

1 We thank our two referees for helpful comments and suggestions. Below we provide  
2 responses to each individual comment. The comment is underlined in order to differentiate  
3 from the response.

## 6 **Responses to Referee #1**

8 Comment 1, Abstract, p.3, l.3: Molecular clusters do not form by nucleation, but by molecular  
9 interactions. This is named nucleation once it overcomes the nucleation barrier (critical size).  
10 Reformulate!

11 The text in the Abstract has been reformulated as “During an NPF event, particles first form  
12 by nucleation and then grow further in size.”

13 Text in the Introduction has also been reformulated with respect to the definition of nucleation.

15 Comment 2, p.3, l.3: growth by condensation is one part of the whole process. As the Kelvin  
16 effect hinders condensation at size ranges especially below 5-10 nm, which is critical for the  
17 nucleation to occur, other processes contribute as well that cannot be named condensation:  
18 (i) coagulation and coalescence, (ii) dissolution in particle mass or water (Raoult effect) and  
19 (iii) reactive attachment (e.g. polymerization). Please reformulate "by the uptake of vapours".

20 The text “grow further by condensation” is removed. A new sentence “Among various physical  
21 and chemical processes contributing to particle growth, condensation by organic vapors has  
22 been suggested to be important.” is added.

24 Comment 3, p.3, l.10-11: "suggesting missing atmospheric sulfuric acid sources" is probably a  
25 too strong statement as this may be caused by false assumption of sinks too. Common  
26 models assume a negligible saturation vapour pressure of sulfuric acid, which is according to  
27 literature not exactly true. As vapour pressures are functions of temperature this is expected  
28 to vary notably throughout the day. Please add the potentially different sink terms as well. The  
29 calculated OH concentration is one of the most critical in this respect, as OH reacts with  
30 nearly any species available except a handfull of substances. There might be an additional  
31 OH production via the ozonolysis of alkenes and the hydroperoxide channel. This impacts on  
32 the simulation approach via a specific assumption during night and early morning as  
33 investigated by the co-authors earlier on: E.g. all the monoterpenes are treated in a certain  
34 mixture out of three types,  $\alpha$ -pinene,  $\beta$ -pinene and limonene which even provides a huge  
35 amount of species and reaction speeds. However, the real mixture is more complex with

36 some notable differences in OH production and the individual terpenoid contributions may  
37 vary notably throughout the day affecting OH-cycling.

38 We agree with the comment about sulfuric acid and the overestimation of sink term has been  
39 discussed in the result part. The text in the Abstract is now modified as “With the latest  
40 Criegee intermediates reaction rates implemented in the chemistry scheme, the model  
41 underestimates sulfuric acid concentration by 50%, suggesting either missing sources of  
42 atmospheric sulfuric acid or an overestimated sink term.”

43 The chemistry scheme employed, as has been explained in Section 2.2 (p.9041, l.14-28),  
44 takes the full MCM paths for major known organic compounds, including the dominant local  
45 biogenic emitted compounds, MBO and monoterpenes. The full paths are available for alpha-  
46 pinene, beta-pinene and limonene, which altogether account for approximately 62% of the  
47 measured sum of monoterpenes (Ortega et al., 2014). For other monoterpenes and  
48 sesquiterpenes, whose full MCM paths are not available, we have included the their first order  
49 oxidation reactions. Thus the chemistry scheme does not approximate monoterpenes to  
50 consist of only alpha-pinene, beta-pinene and limonene, but indeed there is uncertainties due  
51 to unknown reactions. The emission factors used to simulate the monoterpenes emissions  
52 are specified for different species including myrcenen, sabinene, limonene, 3-carene,  
53 ocimene, alpha-pinene, beta-pinene and other monoterpenes (Harley et al. 2014). So the  
54 modeling work has tried to include the most available knowledge related of the oxidation cycle  
55 of biogenic organic compounds. Due to limited knowledge in anthropogenic organic  
56 compounds, for example the chemistry related to Toluene is omitted, and other unknown  
57 organic compounds which react with OH, the modeled OH is indeed not accurate. However,  
58 the result is based on the best knowledge by the time of conducting the model simulations.

59

60 Comment 4, p.3, l.11ff: The impact of MBO+OH is a very nice result! But it's hard to  
61 understand the specific processes. MBO has got a molar mass of 86 g/mole with a single  
62 hydroxyl group that doubles during the reaction with OH. However no organic compound with  
63 5 carbon atoms being a dialcohol will presume a saturation vapour pressure or even  
64 partitioning coefficient for the early stage of particle formation. So understanding seems only  
65 possible if treating MBO as a marker for BVOCs (oxidized VOCs)+OH. Thus, MBO is the  
66 wrong candidate at the right place at the time of interest with a similar behaviour. This results in  
67 multifunctional organic peroxy radicals leading to some kind of polymerization. There are  
68 multiple of articles on this point. Do the authors have any suggestion about the involved  
69 processes? If so, please name it to focus future investigations!

70 The oxidation products of MBO included in the lump sums for aerosol simulation have molar  
71 mass range 135 to 180 g mol<sup>-1</sup> and most of them have 5 carbons. We agree that it is possible  
72 that MBO is the wrong candidate at the right place and we have thus stated in the Conclusion  
73 (p.9052, l.24-25) that “The compounds (responsible for the particle growth) should have a  
74 similar daily pattern and concentration level as the OH oxidation products of MBO”. We do not

75 have any concrete idea about the possible reaction candidates for MBO. Two experimental  
76 works by Zhang et al., 2012 and 2014 showed possible evidence of the role of MBO in SOA  
77 formation at the modeling site, Manitou Experiment Forest Observatory. Further work is  
78 needed to specify the responsible organics (direct emitted or reaction products from MBO)  
79 which contribute significant to the growth of particles during daytime at this station. This would  
80 need further experimental and theoretical work and is not in the frame of this manuscript.

81

82 Comment 5 Model validation for meteorology and chemistry, p.13/14: The model SOSAA  
83 seems to underestimate the mixing layer height notably. This is not a local phenomenon but  
84 applies at different sites in a similar way. Could the authors briefly indicate about the  
85 magnitude of the impact of this on the calculated results e.g. by taking measurements instead  
86 of calculations with interpolation in between the observations? This would cause a different  
87 dilution and different deposition rates.

88 The boundary layer height in the model is not used to calculate the turbulent mixing or dilution  
89 of scalar quantities. The boundary layer height represented is a diagnostic parameter  
90 calculated from the structure of turbulence in the model, and changing it will not change the  
91 properties of turbulence in the model. In other words in the model turbulent mixing governs BL  
92 height, not another way around. However, we agree that higher BL heights could dilute the  
93 concentrations of emitted organic compounds significant, but with our model setup it would  
94 not be possible to investigate this topic.

95

96 Comment 6, p.15: Different timescales for mean daily pattern of compounds seems very  
97 critical. If possible the same time frame should be applied for all important gases as small  
98 changes sometimes have notable consequences, hiding important features. The uncertainty  
99 range is as large as always. How well the model performs if the upper concentrations of OH  
100 and VOCs are assumed (read-in)? Is that capable in explaining the deviations between model  
101 and observations?

102 The measurements for VOCs, OH, sulfuric acid and NO<sub>2</sub> photolysis rate indeed cover  
103 different time period in August 2010. Figure 4, 6 and 7 in the manuscript are reproduced as  
104 mean diurnal profiles for period 13-14 and 16-23 August 2010, when measurements are  
105 available for all five quantities. The mean diurnal profiles do not changed qualitatively for NO<sub>2</sub>  
106 photolysis rate, concentrations of OH, H<sub>2</sub>SO<sub>4</sub> and monoterpenes. However, the new diurnal  
107 profile for MBO indicates that the modeled MBO is actually underestimated by 20% to 25%.  
108 This may partly explain the overestimated OH concentration in the afternoon in addition to the  
109 previously stated overestimation in photolysis. The discussion related to MBO and OH has  
110 been modified in Section 3.2 according to the new plots.

111 We have considered reading in the measurements to the model for constraining the  
112 chemistry. However, it is not done because 1) the measurements coverage and frequency

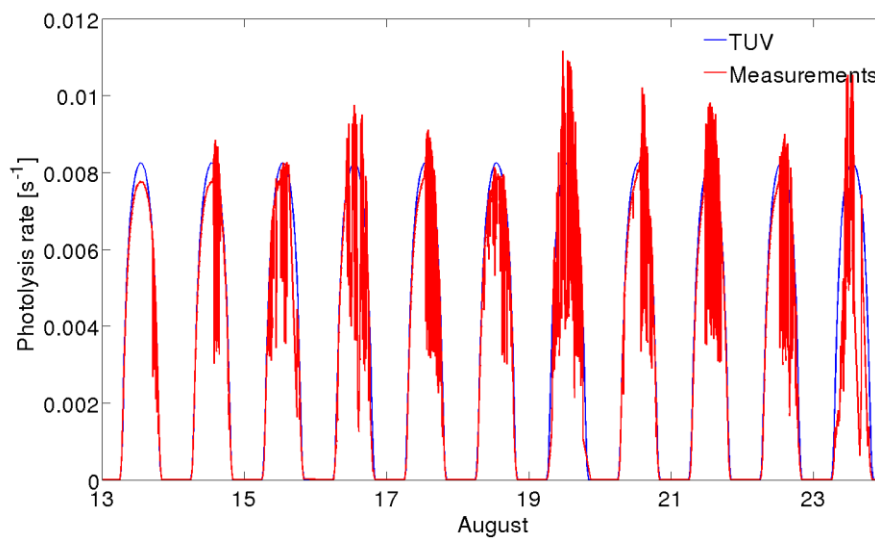
113 various across different gas species; 2) As a column model, input measurements are  
114 expected to be applied at least throughout the boundary layer. Otherwise, perturbing only one  
115 layer with the measurements would cause extra dilution or transport during the meteorology  
116 simulation, which are not true and may exert influence to other modeled species.

117

118 Comment 7, p.16 and Fig.6: As OH sometimes compensates missing production and sink  
119 terms due to the multitude of connections at reasonable photolysis rates this may explain the  
120 morning and early midday behaviour of OH. What happened during the afternoon, i.e. cloudy  
121 sky, differences between the different days of averaging? This is indicated in Fig.7 and the  
122 photolysis rate of NO<sub>2</sub> as well. Please provide more info on this.

123 As indicated by Figure 1 (see below), only August 13 is a clear cloudless day in period 13- 14  
124 and 16-23 August 2010, during which the OH and NO<sub>2</sub> photolysis rate averages are made.  
125 Cumulus clouds developed during afternoons as indicated by the fluctuations in measured  
126 photolysis rate.

127 We tried to include the cloudiness condition by scaling the clear sky actinic flux spectrum with  
128 the ratio of measured to TUV modeled clear sky photolysis rate of NO<sub>2</sub>. The modeled  
129 photolysis rate of NO<sub>2</sub> is within the measurement uncertainty of 10% - 20% (Seroji et al.,  
130 2004). Though the modeled NO<sub>2</sub> photolysis rate is within measurement uncertainty of 10% to  
131 20%, it is still possible that the photolysis rate is indeed overestimated in the cloudy  
132 afternoon, as can be seen in Figure 7 in manuscript. The scaling method may not work well  
133 enough that in the cloudy afternoon, photolysis rates of NO<sub>2</sub> and the photolysis production of  
134 OH is overestimated.



135

136 Figure 1. The measured and modeled clear sky photolysis rate of NO<sub>2</sub> from August 13 to 23.

137 Comment 8, p.32, Table 1: Please note, there is NO organic condensation but partitioning in  
138 the atmosphere as there is always organic material present causing subsidence below the

139 saturation levels. Please modify the expression "organic condensing vapor" and replace it by  
140 "organic vapor type assumed" or something similar.

141 We disagree with the referee at this point. If the partial vapor pressure of an organic vapor in  
142 the atmosphere exceeds its saturation vapor pressure, the organic vapor will condense onto  
143 particle phase. Such condensation process is a main pathway for particle growth.

144

145 Comment 9, p.40: How well the usually taken 3-component assumption ( $\alpha$ -pinene,  $\beta$ -pinene  
146 and limonene) matches with the plots shown? Is the simplified assumption made elsewhere  
147 justified or not? Please provide a brief statement. Regarding the plot only parts of it are  
148 informative. Could you provide more information shortly: Which kind of species are  
149 summarized in here, i.e. the stable ones or stable and radical products? Otherwise skip that  
150 plot.

151 A table summarizing the species included in Vap I, II, and III oxidized by OH, NO<sub>3</sub> and Ozone  
152 has been added to the manuscript (Table 2) to provide a better overview of which compounds  
153 were considered for the growth of the particles in this study.

154

155

## 156 **Responses to Referee #2**

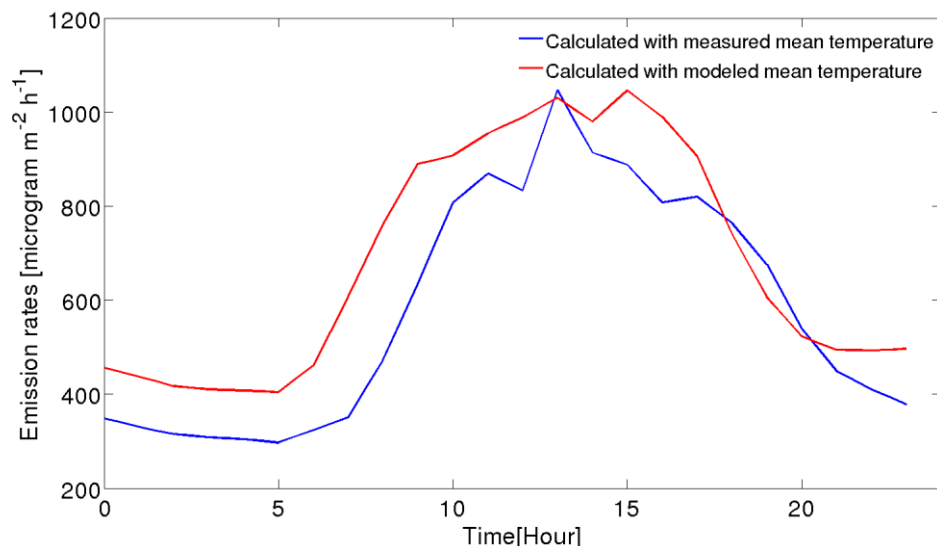
157

158 Comment 1: The daytime MT and MBO concentrations were described by emissions from  
159 MEGAN and extended MCM photochemistry. The diurnal cycles of the precursor VOC were  
160 also described qualitatively quite well. However with too high overall conc. of MBO (factor 2-  
161 1.5) and very high nighttime concentrations of MT. The proposed explanation for the latter is a  
162 too high night time temperature predicted of the model. But this hypothesis could be tested by  
163 testing the T-dependence of the main emissions in the MEGAN emission algorithm. I suggest  
164 to do that in order to convince the readers that this is indeed the explanation.

165 Sensitivity study of the temperature dependence in MEGAN algorithm has been conducted for  
166 total monoterpene emission rates with the stand-alone MEGAN, in order to see clearly the  
167 dependence. The averaged diurnal profiles of temperature from the measurements and from  
168 the model (shown in Figure 1 in the manuscript) are used as the input for a one day  
169 simulation. The results from the sensitivity study, as indicated in Figure 2, show that the  
170 emission rates of total monoterpenes are higher by almost 100% with the higher modeled  
171 temperature during the night. The increased emission rates should explain for the  
172 overestimated monoterpenes concentration during the night.

173 "Sensitivity studies have been conducted for the response of total monoterpene emission rate

174 to temperature. An increase of five Celsius degrees in the night may increase the emission  
175 rates by 80% to 100%.” is added to the second paragraph of Section 3.2 in the manuscript.



176 Figure 2. MEGAN simulated total monoterpenes emission rates based on the measured and  
177 modeled mean temperature shown in Figure 1 in the manuscript.

178

179 Comment 2: Amazingly the model fails substantially in predicting the daytime sulfuric acid  
180 concentrations and the afternoon OH concentrations. The argument that a JNO<sub>2</sub>, too low by  
181 about 20% around e.g.16:00-17:00h in the model compared to the measurement leads to a  
182 factor of two too low OH concentrations at that time period seems not too convincing to me.  
183 The question arises is if the model has missing OH sinks, and if these are organic vapors  
184 which are oxidized. How would this affect the predicted aerosol dynamics. I suggest to  
185 discuss this point in more detail in the manuscript.

186 We reanalyzed the situation and concluded that the major reason for the overestimation in  
187 OH should due to missing sinks. Previous studies by Nakashima et al. (2014) suggested a  
188 missing OH reactivity of 29.5% at MEFO based on measurements. Missing OH reactivity is  
189 also found for other forest environment. For example Mogensen et al. (2011) concluded that  
190 more than 50% of OH reactivity is missing for a boreal forest site in southern Finland.

191 Diurnal profiles of all gas species are updated that the averages are based on the same  
192 period according the request in Comment 6 by Referee 1. The new averaged diurnal profile of  
193 MBO shows slight underestimation instead of overestimation. The underestimation in MBO  
194 may also lead to overestimation in OH. Finally, we cannot eliminate the possibility of  
195 overestimated photolysis production of OH in the afternoon, as indicated by the overestimated  
196 NO<sub>2</sub> photolysis rate in Figure 7 in manuscript.

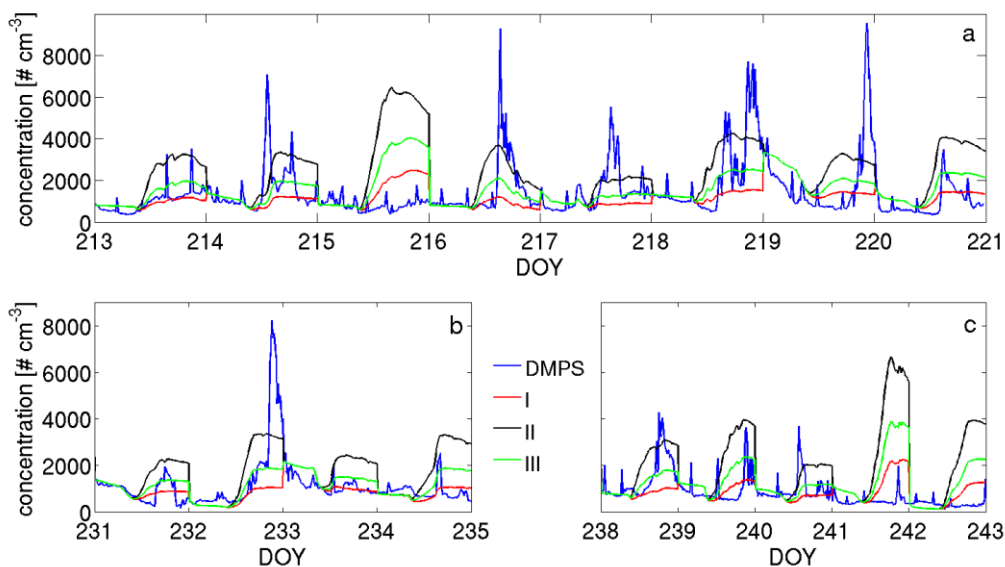
197 In case there are highly reactive compounds emitted by the forest which are not included in  
198 the model and not have been identified, there is a high chance that the reaction products of

199 these compounds will also contribute to the growth and formation of particles. Maybe they  
200 could be also have a similar pattern as MBO and would explain the Comment 4 from Referee  
201 1 related to this topic. However, in case the missing organics are reaction products from the  
202 organics already included but not handled explicit in MCM-chemistry, our assumptions for the  
203 condensing vapors would hold.

204

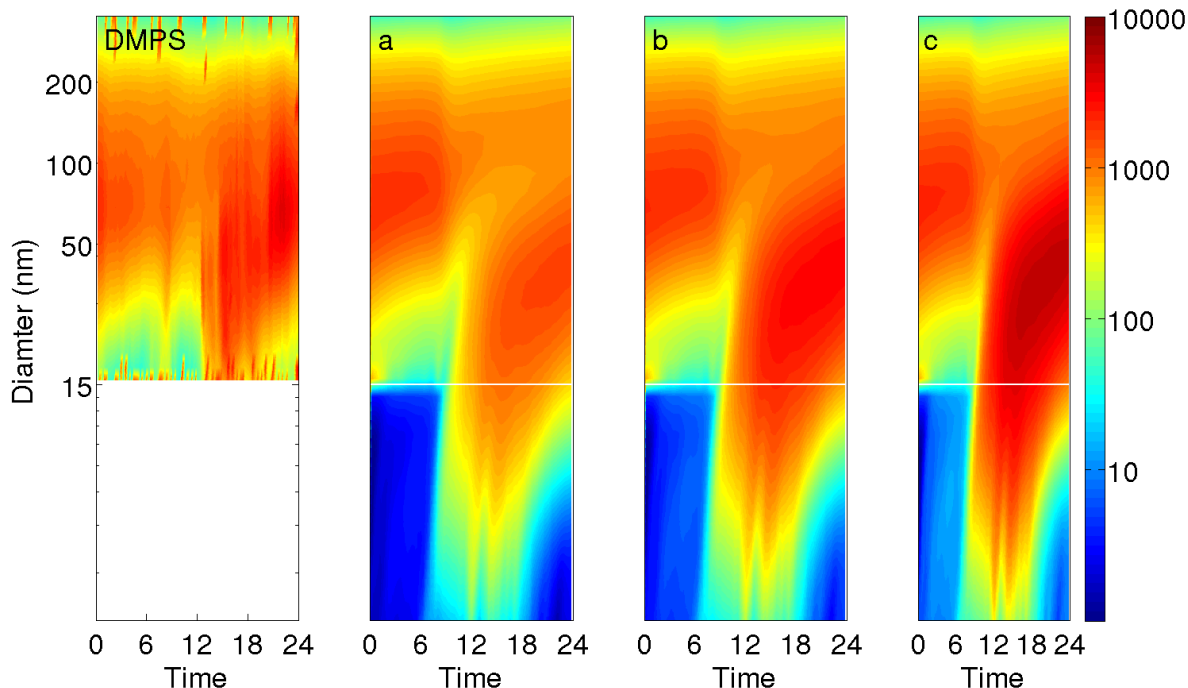
205 Comment 3: The too low H<sub>2</sub>SO<sub>4</sub> concentrations were compensated by increasing the kinetic  
206 coefficient K in the nucleation parametrization. How critical is the adjusting of K in context of  
207 too low prediction of H<sub>2</sub>SO<sub>4</sub> ?

208 Sensitivity studies of nucleation coefficient has been conducted in the same way as described  
209 in Zhou et al. (2014). The total number concentrations of particles between 15nm and 200nm  
210 are shown for the measurements and model simulations with different kinetic nucleation  
211 coefficients. The coefficient  $k = 5e-21 \text{ cm}^{-3}\text{s}^{-1}$  is used for the simulation that is presented in  
212 the manuscript (Line II in Figure 3 below). From Figure 3 it can be seen that doubling the  
213 nucleation coefficient approximately increases the total number concentration by 40% to 50%.  
214 Figure 4 depicts the averaged one-day number size distributions based on different  
215 nucleation coefficients. Figure 5b is the same as the plot of Experiment III in Figure 9 in the  
216 manuscript. Figure 5a is too low in concentration compared to the DMPS measurements  
217 while Figure 5c gives too high concentration compared to measurements.



218

219 Figure 3. Total number concentration of particles between 15 nm and 20nm from a) 1 to 8  
220 August (DOY 213 – 221), b) 19 to 22 August (DOY 231 – 235) and c) 26 to 30 August (DOY  
221 238 – 243). The time series are based on the DMPS measurements, model simulation with  
222 kinetic nucleation coefficient  $k = 2.5e-21 \text{ molecules cm}^{-3}\text{s}^{-1}$  (I),  $k = 1e-20 \text{ molecules cm}^{-3}\text{s}^{-1}$  (II)  
223 and  $k = 5e-21 \text{ molecules cm}^{-3}\text{s}^{-1}$  (III).



224

225 Figure 4. Averaged one-day number size distribution based on the DMPS measurements and  
 226 model simulation with kinetic nucleation coefficient  $k = 2.5e-21$  molecules  $cm^{-3}s^{-1}$  (a),  $k = 5e-$   
 227  $21$  molecules  $cm^{-3}s^{-1}$  and  $k = 1e-20$  molecules  $cm^{-3}s^{-1}$  (c). The concentration unit is molecules  
 228  $cm^{-3}$ .

229

230 Comment 4: The explanations why the model fails in the sulfuric acid concentrations fall a  
 231 little too short. How important is the H<sub>2</sub>SO<sub>4</sub> production from OH? You overestimate OH by  
 232 100% in the afternoon, so the missing term might be really huge. Is that realistic? Could it be  
 233 that simply the SO<sub>2</sub> input is too low? I suggest also here more explanation why the model  
 234 prediction fails.

235 The underestimated H<sub>2</sub>SO<sub>4</sub> concentration is not due to too low SO<sub>2</sub>, because the measured  
 236 SO<sub>2</sub> is taken as model input. The main production of H<sub>2</sub>SO<sub>4</sub> is via OH, while a minor  
 237 production source due to Criegee Intermediates. Besides the missing source terms,  
 238 overestimated sink term is another reason for the underestimation in H<sub>2</sub>SO<sub>4</sub> concentration.  
 239 Taking into account of the instrument uncertainty between 30% and 60%, the missing sulfuric  
 240 acid term may not be that huge as seen in the figure. Similar study carried at the boreal forest  
 241 environment in Finland (Zhou et al., 2014) has indicated comparable level of missing sulfuric  
 242 acid sources. The discussion related to sulfuric acid in Section 3.2 has been modified for  
 243 more detailed explanation for underestimated sulfuric acid.

244

245 Comment 5: The organic contribution to growth is parameterized by using the first



246 generations of stable vapors from MBO and MT generated by the oxidants OH, O<sub>3</sub>, and NO<sub>3</sub>.  
247 Vapor pressures were then attributed to the vapors, and the effect of MT and MBO alone  
248 and of both MBO and MT together was studied. MBO and MT vapors are needed to predict  
249 the observed size distributions and the agreement between prediction and observation is not  
250 too bad. Nevertheless I wonder why the first generation vapors are used as a measure. It is  
251 well known that with exception of ELVOC from ozonolysis the vapor pressures of those  
252 products are way too high to explain growth and SOA formation. Moreover during daytime  
253 first generation products can be oxidized further by OH. How such an ageing process would  
254 influence the results?

255 We agree that the first generation products may be too light and too volatile to contribute to  
256 particle growth. For this reason, the first stable oxidation products are used as the assumed  
257 organic vapors to contribute to particle growth. These first stable products may thus be n<sup>th</sup>  
258 generation oxidation products with relatively higher molecular weight (molar weight up to 290  
259 g/mol).

260 The aging process is the major and important process related to the aerosol particle growth.  
261 The particle phase chemistry model from the model ADCHAM (Pontus et al. 2014) will be  
262 added to SOSAA in the next phase. Meanwhile we are improving the model to use molecule  
263 specific vapor pressures calculated by different methods (SIMPOL and/or Nannoolal).  
264 Updated chemistry related to extreme low volatility organic compounds are under  
265 implementation too. However, the new code is still in the testing phase and not ready for this  
266 manuscript.

267

268 Comment 6: The authors derive limits for the vapor pressures to match the observations and  
269 suggest in the Conclusion section that the condensing vapors should have vapor pressures  
270 as low as 10<sup>-6</sup> cm<sup>-3</sup>. The author should discuss in how far the vapor pressures attributed to  
271 VapI, VapII, and VapIII match the lumped compound classes. And what can be concluded  
272 from such a comparison.

273 The range of these vapor pressures has been already investigated in earlier studies (e.g. Boy  
274 et al., 2006). The method here enables to study the growth without using explicit saturation  
275 vapor pressures of the single organic molecules. The explicit saturation vapor pressures are  
276 still highly uncertain. However, we agree that this method simplifies the condensation and can  
277 only represent approximated growth.

278

279 Comment 7, p9039, I13: The tower on the measurement site was not introduced before.

280 The text has been modified.

281

282 Comment 8, p9040, l3: use “differential mobility analyzer” instead of “differential particle  
283 counter”

284 The text has been modified.

285

286 Comment 9, p9044, l25ff: Does such a to flat diurnal temperature profile influence the vertical  
287 transport? If so, what does that mean for the model observations?

288 The temperature profile affects vertical mixing through creating or suppressing turbulence  
289 through buoyancy. For this mechanism the vertical profile of temperature is important.  
290 However, it is not clear whether the discrepancy in temperature causes other discrepancies in  
291 the model results. The failure of the model in reproducing all observed phenomena indeed  
292 indicates possible influences in reproducing the vertical transport, but the feedbacks are not  
293 obvious.

294

295 Comment 10, p9045, l14: I suggest to use either “mast” or “tower” throughout the manuscript.

296 ‘Mast’ is now used though out the manuscript.

297

298 Comment 11, p9045, l18: I don’t understand point (2), are suggesting that the two different  
299 temperature measurements were potentially off by several degrees?

300 Agree. Possible cause of the large difference in nighttime temperature between mast and  
301 sounding measurements may be that one of the measurement instruments has less adequate  
302 radiation protection or ventilation compared to the other. But this should have very minor  
303 contribution to the difference. The main difference should due to the point 1 and 3.

304 Text “ (least likely and only has minor contribution to the difference) is added to point 2”.

## 305 **References**

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