diameters. How accurate was the CAPS- CAS in 2001, 228 when the measurements were made, at measuring droplets below 5 um diameter? What 229 are the consequences if those measurements are of relatively poor accuracy?

- We now add more information on the quality of the ARCTAS LWC and sizedistribution data in the new text below:
- 232 "LWC was estimated from the CAPS-CAS probe based on integrated volume 233 droplet size distributions between 0.75-50 µm. Throughout this size range, 234 precision was estimated to be 20% within each size bin based on pre-calibrations 235 with sized glass and polystyrene latex spheres. We expect accuracy to also be 236  $\sim$ 20%, since pre-campaign calibrations were performed with spheres of known 237 size, and since post-campaign tests with latex spheres were consistent with the 238 expected sizes. Unfortunately, we could not validate in situ accuracy because 239 simultaneously collected hot-wire probe LWC data were unobtainable due to 240 high noise in out-of-cloud samples. For this reason, in-cloud hot-wire LWC data 241 are not reported here other than to note that they showed qualitatively 242 consistent trends with the CAPS-CAS LWC data."
- 243 We have three sidenotes that pertain to the ARCTAS LWC data as well:
- 244 1) Specifically with regards to the ARCTAS case study ACI values, accuracy is
- less important than precision because the measurement relies on differences
  between smoky vs. background clouds. Where accuracy (vs. precision) would be

- 247 most important for ARCTAS data is in their inclusion into the multi-campaign 248 analysis, where we were comparing across different campaigns that used different 249 instruments. It would also matter where absolute  $r_e$  values were listed (although 250 again here, the focus of the discussion was oriented towards differences between 251 two groups, rather than on the absolute values of the groups). For now, we have 252 not specifically mentioned any uncertainty in the accuracy of absolute  $r_e$  sizes or 253 in the multi-campaign ACI value from ARCTAS values because post-campaign 254 analyses were consistent with expected values.
- 255 2) Just as a minor note to clarify the record, ARCTAS data were taken from 2008, not 2001.
- 257 Since the reviewer also mentioned the FSSP data, we would also like to bring 258 their attention to some new text regarding the FSSP data. This information has 259 been added in response to reviewer #2, who wanted more information on why the 260 FSSP values were lower than hot-wire probe values in the FIRE.ACE campaigns. 261 In response to that reviewer, we have now changed some of the data input data 262 (now just focusing on the time periods relevant to this study, and not the whole 263 campaign). Doing so allows the stronger relationship with coincident hot-wire 264 probe values during the time periods relevant to this study to become apparent 265 (see the new Table 5). For the NRC FIRE.ACE data, we also now use a different 266 FSSP data source, which after some additional analysis (see response to reviewer 267 2's question 2), we believe to be a more reliable data source. The use of this new 268 data source has improved the correlation with the hot-wire probe data. New text 269 with more information on the FSSP measurements has been added into section 270 2.2.2, as follows:
- 271 "During the **UW and NRC** FIRE.ACE campaigns, LWC was determined from 272 droplet size spectra gathered from Forward Scattering Spectrometer Probe 273 (FSSP-100) measurements for particles with diameters between 0.5-47  $\mu$ m and 274 5-47 µm, respectively. These measurements are functionally very similar to the 275 CAPS CAS measurements from ARCTAS. During the sampling periods where air 276 mass classification matched the criteria described in section 2.4, the FSSP data 277 had a close relationship to hot-wire probe measurements of LWC for both 278 campaigns (Table 5). For the NRC FIRE.ACE campaign, two FSSP probes were 279 available (serial numbers 96 and 124, denoted hereafter as FSSP-96 and FSSP-280 124). The FSSP-96 is normally recommended for use by the data originators 281 because the FSSP-124 had an intermittent hardware problem during the NRC 282 FIRE.ACE campaign, and because it may have undersized particles >30 µm 283 diameter. In this analysis, the hardware problem did not occur during our time 284 periods of interest, and the FSSP-124 droplet distribution for droplets with 285 diameters within 30-47 µm closely matched those of the FSSP-96. However, 286 the FSSP-124 had higher droplet numbers in particles with diameters < 30 μm 287 compared to the FSSP-96 during the relevant sampling periods used in this 288 study. We believe this discrepancy to be due to a deficiency in the FSSP-96

- 289data during this time period, because the FSSP-96 underestimated King and290Nevzorov probe LWCs by ~23% and 26%, respectively, whereas the FSSP-124291data estimated King and Nevzorov probe data to within 8%, on average (Table2925). Therefore, the FSSP size distribution data reported here for the NRC293FIRE.ACE campaign are based on FSSP-124 data between 5-47 µm."
- The figures and information in the text have been corrected accordingly, but the impact on the results is very small, because there were only 2 distinct cloud cases that matched our background criteria in the NRC FIRE.ACE study. For more information, please also see our response to reviewer #2 (their question #2).
- 298 <u>Minor comments:</u>

5) Page 22831 - A comment on potential artifacts from droplet shattering on the probe
tips (e.g. Korolev et al., B. Am. Meteorol. Soc., 92, 967–973, 2011). The reference is for
ice crystals, but very large droplets may also shatter creating artifact droplets. It is likely
a non-issue for the mostly smaller droplets you measure, but could be important for some
of the reference measurements.

- 304Thanks for this comment and reference. We have added the following text in305section 2.2.2:
- 306 "Note that similarly to ice particles (e.g., Korolev et al. (2011)), very large
  307 droplets may shatter on any of the cloud droplet probe tips. This may introduce
  308 some potential artifacts when droplet sizes are very large (e.g., for some of the
  309 reference measurements available in FIRE.ACE and ISDAC)."
- 310

6) Page 22832, lines 19-22 - Understandable, but the horizontal extent of a cloud and the number of times it will be sampled by an aircraft may be related: it is a tendency in these studies to sample clouds of greater horizontal extent more than smaller clouds. Since larger clouds will have a greater radiative impact, should they not be considered more than smaller clouds? It might be different consideration if you were examining a process only, but you are considering an impact here. Does your approach potentially bias the impact lower?

- 318 That is an interesting question. The reviewer refers to the following passage:
- "In some instances in the multiple-campaign analysis, the same cloud or very
  similar clouds were sampled more than once, often intentionally, either through
  an entire vertical cloud transect or through a portion of a cloud. In order to
  reduce the potential for pseudo-replication in the analysis, transects that were
  deemed to be from the same cloud or from very similar clouds were averaged to
  provide one aggregated profile or r<sub>e</sub> and N<sub>lig</sub> value for those instances."
- 325The reviewer is correct that clouds with more transects did tend to be horizontally326larger clouds in this study.

- However, there are two problems with weighing certain clouds more than others.
  First, we don't have a way to accurately quantify cloud horizontal extent, which
  makes weighting complicated (see (a) below for more detail and discussion). We
  could possibly remove cumuliform-type clouds from the analysis, and estimate the
  ACI in stratiform-only clouds only, but it would result in a significant loss in
  sample size.
- 333 The second problem is that each individual cloud is impacted by some unknown 334 meteorological component; if an individual cloud point were weighted more, the 335 confounding meteorological component would be as well. But if each individual 336 cloud is weighted equally, any non-representative meteorological factors that 337 might skew one cloud are less likely to skew the whole dataset, even if that one 338 cloud had many data points sampled within it. For the reasons above, we believe 339 that attempting to weigh clouds with greater horizontal extent more than other 340 clouds would likely increase rather than decrease the uncertainties in our 341 analysis.
- 342 Finally, regarding whether or not our approach might bias the results lower, we 343 would like to re-clarify that in this study we estimated what the local radiative 344 fluxes would be in homogeneous cloud cover conditions, as based on our 345 collective ACI value and results from the McComiskey et al. (2008) model. 346 Therefore, in this method, smaller clouds were not being considered more for the 347 radiative flux than larger clouds, per se. However, it is true that not all the 348 individual cloud points used to derive the ACI value covered the surface 349 homogeneously. Therefore, we cannot rule out the possibility that patchy clouds 350 or cumuliform clouds might have had different microphysical responses to 351 aerosols, potentially biasing the ACI values (and thus flux estimates) either higher 352 or lower by their inclusion. We have modified the text in section 3.2 of the 353 manuscript to hopefully better clarify this uncertainty:
- 354 "The McComiskey et al. (2008) output was also based on the assumption of 355 homogeneous, unbroken clouds.... However, ... although unbroken clouds are 356 frequently observed in the Arctic and subarctic, the ACI value we use was 357 determined from samples that included some clouds within broken cloud 358 systems, which may possibly have different microphysical responses to 359 aerosols. Periodic broken cloud conditions, cloud heterogeneity (McComiskey et 360 al., 2008), and the patchiness of smoke will all reduce the net cloud albedo 361 radiative forcing over wider spaces and times."
- (a) If we wanted to somehow take horizontal aerial extent into account, we
  would need a way to quantify it, and this would be a very difficult thing to do.
  Video was only available for ARCTAS, and while some combination of flight
  notes and photos were available for the other campaigns, they offer only
  incomplete information on cloud size. Meanwhile, many of the clouds
  sampled were unobservable from remote sensing data because they were
  either present under another cloud layer and/or there were no available data

- 369 at that time for a variety of reasons. From a combination of flight notes, 370 photos, and video, we were able to determine in nearly all instances whether 371 a cloud was stratiform or cumuliform, but were only able to estimate cloud 372 sizes from ARCTAS during the case study, when the entire cloud was small 373 enough to be fully observable by video prior to sampling (and even then 374 sizing was difficult due to the amorphous 3-D structure of the clouds). 375 Without information on cloud horizontal extent, we cannot weight clouds by 376 this information, and do not trust that transect number is an accurate 377 reflection of cloud horizontal extent.
- 378 7) Page 22833, lines 8-15 Do the LWCs relate more to Re or N(liq), which may tell you379 something about the mixing processes?
- 380This is an interesting idea, but unfortunately we had limited data for testing the381influence of homogeneous vs. heterogeneous entrainment in most cases. Only 2382clouds in the multi-campaign analysis had more than 2 transect aggregates with383which to make correlation plots, and even in these 2 cases sample size was low384(n=4 and n=8). In the n=8 smoke case mentioned in the text, there was a closer385relationship with  $r_e$  than  $N_{liq}$ , which does suggest that entrainment might have had386an influence on this particular cloud. The text has been changed as follows:
- Within the multi-campaign analysis, 2 of the 8 biomass burning clouds contained
  aggregated transects, as did 4 of the 16 background clouds. One background cloud
  in the case study included aggregated transects. To assess the impact of cloud
  transect aggregation on our analysis, we calculated differences in ACI values
  using the maximum and minimum values of N<sub>d</sub> within the aggregated samples.
  Calculated differences in ACI values were 1%, indicating that uncertainties
  caused by aggregation had only minor impacts on our results.
- 394 LWC among aggregated clouds was generally similar (within 30% of each other). 395 However, in some cases it was more variable; in one biomass burning aggregation, the set of 8 related cloud transects had LWCs ranging from 0.12-396  $0.54 \text{ g m}^{-3}$ . The relationship of LWC with r<sub>e</sub> suggests that entrainment could 397 have influenced LWC variability within this particular cloud. Although we 398 399 cannot constrain the influence of entrainment to a high degree of certainty 400 within an individual cloud aggregate, as discussed in section 3.1, the ACI 401 values derived across all clouds did not deviate from adiabatic values 402 calculated from cloud parcel theory."
- 403 8) Page 28334, line 8 Here, do you mean high-quality or high-resolution?
- 404 The reviewer refers to the following text:
- 405 "During the two FIRE.ACE campaigns, high quality aircraft chemical data for
  406 completely characterizing air mass sources were not collected...."
- 407 *We will change "high-quality" to "the combination of relevant high-quality*

408 and/or high-resolution" here. There may have been some poor quality CO data 409 and major ion data taken during the UW FIRE.ACE campaign, but after speaking 410 with some of the people present on those flights, the first author was told that the 411 data either didn't actually exist of were of poor quality since a chemist was not 412 onboard the flights to ensure quality (a lot has been forgotten since the campaign 413 took place 17 years ago, and the PI has since passed away). On the NRC 414 FIRE.ACE campaign, mercury, ozone, and SO<sub>2</sub> data were taken, but these tracers 415 were not useful alone in determining with confidence whether a sample with high 416 particle number was primarily impacted by biomass burning or some other 417 aerosol source. Major ion and CHBr<sub>3</sub> data were also collected, but at low 418 temporal resolution. We are not aware of any other relevant high-quality/high-419 resolution chemical data collected during either campaign.

9) Page 22835, line 15 – In the literature, there tends to be a generic use of the term
Aerosol-Cloud Interactions that pervades the indirect effect. Are you not just assessing
the effect of the BB aerosol on cloud? Is there an interactive aspect implicit in what you
are assessing here? You do not deal with deposition resulting from precipitation altered
by the aerosol in a meaningful way, other than to mention it at the bottom of page 22849.

425 A few words of clarification would be helpful.

- Although we did not focus on the implications of our results for precipitation as
  much as we did on their potential radiative impacts, we chose to use the term ACI
  because it is frequently used in the literature to describe our method. However,
  we acknowledge that the term may be a source of confusion because the recent
  IPCC calls ACI the full link between aerosols and climate forcing, and ACI is
  also sometimes called the "Aerosol Cloud Index". Therefore, we now specify
  again in the text that ACI is defined by equation 1.
- 433 *We also try to better explain why "ACI" is used instead of the term "indirect* 434 *effect" by adding in the following text:*

435One common way in which aerosol-cloud interactions (ACI) are quantified is by436assessing how a cloud property changes relative to some aerosol tracer or, in this437case, biomass burning aerosol tracer (BBt). The ACI term as defined by Eq. (1)438was originally labeled the "Indirect Effect" (IE) (Feingold et al., 2001, 2003).439Here, similarly to McComiskey et al. (2009), we use "ACI" instead of "IE" to440differentiate the fact that the metric in Eq. 1 is more directly associated with441aerosol-driven changes to cloud microphysics than with radiative forcing."

- 10) Page 22835, on line 26, you refer to CCN, which is not defined anywhere previously,including the abstract where it is mentioned as CCN. Please define it in the abstract.
- 444 *Done*.

445 On line 28, background values of 0.018 are referred to as being subtracted. What are the 446 units and are you referring to CO or CH<sub>3</sub>CN or something else? 447 We fixed the sentence so that now it is clear we meant 0.018 ppbv for  $CH_3CN$ .

11) Page 22836, lines 21-24 - Both the UHSAS and the APS use sheath air to focus the
particles for detection. The sheath air is normally dried and that can also help with the
drying of the particles prior to detection.

451 *We now include this information:* 

452 "UHSAS and APS measurements are not actively dried like PCASP samples are
453 (Earle et al., 2011; Strapp et al., 1992), but sample humidity decreases
454 significantly upon heating in the cabin and measurements are taken at dry
455 relative humidity; in addition, particles are exposed to dried sheath air prior to
456 detection."

457 12) Page 22837, lines 5-6 – Would you please clarify how this uncertainty can be "fully

457 12) Page 22837, lines 5-6 – Would you please clarify now this uncertainty can be fully
458 eliminated in model simulations"? It reads to me as if we don't need observations, since
459 the model can solve the problem.

- 460 Thanks for pointing that out it certainly wasn't our intention to imply that observations
  461 were not necessary. Even modelers would likely agree that the in-situ data are vital
  462 because they are the most exact microphysical measurements available for model
- 463 *evaluation. The sentence has been rephrased from:*
- 464

465 "A third potential problem is the risk that a snapshot of a cloud in time is not
466 representative of the net cloud properties over its lifetime (Duong et al., 2011).
467 This source of sampling error can only be fully eliminated in model simulations,
468 and it is best minimized in aircraft in situ data by resampling throughout the
469 cloud's life cycle."

470 *to:* 

471 "A third potential problem is the risk that a snapshot of a cloud in time is not
472 representative of the net cloud properties over its lifetime (Duong et al., 2011).
473 Currently, only models can fully characterize cloud lifetime properties, but
474 interpreting the model output can be challenging for other reasons. Within
475 aircraft in situ data, this source of sampling error is best minimized by

- 476 resampling throughout the cloud's life cycle."
- 477 13) Page 22837, line 15 and 17 insert "e.g." in front of these references, here and
  478 elsewhere (22840). The competition process was demonstrated 30 years ago.
- 479 *Done*.

480 14) Page 22838, lines 10-11 – It seems odd that there were no inversions topping the

- 481 clouds. Even in the typically stable environment of the Arctic, the layers will be defined
- 482 by slight inversions. How were they contained?

483 *We now rephrase:* 

484 "With one exception (an ARCTAS-B background case from 8 July 2008), the
485 stratiform clouds were not present below a **strong** temperature or moisture
486 inversion."

487 15) Page 22838, line 19 – It is surprising to see CO up to 500 ppbv classified as out- of488 plume, when the previous discussion referred to much lower values of CO as the
489 reference for non-BB. What was the basis for identifying the plume?

- 490 We think the reviewer is probably referring to page 22839, line 19? If so, the 491 problem here was probably poor wording on our part. We were just trying to
- 491 problem here was probably poor wording on our part. We were just trying to
  492 show non-extreme CO values here and not background concentrations,
  493 specifically. For clarity, we have changed the text from:
- 494 "In Fig. 3, we show that out-of-plume CO (CO < 500 ppbv) is strongly related 495 to the smoke tracer  $CH_3CN$  and that it shows no correlation to the fossil fuel 496 combustion tracer dichloromethane ( $CH_2Cl_2$ )...."
- 497 *To*:

498"In Fig. 3, we show that CO < 500 ppbv is strongly related to the smoke tracer499 $CH_3CN$  and that it shows no correlation to the fossil fuel combustion tracer500dichloromethane  $(CH_2Cl_2)...."$ 

- 501 16) Page 22843, line 6 Is ice "typically well mixed throughout" during the summer?
- 502 *We have taken this sentence out:*

503 "As noted previously, because the aircraft could only sample transects of clouds, 504 we had to assume that the observed cloud phase was representative of the 505 whole cloud. In the case study, all clouds were sampled at temperatures > 0  $^{\circ}$ C, 506 and this assumption holds well. In Arctic stratocumulus clouds, ice is typically 507 well mixed throughout (McFarquhar et al. 2007, 2011). Where we expect this 508 assumption to be most uncertain is in stratiform clouds in the multi-campaign 509 analysis, which might have different properties in far-off, non-sampled portions. Uncertainties are also higher in clouds that were only transected horizontally, 510 511 because mixed phase clouds in the Arctic frequently have vertical layers of ice and liquid particles (Morrison et al., 2012)." 512

- 513 17) Page 22844, line 22 coagulation is usually a term associated with aerosol particles,
  514 whereas cloud processes refer to collision-coalescence.
- 515 *New text reads:*
- 516 "This narrowing is likely to lessen the eventual probability of **precipitation** (Tao
  517 et al., 2012), as is moves median droplet size further away from the 28 μm

- effective diameter threshold at which collision-coalescence processes are
  thought to become efficient enough to induce precipitation (Rosenfeld et al.,
  2012)."
- 18) Page 22848-22849 Can you briefly discuss how does CCN number vs. CCN
  hygroscopicity plays into the impact of BB on the ACI index?
- 523 *New text has been added into the conclusions, as suggested:*
- 524 "For comparison to the multi-campaign analysis, we also analyzed the 1 July 525 2008 ARCTAS case in the subarctic, where multiple clean and smoky clouds were 526 found under similar meteorological conditions. The case study smoke cases had 527 a combination of low cloud LWC, high in-plume aerosol concentrations, and very 528 small cloud droplets. From these samples we derived an ACI estimate of 0.05 529 (95% confidence interval 0.04-0.06), which is smaller than that of the multi-530 campaign analysis. Based on theory (e.g., Moore et al. (2013)), as the number 531 of smoke CCN increases (through some combination of enhanced aerosol 532 number and/or increased hygroscopicity for existing particles), there is greater 533 water vapor competition. This competition makes supersaturation 534 development and cloud droplet activation increasingly difficult, which would 535 reduce ACI values. Therefore, we speculate that the 0.05 ACI case study value 536 falls at the low-end of typical smoke ACI values for the larger subarctic/Arctic 537 region. Reductions in droplet activation and potential enhanced evaporation 538 would also limit the maximum magnitude of smoke cloud albedo effects."
- 539 19) Page 22850, lines 20-21 sulphates are not necessarily "an additional organic
  540 component".
- 541 *Thanks for pointing out that typo. We have changed the text from:*

542 "Interestingly, previous studies have indicated that Arctic smoke aerosols also
543 sometimes contain an additional organic component likely to be derived from
544 smaller, non-biomass burning particles **such as** sulphates and marine particles
545 (Earle et al., 2011; Zelenyuk et al., 2010)."

- 546 *To*:
- 547 "Interestingly, previous studies have indicated that Arctic smoke aerosols also
  548 sometimes contain an additional organic component likely to be derived from
  549 smaller, non-biomass burning particles **mixed with** sulphates and marine
  550 particles (Earle et al., 2011; Zelenyuk et al., 2010)."
- 20) Page 22851, line 1 change "condensation of external particles onto" to "coagulation
  of external particles with".
- 553 *Done*.

554 Specific comments related to Section 3.3

555 21) Page 22845, lines 11-13 – Please add to your references: Leaitch et al., Elementa, 556 (2013) and Tunved et al., ACP, (2013).

557 Done, and an additional reference, O'Dowd et al. (2010) GRL, has also been 558 added. Thanks, we had not previously been aware of those relevant references 559 and they were very helpful in restructuring this section.

22) Page 22845, lines 16-20 – During the time of Arctic Haze influence, 1) there are
generally few particles smaller than about 80 nm, and 2) the presence of the larger
particles inhibits the formation of smaller particles. So when the aerosol is dominated by
Arctic Haze or BB influences, the "small background aerosols" are not directly
significant for liquid cloud formation. However, during the summer, the air is quite clean
and there is potential for such small particles to be important for clouds (e.g. Leaitch et
al., Elementa, 2013). Please do not generalize here.

- 567The entire section has been substantially re-written, and the specific text the568reviewer refers to has not been included. However, we have made an effort to569better clarify the conditions in which the process in discussion could matter by570adding in the following in the new text:
- 571 "It is important to note that these small background particles are not ubiguitous 572 throughout the year. They tend to accumulate mainly in the spring and summer, 573 which is thought to be due to a combination of three reasons: 1) there is more 574 sunlight available for the photochemical reactions key to new particle formation 575 (Engvall et al., 2008; Tunved et al., 2013), 2) reduced sea ice and enhanced 576 primary production likely lead to greater emissions of marine precursor gases 577 and nanogels (Leaitch et al., 2013; O'Dowd et al., 2010; Tunved et al., 2013), and 578 3) during Arctic summer there tend to be fewer larger particles like smoke for 579 these small particles to coagulate and condense upon. However, Arctic 580 summertime smoke events do occur (e.g., Fuelberg et al. (2010); Iziomon et al. 581 (2006)) and may be increasing (Moritz et al., 2012). In subarctic regions, 582 wildfires actually peak in the summer (Giglio et al., 2006). Thus, while the 583 influence of the small background particles on subarctic and Arctic smoke ACI 584 values is probably fairly minor, it is possible that deviations from the linear ACI expectations derived here might occur during dilute summertime Arctic smoke 585 586 events and in subarctic locations, especially where diluted smoke mixes over or 587 near marine environments."
- 588

23) Page 22846, line 3 – Why do you use backscatter here instead of total volumetric
scatter? The relative backscatter is higher for smaller particles, but their total scatter is
generally smaller reducing sensitivity to them. What is the detection limit for the

- 592 backscatter observations?
- 593

As suggested, we now use submicron scatter (total scatter was not available in

ISDAC). This change does not substantially affect our results in any way. Note
that because it was requested that we reduce space in section 3.3, this figure has
been combined with Figure 10 and the ARCTAS-A data have been removed. Also,
to show the full dataset more clearly we have now plotted the data on a log-log
plot (see new figure 9). Detection limits are listed in Table 1.

599 24) Page 22847 – The discussion of the rapid change in CN is hampered by 1) the 600 absence of a discussion of the possibility of new particle formation (NPF) aided by a 601 sharp reduction in the condensation sink (as indicated by the APS and OA; the 602 backscatter observations appear to have a delayed response relative to the OA), 2) the 603 failure to plot the data as vertical profiles rather than time series. It is difficult to 604 understand from the time series the regions of mixing/transition region(s) in which the 605 coagulation is apparently taking place. If you must retain this discussion, please make it 606 easier for the reader by plotting the data as vertical profiles. The explanations that "Such 607 a rapid change in CN(TSI) concentrations could be explained by either a sharp non-608 mixing transition zone or by rapid coagulation of the small particles onto the larger haze 609 particles" seems to avoid the possibility that NPF associated with a small condensation 610 sink may explain the rapid increase in CN. Certainly small particles will coagulate with larger particles if present together, but it seems that these layers are relatively de-coupled 611 612 and that the higher CN concentrations after 69500 are more likely to be the result of NPF 613 in very clean air.

614 *As suggested, this portion of the text has been taken out. It has been replaced with* 615 *other references that better demonstrate the possibility of coagulation.* 

616 25) Page 22848, lines 5-21 - Were there any CCN measurements of the BB particles that
would suggest larger hygroscopicities (kappa values) than expected for a "pure" BB
aerosol, exclusive of sulphate? How important an influence on the hygroscopicity would
this coagulation be relative to the smaller amounts of sulphate found in the BB particles?
You mention sulphate in Section 4, but not here.

621 *We now add the following text in bold.* 

622 "Interestingly, the small marine particles appear to be fairly hygroscopic (Lathem
623 et al., 2013; Lawler et al., 2014; Zhou et al., 2001), and they can be surface active
624 (Lohmann and Leck, 2005). One study using ARCTAS data showed that
625 background aerosol values of the hygroscopicity parameter, κ, were on
626 average nearly two times higher than average smoke κ values (0.32 ± 0.21 vs.
627 0.18 ± 0.13, respectively), although there was a high degree of variability and
628 overlap in the κ values (Lathem et al., 2013)."

- Regarding sulphates, we now add the passage the reviewer referred to in their
  comment above into the new section 3.3:
- 631 "Previous studies also suggest that the small particles can condense upon larger
- 632 particles (e.g., smoke) when such particles are present (Leaitch et al., 2013; Tunved et
- al., 2013). This coagulation process may explain why **Arctic smoke aerosols have been**

- 634 shown to sometimes contain organic components likely to be derived from smaller,
- 635 non-biomass burning particles mixed with sulphates and marine particles (Earle et al.,
- 636 **2011; Zelenyuk et al., 2010).**"