1	Simulating CO ₂ profiles using N	NIES	TM	and	comparison	with
2	HIAPER Pole-to-Pole Observation					

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Abstract. We present a study on validation of the National Institute for Environmental 14 15 Studies Transport Model (NIES TM) by comparing to observed vertical profiles of atmospheric CO₂. The model uses a hybrid sigma-isentropic (σ - θ) vertical coordinate 16 that employs both terrain-following and isentropic parts switched smoothly in the 17 stratosphere. The model transport is driven by reanalyzed meteorological fields and 18 designed to simulate seasonal and diurnal cycles, synoptic variations, and spatial 19 distributions of atmospheric chemical constituents in the troposphere. The model 20 simulations were run for combination of biosphere, fossil fuel, air-ocean exchange, 21 biomass burning and inverse correction fluxes of carbon dioxide (CO₂) by GOSAT 22

Level 4 product. We compared the NIES TM simulated fluxes with data from the
HIAPER Pole-to-Pole Observations (HIPPO) Merged 10-second Meteorology,
Atmospheric Chemistry, and Aerosol Data, including HIPPO-1, HIPPO-2 and
HIPPO-3 from 128.0E to -84.0W, and 87.0N to -67.2S.

The simulation results were compared with CO₂ observations made in January and November, 2009, and March and April, 2010. The analysis attests that the model is good enough to simulate vertical profiles with errors generally within 1–2 ppmv, except for the lower stratosphere in the Northern Hemisphere high latitudes.

31 **1 Introduction**

Atmospheric carbon dioxide (CO_2) is the primary radiative forcing greenhouse gas 32 produced by human activities. It causes the most global warming (IPCC, 2013) and its 33 34 atmospheric concentration has been increasing at a progressively faster rate each decade because of rising global emissions (Raupach et al., 2007). The monitoring of 35 atmospheric CO_2 from space is intended to identify the sources and sinks of the 36 37 greenhouse gases generated by human and natural activities. A number of satellites are actively monitoring greenhouse gases (e.g., GOSAT, SCIAMACHY, AIRS, IASI) to 38 answer this question, and retrieval algorithms for CO₂ have been developed for these 39 satellite observation data to provide more accurate estimates of CO₂concentrations 40 using several different methods. 41

The sparseness and spatial inhomogeneity of the existing surface network have limited our ability to understand the quantity and spatiotemporal distribution of CO₂ sources and sinks (Scholes et al., 2009). Recent studies of global sources and sinks of

greenhouse gases, and their concentrations and distributions, have been mainly based 45 on in situ surface measurements (GLOBALVIEW-CO2, 2010). The diurnal and 46 seasonal "rectifier effect", the covariance between surface fluxes and the strength of 47 vertical mixing, and the proximity of local sources and sinks to surface measurement 48 sites all have an influence on the measured and simulated concentrations, and 49 complicate the interpretation of results (Denning et al., 1996; Gurney et al., 2004; Baker 50 et al., 2006). Comparatively speaking, the vertical integration of mixing ratio divided 51 by surface pressure, denoted as the column-averaged dry-air mole fraction (DMF; 52 53 denoted XG for gas G) is much less sensitive to the vertical redistribution of the tracer within the atmospheric column (e.g., due to variations in planetary boundary layer (PBL) 54 height) and is more easily related to the underpinning surface fluxes than are near-55 56 surface concentrations (Yang et al., 2007). Thus, column-averaged measurements and simulations are expected to be very useful for improving our understanding of the 57 carbon cycle (Yang et al., 2007; Keppel-Aleks et al., 2011; Wunch et al., 2011). In 58 addition, atmospheric transport has to be accounted for when analyzing the 59 relationships between observations of atmospheric constituents and their sources/sinks 60 near the earth's surface or through the chemical transformation in the atmosphere. As 61 a result, reliable estimates of climate change depend upon our ability to predict 62 atmospheric CO₂ concentrations, which requires further investigation of the CO₂ 63 sources, sinks, and atmospheric transport. 64

Global atmospheric tracer transport models are usually applied to studies of the
global cycles of the long-lived atmospheric trace gases, such as CO₂ and methane (CH₄),

because the long-lived atmospheric tracers exhibit observable global patterns (e.g., the 67 interhemispheric gradient of the concentration). Global three-dimensional chemistry 68 69 transport models (hereafter referred to as CTMs), driven by actual meteorology from numerical weather predictions, and global circulation models (GCMs) play a crucial 70 71 role in assessing and predicting change in the composition of the atmosphere due to anthropogenic activities and natural processes (Rasch et al., 1995; Jacob et al., 1997; 72 Denning et al., 1999; Bregman et al., 2006; Law et al., 2008; Maksyutov et al., 2008; 73 Patra et al., 2008). 74

75 The transport modeling is done on different scales ranging from local plume spread, regional mesoscale transport to global scale analysis, depending on the scale of the 76 phenomena that are studied. Forward modeling is used to estimate tracer concentrations 77 78 in regions that lack observation data and to identify the features of tracer transport and dispersion (Law et al., 2008; Patra et al., 2008). Inverse methods are generally applied 79 when interpreting the data, with atmospheric transport models providing the link 80 between surface gas fluxes and their subsequent influence on atmospheric 81 concentrations (Rayner and O'Brien, 2001; Patra et al., 2003a,b; Gurney et al., 2004; 82 83 Baker et al., 2006). Global modeling analysis has helped to identify the relative contribution of the land and oceans in the Northern and Southern hemispheres to the 84 interhemispheric concentration differences in CO₂, CH₄, carbon monoxide (CO) and 85 other tracer species (Bolin and Keeling, 1963; Hein et al., 1997). For stable and slowly 86 87 reacting chemical species, a number of studies have derived information on the spatial and temporal distribution of the surface sources and sinks by applying a transport model 88

and atmospheric observations (Tans et al., 1990; Rayner et al., 1999).

There are several factors that strongly influence model performance: the numerical 90 transport algorithm used, meteorological data, grid type and resolution. In tracer 91 transport calculations, semi-Lagrangian transport algorithms are often used in 92 combination with finite-volume models. Losses in the total tracer mass are possible in 93 these algorithms. While such losses are often negligible for short-term transport 94 simulations, they can seriously distort the global trends and tracer budgets in long-term 95 simulations. To avoid such losses, various mass-fixing schemes have been applied 96 97 (Hack et al., 1993; Rasch et al., 1995). Although the use of mass fixers can prevent mass losses, there remains a possibility of predicting distorted tracer concentrations. By 98 99 contrast, when using a flux-form transport algorithm, the total tracer mass is conserved 100 and thus the issue of mass losses can be eliminated, provided the flow is conservative. The use of numerical schemes with limiters leads to distorted tracer concentrations and 101 affects the linearity. Thus, to accurately calculate the tracer concentration in a forward 102 103 simulation and to use the model in inverse modeling, we employed a flux-form version of the global off-line, three-dimensional chemical NIES TM. 104

The synoptic and seasonal variability in XCO₂ is driven mainly by changes in surface pressure, the tropospheric volume-mixing ratio (VRM) and the stratospheric concentration, which is affected in turn by changes in tropopause height. The effects of variations in tropopause height are more pronounced with increasing contrast between stratospheric concentrations. Many CTMs demonstrate some common failings of model transport in the stratosphere (Hall et al. 1999). The difficulty of accurately representing dynamical processes in the upper troposphere (UT) and lower stratosphere (LS) has
been highlighted in recent studies (Mahowald et al., 2002; Wauch and Hall, 2002;
Monge-Sanz et al., 2007). While there are many contributing factors, the principal
factors affecting model performance in vertical transport are meteorological data and
the vertical grid layout (Monge-Sanz et al., 2007).

The use of different meteorological fields in driving chemical transport models can 116 lead to diverse distribution of chemical species in the UTLS region (Douglass et al., 117 1999). The quality of wind data provided by numerical weather predictions is another 118 119 crucial factor for tracer transport (J öckel et al., 2001; Stohl et al., 2004; Bregman et al., 2006). Wind fields produced by the Data Assimilation System (DAS) are commonly 120 used for driving CTMs. Spurious variability, or "noise", introduced via the assimilation 121 122 procedure affects the quality of meteorological data through a lack of suitable observations, or by the inaccurate treatment of model biases (Bregman et al., 2006). 123 This negative effect is proportional to the dynamic time scale and increases with 124 125 operational time. The most sensitive area in this regard is the lower stratosphere in tropical regions, where large volumes of air move upward from the troposphere to the 126 stratosphere. A lack of observations makes this region the most challenging in terms of 127 data assimilation. Bregman et al. (2006) pointed that additional difficulties for detecting 128 model biases are caused by the fact that tropical atmosphere is not in geostrophic 129 balance. Schoeberl et al. (2003) suggested that GEOS DAS (Geodetic Earth Orbiting 130 131 Satellite Data Assimilation System) is less suitable for long-term stratospheric transport studies than wind from a general circulation model. At the same time, improvements to 132

the data assimilation system itself (ECMWF ERA-Interim reanalysis; Dee and Uppala,
2009) and the development of special products for use in transport models (MERRA:
Modern Era Retrospective-analysis for Research and Applications; Bosilovich et al.,
2008) have assisted in improving the accuracy of atmospheric circulation when using
off-line models (Monge-Sanz et al., 2007).

Belikov et al. (2013) evaluated the simulated column-averaged dry air mole fraction 138 of atmospheric carbon dioxide (XCO₂) against daily ground-based high-resolution 139 Fourier Transform Spectrometer (FTS) observations measured at twelve sites of the 140 141 Total Column Observing Network (TCCON), which provides an essential validation resource for the Orbiting Carbon Observatory (OCO), SCIAMACHY, and GOSAT. In 142 this manuscript, we present the application of the standard version of isentropic 143 144 transport model with HIAPER Pole-to-Pole Observations (HIPPO) Merged 10-second Meteorology, Atmospheric Chemistry, and Aerosol Data, which are highly time-145 resolved, because of the underlying 1-second in situ frequency measurement, and 146 vertically-resolved, because of the GV flight plans that performed 787 vertical 147 ascents/descents from the ocean/ice surface up to the tropopause. The remainder of this 148 paper provides the model information and a detailed description of the meteorology 149 dataset and HIPPO data, and a validation of the CO₂ vertical profiles comparing against 150 the HIPPO observations, followed by a discussion and conclusions. 151

152 2 Model features and operation

153 In this section, we describe the features and use of the NIES TM (denoted NIES-08, li).

As Belikov et al. (2011, 2013) described, the latest improved version of the NIES TM

model uses the $(\theta - \sigma)$ hybrid sigma-isentropic vertical coordinate that is isentropic in the UTLS region but terrain-following in the free troposphere. This designed coordinate helps to simulate vertical motion in the isentropic part of the grid above level 350K. Basic physical model features include the flux-form dynamical core with a third-order van Leer advection scheme, a reduced latitude-longitude grid, a horizontal fluxcorrection method for mass balance, and turbulence parameterization.

161 **2.1 Meteorological data used in the simulation**

The NIES TM is an off-line model driven by Japanese reanalysis data, which covers 162 163 more than 30 years from 1 January 1979 to present (Onogi et al., 2007). The period of 1979-2004 is covered by the Japanese 25-year Reanalysis (JRA-25), used by Belikov 164 et al. (2013), and is the product of the Japan Meteorological Agency (JMA) and Central 165 166 Research Institute of Electric Power Industry (CRIEPI). After 2005, near real-time operational analysis, employing the same assimilation system as JRA-25, has been 167 continued as the JMA Climate Data Assimilation System (JCDAS). The JRA-168 169 25/JCDAS dataset is distributed on a Gaussian horizontal grid T106 (320×160) with 40 hybrid σ -p levels. The 6-hourly time step of JRA-25/JCDAS is coarser than the 3-170 hourly data from the National Centers for Environmental Prediction (NCEP) Global 171 Forecast System (GFS) and Global Point Value (GPV) datasets, which were used in the 172 previous model version (Belikov et al., 2011). However, with a better vertical resolution 173 (40 levels on a hybrid σ -p grid versus 25 and 21 pressure levels for GFS and GPV, 174 175 respectively) it is possible to implement a vertical grid with 32 levels (versus the 25 levels used before), resulting in a more detailed resolution of the boundary layer and 176

177 UTLS region (Table 1).

The 2-D monthly distribution of the climatological heating rate, used to calculate vertical transport in the θ -coordinate domain of the hybrid sigma-isentropic coordinate, is prepared from JCDAS reanalysis data, which are provided as the sum of short- and long-wave components on pressure levels.

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183 **2.2 HIPER Pole-to-Pole data**

The HIPPO study investigated the carbon cycle and greenhouse gases at various 184 185 altitudes (from 0 to 16 km) in the western hemisphere through the annual cycle. HIPPO is supported by the National Science Foundation (NSF) and its operations are managed 186 by the Earth Observing Laboratory (EOL) of the National Center for Atmospheric 187 188 Research (NCAR). Its base of operations is the EOL Research Aviation Facility (RAF) at the Rocky Mountain Metropolitan Airport (RMMA) in Jefferson Country, Colorado. 189 The main goal of HIPPO was to determine the global distribution of CO₂ and other 190 191 trace atmospheric gases by sampling at several altitudes and latitudes (from 0 to 16 km, 87.0N to -67.2S) in the Pacific Basin. 192

The dataset used in this paper includes the merged 10-second data product of meteorological, atmospheric chemistry, and aerosol measurements from three HIPPO Missions 1 to 3. The three missions took place from January, 2009 to April, 2010; HIPPO-1 (20090109–20090126), HIPPO-2 (20091102–20091122), and HIPPO-3 (20100324–20100415), ranging from 128.0E to -84.0W, and 87.0N to -67.2S (Table 2). All data are provided in a single space-delimited format ASCII file 199 (https://www.eol.ucar.edu/field_projects/hippo).

HIPPO measured atmospheric constituents along transects running approximately 200 201 pole-to-pole over the Pacific Ocean and recorded hundreds of vertical profiles from the ocean/ice surface up to the tropopause five times during four seasons from January. 202 2009 to September, 2011. HIPPO provides the first high-resolution vertically resolved 203 global survey of a comprehensive suite of atmospheric trace gases and aerosols 204 pertinent to understanding the carbon cycle and challenging global climate models. The 205 10-second merge product applied in this study was derived by combining the National 206 207 Science foundation (NSF)/NCAR GV aircraft navigation and atmospheric structure parameters including position, time, temperature, pressure, and wind speed reported at 208 1-second frequency, with meteorological, atmospheric chemistry and aerosol 209 210 measurements made by several teams of investigators on a common time and position basis. 211

212 **2.3 Model setup**

The standard model was run with the three HIPPO missions to study atmospheric tracer transport and the ability of the model to reproduce the column-averaged dry air mole fractions and vertical profile of atmospheric CO_2 . The model was run at a horizontal resolution of 2.5 °×2.5 ° and 32 vertical levels from the surface to 3 hPa.

The CO₂ simulations were began on January 1, 2009, November 1, 2009 and March 1, 2010 for the three HIPPO missions 1 to 3, respectively, with individual initial 3D tracer distributions using the global prior fluxes of biosphere-atmosphere and air-ocean exchange, fossil fuel emissions, biomass burning, and GOSAT Level 4A inverse model correction (Maksyutov et al., 2013), provided by climatological mean of monthly global
CO₂ fluxes estimated with GLOBALVIEW and GOSAT SWIR Level 2 XCO₂ data. As
we use same set of fluxes and same version of transport model as GOSAT Level 4
product, the flux corrections provided by GOSAT Level 4 product provide optimal fit
to available observations.

226 **3 Discussions**

The current model versions have been used in several tracer transport studies and were evaluated through participation in transport model intercomparisons (Niwa et al., 2011; Patra et al., 2011). The simulation results of the tracer transport model show consistency with observations in the near-surface layer and in the free troposphere. However, the model performance in the UTLS region has not been evaluated in detail against other observations.

3.1 Comparison with CO₂ observations

Figure 1 show the scatters diagram of modeled results versus total column of HIPPO-

1, 2, 3. The majority of points are within a 95% confidence interval of total CO₂ column
concentration. Modeled HIPPO-1's precision successively exceeds 2 and 3, inferring
the simulation results with the relevant either seasonal changes or data quality.

The simulation results of CO₂ concentration time-varying for HIPPO-1 using the standard model display good performance and weak dispersion of concentrations. The validation results (Figure 2(a)) show that approximately 69.2% of the absolute biases are within 1 ppmv, approximately 92.3% are within 2 ppmv, and only 7.7% exceed 3 ppmv. Furthermore, as shown by the root-mean-square error (RMSE) with time, during

most days in January the model values' dispersion was small compared with the 243 observed values, apart from the first few days of the month. According to the simulation 244 245 results of the HIPPO-1 observed and simulated latitude-varying CO₂ concentration data, the comparison values always underestimate the atmospheric XCO₂, and the 246 differences are all within 1.5 ppmv in the Southern Hemisphere, and vice versa in the 247 Northern Hemisphere with 85.8% of the differences under 1.1 ppmv. Figure 2(b) shows 248 that the larger biases usually occur in the Northern Hemisphere high latitudes. The 249 RMSE also reflects the instability of the simulated values in the Northern Hemisphere 250 251 high latitudes.

For HIPPO-2 data from November 2 to 22, 2009, the absolute biases of observed and 252 simulated time-varying are all within 2 ppmv, and 77.8 % of the differences are less 253 254 than 1 ppmv (Figure 2(c)). Approximately 5/6 of the data over the month show comparative stability. Similarly with HIPPO-1, the simulation results are always 255 underestimates in the Southern Hemisphere and overestimates in the Northern 256 Hemisphere. As shown in Figure 2(d), the complete simulation displays good 257 performance, apart from one day in the Northern Hemisphere high latitudes. In the same 258 manner, the RMSE shows good stability in the Southern Hemisphere, in particular for 259 the low-to mid-latitudes of the Southern Hemisphere. The model also simulates well in 260 the Northern Hemisphere, especially from 45 ° to 70 °N. 261

Based on HIPPO-3 data from March 24 to April 15, 2010, the model simulation overestimates in March and underestimates in April. As shown in Figure 2(e), in March, the biases over several days were over 2 ppmv, and one of these days exceeded 3 ppmv.

However, the absolute biases were all within 2 ppmv in April, and 75% of the absolute 265 biases were less than 1 ppmv, which suggests relatively good performance by the model 266 267 simulation. As shown by the RMSE, the data for the last days in March were disperse. However, 81.8% of the data in April showed comparatively good stability. The absolute 268 biases are all under 1.5 ppmv in the Southern Hemisphere, and are also within 2 ppmv 269 for the low- and mid-latitudes of the Northern Hemisphere (Figure 2(f)). However, a 270 relatively large difference occurs at the Northern Hemisphere high latitudes, at one 271 point exceeding 3 ppmv. Furthermore, the RMSE become greater with latitude from 272 273 the Southern to Northern hemisphere, inferring the simulation results are increasingly disperse with increasing latitude. 274

275 **3.2 Validation of CO₂ vertical profiles**

276 The GV flight plan performed 787 vertical ascents/descents from the ocean/ice surface/land surface to the tropopause. Two maximum altitude ascents were planned 277 per flight to the tropopause/LS; one in the first half and the other in the second half of 278 279 the research flight. In between, several vertical profiles from below the PBL to the midtroposphere (1000-28000 feet) were flown. Profiles were flown approximately every 280 2.2 °of latitude with 4.4 °between consecutive near-surface or high-altitude samples. 281 Rate of climb and descent was 1500 ft/minute (457m/minute). During these profiles, 282 the GV averaged a ground speed of approximately 175m/second, or 10 km/minute. 283

Most of a flight was conducted below the international Reduced Vertical Separation Minimum (RVSM), usually 29000 ft or 8850 m, to allow the GV to descend and climb constantly to collect data at different altitudes throughout the troposphere. All flight plans were subject to modifications depending on local atmospheric conditions and
approval by air traffic control. Most profiles extended from approximately 300 to 8500
m altitude, constrained by air traffic, but significant profiling extended above
approximately 14 km.

One of the aims of this paper was to validate the model column-averaged 291 concentration against the typical HIPPO flight plans, and we therefore examined the 292 variability of CO₂ concentrations with HIPPO merged 10-second meteorology, 293 atmospheric chemistry, and aerosol measurements from Mission 1 to 3. For each 294 295 mission, several hundred vertical profiles were produced. We have only selected the vertical profiles from near-surface to LS to compare the simulations using the standard 296 model with observations. Each mission can be divided into six parts for analysis; the 297 298 low-, mid- and high-latitudes in the Southern and Northern hemispheres, respectively.

The above Figure 3 presents us the change of flight altitude and bias by subtracting 299 observation by simulation of HIPPO-1, 2, 3 with latitude. The observations' number of 300 these three missions is 17621, 23451, 22372 respectively, and the plenty of observations 301 provide basis for model validation. Based on the change of flight height with latitude 302 303 in the Figure 3, we only select CO_2 profiles that their height is from near surface to lower stratosphere. According to the above rule, 24, 34, 35 profiles are chosen 304 respectively for the HIPPO-1, 2, 3. Then we separately choose one profile in the low, 305 middle and high latitude of Northern and Southern hemisphere from the selected 306 profiles for each mission because of the similarity of the profile shape in every latitude 307 zone. Seen from the Figure 3, the relatively larger biases repeatedly occur in the higher 308 latitude of Northern hemisphere. 309

For HIPPO-1, the modeled value is always less than the observation value in the Southern Hemisphere and vice versa in the Northern Hemisphere. The bias is less than 2 ppmv for the entire profile from the near-surface to the LS; however, it increases from 2 to 4 ppmv above 10 km covering the Northern Hemisphere high latitudes.

Figure 4 shows the comparison of simulation results and observations for data from 314 the near-surface to the LS in the low-, mid- and high- latitude. In the low-latitudes, as 315 shown by panel 4(c) and (d), the simulation performed very well compared with 316 observations. With the exception of the biases of approximately 2 ppmv in the 317 318 tropopause in panel 4(d), the biases are all within 1 ppmv. In the mid- and high- latitudes, it is different in both hemispheres. In the Southern Hemisphere, the majority biases are 319 within 2 ppmv apart from the LS zone in Figure 4(a) and 2 to 6 km region in panel 4(b). 320 321 In the Northern Hemisphere (panel 4(e) and (f)), the simulated vertical profiles show good performances, apart from UTLS, and the biases are less than 2 ppmv. Some large 322 biases occurred in the UTLS exceeding 4 ppmv when the potential temperature gradient 323 324 increased rapidly with height. For details, Figure 5 presents us the biases of simulation minus observation corresponding to Figure 4(a) - 4(f) respectively. 325

HIPPO-2 data showed overall similarity with HIPPO-1 data based on the distribution of positive and negative bias. However, an anomaly occurred at approximately -60 ° and 75 ° latitude, showing positive and negative biases, respectively, some exceeding 6 ppmv. Figure 6(a) is the vertical profile of the Southern Hemisphere high latitudes, which clearly shows that the simulation matches well with the observations from the near-surface to the tropopause. However, large biases occur above 8 km; panel 6(b) also

shows this phenomenon above 10 km. In the low latitudes (Figure 6(c) and (d)), the 332 simulations match well with observations. The potential temperature gradient is smooth 333 and the biases are less than 1 ppmv from near-surface to the UT, which indicates good 334 performance. For the mid-latitudes of the Northern Hemisphere, panel 6(e) shows 335 relatively good simulation performance. However, as shown in panel 6(f), the high 336 latitudes did not perform well in the near-surface or the low- and mid-troposphere. 337 Compared with observations, the simulation profiles do not appear to reflect the original 338 shape. Moreover, Figure 7 further displays the biases of simulation minus observation 339 340 corresponding to Figure 6(a) - 6(f) respectively.

As shown by HIPPO-3 data the biases increase abruptly with flight height for the 341 mid- to high-latitudes of the Northern Hemisphere with values reaching 7 ppmv. In the 342 343 high-latitudes of the Southern Hemisphere (panel 8(a)) the simulation underestimates the observations, and the absolute biases are isostatic from the near-surface to the LS, 344 which are less than 3 ppmv. The Southern Hemisphere low latitudes (panel 8(c)) 345 346 indicate good performance of the simulations, where all the biases are less than 1 ppmv. 347 In the Northern Hemisphere low latitudes (panel 8(d)), the entire simulation appears to match well with observations. However, some locations do not reproduce the precise 348 shape through the entire height. For the mid- to high- northern latitudes (panel 8(e) and 349 (f)), the simulations performed relatively well from the near-surface to the UT. 350 Furthermore, Figure 9 shows the biases of simulation minus observation corresponding 351 352 to Figure 8(a) - 8(f) respectively.

Larger bias in simulations is found in the winter lower stratosphere in the northern 353 high-latitudes. The problem appears because between tropopause and 350 K level 354 model uses vertical wind provided by reanalysis instead of using radiative heating rate, 355 which is more accurate in stratosphere (Weaver et al. 1993, Belikov et al., 2013). 356 Extending the isentropic coordinates to mid-troposphere levels such as implemented by 357 Chen and Rasch, (2011), Bleck et al (2015) has potential for reducing the transport bias 358 in this region and season. The positive bias can reach level of 4 ppm for CO₂. However, 359 this problem only affects simulations for observation made in lower stratosphere in high 360 361 latitudes in cold season when the tropopause level is low. However the number of insitu observations made in this altitude is very limited. The satellite observations of the 362 total column such as GOSAT are also reduced considerably in high latitudes in cold 363 364 season (Yoshida et al, 2013). Thus this lower stratosphere bias is not likely to deteriorate the transport model performance in the inverse modeling applications (Maksyutov et 365 al., 2013). However, these biased values probably result in greater errors of a flux 366 367 inversion with signals being transported into lower latitudes in adverse synoptic patterns. 368

369 4 Conclusions

This study tested and verified the ability of a chemistry transport model to reproduce CO₂ vertical profiles using HIPPO merged 10-second meteorology, atmospheric chemistry, and aerosol data from Missions 1 to 3, which span three different seasons (autumn, winter and spring). The results show that the model somewhat underestimates CO₂ in the Southern Hemisphere and overestimates it in the Northern Hemisphere for these three missions. However, the model was able to reproduce the seasonal and interannual variability of CO₂ with RMS bias across all profiles with a level of 0.9 ppmv. The model performed well from the near-surface layer to the top of the troposphere, apart from the lower stratosphere the high latitude regions, in particular, in the Northern Hemisphere in spring, where large biases would often appear. The smaller bias for HIPPO-1 compared with HIPPO-3 arises from seasonal changes in synoptic patterns from January to March and April, as simulated by Patra et al. (2008).

The accuracy of these calculations will increase with the adaptation of the mass-382 383 balanced reanalysis data (MERRA, Bosilovich et al., 2008). This off-line model with horizontal flux-correction attain mass conservation because vertically integrated mass 384 change is in balance with the surface pressure tendency (Belikov et al., 2011). 385 The 386 computation achieve fast convergence with CO₂ distribution tending towards stability in the whole integral height. Demand for global high-resolution fields of CO₂ and other 387 greenhouse gases will also increase because of their use as a priori information in 388 389 retrieval algorithms of observation instruments, such as the AIRS satellite (e.g., Strow and Hannon, 2008) and GOSAT (e.g., Yokota et al., 2009), and regional inverse 390 modeling studies (Thompson, et al., 2014). Employing HIPPO-1, 2, 3, validation of the 391 NIES model provide basis for applying high-precision satellite product, and so we can 392 393 get more and better carbon sources/sinks information.

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639 *Table 1.Vertical grid levels of the NIES TM model

	H, km	σ=P/Ps	$\approx \triangle, m$	ξ (σ-θ grid levels), K	Number of levels
Near-surface layer	0-2	1.0-0.795	250	_	8
Free troposphere	2-12	0.795-0.195	1000	-, 330,350,	10
			1000	365,380,400,415,	
				435,455,475,500,	
Upper troposphere and stratosphere	12-40	0.195-0.003	2000	545,	14
			-	590,665,850,	
				1325,1710	
				Total levels:	32

Table 2.Temporal and spatial (horizontal) coverage of HIPPO mission flights.

Missions	Sampling Dates	Vertical Profiles Flown	Flight Path Notes
HIPPO-1	January 8–30,	138	Northern polar flight #1 reached 80 N,
	2009		Southern ocean flight reached 67 S,
			175 W (no return to the Arctic a
			second time).
HIPPO-2	October 31 to November 22,	148	Northern polar flight #1 reached 80 %,
	2009		Southern ocean flight reached 66 S,
			and 174 W, Northern polar flight #2
			reached 83 N.
HIPPO-3	March 24 to April 16, 2010	136	Northern polar flight #1 reached
			84.75 N, Southern ocean flight
			reached 66.8 S, 170 E, Northern polar
			flight #2 reached 85 N.

^{*}H, height; P, atmospheric pressure; P_s, surface atmospheric pressure; \triangle , vertical integral step; ξ , the level of the sigma-isentropic grid.









Figure 4. Vertical profiles from near-surface to the LS for HIPPO-1, panels represent
the vertical profiles of observation (black square), simulation (blue square)
and potential temperature (red square) in Southern ((a) high-, (b) mid-, (c)
low- latitude), and Northern Hemisphere ((d) low-, (e) mid-, (f) highlatitude).



Figure 5. Biases of simulation minus observation from near-surface to the LS for
HIPPO-1, panels are corresponding to Figure 4(a)-4(f) respectively.



Figure 6. The vertical profiles from near-surface to the LS for HIPPO-2, panels
represent the vertical profiles of observation (black square), simulation (blue
square) and potential temperature (red square) in Southern ((a) high-, (b)
mid-, (c) low- latitude), and Northern Hemisphere ((d) low-, (e) mid-, (f)
high- latitude).



Figure 7. Biases of simulation minus observation from near-surface to the LS for
HIPPO-2, panels are corresponding to Figure 6(a)-6(f) respectively.



Figure 8. The vertical profiles from near-surface to the LS for HIPPO-3, panels
represent the vertical profiles of observation (black square), simulation (blue
square) and potential temperature (red square) in Southern ((a) high-, (b)
mid-, (c) low- latitude), and Northern Hemisphere ((d) low-, (e) mid-, (f)
high- latitude).



Figure 9. Biases of simulation minus observation from near-surface to the LS for
HIPPO-3, panels are corresponding to Figure 8(a)-8(f) respectively.